

(DAA) Design And Analysis Of Algorithms.

unit - I: Introduction to Algorithms.

fundamentals of algorithmic problem solving, analysis of framework, Performance Analysis:- Space complexity, Time complexity, Growth Of functions:- Asymptotic notation, Big O notation, Omega notation, Theta notation, Little Oh.

unit - II: Divide And Conquer.

Divide And Conquer: General method, applications - Binary Search, Quick Sort, Merge Sort, finding the maximum and minimum.

unit - III: Greedy Method.

The General Method, Knapsack Problem, Job Sequence with Deadlines, Minimum and Spanning Trees, Prim's Algorithm, Kruskal's Algorithm, Optimal Merge Patterns, Single Source shortest Paths.

unit - IV: Dynamic Programming.

General method, applications- Matrix chain multiplication, Optimal binary search trees, 0/1 knapsack Problem, All pairs shortest path problem, Travelling sales person problem, Reliability design.

unit - V: Back tracking

General method, applications- n-queen problem, sum of subsets problem, graph coloring, Hamiltoniancycles.

Branch & Bound: General method, applications - Travelling sales person problem, 0/1 knapsack Problem, L-C Branch & Bound solution, FIFO Branch & Bound Solutions.

Text Books:

fundamental of computer algorithms, Ellis Horowitz, Satraj Sahni and Rajeshwaran, University Press.

Reference Books:

1. Introduction to the design and analysis of Algorithm - 3rd edition
Anany Levitin, Pearson Education, 2017.
2. Introduction to Algorithms - 2nd edition, T.H Cormen, C.E. Leiserson, R.L Rivest, C.S. Stein, PHTL Pvt. Ltd/ Pearson Education.
3. Design and Analysis of Algorithms - Aho, Ullman and Hopcroft, Pearson Education.
4. Algorithms - Richard Johnsonbaugh & Marcus Schaefer, Pearson Education.

UNIT - I: Introduction to Algorithms

Algorithm: An algorithm is a sequence of computational steps to solve a problem. to complete a task.

(01)

An algorithm is a set of instructions that are carried out in a specific order to perform a specific task.

Pseudo Code: Pseudo code is an artificial language and informal that helps programmers to develop an algorithm.

Eg: Addition of two numbers.

1. start
2. Read a, b
3. add a, b
4. store result in c
5. print c
6. stop.

Eg: Average of 3 numbers.

1. start
2. Read a, b, c
3. add a, b, c
4. divide sum by no. of variab
5. store the result in d
6. stop.

Characteristics (or) Properties of an algorithm:

1. Input / Output:

Each algorithm must take 0, 1 (or) more quantities as I/p and should produce atleast one O/P.

2. Finiteness: An algorithm should terminate in a finite no. of steps.

3. Definiteness (or) unambiguous: Each step of algorithm must be clear (i.e, it should not contain ambiguity)

4. Effectiveness:

Algorithm should contain only necessary steps it should not contain any unnecessary statements.

5. Generality: Algorithm should run for any type of I/p (or) data.

connections of Algorithms:

1. An algorithm is a procedure, it has two parts' first part is head and second part is body.
2. The head section consists of keyword algorithm and name of the algorithm with parameter list.
Eg: Algorithm name (P₁, P₂, P₃, ..., P₅)
The head section also has the following
 - // Problem Description
 - // Input
 - // Output
3. In the body of an instruction algorithm various programming constructs like if, for, while and some statements like assignments are used.
4. The compound statements may be enclosed with { and } brackets if, for, while can be open and closed by {, } respectively, proper indentation is must for block.
5. Comments are written using // at the begining.
6. The identifier should begin by a letter and not by digit
It contains alphanumeric letters after first letter no need to mention data types.
7. The left arrow ":" used as assignment operator.
Eg: -v := 10.
8. Boolean operators (True/False), Logical operators (AND, OR, NOT) and relational operators (<, <=, >, >=, ==, +, <>) are also used.

1. Input and output can be done using read and write.
2. Array [] , if then also contain branch and loop can be also used in algorithm.

