### Course 4

### Generated subspace, linear maps



Prof. dr. Septimiu Crivei

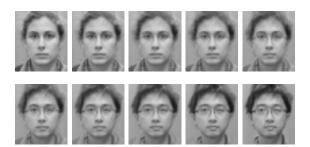
# Chapter 2. Vector Spaces

Basic properties

- 2 Subspaces
- Generated subspace
- 4 Linear maps

# Application: image crossfade

Following [Klein], we describe a way to achieve an image crossfade effect.



## Intersection of subspaces

For a vector space V over K, we denote by S(V) the set of all subspaces of V. Sometimes, this set is denoted by  $S_K(V)$  if we like to emphasize the field K.

#### $\mathsf{Theorem}$

Let V be a vector space over K and let  $(S_i)_{i \in I}$  be a family of subspaces of V. Then  $\bigcap_{i \in I} S_i \in S(V)$ .

**Proof.** For each  $i \in I$ , we have  $S_i \in S(V)$ , hence  $0 \in S_i$ . Then  $0 \in \bigcap_{i \in I} S_i \neq \emptyset$ . Now let  $k_1, k_2 \in K$  and  $x, y \in \bigcap_{i \in I} S_i$ . Then  $x, y \in S_i$ ,  $\forall i \in I$ . But  $S_i \in S(V)$ ,  $\forall i \in I$ . It follows that  $k_1x + k_2y \in S_i$ ,  $\forall i \in I$ , hence  $k_1x + k_2y \in \bigcap_{i \in I} S_i$ . Therefore,  $\bigcap_{i \in I} S_i \in S(V)$ .

## Union of subspaces

In general, the union of two subspaces of a vector space is not a subspace. For instance, the sets

$$S = \{(x,0) \mid x \in \mathbb{R}\},\$$

$$T = \{(0, y) \mid y \in \mathbb{R}\}$$

are subspaces of the canonical real vector space  $\mathbb{R}^2$ , but  $S \cup T$  is not a subspace of  $\mathbb{R}^2$ . Indeed, for instance, we have  $(1,0),(0,1) \in S \cup T$ , but  $(1,0) + (0,1) = (1,1) \notin S \cup T$ .

## Generated subspace

EX: Take the real vector space R3. Define a set S={v1, v2} from R3. <S> = {av1+bv2, a,b from R3} Now we are interested in how to "complete" a given subset of a vector space to a subspace in a minimal way.

### Definition

Let V be a vector space and let  $X \subseteq V$ . Then we denote

$$\langle X \rangle = \bigcap \{ S \le V \mid X \subseteq S \}$$

and we call it the subspace generated by X or the subspace spanned by X. Here X is called the generating set of  $\langle X \rangle$ . If  $X = \{v_1, \ldots, v_n\}$ , we denote  $\langle v_1, \ldots, v_n \rangle = \langle \{v_1, \ldots, v_n\} \rangle$ .

- (1)  $\langle X \rangle$  is the "smallest" (with respect to inclusion) subspace of V containing X.
- (2)  $\langle \emptyset \rangle = \{0\}.$
- (3) If  $S \leq V$ , then  $\langle S \rangle = S$ .



# System of generators

#### Definition

A vector space V over K is called *finitely generated* if  $\exists v_1, \ldots, v_n \in V \ (n \in \mathbb{N})$  such that

$$V = \langle v_1, \ldots, v_n \rangle.$$

Then the set  $\{v_1, \ldots, v_n\}$  is called a system of generators for V.

#### Definition

Let V be a vector space over K and  $v_1, \ldots, v_n \in V$   $(n \in \mathbb{N})$ . A finite sum of the form

$$k_1v_1+\cdots+k_nv_n$$
,

where  $k_i \in K$  (i = 1, ..., n), is called a (finite) *linear combination* of the vectors  $v_1, ..., v_n$ .

