

# State-Space Planning

## Lecture 7

# Outline

- 1 Planning
- 2 Classical Planning
- 3 State-Space Planning

# Acknowledgement

This part of the course is primarily based on material from Ghallab, Nau, and Traverso (2004). *Automated Planning: Theory and Practice*. Elsevier.  
and on the slides copyrighted by Stuart Russell and Peter Norvig.

# Outline

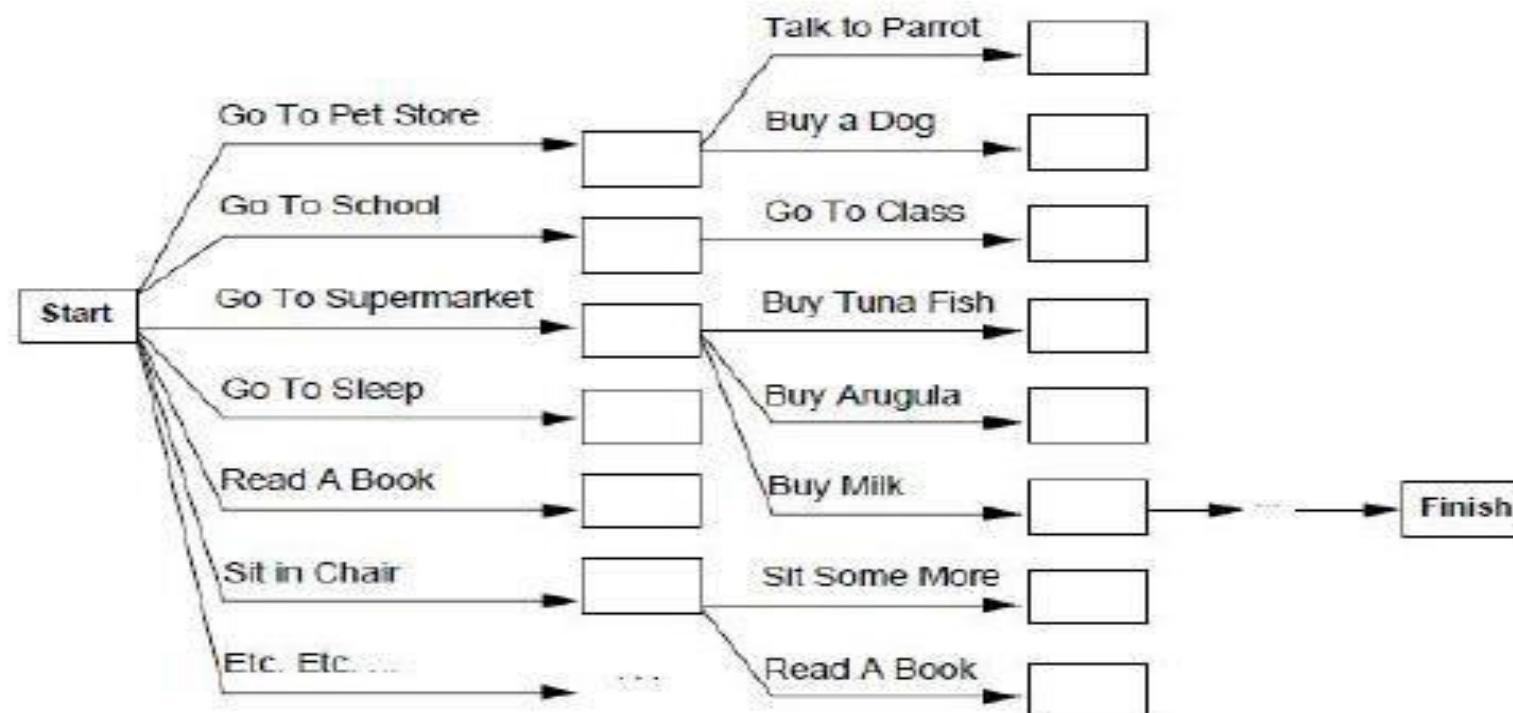
1 Planning

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# How Far Can Search Take Us?