Eg: Algorithm for fibonacci series using recursion.

contrast b/w algorithm and pseudocode.

Algorithm

1. It is a step by step description of the solution.
2. It is always a real algorithm and does not use fake codes.
3. They are a sequence of solutions to a problem.
4. It is systematically written code.
5. They are an unambiguous way of writing code.
6. They can be considered as pseudo code.
7. There are no rules to writing algorithms.

Pseudocode

1. It is an easy way for writing algorithm.
2. These are fake codes.
3. They are representation of algorithms.
4. These are simpler ways of writing a code.
5. They are a method of describing codes written in an algorithm.
6. They can't be considered as pseudo code.
7. Contains rules to write pseudo code are there.

Difference b/w Algorithm and Program.

Algorithm

Program

- | | |
|---|---|
| 1. It is a step by step process for solving computational problem. | 1. A program is nothing but set of instructions (or) executable code. |
| 2. An algorithm is designed by domain knowledge (designer) | 2. The program can be implemented by specific programmer |
| 3. An algorithm is done at design time. | 3. Program is done at compilation time. |
| 4. Any language.
Eg: mathematical solution,
general english statements. | 4. Any Programming language
Eg: C, C++, Java, etc... |
| 5. After compilation of your algorithm you have to analyze based on some criterias, such as time complexity & space complexity. | 5. After completion of writing your program you have to do test your program. |

Algorithm for matrix addition:

```
{  
    for i = 1 to m  
    {  
        for j = 1 to n  
        {  
            c[i,j] = a[i,j] + b[i,j]  
        }  
    }  
}
```

$$\text{Time complexity} = 2mn + 2m + 1$$

Performance and Analysis Of Algorithms

An algorithm is said to be efficient and fast, if take less time to execute and compare less memory space.

In order to analyze the performance of an algorithm we use (i) Time complexity.
(ii) Space complexity.

(i) Time complexity:

The amount of time that the algorithm requires for its execution is known as time complexity.

1. Best case time complexity:

If an algorithm requires minimum amount of time for its execution then it is known as best case time complexity.

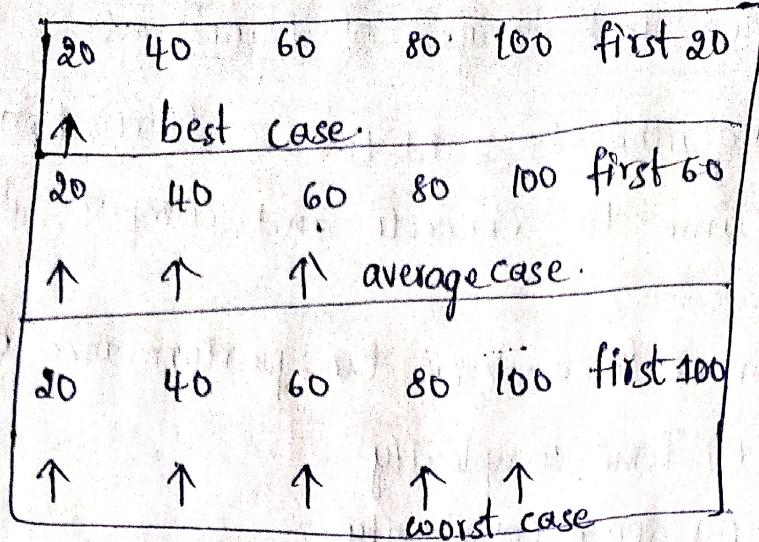
2. Worst case time complexity:

If an algorithm requires maximum amount of time for its execution then it is known as worst case time complexity.

3. Average case time complexity:

If an algorithm requires average amount of time for its execution then it is known as average case time complexity.

Eg: linear search! It is searching element one by one until key element is found.



Pseudo code Approach:

Algorithm for even (or) odd

```
{
    if (number % 2 == 0) then
        print "even",
    else
        print "odd",
}
```

Eg 2: Algorithm for sum of elements of an array.

Algorithm sum of array(a,n)

```
{
    s = 0, i = 1
    for i = 1 to n do
        s = s + a[i], i = i + 1
    return s
}
```

time complexity: $an + 3$.