◆□ → ◆□ → ◆ = → ◆ = → へ ○ ○

# Characterization of the generated subspace

#### Theorem

Let V be a vector space over K and let  $\emptyset \neq X \subseteq V$ . Then

$$\langle X \rangle = \{k_1 v_1 + \dots + k_n v_n \mid k_i \in K, \ v_i \in X, i = 1, \dots, n, \ n \in \mathbb{N}^*\},$$

that is, the set of all finite linear combinations of vectors of X.

**Proof.** We prove the result in 3 steps, by showing that

$$L = \{k_1v_1 + \dots + k_nv_n \mid k_i \in K, \ v_i \in X, i = 1, \dots, n, \ n \in \mathbb{N}^*\}$$

is the smallest subspace of V containing X.

# Characterization of the generated subspace

- (i) Let  $v \in X$ . Then  $v = 1 \cdot v \in L$ , hence  $L \neq \emptyset$ . Now let  $k, k' \in K$  and  $v, v' \in L$ . Then  $v = \sum_{i=1}^n k_i v_i$  and  $v' = \sum_{j=1}^m k_j' v_j'$  for some  $k_1, \ldots, k_n, k_1', \ldots, k_m' \in K$  and  $v_1, \ldots, v_n, v_1', \ldots, v_m' \in X$ . Then  $kv + k'v' \in L$ , because it is a finite linear combination of vectors of X. Hence we have  $L \leq V$ .
- (ii) If  $v \in X$ , then  $v = 1 \cdot v \in L$ . Hence  $X \subseteq L$ .
- (iii) Let  $S \leq V$  be such that  $X \subseteq S$ . Let  $k_1, \ldots, k_n \in K$  and  $v_1, \ldots, v_n \in X$ . Since  $X \subseteq S$  and  $S \leq V$ ,  $k_1v_1 + \cdots + k_nv_n \in S$ . Hence  $L \subseteq S$ .

Thus, we have  $\langle X \rangle = L$  by the initial remark.

### Corollary

Let V be a vector space over K and let  $x_1, \ldots, x_n \in V$ . Then

$$\langle x_1,\ldots,x_n\rangle=\{k_1x_1+\cdots+k_nx_n\mid k_i\in K\,,\,x_i\in X\,,i=1,\ldots,n\}\,.$$



## Examples I

(a) Consider the canonical real vector space  $\mathbb{R}^3$ . Then

$$\langle (1,0,0), (0,1,0), (0,0,1) \rangle$$

$$= \{k_1(1,0,0) + k_2(0,1,0) + k_3(0,0,1) \mid k_1, k_2, k_3 \in \mathbb{R}\}$$

$$= \{(k_1,0,0) + (0,k_2,0) + (0,0,k_3) \mid k_1, k_2, k_3 \in \mathbb{R}\}$$

$$= \{(k_1,k_2,k_3) \mid k_1, k_2, k_3 \in \mathbb{R}\} = \mathbb{R}^3.$$

Hence  $\mathbb{R}^3$  is generated by the three vectors (1,0,0), (0,1,0) and (0,0,1), and thus it is finitely generated.

(b) Consider the canonical vector space  $\mathbb{Z}_2^3$  over  $\mathbb{Z}_2$ . Similarly as above, we have:

$$\langle (\widehat{1},\widehat{0},\widehat{0}),(\widehat{0},\widehat{1},\widehat{0})\rangle = \{(k_1,k_2,\widehat{0}) \mid k_1,k_2 \in \mathbb{Z}_2\} \neq \mathbb{Z}_2^3.$$



## Examples II

Hence  $\mathbb{Z}_2^3$  is not generated by the two vectors  $(\widehat{1},\widehat{0},\widehat{0})$  and  $(\widehat{0},\widehat{1},\widehat{0})$ . But it is generated by  $(\widehat{1},\widehat{0},\widehat{0})$ ,  $(\widehat{0},\widehat{1},\widehat{0})$  and  $(\widehat{0},\widehat{0},\widehat{1})$ , hence it is finitely generated.