Consider the task *Get milk, bananas, and a cordless drill.*



# Search vs. Planning

	<b>Search</b>	<b>Planning</b>
<b>States</b>	Data structures	Logical sentences
<b>Actions</b>	Programs	Preconditions and effects
<b>Goal</b>	Program for goal test	Logical sentence
<b>Plan</b>	Sequence from initial state	Constraints on actions

# Key Ideas Behind Planning

## Russell and Norvig:

- ① Planning *opens up* the representation of states, goals, and actions.
  - The planner can make direct connections between actions and states.
- ② The planner is free to add actions to the plan whenever they are needed, rather than in an incremental sequence starting at the initial state.
  - Decide to buy milk even before deciding how and where from.
- ③ Most parts of the world are independent of most other parts.
  - Divide-and-conquer to achieve conjunctive goals.

# Dynamic Systems

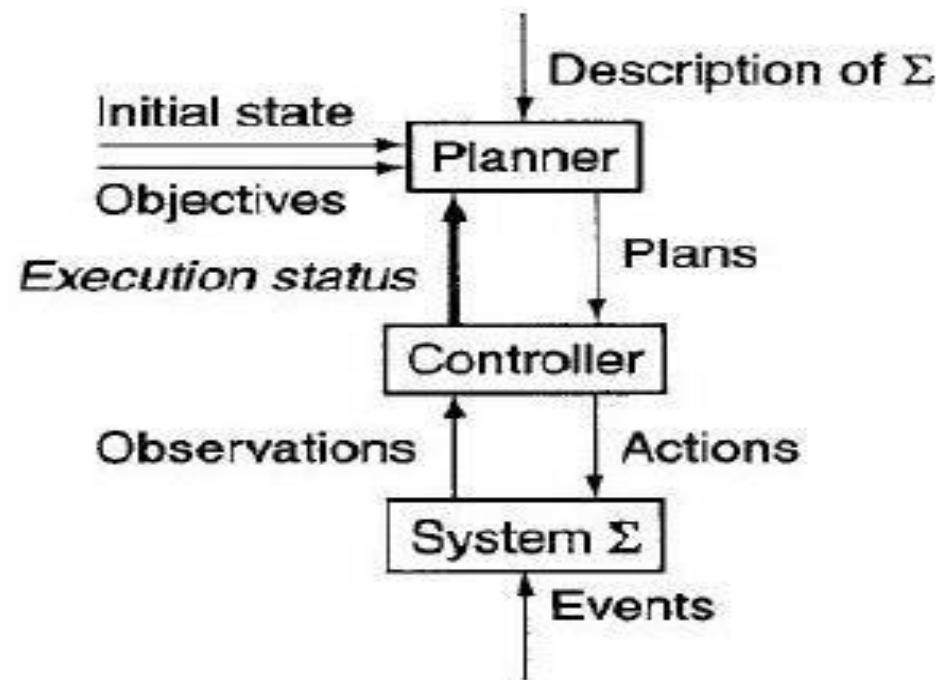
A model for planning requires a general model for a *dynamic system*.

## Definition (Ghallab et al., 2004)

A **state transition system** is a 4-tuple  $\Sigma = (S, A, E, \gamma)$ , where

- ①  $S = \{s_1, s_2, \dots\}$  is a finite or recursively-enumerable set of states;
- ②  $A = \{a_1, a_2, \dots\}$  is a finite or recursively-enumerable set of actions,  $\text{no-op} \in A$  stands for no action;
- ③  $E = \{e_1, e_2, \dots\}$  is a finite or recursively-enumerable set of events,  $\epsilon \in E$  stands for no event; and
- ④  $\gamma : S \times A \times E \longrightarrow 2^S$  is a state transition function.