There are two approaches of time complexity.

(i) frequency count (or) step count

(ii) Asymptotic notations-

Components of time complexity:

1. Capacity of system: if speed of computer is fast then OLP will be generated quickly otherwise slowly.
2. computer contains single processor (or) multiple processor:
if computer contains single processor then OLP will be generated slowly.
if computer contains multiple processor then OLP will be generated quickly.

Space complexity: The amount of space that an algorithm requires for its execution is known as space complexity.

Three types:

1. Best case space complexity: If an algorithm requires minimum amount of space then it is bestcase.
2. worst case: If an algorithm requires maximum amount of space.
3. Average case: Requires average amount of space

Components of space complexity:

1. Instruction space: Space required in the computer in order to store instruction is known as instruction space.
2. Environmental stack: Amount of space required to store partially-executed function.
3. Data space: space required in order to store variables and constants.

space complexity of an algorithm is calculated by using two parts.

1. fixed part - variables that are independent characteristic i.e., constants.

2. variable part - variables that have dependent characteristics i.e., variables.

$$S(P) = C + SP$$

space complexity of a program constant (or)
variable part (or)
fixed part

instance characteristics

Eg 1: $abc(x, y, z)$

$$\text{return } x+y+z+(x-y)$$

$$\begin{aligned} S(P) &= C + S \cdot P \\ &= 3 + 0 \\ &= 3 \end{aligned}$$

Eg 2: int square(int a)

$$\left\{ \begin{array}{l} \text{return } a \cdot a; \\ \end{array} \right.$$

$$\begin{aligned} S(P) &= C + S \cdot P \\ &= 1 + 0 = 1 \end{aligned}$$

Eg 3: sum(a, n)

$$\left\{ \begin{array}{l} \text{total } = 0; \\ \text{for } i=1 \text{ to } n \text{ do} \\ \quad \text{total } + = a[i] \\ \end{array} \right.$$

$$\begin{aligned} S(P) &= C + S \cdot P \\ &= 3 + n \end{aligned}$$

2. Asymptotic Notations: By using Asymptotic notations we can calculate time complexity of an algorithm. By using asymptotic notations, we can calculate Best case time complexity, worst case time complexity, average case time complexity of an algorithm.

five types

1. Big Oh notation (O)

f_0, f_1, f_2

$$f_2 = f_0 + f_1$$

2. Big-Omega notation (Ω)

$f_0 = f_2$

3. Theta notation (Θ)

4. Little Oh notation (o)

$$f_n = f_{n-1} + f_{n-2}$$

$\forall n \geq 2$

5. little omega notation (ω)

1. Big Oh notation (O):

It mainly represents upper bound of an algorithm.

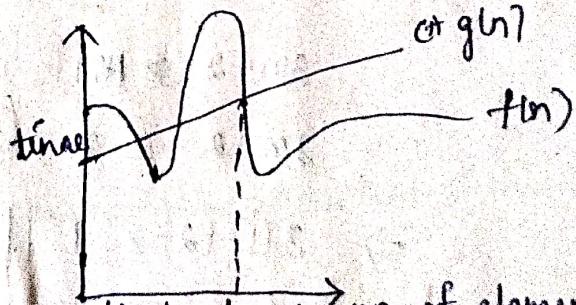
runTime

→ By using Big Oh notation, we can calculate maximum amount of time that an algorithm.

Def: let $f(n), g(n)$ be two non-ve functions, then $f(n) = O(g(n))$

if there exist two tve constants c, n_0 such that

$$f(n) \leq c g(n) \quad \forall n \geq n_0$$



Eg: $f(n) = 3n+2$; $g(n) = n$ prove that $\exists n_0$ no. of elements $f(n) = O(g(n))$

$$f(n) = O(g(n))$$

Sol: In order to prove $f(n) = O(g(n))$ we need to satisfy condition

$$f(n) \leq c \cdot g(n) \quad \forall n \geq n_0$$

$$3n+2 \leq c \cdot n$$

$$3n+2 \leq 4 \cdot n \quad [\text{take } c=4]$$

$$3n+2 \leq 4 \cdot n \quad (\text{let } n_0=1)$$

$$\text{let } n_0=1 \Rightarrow 5 \leq 4 \quad (\times) \text{ false}$$

$$\text{let } n_0=2 \Rightarrow 3(2)+2 \leq 8 \Rightarrow 8 \leq 8 \quad (\checkmark) \text{ true}$$

for $c=3$ the condition does not satisfy.

