

gdess: A framework for evaluating simulated atmospheric CO₂ in Earth System Models

Daniel E. Kaufman¹, Sha Feng², Katherine V. Calvin¹, Bryce E. Harrop², and Susannah M. Burrows²

¹ Joint Global Change Research Institute, Pacific Northwest National Laboratory, College Park, MD, USA ² Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland, WA, USA

DOI: [10.21105/joss.03690](https://doi.org/10.21105/joss.03690)

Software

- [Review](#) ↗
- [Repository](#) ↗
- [Archive](#) ↗

Editor: [Pending Editor](#) ↗

Submitted: 03 September 2021

Published: 12 September 2021

License

Authors of papers retain copyright and release the work under a Creative Commons Attribution 4.0 International License ([CC BY 4.0](#)).

Summary

Atmospheric carbon dioxide (CO₂) plays a key role in the global carbon cycle and global warming. Climate-carbon feedbacks are often studied and estimated using Earth System Models (ESMs), which couple together multiple model components—including the atmosphere, ocean, terrestrial biosphere, and cryosphere—to jointly simulate mass and energy exchanges within and between these components. Despite tremendous advances, model intercomparisons and benchmarking are aspects of ESMs that warrant further improvement (Fer et al., 2021; Smith et al., 2014). Such benchmarking is critical because comparing the value of state variables in these simulations against observed values provides evidence for appropriately refining model components; moreover, researchers can learn much about Earth system dynamics in the process (Randall et al., 2019).

We introduce gdess (a.k.a., Greenhouse gas Diagnostics for Earth System Simulations), which parses observational datasets and ESM simulation output, combines them to be in a consistent structure, computes statistical metrics, and generates diagnostic visualizations. In its current incarnation, gdess facilitates evaluating a model's ability to reproduce observed temporal and spatial variations of atmospheric CO₂. The diagnostics implemented modularly in gdess support more rapid assessment and improvement of model-simulated global CO₂ sources and sinks associated with land and ocean ecosystem processes. We intend for this set of automated diagnostics to form an extensible, open source framework for future comparisons of simulated and observed concentrations of various greenhouse gases across Earth system models.

Statement of need

Thorough evaluation of simulated atmospheric CO₂ concentrations—by comparing against observations—requires multiple diagnostics, metrics, and visualizations. During the past decade, such evaluations have utilized certain common methods, such as aggregating in situ measurements into latitude bands and detrending of multidecadal time series to investigate seasonal cycles (Chevallier et al., 2019; Jing et al., 2018; Keppel-Aleks et al., 2013; Liptak et al., 2017; Ott et al., 2015; Weir et al., 2021). However, the construction of diagnostics used in these evaluations has not been automated in an open-source tool available to the broader atmospheric modeling community. Thus, each modeling or analysis team has had to decide on and code their own preferred set of diagnostics, resulting in redundancies and potential inconsistencies among efforts.

Several software packages have been developed to streamline the application of diagnostics for ESM benchmarking. These tools share related functionality with gdess, and some have

41 directly inspired the `gdess` design and our development approach. For example, the ESM Eval-
42 uation Tool (ESMValTool; Eyring, Righi, et al. (2016); Eyring et al. (2020)) has been used
43 to generate specific figures from the literature—including a comparison of column-averaged
44 CO₂ values as performed by Gier et al. (2020)—but does not provide for tailored processing
45 of varied CO₂ data sources. We adopted the term *recipe* from its use by ESMValTool. The
46 International Land Model Benchmarking (ILAMB) System (Collier et al., 2018) excels at in-
47 tercomparisons between multiple land models and has been used to benchmark inferred CO₂
48 concentrations against surface station measurements (Wu et al., 2020). In contrast to `gdess`,
49 ILAMB provides the means to evaluate emulated results but not prognostic simulations for
50 CO₂ (Keppel-Aleks, 2021).

51 Design and data sources

52 `gdess` is written in Python (“version 3”; Python Core Team, 2015; Van Rossum & Drake,
53 2009). A comprehensive readme file and docstrings throughout the open source codebase
54 (<https://github.com/E3SM-Project/gdess>) provide documentation and guidance, and Con-
55 tinuous Integration tests facilitate further code development and maintenance. Data variables
56 are represented and handled in memory using `xarray`, an open-source Python package for
57 working with labeled multi-dimensional arrays (Hoyer & Hamman, 2017).