# A Conceptual Model for Dynamic Planning



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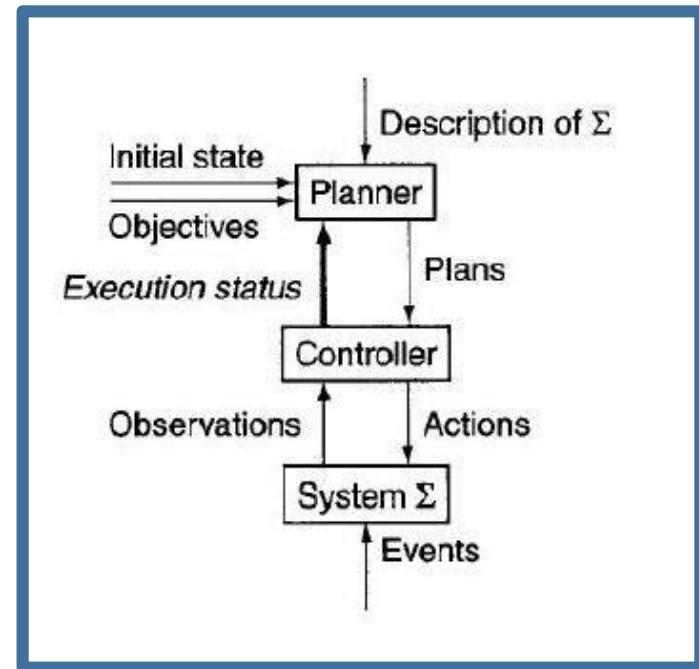
# A Simple Planning Agent

```

function PLANNING-AGENT(percept) returns action
  static: KB
    t //A counter, initially 0
    p //A plan, initially NoPlan
    q //A plan, initially NoPlan
    G //A goal

    TELL(KB, MAKE-PERCEPT-SENTENCE(percept, t))
    current  $\leftarrow$  STATE-DESCRIPTION(KB, t)
    if p = NoPlan then
      p  $\leftarrow$  PLANNER(current, G, KB)
      q  $\leftarrow$  p
      if p = NoPlan or p is empty then return NoOp
    if p is not valid given KB then
      p  $\leftarrow$  REPLAN(current, p, q)
      q  $\leftarrow$  p
    action  $\leftarrow$  FIRST(p)
    p  $\leftarrow$  REST(p)
    TELL(KB, MAKE-ACTION-SENTENCE(action, t))
    t  $\leftarrow$  t + 1
  return action

```



# Outline

1 Planning

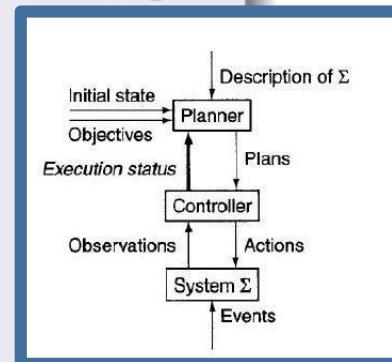
2 Classical Planning

3 State-Space Planning

# The Restricted Model

We make the following assumptions.

- ①  $\Sigma$  is finite.  $S$ ,  $A$ , and  $E$  are finite.
- ②  $\Sigma$  is **fully observable**. Observations provide complete knowledge of the actual state.
- ③  $\Sigma$  is **deterministic**. The range of  $\gamma$  is  $S$ , not  $2^S$ .
- ④  $\Sigma$  is static.  $E = \{\epsilon\}$ ; we drop the third argument of  $\gamma$ .
- ⑤ **Restricted goals**. The objective is a goal to be achieved.
- ⑥ **Sequential plans**. A solution plan is a linearly ordered finite sequence of actions.
- ⑦ **Implicit time**. Actions and events have no duration.
- ⑧ **Offline planning**. The planner is not concerned with any change that may occur in  $\Sigma$  while it is planning.



# Classical Planning Problems

- In classical planning, we consider a restricted state transition system  $\Sigma = (S, A, \gamma)$ .
  - What happened to  $E$ ?
- A **planning problem** is a triple  $\mathcal{P} = (\Sigma, s_0, g)$ , where  $\Sigma = (S, A, \gamma)$ ,  $s_0 \in S$  is the initial state, and  $g$  is the goal.
- A **solution** to  $\mathcal{P}$  is a sequence of actions  $(a_1, a_2, \dots, a_{k-1}, a_k)$  such that

$$\gamma(\gamma(\dots \gamma(\gamma(s_0, a_1), a_2), \dots, a_{k-1}), a_k) \text{ satisfies } g$$

# Issues in Classical Planning

- ➊ How to represent the states and the actions in a way that does not explicitly enumerate  $S$ ,  $A$ , and  $\gamma$ .
- ➋ How to perform the search for a solution efficiently: which search space, which algorithm, and what heuristics and control techniques to use for finding a solution.