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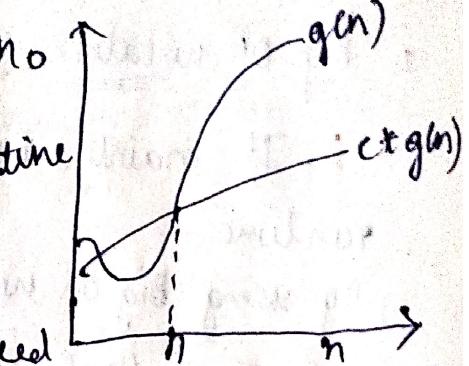
② Big Omega notation (Ω)

- It mainly represents lower bound of algorithm runtime.
- By using Big Omega notations, we can calculate minimum amount of time that an algorithm.

Def: let $f(n), g(n)$ be two non negative functions then

$f(n) = \Omega(g(n))$ iff there exists two +ve constants c, n_0 such that $f(n) \geq c * g(n) \quad \forall n \geq n_0$

Eg: $f(n) = 3n+2$, $g(n)$ prove that $f(n) = \Omega(g(n))$



Sol: In order to prove $f(n) = \Omega(g(n))$, we need to satisfy conditions $f(n) \geq c * g(n) \quad \forall n \geq n_0$ no. of elements

$$3n+2 \geq c * n \quad (\text{take } c=1)$$

$$3n+2 \geq n$$

$$3n+2 \geq n \quad (\text{take } n \rightarrow n_0 = 1)$$

$$\Rightarrow 3(1)+2 \geq 1 \quad 5 \geq 1 \quad (\text{true})$$

$$f(n) \geq c * g(n) \quad \forall n \geq 1$$

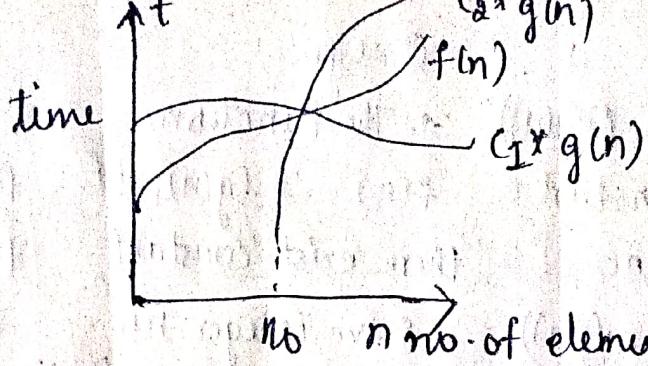
③ Theta notation (Θ)

- It represents average bound of algorithm at runtime.
- By using theta notation we can calculate average amount of time that algorithm requires for its execution i.e., average case time complexity of an algorithm.

Def: let $f(n), g(n)$ be two non negative functions then

$f(n) = \Theta(g(n))$ if there exist three constants c_1, c_2, n_0

such that $c_1 * g(n) \leq f(n) \leq c_2 * g(n) \quad \forall n \geq n_0$



Eg: $f(n) = 3n + 2$; $g(n) = n$ PT $f(n) = O(g(n))$

Sol: In order to prove $f(n) = O(g(n))$, we need to satisfy

$$c_1 * g(n) \leq f(n) \leq c_2 * g(n) \quad \forall n \geq n_0$$

$$c_1 * n \leq 3n + 2 \leq c_2 * n$$

$$\text{let } c_1 = 1, c_2 = 4$$

$$\text{then } n \leq 3n + 2 \leq 4n$$

$$\text{for } n_0 = 1 \quad 1 * 1 \leq 3(1) + 2 \leq 4 * 1$$

$$1 \leq 5 \leq 4 \text{ (false)}$$

$$\text{for } n_0 = 2 \quad 1 * 2 \leq 3(2) + 2 \leq 4 * 2$$

$$2 \leq 8 \leq 8 \text{ (true)}$$

4) little Oh notation: - (O)