(c) Consider the subspace

$$S = \{(x, y, z) \in \mathbb{R}^3 \mid x - y - z = 0\}$$

of the canonical real vector space  $\mathbb{R}^3$ . Let us write it as a generated subspace. Expressing x=y+z, we have:

$$S = \{(y+z,y,z) \mid y,z \in \mathbb{R}\} = \{(y,y,0) + (z,0,z) \mid y,z \in \mathbb{R}\}$$
  
= \{y(1,1,0) + z(1,0,1) \cdot y,z \in \mathbb{R}\} = \langle ((1,1,0),(1,0,1)\rangle.

Alternatively, one may express y or z by using the other two components and get other writings of S as a generated subspace, namely  $S = \langle (1,1,0), (0,-1,1) \rangle = \langle (1,0,1), (0,1,-1) \rangle$ . We see that S is finitely generated.

## Sum of subspaces I

In what follows we shall be interested in "decomposing" a vector space into subspaces.

### Definition

Let V be a vector space over K and let  $S, T \leq V$ . We define the <u>sum</u> of the subspaces S and T as the set

$$S + T = \{s + t \mid s \in S, t \in T\}.$$

### Theorem

Let V be a vector space over K and  $S, T \leq V$ . Then  $S + T = \langle S \cup T \rangle$ , hence  $S + T \leq V$ .

**Proof.** We prove the equality by double inclusion.



## Sum of subspaces II

First, let  $v = s + t \in S + T$ , for some  $s \in S$  and  $t \in T$ . Then

$$v = 1 \cdot s + 1 \cdot t$$

is a linear combination of the vectors  $s, t \in S \cup T$ , hence  $v \in \langle S \cup T \rangle$ . Thus,  $S + T \subset \langle S \cup T \rangle$ . Now let  $v \in \langle S \cup T \rangle$ . Then

$$v = \sum_{i=1}^{n} k_i v_i = \sum_{i \in I} k_i v_i + \sum_{j \in J} k_j v_j$$

where  $I = \{i \in \{1, ..., n\} \mid v_i \in S\}$  and  $J = \{j \in \{1, \dots, n\} \mid v_i \in T \setminus S\}$ . But the first sum is a linear combination of vectors of S, hence it belongs to S, while the second sum is a linear combination of vectors of T. hence it belongs to T. Thus,  $v \in S + T$  and so  $\langle S \cup T \rangle \subset S + T$ .

# Direct sum of subspaces I

#### Definition

Let V be a vector space over K and let  $S, T \leq V$ . If  $S \cap T = \{0\}$ , then S + T is denoted by  $S \oplus T$  and is called the <u>direct sum</u> of the subspaces S and T.

#### Theorem

Let V be a vector space over K and let  $S, T \leq V$ . Then

$$V = S \oplus T \iff \forall v \in V, \exists ! s \in S, t \in T : v = s + t.$$

**Proof.**  $\Longrightarrow$  Assume that  $V = S \oplus T$ . Let  $v \in V$ . Then  $\exists s \in S$ ,  $t \in T$  such that v = s + t. Now suppose that  $\exists s' \in S$ ,  $t' \in T$  such that v = s' + t'. Then s + t = s' + t', whence

$$s - s' = t' - t \in S \cap T = \{0\}.$$



## Direct sum of subspaces II

Hence s = s' and t = t', that show the uniqueness.

Assume that  $\forall v \in V$ ,  $\exists ! s \in S$ ,  $t \in T$  such that v = s + t. Then  $V \subseteq S + T$ . Clearly, we have  $S + T \subseteq V$  and consequently V = S + T. Now suppose that  $0 \neq v \in S \cap T$ . Then

$$v = v + 0 = 0 + v \in S + T$$
.

But this is a contradiction, since we have the uniqueness of writing of v as a sum of an element of S and an element of T. Therefore,  $S \cap T = \{0\}$  and thus,  $V = S \oplus T$ .

### Example

Consider the canonical real vector space  $\mathbb{R}^2$ . Then  $\mathbb{R}^2 = S \oplus T$ , where  $S = \{(x,0) \mid x \in \mathbb{R}\}$  and  $T = \{(0,y) \mid y \in \mathbb{R}\}$ .



