

## UNIT - IV

Dynamic Programming : The General Method, All pair shortest path problem, optimal binary search tree, 0/1 knapsack problem, Reliability design, the traveling salesperson problem, Matrix chain multiplication.

General Method :-

Dynamic programming is an algorithm design method that solves a given complex problem by breaking it into subproblems, solving each of those subproblems just once and storing their result in an array.

Dynamic programming follows a principle called "principle of optimality".

Principle of optimality states that an optimal sequence of decisions has the property that what ever the initial state and decision are, but the remaining decisions must constitute an optimal decision sequence with regard to the state resulting from the first decision.

The two properties of a problem that suggest that the given problem can be solved using dynamic programming

- (1) optimal substructure
- (2) overlapping sub problems

optimal substructure :-

A given problem has optimal substructure property, when optimal solution of the given problem can be obtained by using optimal solution of its subproblems.

(1) Ex:- the shortest path problem has the optimal substructure property.

### overlapping Subproblems :-

- Like divide and conquer, dynamic programming combines the solutions of subproblems.
- Dynamic programming is mainly used when the solutions of same (overlapping) subproblems are needed again and again. The computed solutions of subproblems are stored in a table so that these subproblems don't have to be recomputed.

Ex:- Consider recursive program for fibonacci numbers, there are many subproblems which are solved again and again.

we can see that the function fib(i) is being called 2 times. If we would have stored the value of fib(i), then instead of computing it again, we could have reused the old stored value

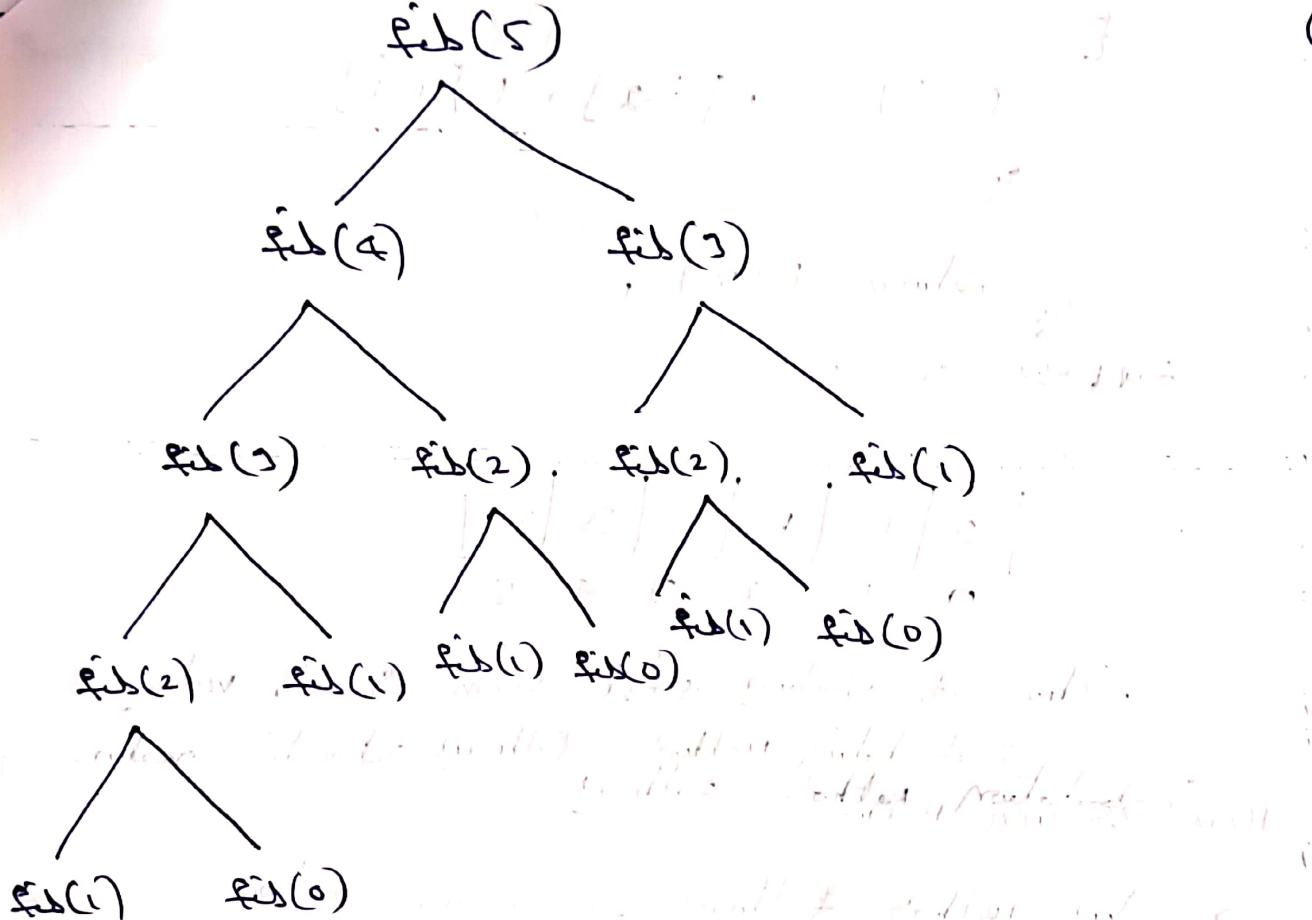
```
int fib(int n)
```

```
{  
    if(n<=1)  
        return n;  
    else  
        return fib(n-1) + fib(n-2);  
}
```

```
return fib(n-1) + fib(n-2);
```

fibonacci numbers are calculated in a bottom up manner, starting from 0 and 1, adding previous two to get next one, till we reach the required number.





Due to overlapping subproblems, fib(1) is calculated multiple times.

So we need to store the values, so that the values can be reused in the problem.

There are two different ways to store the values, i.e. Tabulation and Memorization.

Tabulation method :-

for a given problem, Tabulation method builds a table in bottom-up approach and returning the last entry from the table.

Ex:- `int fib(int n)`

{  
    if ( $n \leq 1$ )  
        return  $n$ ;

    else  
        return  $n = f(i-1) + f(i)$ ;

$$f(0) = 0$$

$$f(1) = 1$$

for ( $i=2, i \leq n, i++$ )

$$f(i) = f[i-2] + f[i-1]$$

g

return  $f(n)$ ;

Suppose  $n = 5$

F

0	1	1	2	3	5
0	1	2	3	4	5

filling up values first from small values.

Tabulation method follows iterative nature.

Memorization method:-

→ This method follows recursive nature

→ This method follows Top-down approach

→ In this method, we initialize a look-up table with initial values as -1. Whenever we need the solution to a subproblem, we first look into the look-up table; then we return that value, otherwise

If the precomputed value is there

we calculate the value and put the result in the look-up table, so that it can be reused later.

Ex:-

```
int fib(int n)
{
```

if ( $n <= 1$ ) then

return  $n$ ;

else

return  $fib(n-2) + fib(n-1)$ ;

g

Suppose  $n = 5$  and other details have been given.

Now we can write down the recurrence relation.

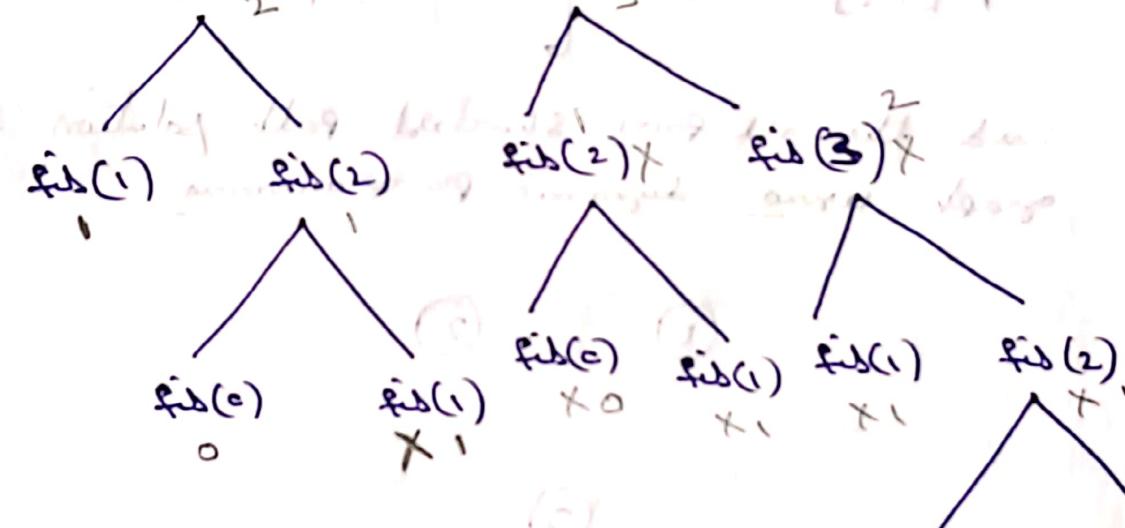
$$F = \begin{bmatrix} x^0 & 1 & x & x^2 & x^3 & x^4 \end{bmatrix} \quad \text{and}$$

initial condition is  $F_0 = [1 : 1 : 1 : 1 : 1]$ .

Now we will draw the tree diagram for  $fib(5)$ .

initially all children nodes are  $x^0$ .

$fib(5) = fib(4) + fib(4)$



$fib(n)$  takes  $n+1$  time.

$fib(5)$  takes  $5+1=6$  times.

### All pairs shortest path problem (Floyd-Warshall)

→ Suppose the given graph is weighted and connected graph.

→ The objective of all pairs shortest path problem is to find shortest path between each and every pair of nodes present in the given graph.

Time complexity:

→ To find shortest path between every pair nodes using dynamic programming we need to find  $A^k[i,j]$  where  $k=0$  to  $n$ .

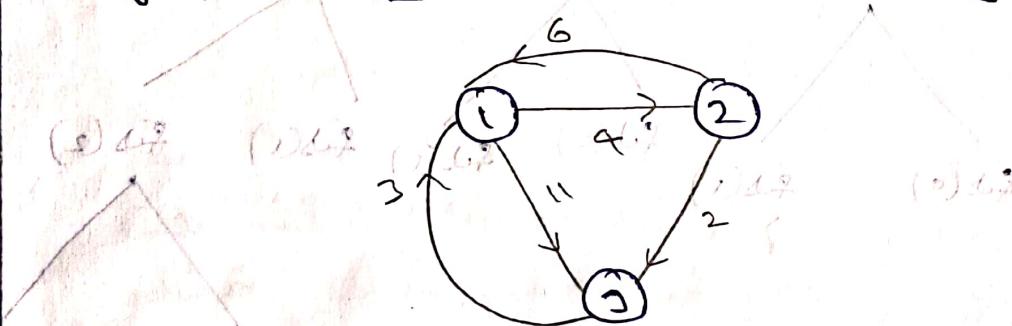
$A^k[i,j]$  represents path between  $i$  to  $j$  via  $k$ .