let $f(n), g(n)$ be two non negative functions then

$f(n) = O(g(n))$ such that

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

5) little omega notation: - (w)

let $f(n), g(n)$ be two non -ve functions then

$f(n) = w(g(n))$ such that

$$\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = 0$$

Big Oh

- 1. The function $f(n) = O(g(n))$ iff there exist constant c and +ve integer no such that $f(n) \leq c \cdot g(n)$ $\forall n \geq n_0$.
- 2. It is indicated by ' O '.
- 3. Represents worst case time complexity.
- 4. It takes maximum amount of time.
- 5. It is an upper bound.

Omega

- 1. The function $f(n) = \Omega(g(n))$ iff there exist constant $c & +ve$ integer no such that $f(n) \geq c \cdot g(n)$ $\forall n \geq n_0$.
- 2. It is indicated by ' ω '.
- 3. Represents best case time complexity.
- 4. minimum amount of time.
- 5. It is an lower bound.

Theta

- 1. The function $f(n) = \Theta(g(n))$ iff there exists constant c_1, c_2, n_1 such that $c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$ $\forall n \geq n_1$.
- 2. Represented by θ .
- 3. average case time.
- 4. average amount of time.
- 5. It is an average bound.

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Order of Growth: Measuring the performance of algorithm with input size n in order of growth.

n	$\log_2 n$	$n \log_2 n$	n^2	2^n
1	0	0	1	2
2	1	2	4	4
4	2	8	16	16
8	3	24	64	256
16	4	64	256	65536
32	5	160	1024	4294967296

from the above, it is clear that $\log_2 n$ is slowest growth function. The 2^n (exponential) function is fastest growth function.

Practical Complexity

Big Oh

The function $f(n) = O(g(n))$ iff there exist constant c and +ve integer no such that $f(n) \leq c \cdot g(n)$

$\forall n \geq n_0$

2. It is indicated by ' O '

3. Represents worst case time complexity.

4. It takes maximum amount of time.

5. It is an upper bound.

Omega

The function $f(n) = \Omega(g(n))$ iff there exist constant $c &$ +ve integer n_0 such that $f(n) \geq c \cdot g(n)$

$\forall n \geq n_0$

2. It is indicated by ' Ω '

3. Represents best case time complexity.

4. minimum amount of time.

5. It is an lower bound.

Theta

The function $f(n) = \Theta(g(n))$ iff there exists constant c_1, c_2, n such that $c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$

2. Represented by Θ

3. average case Time

4. average amount of time.

5. It is an average bound.

16/11/2023

Order of Growth: Measuring the performance of algorithm with input size n in order of growth

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Practical Complexity

$O(1)$

Practical Complexity	
Biggest	$O(1)$ → constant time
	$O(\log n)$ → log time
	$O(n)$ → linear time
	$O(n\log n)$ → log linear time
	$O(n^2)$ → Quadratic time
	$O(n^3)$ → cubic time
	$O(n^x)$ → polynomial time
↓	$O(2^n)$ → exponential time
Lowest	$O(n!)$ → factorial time.

frequency count (or) step count

In order to calculate time, we use frequency method. It specifies the number of times a statement to be executed.

- Rules:
1. for elements, declarations step count is '0'. (celo)
 2. for return and assignment statements step count is '1'.
 3. Ignore lower order exponents when higher order exponents are present.
 4. Ignore constants.

Eg: Algorithm for sum of elements in array.

