

# Analysis and Design of Algorithms (19CS4PCADA)

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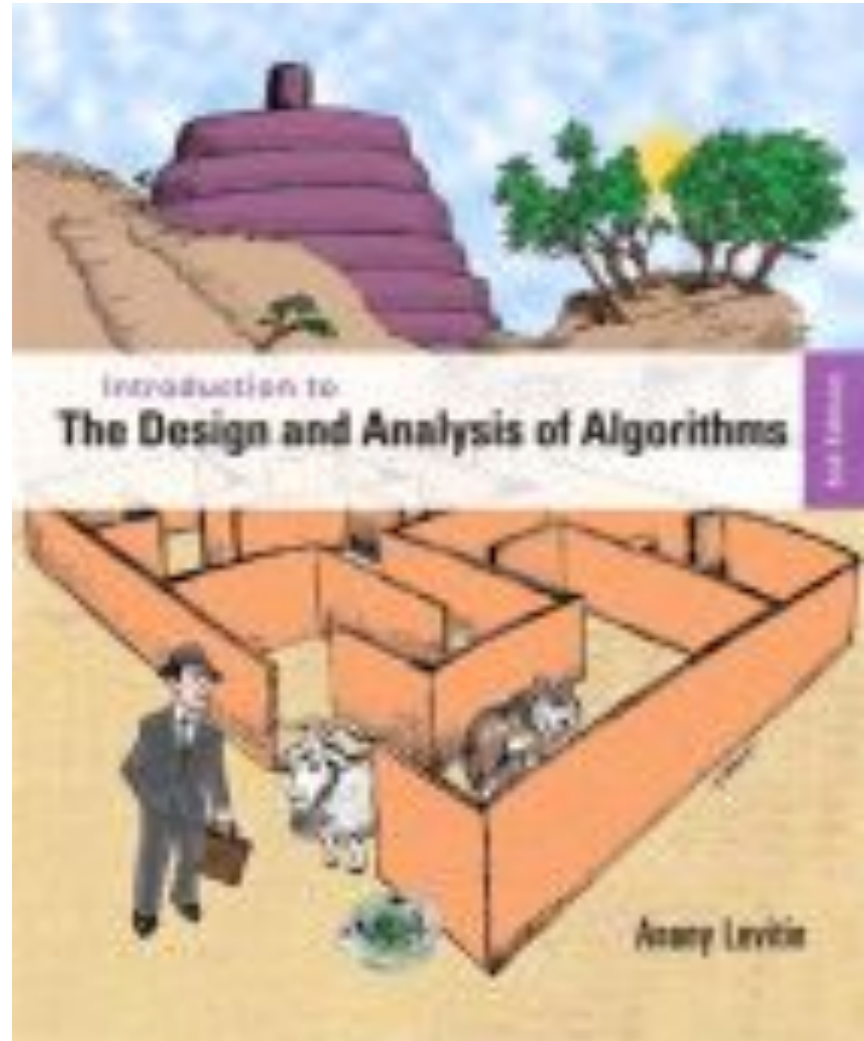
Google class code: iar23so



# Textbook

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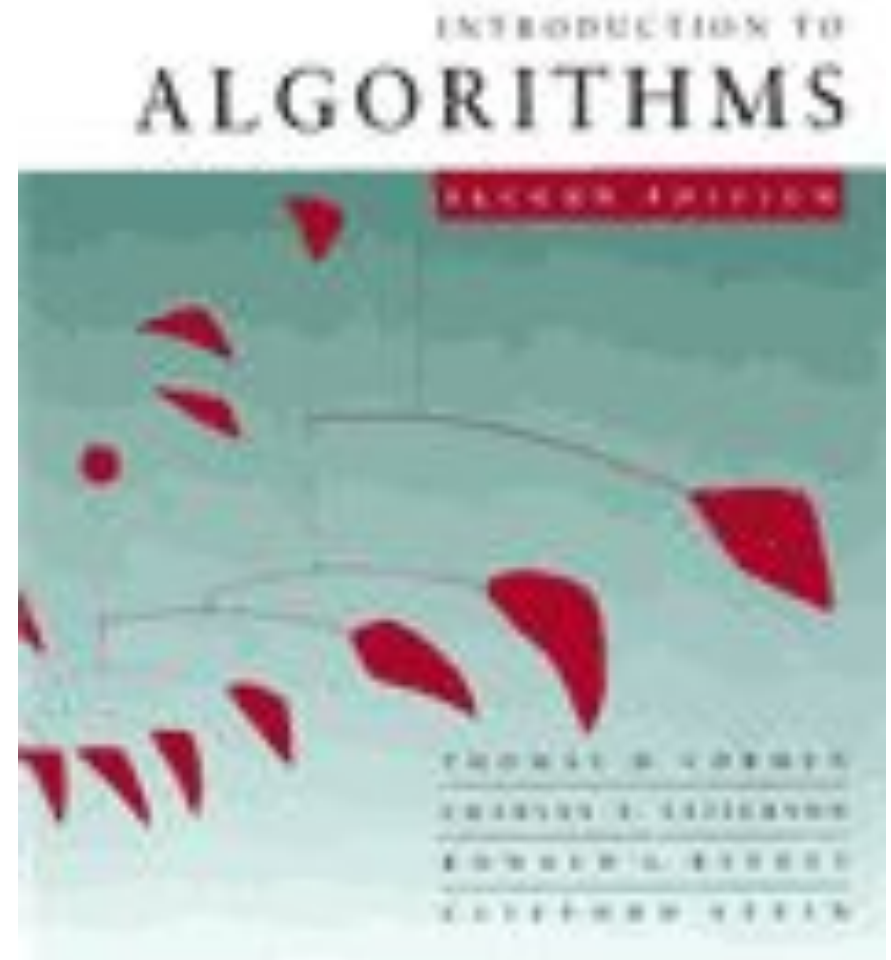
- *Anany Levitin*
- **Introduction to The Design & Analysis of Algorithms.**
- Addison-Wesley



# Textbook

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- T. **C**ormen, C. **L**eiserson, R. **R**ivest, and C. **S**tein
- Introduction to Algorithms, 3rd Ed.
- MIT Press and McGraw-Hill Book Company



# Unit 1: Introduction to Algorithms

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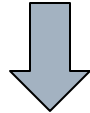
- Fundamentals of Algorithmic Problem Solving
- Space and Time Complexity
- Order of Growth
- Asymptotic Notations

# Computer Science Algorithms

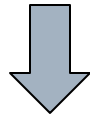
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- For a Computer Program to accomplish the Task

Start with Input Data

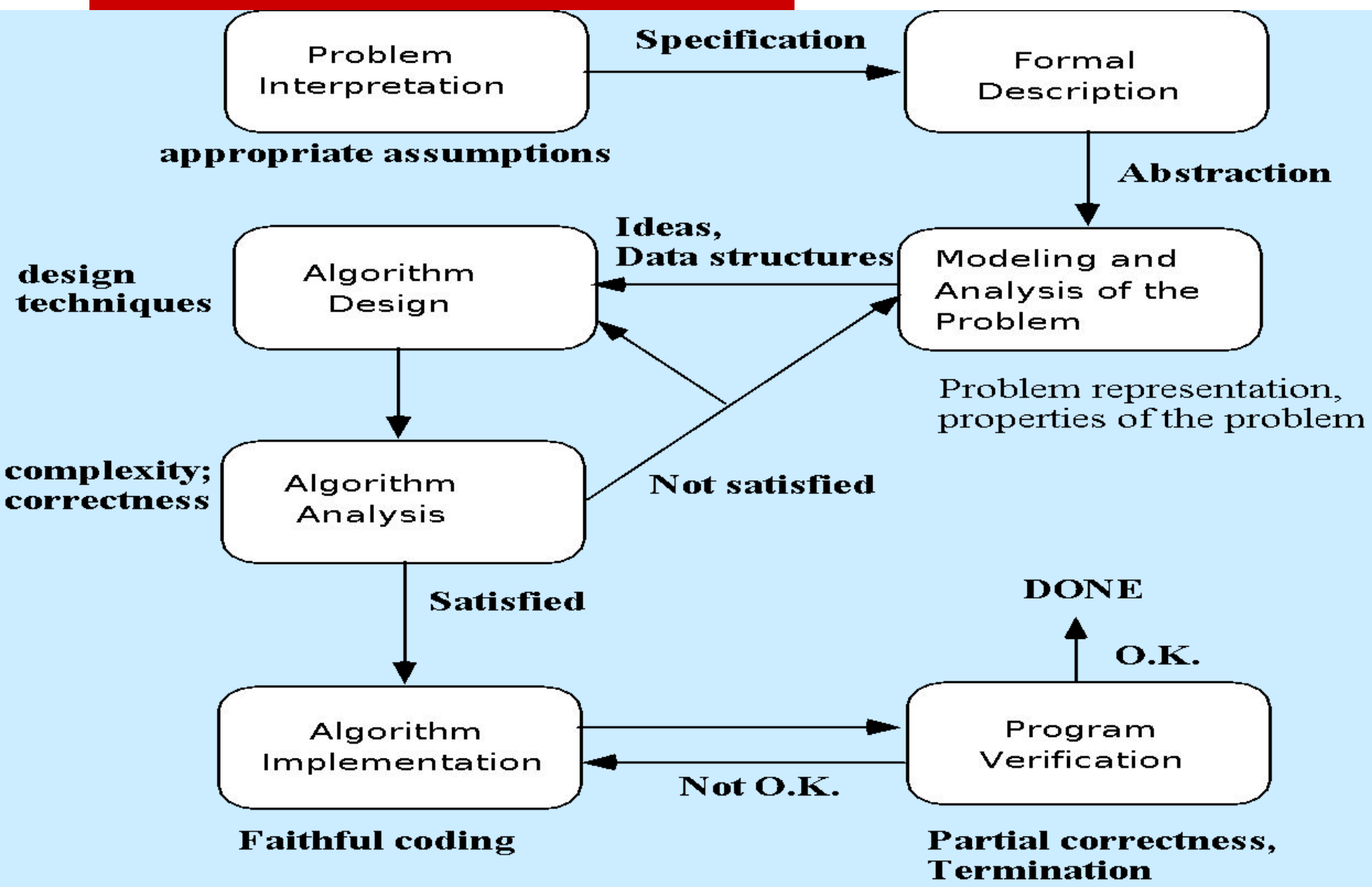


Do Some Complex Calculations



Stop when we find Answer

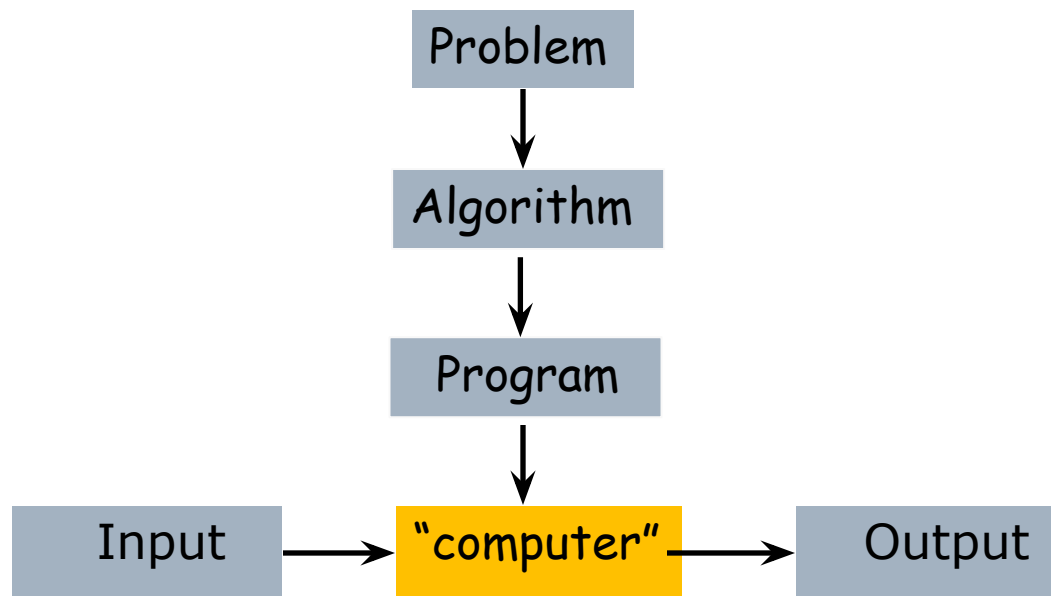
# Life Cycle of Software Development



# Algorithm

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- An algorithm is a sequence of **unambiguous** instructions for solving a computational problem, i.e., for obtaining a **required output** for any **legitimate input** in a **finite amount of time**.



# Examples of Algorithms

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Computing **Greatest Common Divisor** of Two non-negative, not-both zero Integers

- $\text{gcd}(m, n)$ : the largest integer that divides both  $m$  and  $n$
- First try - Euclid's Algorithm:
  - Idea:  $\text{gcd}(m, n) = \text{gcd}(n, m \bmod n)$



# Greatest Common Divisor (Euclid's Algorithm), **gcd(m, n)**

---

- ❑ **Step 1:** If  $n = 0$ , return value of  $m$  as the answer and stop; otherwise, proceed to Step 2.
- ❑ **Step 2:** Divide  $m$  by  $n$  and assign the value of the remainder to  $r$ .
- ❑ **Step 3:** Assign the value of  $n$  to  $m$  and the value of  $r$  to  $n$ . Go to Step 1.

