Accurate Measurements of the Acoustical Physical Constants of LiNbO₃ and LiTaO₃ Single Crystals

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Abstract—The acoustical physical constants (elastic constant, piezoelectric constant, dielectric constant, and density) of commercial surface acoustic wave (SAW)-grade LiNbO₃ and LiTaO₃ single crystals were determined by measuring the bulk acoustic wave velocities, dielectric constants, and densities of many plate specimens prepared from the ingots. The maximum probable error in each constant was examined by considering the dependence of each constant on the measured acoustic velocities. By comparing the measured values of longitudinal velocities that were not used to determine the constants with the calculated values using the previously mentioned constants, we found that the differences between the measured and calculated values were 1 m/s or less for both LiNbO3 and LiTaO3 crystals. These results suggest that the acoustical physical constants determined in this paper can give the values of bulk acoustic wave velocities with four significant digits.

I. Introduction

LiNbO₃ and LiTaO₃ single crystals are widely used as substrates for bulk wave and SAW devices. Therefore, it is important to know accurately the acoustical physical constants (elastic constant c^E , piezoelectric constant e, dielectric constant ε^S , and density ρ) of these crystals for analyzing the propagation characteristics of acoustic waves and for designing devices. Although the acoustical physical constants have been reported in many papers [1]-[12], the problem is that the constants depend on the chemical compositions and crystal growth conditions, largely differing from those in the initial stage of the research and development and resulting in different bulk and SAW velocities. Recently, the acoustical physical constants for commercial wafers with a congruent chemical composition have been determined using data of SAW velocities [10], [12]. However, because the dielectric constants and densities reported elsewhere were used for the determination, some uncertain errors in the determined constants may be involved.

In this paper, we determine all independent components of the acoustical physical constants of $LiNbO_3$ and $LiTaO_3$ single crystals with high accuracy by preparing many plate specimens from ingots of commercially avail-

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TABLE I ${\it LiNbO_3} \ \, {\it And} \ \, {\it LiTaO_3} \ \, {\it Single} \ \, {\it Crystals} \ \, {\it for} \ \, {\it Determining} \ \, {\it the} \\ \ \, {\it Acoustical Physical Constants}.$

	$LiNbO_3$	${\rm LiTaO_3}$
Pulling axis	\mathbf{Z}	Y
Diameter (mm)	100	100
Length (mm)	99	85

able SAW-grade LiNbO₃ and LiTaO₃ single crystals, suitable for precise determination, and by measuring their bulk acoustic wave velocities, dielectric constants, and densities.

II. PREPARATION OF SPECIMENS

To determine the acoustical physical constants, we prepared the ingots of SAW-grade LiNbO₃ and LiTaO₃ single crystals grown by the Czochralski method (Yamaju Ceramics Co., Ltd., Seto, Japan). The crystal pulling axis, diameter, and length of each ingot are shown in Table I. To investigate the homogeneity of the elastic properties of ingots, three substrates were cut perpendicular to the crystal pulling axis from the top, middle, and bottom portions of each ingot as shown in Fig. 1. The velocities of leaky SAWs (LSAWs) that propagate on the water-loaded specimen surfaces, longitudinal acoustic wave velocities, densities, lattice constants, and Curie temperatures were then measured. The results are detailed in the literature [13]. For both crystals, we estimated that the distributions in acoustic properties of LSAW and longitudinal acoustic wave velocities are about 1 m/s in the pulling axis direction and that the corresponding chemical composition ratio in the ingot varied about 0.02 Li₂O-mol%.

Eighteen LiNbO₃ and 16 LiTaO₃ crystal substrate specimens were cut from each ingot. Each specimen was about 4 mm thick, and both sides were optically polished. To determine the propagation direction of ultrasonic waves accurately so that the inclination between the crystalline plane and the specimen surface could be checked using X-ray analysis, all specimens were selected from the crystalline planes specified in the American Society for Testing and Materials (ASTM) cards (LiNbO₃: No. 20-631; LiTaO₃: No. 29-836). Rotated Y-cut specimens (rotating a Y-cut specimen about the X axis) were cut from the upper-half block of each ingot. Three principal X-, Y-,

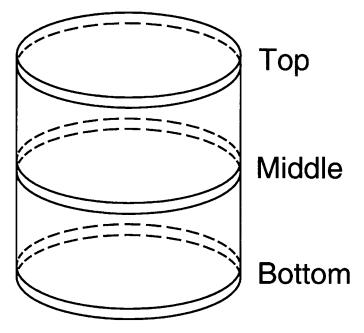


Fig. 1. Specimen preparation.

