6

Pipelining and Superscalar Techniques

This chapter deals with pipelining and superscalar design in processor development. We begin with a discussion of conventional linear pipelines and analyze their performance. A generalized pipeline model is introduced to include nonlinear interstage connections. Collision-free scheduling techniques are described for performing dynamic functions.

Specific techniques for building instruction pipelines, arithmetic pipelines, and memory-access pipelines are presented. The discussion includes instruction prefetching, internal data forwarding, software interlocking, hardware scoreboarding, hazard avoidance, branch handling, and instruction-issuing techniques. Both static and multifunctional arithmetic pipelines are designed. Superscalar design techniques are studied along with performance analysis.

6.1

LINEAR PIPELINE PROCESSORS

A linear pipeline processor is a cascade of processing stages which are linearly connected to perform a fixed function over a stream of data flowing from one end to the other. In modern computers, linear pipelines are applied for instruction execution, arithmetic computation, and memory-access operations.

6.1.1 Asynchronous and Synchronous Models

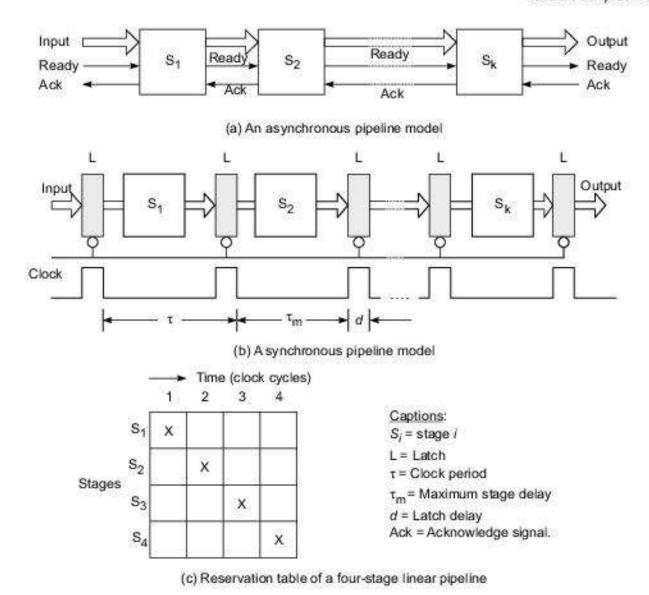
A linear pipeline processor is constructed with k processing stages. External inputs (operands) are fed into the pipeline at the first stage S_1 . The processed results are passed from stage S_i to stage S_{i+1} , for all i = 1, 2, ..., k-1. The final result emerges from the pipeline at the last stage S_k .

Depending on the control of data flow along the pipeline, we model linear pipelines in two categories: asynchronous and synchronous.

Asynchronous Model As shown in Fig. 6.1a, data flow between adjacent stages in an asynchronous pipeline is controlled by a handshaking protocol. When stage S_i is ready to transmit, it sends a ready signal to stage S_{i+1} . After stage S_{i+1} receives the incoming data, it returns an acknowledge signal to S_i .

Asynchronous pipelines are useful in designing communication channels in message-passing multicomputers where pipelined wormhole routing is practiced (see Chapter 9). Asynchronous pipelines may have a variable throughput rate. Different amounts of delay may be experienced in different stages.

Fig. 6.1



Synchronous Model Synchronous pipelines are illustrated in Fig. 6.1b. Clocked latches are used to interface between stages. The latches are made with master-slave flip-flops, which can isolate inputs from outputs. Upon the arrival of a clock pulse, all latches transfer data to the next stage simultaneously.

Two models of linear pipeline units and the corresponding reservation table

The pipeline stages are combinational logic circuits. It is desired to have approximately equal delays in all stages. These delays determine the clock period and thus the speed of the pipeline. Unless otherwise specified, only synchronous pipelines are studied in this book.

The utilization pattern of successive stages in a synchronous pipeline is specified by a reservation table. For a linear pipeline, the utilization follows the diagonal streamline pattern shown in Fig. 6.1c. This table is essentially a space-time diagram depicting the precedence relationship in using the pipeline stages. For a k-stage linear pipeline, k clock cycles are needed for data to flow through the pipeline.

