

## 习题 2.1

1. 利用数列极限定义证明下列各式

$$(1) \lim_{n \rightarrow \infty} \frac{n}{n+1} = 1$$

对于  $\forall \varepsilon > 0$ , 要使  $\left| \frac{n}{n+1} - 1 \right| = \frac{1}{n+1} < \varepsilon$

只需要  $\frac{1}{n} < \varepsilon$  即  $n > \frac{1}{\varepsilon}$

取  $N = \left[ \frac{1}{\varepsilon} \right]$ , 则当  $n > N$  时

$$\text{有 } \left| \frac{n}{n+1} - 1 \right| = \frac{1}{n+1} < \frac{1}{n} < \varepsilon$$

由定义知  $\lim_{n \rightarrow \infty} \frac{n}{n+1} = 1$

$$(2) \lim_{n \rightarrow \infty} \left[ 1 + \frac{(-1)^n}{n} \right]^n = 1$$

对于  $\forall \varepsilon > 0$  要使  $\left| 1 + \frac{(-1)^n}{n} - 1 \right| = \left| \frac{(-1)^n}{n} \right| < \varepsilon$

即使  $\frac{1}{n} < \varepsilon$  即  $n > \frac{1}{\varepsilon}$

取  $N = \left[ \frac{1}{\varepsilon} \right] + 1$ , 则当  $n > N$  时

$$\text{有 } \left| 1 + \frac{(-1)^n}{n} - 1 \right| < \varepsilon$$

由定义知  $\lim_{n \rightarrow \infty} \left[ 1 + \frac{(-1)^n}{n} \right]^n = 1$

$$(3) \lim_{n \rightarrow \infty} \frac{1}{\sqrt{n+1}} = 0$$

对于  $\forall \varepsilon > 0$  要使  $\left| \frac{1}{\sqrt{n+1}} - 0 \right| = \frac{1}{\sqrt{n+1}} < \varepsilon$

只需要  $\frac{1}{\sqrt{n}} < \varepsilon$  即  $n > \frac{1}{\varepsilon^2}$

取  $N = \left[ \frac{1}{\varepsilon^2} \right]$ , 则当  $n > N$  时

有  $\left| \frac{1}{\sqrt{n+1}} - 0 \right| = \frac{1}{\sqrt{n+1}} < \frac{1}{\sqrt{n}} < \varepsilon$

由定义知:  $\lim_{n \rightarrow \infty} \frac{1}{\sqrt{n+1}} = 0$

(4)  $\lim_{n \rightarrow \infty} \frac{1}{n^\alpha} = 0$  ( $\alpha$  为正常数)

对于  $\forall \varepsilon > 0$ , 要使  $\left| \frac{1}{n^\alpha} - 0 \right| = \frac{1}{n^\alpha} < \varepsilon$

只需要  $n > \sqrt[\alpha]{\frac{1}{\varepsilon}}$

取  $N = \left[ \sqrt[\alpha]{\frac{1}{\varepsilon}} \right] + 1$ , 则当  $n > N$

有  $\left| \frac{1}{n^\alpha} - 0 \right| < \varepsilon$

由定义知  $\lim_{n \rightarrow \infty} \frac{1}{n^\alpha} = 0$

2、

(1) 若  $\lim_{n \rightarrow \infty} a_n = a$ , 其中  $a \neq 0$ , 则  $\lim_{n \rightarrow \infty} |a_n| = |a|$ ; 问反之是否成立

因为  $\lim_{n \rightarrow \infty} a_n = a$ ,  $\forall \varepsilon > 0, \exists N_0$

当  $n > N_0$  时,  $|a_n - a| < \varepsilon$

则  $\forall \varepsilon > 0$ , 取  $N = N_0$ , 当  $n > N$  时,  $||a_n| - |a|| < |a_n - a| < \varepsilon$

因此  $\lim_{n \rightarrow \infty} |a_n| = |a|$

反之不成立，如： $a_n = (-1)^n$

$$\lim_{n \rightarrow \infty} |a_n| = \lim_{n \rightarrow \infty} |(-1)^n| = 1$$

$$\text{但 } \lim_{n \rightarrow \infty} a_n = \lim_{n \rightarrow \infty} (-1)^n \neq 1$$