and Z-cut specimens, rotated X-cut specimens (rotating an X-cut specimen about the Y axis), and Z-axis cylinder specimens (rotating an X-cut specimen about the Z axis) were then cut from the intermediate substrate and lower-half block. The acoustic velocities are measured at the center of each specimen, and the measurement positions are located within one-half the ingot range about the intermediate substrate in the pulling axis direction. Therefore, the variation of the acoustic velocities in the pulling axis direction used to determine the acoustical physical constants is estimated to be at most 0.5 m/s (± 0.25 m/s for the average). Because this variation is about the same magnitude as the measurement accuracy of the acoustic velocity ($\pm 0.30 \text{ m/s}$), the ingots used in this paper can be considered to be almost homogeneous within the velocity measurement accuracy.

The inclination angles between the crystalline planes and the specimen surfaces were measured on all the specimens by X-ray analysis and were found to be 0.12° maximum and less than 0.10° for most specimens. These inclinations can be compensated through numerical calculations.

III. PROCEDURE OF DETERMINATION

LiNbO₃ and LiTaO₃ single crystals belong to class 3m of trigonal system, in which there is a total of 13 independent acoustical physical constants. Taking the strain S and the electric field E as the independent variables, there are six elastic stiffness constants $\begin{bmatrix} c_{11}^E & c_{12}^E + 2c_{66}^E \\ c_{13}^E & c_{13}^E \\ c_{14}^E & c_{33}^E & and c_{44}^E \end{bmatrix}$, four piezoelectric stress constants $(e_{15}, e_{22}, e_{31}, and e_{33})$, and two dielectric constants at constant strain $(\varepsilon_{11}^S$ and $\varepsilon_{33}^S)$ and density (ρ) . In this paper, the acoustical physical constants are determined using bulk

waves for which the relationship between acoustic velocities and acoustical physical constants is relatively simple and, in general, expressed in the following equation:

$$V = \sqrt{\frac{c^E + \frac{e^2}{\varepsilon^S}}{\rho}}.$$
 (1)

It can be seen from (1) that it is necessary to measure not only acoustic velocities but also dielectric constants and density to determine all of the independent constants. As for the dielectric constant, ε_{11}^S can be measured using an X-cut or Y-cut specimen, and ε_{33}^S can be measured using a Z-cut specimen.

To determine each constant with high accuracy, it is necessary not only to measure acoustic velocities, dielectric constants, and density with high accuracy but also to select appropriately the propagation directions and the bulk wave modes. To find the appropriate direction and mode of the bulk wave, the equations between the acoustic velocities and the constants to be determined are as simple as possible, and the constants to be determined depend heavily on the acoustic velocities.

For bulk acoustic waves propagating along the X, Y, and Z axes, the X-axis longitudinal velocity $V_{X\ell}$, Z-axis shear velocity V_{Zs} , and Y-axis shear velocity with X-axis polarized particle displacement V_{YsX} , are related simply to the elastic constants in the following equations:

$$\rho V_{X\ell}^2 = c_{11}^E, \tag{2}$$

$$\rho V_{Zs}^2 = c_{44}^E, \text{ and} \tag{3}$$

$$\rho V_{YsX}^2 = c_{66}^E = (c_{11}^E - c_{12}^E)/2$$
, respectively. (4)

The constants c_{11}^E , c_{44}^E , and c_{66}^E can thus be determined independently from these three acoustic velocities and the density. The rotated Y-axis shear velocity with X-axis polarized particle displacement, V_{rYsX} , having the rotation angle θ , about the X axis from the Y axis to the Z axis, is represented as

$$\rho V_{rYsX}^2 = c_{14}^E \sin 2\theta + c_{44}^E \sin^2 \theta + c_{66}^E \cos^2 \theta.$$
 (5)

Using the (5), c_{14}^E can be determined with the measured velocity of V_{rYsX} and the previously determined c_{44}^E and c_{66}^E .