Successive tasks or operations are initiated one per cycle to enter the pipeline. Once the pipeline is filled up, one result emerges from the pipeline for each additional cycle. This throughput is sustained only if the successive tasks are independent of each other.

6.1.2 Clocking and Timing Control

The clock cycle τ of a pipeline is determined below. Let τ_i be the time delay of the circuitry in stage S_i and d the time delay of a latch, as shown in Fig. 6.1b.

Clock Cycle and Throughput Denote the maximum stage delay as τ_{np} and we can write τ as

$$\tau = \max_{i} \left\{ \tau_{i} \right\}_{1}^{k} + d = \tau_{m} + d \tag{6.1}$$

At the rising edge of the clock pulse, the data is latched to the master flip-flops of each latch register. The clock pulse has a width equal to d. In general, $\tau_m >> d$ by one to two orders of magnitude. This implies that the maximum stage delay τ_m dominates the clock period.

The pipeline frequency is defined as the inverse of the clock period:

$$f = \frac{1}{\tau} \tag{6.2}$$

If one result is expected to come out of the pipeline per cycle, frepresents the maximum throughput of the pipeline. Depending on the initiation rate of successive tasks entering the pipeline, the actual throughput of the pipeline may be lower than f. This is because more than one clock cycle has elapsed between successive task initiations.

Clock Skewing Ideally, we expect the clock pulses to arrive at all stages (latches) at the same time. However, due to a problem known as clock skewing, the same clock pulse may arrive at different stages with a time offset of s. Let t_{max} be the time delay of the longest logic path within a stage and t_{min} that of the shortest logic path within a stage.

To avoid a race in two successive stages, we must choose $\tau_m \ge t_{mex} + s$ and $d \le t_{min} - s$. These constraints translate into the following bounds on the clock period when clock skew takes effect:

$$d + t_{max} + s \le \tau \le \tau_m + t_{min} - s \tag{6.3}$$

In the ideal case s = 0, $t_{max} = \tau_m$ and $t_{min} = d$. Thus, we have $\tau = \tau_m + d$, consistent with the definition in Eq. 6.1 without the effect of clock skewing.

6.1.3 Speedup, Efficiency, and Throughput

Ideally, a linear pipeline of k stages can process n tasks in k + (n-1) clock cycles, where k cycles are needed to complete the execution of the very first task and the remaining n-1 tasks require n-1 cycles. Thus the total time required is

$$T_k = [k + (n-1)]\tau$$
 (6.4)

where τ is the clock period. Consider an equivalent-function nonpipelined processor which has a flow-through delay of $k\tau$. The amount of time it takes to execute n tasks on this nonpipelined processor is $T_1 = nk\tau$.

Speedup Factor The speedup factor of a k-stage pipeline over an equivalent non pipelined processor is defined as

$$S_k = \frac{T_1}{T_k} = \frac{nk\tau}{k\tau + (n-1)\tau} = \frac{nk}{k + (n-1)}$$
 (6.5)

Note 6.1 Pipelined versus non-pipelined processors

If each pipeline stage has a stage delay of τ , then clearly an instruction passing through k pipeline stages in a processor sees a total latency of $k\tau$. Now suppose we also have a non-pipelined processor for the same instruction set, using the same technology. This non-pipelined processor need not present a latency of $k\tau$ to every instruction, because it does not have k separate stages for an instruction to pass through. Since the non-pipelined processor would have a more compact hardware design, we can expect that the average latency seen by instructions on this processor will be smaller than $k\tau$.

In other words, the advantage of a pipelined processor lies in its instruction throughput; in terms of instruction latency, the non-pipelined version can in fact be expected do better. However, for the comparative analysis here, we have assumed that the instruction latency on the non-pipelined version is also $k\tau$. This is a simplification which does not change substantially the conclusion reached.