### Linear maps

EX: Let V and V be vector spaces over K and let  $f: V \ V$  be defined by  $f(v) = 0, v \ V$ . Then f is a K-linear map

#### Definition

Let V and V' be vector spaces over the same field K. A function  $f:V\to V'$  is called:

(1) (K-)linear map (or (vector space) homomorphism or linear transformation) if

$$f(v_1 + v_2) = f(v_1) + f(v_2), \quad \forall v_1, v_2 \in V,$$
  
$$f(kv) = kf(v), \quad \forall k \in K, \forall v \in V.$$

- (2) *isomorphism* if it is a bijective K-linear map.
- (3) endomorphism if it is a K-linear map and V = V'.
- (4) automorphism if it is a bijective K-linear map and V = V'.

## Properties of linear maps

If  $f: V \to V'$  is a K-linear map, then the first condition from its definition tells us that f is a group homomorphism between the groups (V,+) and (V',+). Then we have f(0)=0' and  $f(-v)=-f(v), \ \forall v \in V$ .

We denote by  $V \simeq V'$  the fact that two vector spaces V and V' are isomorphic. We also denote

$$\operatorname{Hom}_{\mathcal{K}}(V,V') = \{f : V \to V' \mid f \text{ is } K\text{-linear}\},$$

$$\operatorname{End}_{\mathcal{K}}(V) = \{f : V \to V \mid f \text{ is } K\text{-linear}\},$$

$$\operatorname{Aut}_{\mathcal{K}}(V) = \{f : V \to V \mid f \text{ is bijective } K\text{-linear}\}.$$

## Characterization of linear maps

### $\mathsf{Theorem}$

Let V and V' be vector spaces over K and  $f: V \to V'$ . Then f is a K-linear map  $\iff f(k_1v_1 + k_2v_2) = k_1f(v_1) + k_2f(v_2), \forall k_1, k_2 \in K, \forall v_1, v_2 \in V$ .

**Proof.**  $\Longrightarrow$  Let  $k_1, k_2 \in K$  and  $v_1, v_2 \in V$ . Then

$$f(k_1v_1+k_2v_2)=f(k_1v_1)+f(k_2v_2)=k_1f(v_1)+k_2f(v_2).$$

Choose  $k_1 = k_2 = 1$  and then  $k_2 = 0$  to get the two conditions of a K-linear map.



## Examples I

- (a) Let V and V' be vector spaces over K and let  $f: V \to V'$  be defined by f(v) = 0',  $\forall v \in V$ . Then f is a K-linear map, called the *trivial linear map*.
- (b) Let V be a vector space over K. Then the identity map  $1_V:V\to V$  is an automorphism of V.
- (c) Let V be a vector space and  $S \leq V$ . Define  $i: S \to V$  by i(v) = v,  $\forall v \in S$ . Then i is a K-linear map, called the *inclusion linear map*.
- (d) Let V be a vector space over K and  $a \in K$ . Define  $t_a : V \to V$  by  $t_a(v) = av$ ,  $\forall v \in V$ . Then  $t_a$  is an endomorphism of V.

### Examples II

(e) Let  $f: \mathbb{R}^2 \to \mathbb{R}$  be defined by f(x, y) = x + y. Then f is an  $\mathbb{R}$ -linear map, because we have

$$f(k_1(x_1, y_1) + k_2(x_2, y_2)) = f(k_1x_1 + k_2x_2, k_1y_1 + k_2y_2)$$

$$= (k_1x_1 + k_2x_2) + (k_1y_1 + k_2y_2)$$

$$= k_1(x_1 + y_1) + k_2(x_2 + y_2)$$

$$= k_1f(x_1, y_1) + k_2f(x_2, y_2)$$

for every  $k_1, k_2 \in K$  and for every  $(x_1, y_1), (x_2, y_2) \in \mathbb{R}^2$ . On the other hand,  $f : \mathbb{R}^2 \to \mathbb{R}$  defined by f(x, y) = xy is not an  $\mathbb{R}$ -linear map, because, for instance, we have