58 As shown in Figure 1, `gdess` is organized into modular components. A *Collection* class
59 encapsulates source-specific attributes and methods for each data source (described below)
60 and each *Collection* inherits common attributes from a parent *Multiset* class. Each diagnostic
61 recipe, defined in a separate module file (e.g., `surface_trends.py`), instantiates and uses
62 *Collection* objects to handle the loading and pre-processing of data. Additionally, visualization
63 functions (e.g., time-series, annual cycles) are accessible from any instance of a *Collection* or
64 *Multiset* so that data sources can be inspected individually—i.e., without the need to run one
65 of the comparative diagnostic recipes.

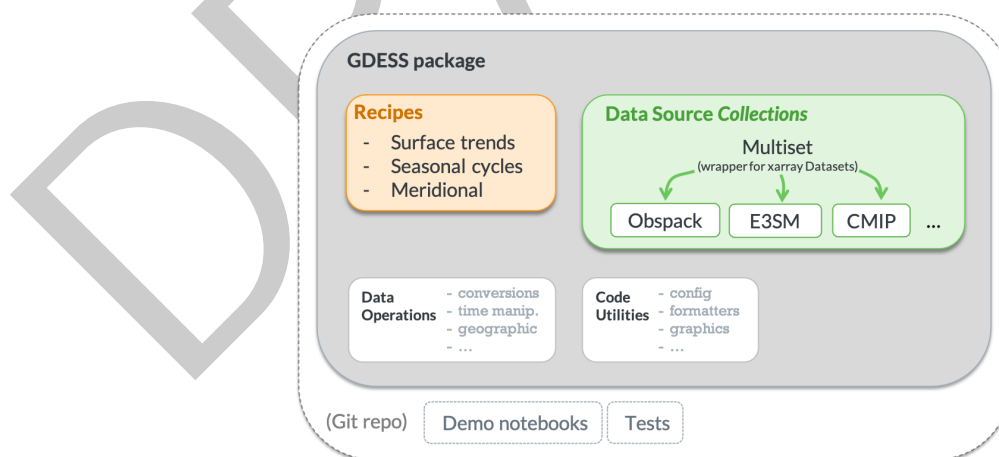


Figure 1: Schematic of the `gdess` code structure.

66 `gdess` can process data from three sources: Globalview+, CMIP, and E3SM. Data from surface
67 observing stations must be retrieved from the NOAA Global Monitoring Laboratory (GML)
68 Globalview+ version 6.0 Observation package (Obspack; Schuldt et al. (2020); Masarie et al.
69 (2014)). In situ and flask measurements can be used from approximately 200 stations whose
70 data in Obspack spans at least a 12 month period (Figure 2).

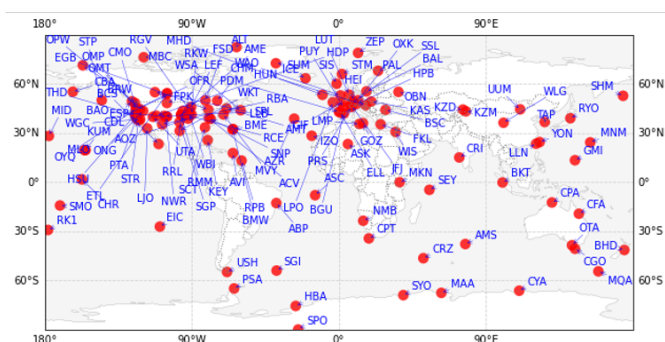


Figure 2: Global map showing surface observing station locations (red circles) and their three-letter site codes, as recorded in Obspack and used in gdess.

We distinguish between the model results from two different sources: (i) simulations by the Energy Exascale Earth System Model (E3SM), and (ii) other Earth system models participating in the latest, Version 6, Coupled Model Intercomparison Project (CMIP6). E3SM is a global modeling system composed of multiple coupled subcomponent models: atmosphere, ocean, land, ice (Burrows et al., 2020; Golaz et al., 2019). In this study, our focus is on evaluating CO₂ mole fractions in the atmospheric component, which is called the E3SM atmosphere model (EAM) and which has been described in detail by Rasch et al. (2019).