Example 6.1 Pipeline speedup versus stream length

The maximum speedup is $S_k \to k$ as $n \to \infty$. This maximum speedup is very difficult to achieve because of data dependences between successive tasks (instructions), program branches, interrupts, and other factors to be studied in subsequent sections.

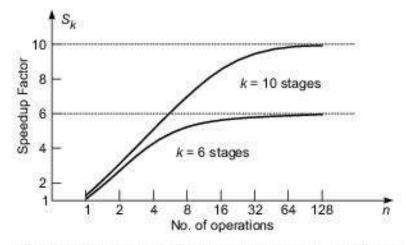
Figure 6.2a plots the speedup factor as a function of n, the number of tasks (operations or instructions) performed by the pipeline. For small values of n, the speedup can be very poor. The smallest value of S_k is 1 when n = 1.

The larger the number k of subdivided pipeline stages, the higher the potential speedup performance. When n = 64, an eight-stage pipeline has a speedup value of 7.1 and a four-stage pipeline has a speedup of 3.7. However, the number of pipeline stages cannot increase indefinitely due to practical constraints on costs, control complexity, circuit implementation, and packaging limitations. Furthermore, the stream length n also affects the speedup; the longer the better in using a pipeline.

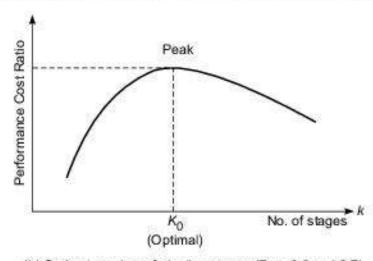
Optimal Number of Stages In practice, most pipelining is staged at the functional level with $2 \le k \le 15$. Very few pipelines are designed to exceed 10 stages in real computers. The optimal choice of the number of pipeline stages should be able to maximize the performance/cost ratio for the target processing load.

Let t be the total time required for a nonpipelined sequential program of a given function. To execute the same program on a k-stage pipeline with an equal flow-through delay t, one needs a clock period of p = t/k + d, where d is the latch delay. Thus, the pipeline has a maximum throughput of f = 1/p = 1/(t/k + d). The total pipeline cost is roughly estimated by c + kh, where c covers the cost of all logic stages and h represents the cost of each latch. A pipeline performance/cost ratio (PCR) has been defined by Larson (1973):

$$PCR = \frac{f}{c + kh} = \frac{1}{(t/k + d)(c + kh)}$$
 (6.6)



(a) Speedup factor as a function of the number of operations (Eq. 6.5)



(b) Optimal number of pipeline stages (Eqs. 6.6 and 6.7)

Fig. 6.2 Speedup factors and the optimal number of pipeline stages for a linear pipeline unit

Figure 6.2b plots the PCR as a function of k. The peak of the PCR curve corresponds to an optimal choice for the number of desired pipeline stages:

$$k_0 = \sqrt{\frac{t \cdot c}{d \cdot h}} \tag{6.7}$$

where t is the total flow-through delay of the pipeline. Thus the total stage cost c, the latch delay d, and the latch cost h must be considered to achieve the optimal value k_0 .

Efficiency and Throughput The efficiency E_k of a linear k-stage pipeline is defined as

$$E_k = \frac{S_k}{k} = \frac{n}{k + (n-1)} \tag{6.8}$$

Obviously, the efficiency approaches 1 when $n \to \infty$, and a lower bound on E_k is 1/k when n = 1. The pipeline throughput H_k is defined as the number of tasks (operations) performed per unit time:

$$H_{k} = \frac{n}{[k + (n-1)]\tau} = \frac{nf}{k + (n-1)}$$
(6.9)

The maximum throughput f occurs when $E_k \to 1$ as $n \to \infty$. This coincides with the speedup definition given in Chapter 3. Note that $H_k = E_{k'}$ $f = E_k/\tau = S_k/k\tau$. Other relevant factors of instruction pipelines will be discussed in Chapters 12 and 13.

6.2

NONLINEAR PIPELINE PROCESSORS

A dynamic pipeline can be reconfigured to perform variable functions at different times. The traditional linear pipelines are static pipelines because they are used to perform fixed functions.