(2) 试证明  $\lim_{n \rightarrow \infty} a_n = 0$  当且仅当  $\lim_{n \rightarrow \infty} |a_n| = 0$

$$\because \lim_{n \rightarrow \infty} a_n = 0, \forall \varepsilon > 0, \exists N$$

当  $n > N$  时,  $|a_n - 0| < \varepsilon$

则  $\forall \varepsilon > 0, \exists N$ , 当  $n > N$  时,  $||a_n| - 0| < \varepsilon$

$$\therefore \lim_{n \rightarrow \infty} |a_n| = 0$$

而当  $\lim_{n \rightarrow \infty} |a_n| = 0, \forall \varepsilon > 0, \exists N$

当  $n > N$  时,  $||a_n| - 0| < \varepsilon$

则  $\forall \varepsilon > 0, \exists N$ , 当  $n > N$  时,  $|a_n - 0| < \varepsilon$

$$\therefore \lim_{n \rightarrow \infty} a_n = 0$$

综上所述,  $\lim_{n \rightarrow \infty} a_n = 0$  当且仅当  $\lim_{n \rightarrow \infty} |a_n| = 0$

### 3. 求下列极限

$$(1) \lim_{n \rightarrow \infty} \frac{3n^5 - 4n^3 + 5n}{n^6 + 4n + 1}$$

$$\text{解: 原式} = \lim_{n \rightarrow \infty} \frac{\frac{3}{n} - \frac{4}{n^3} + \frac{5}{n^5}}{1 + \frac{4}{n^5} + \frac{1}{n^6}} = \frac{3 \times 0 - 4 \times 0 + 5 \times 0}{1 + 4 \times 0 + 0} = 0$$

$$(2) \lim_{n \rightarrow \infty} \frac{n^3 + 3n^2 + 1}{n^3 + 1}$$

$$\text{解: 原式} = \lim_{n \rightarrow \infty} \frac{1 + \frac{3}{n} + \frac{1}{n^3}}{1 + \frac{1}{n^3}} = \frac{1 + 3 \times 0 + 0}{1 + 0} = 1$$

$$(3) \lim_{n \rightarrow \infty} \frac{(-2)^n + 3^n}{(-2)^{n+1} + 3^{n+1}}$$

$$\text{解: 原式} = \lim_{n \rightarrow \infty} \frac{\frac{1}{3} \left( \frac{-2}{3} \right)^n + \frac{1}{3}}{\left( \frac{-2}{3} \right)^{n+1} + 1} = \frac{\frac{1}{3} \times 0 + \frac{1}{3}}{0 + 1} = \frac{1}{3}$$

$$(4) \lim_{n \rightarrow \infty} \frac{1}{n^2} (1 + 2 + \cdots + n)$$

$$\text{解: 原式} = \lim_{n \rightarrow \infty} \frac{\frac{n(n+1)}{2}}{n^2} = \lim_{n \rightarrow \infty} \frac{n^2 + n}{2n^2} = \lim_{n \rightarrow \infty} \frac{1 + \frac{1}{n}}{2} = \frac{1}{2}$$

$$(5) \lim_{n \rightarrow \infty} \left[ \frac{1}{1 \cdot 2} + \frac{1}{2 \cdot 3} + \cdots + \frac{1}{(n-1)n} \right]$$

$$\text{解: 原式} = \lim_{n \rightarrow \infty} \left( 1 - \frac{1}{2} + \frac{1}{2} - \frac{1}{3} + \cdots + \frac{1}{n-1} - \frac{1}{n} \right)$$

$$= \lim_{n \rightarrow \infty} \left( 1 - \frac{1}{n} \right) = 1$$

$$(6) \lim_{n \rightarrow \infty} \left( \frac{1 + 2 + \cdots + n}{n + 2} - \frac{n}{2} \right)$$

$$\text{解: 原式} = \lim_{n \rightarrow \infty} \left( \frac{\frac{n(n+1)}{2}}{\frac{n}{n+2}} - \frac{n}{2} \right) = \lim_{n \rightarrow \infty} \frac{-n}{2n+4} = -\frac{1}{2}$$