# Classical Representation: States

We consider a first-order language  $\mathcal{L}$  with finitely-many predicate symbols, finitely-many constants, countably infinite variables, and *no* function symbols.

## Definition

- A **state** is a set of **ground atoms** of  $\mathcal{L}$ .
- An atom  $p$  **holds** in a state  $s$  if  $p \in s$ .
- An atom  $p$  **does not hold** in  $s$  if  $p \notin s$ .
  - This is known as the the **closed world assumption**.
- If  $g$  is a set of literals (atoms and negated atoms), we say that  $s$  **satisfies**  $g$  (denoted  $s \models g$ ) if there is a substitution  $\sigma$  such that, for every positive literal  $p \in g$ ,  $\text{SUBST}(\sigma, p) \in s$  and, for every negative literal  $\neg n \in g$ ,  $\text{SUBST}(\sigma, n) \notin s$ .

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$$s = \{P(a,b), Q(b,c)\}$$

$$g = \{P(x,b), \neg Q(x,c)\}$$

$$\theta = \{a/x\}$$

$$\text{SUBST}(\theta, g) = \{P(a,b), \neg Q(a,c)\}$$



# Classical Representation: Rigid Relations

- **Rigid relations** do not change with time.
- Examples include categories of objects, locations of unmovable objects, etc.
- Rigid relations are assumed to hold in every state.

# Classical Representation: Operators

## Definition

A **planning operator** is a triple  $o = (\text{name}(o), \text{precond}(o), \text{effects}(o))$ , where

- **name( $o$ )** is a syntactic expression of the form  $n(x_1, x_2, \dots, x_k)$ , where  $x_1, x_2, \dots, x_k$  are variables and  $n$  is a unique symbol;
- **precond( $o$ )** and **effects( $o$ )** are sets of literals, denoting the **preconditions** and **effects** of  $o$ , respectively; and
- **precond<sup>+</sup>( $o$ )** and **precond<sup>-</sup>( $o$ )** are the sets of literals appearing, respectively, positively and negatively in precond( $o$ ). Similarly for **effects<sup>+</sup>( $o$ )** and **effects<sup>-</sup>( $o$ )**.

# Classical Representation: Actions

## Definition

- An **action** is any ground instance of a planning operator.
- An action  $a$  is **applicable** to a state  $s$  if  $\text{precond}^+(a) \subseteq s$  and  $\text{precond}^-(a) \cap s = \emptyset$ .
- If  $a$  is applicable to  $s$ , we let

$$\gamma(s, a) = (s - \text{effects}^-(a)) \cup \text{effects}^+(a)$$

*This way, we can define a planning problem as  $(O, s_0, g)$ , where  $O$  is the set of planning operators, instead of  $(\Sigma, s_0, g)$ .*

# Classical Representation: Goals and Solutions

## Definition

- A **goal** is a set  $g$  of  $\mathcal{L}$  literals.
  - Note that  $g \not\subseteq S$ .
- A plan  $P = (a_1, a_2, \dots, a_k)$  is a **solution** of a planning problem  $(O, s_0, g)$  if
  - ①  $a_i$  is a ground instance of some  $o \in O$ ; and
  - ② the state