# Pseudocode for (Euclid's Algorithm), **gcd(m, n)**

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**ALGORITHM** Euclid(m, n)

// Computes gcd(m, n) by Euclid's algorithm

// Input: Two nonnegative, not-both-zero integers m and n

//Output: Greatest common divisor of m and n

**while**  $n \neq 0$  **do**

$r = m \bmod n$

$m = n$

$n = r$

**return** m

$r=36$   $m=48$   $n=36$

$r=12$   $m=36$   $n=12$

$r=0$   **$m=12$**   $n=0$

**Question:**

GCD(36,48) how many division  
Operations are required to compute  
GCD using Euclid algorithm ?

## Second try: Middle-school procedure, **gcd(m, n)**

---

- ❑ **Step 1:** Find prime factors of m.
- ❑ **Step 2:** Find prime factors of n.
- ❑ **Step 3:** Identify all common prime factors of m and n
- ❑ **Step 4:** Compute product of all common factors and return product as the answer.

PF of 36: 1,2,2,3,3

PF of 48: 1,2,2,2,2,3

CF:  $1*2*2*3=12$

### **Question:**

GCD(36,48) how many division Operations are required to compute GCD using Middle-School procedure ?

## Third try: Consecutive Integer Checking, **gcd(m, n)**

---

- ❑ **Step 1:** Assign the value of  $\min\{m, n\}$  to  $q$ .
- ❑ **Step 2:** Divide  $m$  by  $q$ . If the remainder is 0, go to Step 3; otherwise, go to Step 4.
- ❑ **Step 3:** Divide  $n$  by  $q$ . If the remainder is 0, return the value of  $q$  as the answer and stop; otherwise, proceed to Step 4.
- ❑ **Step 4:** Decrease the value of  $q$  by 1. Go to Step 2.
- ❑  $q=36$

### **Question:**

Try computing  $\text{GCD}(36, 48)$  using Consecutive Integer Checking method ?

# What can we learn from the three examples of $\text{gcd}(m, n)$ ?

---

- Each step must be basic and unambiguous
- Same algorithm, but different representations (different pseudocodes)
- Same problem, but different algorithms, based on different ideas and having dramatically different speeds.
  - $\text{gcd}(31415, 14142) = 1$ ; Euclid takes  $\sim 0.08$  ms whereas Consecutive Integer Checking takes  $\sim 0.55$  ms, about 7 times speedier

# Method 1: Greatest Common Divisor (Euclid's Algorithm), **gcd(m, n)**

---

- ❑ **Step 1:** If  $n = 0$ , return value of  $m$  as the answer and stop; otherwise, proceed to Step 2.
- ❑ **Step 2:** Divide  $m$  by  $n$  and assign the value of the remainder to  $r$ .
- ❑ **Step 3:** Assign the value of  $n$  to  $m$  and the value of  $r$  to  $n$ . Go to Step 1.

## Method 2: Consecutive Integer Checking, **$\text{gcd}(m, n)$**

---

- ❑ **Step 1:** Assign the value of  $\min\{m, n\}$  to  $q$ .
- ❑ **Step 2:** Divide  $m$  by  $q$ . If the remainder is 0, go to Step 3; otherwise, go to Step 4.
- ❑ **Step 3:** Divide  $n$  by  $q$ . If the remainder is 0, return the value of  $q$  as the answer and stop; otherwise, proceed to Step 4.
- ❑ **Step 4:** Decrease the value of  $q$  by 1. Go to Step 2.

## C++ Program - Analysis of the methods to find the GCD of two numbers

---

```
#include<iostream.h>
#include<conio.h>
#include<time.h>

long int euclid(long int m,long int n)
{
    clock_t start,end;
    start=clock();
    long int r;
    while(n!=0)
    {
        r=m%n;
        m=n;
        n=r;
    }
    end=clock();
    cout<<endl<<"Time taken:"<<(end-start)/CLK_TCK<<" sec";
    return m;
}
```



## C++ Program - Analysis of the methods to find the GCD of two numbers

```
long int con(long int m,long int n)
{
    clock_t start,end;
    start=clock();
    long int t,r,g;
    if(m>n)
    { t=n; }
    else
    { t=m; }

    a:do
    {
        r=m%t;
        if(r!=0)
            t--;
    } while(r!=0);
```

```
        if(r==0)
        {
            r=n%t;
            if(r==0)
            g=t;
            else
            {
                t--;
                goto a;
            }
        }
        end=clock();
        cout<<"Time taken
        :"<<(end-start)/CLK_TCK<<" sec";
        return g;
    } /*End of the function con*/
```

## C++ Program - Analysis of the methods to find the GCD of two numbers

---

```
void main()
{
    long int x,y;
    clrscr();

    cout<<"\t\t\tANALYSIS OF THE TWO ALGORITHMS"<<endl<<endl;
    cout<<"GCD - EUCLID'S ALG : "<<endl;
    cout<<"enter two numbers:";
    cin>>x>>y;
    cout<<endl<<endl<<"GCD : "<<euclid(x,y);
    cout<<endl<<endl<<"-----";
    cout<<endl<<endl<<"GCD - CONSECUTIVE INTEGER CHECKING ALG :
    "<<endl<<endl;
    cout<<endl<<endl<<"GCD : "<<con(x,y);
    getch();
}
```

# ANALYSIS OF THE TWO LGORITHMS

---

GCD - EUCLID'S ALG :

enter two numbers:7896543      345678

Time taken: 0.08 millisecond

GCD : 3

-----

GCD - CONSECUTIVE INTEGER CHECKING ALG :

Time taken :0.55 millisecond

GCD : 3

## **INFERENCE:**

The euclid's method takes less time than the consecutive integer checking method and hence euclid's method is better.

# Iterative GCD

---

```
#include <stdio.h>
int main()
{
    int n1, n2, i, gcd;
    printf("Enter two integers: ");
    scanf("%d %d", &n1, &n2);

    for(i=1; i <= n1 && i <= n2; ++i)
    {
        // Checks if i is factor of both integers
        if(n1%i==0 && n2%i==0)
            gcd = i;
    }
    printf("G.C.D of %d and %d is %d", n1, n2, gcd);
    return 0;
}
```

# Recursive GCD

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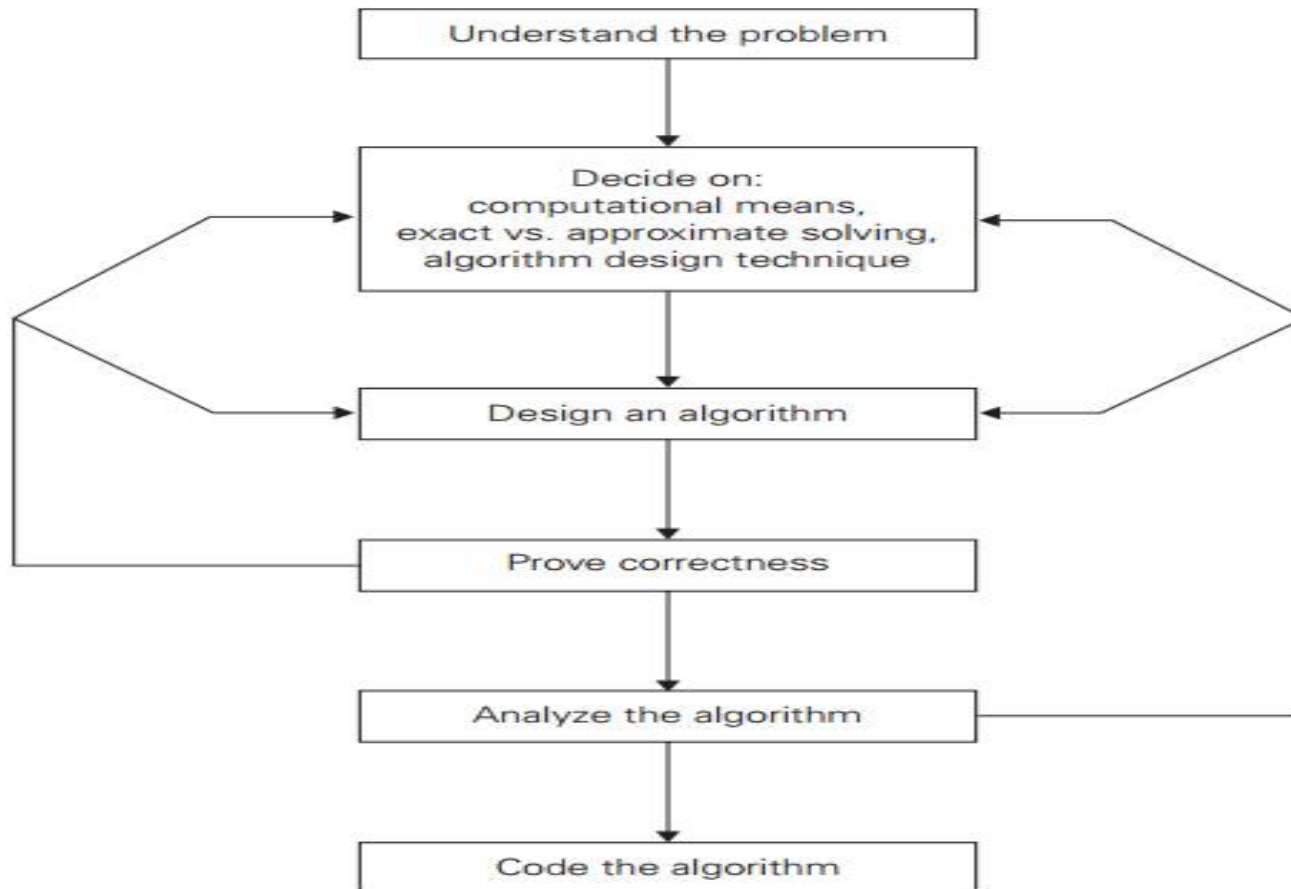
```
#include <stdio.h>
int hcf(int n1, int n2);
int main() {
    int n1, n2;
    printf("Enter two positive integers: ");
    scanf("%d %d", &n1, &n2);
    printf("G.C.D of %d and %d is %d.", n1, n2, hcf(n1, n2));
    return 0;
}

int hcf(int n1, int n2) {
    if (n2 != 0)
        return hcf(n2, n1 % n2);
    else
        return n1;
}
```

# Fundamentals of Algorithmic Problem Solving

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- Sequence of steps in the process of design and analysis of algorithms



# Question

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With the help of a flow chart, explain the various steps of algorithm design and analysis process.

# Fundamentals of Algorithmic Problem Solving (Contd....)

---

- Understanding the problem
  - Ask questions, do a few small examples by hand, think about special cases, etc.
  - An input is an instance of the problem the algorithm solves
  - Specify exactly the set of instances the algorithm needs to handle
  - Example:  $\text{gcd}(m, n)$



# Fundamentals of Algorithmic Problem Solving (Contd....)

---

- Decide on
  - Exact vs. approximate solution
    - Approximate algorithm: Cannot solve exactly, e.g., extracting square roots, solving nonlinear equations, etc.
  - Appropriate Data Structure

# Fundamentals of Algorithmic Problem Solving (Contd....)

---

- Design algorithm
- Prove correctness of the algorithm
  - Yields required output for every legitimate input in finite time
  - E.g., Euclid's:  $\gcd(m, n) = \gcd(n, m \bmod n)$ 
    - Second integer gets smaller on every iteration, because  $(m \bmod n)$  can be 0, 1, ...,  $n-1$  thus less than  $n$
    - The algorithm terminates when the second integer is 0

# Fundamentals of Algorithmic Problem Solving (Contd....)

---

- Analyze algorithm
  - **Time efficiency:** How fast it runs
  - **Space efficiency:** How much extra memory it uses
  - **Simplicity:** Easier to understand, usually contains fewer bugs, sometimes simpler is more efficient, but not always!
- **Generality:** i.e. the generality of the problem the algorithm solves and the set of inputs it accepts.

# Fundamentals of Algorithmic Problem Solving (Contd....)

---

- Coding algorithm
  - Write in a programming language for a real machine
  - Standard tricks:
    - Compute loop invariant (which does not change value in the loop) outside loop
    - Replace expensive operation by cheap ones

# Discussion: Algorithms in your Life

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What algorithms do you use in every day life? Do you think you could write a program to make them more efficient?

What algorithms do you think are used by your favorite Games and Apps?

Have you ever made an algorithm for a program? What did it do? Was it correct and efficient?

# Analysis of Algorithms

---

Space Complexity  
Time Complexity

# Reasons to Analyze Algorithms

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- Predict Performance
  - Compare Algorithms
  - Provide Guarantees
  - Understand theoretical basis.
- 
- Primary Practical Reason: Avoid Performance Bugs



**client gets poor performance because programmer did not understand performance characteristics**



# Performance measure of the algorithm

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Two kinds of efficiency:  
Space Efficiency or Space Complexity  
Time Efficiency or Time Complexity



# Two kinds of Algorithm Efficiency

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- Analyzing the efficiency of an algorithm (or the complexity of an algorithm) means establishing the amount of computing resources needed to execute the algorithm. There are two types of resources:
  - Memory space. It means the amount of space used to store all data processed by the algorithm.
  - Running time. It means the time needed to execute all the operations specified in the algorithm.

Space efficiency: Deals with the space required by the algorithm

Time efficiency: It indicates how fast an algorithm runs.

# What is Space complexity?

---

For any algorithm, memory is required for the following purposes...

- ☐ Memory required to store program instructions
- ☐ Memory required to store constant values
- ☐ Memory required to store variable values

Space complexity of an algorithm can be defined as follows...

**Total amount of computer memory required by an algorithm to complete its execution is called as space complexity of that algorithm**

# What is Space complexity?

---

Space complexity of an algorithm can be defined as follows...