Algorithm sum (A, n)

8	3	4	7	2
0	1	2	3	4

$n = 5$

$i = 0$

$i = 1$

$i = 2$

$i = 3$

$i = 4$

$i = 5 X$

$s = 0 \longrightarrow 1$

$\text{for}(i=0; i < n; i++) \longrightarrow n+1$

{

$s = s + A[i]; \longrightarrow n$

}

$\text{return } s; \longrightarrow 1$

{}

$$\text{time complexity: } 1 + (n+1) + n+1 \\ = 2n+3$$

Hence ^{time} space complexity as $O(n)$

space complexity :-

A	—	n
n	—	1
s	—	1
i	—	1

$$s(n) = \frac{n+3}{n+3}$$

Hence space complexity $\rightarrow O(n)$

Eg: Algorithm for sum of two matrices.

Algorithm Add(A, B, n)

```

for(i=0; i < n; i++)           — n+1
{
    for(j=0; j < n; j++)       — n(n+1)
    {
        c[i][j] = A[i][j] + B[i][j] — n^2
    }
}
time complexity = n+1 +  $\frac{n^2+n}{n^2}$ 
=  $2n^2 + 2n + 1$ 

```

time complexity is $O(n^2)$

Space complexity: A — n^2

$$\begin{array}{l}
B - n^2 \\
C - n^2 \\
n - 1 \\
i - 1 \\
j - 1 \\
\hline
s(n) = \frac{3n^2+3}{3n^2+3}
\end{array}$$

Eg: Algorithm for matrix multiplication:

Algorithm multiply (A, B, n)

{

for ($i=0$; $i < n$; $i++$)

{

for ($j=0$; $j < n$; $j++$)

{

$c[i][j] = c[i][j] + A[i][k] * B[k][j]$

}

}

∴ Time complexity $= 2n^3 + 3n^2 + 2n + 1$

$$T(n) = O(n^3)$$

Space complexity:

$$A \longrightarrow n^2$$

$$B \longrightarrow n^2$$

$$c \longrightarrow n^2$$

$$i \longrightarrow 1$$

$$j \longrightarrow 1$$

$$k \longrightarrow 1$$

$$\frac{1}{3n^2+4}$$

Recurrence Relation of Recursive algorithms

Recurrence relation is an equation that recursively defines a sequence. The recurrences can be solved by substitution method".

int factorial(int n)

1

$$f(n=2) = 1 \quad \text{---} \quad (1)$$

{ } Base

return 15] → ①

3

else

1

return n + factorial(n-1); // recursive

↳ recurrence

1

$$T(n) = \begin{cases} T(n-1) + 2 & \text{if } n > 1 \\ 1 & \text{if } n = 1 \end{cases}$$

so we have $\tau(6) = \tau(6-1) + 1 \rightarrow ①$

$$T(n-1) = T(n-2) + 1 \rightarrow \textcircled{2}$$

$$T(n-2) = T(n-3) + 1 \rightarrow \textcircled{3}$$

$$\text{Now } ① \Rightarrow T(n) = T(n-2) + 1 + 1$$

$$T(n) = T(n-3) + 1 + 1 + 1$$

$$T(6) = 3 + T(6-3)$$

$$T(n) = k + T(n-k)$$

If $n-k=1$ then algorithm will stop
 $\Rightarrow k=n-1$

$$\begin{aligned} \therefore T(n) &= n-1 + T(n-(n-1)) \\ &= n-1 + T(1) \\ &= n-1+1 \\ &= n \end{aligned}$$

Hence the efficiency of the recursive function is "n".

② Solve the recurrence relation and find the time complexity $T(n) = 2T(n/2) + n$ and $T(1) = 2$

Sol: we have $T(n) = 2T(n/2) + n \rightarrow ① ; T(1) = 2$

from ① we have $T(n/2) = 2(n/4) + n/2 \rightarrow ②$

$T(n/4) = 2(n/8) + n/4 \rightarrow ③$

from 2 then ① $\rightarrow T(n) = 4 + (n/4) + 2n \rightarrow ④$

use the recurrence relation and find the time complexity.

$$T(n) = 2T\left(\frac{n}{2}\right) + n ; T(1) = 2 \quad \text{--- } ①$$

from ① we have

$$T(n) = 2^k + \left(\frac{n}{2^k}\right) + kn$$

$$\Rightarrow \frac{n}{2^k} = 1 \Rightarrow n = 2^k \\ \Rightarrow \log_2 n = k$$

$$T(n) = n \cdot T(1) + \log_2 n$$

$$T(n) = n \cdot 2 + n \log_2 n$$

$$T(n) = 2n + n \log_2 n$$

so complexity $\Theta(n \log_2 n)$

Solve the recurrence relation $T(n) = T(n-1) + n$

$n=1$ and find the time complexity.