CMIP6 organizes the setup, experimental design, and intercomparisons of simulations performed using numerous global climate models. Data from CMIP6 are accessed either via locally stored files—downloaded directly from Earth System Grid Federation (ESGF) data nodes—or programmatically via the *intake-esm* package, which is a gdess dependency maintained as part of the *Pangeo* project. By default, comparisons in gdess use data from the ‘esm-hist’ experiment, which contains CO₂ emission-driven simulations that span the period of 1850 to 2014—i.e., an “all-forcing simulation of the recent past with atmospheric CO₂ concentration calculated” (Eyring, Bony, et al., 2016). We expect model output from any CMIP6 experiment could be used by specifying the appropriate data identifier or file location, although additional testing would be needed to confirm expected behavior.

Functionality

This section describes and provides example output from the three diagnostic recipes implemented in gdess. These recipes can be initiated either from a terminal or from within a running Python kernel. The command-line interface consists of the gdess command, followed by the type of recipe, and then options for each recipe—e.g., which observing station(s) to use for comparison. Within a Python kernel, options are specified via a dictionary object.

Multidecadal trend

Skillful simulation of the historical multidecadal trend in atmospheric CO₂ is a necessary condition for an ESM to be an effective tool for conducting climatological projections and analyses. The research questions one might address with this diagnostic recipe (see example output in Figure 3) include: What are the long-term biases in the model simulation? How does the simulated increase in CO₂ mixing ratios compare to surface measurements?

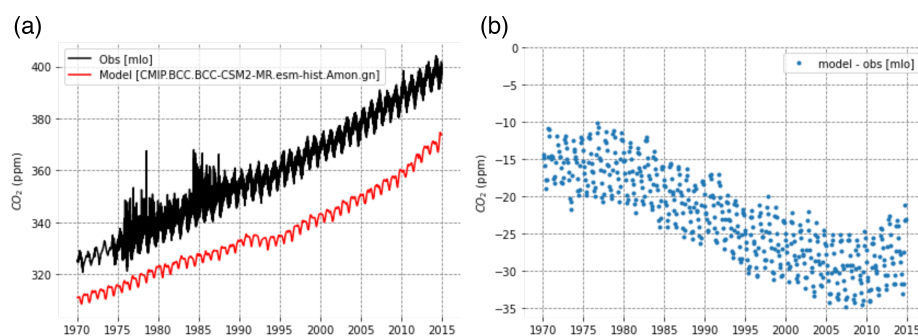


Figure 3: Example output of the `surface_trends` recipe, showing (a) individual time series and (b) differences between simulated and observed concentrations of surface-level atmospheric CO₂ at the Mauna Loa Observatory, Hawaii (MLO).

Seasonal cycle

Because of the substantial impact primary production and respiration have on CO₂ concentrations, evaluating the seasonal cycle at a given location can help disentangle the effects of biological from physical processes. The seasonal cycle can be quantified by “the projection of an atmospheric time series onto a suitably defined subset of orthogonal basis functions, the choice of which depends on the length of the series involved” (Straus, 1983). For computing the seasonal cycle, we detrend the time series by fitting a function composed of both polynomial and harmonic terms, following the procedure of Sweeney et al. (2015) and originally proposed by Thoning & Tans (1989). Example output of the seasonal cycle recipe is shown in Figure 4.

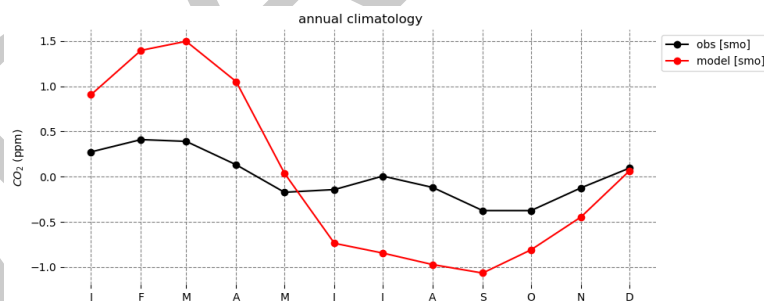


Figure 4: Example output of the `seasonal_cycle` recipe, comparing annual climatologies of surface atmospheric CO₂ concentrations at the American Samoa Observatory, Tutuila Island (SMO).

Meridional gradient

By comparing CO₂ concentrations across observing sites distributed globally, we can assess whether simulated transport and mixing is skillfully reproducing spatial gradients. For instance, the surface CO₂ flux signals at lower latitudes (30-45N) are moved to northern boreal latitudes and also to the south by large scale circulation. Spatial analysis can reveal evidence of southward movement toward (sub)tropical convection that becomes mixed with Hadley circulation or northward movement toward midlatitude synoptic weather patterns and the Ferrell circulation (Denning et al., 1999; Schuh et al., 2019; Stephens et al., 2007). Figure 5 shows example output of the meridional recipe.