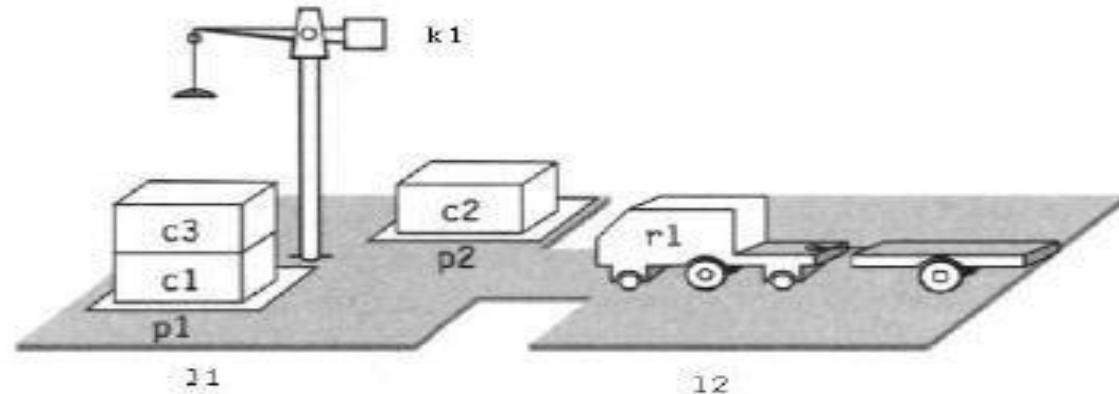
$$\gamma(\gamma(\dots \gamma(\gamma(s_0, a_1), a_2), \dots, a_{k-1}), a_k)$$

satisfies  $g$  (as indicated before ).

## Running Example: Dock-Worker Robots

- The domain of Dock-worker robots (DWR) from Ghallab et al. (2004) represents a harbor served by robots to load, unload, and move containers. In particular, we have
  - ❶ a set of locations  $\{l_1, l_2, \dots\}$ ;
  - ❷ a set of robots  $\{r_1, r_2, \dots\}$ , each can be loaded and can transport one container at a time to any adjacent location;
  - ❸ a set of cranes  $\{k_1, k_2, \dots\}$ , which cannot move;
  - ❹ a set of containers  $\{c_1, c_2, \dots\}$ , which can be loaded and unloaded using cranes and can be transported using robots; and
  - ❺ a set of piles  $\{p_1, p_2, \dots\}$ , which are fixed areas attached to locations each containing a stack of containers.

## DWR: Sample State



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 $s_1 =$ 

```
{attached(p1, l1), attached(p2, l1), in(c1, p1), in(c3, p1), top(c3, p1),
on(c3, c1), on(c1, pallet), in(c2, p2), top(c2, p2), on(c2, pallet),
belong(k1, l1), empty(k1), adj(l1, l2), adj(l2, l1), at(r1, l2),
occupied(l2), unloaded(r1)}
```

Note that *belong(k1, l1)*, *adj(l1, l2)*, and *adj(l2, l1)* are rigid.

# DWR: Sample Operators

- *move( $r, l, m$ ):* robot  $r$  moves from location  $l$  to an adjacent location  $m$

Preconditions:  $adj(l, m)$ ,  $at(r, l)$ ,  $\neg occupied(m)$

Effects:  $at(r, m)$ ,  $occupied(m)$ ,  $\neg occupied(l)$ ,  $\neg at(r, l)$

- *load( $k, l, c, r$ ):* crane  $k$  at location  $l$  loads container  $c$  onto robot  $r$ .

Preconditions:  $belong(k, l)$ ,  $holding(k, c)$ ,  $at(r, l)$ ,  $unloaded(r)$

Effects:  $empty(k)$ ,  $\neg holding(k, c)$ ,  $loaded(r, c)$ ,  $\neg unloaded(r)$

- *unload( $k, l, c, r$ ):* crane  $k$  at location  $l$  takes container  $c$  from robot  $r$ .

Preconditions:  $belong(k, l)$ ,  $at(r, l)$ ,  $loaded(r, c)$ ,  $empty(k)$

Effects:  $\neg empty(k)$ ,  $holding(k, c)$ ,  $unloaded(r)$ ,  $\neg loaded(r, c)$

- *put( $k, l, c, d, p$ ):* crane  $k$  at location  $l$  puts  $c$  onto  $d$  in pile  $p$ .