**Total amount of computer memory required by an algorithm to complete its execution is called as space complexity of that algorithm**

Generally, when a program is under execution it uses the computer memory for THREE reasons. They are as follows...

- ❑ **Instruction Space: ?**
- ❑ **Data Space: ?**
- ❑ **Environmental Stack: ?**

# What is Space complexity?

---

Space complexity of an algorithm can be defined as follows...

**Total amount of computer memory required by an algorithm to complete its execution is called as space complexity of that algorithm**

Generally, when a program is under execution it uses the computer memory for THREE reasons. They are as follows...

- ❑ **Instruction Space:** It is the amount of memory used to store compiled version of instructions.
- ❑ **Data Space:** It is the amount of memory used to store all the variables and constants.
- ❑ **Environmental Stack:** It is the amount of memory used to store information of partially executed functions at the time of function call.

# Space Complexity

---

Instruction space + Data space + Stack space

# Calculating Space Complexity

---

To calculate the space complexity, we must know the memory required to store different datatype values (according to the compiler). For example, the C Programming Language compiler requires the following...

- ❑ 1 byte to store **Character** value,
- ❑ 2 bytes to store **Integer** value,
- ❑ 4 bytes to store **Floating** Point value,
- ❑ 6 or 8 bytes to store **double** value

# Calculating Space Complexity

---

Example, Calculating the Data Space required for the following given code

```
int square(int a)  
{  
return a*a;  
}
```

# Calculating Space Complexity

---

Example, Calculating the Data Space required for the following given code

```
int square(int a)  
{  
return a*a;  
}
```

	Data Space Required
For <code>int a</code>	2 Bytes
For returning <code>a*a</code>	2 Bytes
Total	<b>4 Bytes</b>



# Calculating Space Complexity

Example:

```
int square(int a)
{
    return a*a;
}
```

	Data Space Required
For <code>int a</code>	2 Bytes
For returning <code>a*a</code>	2 Bytes
Total	<b>4 Bytes</b>

Data Space Required:

- ❑ This code requires 2 bytes of memory to store variable '**a**' and another 2 bytes of memory is used for **return value**.
- ❑ That means, totally it requires **4 bytes of memory** to complete its execution. And this 4 bytes of memory is fixed for any input value of 'a'. This space complexity is said to be **Constant Space Complexity**.
- ❑ If any **algorithm requires a fixed amount of space** for all input values then that space complexity is said to be **Constant Space Complexity**

# Calculating Space Complexity

---

Example, Calculating the Data Space required for the following given code

```
int sum(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++)  
        sum = sum + A[i];  
    return sum;  
}
```

# Calculating Space Complexity

---

Example, Calculating the Data Space required for the following given code

```
int sum(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++)  
        sum = sum + A[i];  
    return sum;  
}
```

	Data Space Required
For parameter <code>int A[]</code>	$n * 2$ Bytes
For parameter <code>n</code>	2 Bytes
For local variable <code>sum</code>	2 Bytes
For local variable <code>i</code>	2 Bytes
Total	<b><math>2n+6</math> Bytes</b>

# Calculating Space Complexity

---

```
int sum(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++)  
        sum = sum + A[i];  
    return sum; }
```

Data Space Required:

- **'n\*2'** bytes of memory to store array variable '**a[]**'  
2 bytes of memory for integer parameter '**n**'  
4 bytes of memory for local integer variables '**sum**' and '**i**' (2 bytes each)
- That means, totally it requires '**2n+6**' **bytes of memory** to complete its execution. Here, the amount of memory depends on the input value of '**n**'. This space complexity is said to be **Linear Space Complexity**.

If the **amount of space required by an algorithm is increased with the increase of input value**, then that space complexity is said to be **Linear Space Complexity**

# Test your Knowledge

---

- Find Data Space required for the following code:

```
int sum(int x, int y, int z) {  
    int r = x + y + z;  
    return r;  
}
```

Is the Space Complexity of this code is  
“**Constant Space Complexity**”  
or “**Linear Space Complexity**” ?

# Test your Knowledge

---

- Find Data Space required for the following code:

```
void matrixAdd(int a[], int b[], int c[], int n) {  
    for (int i = 0; i < n; ++i) {  
        c[i] = a[i] + b[i]  
    }  
}
```

Is the Space Complexity of this code is  
“**Constant Space Complexity**”  
or “**Linear Space Complexity**” ?

# Performance measure of the algorithm

---

Two kinds of efficiency:

Space Efficiency or Space Complexity

Time Efficiency or Time Complexity

# What is Time complexity?

---

- Every algorithm requires some amount of computer time to execute its instruction to perform the task. This computer time required is called time complexity.

Time complexity of an algorithm can be defined as follows...

- **The time complexity of an algorithm is the total amount of time required by an algorithm to complete its execution.**



# What is Time complexity?

---

Time complexity of an algorithm can be defined as follows...

- ❑ **The time complexity of an algorithm is the total amount of time required by an algorithm to complete its execution.**

Generally, running time of an algorithm depends upon the following...

- ❑ Whether it is running on **Single** processor machine or **Multi** processor machine.
- ❑ Whether it is a **32 bit** machine or **64 bit** machine
- ❑ **Read** and **Write** speed of the machine.
- ❑ The time it takes to perform **arithmetic operations, logical operation, return value and assignment operations**, etc.
- ❑ **Input** data

# Calculating Time Complexity

---

- When we calculate time complexity of an algorithm, we consider only input data and ignore the remaining things, as they are machine dependent.

Example, Calculating the Time Complexity required for the following given code

```
int sum(int a, int b) {  
    return a+b;  
}
```

	<b>Time Required</b>	
To <b>calculate</b> <i>a+b</i>	<b>1 Unit of time</b>	
For <b>returning</b> <i>a+b</i>	<b>1 Unit of time</b>	
Total	<b>2 Units of time</b>	

# Calculating Time Complexity

---

- When we calculate time complexity of an algorithm, we consider only input data and ignore the remaining things, as they are machine dependent.

Example, Calculating the Time Complexity required for the following given code

```
int sum(int a, int b) {  
    return a+b;  
}
```

	Time Required	*
To <b>calculate</b> <i>a+b</i>	1 Unit of time	1 Secs
For <b>returning</b> <i>a+b</i>	1 Unit of time	1 Secs
Total	<b>2 Units of time</b>	<b>2 Secs</b>

\*Hypothetical approximation of time

# Calculating Time Complexity

---

- **When we calculate time complexity of an algorithm, we consider only input data and ignore the remaining things, as they are machine dependent.**

Example:

```
int sum(int a, int b) {  
    return a+b; }
```

This Code requires 1 unit of time to calculate  $a+b$  and 1 unit of time to return the value. That means, totally it takes 2 units of time to complete its execution. And it does not change based on the input values of  $a$  and  $b$ . That means for all input values, it requires same amount of time i.e. 2 units. If any program requires fixed amount of time for all input values then its time complexity is said to be **Constant Time Complexity**.

# Calculating Time Complexity

---

Example, Calculate Time complexity for the following given code:

```
int fun(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++)  
        sum = sum + A[i];  
    return sum;  
}
```

# Calculating Time Complexity

---

```
int sumOfList(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++) sum = sum + A[i];  
    return sum; }  

```

For the above code, time complexity can be calculated as follows...

	<b>Cost</b> or Number Operations in the Statement		
int sum = 0, i;	<b>1</b> (sum=0 initializing sum with zero )		
for(i = 0; i < n; i++)			
sum = sum + A[i];			
return sum;			

# Calculating Time Complexity

---

```
int sumOfList(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++) sum = sum + A[i];  
    return sum; }  
}
```

For the above code, time complexity can be calculated as follows...

	<b>Cost</b> or Number Operations in the Statement		
int sum = 0, i;	<b>1</b> (initializing zero to sum)		
for(i = 0; i < n; i++)	<b>1   + 1   + 1</b> (i=0,   i<n,   i++)		
sum = sum + A[i];			
return sum;			

# Calculating Time Complexity

```
int sumOfList(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++) sum = sum + A[i];  
    return sum; }  

```

For the above code, time complexity can be calculated as follows...

	<b>Cost</b> or Number Operations in the Statement		
int sum = 0, i;	<b>1</b> (initializing zero to sum)		
for(i = 0; i < n; i++)	<b>1+1+1</b> (i=0, i<n, i++)		
sum = sum + A[i];	<b>1+ 1</b> (Addition and Assigning result to sum)		
return sum;	<b>1</b> (returning sum)		



# Calculating Time Complexity

---

```
int sumOfList(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++) sum = sum + A[i];  
    return sum; }  
}
```

For the above code, time complexity can be calculated as follows...

	<b>Cost</b> or Number Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	
int sum = 0, i;	1	1	
for(i = 0; i < n; i++)	1+1+1		
sum = sum + A[i];	1+ 1		
return sum;	1		

# Calculating Time Complexity

```
int sumOfList(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++) sum = sum + A[i];  
    return sum; }  

```

For the above code, time complexity can be calculated as follows...

	<b>Cost</b> or Number Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	
int sum = 0, i;	<b>1</b>	<b>1</b>	
for(i = 0; i < n; i++)	<b>1+1+1</b>	<b>1+(n+1)+n</b> (i=0 gets executed one time, i<n gets executed (n+1) times, i++ gets executed n times)	
sum = sum + A[i];	<b>1+ 1</b>		
return sum;	<b>1</b>		
<b>Total</b>			

# Calculating Time Complexity

```
int sumOfList(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++) sum = sum + A[i];  
    return sum; }
```

For the above code, time complexity can be calculated as follows...

	<b>Cost</b> or Number Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	
int sum = 0, i;	1	1	
for(i = 0; i < n; i++)	1+1+1	1+(n+1)+n	
sum = sum + A[i];	1+ 1	n + n	
return sum;	1	1	

# Calculating Time Complexity

```
int sumOfList(int A[], int n) {  
    int sum = 0, i;  
    for(i = 0; i < n; i++) sum = sum + A[i];  
    return sum; }  

```

For the above code, time complexity can be calculated as follows...

	<b>Cost</b> or Number Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	<b>Total</b>
int sum = 0, i;	<b>1</b>	<b>1</b>	<b>1</b>
for(i = 0; i < n; i++)	<b>1+1+1</b>	<b>1+(n+1)+n</b>	<b>2n+2</b>
sum = sum + A[i];	<b>1+ 1</b>	<b>n + n</b>	<b>2n</b>
return sum;	<b>1</b>	<b>1</b>	<b>1</b>
<b>Running Time T(n)</b>			<b>4n+4</b>

# Calculating Time Complexity (Contd....)

---

- For the calculation done in previous slide  
**Cost** is the amount of computer time required for a single operation in each line.  
**Repetition** is the amount of computer time required by each operation for all its repetitions.  
**Total** is the amount of computer time required by each operation to execute.

So above code requires ' **$4n+4$** ' **Units** of computer time to complete the task. Here the exact time is not fixed. And it changes based on the  **$n$**  value. If we increase the  **$n$**  value then the time required also increases linearly.

Totally it takes ' $4n+4$ ' units of time to complete its execution and it is *Linear Time Complexity*.

- If the amount of time required by an algorithm is increased with the increase of input value then that time complexity is said to be **Linear Time Complexity**

# Test Your Knowledge

---

Find Time Complexity of  
the given Algorithm

---

```
sum(n)
1:   $S \leftarrow 0$ 
2:   $i \leftarrow 1$ 
3:  while  $i \leq n$  do
4:     $S \leftarrow S + i$ 
5:     $i \leftarrow i + 1$ 
6:  endwhile
7: return  $S$ 
```

---

# Test Your Knowledge

Find Time Complexity of the given Algorithm

```
sum(n)
1:  S ← 0
2:  i ← 1
3:  while i ≤ n do
4:    S ← S + i
5:    i ← i + 1
6:  endwhile
7:  return S
```

	<b>Cost</b> or Number Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	<b>Total</b>
1	1	1	1
2	1	1	1
3	1	n+1	n+1
4	1+1	n+n	2n
5	1+1	n+n	2n
7	1	1	1
<b>Running Time T(n)</b>			<b>5n+4</b>

# Test Your Knowledge

---

- Find Time Complexity of the following Algorithm

<pre>product(<math>a[1..m, 1..n]</math>, <math>b[1..n, 1..p]</math>) 1:   for <math>i = \overline{1, m}</math> do 2:     for <math>j = \overline{1, p}</math> do 3:       <math>c[i, j] \leftarrow 0</math> 4:       for <math>k = \overline{1, n}</math> do 5:         <math>c[i, j] \leftarrow c[i, j] + a[i, k] * b[k, j]</math> 6:       endfor 7:     endfor 8:   endfor 9: return <math>c[1..m, 1..p]</math></pre>	<pre>int product(int a[m][n], int b[n][p]){ for(i=1;i&lt;=m;i++){     for(j=1;j&lt;=p;j++){         c[i][j]=0;          for(k=1;k&lt;=n;k++){             c[i][j]=c[i][j]+a[i][k]*b[k][j]         }     } } return c }</pre>
--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------



# Test Your Knowledge

Find Time Complexity for the given Algorithm

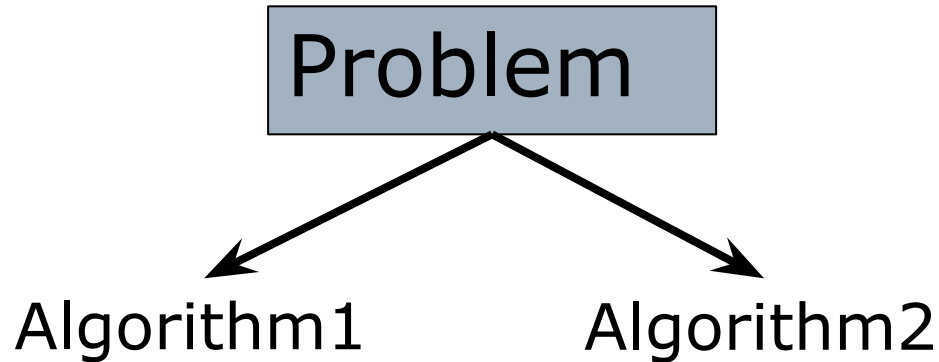
```

product( $a[1..m, 1..n]$ ,  $b[1..n, 1..p]$ )
1:   for  $i = \overline{1, m}$  do
2:       for  $j = \overline{1, p}$  do
3:            $c[i, j] \leftarrow 0$ 
4:           for  $k = \overline{1, n}$  do
5:                $c[i, j] \leftarrow c[i, j] + a[i, k] * b[k, j]$ 
6:           endfor
7:       endfor
8:   endfor
9: return  $c[1..m, 1..p]$ 
    
```

	<b>Cost</b> or Number Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	<b>Total</b>	
1	$1+1+1$	$1+(m+1)+m$	$2m+2$	$2m+2$
2	$1+1+1$	$(1+(p+1)+p)m$	$(2p+2)m$	$2pm+2m$
3	$1$	$(p)m$	$pm$	$pm$
4	$1+1+1$	$((1+(n+1)+n)p)m$	$((2n+2)p)m$	$2npm+2pm$
5	$1+1+1$	$((n+n+n)p)m$	$((3n)p)m$	$3npm$
9	$1$	$1$	$1$	$1$
<b>Running Time <math>T(n)</math></b>				$5npm+5pm+4m+3$

*Given two algorithms for a task, how do we find out which one is better?*

---



One naive way of doing this is – implement both the algorithms and run the two programs on your computer for different inputs and see which one takes less time. There are many problems with this approach for analysis of algorithms.