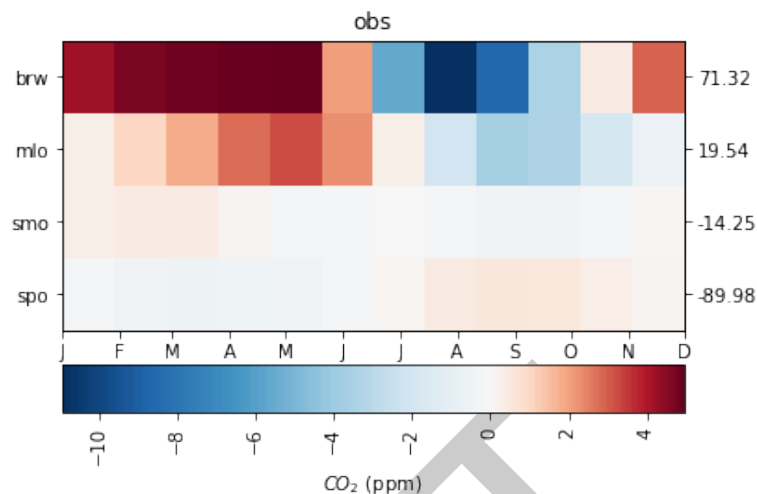


Figure 5: Example output of the meridional recipe, comparing the seasonal cycle across latitudes, at locations of user-specified surface stations.

Outlook

Currently, `gdess` is helping to assess simulations using the biogeochemistry configuration of E3SM, with the aim of exploring carbon-climate interactions. In addition to the three implemented recipes (multidecadal trends, seasonal cycles, and meridional gradients), current development includes two other methods—by which CO_2 was also evaluated by [Keppel-Aleks et al. \(2013\)](#)—vertical gradients and interannual variability. Future releases may evaluate vertical gradients using aircraft data from Globalview+ Observations, include satellite data, and extend to data for other greenhouse gases, such as methane.

Acknowledgements

We thank Drs. Colm Sweeney and Kirk Thoning, at the NOAA Global Monitoring Laboratory, for providing code and support for implementing the curve fitting methods. A dataset file provided via the Observations from the Mauna Loa surface observing station is included in the tests directory with permission from the data provider, [Keeling et al. \(2001\)](#). This research was supported as part of the Energy Exascale Earth System Model (E3SM) project, funded by the U.S. Department of Energy (DOE), Office of Science, Office of Biological and Environmental Research. Data analysis described in this work relied on computational resources provided by the National Energy Research Scientific Computing Center, a DOE Office of Science User Facility supported by the Office of Science of the U.S. Department of Energy under Contract DE-AC02-05CH11231. The Pacific Northwest National Laboratory (PNNL) is operated for DOE by Battelle Memorial Institute under Contract DE-AC05-76RLO1830.

References

Burrows, S. M., Maltrud, M., Yang, X., Zhu, Q., Jeffery, N., Shi, X., Ricciuto, D., Wang, S., Bisht, G., Tang, J., Wolfe, J., Harrop, B. E., Singh, B., Brent, L., Baldwin, S., Zhou, T., Cameron-Smith, P., Keen, N., Collier, N., ... Leung, L. R. (2020). The DOE E3SM v1.1 Biogeochemistry Configuration: Description and Simulated Ecosystem-Climate