Furthermore, the following equations relate the X-axis shear velocity V_{Xs} and Y-axis longitudinal velocity $V_{Y\ell}$ to the acoustical physical constants:

$$\begin{vmatrix} c_{66}^E - \rho V_{Xs}^2 & c_{14}^E & -e_{22} \\ c_{14}^E & c_{44}^E - \rho V_{Xs}^2 & e_{15} \\ -e_{22} & e_{15} & -\varepsilon_{11}^S \end{vmatrix} = 0$$
 (6)

and

$$\begin{vmatrix} c_{11}^{E} - \rho V_{Y\ell}^{2} & -c_{14}^{E} & e_{22} \\ -c_{14}^{E} & c_{44}^{E} - \rho V_{Y\ell}^{2} & e_{15} \\ e_{22} & e_{15} & -\varepsilon_{11}^{S} \end{vmatrix} = 0.$$
 (7)

Because the four elastic constants in these two expressions were determined previously, e_{15} and e_{22} can be determined

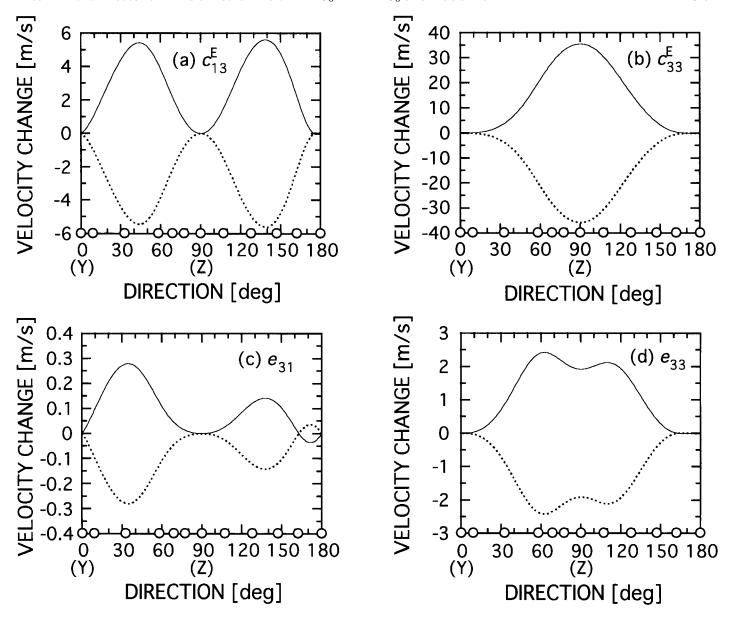


Fig. 2. Longitudinal velocity changes influenced by 1% increase and decrease of the published acoustical physical constants of LiNbO₃ [1]. Solid line = +1%, dotted line = -1%, o = angles of the crystalline planes on ASTM card.

from these two velocities. In this case, for two X-axis shear waves, the higher shear wave velocity with the larger electromechanical coupling factor is used.

Lastly, the remaining constants $(c_{13}^E, c_{33}^E, e_{31}, and e_{33})$ are determined using the longitudinal velocities for four rotated Y-cut specimens, including a Z-cut specimen. The rotated Y-axis longitudinal velocities $V_{rY\ell}$ are related to the acoustical physical constants in the following equation:

$$\begin{vmatrix} c_{11}^{E'} - \rho V_{rY\ell}^2 & c_{16}^{E'} & e_{11}' \\ c_{16}^{E'} & c_{66}^{E'} - \rho V_{rY\ell}^2 & e_{16}' \\ e_{11}' & e_{16}' & -\varepsilon_{11}^{S'} \end{vmatrix} = 0.$$
 (8)

The primed constants indicate that the constants have been subjected to coordinate transformation for the desired propagation direction. The constants $c_{11}^{E\prime}$, $c_{16}^{E\prime}$, and $c_{66}^{E\prime}$ are all functions of the five elastic constants except for c_{12}^{E} and the rotation angle θ for the rotated Y-cut spec-

imen; e'_{11} and e'_{16} are functions of the four piezoelectric constants and the rotation angle θ ; and $\varepsilon_{11}^{S'}$ is a function of the two dielectric constants and the rotation angle θ . To determine c_{13}^E , c_{33}^E , e_{31} , and e_{33} with high accuracy, it is necessary to select a proper propagation direction for which these constants have a large dependence on measured velocities. Therefore, we examined the longitudinal velocity changes while each constant $(c_{13}^E, c_{33}^E, e_{31}, \text{ and } e_{33})$ varies within the range of $\pm 1\%$ using the value in the literature [1]. Calculations for LiNbO $_3$ are shown in Fig. 2. For each constant, the crystalline plane that shows the largest velocity change of the crystalline planes specified in the ASTM card (i.e., (306) plane (147.24° Y-cut) for $c_{13}^E,\,(006)$ plane (Z-cut) for $c_{33}^E,\,(012)$ plane (32.76° Y-cut) for e_{31} , and $(02\underline{10})$ plane $(58.13^{\circ} \text{ Y-cut})$ for $e_{33})$ is selected as the specimen. Specimens for LiTaO₃ are selected in the same manner.