A dynamic pipeline allows feedforward and feedback connections in addition to the streamline connections. For this reason, some authors call such a structure a nonlinear pipeline.

6.2.1 Reservation and Latency Analysis

In a static pipeline, it is relatively easy to partition a given function into a sequence of linearly ordered subfunctions. However, function partitioning in a dynamic pipeline becomes quite involved because the pipeline stages are interconnected with loops in addition to streamline connections.

A multifunction dynamic pipeline is shown in Fig. 6.3a. This pipeline has three stages. Besides the streamline connections from S_1 to S_2 and from S_2 to S_3 , there is a feed forward connection from S_1 to S_3 and two feedback connections from S_3 to S_2 and from S_3 to S_1 .

These feedforward and feedback connections make the scheduling of successive events into the pipeline a nontrivial task. With these connections, the output of the pipeline is not necessarily from the last stage. In fact, following different dataflow patterns, one can use the same pipeline to evaluate different functions.

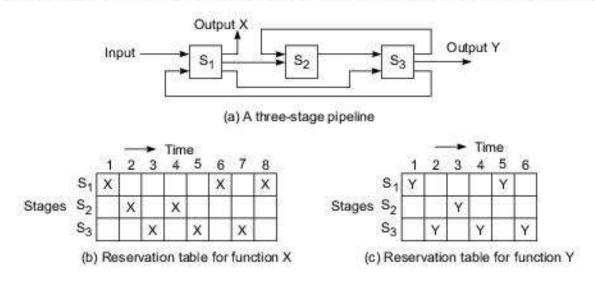


Fig. 6.3 A dynamic pipeline with feed forward and feedback connections for two different functions

Reservation Tables The reservation table for a static linear pipeline is trivial in the sense that dataflow follows a linear streamline. The *reservation table* for a dynamic pipeline becomes more interesting because a nonlinear pattern is followed. Given a pipeline configuration, multiple reservation tables can be generated for the evaluation of different functions.

Two reservation tables are given in Figs. 6.3b and 6.3c, corresponding to a function X and a function Y, respectively. Each function evaluation is specified by one reservation table. A static pipeline is specified by a single reservation table. A dynamic pipeline may be specified by more than one reservation table.

Each reservation table displays the time-space flow of data through the pipeline for one function evaluation.

Different functions follow different paths through the pipeline.

The number of columns in a reservation table is called the evaluation time of a given function. For example, the function X requires eight clock cycles to evaluate, and function Y requires six cycles, as shown in Figs. 6.3b and 6.3c, respectively.

A pipeline *initiation* table corresponds to each function evaluation. All initiations to a static pipeline use the same reservation table. On the other hand, a dynamic pipeline may allow different initiations to follow a mix of reservation tables. The checkmarks in each row of the reservation table correspond to the time instants (cycles) that a particular stage will be used.

There may be multiple checkmarks in a row, which means repeated usage of the same stage in different cycles. Contiguous checkmarks in a row simply imply the extended usage of a stage over more than one cycle. Multiple checkmarks in a column mean that multiple stages need to be used in parallel during a particular clock cycle.

Latency Analysis The number of time units (clock cycles) between two initiations of a pipeline is the latency between them. Latency values must be nonnegative integers. A latency of k means that two initiations are separated by k clock cycles. Any attempt by two or more initiations to use the same pipeline stage at the same time will cause a collision.

A collision implies resource conflicts between two initiations in the pipeline. Therefore, all collisions must be avoided in scheduling a sequence of pipeline initiations. Some latencies will cause collisions, and some will not. Latencies that cause collisions are called *forbidden latencies*. In using the pipeline in Fig. 6.3 to evaluate the function X, latencies 2 and 5 are forbidden, as illustrated in Fig. 6.4.