- 1) It might be possible that for some inputs, first algorithm performs better than the second. And for some inputs second performs better.
- 2) It might also be possible that for some inputs, first algorithm perform better on one machine and the second works better on other machine for some other inputs.

## Question

---

What is the meaning of the notation  **$T(n)$**  w.r.t analysis of algorithms ?

# Answer

---

- $T_A(n)$  = Maximum time taken (or Number of Machine operations needed) by the algorithm **A** to solve **input of size n**.
- Input size refers to number of values in the data set. Example: Say ten lakh Aadhar card numbers has to be sorted then **input size n** refers to 10,00,000
- $T_A(n)$  is the measure of **Goodness** of Algorithm A

# $T(n)$

---

Expression we get for  $T(n)$  may not be of great consequence for real Computers / Computations because it varies from one machine architecture to another machine architecture

# Example: Program 1

---

```
#include <stdio.h>
main(){
int n,temp;

scanf("%d",&n)

temp=10*30;
}
```

# Example: Program 1

---

```
#include <stdio.h>
```

```
main(){
```

```
int n,temp;
```

```
scanf("%d",&n)
```

```
temp=10*30;
```

```
}
```

	<b>Cost</b> or Number Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	<b>Total</b>
temp=10*30;	1+1	1+1	2
<b>Running Time T(n)</b>			<b>2</b>

# Example: Program 1

---

```
#include <stdio.h>
```

```
main(){
```

```
int n,temp;
```

```
scanf("%d",&n)
```

```
temp=10*30;
```

```
}
```

**Running Time  $T(n)=2$**

**Constant Time**

	<b>Cost</b> or Number Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	<b>Total</b>
temp=10*30;	1+1	1+1	2
<b>Running Time <math>T(n)</math></b>			<b>2</b>



# Example: Program 2

---

```
#include <stdio.h>
main(){
int i, n,temp;
scanf("%d",&n)
for(i=0; i < n; i++) {
    temp=10*30;
}
}
```

# Example: Program 2

```
#include <stdio.h>
main(){
int i, n,temp;
scanf("%d",&n)
for(i=0; i < n; i++) {
    temp=10*30;
}
}
```

	<b>Cost</b> or Number of Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	<b>Total</b>
for(i=0; i < n; i++)	1+1+1	1+(n+1)+n	2n+2
temp=10*30;	1+1	n+n	2n
<b>Running Time T(n)</b>			<b>4n+2</b>

# T(n) for different values of n

---

```
#include <stdio.h>
main(){
int i, n,temp;
scanf("%d",&n)
for(i=0; i < n; i++) {
    temp=10*30;
} }
```

**Running Time  $T(n)=4n+2$**

n	$T(n)=4n+2$	On Computer 1 Time taken(in Secs)	On Computer 2 Time taken (in Secs)
10	42	??	??
20	82	??	??
1000	4002	??	??
50000	20002	??	??

# T(n) for different values of n

---

```
#include <stdio.h>
#include <time.h>
main(){
long int n,i; int temp;
clock_t start, end;
```

**Running Time  $T(n)=4n+2$**

```
scanf("%ld",&n)
start=clock(); //clock() give the current time of the system in clock ticks
for(i=0; i < n; i++) {
    temp=10*30;
}
end=clock();
printf("Time take %f in Secs",(((double)(end-start))/CLOCKS_PER_SEC));
}
```

# Delay

---

- ❑ `for (c = 1; c <= 5000; c++) for (d = 1; d <= 5000; d++) { }`
- ❑ `void delay(int number_of_seconds) {`
- ❑ `// Converting time into milli_seconds`
- ❑ `int milli_seconds = 1000 * number_of_seconds; // Storing start time`  
`clock_t start_time = clock(); // looping till required time is not achieved`  
`while (clock() < start_time + milli_seconds) ; }`
- ❑ `#include<stdio.h> delay(3);`

# T(n) for different values of n

---

```
#include <stdio.h>
main(){
int i, n,temp;
scanf("%d",&n)
for(i=0; i < n; i++) {
    temp=10*30;
} }
```

n	$T(n)=4n+2$	On Computer 1 Time taken(in Secs)	On Computer 2 Time taken (in Secs)
10	42	0.000002	
20	82	0.000003	
1000	4002	0.000010	
50000	200002	0.000395	

# T(n) for different values of n

---

```
#include <stdio.h>
main(){
int i, n,temp;
scanf("%d",&n)
for(i=0; i < n; i++) {
    temp=10*30;
} }
```

n	$T(n)=4n+2$	On Computer 1 Time taken(in Secs)	On Computer 2 Time taken (in Secs)
10	42	0.000002	0.000002
20	82	0.000003	0.000002
1000	4002	0.000010	0.000006
50000	200002	0.000395	0.000252

# T(n) for different values of n

```
#include <stdio.h>
main(){
int i, n,temp;
scanf("%d",&n)
for(i=0; i < n; i++) {
    temp=10*30;
} }
```

**Running Time  $T(n)=4n+2$**

**Linear Time**

n	$T(n)=4n+2$	On Computer 1 Time taken(in Secs)	On Computer 2 Time taken (in Secs)
10	42	0.000002	0.000002
20	82	0.000003	0.000002
1000	4002	0.000010	0.000006
50000	200002	0.000395	0.000252



# Example: Program 3

```
#include <stdio.h>
main(){ int i,j,n,temp;
scanf("%d", &n)
for(i=0; i < n; i++) {
    for(j=0; j < n;j++) {
        temp=10*30;
    } } }
```

	<b>Cost</b> or Number Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	<b>Total</b>
for(i=0; i < n; i++)	1+1+1	1+(n+1)+n	2n+2
for(j=0; j < n; j++)	1+1+1	(1+(n+1)+n)n	2n <sup>2</sup> +2n
temp=10*30;	1+1	(n+n)n	2n <sup>2</sup>
<b>Running Time T(n)</b>			<b>4n<sup>2</sup>+4n+2</b>

# T(n) for different values of n

```
#include <stdio.h>
main(){ int i,j,n,temp;
scanf("%d", &n)
for(i=0; i < n; i++) {
    for(j=0; j < n;j++) {
        temp=10*30;
    } } }
```

**Running Time  $T(n)=4n^2+4n+2$**

**Quadratic Time**

n	$T(n)=4n^2+4n+2$	On Computer 1 Time taken(in Secs)	On Computer 2 Time taken (in Secs)
10	442	0.000003	0.000002
20	1682	0.000010	0.000007
1000	4004002	0.008255	0.005062
50000	10000200002	5.766243	4.765878

# Rate of Growth or Order of Growth

---

**Order of growth in algorithm means how the time for computation increases when you increase the input size.** It really matters when your input size is very large.

Order of growth provide only a crude description of the behavior of a process.

Algorithms analysis is all about understanding growth rates. That is as the amount of data gets bigger, how much more resource will my algorithm require? Typically, we describe the resource growth rate of a piece of code in terms of a function.

# Order of Growth: Linear vs Quadratic

---

n	$T(n)=4n+2$	$T(n)=4n^2+4n+2$
1	6	10
2	10	26
3	14	50
4	18	82
5	22	122
6	26	170
7	30	226
8	34	290
9	38	362
10	42	442

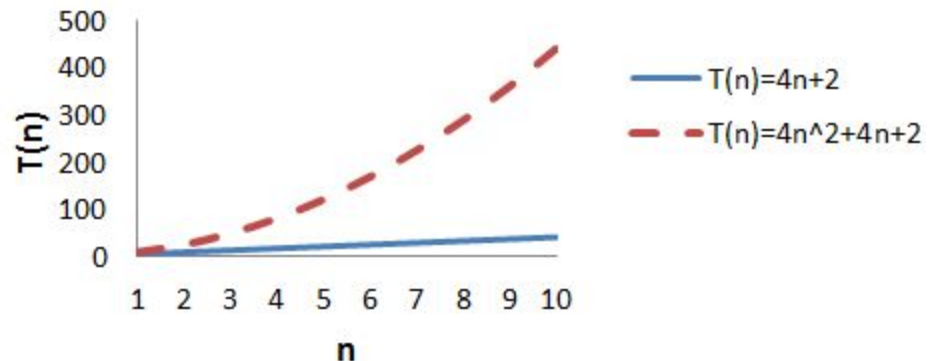
Example

# Order of Growth: Linear vs Quadratic

n	$T(n)=4n+2$	$T(n)=4n^2+4n+2$
1	6	10
2	10	26
3	14	50
4	18	82
5	22	122
6	26	170
7	30	226
8	34	290
9	38	362
10	42	442

Example

Example:  
Order of Growth or Rate of Growth  
Linear vs Quadratic



# Example: Program 4

---

```
#include <stdio.h>
main(){ int i,j,k, n,temp;
scanf("%d", &n)
for(i=0; i < n; i++) {
    for(j=0; j < n;j++) {
        for(k=0; k < n;k++) {
            temp=10*30;
        } } } }
```

# Example: Program 4

```
#include <stdio.h>
main(){ int i,j,k, n,temp;
scanf("%d", &n)
for(i=0; i < n; i++) {
    for(j=0; j < n;j++) {
        for(k=0; k < n;k++) {
            temp=10*30;
        } } } }
```

**Running Time  $T(n)=4n^3+4n^2+4n+2$**

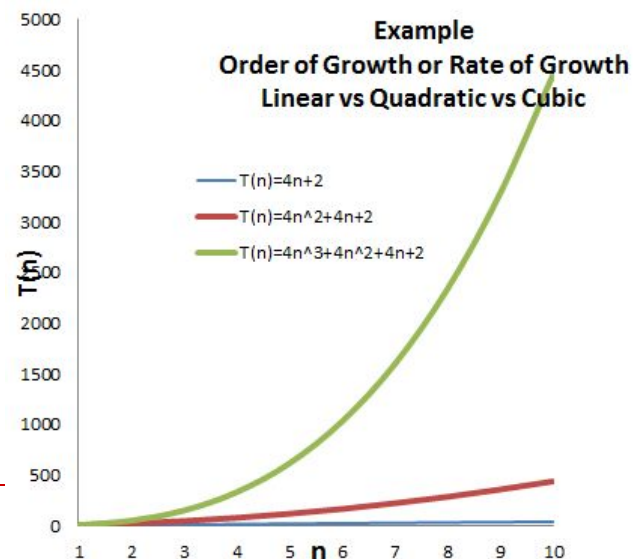
**Cubic Time**

	<b>Cost</b> or Number Operations in the Statement	<b>Repetitions or No. of Times of Execution</b>	<b>Total</b>
for(i=0; i < n; i++)	1+1+1	$1+(n+1)+n$	$2n+2$
for(j=0; j < n; j++)	1+1+1	$(1+(n+1)+n)n$	$2n^2+2n$
for(k=0; k < n;k++)	1+1+1	$((1+(n+1)+n)n)n$	$2n^3+2n^2$
temp=10*30;	1+1	$((n+n)n)n$	$2n^3$
<b>Running Time <math>T(n)</math></b>			$4n^3+4n^2+4n+2$

# Order of Growth (or Rate of Growth): Linear vs Quadratic vs Cubic

Example

n	$T(n)=4n+2$	$T(n)=4n^2+4n+2$	$T(n)=4n^3+4n^2+4n+2$
1	6	10	14
2	10	26	58
3	14	50	158
4	18	82	338
5	22	122	622
6	26	170	1034
7	30	226	1598
8	34	290	2338
9	38	362	3278
10	42	442	4442





# Interpretation of $T(n)$

---

- What is important is “**form (or shape)** of  $T(n)$ ” i.e., whether  $T(n)$  is Linear, Quadratic, Cubic..etc.
- Using the expression of  $T(n)$  we may not be able to give exact estimate but we can interpret the **behavior of the algorithm** when implemented on any computer.
- Analyzing the behavior of the algorithm for **LARGE  $n$**  is important.(i.e., as  $n$  tends to infinity  $n \rightarrow \infty$ )

# Question

---

Consider, you are given with 10 Aadhaar card numbers and you are asked to sort this numbers in Ascending order. Assume Aadhaar card numbers are available in an Notepad file stored on computer memory. Which of the following strategy you will use:

- a. Sort by hand (or mentally) and update the file
- b. Sort by writing a program

# Question

---

Consider, you are given with **1000** Aadhaar card numbers and you are asked to sort this numbers in Ascending order. Assume Aadhaar card numbers are available in an database file stored on computer memory. Which of the following strategy you will use:

- a. Sort by hand (or mentally) and update the file
- b. Sort by writing a program

# Question

---

Consider, you are given with 10,000 Aadhaar card numbers and you are asked to sort this numbers in Ascending order. Assume, Aadhaar card numbers are available in an database file stored on computer memory.