$$(7) \lim_{n \rightarrow \infty} (1 + 2 + 3 + \cdots + K)^{\frac{1}{n}}, \quad (K \text{ 为正整数})$$

$$\text{解: } \because \lim_{n \rightarrow \infty} a^{\frac{1}{n}} = 1$$

$$\therefore \text{原式} = \lim_{n \rightarrow \infty} \left[ \frac{k(k+1)}{2} \right]^{\frac{1}{n}} = 1$$

$$(8) \lim_{n \rightarrow \infty} \left( \sqrt{(n+1)(n+2)} - n \right)$$

$$\begin{aligned} \text{解: 原式} &= \lim_{n \rightarrow \infty} \frac{(n+1)(n+2) - n^2}{\sqrt{(n+1)(n+2)} + n} = \lim_{n \rightarrow \infty} \frac{(1+2)n + 2}{\sqrt{(n+1)(n+2)} + n} \\ &= \lim_{n \rightarrow \infty} \frac{3 + \frac{2}{n}}{\sqrt{\left(1 + \frac{1}{n}\right)\left(1 + \frac{2}{n}\right)} + 1} = \frac{3}{2} \end{aligned}$$

#### 4. 利用单调有界原理求下列数列的极限

$$(1) a_1 = \frac{1}{5}, a_{n+1} = \frac{n}{3n+2} a_n, n = 1, 2, 3, \dots$$

$$\text{解: } \because 0 < \frac{a_{n+1}}{a_n} = \frac{n}{3n+2} < 1$$

$$a_1 = \frac{1}{5}, \text{ 由数学归纳法知: } a_n > 0$$

$$\text{又 } \frac{a_{n+1}}{a_n} < 1, \text{ 则 } \{a_n\} \text{ 是单调递减的且有下界 } 0$$

$\therefore \{a_n\}$  有极限

$$\text{对 } a_{n+1} = \frac{n}{3n+2} a_n \text{ 两边同时取极限}$$

$$\text{则 } \lim_{n \rightarrow \infty} a_{n+1} = \lim_{n \rightarrow \infty} a_n = \frac{1}{3} \lim_{n \rightarrow \infty} a_n \Rightarrow \lim_{n \rightarrow \infty} a_n = 0$$

$$(2) a_1 = \sqrt{2}, a_{n+1} = \sqrt{2 + a_n}, n = 1, 2, \dots$$

$$\text{解: } \because a_1 = \sqrt{2} \quad a_{n+1} = \sqrt{2 + a_n}$$

$$\text{由数学归纳法知: } a_{n+1} > a_n$$

$\therefore \{a_n\}$  单调递增

$$\text{则 } a_{n+1} = \sqrt{2 + a_n} > a_n \Rightarrow a_n < 2$$

且  $\{a_n\}$  有界  $\therefore \{a_n\}$  有极限

$$\text{两边取极限, } \lim_{n \rightarrow \infty} a_{n+1} = \lim_{n \rightarrow \infty} \sqrt{2 + a_n}$$

$$\text{则 } \lim_{n \rightarrow \infty} a_n = 2$$

#### 5. 利用夹逼定理求下列极限

$$(1) \lim_{n \rightarrow \infty} (1 + 2^n + 3^n + 4^n)^{\frac{1}{n}}$$

$$\text{解: } 4^n \leq 1 + 2^n + 3^n + 4^n \leq 4 \cdot 4^n$$

$$(4^n)^{\frac{1}{n}} \leq (1 + 2^n + 3^n + 4^n)^{\frac{1}{n}} \leq (4 \cdot 4^n)^{\frac{1}{n}}$$

$$\text{又 } \lim_{n \rightarrow \infty} (4^n)^{\frac{1}{n}} = \lim_{n \rightarrow \infty} (4 \cdot 4^n)^{\frac{1}{n}} = 4$$

$$\text{则由夹逼定理知: } \lim_{n \rightarrow \infty} (1 + 2^n + 3^n + 4^n)^{\frac{1}{n}} = 4$$