→ If  $k=0$ , we can write  $A^0$  from the given graph i.e.  $A^0 = W[i,j]$

If  $k \geq 1$  we can compute the following

$$A^k[i,j] = \min \left\{ A^{k-1}[i,j], A^{k-1}[i,k] + A^{k-1}[k,j] \right\}$$

Ex:- Find the all pairs shortest path solution for the graph using dynamic programming.



now convert the given graph into matrix form

$$A^0 = \begin{bmatrix} 0 & 4 & 11 \\ 6 & 0 & 2 \\ 3 & 0 & 0 \end{bmatrix}$$

(Now we have to find  $A^1$  matrix)

$$\text{Let } A^1 = \begin{bmatrix} 0 & 4 & 11 \\ 6 & 0 & - \\ ? & 0 & 0 \end{bmatrix}$$

$$\text{Now } A^1[2,3] = \min \left\{ A^0[2,3], A^0[2,1] + A^0[1,3] \right\}$$

$$= \min \left\{ A^0[2,3], A^0[2,1] + A^0[1,3] \right\}$$

$$= \min \{ 2, 6 + 11 \}$$

$$= \min \{ 2, 17 \}$$

Now we have to find  $A^1[3,2]$

$$A^1[3,2] = \begin{cases} \min \{ A^0[3,2], A^0[3,1] + A^0[1,2] \} \\ \min \{ A^0[3,2], A^0[3,1] + A^0[1,2] \} \\ \min \{ 2, 17 \} \end{cases}$$

$\therefore A^1[3,2] = 2$

$$\therefore A^1 = \begin{bmatrix} 0 & 4 & 11 \\ 6 & 0 & 2 \\ 2 & 1 & 2 \end{bmatrix}$$

Now we have to find  $A^2$  matrix

$$\text{ie } A^2 = \begin{bmatrix} 0 & 4 & - \\ 6 & 0 & 2 \\ -7 & 0 & 0 \end{bmatrix}$$

all about

measured, calculated

$$\text{Now } A^2[1,1] = \min \{ A^1[1,1], A^1[1,2] + A^1[2,1] \}$$

with 1st principle after writing first three all lines  
second pair of the third line  $= \min \{ 11, 4 + 2 \}$

$$A^2[1,1] = \min \{ A^1[1,1], A^1[1,2] + A^1[2,1] \}$$

$$= \min \{ 11, 7 + 6 \}$$

$$= \min \{ 11, 13 \}$$

$$\therefore A^2 = \begin{bmatrix} 11 & 7 & 6 \\ 6 & 0 & 2 \\ 13 & 1 & 0 \end{bmatrix}$$

$$\therefore A^2 = \begin{bmatrix} 11 & 7 & 6 \\ 6 & 0 & 2 \\ 13 & 1 & 0 \end{bmatrix}$$

extreme A line at condition

now we have to find  $A^3$  matrix

$$A^3 = \begin{bmatrix} 0 & -6 \\ -4 & 2 \\ 3 & 0 \end{bmatrix}$$

$$\text{Now } A^3 [1,2] = \min \left\{ A^{2-1} [1,2], A^{2-1} [1,3] + A^{2-1} [3,2] \right\}$$

$$= \min \{ 4, 6 + 7 \}$$

$$A^3 [2,1] = \min \left\{ A^{2-1} [2,1], A^{2-1} [2,3] + A^{2-1} [3,1] \right\}$$

$$= \min \{ 6, 2 + 3 \}$$

$$A^3 = \begin{bmatrix} 0 & 4 & 6 \\ 5 & 0 & 2 \\ 7 & 7 & 0 \end{bmatrix}$$

Hence the  
distance between  
all pairs obtained.

Ex Find the all pair shortest path solution for the graph represented by below adjacency matrix

$$\begin{bmatrix} 0 & 6 & 5 & 4 \\ 3 & 0 & 2 & 6 \\ 18 & 6 & 0 & 7 \\ 8 & 12 & 10 & 0 \end{bmatrix}$$

Let

$$A = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 \\ 1 & 0 & 6 & 5 & 4 \\ 2 & 3 & 0 & 2 & 6 \\ 3 & 18 & 6 & 0 & 7 \\ 4 & 8 & 12 & 10 & 0 \end{bmatrix}$$

we have to find  $A^1$  matrix

$$A' = \begin{bmatrix} 0 & 6 & 5 & 4 \\ 3 & 0 & - & - \\ 18 & - & 0 & - \\ 8 & - & - & 0 \end{bmatrix}$$

$$A'[2,3] = \min \{ A'^{-1}[2,3], A'^{-1}[2,1] + A'^{-1}[1,3] \}$$

$$= \min \{ 2, 7 + 5 \}$$

$$A'[2,4] = \min \{ A'^{-1}[2,4], A'^{-1}[2,1] + A'^{-1}[1,4] \}$$

$$= \min \{ 6, 3 + 4 \}$$

$$= 6$$

$$A'[3,2] = \min \{ A'^{-1}[3,2], A'^{-1}[3,1] + A'^{-1}[1,2] \}$$

$$= \min \{ 6, 18 + 6 \}$$

$$= 6$$

$$A'[3,4] = \min \{ A'^{-1}[3,4], A'^{-1}[3,1] + A'^{-1}[1,4] \}$$

$$= \min \{ 7, 18 + 4 \}$$

$$= 7$$

$$A'[4,2] = \min \{ A'^{-1}[4,2], A'^{-1}[4,1] + A'^{-1}[1,2] \}$$

$$= \min \{ 2, 18 + 6 \}$$

$$= 12$$

$$A'[4,3] = \min \{ A'^{-1}[4,3], A'^{-1}[4,1] + A'^{-1}[1,3] \}$$

$$= \min \{ 10, 18 + 5 \}$$

$$= 15$$

$$\therefore A = \begin{bmatrix} 0 & 6 & 5 & 4 \\ 3 & 0 & 2 & 6 \\ 18 & 6 & 0 & 7 \\ 8 & 12 & 10 & 0 \end{bmatrix}$$

now we have to find  $A^V$  Matrix

$$A^V[1,1] = \min \left\{ \begin{bmatrix} 0 & 6 \\ 3 & 0 \end{bmatrix}, \begin{bmatrix} 6 & 0 \\ -6 & -12 \end{bmatrix} \right\}$$

$$A^V[1,1] = \min \left\{ \begin{bmatrix} 0 & 6 \\ 3 & 0 \end{bmatrix}, A^V[1,2] + A^V[2,1] \right\}$$

$$= \min \{ 15, 6 + 2 \}$$

$$A^V[1,2] = \min \left\{ \begin{bmatrix} 0 & 6 \\ 3 & 0 \end{bmatrix}, A^V[1,1] + A^V[2,2] \right\}$$

$$= \min \{ 4, 6 + 6 \}$$

$$A^V[2,1] = \min \left\{ \begin{bmatrix} 6 & 0 \\ -6 & -12 \end{bmatrix}, A^V[1,1] + A^V[2,1] \right\}$$

$$= \min \{ 18, 6 + 3 \}$$

$$A^V[2,2] = \min \left\{ \begin{bmatrix} 6 & 0 \\ -6 & -12 \end{bmatrix}, A^V[1,2] + A^V[2,2] \right\}$$

$$= \min \{ 21, 6 + 6 \}$$

$$A^V[4,1] = \min \left\{ \begin{bmatrix} 8 & 12 \\ 12 & 10 \end{bmatrix}, A^V[4,1] + A^V[2,1] \right\}$$

$$= \min \{ 18, 12 + 2 \}$$

$$= 8$$

$$A^2 [4,3] = \min \left\{ A^{2-1} [4,3], A^{2-1} [4,2] + A^{2-1} [2,3] \right\} \quad (6)$$

$$= \min \{ 10, 12+2 \}$$

$$= 10$$

$$\therefore A^2 = \begin{bmatrix} 0 & 6 & 5 & 4 \\ 1 & 0 & 2 & 6 \\ 9 & 6 & 0 & 7 \\ 8 & 12 & 10 & 0 \end{bmatrix}$$

Now we have to find  $A^3$  matrix

$$\text{i.e } A^3 = \begin{bmatrix} 0 & -5 & - \\ - & 0 & 2 \\ 9 & 6 & 0 & 7 \\ - & - & 10 & 0 \end{bmatrix}$$

$$A^3 [1,2] = \min \left\{ A^{2-1} [1,2], A^{2-1} [1,3] + A^{2-1} [3,2] \right\}$$

$$= \min \{ 6, 5+6 \}$$

$$= 6$$

$$A^3 [1,4] = \min \left\{ A^{2-1} [1,4], A^{2-1} [1,3] + A^{2-1} [3,4] \right\}$$

$$= \min \{ 4, 5+7 \}$$

$$= 4$$

$$A^3 [2,1] = \min \left\{ A^{2-1} [2,1], A^{2-1} [2,3] + A^{2-1} [3,1] \right\}$$

$$= \min \{ 7, 2+9 \}$$

$$= 7$$

$$A^3 [2,4] = \min \left\{ A^{2-1} [2,4], A^{2-1} [2,3] + A^{2-1} [3,4] \right\}$$

$$= \min \{ 6, 2+7 \}$$

$$= 6$$

$$A^3 [4,1] = \min \left\{ A^{2-1} [4,1], A^{2-1} [4,3] + A^{2-1} [3,1] \right\}$$

$$= \min \{ 8, 10+9 \}$$

$$= 8$$

$$A^4 [4,2] = \min \left\{ A^{-1} [4,2], A^{-1} [4,3] + A^{-1} [3,2] \right\}$$

$$= \min \{ 12, 10 + 6 \}.$$

$$= 12$$

$$\therefore A^3 = \left[ \begin{array}{ccc|cc} 0 & 0 & 6 & 5 & 7 \\ 0 & 0 & 0 & 2 & 6 \\ 3 & 0 & 0 & 0 & 7 \\ 0 & 0 & 6 & 0 & 7 \\ 8 & 12 & 10 & 0 & 0 \end{array} \right]$$

now we have to find  $A^4$  matrix and see what

$$\text{i.e. } A^4 = \left[ \begin{array}{ccc|cc} 0 & -6 & 4 \\ -6 & 0 & 6 \\ -6 & -6 & 7 \\ 8 & 12 & 10 & 0 \end{array} \right]$$

$$A^4 [1,2] = \min \left\{ A^{-1} [1,2], A^{-1} [1,3] + A^{-1} [3,2] \right\}$$

$$= \min \{ 6, 4 + 12 \}.$$

$$A^4 [1,3] = \min \left\{ A^{-1} [1,3], A^{-1} [1,4] + A^{-1} [4,3] \right\}$$

$$= \min \{ 2, 5 \} \text{ and } 2 < 5$$

$$A^4 [2,1] = \min \left\{ A^{-1} [2,1], A^{-1} [2,4] + A^{-1} [4,1] \right\}$$

$$= \min \{ 3, 6 + 8 \}$$

$$A^4 [2,3] = \min \left\{ A^{-1} [2,3], A^{-1} [2,4] + A^{-1} [4,3] \right\}$$

$$= \min \{ 2, 6 + 10 \}$$

$$A^4 [3,1] = \min \left\{ A^{-1} [3,1], A^{-1} [3,4] + A^{-1} [4,1] \right\}$$

$$= \min \{ 9, 7 + 8 \}$$

$$= 9$$

$$A^4[3,2] = \min \{ A^{4-1}[3,2], A^{4-1}[3,4] + A^{4-1}[4,2] \} \quad (7)$$

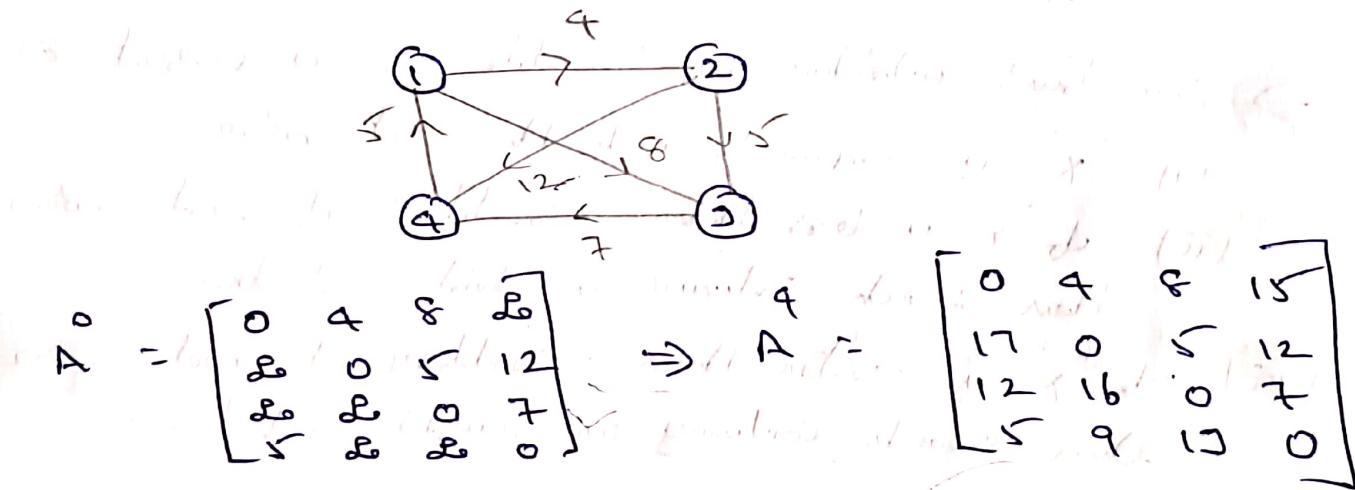
$$= \min \{ 6, 7+12 \}$$

$$= 6$$

$$\text{Hence } A^4 = \begin{bmatrix} 0 & 6 & 5 & 4 \\ 7 & 0 & 26 & 6 \\ 9 & 6 & 0 & 7 \\ 8 & 12 & 10 & 0 \end{bmatrix}$$

Hence the distances between all pairs obtained.