You are given with two sorting algorithms, say the efficiency of Algorithm1 is  $T_{A1}(n)=4n+2$  and efficiency of Algorithm2 is  $T_{A2}(n)=4n^2+2$

Which of the following strategy you will use:

- a. Write a program to sort by implementing Algorithm1
- b. Write a program to sort by implementing Algorithm2

# Answer

Consider, you are given with 10,000 Aadhaar card numbers and you are asked to sort these numbers in Ascending order. Assume, Aadhaar card numbers are available in a database file stored on computer memory.

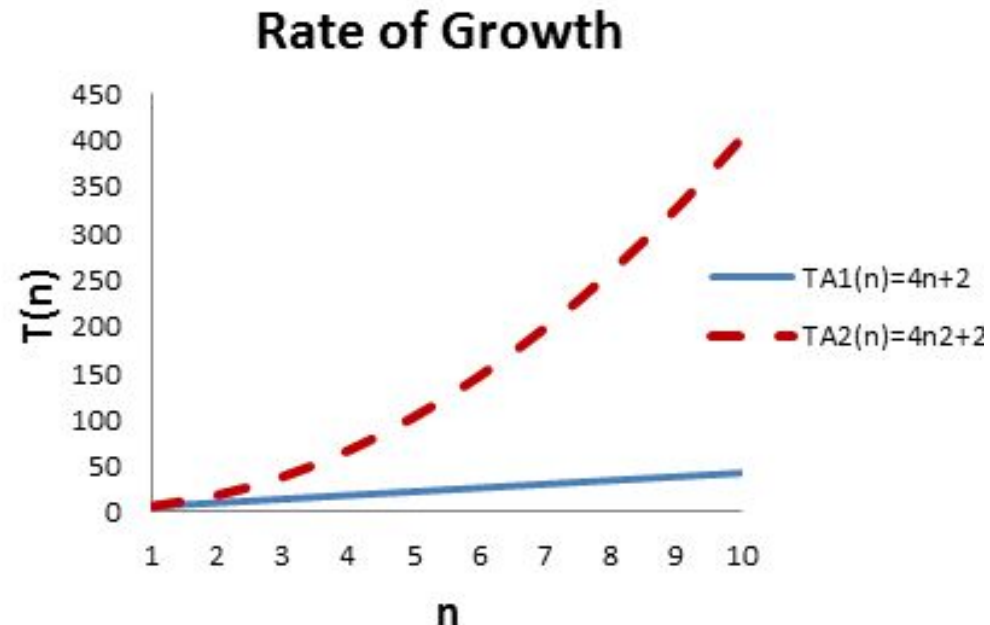
You are given with two sorting algorithms, say the efficiency of Algorithm1 is  $T_{A1}(n)=4n+2$  and efficiency of Algorithm2 is  $T_{A2}(n)=4n^2+2$

Which of the following strategy you will use:

- a. **Write a program to sort by implementing Algorithm1**
- b. Write a program to sort by implementing Algorithm2

We can choose either Algo1 or Algo2 if it is one time sorting. And also on modern computer Sorting ten thousand numbers Will not take much time because it will be done in fraction of seconds

n	$T_{A1}(n)=4n+2$	$T_{A2}(n)=4n^2+2$
1	6	6
2	10	18
3	14	38
4	18	66
5	22	102
6	26	146
7	30	198
8	34	258
9	38	326
10	42	402



# Question

---

Consider, you are given with **10,00,000** Aadhaar card numbers and you are asked sort to this numbers in Ascending order. Assume, Aadhaar card numbers are available in an database file stored on computer memory.

You are given with two sorting algorithms, say the efficiency of Algorithm1 is  $T_{A1}(n)=10^4n$  and efficiency of Algorithm2 is  $T_{A2}(n)=n^2$

Which of the following strategy you will use:

- a. Write a program to sort by implementing Algorithm1
- b. Write a program to sort by implementing Algorithm2

# Answer

Consider, you are given with 10,00,000 Aadhaar card numbers and you are asked sort to this numbers in Ascending order. Assume, Aadhaar card numbers are available in an database file stored on computer memory.

You are given with two sorting algorithms, say the efficiency of Algorithm1 is  $T_{A1}(n)=10^4n$  and efficiency of Algorithm2 is  $T_{A2}(n)=n^2$

Which of the following strategy you will use:

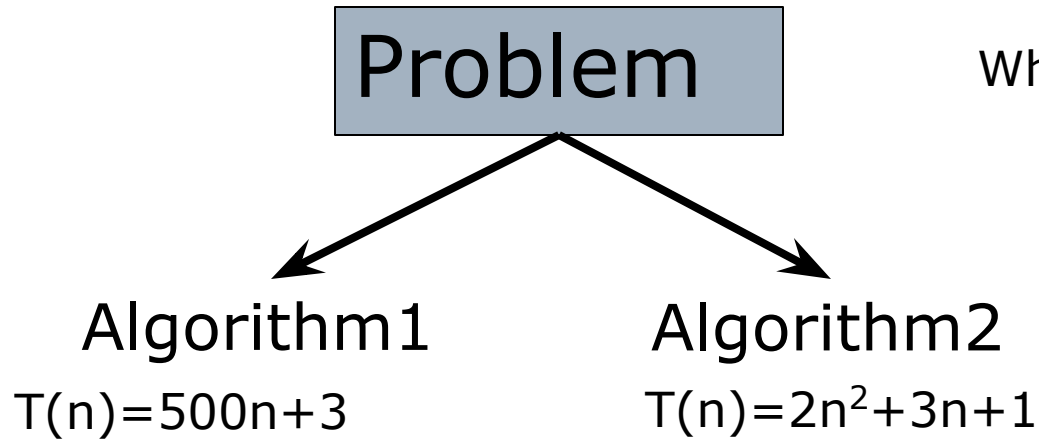
- a. Write a program to sort by implementing Algorithm1
- b. Write a program to sort by implementing Algorithm2

n	$T_{A1}(n)=(10^4)n$	$T_{A2}(n)=n^2$
1.0E+01	1.0E+05	1.0E+02
1.0E+02	1.0E+06	1.0E+04
1.0E+03	1.0E+07	1.0E+06
1.0E+04	1.0E+08	1.0E+08
1.0E+05	1.0E+09	1.0E+10
1.0E+06	1.0E+10	1.0E+12

For  $n \leq 10^4$ ,  $10^4n > n^2$  Algo2 is better  
But for  $n > 10^4$  Algo1 is better

# Question

---

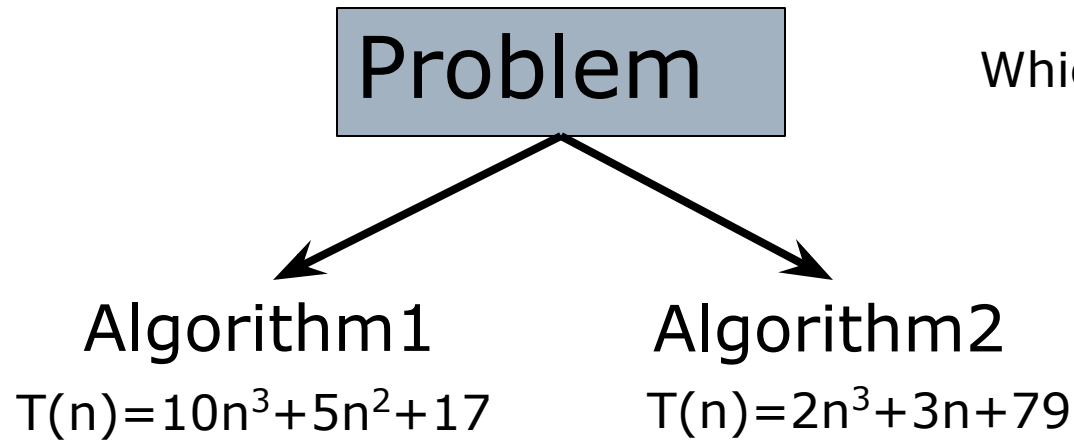


Which algorithm to use ?



# Question

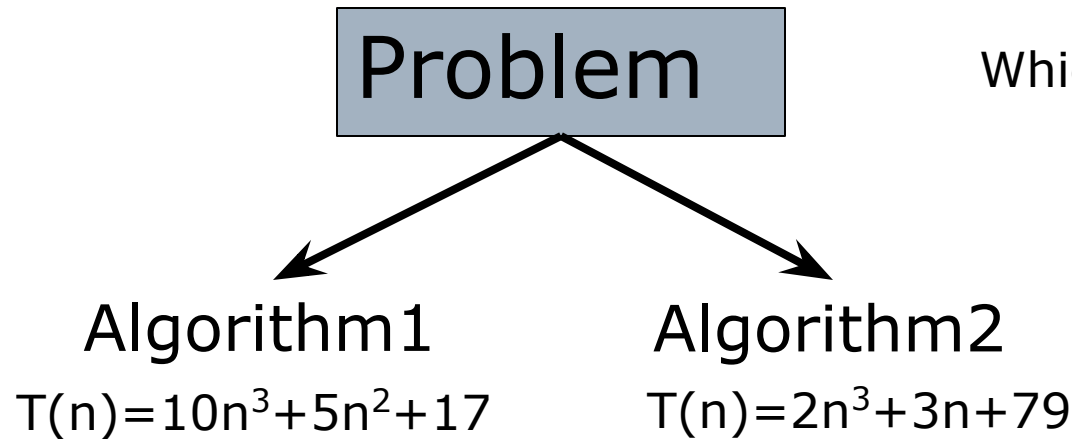
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Which algorithm to use ?

# Question

---



Which algorithm to use ?

Answer:

The above two time complexities are tedious to be judged.

Hence we will go with **approximating the time complexities** i.e., finding Out the class to which the algorithm belongs because as n tends to infinity ( $n \rightarrow \infty$ ) i.e., when n takes large values the value of  $(5n^2+17)$

and the value of  $(3n+79)$  will go out. Therefore we will be worrying about  $10n^3$  and  $2n^3$

# Example

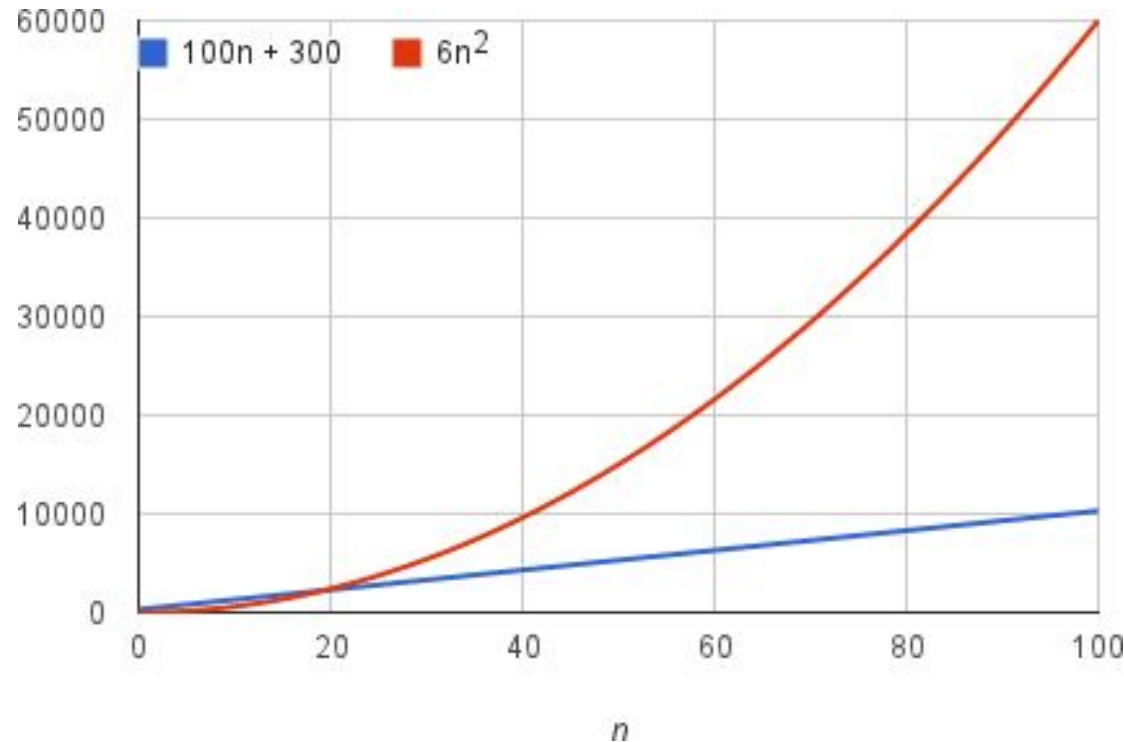
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Consider  $T(n) = 6n^2 + 100n + 300$

# Example

---

Consider  $T(n) = 6n^2 + 100n + 300$



The  $6n^2$  term becomes larger than the remaining terms,  $100n + 300$ , once  $n$  becomes large enough, 20 in this case.