- 144 Responses to Historical Changes in Forcing. *J. Adv. Model. Earth Syst.*, 12(9), 1–59.
145 <https://doi.org/10.1029/2019MS001766>
- 146 Chevallier, F., Remaud, M., O'Dell, C. W., Baker, D., Peylin, P., & Cozic, A. (2019). Objective
147 evaluation of surface- and satellite-driven carbon dioxide atmospheric inversions. *Atmos.*
148 *Chem. Phys.*, 19(22), 14233–14251. <https://doi.org/10.5194/acp-19-14233-2019>
- 149 Collier, N., Hoffman, F. M., Lawrence, D. M., Keppel-Aleks, G., Koven, C. D., Riley, W.
150 J., Mu, M., & Randerson, J. T. (2018). The International Land Model Benchmarking
151 (ILAMB) System: Design, Theory, and Implementation. *J. Adv. Model. Earth Syst.*,
152 10(11), 2731–2754. <https://doi.org/10.1029/2018MS001354>
- 153 Denning, A. S., Holzer, M., Gurney, K. R., Heimann, M., Law, R. M., Rayner, P. J., Fung, I. Y.,
154 Fan, S.-M., Taguchi, S., Friedlingstein, P., Balkanski, Y., Taylor, J., Maiss, M., & Levin, I.
155 (1999). Three-dimensional transport and concentration of SF₆ A model intercomparison
156 study (TransCom 2). *Tellus B: Chemical and Physical Meteorology*, 51(2), 266–297.
157 <https://doi.org/10.3402/tellusb.v51i2.16286>
- 158 Eyring, V., Bock, L., Lauer, A., Righi, M., Schlund, M., Andela, B., Arnone, E., Bellprat, O.,
159 Carvalhais, N., Cionni, I., Cortesi, N., Crezee, B., L. Davin, E., Davini, P., Debeire, K., De
160 Mora, L., Deser, C., Docquier, D., Earnshaw, P., ... Zimmermann, K. (2020). Earth System
161 Model Evaluation Tool (ESMValTool) v2.0 - An extended set of large-scale diagnostics for
162 quasi-operational and comprehensive evaluation of Earth system models in CMIP. *Geosci.*
163 *Model Dev.*, 13(7), 3383–3438. <https://doi.org/10.5194/gmd-13-3383-2020>
- 164 Eyring, V., Bony, S., Meehl, G. A., Senior, C. A., Stevens, B., Stouffer, R. J., & Taylor, K.
165 E. (2016). Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6)
166 experimental design and organization. *Geosci. Model Dev.*, 9(5), 1937–1958. <https://doi.org/10.5194/gmd-9-1937-2016>
- 167
- 168 Eyring, V., Righi, M., Lauer, A., Evaldsson, M., Wenzel, S., Jones, C., Anav, A., Andrews,
169 O., Cionni, I., Davin, E. L., Deser, C., Ehbrecht, C., Friedlingstein, P., Gleckler, P.,
170 Gottschaldt, K. D., Hagemann, S., Juckes, M., Kindermann, S., Krasting, J., ... Williams,
171 K. D. (2016). ESMValTool (v1.0)-a community diagnostic and performance metrics tool
172 for routine evaluation of Earth system models in CMIP. *Geosci. Model Dev.*, 9(5), 1747–
173 1802. <https://doi.org/10.5194/gmd-9-1747-2016>
- 174 Fer, I., Gardella, A. K., Shiklomanov, A. N., Campbell, E. E., Cowdery, E. M., De Kauwe, M.
175 G., Desai, A., Duveneck, M. J., Fisher, J. B., Haynes, K. D., Hoffman, F. M., Johnston,
176 M. R., Kooper, R., LeBauer, D. S., Mantooth, J., Parton, W. J., Poulter, B., Quaife, T.,
177 Raiho, A., ... Dietze, M. C. (2021). Beyond ecosystem modeling: A roadmap to community
178 cyberinfrastructure for ecological data-model integration. *Global Change Biology*, 27(1),
179 13–26. <https://doi.org/10.1111/gcb.15409>
- 180 Golaz, J.-C., Caldwell, P. M., Van Roekel, L. P., Petersen, M. R., Tang, Q., Wolfe, J. D.,
181 Abeshu, G., Anantharaj, V., Asay-Davis, X. S., Bader, D. C., Baldwin, S. A., Bisht,
182 G., Bogenschutz, P. A., Branstetter, M., Brunke, M. A., Brus, S. R., Burrows, S. M.,
183 Cameron-Smith, P. J., Donahue, A. S., ... Zhu, Q. (2019). The DOE E3SM Coupled
184 Model Version 1: Overview and Evaluation at Standard Resolution. *Journal of Advances*
185 *in Modeling Earth Systems*, 11(7), 2089–2129. <https://doi.org/10.1029/2018MS001603>
- 186 Hoyer, S., & Hamman, J. (2017). xarray: N-D labeled arrays and datasets in Python. *Journal*
187 *of Open Research Software*, 5(1). <https://doi.org/10.5334/jors.148>
- 188 Jing, Y., Wang, T., Zhang, P., Chen, L., Xu, N., & Ma, Y. (2018). Global atmospheric
189 CO₂ concentrations simulated by GEOS-Chem: Comparison with GOSAT, carbon tracker
190 and ground-based measurements. *Atmosphere (Basel)*, 9(5). <https://doi.org/10.3390/atmos9050175>
- 191
- 192 Keeling, C. D., Piper, S. C., Bacastow, R. B., Wahlen, M., Whorf, T. P., Heimann, M.,
193 & Meijer, H. A. (2001). *Exchanges of atmospheric CO₂ and 13CO₂ with the terrestrial*