Exercise: Find the all pair shortest path solution for the graph using dynamic programming



Ans

$$A = \begin{bmatrix} 0 & 4 & 8 & 20 \\ 2 & 0 & 5 & 12 \\ 2 & 2 & 0 & 7 \\ 2 & 2 & 0 & 0 \end{bmatrix} \Rightarrow A^4 = \begin{bmatrix} 0 & 4 & 8 & 15 \\ 17 & 0 & 5 & 12 \\ 12 & 16 & 0 & 7 \\ 5 & 9 & 15 & 0 \end{bmatrix}$$

### All pair shortest path Algorithm

Algorithm All Path (cost, A, n)

for k = 1 to n do  
for i = 1 to n do  
for j = 1 to n do  
if cost[i][j] > cost[i][k] + cost[k][j] then  
cost[i][j] = cost[i][k] + cost[k][j]

else if cost[i][j] < cost[i][k] + cost[k][j] then  
cost[i][j] = cost[i][j]  
else if cost[i][j] == cost[i][k] + cost[k][j] then  
cost[i][j] = min { cost[i][j], cost[i][k] + cost[k][j] }

Note:- Time Complexity of all pair shortest path  
Algorithm is  $O(n^2)$ .

## optimal binary search tree (OBST)

- A Binary Search Tree is a binary tree, in which each node is an identifier.
- (i) All the identifiers in left sub tree are less than identifier at root node.
  - (ii) All the identifiers in right sub tree are greater than identifier at root node.
- To find whether an identifier "x" is present or not
- (i) "x" is compared with the root node
  - (ii) If "x" is less than identifier at root node, then search continues in left sub tree
  - (iii) If "x" is greater than identifier at root node, then search continues in right sub tree.
- Let us assume that given set of identifiers  $\{a_1, a_2, \dots, a_n\}$  where  $a_1, a_2, a_3, \dots, a_n$  are identifiers. Let  $p[i]$  be the probability of successful search of an identifier  $a_i$ ,  $1 \leq i \leq n$  and  $q[i]$  be the probability of unsuccessful search of an identifier  $a_i$ ,  $0 \leq i \leq n$ .
- To obtain a cost function for binary search tree, it is useful to add an external node in.
- If  $n$  identifiers are there, there will be  $n$  internal nodes and  $n+1$  external nodes.

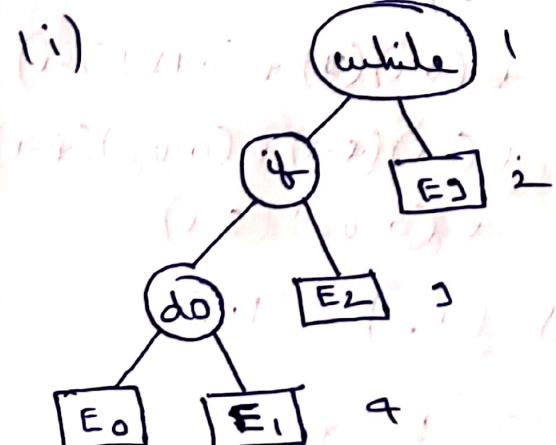
- successful search terminates at an internal node.
- unsuccessful search terminates at an external node
- If a successful search terminates at an internal node at level "l". Hence, the expected cost of a internal node " $a_i$ " is 
$$P(i) * \text{level}(a_i)$$
- unsuccessful search terminates at an external node. i.e. it is the failure of search a node for  $E_i$  at level "l". The expected cost of a external node  $E_i$  is 
$$q(i) * (\text{level}(E_i) - 1)$$
- the expected cost of a binary search tree is  $\text{cost} = \sum P(i) * \text{level}(a_i) + \sum q(i) * (\text{level}(E_i) - 1)$

Ex:- Find OBST for a set of identifiers ( $a_1, a_2, a_3$ ) = ( $a_1, a_2, a_3$ , white);  $(P_1, P_2, P_3) = (0.5, 0.4, 0.05)$  and  $(q_1, q_2, q_3) = (0.15, 0.1, 0.05, 0.05)$

Given Identifier =  $n = 3$

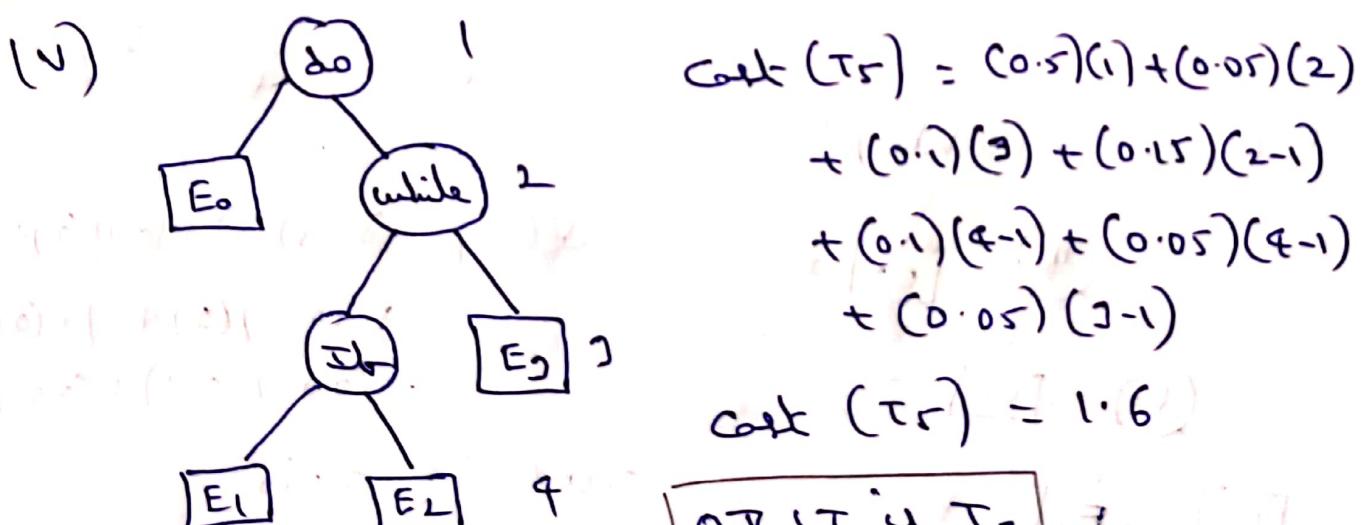
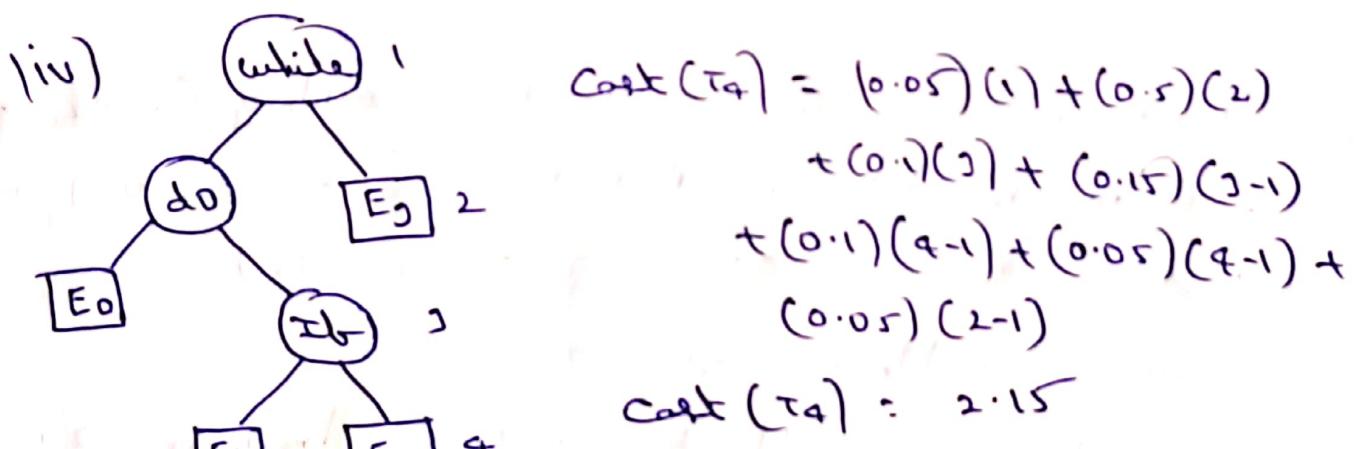
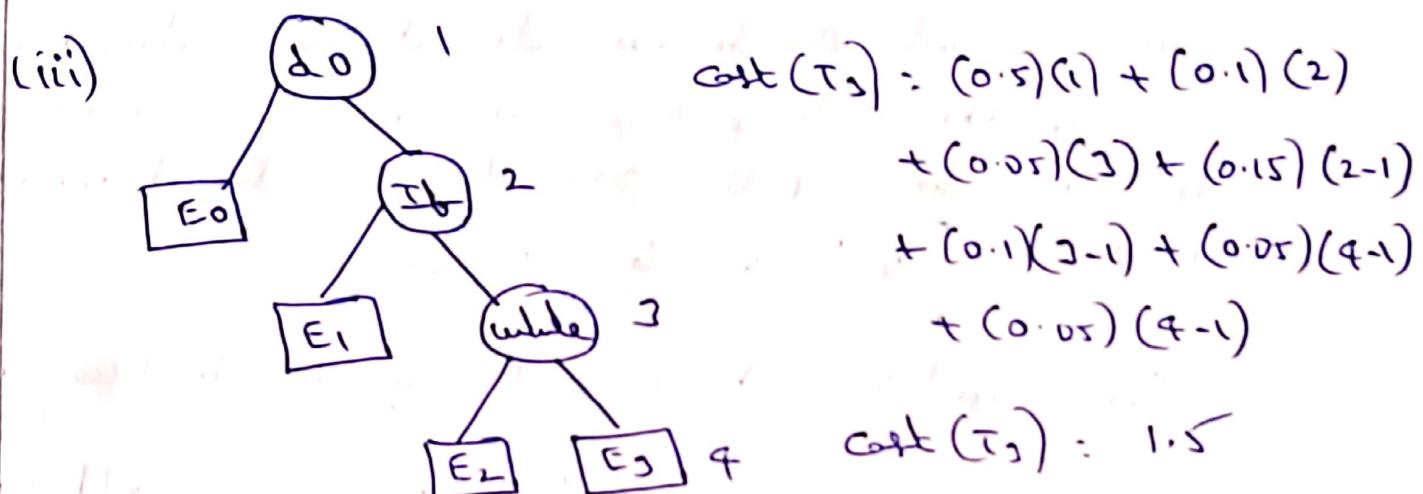
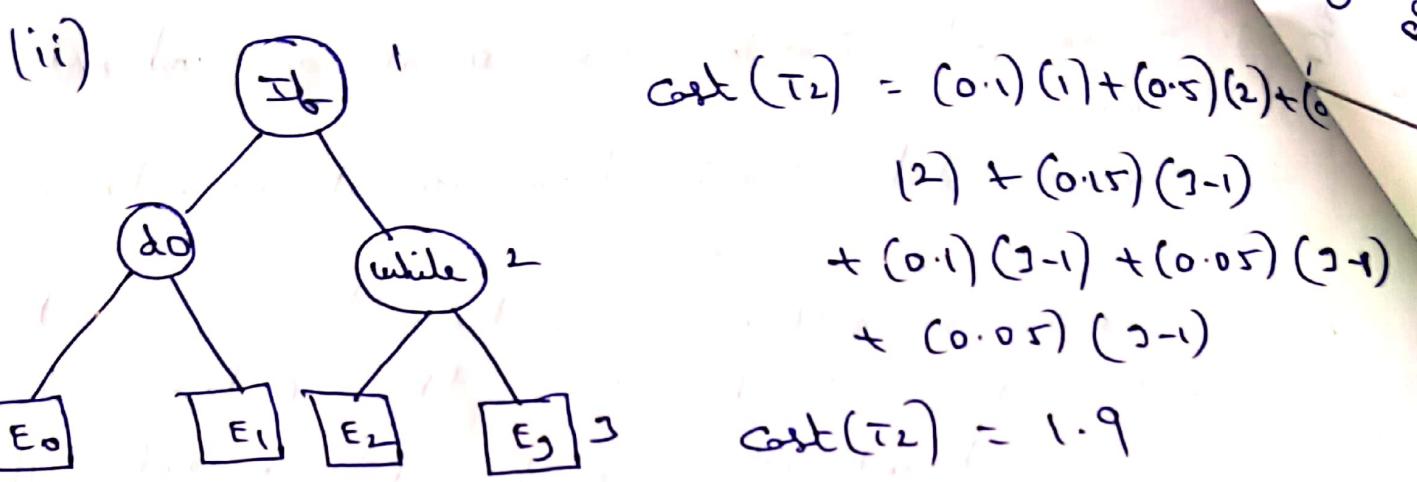
⇒ Number of possible binary search trees are  $\frac{2^n n!}{n+1}$