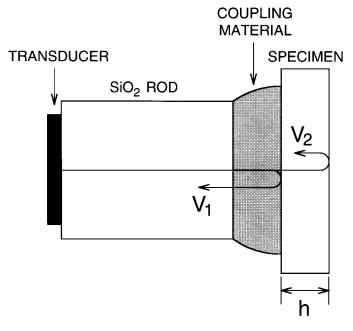


Fig. 3. Experimental arrangement of bulk wave ultrasonic velocity measurements by the pulse interference method.

IV. MEASUREMENT METHODS AND RESULTS

Velocities are mainly measured by the bulk ultrasonic pulse interference method using radio frequency (RF) tone burst signals [14], [15]. Fig. 3 shows the experimental arrangement for velocity measurement. Two plane wave ultrasonic devices for velocity measurements were prepared with a ZnO piezoelectric film transducer for longitudinal waves and an X-cut LiNbO₃ transducer for shear waves on cylindrical buffer rods of synthetic silica (SiO₂) glass. Coupling materials are pure water for longitudinal waves and a thin layer of bonding material salol (phenyl salicylate) for shear waves. Signals converted to acoustic waves propagate through the rod, couplant, and specimen as the waves are reflected and transmitted at each boundary and are reflected perfectly at the back surface of the specimen. When signals reflected from the front surface of the specimen, V_1 , and from the back surface, V_2 , are superposed in the time domain by the double-pulse method and the frequency is swept, the interference waveform shown in Fig. 4 is obtained. The velocity, V, is determined from the frequency interval in the interference waveform, Δf , and the thickness of the specimen, h, using the following equation:

$$V = 2\Delta f h. (9)$$

The specimen thickness is measured by a digital length gauging system with an accuracy of $\pm 0.1~\mu\mathrm{m}$. Here, the velocity measurement accuracy mainly depends on the thickness measurement accuracy (five significant figures). In shear velocity measurements, the influence of the phase change in the bonding layer on the acoustic velocity is compensated.

Using the ultrasonic pulse interference method, shear velocity can be accurately measured only when the parti-

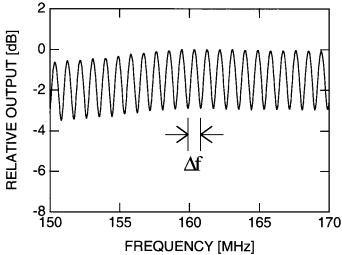


Fig. 4. Frequency response of interference output for a Z-cut LiNbO₃ specimen in longitudinal wave velocity measurement by the pulse interference method. The specimen thickness is $4029.5~\mu m$.

cle displacement is independent. The shear velocity when the particle displacement is not independent (i.e., X-axis shear velocity) is measured using a thickness mode resonator [16]. The acoustic velocity is obtained from the antiresonance frequency, f_a , specimen thickness, h, and order of overtone, n, using the following equation:

$$V = 2f_a h/n. (10)$$

The dielectric constant is obtained by measuring the capacitance of a plate with full electrodes. If the measurement frequency is set sufficiently lower than the lowest resonance frequency, the dielectric constant at constant stress, ε^T , can be measured with high accuracy. The dielectric constant at constant strain, ε^S , is then calculated using the following equation [16]:

$$\varepsilon_{ij}^S = \varepsilon_{ij}^T - e_{ip} s_{pq}^E e_{jq} \tag{11}$$

where s^E is the elastic compliance constant and is related by $s^E = (c^E)^{-1}$.

Density is measured based on the Archimedes method [17].

The acoustic velocities measured for the respective crystals are shown in Table II and Table III. The velocity was measured by the pulse interference method at around 150 MHz for longitudinal waves and 100 MHz for shear waves. The frequency range for measuring shear velocity by the resonance method is 3 to 13 MHz. The measured dielectric constants at constant stress and density are shown in Table IV. The dielectric constants were measured at around 10 kHz, and all measurements were performed at around 23.0°C.