- biosphere and oceans from 1978 to 2000. *I. Global aspects* (S. D. Scripps Institution of Oceanography, Ed.; No. 01-06; p. 88). UC San Diego: Library – Scripps Digital. <https://escholarship.org/uc/item/09v319r9>
- Keppel-Aleks, G. (2021). personal communication.
- Keppel-Aleks, G., Randerson, J. T., Lindsay, K., Stephens, B. B., Keith Moore, J., Doney, S. C., Thornton, P. E., Mahowald, N. M., Hoffman, F. M., Sweeney, C., Tans, P. P., Wennberg, P. O., & Wofsy, S. C. (2013). Atmospheric carbon dioxide variability in the community earth system model: Evaluation and transient dynamics during the twentieth and twenty-first centuries. *J. Clim.*, 26(13), 4447–4475. <https://doi.org/10.1175/JCLI-D-12-00589.1>
- Liptak, J., Keppel-Aleks, G., & Lindsay, K. (2017). Drivers of multi-century trends in the atmospheric CO₂ mean annual cycle in a prognostic ESM. *Biogeosciences*, 14(6), 1383–1401. <https://doi.org/10.5194/bg-14-1383-2017>
- Masarie, K. A., Peters, W., Jacobson, A. R., & Tans, P. P. (2014). ObsPack: A framework for the preparation, delivery, and attribution of atmospheric greenhouse gas measurements. *Earth Syst. Sci. Data*, 6(2), 375–384. <https://doi.org/10.5194/essd-6-375-2014>
- Ott, L. E., Pawson, S., Collatz, G. J., Gregg, W. W., Menemenlis, D., Brix, H., Rousseaux, C. S., Bowman, K. W., Liu, J., Eldering, A., Gunson, M. R., & Kawa, S. R. (2015). Assessing the magnitude of CO₂ flux uncertainty in atmospheric CO₂ records using products from NASA's Carbon Monitoring Flux Pilot Project. *J. Geophys. Res. Atmos.*, 120(2), 734–765. <https://doi.org/10.1002/2014JD022411>
- Python Core Team. (2015). *Python: A dynamic, open source programming language*. Python Software Foundation. <https://www.python.org/>
- Randall, D. A., Bitz, C. M., Danabasoglu, G., Denning, A. S., Gent, P. R., Gettelman, A., Griffies, S. M., Lynch, P., Morrison, H., Pincus, R., & Thuburn, J. (2019). 100 Years of Earth System Model Development. *Meteorol. Monogr.*, 59, 12.1–12.66. <https://doi.org/10.1175/amsmonographs-d-18-0018.1>
- Rasch, P. J., Xie, S., Ma, P. L., Lin, W., Wang, H., Tang, Q., Burrows, S. M., Caldwell, P., Zhang, K., Easter, R. C., Cameron-Smith, P., Singh, B., Wan, H., Golaz, J. C., Harrop, B. E., Roesler, E., Bacmeister, J., Larson, V. E., Evans, K. J., ... Yang, Y. (2019). An Overview of the Atmospheric Component of the Energy Exascale Earth System Model. *J. Adv. Model. Earth Syst.*, 11(8), 2377–2411. <https://doi.org/10.1029/2019MS001629>
- Schuh, A. E., Jacobson, A. R., Basu, S., Weir, B., Baker, D., Bowman, K., Chevallier, F., Crowell, S., Davis, K. J., Deng, F., Denning, S., Feng, L., Jones, D., Liu, J., & Palmer, P. I. (2019). Quantifying the Impact of Atmospheric Transport Uncertainty on CO₂ Surface Flux Estimates. *Global Biogeochemical Cycles*, 33(4), 484–500. <https://doi.org/10.1029/2018GB006086>
- Schuldt, K. N., Mund, J., Luijkx, I. T., Jacobson, A. R., Cox, A., Vermeulen, A., Manning, A., Beyersdorf, A., Manning, A., Karion, A., Hensen, A., Arlyn Andrews, Frumau, A., Colomb, A., Scheeren, B., Law, B., Baier, B., Munger, B., Paplawsky, B., ... Loh, Z. (2020). *Multi-laboratory compilation of atmospheric carbon dioxide data for the period 1957-2019; obspack_co2_1_GLOBALVIEWplus_v6.0_2020-09-11*. NOAA Earth System Research Laboratory, Global Monitoring Division. <https://doi.org/10.25925/20200903>
- Smith, M. J., Palmer, P. I., Purves, D. W., Vanderwel, M. C., Lyutsarev, V., Calderhead, B., Joppa, L. N., Bishop, C. M., & Emmott, S. (2014). Changing How Earth System Modeling is Done to Provide More Useful Information for Decision Making, Science, and Society. *Bulletin of the American Meteorological Society*, 95(9), 1453–1464. <https://doi.org/10.1175/BAMS-D-13-00080.1>
- Stephens, B. B., Gurney, K. R., Tans, P. P., Sweeney, C., Peters, W., Bruhwiler, L., Ciais, P., Ramonet, M., Bousquet, P., Nakazawa, T., Aoki, S., Machida, T., Inoue, G., Vinnichenko,