$$= \frac{6 \cdot 1}{3+1} = \frac{2 \cdot 0}{4} = 5$$



$$\begin{aligned} \text{cost}(\tau_1) &= (0.05)(1) + (0.1)(2) + (0.5)(3) \\ &\quad + (0.15)(3)(4-1) + (0.1)(4-1) \\ &\quad + (0.05)(3-1) + (0.05)(2-1) \end{aligned}$$

$$\text{cost}(\tau_1) = 2.65$$



OBSERVATION

Consider "m = 4" and  $(a_1, a_2, a_3, a_4) = (d_0, d_1, \text{int}, \text{white})$

$P(i:j) = (2, 2, 1, 1)$  and  $q(i:j) = (2, 2, 1, 1, 1)$

Construct optimal binary search tree.

solve

we have to construct  $T_{0n} = T_{04}$



0	1	2	3	4
$w(0,0) = 2$	$w(1,1) = 3$	$w(2,2) = 1$	$w(3,3) = 1$	$w(4,4) = 1$
$c(0,0) = 0$	$c(1,1) = 0$	$c(2,2) = 0$	$c(3,3) = 0$	$c(4,4) = 0$
$\pi(0,0) = 0$	$\pi(1,1) = 0$	$\pi(2,2) = 0$	$\pi(3,3) = 0$	$\pi(4,4) = 0$
$w_{01} = 8$	$w_{12} = 7$	$w_{23} = 3$	$w_{34} = 3$	
$c_{01} = 8$	$c_{12} = 7$	$c_{23} = 3$	$c_{34} = 3$	
$\pi_{01} = 1$	$\pi_{12} = 12$	$\pi_{23} = 3$	$\pi_{34} = 4$	
$w_{02} = 12$	$w_{13} = 9$	$w_{24} = 5$		
$c_{02} = 19$	$c_{13} = 12$	$c_{24} = 8$		
$\pi_{02} = 1$	$\pi_{13} = 2$	$\pi_{24} = 3\frac{3}{4}$		
$w_{03} = 14$	$w_{14} = 11$			
$c_{03} = 25$	$c_{14} = 19$			
$\pi_{03} = 2$	$\pi_{14} = 2$			
$w_{04} = 16$				
$c_{04} = 32$				
$\pi_{04} = 2$				

$$(i) |S-i| = 0$$

initially  $w[i:i] = q[i]$

$$c(i:i) = 0$$

$$\pi(i:i) = 0$$

$$\begin{array}{l}
 w(0,0) = q_0(0) = 2 \quad | \quad w(1,1) = q_1(1) = 3 \quad | \quad w(2,2) = q_2(2) = 3 \\
 c(0,0) = 0 \quad | \quad c(1,1) = 0 \quad | \quad c(2,2) = 0 \\
 \pi(0,0) = 0 \quad | \quad \pi(1,1) = 0 \quad | \quad \pi(2,2) = 0
 \end{array}$$

$$\begin{array}{l}
 w(3,3) = q_3(3) = 1 \quad | \quad w(4,4) = q_4(4) = 1 \\
 c(3,3) = 0 \quad | \quad c(4,4) = 0 \\
 \pi(3,3) = 0 \quad | \quad \pi(4,4) = 0
 \end{array}$$

$$(i) \quad |S-i| = 1$$

$$w[i,j] = w[i,i-1] + p[i] + q[i]$$

$$c[i,j] = \min_{1 \leq k \leq i} \{ c[i,k-1] + c[k,j] \} + w[i,j]$$

$$\pi[i,j] = k$$

$$\begin{aligned}
 \text{now } w[0,1] &= w[0,1-1] + p[1] + q[1] \\
 &= w(0,0) + p(1) + q[1] \\
 &= 2 + 3 + 3 \\
 &= 8
 \end{aligned}$$

$$\begin{aligned}
 c[0,1] &= \min_{\substack{0 < k \leq 1 \\ k=1}} \{ c[0,1-1] + c[1,1] \} + w[0,1] \\
 &= \min_{k=1} \{ c[0,0] + c[1,1] \} + w[0,1] \\
 &= \min_{k=1} \{ 0 + 0 \} + 8 \\
 &= 0 + 8 \\
 &= 8
 \end{aligned}$$

$$\pi[0,1] = 1$$

$$w[1,2] = w[1,1] + p[2] + q[2]$$

$$= 7 + 3 + 1$$

$$= 7$$

$$c[1,2] = \min_{1 \leq k \leq 2} \{ c[1,2-k] + c[k,2] \} + w[1,2]$$

$$= \min_{k=2} \{ c[1,1] + c[2,2] \} + w[1,2]$$

$$= \min \{ 0 + 0 \} + 7$$

$$= 0 + 7$$

$$= 7$$

$$\sigma[1,2] = 2$$

$$w[2,2] = w[2,2-1] + p[2] + q[2]$$

$$= w[2,1] + p[2] + q[2]$$

$$= 1 + 1 + 1$$

$$= 3$$

$$c[2,2] = \min_{2 \leq k \leq 2} \{ c[2,2-k] + c[k,2] \} + w[2,2]$$

$$= \min_{k=2} \{ 0 + 0 \} + 3$$

$$= 3$$

$$\sigma[2,2] = 3$$

$$w[3,4] = w[3,4-1] + p[4] + q[4]$$

$$= 1 + 1 + 1$$

$$= 3$$

$$c[3,4] = \min_{3 \leq k \leq 4} \{ c[3,4-k] + c[k,4] \} + w[3,4]$$

$$= \min_{k=4} \{ 0 + 0 \} + 3 = 0 + 3 = 3$$

$$\sigma[3,4] = 4$$

$$(iii) |S-i| = 2$$

$$\begin{aligned} w[0,2] &= w[0,2-1] + p[2] + q[2] \\ &= w[0,1] + 3 + 1 \\ &= 12 \end{aligned}$$

$$\begin{aligned} c[0,2] &= \min_{\substack{0 < k \leq 2 \\ k=1,2}} \left\{ \begin{array}{l} c[0,1-1] + c[1,2] \\ c[0,2-1] + c[2,2] \end{array} \right\} + w[0,2] \\ &= \min_{k=1,2} \left\{ 0 + 7, 8 + 0 \right\} + 12 \\ &= \min_{k=1,2} \left\{ 7, 8 \right\} + 12 \\ &= 7 + 12 = 19 \end{aligned}$$

$$q[0,2] = 1$$

$$\begin{aligned} w[1,2] &= w[1,2-1] + p[2] + q[2] \\ &= 7 + 1 + 1 = 9 \end{aligned}$$

$$\begin{aligned} c[1,2] &= \min_{\substack{1 < k \leq 2 \\ k=2,3}} \left\{ \begin{array}{l} c[1,2-1] + c[2,2] \\ c[1,2-1] + c[2,2] \end{array} \right\} + w[1,2] \\ &= \min_{k=2,3} \left\{ 0 + 3, 7 + 0 \right\} + 9 \\ &= 3 + 9 = 12 \end{aligned}$$

$$x[1,2] = 2$$

$$w[2,4] = w[2,4-1] + p[4] + q[4] = 3 + 1 + 1 = 5$$

$$c[2,4] = \min_{\substack{2 < k \leq 4 \\ k=3,4}} \left\{ \begin{array}{l} c[2,3-1] + c[3,4] \\ c[2,4-1] + c[4,4] \end{array} \right\} + w[2,4]$$

$$= \min_{k=3,4} \left\{ \begin{array}{l} 0+3, 3+0 \end{array} \right\} + 5 \quad (11)$$

$$= 3+5 = 8$$

$$\omega[2,4] = 3(\omega) + 4.$$

$$(iv) i+j-1 = 3$$

$$\omega[0,3] = \omega[0,2-1] + p[3] + q[3] = 12 + 1 + 1 = 14$$

$$c[0,3] = \min_{\substack{0 < k \leq 3 \\ k=1,2,3}} \left\{ \begin{array}{l} c[0,1-1] + c[1,3] \\ c[0,2-1] + c[2,3] \\ c[0,3-1] + c[3,3] \end{array} \right\} + \omega[0,3]$$

$$= \min_{k=1,2,3} \left\{ \begin{array}{l} 0+12, 8+3, 19+0 \end{array} \right\} + 14$$

$$= 11+14 = 25$$

$$\omega[0,3] = 2$$

$$\omega[1,4] = \omega[1,4-1] + p[4] + q[4] = 9 + 1 + 1 = 11$$

$$c[1,4] = \min_{\substack{1 < k \leq 4 \\ k=2,3,4}} \left\{ \begin{array}{l} c[1,2-1] + c[2,4] \\ c[1,3-1] + c[3,4] \\ c[1,4-1] + c[4,4] \end{array} \right\} + \omega[1,4]$$

$$= \min_{k=2,3,4} \left\{ \begin{array}{l} 0+8, 7+3, 12+0 \end{array} \right\} + 11$$

$$= \min_{k=2,3,4} \left\{ \begin{array}{l} 8, 10, 12 \end{array} \right\} + 11$$

$$= 08 + 11$$

$$= 19$$

$$\omega[1,4] = 2$$

$$(IV) |T-i| = 4$$

$$w[0,4] = w[0,4-1] + p[4] + q[4]$$

$$= 14 + 1 + 1 = 16$$

$$c[0,4] = \min_{\substack{0 < k \leq 4 \\ k=1,2,3,4}} \left\{ \begin{array}{l} c[0,1-1] + c[1,4] \\ c[0,2-1] + c[2,4] \\ c[0,3-1] + c[3,4] \\ c[0,4-1] + c[4,4] \end{array} \right\} + w[0,4]$$