V. Determination and Errors

The acoustical physical constants of $LiNbO_3$ and $LiTaO_3$ single crystals as determined are shown in Table V

Mode	Method	Specimen		Velocity (m/s)
Longitudinal wave	Pulse interference method	(300)	Y	6806.55 ± 0.26
		(012)	32.76° Y	7338.65 ± 0.31
		$(02\underline{10})$	58.13° Y	7314.62 ± 0.31
		(006)	\mathbf{Z}	7328.20 ± 0.32
		(306)	147.24° Y	6855.49 ± 0.29
		(110)	X	6544.53 ± 0.30
Shear wave	Pulse interference method	(300)	Y	3940.49 ± 0.21
		(104)	127.85° Y	3499.94 ± 0.21
		(006)	\mathbf{Z}	3590.41 ± 0.23
	Resonance method	(110)	X	4750.67 ± 0.59

 ${\bf TABLE~III}$ Measured Velocities of LiTaO $_3$ Specimens Used for Determination.

Mode	Method	Specimen		Velocity (m/s)
Longitudinal wave	Pulse interference method	(300)	Y	5746.11 ± 0.25
		(012)	32.98° Y	6332.93 ± 0.35
		(006)	\mathbf{Z}	6174.94 ± 0.35
		(10 <u>10</u>)	107.13° Y	6174.73 ± 0.28
		(306)	147.02° Y	5752.84 ± 0.26
		(110)	X	5589.17 ± 0.25
Shear wave	Pulse interference method	(300)	Y	3536.56 ± 0.18
		$(02\underline{10})$	58.35° Y	3377.72 ± 0.17
		(006)	\mathbf{Z}	3573.30 ± 0.29
	Resonance method	(110)	X	4214.48 ± 0.62

TABLE IV $\label{eq:measured} \mbox{Measured Dielectric Constants and Densities for LiNbO}_3 \\ \mbox{And LiTaO}_3 \mbox{ Single Crystals}.$

		${\rm LiNbO_3}$	${\rm LiTaO_3}$
Dielectric constant Density (kg/m ³)	$\begin{array}{c} \varepsilon_{11}^T/\varepsilon_0 \\ \varepsilon_{33}^T/\varepsilon_0 \\ \rho \end{array}$	83.3 ± 0.8 28.5 ± 0.3 4642.8 ± 0.2	53.0 ± 0.5 43.4 ± 0.4 7460.4 ± 0.4

and Table VI together with two representative well-known values found in the literature [1], [10]. When measurement errors were ± 0.3 m/s for acoustic velocity, $\pm 1\%$ for dielectric constant, and $\pm 0.005\%$ for density, the maximum error of each constant was estimated using the following method.

If the independent variables of acoustical physical constants are denoted by x_i (i=1 to 13) and the measured acoustic velocities, dielectric constants, and density by y_j (j=1 to 13), each measurement value can be expressed as follows:

$$y_i = f_i(x_i). (12)$$

If the measurement error Δy_j is contained in the measured value and the error in the constant to be determined is denoted by Δx_i , (12) can be expressed as follows:

$$y_j + \Delta y_j = f_j(x_i + \Delta x_i). \tag{13}$$

When (13) is expanded around variables x_i by the Taylor theorem and the second and higher order terms are ignored because of their minor errors, the following equation for errors can be obtained:

$$\Delta y_j = \frac{\partial f_j}{\partial x_1} \Delta x_1 + \dots + \frac{\partial f_j}{\partial x_{13}} \Delta x_{13}. \tag{14}$$

Solving this equation as simultaneous equations of j = 1 to 13, the following equation can be obtained:

$$\Delta x_i = a_{ij} \Delta y_i. \tag{15}$$

Therefore, the maximum error $|\Delta x_i|_{\text{max}}$ that may occur in variable x_i can be expressed as follows:

$$|\Delta x_i|_{\text{max}} = |a_{ij}| |\Delta y_j|. \tag{16}$$

The errors of c_{11}^E and c_{44}^E , which can be determined from a single velocity, are very small, but the errors of c_{13}^E , c_{33}^E , e_{31} , and e_{33} , which are determined using multiple velocities, are relatively large. This is because the errors of c_{11}^E and c_{44}^E can be obtained in (16) by summing two terms representing a single velocity and density, but the errors of c_{13}^E , c_{33}^E , e_{31} , and e_{33} are obtained by summing 13 terms. However, the probability of the maximum error occurring in those constants determined from multiple velocities is very small.