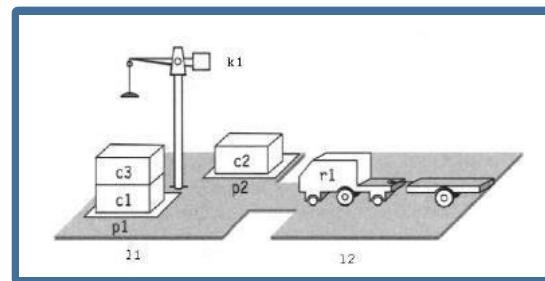
Preconditions:  $belong(k, l)$ ,  $attached(p, l)$ ,  $holding(k, c)$ ,  $top(d, p)$

Effects:  $\neg holding(k, c)$ ,  $empty(k)$ ,  $in(c, p)$ ,  $top(c, p)$ ,  $on(c, d)$ ,  $\neg top(d, p)$

- *take( $k, l, c, d, p$ ):* crane  $k$  at location  $l$  takes  $c$  off of  $d$  in pile  $p$ .

Preconditions:  $belong(k, l)$ ,  $attached(p, l)$ ,  $empty(k)$ ,  $top(c, p)$ ,  $on(c, d)$

Effects:  $holding(k, c)$ ,  $\neg empty(k)$ ,  $\neg in(c, p)$ ,  $\neg top(c, p)$ ,  $\neg on(c, d)$ ,  $top(d, p)$

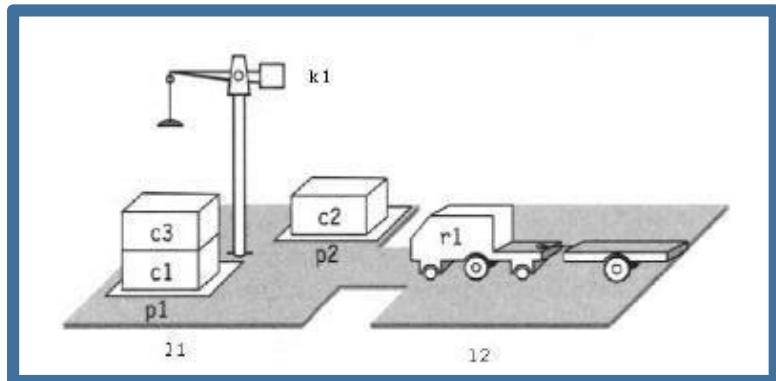


## DWR: Sample Action

$\text{name}(a_1)$ : *take*( $k1, l1, c3, c1, p1$ ).

$\text{precond}(a_1)$ : *belong*( $k1, l1$ ), *attached*( $p1, l1$ ),  
*empty*( $k1$ ), *top*(  $c3, p1$  ), *on*(  $c3, c1$  )

$\text{effects}(a_1)$ : *holding*( $k1, c1$ ),  $\neg \text{empty}(k1)$ ,  $\neg \text{in}(c1, p1)$ ,  
 $\neg \text{top}(c1, p1)$ ,  $\neg \text{on}( c3, c1 )$ , *top*(  $c1, p1$  )



- Note that  $a_1$  is applicable to  $s_1$ .
- What is  $\gamma(a_1, s_1)$ ?

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# Progression State-Space Planning

- Progression planning reduces a classical planning problem, in a straightforward way, to a search problem from the initial state to a goal state.
- Typically, planning algorithms are described as non-deterministic algorithms.
- Not efficient, in general.
  - Recall the milk, banana, and cordless drill example.

# Progression Planning: The Algorithm

**function** PROGRESSION-PLANNER( $O, s_0, g$ ) **returns** a plan

$s \leftarrow s_0$

$\pi = ()$

**loop**

**if**  $s$  satisfies  $g$ , **then return**  $\pi$

$app = \{a | a \text{ is a ground instance of some } o \in O \text{ which is applicable to } s\}$

**if**  $app = \emptyset$  **then return** *failure*

**nondeterministically choose**  $a \in app$

$s \leftarrow \gamma(s, a)$

$\pi \leftarrow \pi \cdot a$

# Regression State-Space Planning

- Regression planning reduces the planning problem to a search problem from a goal state back to the initial state.
- It depends on the following notions.