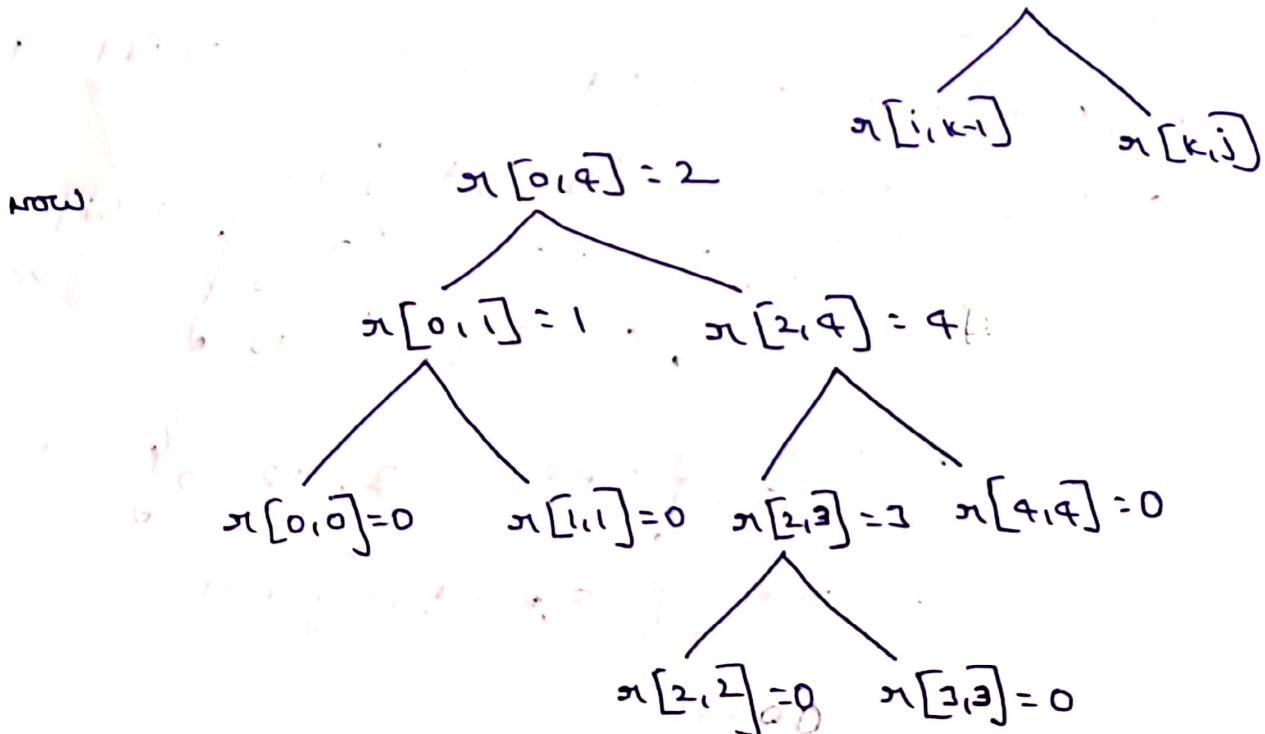
$$= \min_{k=1,2,3,4} \left\{ 0+19, 8+8, 19+3, 25+0 \right\} + 16$$

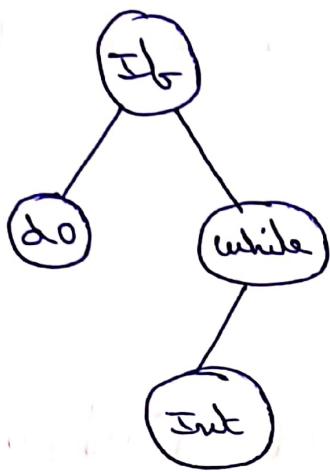
$$= \min \{ 19, 16, 22, 25 \} + 16$$

$$= 16 + 16 = 32$$

$$\pi[0,4] = 2$$

For Construction of OBT we have  $\pi[i,j] = k$





$$\alpha[0,4] = 2$$

$$\alpha[0,1] = 1$$

$$\alpha[2,4] = 3$$

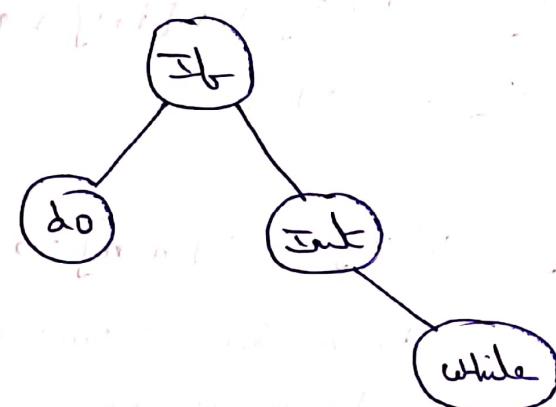
$$\alpha[0,0] = 0$$

$$\alpha[1,1] = 0$$

$$\alpha[2,2] = 0$$

$$\alpha[3,4] = 4$$

OBST-1



OBST-2

Ex:

Apply dynamic programming to obtain OBST for the identifier set  $(a_1, a_2, a_3, a_4) = (\text{cin}, \text{for}, \text{int}, \text{while})$   
with  $(p_1, p_2, p_3, p_4) = (1, 4, 1, 1), (v_0, v_1, v_2, v_3, v_4) = (4, 2, 4, 1, 1)$

### Algorithm of OBST

Algorithm OBST( $p, q, n$ )

- // Given  $n$  distinct identifiers  $a_1, a_2, \dots, a_n$  where  $a_1 < a_2 < \dots < a_n$
- // probabilities  $p[i]$ ,  $1 \leq i \leq n$  and  $q[i]$ ,  $0 \leq i \leq n$
- //  $c[i,j]$  is the cost of optimal binary search tree.
- //  $\alpha[i,j]$  is the root of  $t_{ij}$

//  $w[i,i]$  is the weight of  $t_{ii}$

{

for  $i = 0$  to  $n-1$  do

{

// initialize

$w[i,i] = v[i]$ ;  $c[i,i] = 0$ ;  $\pi[i,i] = 0$ ;

// optimal tree with one node

$w[i,i+1] = v[i] + p[i+1] + v[i+1]$ ;

$c[i,i+1] = v[i] + p[i+1] + v[i+1]$ ;

$\pi[i,i+1] = i+1$ ;

}

$w[n,n] = v[n]$ ;  $c[n,n] = 0$ ;  $\pi[n,n] = 0$ ;

for  $m = 2$  to  $n$  do // find optimal tree with  $m$  nodes

for  $i = 0$  to  $n-m$  do

{

$j = i+m$ ;

$w[i,j] = w[i,j-1] + p[j] + v[j]$

$k := \min(c[i,j], j)$  (i.e.,  $k$  is defined)

$c[i,j] = w[i,j] + c[i,k-1] + c[k,j]$

$\pi[i,j] = k$ ;

}

write  $(c[0,n], w[0,n], \pi[0,n])$ ;

$n=4$

$i=0$  to  $3$

$m=2$  to  $4$

$i=0$  to  $2$

$i=0$  to  $4-m$

$i=0$  to  $1$

$i=0$  to  $3$

$i=0$  to  $2$

$i=0$  to  $1$

$i=0$  to  $0$

$i=0$  to  $1$



## Algorithm Find (c, x, i, j)

{

$$\min = \infty$$

for  $m = x[i, j-1]$  to  $x[i+1, j]$  do

if  $(c[i, m-1] + c[m, j]) < \min$  then

{

$$\min = c[i, m-1] + c[m, j];$$

$$l = m;$$

else if  $(c[i, m-1] + c[m, j]) = \min$  then

return  $l, i$

else if  $(c[i, m-1] + c[m, j]) > \min$  then

## 0/1 Knapsack problem :-

→ 0/1 Knapsack problem represents "0" means not consider particular object total weight, "1" means consider total weight.

→ Maximum profit is  $\sum_{i=1}^n p_i x_i$

where  $x_i$  is exact 0 or 1.

→ Total weight is  $\sum_{i=1}^n w_i x_i$

Then maximize  $\sum_{i=1}^n p_i x_i$  subject to  $\sum_{i=1}^n w_i x_i \leq m$

## Purging rule (or) Dominance rule :-

→ If  $S^{i+1}$  containing  $(p_j, w_j)$  and  $(p_k, w_k)$  is two binary such that  $p_j \leq p_k$  and  $w_j \geq w_k$  then  $(p_j, w_j)$  can be eliminated.

→ In dominance rule remove the pair with less profit and more weight.

Ex:- Solve knapsack instance  $m = 6$  and  $n = 3$ ,  
 $(P_1, P_2, P_3) = (1, 2, 5)$ ,  $(W_1, W_2, W_3) = (2, 3, 4)$ .

we have to build the sequence of decisions.

$$S^0, S^1, S^2, S^3 \quad S^{i+1} = S^i \cup S_i$$

now  $S^0 = \{(0,0)\}$  initially

$$S^1 = \{ \text{Select next } (P_i, W_i) \text{ pair} \} \\ = \{(1,2)\}$$

$$\therefore S^1 = S^0 \cup S^0 = \{(0,0)\} \cup \{(1,2)\} \\ S^1 = \{(0,0), (1,2)\}$$

$$S^2 = \{ \text{next select the } (P_i, W_i) \text{ pair and} \\ \text{add it with } S^1 \}$$

$$S^2 = \{(0+2, 0+3), (1+2, 2+3)\} \\ = \{(2,3), (3,5)\}$$

$$\therefore S^2 = S^1 \cup S^2 \\ = \{(0,0), (1,2)\} \cup \{(2,3), (3,5)\}$$

$$S^3 = \{(0,0), (1,2), (2,3), (3,5)\}$$

$$S^3 = \{ \text{next select the } (P_i, W_i) \text{ pair and} \\ \text{add it with } S^3 \}$$

$$= \{(0+5, 0+4), (1+5, 2+4), (2+5, 3+4) \\ (3+5, 4+4)\} \\ = \{(5,4), (6,6), (7,7), (8,9)\}$$

$$S \leftarrow S \cup S_1$$

$$= \{(0,0), (1,2), (2,3), (3,5)\} \cup$$

$$\{(5,4), (6,6), (7,7), (8,9)\}$$

$$S = \{(0,0), (1,2), (2,3), (3,5), (5,4), (6,6), (7,7), (8,9)\}$$

Consider the pairs  $(7,7)$  and  $(8,9)$ , the weights are 7 & 9  
are greater than the capacity of the knapsack  $m=6$

so we can remove these two pairs from  $S$

$$\therefore S = \{(0,0), (1,2), (2,3), (3,5), (5,4), (6,6)\}$$

By applying the pruning rule, the pair  $(3,5)$   
can be removed.

Pruning rule:  $(p_j, w_j)$  and  $(p_k, w_k)$  Item  
 $p_j \leq p_k$  and  $w_j \geq w_k$  then eliminate  $(p_j, w_j)$  pair

Consider  $(3,5)$  and  $(5,4)$  pair, we have

$j \leq k$  and  $w_j \geq w_k$  are true

so we have to eliminate  $(3,5)$  pair from  $S$

$$\text{Hence } S = \{(0,0), (1,2), (2,3), (5,4), (6,6)\}$$

As  $m=6$ , we will find the pair, that contains weight 6.

$$\Rightarrow (6,6) \in S$$

$$\text{now } (6,6) \in S^{i-1} \Rightarrow (6,6) \in S^{i-1} \Rightarrow (6,6) \notin S^2$$

$$\therefore \boxed{x_9 = 1}$$

$$\text{now } (6-p_3, 6-w_3) = (6-5, 6-4)$$

$$= (1, 2) \in S^*$$

$$\text{Also } (1, 2) \in S^{i-1} \Rightarrow (1, 2) \in S^{i-1} \Rightarrow (1, 2) \in S^i$$

$$\therefore \boxed{x_2 = 0}$$

$$\text{now } (1, 2) \in S^i$$

$$\Rightarrow (1, 2) \in S^{i-1} \Rightarrow (1, 2) \in S^{i-1} \Rightarrow (1, 2) \notin S^0$$

$$\therefore \boxed{x_1 = 1}$$

$$\therefore \text{optimal solution } (x_1, x_2, x_3) = (1, 0, 1)$$

$$\text{Maximum profit} = \sum_{i=1}^3 p_i x_i$$

$$= (1)(1) + (2)(0) + (5)(1)$$

$$= 1 + 0 + 5$$

$$= 6.$$

$$\text{Maximum weight} = \sum_{i=1}^3 w_i x_i$$

$$= (2)(1) + (3)(0) + 4(1)$$

$$= 6.$$

Hence optimal solution =  $(x_1, x_2, x_3) = (1, 0, 1)$ .