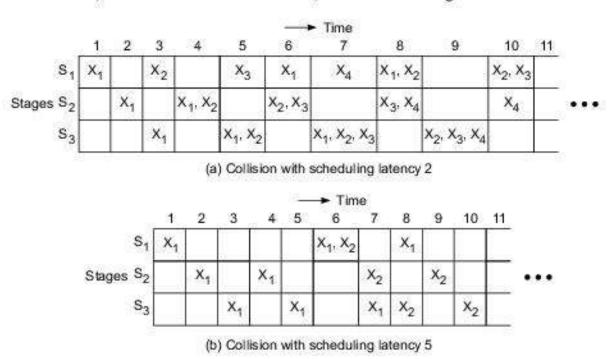


Fig. 6.4 Collisions with forbidden latencies 2 and 5 in using the pipeline in Fig. 6.3 to evaluate the function X

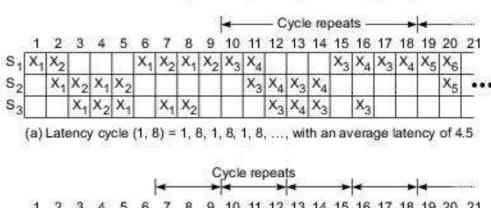
The *i*th initiation is denoted as X_i in Fig. 6.4. With latency 2, initiations X_1 and X_2 collide in stage 2 at time 4. At time 7, these initiations collide in stage 3. Similarly, other collisions are shown at times 5, 6, 8, ..., etc.

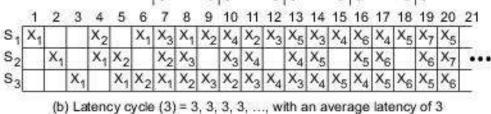
The collision patterns for latency 5 are shown in Fig. 6.4b, where X_1 and X_2 are scheduled 5 clock cycles apart. Their first collision occurs at time 6.

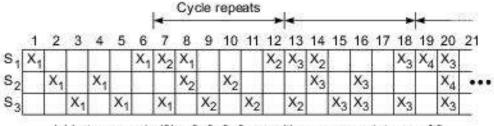
To detect a forbidden latency, one needs simply to check the distance between any two checkmarks in the same row of the reservation table. For example, the distance between the first mark and the second mark in row S_1 in Fig. 6.3b is 5, implying that 5 is a forbidden latency.

Similarly, latencies 2, 4, 5, and 7 are all seen to be forbidden from inspecting the same reservation table. From the reservation table in Fig. 6.3c, we discover the forbidden latencies 2 and 4 for function Y. A *latency* sequence is a sequence of permissible nonforbidden latencies between successive task initiations.

A latency cycle is a latency sequence which repeats the same subsequence (cycle) indefinitely. Figure 6.5 illustrates latency cycles in using the pipeline in Fig. 6.3 to evaluate the function X without causing a collision. For example, the latency cycle (1, 8) represents the infinite latency sequence 1, 8, 1, 8, 1, 8, This implies that successive initiations of new tasks are separated by one cycle and eight cycles alternately.







(c) Latency cycle (6) = 6, 6, 6, 6, ..., with an average latency of 6

Fig. 6.5 Three valid latency cycles for the evaluation of function X

The average latency of a latency cycle is obtained by dividing the sum of all latencies by the number of latencies along the cycle. The latency cycle (1, 8) thus has an average latency of (1 + 8)/2 = 4.5. A constant cycle is a latency cycle which contains only one latency value. Cycles (3) and (6) in Figs. 6.5b and 6.5c are both constant cycles. The average latency of a constant cycle is simply the latency itself. In the next section, we describe how to obtain these latency cycles systematically.

6.2.2 Collision-Free Scheduling

When scheduling events in a nonlinear pipeline, the main objective is to obtain the shortest average latency between initiations without causing collisions. In what follows, we present a systematic method for achieving such collision-free scheduling.

We study below collision vectors, state diagrams, single cycles, greedy cycles, and minimal average latency (MAL). This pipeline design theory was originally developed by Davidson (1971) and his students.

Collision Vectors By examining the reservation table, one can distinguish the set of permissible latencies from the set of forbidden latencies. For a reservation table with n columns, the maximum forbidden latency $m \le n - 1$. The permissible latency p should be as small as possible. The choice is made in the range $1 \le p \le m - 1$.