- 244 N., Lloyd, J., Jordan, A., Heimann, M., Shibistova, O., Langenfelds, R. L., ... Denning, A.
245 S. (2007). Weak Northern and Strong Tropical Land Carbon Uptake from Vertical Profiles
246 of Atmospheric CO₂. *Science*, 316(5832), 1732–1735. [https://doi.org/10.1126/science.](https://doi.org/10.1126/science.1137004)
247 [1137004](https://doi.org/10.1126/science.1137004)
- 248 Straus, D. M. (1983). On the Role of the Seasonal Cycle. *Journal of Atmospheric*
249 *Sciences*, 40(2), 303–313. [https://doi.org/10.1175/1520-0469\(1983\)040%3C0303:](https://doi.org/10.1175/1520-0469(1983)040%3C0303:OTROTS%3E2.0.CO;2)
250 [OTROTS%3E2.0.CO;2](https://doi.org/10.1175/1520-0469(1983)040%3C0303:OTROTS%3E2.0.CO;2)
- 251 Sweeney, C., Karion, A., Wolter, S., Newberger, T., Guenther, D., Higgs, J. A., Andrews,
252 A. E., Lang, P. M., Neff, D., Dlugokencky, E., Miller, J. B., Montzka, S. A., Miller, B.
253 R., Masarie, K. A., Biraud, S. C., Novelli, P. C., Crotnell, M., Crotnell, A. M., Thoning,
254 K., & Tans, P. P. (2015). Seasonal climatology of CO₂ across North America from
255 aircraft measurements in the NOAA/ESRL Global Greenhouse Gas Reference Network. *J.*
256 *Geophys. Res. Atmos.*, 120(10), 5155–5190. <https://doi.org/10.1002/2014JD022591>
- 257 Thoning, K. W., & Tans, P. P. (1989). Atmospheric carbon dioxide at Mauna Loa Observatory.
258 2. Analysis of the NOAA GMCC data, 1974–1985. *J. Geophys. Res.*, 94(D6), 8549–8565.
259 <https://doi.org/10.1029/JD094iD06p08549>
- 260 Van Rossum, G., & Drake, F. L. (2009). *Python 3 Reference Manual*. CreateSpace.
261 ISBN: [1441412697](https://doi.org/10.1002/2014JD022591)
- 262 Weir, B., Ott, L. E., Collatz, G. J., Kawa, S. R., Poulter, B., Chatterjee, A., Oda, T., &
263 Pawson, S. (2021). Bias-correcting carbon fluxes derived from land-surface satellite data
264 for retrospective and near-real-time assimilation systems. *Atmospheric Chemistry and*
265 *Physics*, 21(12), 9609–9628. <https://doi.org/10.5194/acp-21-9609-2021>
- 266 Wu, G., Cai, X., Keenan, T. F., Li, S., Luo, X., Fisher, J. B., Cao, R., Li, F., Purdy, A. J.,
267 Zhao, W., Sun, X., & Hu, Z. (2020). Evaluating three evapotranspiration estimates from
268 model of different complexity over China using the ILAMB benchmarking system. *Journal*
269 *of Hydrology*, 590, 125553. <https://doi.org/10.1016/j.jhydrol.2020.125553>