Ex- Solve knapsack instance  $m = 8$  and  $n = 4$ ,

$$(p_1, p_2, p_3, p_4) = (1, 2, 5, 6); (w_1, w_2, w_3, w_4) = (2, 3, 4, 5)$$

$$S^0 = \{(0, 0)\}, S^1 = \{(0, 0), (1, 2)\}$$

$$S^2 = \{(0, 0), (1, 2), (2, 3), (2, 5)\}$$

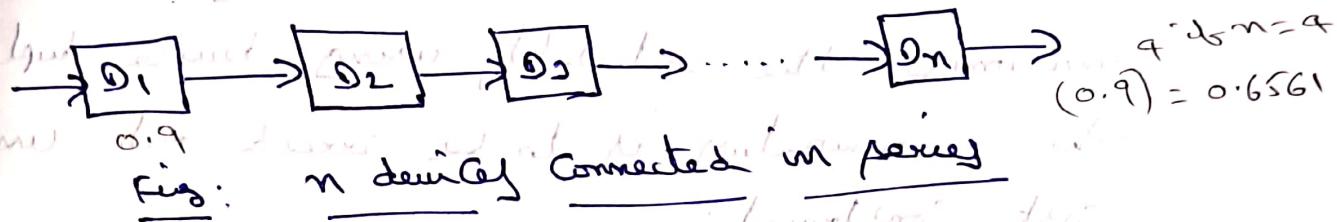
$$S^3 = \{(0, 0), (1, 2), (2, 3), (5, 4), (6, 6), (7, 7)\}$$

$$S^4 = \{(0, 0), (1, 2), (2, 3), (5, 4), (6, 5), (7, 7), (8, 8)\}$$

optimal solution is  $(x_1, x_2, x_3, x_4) = (0, 1, 0, 1)$ .

## Reliability Design :-

→ The problem is to design a system which is composed of several devices connected in series.



From the above fig, the cost and reliability is less because one of the device among  $n$  device failed, the total work is stopped.

→ To increase the reliability of the entire system, we can use more than one device of  $D_1, D_2, \dots, D_n$ .

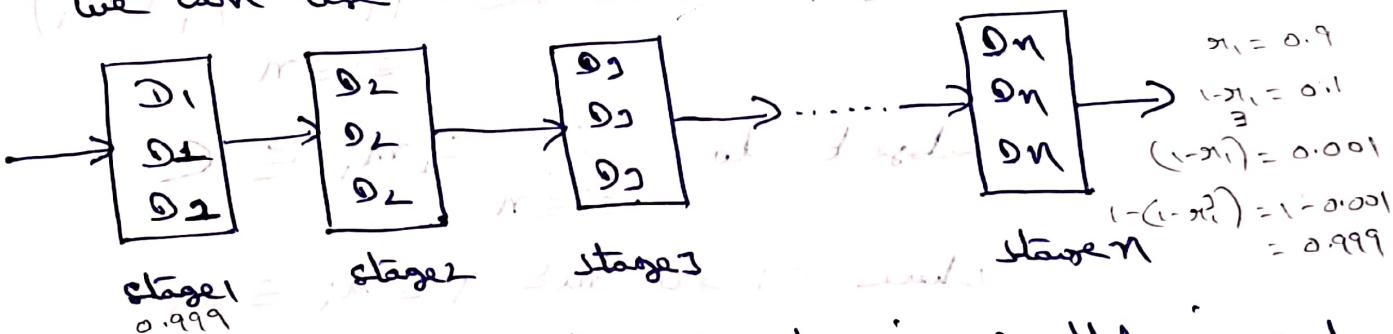


Fig: multiple device Connected in parallel in each stage

→ Let  $\pi_i$  be the reliability of the device  $D_i$ , then the reliability of the entire system is  $\prod \pi_i$ .

→ Let  $m_i$  be the number of devices of  $D_i$  are connected in parallel with reliability  $\pi_i$ .

→  $(1 - \pi_i)$  is the probability that one copy of the device will malfunction.

→  $(1 - \pi_i)^{m_i}$  is the probability of all the devices malfunction at the same time.

→ Hence the reliability of device  $D_i$  can be given as

$$\phi_i(m_i) = 1 - (1 - \alpha_i)^{m_i}$$

→ the main objective of reliability design is to maximize the reliability using device duplication. The maximization is to be carried out under a cost constraint.

Let  $C$  be the cost of the entire system

$c_i$  be the cost of the  $i$ th device

$m_i$  be the number of copies of device  $d_i$ ,

then the objective is: Maximize  $\prod_{i=1}^n \phi_i(m_i)$

$$\text{subject to } \sum_{i=1}^n c_i m_i \leq C$$

$$\text{where } c_i > 0 \text{ and } 1 \leq m_i \leq u_i$$

where  $u_i$  - How many number of copies of each device can be considered.

$$\text{and } u_i = \left\lfloor \frac{C + c_i - \sum_{j=1}^{i-1} c_j}{c_i} \right\rfloor$$

Ex:- we are to design a three stage system with device types  $D_1, D_2, D_3$ . The costs are 10, 15 and 20 respectively. The cost of the system is to be no more than 105. The reliability of each device type is 0.9, 0.8 and 0.5 respectively.

Given data and  $C = 105$

$$c_1 = 10; c_2 = 15; c_3 = 20$$

$$\pi_1 = 0.9, \pi_2 = 0.8, \pi_3 = 0.5$$

now  $u_i = \left\lfloor \frac{c + c_i - \sum_{j=1}^m c_j}{c_i} \right\rfloor$

where  $\sum_{j=1}^m c_j = \sum_{j=1}^3 c_j = c_1 + c_2 + c_3 = 65$

then  $u_1 = \left\lfloor \frac{105 + 20 - 65}{30} \right\rfloor = \left\lfloor \frac{70}{30} \right\rfloor = 2$

$$u_2 = \left\lfloor \frac{105 + 15 - 65}{15} \right\rfloor = \left\lfloor \frac{55}{15} \right\rfloor = 3$$

$$u_3 = \left\lfloor \frac{105 + 20 - 65}{20} \right\rfloor = \left\lfloor \frac{60}{20} \right\rfloor = 3$$

Here  $D_1 \rightarrow 2$  copy

$D_2 \rightarrow 3$  copy

$D_3 \rightarrow 3$  copy

Now  $S^0 = \{(x_1, x_2)\} = \{(1, 0)\}$

$\downarrow \rightarrow$  divide no.  
 $\downarrow \rightarrow$  no. of duplicates

$$S^0 = \{(1, 0)\}$$

now  $S'$  can be obtained from  $S_1'$  and  $S_2'$

Here  $1 \leq m_i \leq u_i \Rightarrow 1 \leq m_1 \leq u_1$

$$\Rightarrow 1 \leq m_1 \leq 2$$

$$\therefore m_1 = 1 \text{ and } 2$$

$S_1'$  calculated as  $i=1, j=1, m_i=1$

$$\begin{aligned} \phi_1(m_1) &= 1 - (1 - \pi_1)^{m_1} = 1 - (1 - 0.9)^1 \\ &= 1 - (0.1)^1 \\ &= 1 - 0.1 \\ &= 0.9 \end{aligned}$$

$$\zeta_1 = \{(1 * 0.9, 0 + 30)\}$$

$$\therefore \zeta_1 = \{(0.9, 30)\}$$

$\zeta_2$  calculated as  $i=1, j=2, m_1 = 2$

$$\begin{aligned}\phi_1(m_1) &= 1 - (1-x_1)^{m_1} = 1 - (1-0.9)^2 \\ &= 1 - (0.1)^2 \\ &= 1 - 0.01 \\ &= 0.99\end{aligned}$$

$$\zeta_2 = \{(1 * 0.99, 0 + 60)\} = \{(0.99, 60)\}$$

$$\therefore \zeta_2 = \{(0.99, 60)\}$$

$$\Rightarrow \zeta = \zeta_1 \cup \zeta_2$$

$$\zeta = \{(0.9, 30)\} \cup \{(0.99, 60)\}$$

$$\therefore \zeta = \{(0.9, 30), (0.99, 60)\}$$

now  $\zeta$  can be obtained from  $\zeta_1, \zeta_2, \zeta_3$ .

$$1 \leq m_{i-1} \leq u_{i-1} \Rightarrow 1 \leq m_2 \leq u_2$$

$$\Rightarrow 1 \leq m_2 \leq 3$$

$$\Rightarrow m_2 = 1, 2, 3.$$

$\zeta_1$  calculated as  $i=2, j=1, m_2=1$

$$\phi_2(m_2) = 1 - (1-x_2)^{m_2} = 1 - (1-0.8)^1$$

$$\begin{aligned}&= 1 - 0.2 \\ &= 0.8\end{aligned}$$

$$\zeta_1 = \{(0.9 * 0.8, 30 + 15), (0.99 * 0.8, 60 + 15)\}$$

$$\zeta_1 = \{(0.72, 45), (0.792, 75)\}$$

(17)

$S_2$  calculated as  $i=2, j=2, m_2 = 2$

$$\begin{aligned}\phi_2(m_2) &= 1 - (1 - \alpha_2)^{m_2} \\ &= 1 - (1 - 0.8)^2 \\ &= 1 - 0.04 \\ &= 0.96\end{aligned}$$

$$\therefore S_2 = \left\{ \begin{array}{l} (0.9 \times 0.96, 20+50), (0.99 \times 0.96, 60+50) \\ (0.864, 60), (\underline{0.9504, 90}) \end{array} \right\}$$

we can't purchase 90

$S_3$  calculated as  $i=2, j=2, m_2 = 3$

$$\begin{aligned}\phi_2(m_2) &= 1 - (1 - \alpha_2)^{m_2} \\ &= 1 - (1 - 0.8)^3 \\ &= 1 - 0.2 \\ &= 1 - 0.08 \\ &= 0.992\end{aligned}$$

$$\therefore S_3 = \left\{ \begin{array}{l} (0.9 \times 0.992, 20+45), (0.99 \times 0.992, 60+45) \\ (0.8928, 75), (\underline{0.98208, 105}) \end{array} \right\}$$

we can't purchase 90

$$\text{now } S = S_1 \cup S_2 \cup S_3$$

$$S = \left\{ (0.72, 45), (0.792, 75), (0.864, 60), (0.9504, 90), (0.8928, 75), (\underline{0.98208, 105}) \right\}$$

Applying the dominance rule for the fairy

$$(0.792, 75), (0.864, 60).$$

Here  $0.792 \leq 0.864$  and  $75 \geq 60$ . are true

so we have to eliminate  $(0.792, 75)$  from  $S$

$$\therefore S = \left\{ (0.72, 45), (0.864, 60), (\underline{0.9504, 90}), (0.8928, 75), (\underline{0.98208, 105}) \right\}$$

remove  $(0.9504, 90)$  because costs are always in increasing order



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now  $\Sigma^V = \{(0.72, 45), (0.864, 60), (0.8928, 75), (0.98208, 105)\}$

$\Sigma_1$  can be obtained from  $\Sigma^V, \Sigma_2$  and  $\Sigma_3$ .

$$1 \leq m_i \leq u_i \Rightarrow 1 \leq m_3 \leq u_3$$

$$\Rightarrow 1 \leq m_3 \leq 3$$

$$\Rightarrow m_3 = 1, 2, 3.$$

$\Sigma_1$  calculated as  $i=3, j=1, m_3=1$

$$\phi_3(m_3) = 1 - (1 - \alpha_3)^{m_3} = 1 - (1 - 0.5)^1 = 1 - (0.5)^1$$

$$\phi_3(m_3) = 1 - 0.5 \\ = 0.5$$

$$\Sigma_1 = \{(0.72 * 0.5, 45 + 20), (0.864 * 0.5, 60 + 20), \\ (0.8928 * 0.5, 75 + 20), (0.98208 * 0.5, 105 + 20)\}$$

remove  $(0.98208 * 0.5, 125)$  fair, it exceed  $C=105$

$$\Sigma_1 = \{(0.36, 65), (0.432, 80), (0.4964, 95)\}$$

$\Sigma_2$  calculated as  $i=3, j=2, m_3=2$

$$\phi_3(m_3) = 1 - (1 - \alpha_3)^{m_3} = 1 - (1 - 0.5)^2 = 1 - (0.5)^2 \\ = 1 - 0.25 \\ = 0.75$$

$$\Sigma_2 = \{(0.72 * 0.25, 45 + 40), (0.864 * 0.25, 60 + 40), \\ (0.8928 * 0.25, 75 + 40), (0.9820 * 0.25, 105 + 40)\}$$

$$\Sigma_2 = \{(0.54, 85), (0.648, 100)\}$$

we removed the pair  $(0.8928 * 0.25, 115), (0.9820 * 0.25, 145)$

because  $C=105$ .