A permissible latency of p = 1 corresponds to the ideal case. In theory, a latency of 1 can always be achieved in a static pipeline which follows a linear (diagonal or streamlined) reservation table as shown in Fig. 6.1c.

The combined set of permissible and forbidden latencies can be easily displayed by a collision vector, which is an m-bit binary vector $C = (C_m C_{m-1} \dots C_2 C_1)$. The value of $C_i = 1$ if latency i causes a collision and $C_i = 0$ if latency i is permissible. Note that it is always true that $C_m = 1$, corresponding to the maximum forbidden latency.

For the two reservation tables in Fig. 6.3, the collision vector C_{χ} = (1011010) is obtained for function X, and C_{Y} = (1010) for function Y. From C_{χ} , we can immediately tell that latencies 7, 5, 4, and 2 are forbidden and latencies 6, 3, and 1 are permissible. Similarly, 4 and 2 are forbidden latencies and 3 and 1 are permissible latencies for function Y.

State Diagrams From the above collision vector, one can construct a *state diagram* specifying the permissible state transitions among successive initiations. The collision vector, like C_X above, corresponds to the *initial state* of the pipeline at time 1 and thus is called an *initial collision vector*. Let p be a permissible latency within the range $1 \le p \le m-1$.

The next state of the pipeline at time t+p is obtained with the assistance of an m-bit right shift register as in Fig. 6.6a. The initial collision vector C is initially loaded into the register. The register is then shifted to the right. Each 1-bit shift corresponds to an increase in the latency by 1. When a 0 bit emerges from the right end after p shifts, it means p is a permissible latency. Likewise, a 1 bit being shifted out means a collision, and thus the corresponding latency should be forbidden.

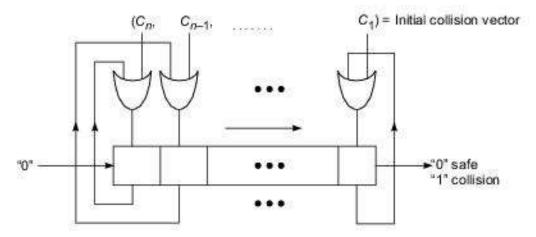
Logical 0 enters from the left end of the shift register. The next state after p shifts is thus obtained by bitwise-ORing the initial collision vector with the shifted register contents. For example, from the initial state $C_X = (1011010)$, the next state (1111111) is reached after one right shift of the register, and the next state (1011011) is reached after three shifts or six shifts.



Example 6.2 The state transition diagram for a pipeline unit

A state diagram is obtained in Fig. 6.6b for function X. From the initial state (1011010), only three outgoing transitions are possible, corresponding to the three permissible latencies 6, 3, and 1 in the initial collision vector. Similarly, from state (1011011), one reaches the same state after either three shifts or six shifts.

When the number of shifts is m + 1 or greater, all transitions are redirected back to the initial state. For example, after eight or more (denoted as 8^+) shifts, the next state must be the initial state, regardless of which state the transition starts from. In Fig. 6.6c, a state diagram is obtained for the reservation table in Fig. 6.3c using a 4-bit shift register. Once the initial collision vector is determined, the corresponding state diagram is uniquely determined.



(a) State transition using an n-bit right shift register, where n is the maximum forbidden latency

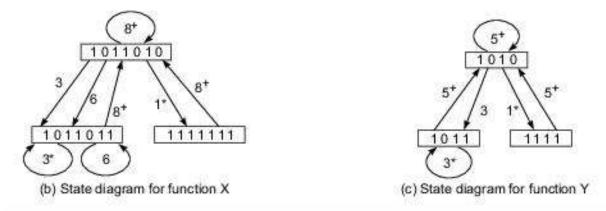


Fig. 6.6 Two state diagrams obtained from the two reservation tables in Fig. 6.3, respectively

The 0's and 1's in the present state, say at time t, of a state diagram indicate the permissible and forbidden latencies, respectively, at time t. The bitwise ORing of the shifted version of the present state with the initial collision vector is meant to prevent collisions from future initiations starting at time t + 1 and onward.