We compared the measured values of longitudinal velocity that were not used to determine the constants with

TABLE V $\label{eq:measured} \mbox{Measured and Published Acoustical Physical Constants of } \\ \mbox{LiNbO}_3 \mbox{ Single Crystal.}$

		Measured	Warner	Kovacs
		Measured		
			et al. [1]	et al. [10]
Elastic	c_{11}^E	1.9886	2.03	1.9839
constant		± 0.0003		± 0.0089
$(\times 10^{11} \text{ N/m}^2)$	c_{12}^{E}	0.5467	0.53	0.5472
		± 0.0004		± 0.0097
	c_{13}^{E}	0.6799	0.75	0.6513
		± 0.0055		± 0.0193
	c_{14}^{E}	0.0783	0.09	0.0788
		± 0.0002		± 0.0004
	c_{33}^{E}	2.3418	2.45	2.2790
		± 0.0075		± 0.0324
	c_{44}^{E}	0.5985	0.60	0.5965
		± 0.0001		± 0.0008
	c_{66}^{E}	0.7209	0.75	0.7184
		± 0.0002		
Piezoelectric	e_{15}	3.655	3.7	3.69
constant		± 0.022		± 0.06
(C/m^2)	e_{22}	2.407	2.5	2.42
		± 0.015		± 0.04
	e_{31}	0.328	0.2	0.30
		± 0.032		± 0.08
	e_{33}	1.894	1.3	1.77
		± 0.054		± 0.12
Dielectric	$\varepsilon_{11}^S/\varepsilon_0$	44.9	44	45.6
constant		± 0.4		± 1.5
	$arepsilon_{33}^S/arepsilon_0$	26.7	29	26.3
	~~.	± 0.3		± 1.6
Density	ho	4642.8	4.7×10^3	4628
(kg/m^3)		± 0.2		

the calculated values using the constants determined previously. The results for LiNbO $_3$ and LiTaO $_3$ single crystals are shown in Table VII and Table VIII. For both crystals, the differences between the measured and calculated values were 1 m/s or less. These results indicate that the acoustical physical constants determined above yield bulk acoustic wave velocities with an accuracy of four significant digits.

VI. DISCUSSION

The acoustical physical constants for LiNbO₃ and LiTaO₃ single crystals published so far in the literature [10] were mostly reliable, especially for SAW calculations because they were determined from SAW measurements for commercially available SAW wafers produced from congruent crystals [18]. We calculated the longitudinal velocities of all specimens used in the previously mentioned experiments using the constants in the literature [10] and compared the results with the measured values. The results for LiNbO₃ and LiTaO₃ are shown in Table IX and Table X. Remarkable differences in velocity were observed in almost all propagation directions. The maximum difference in velocity observed in LiNbO₃ was more than 100 m/s, and that in LiTaO₃ was more than 10 m/s.

TABLE VI

Measured and Published Acoustical Physical Constants of LiTaO $_3$ Single Crystal.

		Measured	Warner	Kovacs
			$et\ al.\ [1]$	$et\ al.\ [10]$
Elastic	c_{11}^{E}	2.3305	2.33	2.328
constant		± 0.0004		± 0.036
$(\times 10^{11} \text{ N/m}^2)$	c_{12}^{E}	0.4644	0.47	0.465
		± 0.0006		± 0.046
	c_{13}^{E}	0.8346	0.80	0.836
		± 0.0067		± 0.043
	c_{14}^{E}	-0.1075	-0.11	-0.105
		± 0.0004		± 0.002
	c_{33}^{E}	2.7522	2.75	2.759
		± 0.0114		± 0.050
	c_{44}^{E}	0.9526	0.94	0.949
		± 0.0002		± 0.004
	c_{66}^{E}	0.9331	0.93	0.932
	00	± 0.0002		
Piezoelectric	e_{15}	2.628	2.6	2.64
constant		± 0.022		± 0.27
(C/m^2)	e_{22}	1.831	1.6	1.86
		± 0.015		± 0.10
	e_{31}	-0.145	0.0	-0.22
		± 0.067		± 0.28
	e_{33}	1.849	1.9	1.71
		± 0.118		± 0.55
Dielectric	$\varepsilon_{11}^S/\varepsilon_0$	41.9	41	40.9
constant		± 0.4		± 3.9
	$\varepsilon_{33}^S/\varepsilon_0$	41.8	43	42.5
	00.	± 0.4		± 2.6
Density	ρ	7460.4	$7.45 imes 10^3$	7454
(kg/m^3)		± 0.4		

TABLE VII

Comparison of Longitudinal Wave Velocities Measured for other $LiNbO_3$ Crystalline Planes with those Calculated from the Determined Constants.