$\Sigma_3$  calculated as  $i=3, j=3, m_3=3$

and we removed the pair  $(0.8928 * 0.25, 115), (0.9820 * 0.25, 145)$  because  $C=105$ .



$$\phi_2(m_2) = 1 - (1 - x_2)^{m_2} = 1 - (1 - 0.5)^2 = 1 - 0.25 = 0.75$$

$$S_2 = \{(0.875 * 0.72, 45+60), (0.864 * 0.875, 60+60), \\ (0.8928 * 0.875, 75+60), (0.98208 * 0.875, 105+60)\}$$

$$S_3 = \{(0.62, 105)\}$$

$$\therefore S = S_1 \cup S_2 \cup S_3$$

$$S = \{(0.36, 65), (0.432, 80), (0.4464, 95), \\ (0.54, 85), (0.648, 100), (0.63, 105)\}$$

Apply dominance for the pair  $(0.4464, 95), (0.54, 85)$ .

Here  $0.4464 \leq 0.54$  and  $95 > 85$ . are true

so we have to eliminate  $(0.4464, 95)$  from  $S$

$$S = \{(0.36, 65), (0.432, 80), (0.54, 85), \\ (0.648, 100), (0.63, 105)\}$$

now remove the pair  $(0.63, 105)$ .

$$S = \{(0.36, 65), (0.432, 80), (0.54, 85), (0.648, 100)\}$$

$\Rightarrow$  the best design is  $0.648$  is the reliability with cost 100.

$$(0.648, 100) \in S_2 \text{ Here } i=3; j=2; m_3=2$$

$(0.648, 100)$  can be obtained from  $(0.864, 60)$  which is present in  $S_2$  Here  $i=2; j=2; m_2=2$

$(0.864, 60)$  can be obtained from  $(0.9, 70) \in S_1$

Here  $i=1; j=1; m_1=1$

Hence  $m_1=1; m_2=2; m_3=2$

we receive 1 copy of device D<sub>1</sub>

2 copies of device D<sub>2</sub>

2 copies of device D<sub>3</sub>

Ex-2 Given  $D_1, D_2, D_3$ , density

and  $C = 110; C_1 = 40; C_2 = 30; C_3 = 20$

$\pi_1 = 0.9; \pi_2 = 0.7; \pi_3 = 0.5$

then  $(\pi_1, \pi_2) = (0.4725, 110)$ , and  $D_1=1; D_2=1; D_3=2$

### Matrix chain multiplication

→ Matrix chain multiplication problem is stated as follows.

Given a chain  $\{A_1, A_2, \dots, A_n\}$  of  $n$  matrices where  $i=1, 2, \dots, n$ . Notice  $A_i$  has dimension  $p_{i-1} \times p_i$ .

To compute the matrix product  $A_1, A_2, \dots, A_n$  in such a way that it minimizes the number of scalar multiplications, i.e. our goal is only to determine an order of multiplying matrices that has the lowest cost.

→ Let  $A = [a_{ij}]_{p \times q}; B = [b_{ij}]_{q \times r}$  then

$$AB = [c]_{p \times r}$$

Ex:- Consider  $A_1 = [a_{ij}]_{5 \times 4}; A_2 = [a_{ij}]_{4 \times 6}$

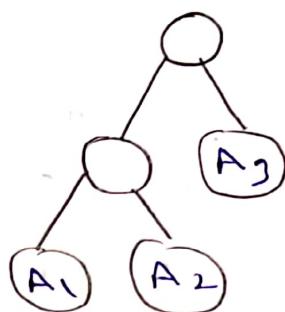
$$A_3 = [a_{ij}]_{6 \times 2}$$



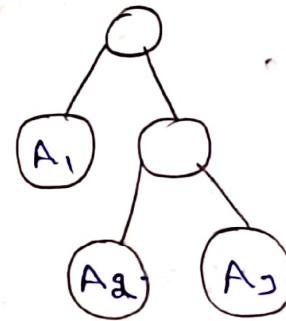
(19)

$$1. (A_1 \cdot A_2) \cdot A_3 = (5 \times 4 \times 6) + (5 \times 6 \times 2) = 120 + 60 = 180$$

$$2. A_1 \cdot (A_2 \cdot A_3) = (5 \times 4 \times 2) + (4 \times 6 \times 2) = 40 + 48 = 88$$



Tree-1



Tree-2

∴ the optimal cost = 88 and

$$\text{optimal order sequence} = A_1 \cdot (A_2 \cdot A_3)$$

Ex:- Consider the following matrices  $A_1 = 5 \times 4$ ;  $A_2 = 4 \times 6$ ;  $A_3 = 6 \times 2$ ;  $A_4 = 2 \times 7$ . Find out the optimal order sequence and optimal cost for multiplying these matrices using dynamic programming?

SOL:

Given matrix dimensions are

$$A_1 = 5 \times 4; A_2 = 4 \times 6; A_3 = 6 \times 2; A_4 = 2 \times 7$$

Matrix  $A_i$  has dimension  $p_{i-1} \times p_i$

$$A_1 = 5 \times 4 = p_{1-1} \times p_1 = p_0 \times p_1$$

$$A_2 = 4 \times 6 = p_{2-1} \times p_2 = p_1 \times p_2$$

$$A_3 = 6 \times 2 = p_{3-1} \times p_3 = p_2 \times p_3$$

$$A_4 = 2 \times 7 = p_{4-1} \times p_4 = p_3 \times p_4$$

$$\therefore p_0 = 5; p_1 = 4; p_2 = 6; p_3 = 2; p_4 = 7$$

The recursive definition for the minimum cost of parenthesizing the product is

$$m[i,j] = \begin{cases} 0 & \text{if } i=j \\ \min_{1 \leq k \leq j} \{ m[i,k] + m[k+1,j] + p_{i-1} p_k p_j \} & \text{if } i < j \end{cases}$$

→  $\Sigma$

	1	2	3	4
1	0	120	88	158
2		0	48	104
3			0	84
4				0

→  $\Sigma$

	1	2	3	4
1		1	1	3
2			2	3
3				1
4				

$m[i,j]$

$s[i,j]$

$$\text{now } m[1,1] = m[2,2] = m[3,3] = m[4,4] = 0$$

so it goes like this

$$\begin{aligned} m[1,2] &= \min_{1 \leq k \leq 2} \{ m[1,k] + m[2,2] + p_{i-1} p_i p_2 \} \\ &= \min_{k=1} \{ m[1,1] + m[2,2] + p_0 p_1 p_2 \} \\ &= \min_{k=1} \{ 0 + 0 + 5 \cdot 4 \cdot 6 \} \\ &= 120 \end{aligned}$$

$s[1,2]$

$$\begin{aligned} m[2,3] &= \min_{2 \leq k \leq 3} \{ m[2,k] + m[3,3] + p_{i-1} p_2 p_3 \} \\ &= \min_{k=2} \{ m[2,2] + m[3,3] + p_1 p_2 p_3 \} \\ &= \min_{k=2} \{ 0 + 0 + 4 \cdot 6 \cdot 2 \} \\ &= 48 \end{aligned}$$

$s[2,3] = 2$



$$\begin{aligned}
 m[3,4] &= \min_{\substack{2 \leq k < 4 \\ k=3}} \left\{ m[2,3] + m[4,4] + p_{3-1} p_3 p_4 \right\} \quad (20) \\
 &= \min_{k=3} \left\{ m[2,3] + m[4,4] + p_{3-1} p_3 p_4 \right\} \\
 &= \min_{k=3} \left\{ 0 + 0 + 6 \cdot 2 \cdot 7 \right\} \\
 &= 84
 \end{aligned}$$

$$s[3,4] = 3$$

$$\begin{aligned}
 m[1,2] &= \min_{\substack{1 \leq k < 2 \\ k=1,2}} \left\{ m[1,1] + m[2,2] + p_{1-1} p_1 p_2 \right\} \\
 &\quad \text{(all other terms are zero)} \\
 &= \min_{k=1,2} \left\{ 0 + 48 + 5 \cdot 4 \cdot 2, 120 + 0 + 5 \cdot 6 \cdot 2 \right\} \\
 &= \min_{k=1,2} \left\{ 88, 180 \right\} \\
 &= 88
 \end{aligned}$$

$$s[1,2] = 1$$

$$\begin{aligned}
 m[2,4] &= \min_{\substack{2 \leq k < 4 \\ k=2,3}} \left\{ m[2,2] + m[3,4] + p_{2-1} p_2 p_4 \right\} \\
 &= \min_{k=2,3} \left\{ 0 + 84 + 4 \cdot 6 \cdot 7 \right\} \\
 &= 48 + 0 + 4 \cdot 2 \cdot 7
 \end{aligned}$$

$$\text{at } s[2,4] \text{ leading to } \min_{k=2,3} \left\{ 252, 104 \right\} \text{ with } 104$$

$$s[2,4] = 3$$

$$\begin{aligned}
 m[1,4] &= \min_{\substack{1 \leq k < 4 \\ k=1,2,3}} \left\{ m[1,1] + m[2,4] + p_{1-1} p_1 p_4 \right\} \\
 &\quad \text{(all other terms are zero)} \\
 &= \min_{k=1,2,3} \left\{ m[1,1] + m[3,4] + p_{1-1} p_2 p_4 \right\} \\
 &= \min_{k=1,2,3} \left\{ m[1,1] + m[4,4] + p_{1-1} p_3 p_4 \right\}
 \end{aligned}$$

$$= \min_{k=1,2,3} \left\{ \begin{array}{l} 0 + 104 + 5 \cdot 4 \cdot 7 \\ 120 + 84 + 5 \cdot 6 \cdot 7 \\ 88 + 0 + 5 \cdot 2 \cdot 7 \end{array} \right\}$$

$$M = \min_{k=1,2,3} \left\{ 244, 214, 158 \right\}$$

$$= 158$$

$$\{[1,4] = 3$$

∴ the optimal cost =  $m[1,4] = 158$

print-optimal-parenthesis( $s_{1..i..j}$ )

if  $i=j$  then print " "

else

print "("

$P-O-P(s_{1..i..j})$ ;

$P-O-P(s_{1..j..j+1..j})$ ;

print ")"

for the given problem, the initial call to print-optimal-parenthesis algorithm is

$(s_{1..n})$  i.e.  $(s_{1..4})$

P-O-P ( $S_1, 1, 4$ )

P-O-P ( $S_1, 1, 3$ )

P-O-P ( $S_1, 4, 4$ )

A4

P-O-P ( $S_1, 1, 1$ )

P-O-P ( $S_2, 2, 3$ )

A1

A2

A3

P-O-P ( $S_1, 2, 2$ )

P-O-P ( $S_3, 3, 3$ )

Optimal order sequence =  $((A_1, (A_2 \cdot A_3)) A_4)$

Ex. Consider the following Matrices  $A_1 = 2 \times 1$ ;  $A_2 = 1 \times 3$ ;  $A_3 = 3 \times 4$ ;  $A_4 = 4 \times 5$ . Find out the optimal order sequence and optimal cost for multiplying these matrices using dynamic programming?