# Example

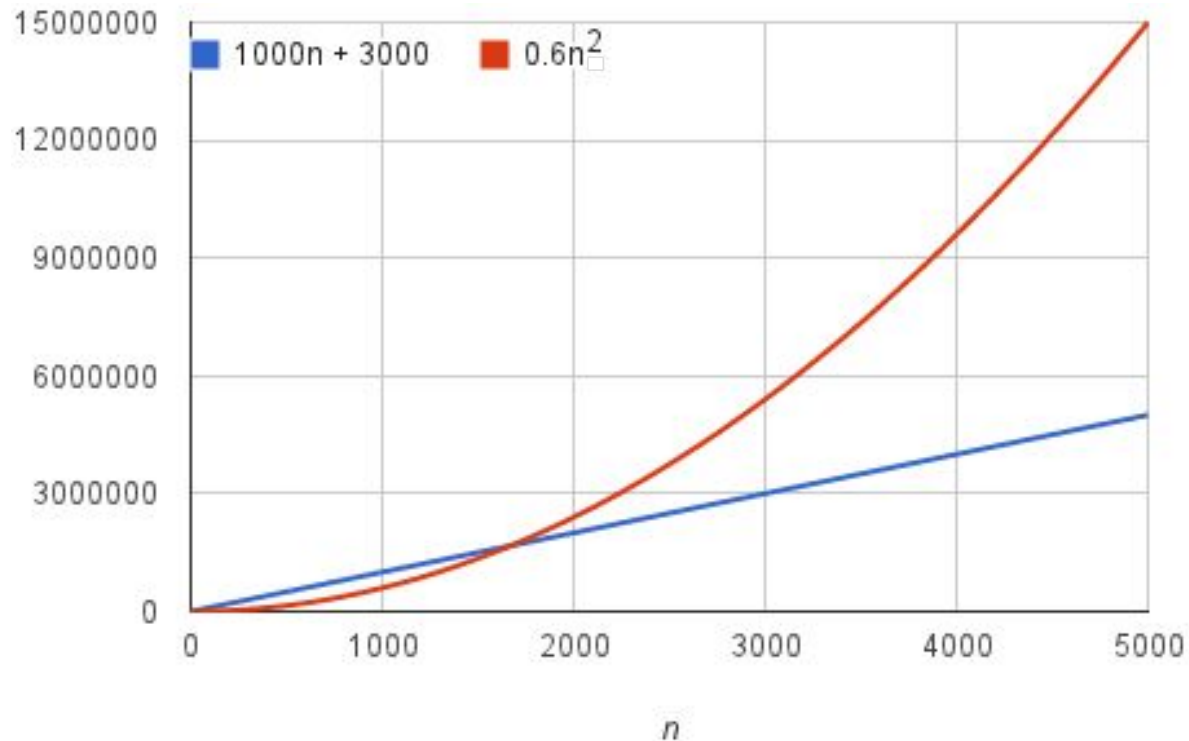
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Consider  $T(n) = 0.6n^2 + 1000n + 3000$

# Example

---

Consider  $T(n) = 0.6n^2 + 1000n + 3000$

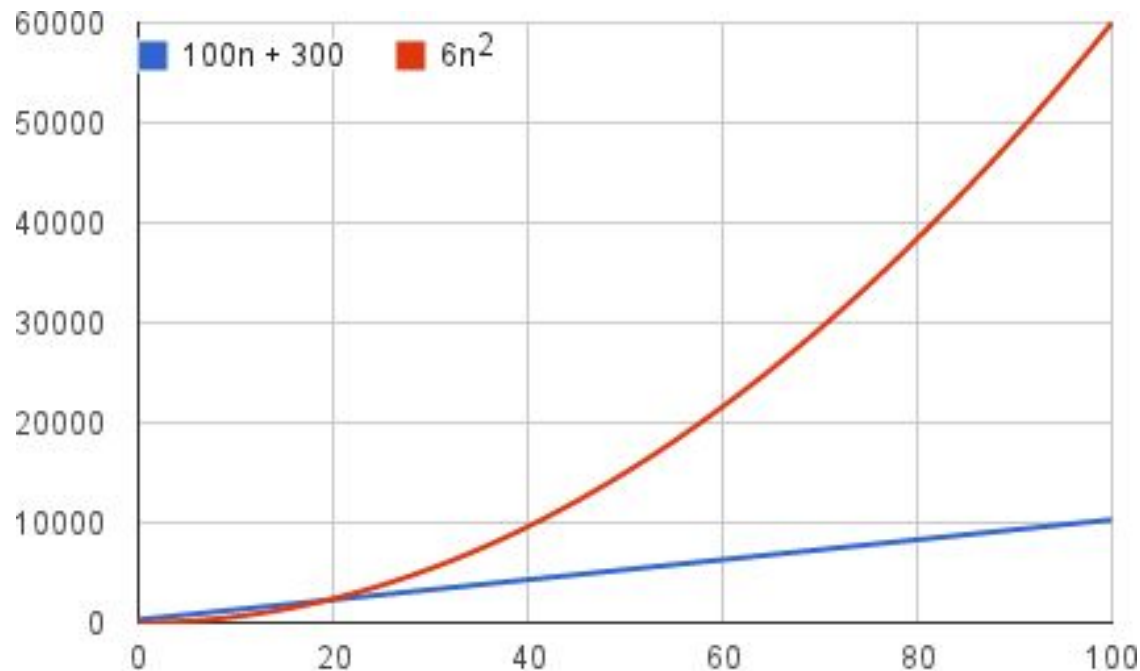


The  $0.6n^2$  term becomes larger than the remaining terms,  $1000n + 3000$ , once  $n$  becomes large enough, 1700 in this case.

# Explanation

---

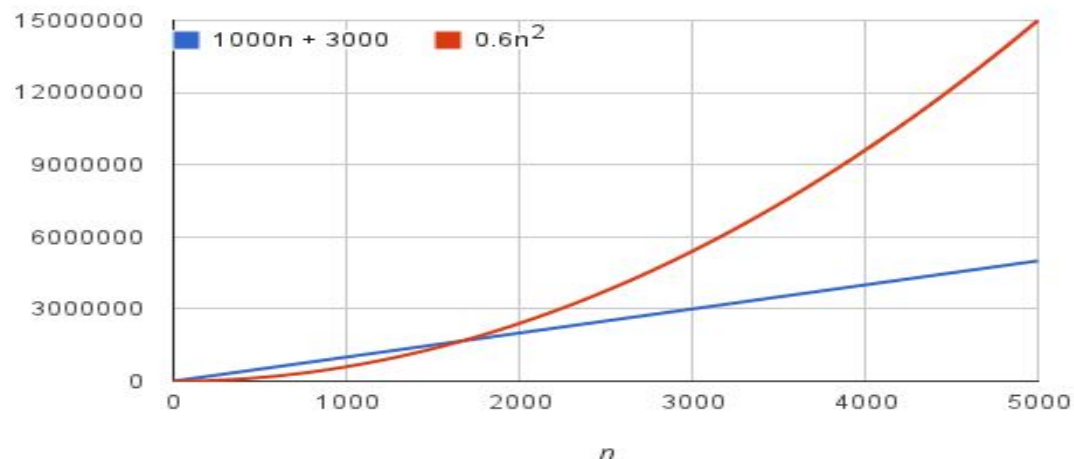
For example, suppose that an algorithm, running on an input of size  $n$ , takes  $6n^2 + 100n + 300$  machine instructions. The  $6n^2$  term becomes larger than the remaining terms,  $100n + 300$ , once  $n$  becomes large enough, 20 in this case. Here's a chart showing values of  $6n^2$  and  $100n + 300$  for values of  $n$  from 0 to 100:



# Explanation

---

We would say that the running time of this algorithm grows as  $n^2$ , dropping the coefficient 6 and the remaining terms  $100n + 300$ . It doesn't really matter what coefficients we use; as long as the running time is  $an^2 + bn + c$ , for some numbers  $a > 0$ ,  $b$ , and  $c$ , there will always be a value of  $n$  for which  $an^2$  is greater than  $bn + c$ , and this difference increases as  $n$  increases. For example, here's a chart showing values of  $0.6n^2$  and  $1000n + 3000$  so that we've reduced the coefficient of  $n^2$  by a factor of 10 and increased the other two constants by a factor of 10:



The value of  $n$  at which  $0.6n^2$  becomes greater than  $1000n + 3000$  has increased, but there will always be such a crossover point, no matter what the constants.



## What is a Time Complexity/Order of Growth?

---

- Time Complexity/Order of Growth defines the amount of time taken by any program with respect to the size of the input.
- Time Complexity specifies how the program would behave as the order of size of input is increased. So, Time Complexity is just a function of size of its input.

# Some of basic and most common time complexities such as:

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- ❑ Constant Time Complexity: Constant running time
- ❑ Linear Time Complexity ( $n$ ) : **Linear** running time
- ❑ Logarithmic Time Complexity ( $\log n$ ) : **Logarithmic** running time
- ❑ Log-Linear Time Complexity ( $n \log n$ ) : **Log-linear** running time
- ❑ Polynomial Time Complexity ( $n^c$ ) : **Polynomial** running time ( $c$  is a constant)
- ❑ Exponential Time Complexity ( $c^n$ ) : **Exponential** running time ( $c$  is a constant being raised to a power based on size of input)

# What is Constant Time Complexity?

---

- The code that runs in fixed amount of time or has fixed number of steps of execution no matter what is the size of input has constant time complexity. For instance, let's try and derive a Time Complexity for following code:

# What is Constant Time Complexity?

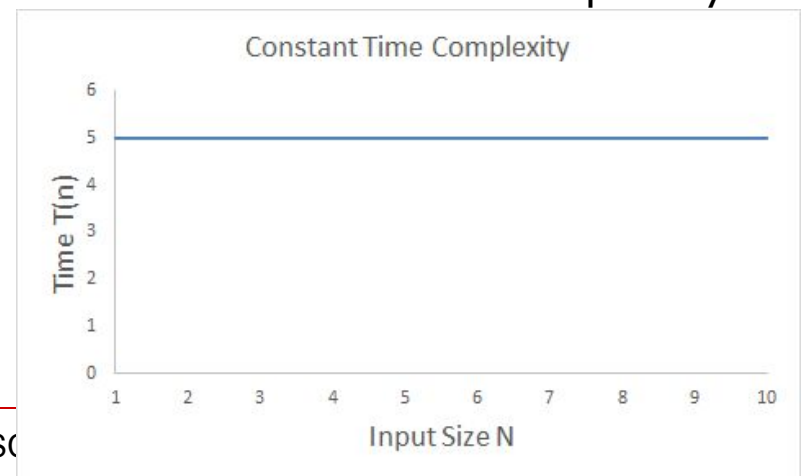
---

- The code that runs in fixed amount of time or has fixed number of steps of execution no matter what is the size of input has constant time complexity. For instance, let's try and derive a Time Complexity for following code:

```
def my_sum(a, b):  
    return a+b
```

If we call this function by `my_sum(2, 5)` it will return 7 in 1 step. That single step of computation is summing a and b. No matter how large is the size of input i.e. a and b is, it will always return the sum in 1 step.

So, the Time Complexity of the above code is a Constant Time Complexity.



# What is Linear Time Complexity?

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The code whose Time Complexity or Order of Growth increases linearly as the size of the input is increased has Linear Time Complexity.

For instance, let's see this code which returns the sum of a list.

# What is Linear Time Complexity?

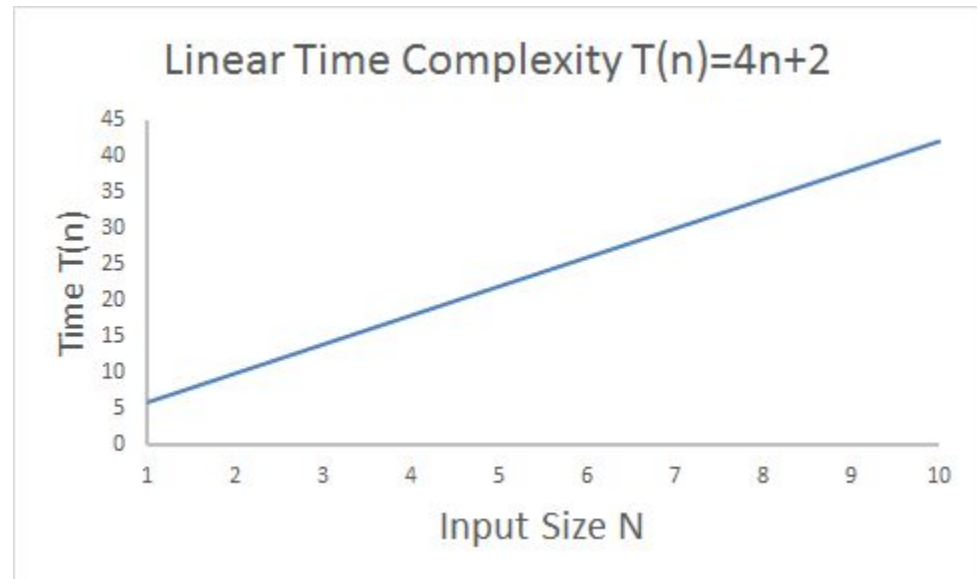
---

The code whose Time Complexity or Order of Growth increases linearly as the size of the input is increased has Linear Time Complexity.