$$(2) \lim_{n \rightarrow \infty} [(n+1)^\alpha - n^\alpha], \text{ 其中常数 } \alpha \in (0,1)$$

$$\text{解: } 0 \leq (n+1)^\alpha - n^\alpha = n^\alpha \left[ \left(1 + \frac{1}{n}\right)^\alpha - 1 \right]$$

$$\leq n^\alpha \left[ \left(1 + \frac{1}{n}\right)^1 - 1 \right] = n^\alpha \cdot \frac{1}{n} = \frac{1}{n^{1-\alpha}}$$

$$\text{又 } \lim_{n \rightarrow \infty} \left( \frac{1}{n^{1-\alpha}} \right) = 0$$

$$\therefore \lim_{n \rightarrow \infty} [(n+1)^\alpha - n^\alpha] = 0$$

## 6. 试用子列证明下列数列发散

$$(1) a_n = (-1)^n \frac{n}{n+1}$$

$$\text{证明: } a_{2k-1} = (-1) \cdot \frac{2k-1}{2k} \quad a_{2k} = \frac{2k}{2k+1}$$

$$\because \lim_{k \rightarrow \infty} a_{2k-1} = -1, \quad \lim_{k \rightarrow \infty} a_{2k-1} \neq \lim_{k \rightarrow \infty} a_{2k}, \quad \lim_{k \rightarrow \infty} a_{2k} = 1$$

$\therefore \{a_n\}$  发散

$$(2) a_n = 2 + (-1)^n$$

$$\text{证明: } a_{2k-1} = 2 - 1 = 1 \quad a_{2k} = 2 + 1 = 3$$

$$\because \lim_{k \rightarrow \infty} a_{2k-1} \neq \lim_{k \rightarrow \infty} a_{2k}$$

$\therefore \{a_n\}$  发散

$$(3) \lim_{n \rightarrow \infty} \left( \frac{1}{n} - \frac{2}{n} + \frac{3}{n} - \frac{4}{n} + \cdots + \frac{(-1)^{n-1}n}{n} \right)$$

$$\text{证明: 令 } a_n = \frac{1}{n} - \frac{2}{n} + \frac{3}{n} - \frac{4}{n} + \cdots + \frac{(-1)^{n-1}n}{n}$$

$$\text{则 } a_{2k} = \frac{1 - 2 + 3 - 4 + \cdots - 2k}{2k} = \frac{-k}{2k} = -\frac{1}{2}$$

$$a_{2k+1} = \frac{1 - 2 + 3 - 4 + \cdots + 2k + 1}{2k + 1} = \frac{k + 1}{2k + 1}$$

$$\lim_{k \rightarrow \infty} a_{2k} = -\frac{1}{2} \quad \lim_{k \rightarrow \infty} a_{2k+1} = \lim_{k \rightarrow \infty} \frac{k + 1}{2k + 1} = \frac{1}{2}$$

$\therefore \{a_n\}$  发散

7. 试证明：对于数列  $\{a_n\}$ ， $\lim_{n \rightarrow \infty} a_n = a$  的充要条件是  $\{a_n\}$  的

奇子列和偶子列均收敛于  $a$ ，即  $\lim_{k \rightarrow \infty} a_{2k-1} = \lim_{k \rightarrow \infty} a_{2k} = a$

证明：  $\because \lim_{n \rightarrow \infty} a_n = a$

则  $\forall \varepsilon > 0, \exists N > 0, \forall n \geq N$  得  $|a_n - a| < \varepsilon$ , 当  $k > N$  时,

$$n_k \geq K > N$$

则  $|a_{n_k} - a| < \varepsilon$  即  $\lim_{k \rightarrow \infty} a_{n_k} = a$

$$\therefore \lim_{k \rightarrow \infty} a_{2k-1} = \lim_{k \rightarrow \infty} a_{2k} = a$$

又  $\{a_{2k-1}\}$ 、 $\{a_{2k}\}$  包含了  $\{a_n\}$  的所有项

$$\therefore \lim_{n \rightarrow \infty} a_n = a$$

$$\text{则 } \lim_{k \rightarrow \infty} a_{2k-1} = \lim_{k \rightarrow \infty} a_{2k} = a \Leftrightarrow \lim_{n \rightarrow \infty} a_n = a$$