Thus the state diagram covers all permissible state transitions that avoid collisions. All latencies equal to or greater than m are permissible. This implies that collisions can always be avoided if events are scheduled far apart (with latencies of m^+). However, such long latencies are not tolerable from the viewpoint of pipeline throughput.

Greedy Cycles From the state diagram, we can determine optimal latency cycles which result in the MAL. There are infinitely many latency cycles one can trace from the state diagram. For example, (1, 8), (1, 8, 6, 8), (3), (6), (3, 8), (3, 6, 3) ..., are legitimate cycles traced from the state diagram in Fig. 6.6b. Among these cycles, only *simple cycles* are of interest.

A simple cycle is a latency cycle in which each state appears only once. In the state diagram in Fig. 6.6b, only (3), (6), (8), (1, 8), (3, 8), and (6, 8) are simple cycles. The cycle (1, 8, 6, 8) is not simple because it travels through the state (1011010) twice. Similarly, the cycle (3, 6, 3, 8, 6) is not simple because it repeats the state (1011011) three times.

Some of the simple cycles are greedy cycles. A greedy cycle is one whose edges are all made with minimum latencies from their respective starting states. For example, in Fig. 6.6b the cycles (1, 8) and (3) are greedy cycles. Greedy cycles in Fig. 6.6c are (1, 5) and (3). Such cycles must first be simple, and their average latencies must be lower than those of other simple cycles. The greedy cycle (1, 8) in Fig. 6.6b has an average latency of (1 + 8)/2 = 4.5, which is lower than that of the simple cycle (6, 8) = (6 + 8)/2 = 7. The greedy cycle (3) has a constant latency which equals the MAL for evaluating function X without causing a collision.

The MAL in Fig. 6.6c is 3, corresponding to either of the two greedy cycles. The minimum-latency edges in the state diagrams are marked with asterisks.

At least one of the greedy cycles will lead to the MAL. The collision-free scheduling of pipeline events is thus reduced to finding greedy cycles from the set of simple cycles. The greedy cycle yielding the MAL is the final choice.

6.2.3 Pipeline Schedule Optimization

An optimization technique based on the MAL is given below. The idea is to insert noncompute delay stages into the original pipeline. This will modify the reservation table, resulting in a new collision vector and an improved state diagram. The purpose is to yield an optimal latency cycle, which is absolutely the shortest.

Bounds on the MAL In 1972, Shar determined the following bounds on the *minimal average latency* (MAL) achievable by any control strategy on a statically reconfigured pipeline executing a given reservation table:

- The MAL is lower-bounded by the maximum number of checkmarks in any row of the reservation table.
- (2) The MAL is lower than or equal to the average latency of any greedy cycle in the state diagram.
- (3) The average latency of any greedy cycle is upper-bounded by the number of 1's in the initial collision vector plus 1. This is also an upper bound on the MAL.

Interested readers may refer to Shar (1972) or find proofs of these bounds in Kogge (1981). These results suggest that the optimal latency cycle must be selected from one of the lowest greedy cycles. However, a greedy cycle is not sufficient to guarantee the optimality of the MAL. The lower bound guarantees the optimality. For example, the MAL = 3 for both function X and function Y and has met the lower bound of 3 from their respective reservation tables.

From Fig. 6.6b, the upper bound on the MAL for function X is equal to 4 + 1 = 5, a rather loose bound. On the other hand, Fig. 6.6c shows a rather tight upper bound of 2 + 1 = 3 on the MAL. Therefore, all greedy cycles for function Y lead to the optimal latency value of 3, which cannot be lowered further.

To optimize the MAL, one needs to find the lower bound by modifying the reservation table. The approach is to reduce the maximum number of checkmarks in any row. The modified reservation table must preserve the original function being evaluated. Patel and Davidson (1976) have suggested the use of noncompute delay stages to increase pipeline performance with a shorter MAL. Their technique is described below.