S	pecimen	Measured (m/s)	Calculated (m/s)	Difference (m/s)
(042)	9.14° Y	6998.21	6999.20	+0.99
(018)	68.77° Y	7305.47	7305.42	-0.05
$(01\underline{14})$	77.48° Y	7315.95	7316.01	+0.06
(10 <u>10</u>)	107.27° Y	7298.94	7298.78	-0.16
(104)	127.85° Y	7158.99	7158.76	-0.23
(202)	162.17° Y	6656.29	6655.91	-0.38
(223)	15.57° X	6714.03	6713.61	-0.42
(113)	29.13° X	6970.74	6970.49	-0.25
(229)	39.89° X	7128.89	7128.17	-0.72
(116)	48.10° X	7206.55	7205.91	-0.64
$(11\underline{12})$	65.84° X	7286.28	7285.57	-0.71
(410)	$100.89^{\circ} X(Z)$	6738.03	6738.77	+0.74

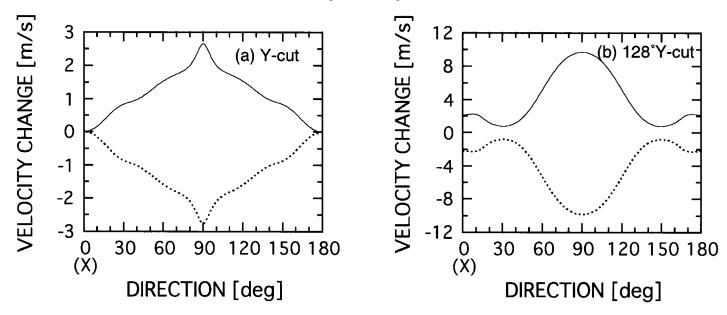


Fig. 5. SAW velocity changes influenced by a 1% increase and decrease of the published c_{33}^E of LiNbO₃ [1]. Solid line = +1%; dotted line = -1%.

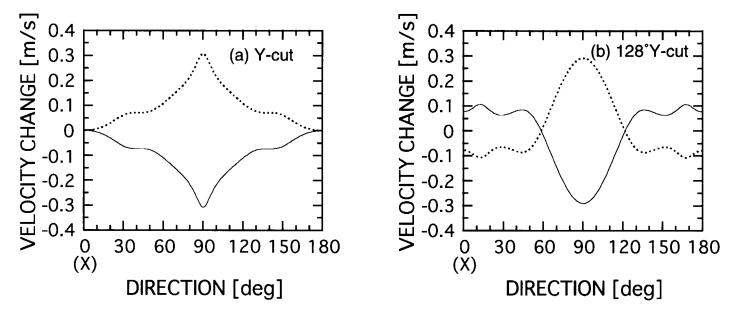


Fig. 6. SAW velocity changes influenced by a 1% increase and decrease of the published e_{33} of LiNbO₃ [1]. Solid line = +1%; dotted line = -1%.

The largest difference between the measured and calculated values was observed in the Z-axis longitudinal velocity for LiNbO₃, in which four acoustical physical constants, c_{33}^E , e_{33} , e_{33} , and ρ , are involved. The literature [10] referred to the values for the dielectric constants at constant stress and density in other literature. The accuracies of c_{33}^E and e_{33} reported are questionable. Therefore, we investigated SAW velocity changes for the free and shorted surface conditions while varying c_{33}^E and e_{33} by $\pm 1\%$ on the Y-cut and 128° Y-cut LiNbO₃ substrates, which were used to determine the constants in the literature [10], in the same manner as in Section III and using the values in the literature [1]. The SAW velocity changes for the

open surface condition with varying c_{33}^E and e_{33} are shown in Fig. 5 and 6. It can be seen that the dependences are small even in the direction of the largest dependence and are only one-quarter for c_{33}^E and one-tenth for e_{33} as compared with the dependences for the longitudinal velocities shown in Fig. 2. Therefore, we can say that the values of c_{33}^E and e_{33} from SAW velocities are determined less accurately than those from longitudinal velocities, resulting in a very large difference of 107 m/s for the Z-axis longitudinal velocity in LiNbO₃.

These discussions suggest that the accuracy of determining some constants in the literature [10] is poor because specimens and propagation directions with low de-

TABLE VIII

Comparison of Longitudinal Wave Velocities Measured for other ${\rm LiTaO_3}$ Crystalline Planes with those Calculated from the Determined Constants.