8. 利用柯西收敛准则证明下列数列是收敛的

$$(1) a_n = \frac{\sin 1}{1^2} + \frac{\sin 2}{2^2} + \cdots + \frac{\sin n}{n^2}$$

证明：令  $n > m$

$$\text{则 } |a_n - a_m| = \left| \frac{\sin(m+1)}{(m+1)^2} + \frac{\sin(m+2)}{(m+2)^2} + \cdots + \frac{\sin n}{n^2} \right|$$

$$< \left| \frac{1}{(m+1)^2} + \frac{1}{(m+2)^2} + \cdots + \frac{1}{n^2} \right|$$

$$\begin{aligned}
&< \left| \frac{1}{m(m+1)} + \frac{1}{(m+1)(m+2)} + \cdots + \frac{1}{(n-1)n} \right| \\
&= \left( \frac{1}{m} - \frac{1}{m+1} \right) + \left( \frac{1}{m+1} - \frac{1}{m+2} \right) + \cdots + \left( \frac{1}{n-1} - \frac{1}{n} \right) \\
&= \frac{1}{m} - \frac{1}{n} \\
&< \frac{1}{m}
\end{aligned}$$

又  $\forall \varepsilon < \frac{1}{2}$ , 存在  $N = \left[ \frac{1}{\varepsilon} \right]$ , 当  $m > N$  时,  $\frac{1}{m} < \varepsilon$

即  $N = \left[ \frac{1}{\varepsilon} \right]$ , 当  $n > m > N$  时,  $|a_n - a_m| < \varepsilon$

由柯西收敛准则,  $\{a_n\}$  收敛。

$$(2) a_n = \frac{\cos 1!}{1 \cdot 2} + \frac{\cos 2!}{2 \cdot 3} + \cdots + \frac{\cos n!}{n(n+1)}$$

证明: 令  $m > n$

则

$$\begin{aligned}
|a_m - a_n| &< \left| \frac{1}{(m+1)m} + \cdots + \frac{1}{(n+2)(n+3)} + \frac{1}{(n+1)(n+2)} \right| \\
&= \frac{1}{n+1} - \frac{1}{m+1} < \frac{1}{n+1} < \frac{1}{n}
\end{aligned}$$

$\forall \varepsilon > 0$ , 取  $N = \left[ \frac{1}{\varepsilon} \right]$  当  $n > N$  时,  $|a_m - a_n| < \varepsilon$

由柯西收敛准则,  $\{a_n\}$  收敛。

## 9. 利用柯西收敛准则证明下列数列是发散的

$$(1) a_n = 1 + \frac{1}{2} + \cdots + \frac{1}{n}$$

证明: 取  $\varepsilon = \frac{1}{4}$ ,  $\forall N \in \mathbb{N}^+$  取  $n = N + 1, m = 2N + 2$



则有  $n, m > N$

$$\text{则 } |a_m - a_n| = \frac{1}{N+2} + \frac{1}{N+3} + \cdots + \frac{1}{2N+2} > \frac{N+1}{2N+2} = \frac{1}{2} > \varepsilon$$

由柯西收敛准则,  $\{a_n\}$  发散

$$(2) a_n = \frac{1}{\sqrt{1}} + \frac{1}{\sqrt{2}} + \cdots + \frac{1}{\sqrt{n}}$$

证明: 取  $\varepsilon = \frac{1}{4}$ ,  $\forall N \in \mathbb{N}^+$  取  $n = N+1, m = 2N+2$

则有  $n, m > N$

$$\begin{aligned} \text{则 } |a_m - a_n| &= \frac{1}{\sqrt{N+2}} + \frac{1}{\sqrt{N+3}} + \cdots + \frac{1}{\sqrt{2N+2}} \\ &> \frac{1}{N+2} + \frac{1}{N+3} + \cdots + \frac{1}{2N+2} > \frac{N+1}{2N+2} = \frac{1}{2} > \varepsilon \end{aligned}$$

由柯西收敛准则,  $\{a_n\}$  发散