For instance, let's see this code which returns the sum of a list.

```
for(i=0; i < n; i++)  
    temp=10*30;
```

$$T(n) = 4n + 2$$



# What is Logarithmic Time Complexity?

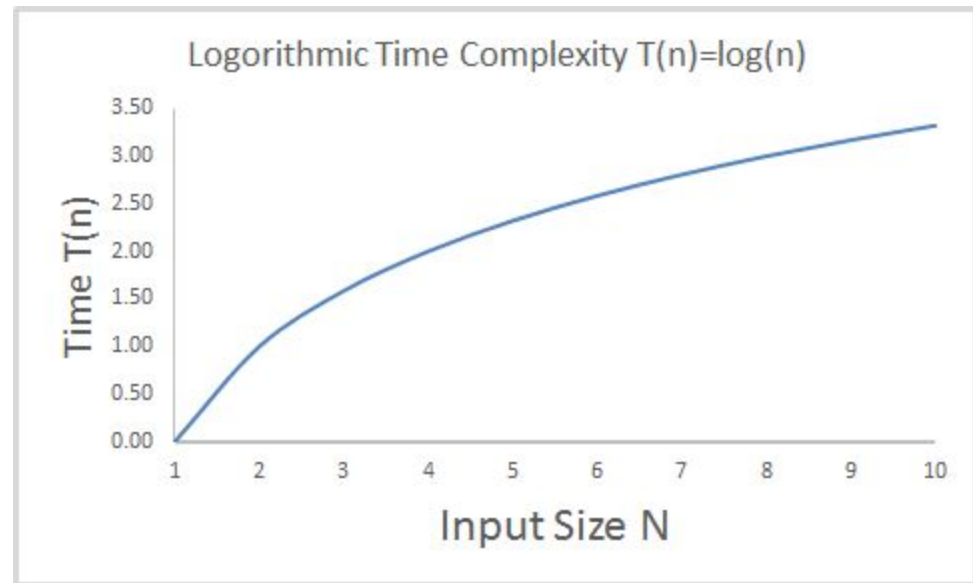
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- When the size of input is  $N$  but the number of steps to execute the code is  $\log(N)$ , such a code is said to be executing in Logarithmic Time. This definition is quite vague but if we take an example, it will be quite clear.

# What is Logarithmic Time Complexity?

- When the size of input is  $N$  but the number of steps to execute the code is  $\log(N)$ , such a code is said to be executing in Logarithmic Time. This definition is quite vague but if we take an example, it will be quite clear.
- Let's say we have a very large number which is a power of 2 i.e. we have  $2^x$ . We want to find  $x$ . For eg:  $64 = 2^6$ . So  $x$  is 6.

```
pow(n){  
    x = 0  
    while (n > 1){  
        n = n/2  
        x = x+1}  
    return x }  
T(n) = log(n)
```





# What is Log-Linear Time Complexity?

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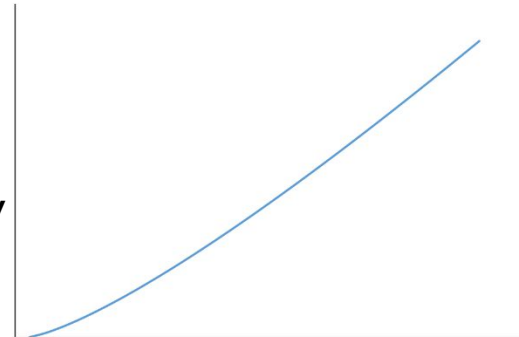
- When we call a Logarithmic Time Algorithm inside a loop, it would result into a Log-Linear Time Complexity program.
- For example: Let's say we have long sorted list of size  $N$ . And we have  $Q$  numbers, for each of those  $Q$  numbers we have to find the index of it in the given list.

for i in Qlist:

```
    print binary_search(x, search_list) #This statement is  
                                         #executed Q times
```

Analyzing above code, we know that the call to Binary Search function takes  $(\log N)$  times. We are calling it  $Q$  times. Hence the overall time complexity is  $Q(\log N)$ .

Log Linear Time Complexity



# What is Polynomial Time Complexity?

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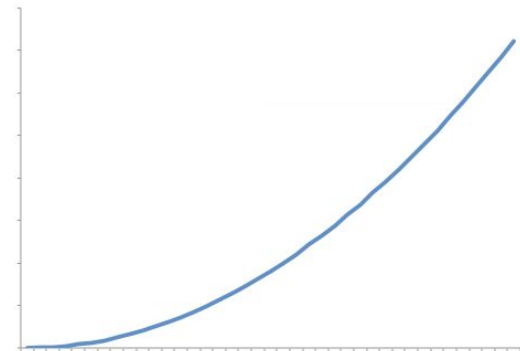
When the computation time increases as function of  $N$  raised to some power,  $N$  being the size of input. Such a code has Polynomial Time Complexity.

For example, let's say we have a list of size  $N$  and we have nested loops on that list.

```
for i in N:  
    for j in N:  
        # Some processing
```

In the above code, the processing part is executed  $N*N$  times i.e.  $N^2$  times. Such a code has  $(N^2)$  time complexity.

Quadratic Time Complexity



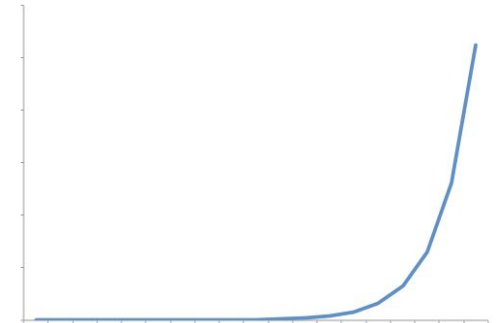
# What is Exponential Time Complexity?

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- When the computation time of a code increases as function of  $X^N$ ,  $N$  being the size of input. Such a code has Polynomial Time Complexity.
- For example, following recursive code to find Nth fibonacci number has Time Complexity as ( **$2^N$** )

```
def F(n):  
    if n == 0:  
        return 0  
    elif n == 1:  
        return 1  
    else:  
        return F(n-1) + F(n-2) # For every call to F, we make 2 more calls to  
F itself
```

Exponential Time Complexity





- We consider only leading term in the expression  $T(n)$ , since lower-order terms are relatively insignificant for large  $n$ .
- We are moving from **Actual cost** to **Growth of Cost (or Rate of Growth)**.
- We are interested to know what is the term that dominates so that if we arbitrarily keep on increasing  $n$  that is the term which primarily decide how the computing time will grow.

# Order of Growth

---

Measuring the performance of an algorithm in relation with the input size  $n$  is called Order of growth or Rate of Growth

$n$	$\log_2(n)$	$n$	$n\log_2(n)$	$n^2$	$2^n$	$n^3$
1	0.00	1	0.00	1	2	1
2	1.00	2	2.00	4	4	8
3	1.58	3	4.75	9	8	27
4	2.00	4	8.00	16	16	64
5	2.32	5	11.61	25	32	125
6	2.58	6	15.51	36	64	216
7	2.81	7	19.65	49	128	343
8	3.00	8	24.00	64	256	512
9	3.17	9	28.53	81	512	729
10	3.32	10	33.22	100	1024	1000

Order of growth for varying input size of  $n$

# Order of Growth

Measuring the performance of an algorithm in relation with the input size  $n$  is called order of growth. Some of the popular order which we will see is:-

- ❑ Order 1 : Constant.
- ❑ Order  $\log(n)$  : Logarithmic
- ❑ Order  $(n)$  : linear
- ❑ Order  $n\log(n)$ : log linear, occurs very often
- ❑ order  $(n^C)$  : polynomial
- ❑ order  $(C^n)$  : exponential

$n$	$\log_2(n)$	$n$	$n\log_2(n)$	$n^2$	$2^n$	$n^3$
1	0.00	1	0.00	1	2	1
2	1.00	2	2.00	4	4	8
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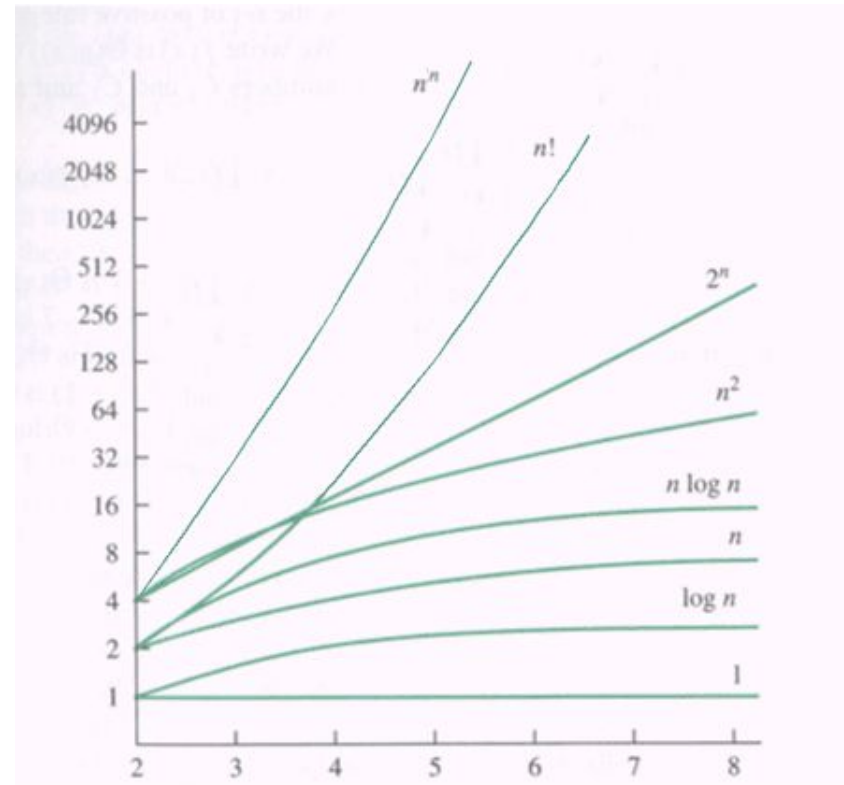
Order of growth for varying input size of  $n$

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Order of growth for varying input size of  $n$

$n$	$\log_2(n)$	$n$	$n\log_2(n)$	$n^2$	$2^n$	$n^3$
1	0.00	1	0.00	1	2	1
2	1.00	2	2.00	4	4	8
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10	3.32	10	33.22	100	1024	1000

# Quiz

---

- Which kind of growth best characterizes each of these functions?

	Constant	Linear	Polynomial	Exponential
$3n$	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
$3n^2$	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
$2^n$	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
$(3/2)^n$	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
1000	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
1	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
$(3/2)n$	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
$2n^3$	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>



# Answer

---

- Which kind of growth best characterizes each of these functions?

	Constant	Linear	Polynomial	Exponential
$3n$	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
$3n^2$	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
$2^n$	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
$(3/2)^n$	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
1000	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
1	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
$(3/2)n$	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
$2n^3$	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>

# Quiz

---

- Rank these functions according to their growth, from slowest growing (at the top) to fastest growing (at the bottom).

$$n^2$$

$$2^n$$

$$n$$

$$n^3$$

$$(3/2)^n$$

$$1$$

# Answer

---

- Rank these functions according to their growth, from slowest growing (at the top) to fastest growing (at the bottom).

1

$n$

$n^2$

$n^3$

$(3/2)^n$

$2^n$

# Quiz

---

- Rank these functions according to their growth, from slowest growing to fastest growing.

$$6n^3$$

$$n \log_6 n$$

$$4n$$

$$8n^2$$

$$\log_2 n$$

$$n \log_2 n$$

$$\log_8 n$$

$$64$$

$$8^{2n}$$

# Answer

---

- Rank these functions according to their growth, from slowest growing to fastest growing.

