

Chapter no 3 (3.1, 3.2, 3.3, 3.4, 3.5, 3.6, 3.7.1)

- The Processes →
 - Process is a program in execution.
 - Tracked by Program Counter, contents of processor registers.
- Memory Layout Sections →
 - Executable code
 - global variable
 - DMA
 - Temporary data storage (holds parameters, local variables and addresses)
- Fixed vs Dynamic Sizes
 - Text (code) ↓
 - data (global variables) ↓
 - stack or heap
- Stack operations / heap operations.
- Program / Process
 - ↓
 - passive entry stored on disk
 - ↓
 - is active with its own resources

(Program becomes process when loaded into memory)
- Java programs run in JVM which is process.
- Command :- Java Program.
- Process Control Block → Each process is represented in OS by (PCB) PCB / Task control block.
 - Process state (new, ready, running, waiting)
 - Program Counter (Counter indicates that address of next instruction to be executed for this process)
 - CPU registers (small storage areas. When an interrupt happens, program counter & registers need to be saved so process can continue correctly).
 - CPU scheduling information (priority level of the process)
 - Accounting information (how much CPU time & real time process has used)
 - I/O status information (list of I/O devices that process is using).

Process Scheduling → Objectives →

- Multiprogramming (Maximize CPU Utilization by having a process running at all times)
- Time Sharing (Allow user to interact with programs while they are running by switching CPU (user))

- Process Execution →
 - System selects available process for execution on CPU core.
 - Each CPU core can run one process at a time
 - In single-core system one process runs at a time

- Process Management →
 - more processes than available cores, excess processes must wait for a free core.
 - number of processes currently in memory is called degree of multiprogramming.

- Process Behaviour →
 - I/O bound (Spend more time on I/O operation than computation)
 - CPU-bound (generate I/O request infrequently and focus on computation.)

Scheduling Queues

- ① Ready queue → When process enter system, they are placed in ready queue, where they wait to execute on CPU core.

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implemented as linked list with header pointing to first PCB.

- ② Waiting queue → When process needs to wait for an event multiple waiting queues can exist

- ③ Process Flow → new process starts in ready queue → Once allocated a CPU core than
- Make I/O request
 - Create child process
 - forcibly removed.

- ④ Cycle → Process can switch b/w states until they terminate.
- Upon termination they are removed from all queues and their PCB & resources are deallocated.

• - CPU Scheduling

Process migration → process moves b/w ready queue and various waiting queue throughout its lifetime.

Role of CPU Scheduler →

- Select process from ready queue to allocate CPU core.
- It must select a new process for the CPU.

I/O bound process → It occurs for short period (few milliseconds) before waiting for an I/O request.

CPU-bound process →

- needs CPU for longer duration
- Scheduler does not allow CPU to remain allocated to single process for longer time.

Forced CPU removal →

- Scheduler forcibly removes CPU from one process to allow another process to run
- This happens at least once in every 100 milliseconds

Swapping → intermediate form of scheduling where process is removed from memory to reduce multiprogramming.

↓
used when memory is overcommitted and needs to be free.

- later reintroduced into memory to continue its execution

- Swapping out (moving process from memory to disk)
- Swapping in (moving back into memory from disk)

• - Context Switching

(when an interrupt occurs, system must save state of running process and restore state of another process)

↓
System saves CPU register value

↓
process state, memory for current process and loads for new processes.

- overhead \rightarrow Context switches are pure overhead b/c system doesn't perform useful work during switch.

\downarrow speed depends upon
hardware architecture,
memory speed,
no. of registers.

3.3 Operations On Processes

- Process Creation \rightarrow
 - Parent & child process
 - Parent process can create multiple child process
 - forms tree like structure
 - In UNIX/Linux process is identified by pid.
 - Resource Sharing \rightarrow
 - Child processes inherit resources from their parent's resource.
 - Initialization data \rightarrow
 - Parents can pass initialization data to their children
 - (e.g. files names / resource needed for task completion)
- Execution Flow \rightarrow
 - Concurrent execution \rightarrow
 - After creating child, parent can either continue executing or wait for child's termination.
 - Address Space \rightarrow
 - Child may duplicate parent's address space or load new program
- UNIX/Linux Process Creation \rightarrow
 - `fork()` system call creates new process by duplicating parent's address space.
 - Parent, child executes same program
 - Child process receives return code of 0 while parent gets child's pid.
 - `exec()` system call replaces a process's memory space with new program. allowing child to run different program than parent.

-- Windows Process Creation → CreateProcess() function is used for new creating process immediately loads a program into child's address space.

-- Process termination (Process terminates when it finishes its final statement & request deletion via exit() system call)

• It can return a status value to its parent process using wait() system call.

• All resources, memory & I/O are reclaimed by OS.

-- Termination of other processes → process can terminate another via system calls (TerminateProcess()) usually limited to parent processes.

↓ Why termination?

resource misuse,
unnecessary tasks,
parent process termination

-- Zombie process → After termination, process may remain in process table as a zombie until its parent calls wait().

• If parent terminates without calling wait(), its process adopt orphaned child processes

-- Resource Management in Mobile OS → Android may terminate processes based on

Foreground (current process visible on screen)

Visible background (not visible directly on foreground but performing)

~~Service~~ Service (same to background but is apparent to user).