Sol: we have $T(n) = T(n-1) + n \quad \text{--- } ①$ and $T(1) = 1$

Replace n by $n-1$ in ①

$$\Rightarrow T(n-1) = T(n-2) + n-1 \quad \text{--- } ②$$

Again Replace n by $n-2$ in equ ①

$$T(n-2) = T(n-3) + n-2 \quad \text{--- } ③$$

Substitute equ ③ in equ ①

$$T(n-1) = T(n-2) + n-1 + n \quad \text{--- } ④$$

putting equ④ in equ③ we got

$$T(n) = T(n-3) + (n-2) + (n-1) + n$$

The General equation is

$$T(n) = n + (n-1) + (n-2) + \dots + (n-k) + (n-(k+1))$$

$$\text{If } n - (k+1) = 1$$

$$n - k = 2$$

$$\therefore T(n) = n + (n-1) + (n-2) + \dots + 2 + T(1)$$
$$= 1 + 2 + 3 + \dots + n$$

$$T(n) = \frac{n(n+1)}{2} = \frac{n^2}{2} + \frac{n}{2}$$

Time complexity $\approx O(n^2)$

Amortized Analysis:

Finding average running time for operation over the worst case sequence of operations.

1. Amortized Analysis doesn't allow Random numbers -
2. Amortized analysis and average case analysis are different
3. In case of average analysis we can find averaging all the inputs, but whereas amortized analysis we are averaging over a sequence of analysis.

To compute amortized analysis we can follow the following techniques.

- (i) Aggregate method
- (ii) Accounting method
- (iii) Potential method.

(ii) Aggregate method:

1. It is used to find the cost total per "n" no. of worst case sequence of operation.

2. Aggregate method = $\frac{T(n)}{n}$

where $T(n)$ = total cost

n = no. of operations.

(iii) Accounting method:

→ By using accounting method we can find individual cost for an operation.

→ Finally we found total cost is $\frac{T(n)}{n}$

(iv) Potential method:

→ It is like a accounting method but operations depend upon its lower cases and upper cases.

Note: upper bound, lower bound and aggregate average bound can be represented by following statements

$$1 < \log n < \sqrt{n} < n < n \log n < n^2 < n^3 < \dots < 2^n < 2^3 \dots < 2^n$$

Explain about analysis framework briefly?

It is a systematic approach applied for analysing any given algorithm

① Measuring time complexity

② Measuring space complexity.

③ Computing Order of growth of algorithms

④ Measuring input size.

⑤ Measuring running time

⑥ Computing Best case, worst case and average case efficiencies.

④ Measuring Input size:

The efficiency of an algorithm can be computed as a function. Input size is passed as a parameter.

It can be (i) Exact Value

(ii) approximate value.

Eg: spell - checking algorithm

→ number of characters

→ number of words - input size.

⑤ Measuring Run time:

from terms in algorithm

(i) identify basic operations

(ii) understand the concept of basic operations

(iii) compute the total number of time taken by basic operations.

formula used is $t(n) = C_{op} \cdot C(n)$

where $t(n)$ - running time of basic operation

C_{op} - time taken by basic operation to execute

$C(n)$ - no. of times the operation need to be executed.

⑥ Completing Best case, worst and average case Efficiency

Linear Search: If the searching element is at starting index It is best case. Its time complexity

is $O(1)$

→ If the search element is at last index (n). Its time complexity is $O(n)$ (worst case)

$$\begin{aligned}\text{Average Case time complexity} &= \frac{1+2+3+\dots+n}{n} \\ &= \frac{n(n+1)}{2n} \\ &= \frac{n+1}{2}\end{aligned}$$

Time complexity is $O(n)$.