S	pecimen	Measured (m/s)	$\begin{array}{c} {\rm Calculated} \\ {\rm (m/s)} \end{array}$	$\begin{array}{c} {\rm Difference} \\ {\rm (m/s)} \end{array}$
(042)	9.21° Y	5965.66	5965.83	+0.17
$(02\underline{10})$	58.35° Y	6289.55	6289.83	+0.28
(018)	68.93° Y	6226.76	6226.93	+0.17
(104)	127.62° Y	6058.13	6058.32	+0.19
(202)	162.03° Y	5543.54	5543.19	-0.35
(113)	$29.33^{\circ} X$	5995.42	5995.03	-0.39
(116)	48.34° X	6179.72	6179.03	-0.69
(119)	59.32° X	6204.09	6203.45	-0.64
$(11\underline{12})$	66.02° X	6201.17	6200.73	-0.44
(410)	100.89° X(Z)	5705.21	5704.91	-0.30

TABLE IX $\begin{tabular}{ll} \hline Comparison of Longitudinal Wave Velocities Measured for \\ LiNbO_3 Specimens with those Calculated using Kovacs' \\ \hline Constants. \\ \hline \end{tabular}$

S	pecimen	Measured (m/s)	Calculated (m/s)	Difference (m/s)
(300)	Y	6806.55	6808.63	+2.08
(042)	9.14° Y	6998.21	6996.18	-2.03
(012)	32.76° Y	7338.65	7307.85	-30.80
$(02\underline{10})$	58.13° Y	7314.62	7235.57	-79.05
(018)	68.77° Y	7305.47	7210.04	-95.43
(0114)	77.48° Y	7315.95	7212.93	-103.02
(006)	\mathbf{Z}	7328.20	7221.55	-106.65
(10 <u>10</u>)	107.27° Y	7298.94	7200.01	-98.93
(104)	127.85° Y	7158.99	7091.47	-67.52
(306)	147.24° Y	6855.49	6825.97	-29.52
(202)	162.17° Y	6656.29	6650.59	-5.70
(110)	X	6544.53	6547.31	+2.78
(223)	15.57° X	6714.03	6706.52	-7.51
(113)	29.13° X	6970.74	6944.45	-26.29
(229)	39.89° X	7128.89	7083.44	-45.45
(116)	48.10° X	7206.55	7145.59	-60.96
$(11\underline{12})$	65.84° X	7286.28	7194.37	-91.91
(410)	$100.89^{\circ} X(Z)$	6738.03	6740.99	+2.96

pendence on SAW velocities were used. By considering the dependence of each constant on SAW velocity and selecting appropriate specimens and propagation directions, the acoustical physical constants can be determined with higher accuracy using SAW velocities.

VII. CONCLUSION

In this paper, we determined all of the independent components of acoustical physical constants of commercially available, SAW-grade LiNbO₃ and LiTaO₃ single crystals by measuring the bulk acoustic wave velocities, dielectric constants, and densities of many plate specimens. Furthermore, we examined the maximum error that may occur in each constant by considering the dependence of each constant on the measured velocities. We then com-

TABLE X

Comparison of Longitudinal Wave Velocities Measured for LiTaO $_3$ Specimens with those Calculated using Kovacs' Constants.

S	pecimen	Measured (m/s)	Calculated (m/s)	Difference (m/s)
(300)	Y	5746.11	5753.07	+6.96
(042)	9.21° Y	5965.66	5972.45	+6.79
(012)	32.98° Y	6332.93	6327.96	-4.97
$(02\underline{10})$	58.35° Y	6289.55	6277.44	-12.11
(018)	68.93° Y	6226.76	6216.90	-9.86
(006)	\mathbf{Z}	6174.94	6168.97	-5.97
(10 <u>10</u>)	107.13° Y	6174.73	6167.17	-7.56
(104)	127.62° Y	6058.13	6051.42	-6.71
(306)	147.02° Y	5752.84	5751.02	-1.82
(202)	162.03° Y	5543.54	5545.27	+1.73
(110)	X	5589.17	5588.52	-0.65
(113)	29.33° X	5995.42	5991.10	-4.32
(116)	48.34° X	6179.72	6169.47	-10.25
(119)	59.32° X	6204.09	6193.21	-10.88
$(11\underline{12})$	66.02° X	6201.17	6191.22	-9.95
(410)	100.89° X(Z)	5705.21	5710.06	+4.85

pared the measured longitudinal velocities that were not used to determine the constants with the calculated values using the constants determined here and found a difference of 1 m/s or less. From this result, we can say that the acoustical physical constants determined here can yield bulk acoustic wave velocities with an accuracy of four significant digits.

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