The optimal cost  $= m[1, 4] = 42$

Optimal order sequence -  $A((B(C)) A_4)$

$(A_1, ((A_2 \cdot A_3) A_4))$

Do note all costs at 8 multiplications & 7 additions

## The Traveling Salesperson problem

→ Let  $G = (V, E)$  be a directed graph where  $V$  denotes the set of vertices and  $E$  denotes the set of edges. The edges are given along with their edge costs  $c_{ij}$ , where  $c_{ij} > 0$  for all  $i$  and  $j$ .

$$\text{cost}(i,j) = \begin{cases} 0 & \text{if } i=j \\ c_{ij} & \text{if } (i,j) \in E(G) \\ \infty & \text{if } (i,j) \notin E(G). \end{cases}$$

→ A tour for the graph  $G$  is a directed cycle that includes every vertex exactly once. A tour starts at vertex "i" and ends with vertex "i", but remaining vertices are visited exactly once. The cost of the tour is sum of the costs of the edges on the tour.

→ Let  $\delta(i, S)$  be the length of the minimum shortest path, starting at vertex "i", going through all the vertices in "S" exactly once, and terminating at vertex "i".

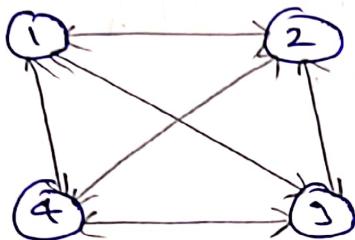
$$\delta(i, S) = \min_{j \in S} \{ c_{ij} + \delta(j, S - \{ j \}) \}$$

$$\text{where } S' = V - \{ i \}$$

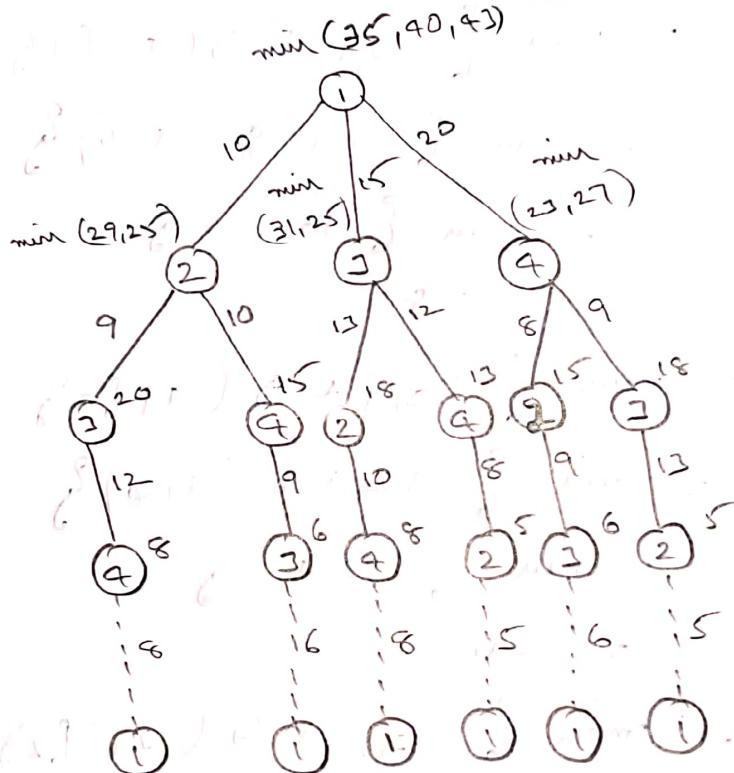
→ our main objective is to find the tour of optimal or minimum cost.

[Ex:- postal van]

Consider the directed graph as the following. The edge lengths are given by matrix



	1	2	3	4
1	0	10	15	20
2	5	0	9	10
3	6	13	0	12
4	8	8	9	0



shortest path =  $1 \rightarrow 2 \rightarrow 4 \rightarrow 3 \rightarrow 1 = 10 + 10 + 9 + 6 = 35$

$$g(i, s) = \min_{j \in S} \{ c_{ij} + g(j, s - \{ j \}) \}$$

now  $|S| = \emptyset$

$$g(i, \emptyset) = c_{ii}$$

$$g(1, \emptyset) = c_{11} = 0$$

$$g(2, \emptyset) = c_{21} = 5$$

$$g(3, \emptyset) = c_{31} = 6$$

$$g(4, \emptyset) = c_{41} = 8$$

$$|S| = 1$$

$$\begin{aligned}g(2, \{2\}) &= \min \left\{ c_{2,2} + g(1, \{2\} - 2) \right\} \\&= \min \left\{ 9 + g(1, \emptyset) \right\} \\&= \min \left\{ 9 + 6 \right\} \\&= \min \left\{ 15 \right\} \\&= 15\end{aligned}$$

$$\begin{aligned}g(2, \{4\}) &= \min \left\{ c_{2,4} + g(4, \{4\} - 4) \right\} \\&= \min \left\{ 10 + g(4, \emptyset) \right\} \\&= \min \left\{ 10 + 8 \right\} \\&= 18\end{aligned}$$

$$\begin{aligned}g(2, \{4\}) &= \min \left\{ c_{2,4} + g(4, \{4\} - 4) \right\} \\&= \min \left\{ 12 + g(4, \emptyset) \right\} \\&= \min \left\{ 12 + 8 \right\} \\&= 20\end{aligned}$$

$$\begin{aligned}g(2, \{2\}) &= \min \left\{ c_{3,2} + g(2, \{2\} - 2) \right\} \\&= \min \left\{ 13 + g(2, \emptyset) \right\} \\&= \min \left\{ 13 + 5 \right\} \\&= 18\end{aligned}$$

$$\begin{aligned}g(4, \{2\}) &= \min \left\{ c_{4,2} + g(2, \{2\} - 2) \right\} \\&= \min \left\{ 8 + g(2, \emptyset) \right\} \\&= \min \left\{ 8 + 5 \right\} \\&= 13\end{aligned}$$

$$\begin{aligned}g(4, \{2\}) &= \min \left\{ c_{4,2} + g(2, \{2\} - 2) \right\} \\&= \min \left\{ 9 + g(2, \emptyset) \right\}\end{aligned}$$

$$\begin{aligned} &= \min \left\{ \underline{9+6} \right\} \\ &= \underline{15} \\ |S| = 2 \end{aligned}$$

$$\begin{aligned} g(2, \{6, 7, 4\}) &= \min \left\{ \begin{array}{l} c_{21} + g(1, \{6, 4\} - 1) \\ c_{24} + g(4, \{6, 4\} - 4) \end{array} \right\} \\ &= \min \left\{ \begin{array}{l} 9 + g(2, 64) \\ 10 + g(4, 64) \end{array} \right\} \\ &= \min \left\{ 9+20, \underline{10+15} \right\} \\ &= \underline{25} \end{aligned}$$

$$\begin{aligned} g(2, \{6, 7, 4\}) &= \min \left\{ \begin{array}{l} c_{22} + g(2, \{6, 4\} - 2) \\ c_{24} + g(4, \{6, 4\} - 4) \end{array} \right\} \\ &= \min \left\{ \begin{array}{l} 15 + g(2, 64) \\ 12 + g(4, 64) \end{array} \right\} \\ &= \min \left\{ 15+18, \underline{12+17} \right\} \\ &= \underline{25} \end{aligned}$$

$$\begin{aligned} g(4, \{2, 5\}) &= \min \left\{ \begin{array}{l} c_{42} + g(2, \{2, 5\} - 2) \\ c_{43} + g(2, \{2, 5\} - 3) \end{array} \right\} \\ &= \min \left\{ \begin{array}{l} 8 + g(2, 25) \\ 9 + g(2, 25) \end{array} \right\} \\ &= \min \left\{ 8+15, \underline{9+18} \right\} \\ &= \underline{23} \end{aligned}$$

$$\begin{aligned} |S| = 3 \\ g(1, \{2, 3, 4\}) &= \min \left\{ \begin{array}{l} c_{12} + g(2, \{2, 3, 4\} - 2) \\ c_{13} + g(2, \{2, 3, 4\} - 3) \\ c_{14} + g(4, \{2, 3, 4\} - 4) \end{array} \right\} \end{aligned}$$

$$= \min \left\{ \begin{array}{l} 10 + g((2, \{1, 4\})) \\ 15 + g((3, \{2, 4\})) \\ 20 + g((4, \{2, 3\})) \end{array} \right\}$$

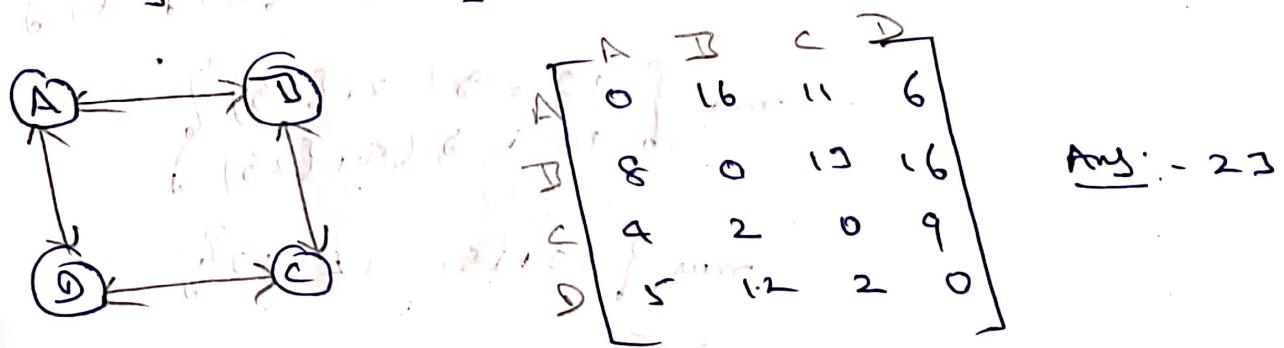
$$\begin{aligned} & \{((1, 2), 10), 15\} \text{ is feasible} \\ & \{((1, 2), 10), 20\} = \min \left\{ 10 + 25, 15 + 25, 20 + 25 \right\} \end{aligned}$$

$$\begin{aligned} & \{((1, 2), 10), 25\} = \min \left\{ 25, 20, 45 \right\} \\ & \{((1, 2), 10), 25\} \text{ is feasible} \end{aligned}$$

$$= \underline{\underline{25}}$$

$$\text{Hence } 1 - 2 - 4 - 3 - 1 = 10 + 10 + 9 + 6 = 35$$

Ex:-2 Consider the directed graph & the following. The edge lengths are given by the nodes.



(The original) shortest from (directed)  $\rightarrow$  (directed)  $\rightarrow$   
(Eulerian, 2) & 2000.

(The original) shortest from (directed)  $\rightarrow$   
(Eulerian, 2) & 2000.

(The original) shortest from (directed)  $\rightarrow$   
(Eulerian, 2) & 2000.

(The original) shortest from (directed)  $\rightarrow$   
(Eulerian, 2) & 2000.

(The original) shortest from (directed)  $\rightarrow$