## Definition

- ① Let  $g$  be a set of literals. An operator-substitution pair  $(o, \sigma)$  is relevant for  $g$  if
  - ①  $\text{SUBST}(\sigma, g) \cap \text{effects}(\text{SUBST}(\sigma, o)) \neq \emptyset$ ;
  - ②  $\text{SUBST}(\sigma, g^+) \cap \text{effects}^-(\text{SUBST}(\sigma, o)) = \emptyset$ ; and
  - ③  $\text{SUBST}(\sigma, g^-) \cap \text{effects}^+(\text{SUBST}(\sigma, o)) = \emptyset$ .
- ② If  $(o, \sigma)$  is relevant for  $g$ , let

$$\begin{aligned}\gamma^{-1}(g, (o, \sigma)) &= (\text{SUBST}(\sigma, g) - \text{effects}(\text{SUBST}(\sigma, o))) \\ &\quad \cup \text{precond}(\text{SUBST}(\sigma, o))\end{aligned}$$

# Regression as Search

Regression planning defines a search problem for  $P = (O, s_0, g)$  where

- a state is a set of literals;
- the initial state is  $g$ ;
- the goal test on a state  $g'$  checks if  $s_0$  satisfies  $g'$ ;
- the operators used to expand a state  $g'$  are all operator-substitution pairs relevant to  $g'$ ; and
- the state-successor function is  $\gamma^{-1}$ .

# Regression Planning: The Algorithm

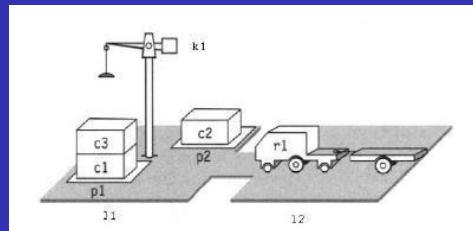
```
function REGRESSION-PLANNER( $O, s_0, g$ ) returns a plan
     $\pi = ()$ 
    loop
        if  $s_0$  satisfies  $g$  with substitution  $\sigma$ , then return SUBST( $\sigma, \pi$ )
         $rel = \{(o, \sigma) | (o, \sigma)$  is relevant for  $g\}$ 
        if  $rel = \emptyset$  then return failure
        nondeterministically choose  $(o, \sigma) \in rel$ 
         $g \leftarrow \gamma^{-1}(g, (o, \sigma))$ 
         $\pi \leftarrow \text{SUBST}(\sigma, o) \cdot \text{SUBST}(\sigma, \pi)$ 
```

# DWR Regression

## Example

Use regression planning to solve the DWR problem where the initial state is  $s_1$  (▶) and the goal is  $\{loaded(r1, c3)\}$

# DWR Regression: Solution



## Example

<u>State</u>	<u>SUBST(<math>\sigma, \mathbf{o}</math>)</u>
$\{loaded(r1, c3)\}$	$load(k, l, c3, r1)$
$\{belong(k, l), holding(k, c3), at(r1, l), unloaded(r1)\}$	$move(r1, m, l)$
$\{belong(k, l), holding(k, c3), at(r1, m), unloaded(r1)$ $adj(m, l), \neg occupied(l)\}$	$take(k, l, c3, d, p)$
$\{belong(k, l), at(r1, m), unloaded(r1), adj(m, l),$ $\neg occupied(l), attached(p, l), empty(k),$ $top(c3, p), on(c3, d)\}$	

- With  $\sigma = \{k1/k, p1/p, l1/l, c1/d, l2/m\}$ ,  $s_1$  satisfies the last state.
- Hence,  
 $\pi = (take(k1, l1, c3, c1, p1), move(r1, l2, l1), load(k1, l1, c3, r1))$ .

# To Be Safe

*When applying a relevant operator, always equip the operator with a fresh set of variables.*

## Example

- $g = \{at(r, l), at(r', l'), \dots\}$
- Applying  $move(r', m, l)$  replaces (among other things)  $at(r', l')$  with  $at(r', m)$ .
- Now, applying  $move(r, m, l)$  replaces (among other things)  $at(r, l)$  with  $at(r, m)$ .
- But the locations of  $r$  and  $r'$  need not be the same!