Computational homework

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Simulink-based implementation of an M-QAM modem

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Problem 1 Develop a Matlab function that calculates a bit sequence in Gray encoding. It must input the number of bits (an integer value) and output the bit sequence. For example, for a sequence of 3 bits, your function must return

	>> get_gray_codes(3)												
ans	=												
	0	1	1	0	0	1	1	0					
	0	0	1	1	1	1	0	0					
	0	0	0	0	1	1	1	1					

where each column corresponds to a sequence (from the left to the right) of 3 bits in Gray encoding. The first row is the least significant bit (LSB) and the last row is the most significant bit (MSB).

Tips:

1. Before generating the Gray encoding, first generate the bit sequence without any encoding, i.e.,

>	>> orig	ginal									
original =											
0	1	0	1	0	1	0	1				
0	0	1	1	0	0	1	1				
0	0	0	0	1	1	1	1				

2. Transforming the uncoded bit sequence into Gray encoding is easier if you use a recursive function. See here.

Note: For the following problems, use the Simulink template provided by the professor.

1 Baseband modulator

Problem 2 Generate a bit stream with the following parameters:

- Bit rate: $R_b = 10 \,\mathrm{Mbit/s}$.
- Equiprobable bits.

Problem 3 Use a block called "Matlab function" to create a function that maps a stream of $N_b = \log_2(M)$ bits to a complex symbol from an alphabet of M = 256 symbols. The real and imaginary part of each constellation point must be spaced as Proakis suggests, i.e., $\{\pm 1, \pm 3, \ldots, \pm \sqrt{M}\}$. Moreover, the mapping of the real/imaginary part of the complex symbol must be in the Gray encoding sequence (see [3] for more info).

- (a) What is the new operating rate of the system?
- (b) Use a block called "Gain" to apply a gain of $\sqrt{E_g}$ to the complex symbol outputs, where $E_g=9$.
- (c) By using a block called "Constellation Diagram", show that each point in the constellation is now in the form $\sqrt{E_g}A_m$, where $A_m = A_{mi} + jA_{mq}$ is the constellation for the *m*th symbol and that $A_{mi}, A_{mq} \in \left\{\pm 1, \pm 3, \ldots, \pm \sqrt{M}\right\}$. Note that the *k*th transmitted symbol can be represented by the vector

$$\mathbf{s}_{m}\left[k\right] = \begin{bmatrix} \sqrt{E_{g}} A_{mi} & \sqrt{E_{g}} A_{mq} \end{bmatrix}^{\top} \in \mathbb{R}^{2} \tag{1}$$

Tips:

- 1. Use the "Buffer" block to vectorize the bit stream to N_b symbols and use it as input of the "Matlab function".
- 2. You can pass a matrix of the sequence of bits with Gray encoding to the "Matlab function" block. You can use your function developed in Problem 1 to generate this matrix.

Problem 4 Pass your symbol stream through the "Raised Cosine Filter" block with the following parameters

- Roll-off factor: 0.2.
- Filter span (in symbols): 4.
- Number of samples per symbol: N = 100 samples.

The filter gain must be adjusted so that the energy of the impulse response is 1. In the "Raised Cosine Filter" block, you can obtain the impulse response by exporting the filter coefficients to the workspace. You can use the stem() function to plot the impulse response samples. Use the function trapz() to perform a numerical integration via the 1th-order Newton-Cotes formula (i.e., the trapezoidal method). By integrating the square of the impulse response, you obtain its energy. If the result is I, you can normalize it by adjusting the filter gain to $1/\sqrt{I}$.

Tips:

- 1. In fact, the energy of the baseband (BB) pulse shaping was already included previously and separately with the "Gain" block $\sqrt{E_g}$.
- (a) What is the new operating rate of the system? Why has the operating rate changed to this value?
- (b) Check if the raised cosine filter obeys the Nyquist criterion for non-intersymbol interference (ISI). Use the "scope" block to prove your answer graphically.

2 Upconverter

Problem 5 Use a block called "sine wave" (from the DSP system toolbox) with the following parameters

• Amplitude: $\sqrt{2}$;

- Frequency: $f_c = \frac{1}{4T_s}$, where T_s is the sampling interval of the baseband signal;
- Sample mode: Discrete;
- Output complexity: Complex;

The sampling rate must be consistent with the sampling rate of your system. Let us denote the BB pulse shaping (i.e., the raised cosine filter impulse response) of your system as $g(t) \in \mathbb{R}$, whose energy is denoted as E_g . Then the pre-envelope (or analytic signal) of the mth symbol is

$$s_{m+}(t) = s_{ml}(t) e^{j2\pi f_c t} \in \mathbb{C},$$
 (2)

where $s_{ml}(t) = A_m g(t) \in \mathbb{C}$ is the complex envelope (or lowpass equivalent) of the real-valued transmitted signal for the mth symbol,

$$s_m(t) = \sqrt{2} \operatorname{Re} \left\{ s_{ml}(t) e^{j2\pi f_c t} \right\}. \tag{3}$$

The pre-envelope has important properties concerning the spectrum of the transmitted signal. The output of the block named "sine wave" should exactly be the discrete-time version of the pre-envelope discussed in the literature.

- (a) Make a mathematical analysis of this signal and use the "Spectrum Analyzer" block to compare the spectrum obtained in Simulink with the theoretical spectrum. Good references can be found in [1-3]
 - (b) Repeat the item (a) for the spectrum of the complex envelope.
- (c) Use a block called "Complex to real-imag" to obtain the real part of the analytic signal and repeat the item (a) for the transmitted signal.
- (d) Based on [3, Equation (3.2-42)], the average energy of the transmitted signal (i.e., the equation (3)) is¹

$$E_s = \frac{2(M-1)}{3} E_g \tag{4}$$

For M=256 and $E_g=5$, we have that $E_s=850$.

In reality, the transmitted signal is a stochastic process and therefore is seen as a power signal since its energy would be infinity. However, the energy expressed in (4) does not refer to the energy in its original sense, i.e.,

$$E_s = \lim_{T \to \infty} \int_{-\frac{T}{2}}^{\frac{T}{2}} |s_m(t)|^2 dt.$$
 (5)

¹Proakis does not normalize the carrier energy by the $\sqrt{2}$ factor. Therefore, the average energy in his book is half of what we are using here.

Rather, the average energy refers to the ratio between the average power,

$$P_{s} = \lim_{T \to \infty} \frac{1}{T} \int_{-\frac{T}{2}}^{\frac{T}{2}} |s_{m}(t)|^{2} dt, \qquad (6)$$

and the symbol interval, T. Therefore,

$$E_s = \frac{P_s}{T}. (7)$$

The equation (6) converges and can be estimated in Simulink by using the block called:

- 1. "Square" to take the square value of the transmitted signal.
- 2. "Discrete-time integrator" to numerically integrate $|s_m(t)|^2$.
- 3. "Clock" to obtain a source of the running simulation time.
- 4. "Divide" to divide the integration by the running simulation time.

Finally, use the block named "gain" to multiply the resulting signal to T and show that the average energy of the transmitted signal is approximately 850.

References

- [1] Umberto Mengali. Synchronization Techniques for Digital Receivers. Springer Science & Business Media, 1997.
- [2] Heinrich Meyr, Marc Moeneclaey, and Stefan A. Fechtel. *Digital Communication Receivers: Synchronization, Channel Estimation, and Signal Processing.* Vol. 444. Wiley Online Library, 1998.
- [3] John Proakis and Masoud Salehi. *Digital Communications*. 5th ed. edição. Boston: Mc Graw Hill, Jan. 1, 2007. ISBN: 978-0-07-295716-7.