$$f((1,0)+(0,1))=f(1,1)=1\neq 0=f(1,0)+f(0,1).$$

(f) Let  $\theta \in \mathbb{R}$  and let  $f: \mathbb{R}^2 \to \mathbb{R}^2$  be defined by

$$f(x,y) = (x\cos\theta - y\sin\theta, x\sin\theta + y\cos\theta),$$

Linear maps Course 4

20

### Examples III

which is the counterclockwise rotation of angle  $\theta$  about the origin in the plane. Then f is an  $\mathbb{R}$ -linear map. In particular, for  $\theta = \frac{\pi}{2}$ , we have f(x,y) = (-y,x).

(g) For an interval  $I = [a, b] \subseteq \mathbb{R}$  we considered the real vector space

$$\mathbb{R}^I = \{ f \mid f : I \to \mathbb{R} \}$$

and its subspaces

$$C(I,\mathbb{R}) = \{ f \in \mathbb{R}^I \mid f \text{ continuous on } I \},$$
  
 $D(I,\mathbb{R}) = \{ f \in \mathbb{R}^I \mid f \text{ derivable on } I \}.$ 

Then

$$F:D(I,\mathbb{R}) \to \mathbb{R}^I, \quad F(f) = f',$$
  $G:C(I,\mathbb{R}) \to \mathbb{R}, \quad G(f) = \int_a^b f(t)dt,$ 

are  $\mathbb{R}$ -linear maps.

# Properties of linear maps

### Theorem

- (i) Let  $f: V \to V'$  be an isomorphism of vector spaces over K. Then  $f^{-1}: V' \to V$  is again an isomorphism of vector spaces over K.
- (ii) Let  $f:V\to V'$  and  $g:V'\to V''$  be K-linear maps. Then  $g\circ f:V\to V''$  is a K-linear map.

Proof. Homework.

# Kernel and image of a linear map

### Definition

Let  $f: V \to V'$  be a K-linear map. Then the set

$$\operatorname{Ker} f = \{ v \in V \mid f(v) = 0' \}$$

is called the  $\frac{kernel}{f}$  (or the  $null\ space$ ) of the K-linear map f and the set

$$\operatorname{Im} f = \{ f(v) \mid v \in V \}$$

is called the total range space (or the total range space) of the total K-linear map total range space.

# Kernel and image are subspaces

#### Theorem

Let  $f: V \to V'$  be a K-linear map. Then  $\operatorname{Ker} f \leq V$  and  $\operatorname{Im} f \leq V'$ .

**Proof.** We have f(0) = 0', hence  $0 \in \operatorname{Ker} f \neq \emptyset$ . Let  $k_1, k_2 \in K$  and  $v_1, v_2 \in \operatorname{Ker} f$ . We prove that  $k_1v_1 + k_2v_2 \in \operatorname{Ker} f$ . We have:

$$f(k_1v_1+k_2v_2)=k_1f(v_1)+k_2f(v_2)=k_1\cdot 0'+k_2\cdot 0'=0',$$

and thus  $k_1v_1 + k_2v_2 \in \operatorname{Ker} f$ . Hence  $\operatorname{Ker} f \leq V$ .

Now note that  $0'=f(0)\in \operatorname{Im} f\neq\emptyset$ . Let  $k_1,k_2\in K$  and  $v_1',v_2'\in \operatorname{Im} f$ . We prove that  $k_1v_1'+k_2v_2'\in \operatorname{Im} f$ . We have  $v_1'=f(v_1)$  and  $v_2'=f(v_2)$  for some  $v_1,v_2\in V$ . Then:

$$k_1v_1' + k_2v_2' = k_1f(v_1) + k_2f(v_2) = f(k_1v_1 + k_2v_2) \in \operatorname{Im} f.$$

Hence  $\operatorname{Im} f < V'$ .