Background (Processes not apparent to user.)

Empty (holds no active components associated with any application).

3.4 • - Interprocess Communication (techniques to methods that allow different processes to communicate and share data with each other)

- When multiple processes run at same time on a computer they can be

~~Independent~~ Processes: Don't share any data with other processes

Cooperating Processes: Share data and can effect each other.

- Why Cooperation is important?

- i) Shared information (different applications needs to access same data)
- ii) Faster tasks (Breaking big tasks into smaller ones allows them to run at same time, which is faster.)
- iii) Modular design (splitting functions into separate processes makes system easier to manage)

Cooperating Processes share data, IPC needed.

- - Shared-Memory Model →
 - Processes read/write data to a common memory space.
 - This is faster since they don't need to go through OS for every operation.
- - Message-Passing Model →
 - Processes send messages to each other to share information.
 - Best for smaller data exchanges and easier to use in distributed system.

3.5

IPC in shared memory system:-

- Producer-Consumer Problem (Producer generates data, Consumer consumes it)
E.g., Client-Server model (Server: Producer)
resources for clients (Consumer)

• Buffering Solutions:

- Unbounded Buffer (no practical size limit)

→ Consumer may wait for new items, but producer can produce without waiting.

- Bounded Buffer (fixed size)

→ Consumer waits if buffer is empty
producer waits if buffer is full.

→ Implementation:- (Implemented as circular array with two logical pointers: in/out.)

in : points to next free position in buffer.

out : points to first full position in buffer.

→ Buffer Status:-

Empty : $in == out$

Full : $((in + 1) \% BUFFER_SIZE) == out$.

- Producer & Consumer Processes:

① Producer stores next item to be produced in local variable

② Consumer stores next item to be consumed in another local variable.

③ Maximum of $BUFFER_SIZE - 1$ items can be in the buffer.

- Synchronization Challenges.

Both processes have concurrent access to shared buffer must be synchronized.

Beneficial in distributed environments, where processes may be located on different machine connected by a network.

3.6

• - IPC in Message-Passing Systems (process can communicate their action without sharing same memory space)

• Key operation → send/receive.

• Messages type →

- Fixed-size messages (simple system-level implementation, complicates programming)
- Variable-size messages (complex implementation, simplifies programming)

→ Communication Links:-

direct/indirect

→ sender waits for receiver

Synchronous

Asynchronous → sender can send message

Automatic

Explicit

↓

System manages buffers for message storage

↓

Programmer manually handles message buffering.

• - Naming →

• Direct Communication →

• Both sender & receiver explicitly name each other (symmetric addressing)

e.g., send(P, message)

receive(Q, message)

• Only sender names the recipient (Asymmetric addressing)

e.g., send(P, message)

receive(rcv, message)

• Indirect Communication → Utilizes mailboxes or ports for message sending or receiving.

• - Properties → links established only if processes share a mailbox.

• Link may connect more than 2 processes.

• Message reception scenarios (when multiple processes receive from shared mailbox)

• Mailbox ownership →

- Process-Owned → only owner can receive messages

- OS-Owned → independent of any processes.

-- Synchronization → • When both `send()` & `receive()` are blocking, there's a synchronization b/w sender & receiver.

-- Buffering →



Automatic buffering system.

-- Zero Capacity buffering (no buffering)

- Queue can not hold any messages
- Sender must wait until recipient is ready to receive messages
- Can lead to blocking due to

-- Finite-length buffering

- Queue hold limited number of messages
- If queue not full, sender can place message in queue & continue execution.
- If queue full, sender must wait until space becomes available resulting in blocking

-- Infinite-length buffering

- Queue hold unlimited no. of messages.
- Sender can always place messages.

3.7.4

-- Pipes (facilitate communication b/w processes)

• Types → Ordinary (unidirectional)
Named pipes (bidirectional)

• Communication Modes → • Unidirectional → one way communication (e.g. producer & consumer)
• Bidirectional → Named pipes allow two-way communication without parent-child relationship.

Window \rightarrow support both byte & message-oriented data

UNIX/FIFO \rightarrow support byte-oriented data.

- Ordinary Pipes \rightarrow (temporary & exist only during communication)
 - UNIX \rightarrow child processes inherit pipe from their parent.
 - Windows \rightarrow created with `CreatePipe()`.
 - Named Pipe \rightarrow (half-duplex communication)
 - UNIX \rightarrow known as FIFO / created by `mknod()` exist until explicitly deleted.
 - Windows \rightarrow (full-duplex communication) used for inter-machine communication
- Limitation (Ordinary & named pipes requires processes to be on same machine)

Chapter 3 Finished.

Init process \rightarrow first process that starts on a system.
PID = 1

• All other processes running on system will be direct/indirect children of the process.

• Drawback \rightarrow starts fork serially
1-
2- long delay in
3-
4- boot process.

• Alternatives \rightarrow system call `fork` do parallelly
(2) `dup2`

• `fork()` , `CreateProcess()`
 \downarrow \downarrow
Linux calls Windows
`cdone()` \rightarrow system call

Execution:-

- 1) Parent continues to executes concurrently.
- 2) Parent waits until its child is completed.

Memory:-

- 1) child process is duplicate of parent
- 2) new program loaded in child.

pid > 0 (parent)
pid < 0 (error)
pid == 0 (child)