### When is the kernel minimal?

#### Theorem

Let  $f: V \rightarrow V'$  be a K-linear map. Then

$$\operatorname{Ker} f = \{0\} \iff f \text{ is injective}.$$

**Proof.**  $\Longrightarrow$  Assume that  $\operatorname{Ker} f = \{0\}$ . Let  $v_1, v_2 \in V$  be such that  $f(v_1) = f(v_2)$ . Then  $f(v_1 - v_2) = f(v_1) - f(v_2) = 0'$ , hence  $v_1 - v_2 \in \operatorname{Ker} f = \{0\}$ , and thus  $v_1 = v_2$ . Therefore, f is injective.

Assume that f is injective. Clearly, we have  $\{0\} \subseteq \operatorname{Ker} f$ . Now let  $v \in \operatorname{Ker} f$ . Then f(v) = 0' = f(0). By the injectivity of f, we deduce that v = 0. Thus  $\operatorname{Ker} f \subseteq \{0\}$ , and consequently,  $\operatorname{Ker} f = \{0\}$ .

## Linear maps and generated subspaces

#### Theorem

Let  $f: V \to V'$  be a K-linear map and let  $X \subseteq V$ . Then

$$f(\langle X \rangle) = \langle f(X) \rangle$$
.

**Proof.** If  $X = \emptyset$ , then we have:

$$f(\langle \emptyset \rangle) = f(\{0\}) = \{f(0)\} = \{0'\} = \langle \emptyset \rangle = \langle f(\emptyset) \rangle.$$

If  $X \neq \emptyset$ , use

$$\langle X \rangle = \{k_1v_1 + \dots + k_nv_n \mid k_i \in K \,, \ v_i \in X \,, i = 1,\dots,n \,, \ n \in \mathbb{N}^*\}.$$

Since f is a K-linear map, it follows that:

$$f(\langle X \rangle) = \{ f(k_1 v_1 + \dots + k_n v_n) \mid k_i \in K, v_i \in X, i = 1, \dots, n, n \in \mathbb{N}^* \}$$
  
=  $\{ k_1 f(v_1) + \dots + k_n f(v_n) \mid k_i \in K, v_i \in X, i = 1, \dots, n, n \in \mathbb{N}^* \}$   
=  $\langle f(X) \rangle$ ,

which proves the result.



## The vector space of linear maps

#### $\mathsf{\Gamma}\mathsf{heorem}$

Let V and V' be vector spaces over K. Consider on  $\operatorname{Hom}_{\mathsf{K}}(V,V')$ the operations:  $\forall f, g \in \text{Hom}_K(V, V')$  and  $\forall k \in K$ ,  $f + g, k \cdot f \in \operatorname{Hom}_K(V, V')$ , where

$$(f+g)(v) = f(v) + g(v),$$
  
$$(kf)(v) = kf(v)$$

 $\forall v \in V$ . Then  $\operatorname{Hom}_K(V, V')$  is a vector space over K.

### Corollary

Let V be a vector space over K. Then  $\operatorname{End}_{\kappa}(V)$  is a vector space over K.

## Extra: Image crossfade I

A black-and-white image of (say)

$$n = 1024 \times 768$$

pixels can be viewed as a vector in the real canonical vector space  $\mathbb{R}^n$ , where each component of the vector is the intensity of the corresponding pixel.

Let us consider two vectors representing images:



Now consider the following intermediate images:

## Extra: Image crossfade II



The vectors corresponding to the above images are the following linear combinations of the vectors  $v_1$  and  $v_2$ :

$$v_1, \quad \frac{8}{9}v_1 + \frac{1}{9}v_2, \quad \frac{7}{9}v_1 + \frac{2}{9}v_2, \quad \frac{6}{9}v_1 + \frac{3}{9}v_2, \quad \frac{5}{9}v_1 + \frac{4}{9}v_2, \\ \frac{4}{9}v_1 + \frac{5}{9}v_2, \quad \frac{3}{9}v_1 + \frac{6}{9}v_2, \quad \frac{2}{9}v_1 + \frac{7}{9}v_2, \quad \frac{1}{9}v_1 + \frac{8}{9}v_2, \quad v_2.$$

One may use these images as frames in a video in order to get a crossfade effect.

Linear maps Course 4

29