64

$\log_8 n$

$\log_2 n$

$4n$

$n \log_6 n$

$n \log_2 n$

$8n^2$

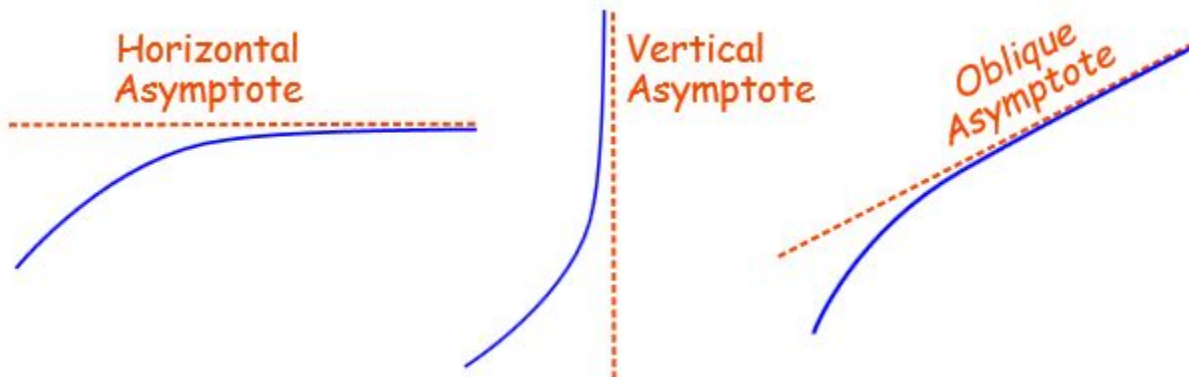
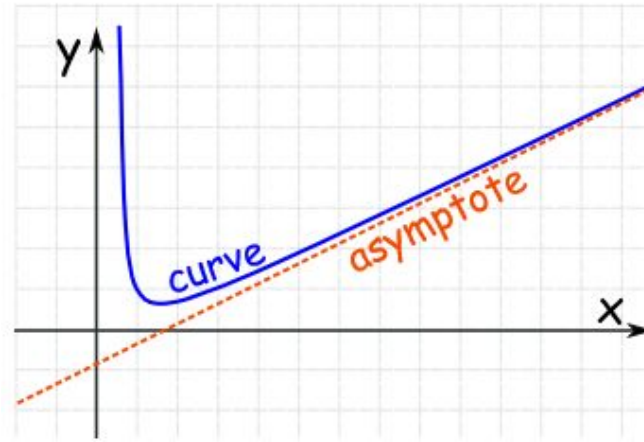
$6n^3$

$8^{2n}$

# Asymptote

---

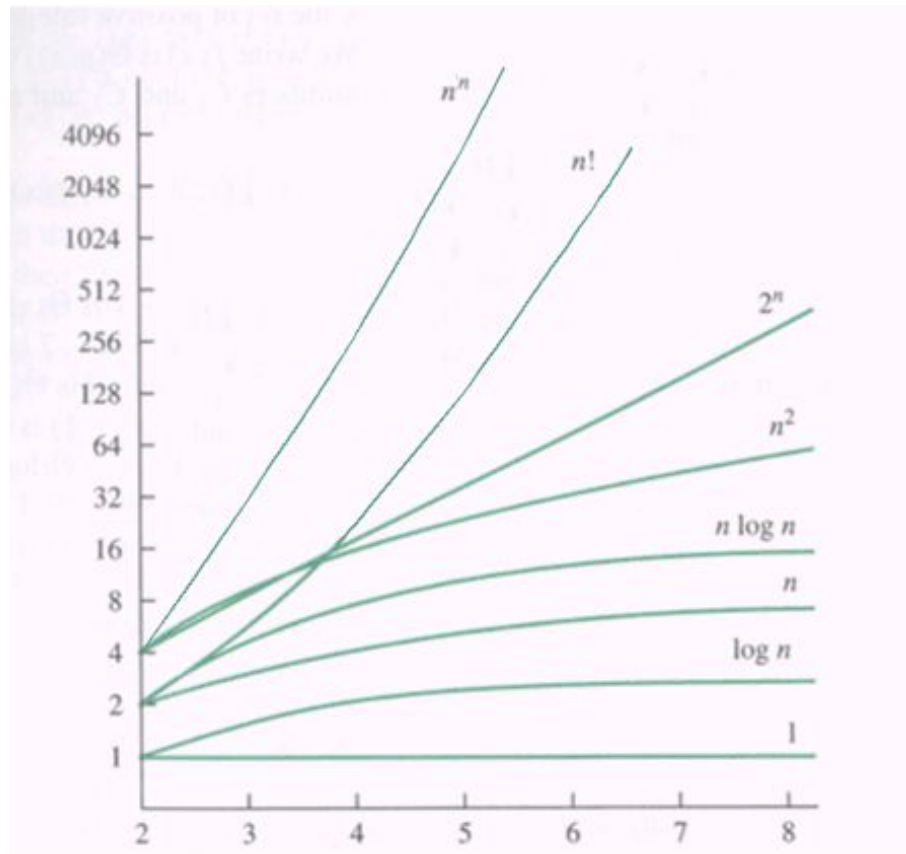
Asymptote: A straight line that continually approaches a given curve but does not meet it at any finite distance



# Rate of Growth ordering

---

$O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(2^n) < O(n!)$



# Asymptotic notation

---

- **Asymptotic notation of an algorithm is a mathematical representation of its complexity**
- In asymptotic notation, when we want to represent the complexity of an algorithm, we use only the most significant terms in the complexity of that algorithm and ignore least significant terms in the complexity of that algorithm (Here complexity may be Space Complexity or Time Complexity).

For example, consider the following time complexities of two algorithms...

- **Algorithm 1 :  $5n^2 + 2n + 1$**
- **Algorithm 2 :  $10n^2 + 8n + 3$**

Generally, when we analyze an algorithm, we consider the time complexity for larger values of input data (i.e. ' $n$ ' value). In above two time complexities, for larger value of ' $n$ ' the term in algorithm 1 ' $2n + 1$ ' has least significance than the term ' $5n^2$ ', and the term in algorithm 2 ' $8n + 3$ ' has least significance than the term ' $10n^2$ '.

Here for larger value of ' $n$ ' the value of most significant terms (  $5n^2$  and  $10n^2$  ) is very larger than the value of least significant terms (  $2n + 1$  and  $8n + 3$  ). So for larger value of ' $n$ ' we ignore the least significant terms to represent overall time required by an algorithm. In asymptotic notation, we use only the most significant terms to represent the time complexity of an algorithm.





By **dropping the less significant terms** and the **constant coefficients**, we can focus on the important part of an algorithm's running time—its **rate of growth**—without getting mired in details that complicate our understanding. When we drop the constant coefficients and the less significant terms, we use **asymptotic notation**.

We'll see THREE types of Asymptotic Notations:

**Big - Oh ( $O$ ) UPPER BOUNDING function**

**Big - Omega ( $\Omega$ ) LOWER BOUNDING function**

**Big - Theta ( $\Theta$ ) ORDER or TIGHT BOUNDING function**

# Analysis of Linear Search

---

Algorithm SequentialSearch( $A[0..n-1], K$ )

$i = 0$

While  $i < n$  and  $A[i] \neq K$  do

$\{ i = i + 1 \}$

If  $i < n$

    return  $i$

else

    return  $-1$

## Question:

If the Key element is in the first position of the Array then  
How many times the operation  $i = i + 1$  will be executed ?

If the Key element is in the last position of the Array then  
How many times the operation  $i = i + 1$  will be executed ?

# Analysis of Linear Search

---

Algorithm SequentialSearch( $A[0..n-1], K$ )

$i=0$

While  $i < n$  and  $A[i] \neq K$  do

    {  $i=i+1$  }

If  $i < n$

    return  $i$

else

    return -1

## Answer:

Find, if the Key element is in the first position of the Array then

How many times the operation  $i=i+1$  will be executed ?

Find, if the Key element is in the last position of the Array then

How many times the operation  $i=i+1$  will be executed ?

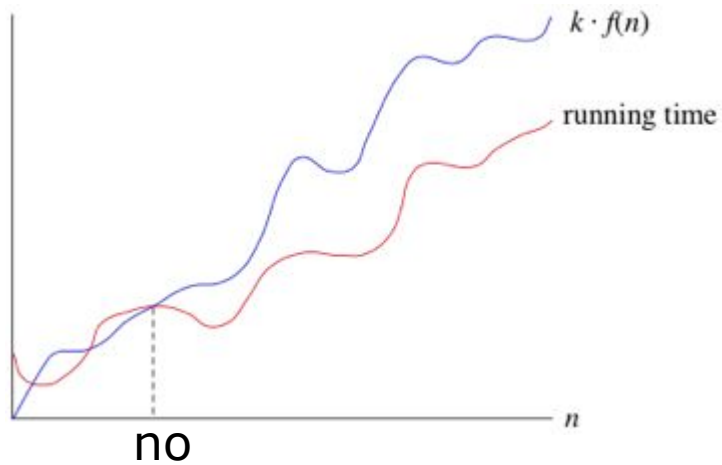
Find the total lower bound and upper bound (Best and Worst case)

Running time ?  $T_{\text{lower}}(n)=1$     $T_{\text{upper}}(n)=n$

# Summarizing Big-O, Big-Omega, Big-theta

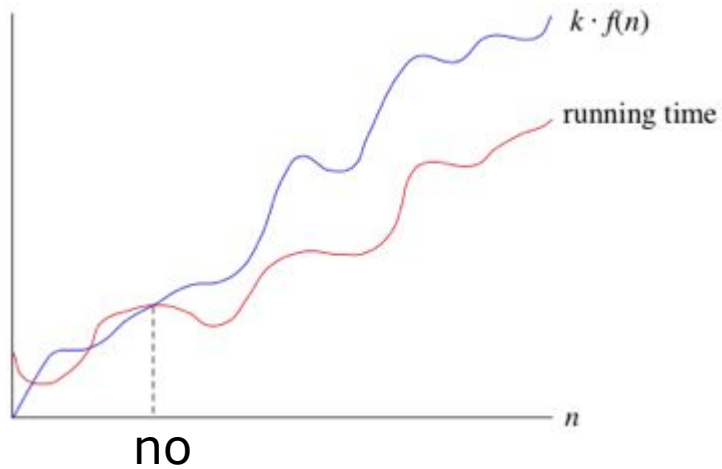
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## Big-O Upper Bound

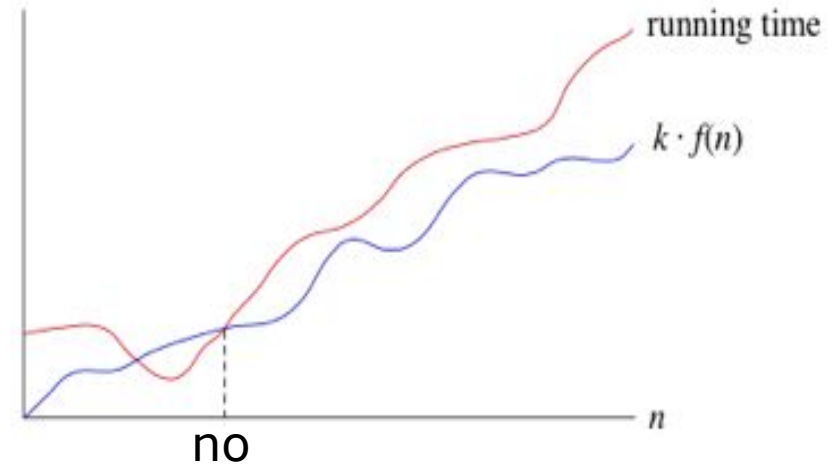


# Summarizing Big-O, Big-Omega, Big-theta

## Big-O Upper Bound

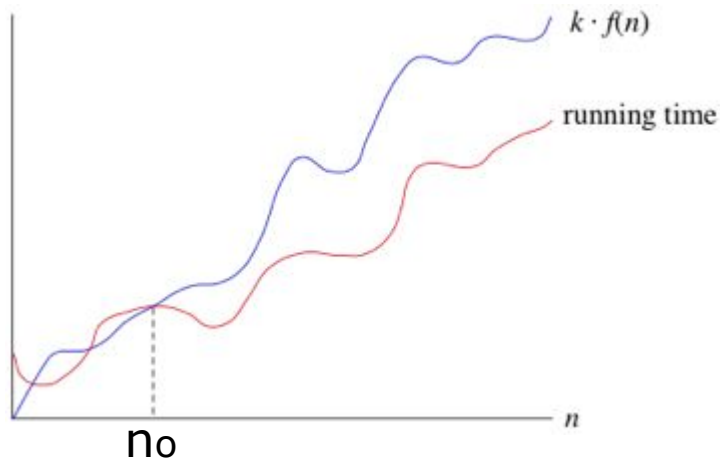


## Big-Omega Lower Bound

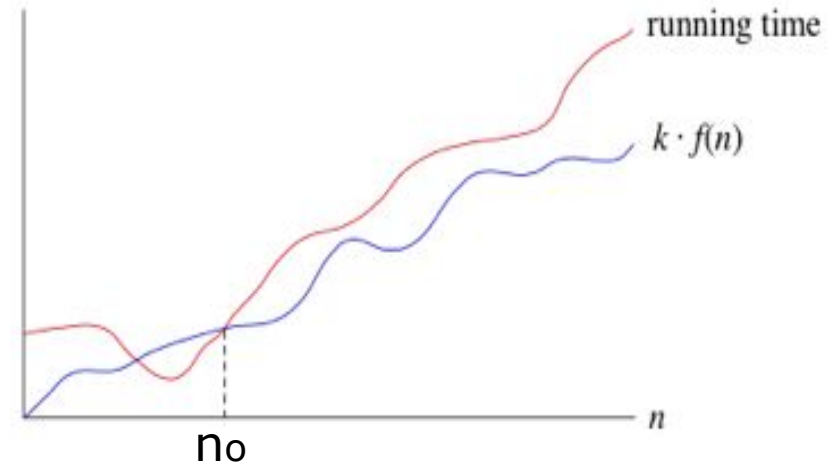


# Summarizing Big-O, Big-Omega, Big-theta

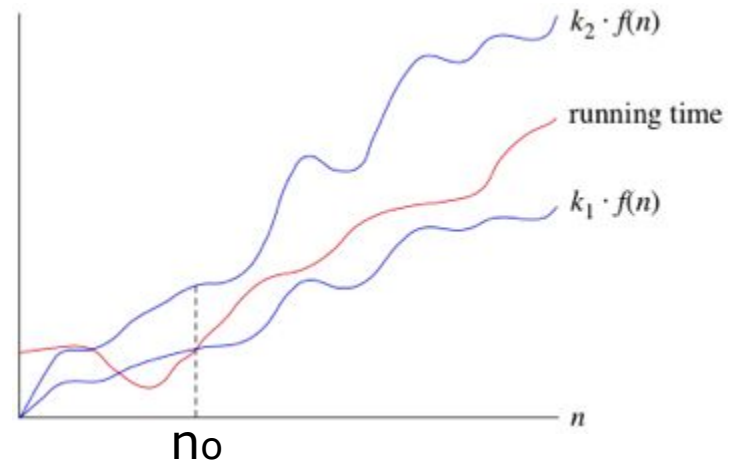
Big-O Upper Bound



Big-Omega Lower Bound



Big-theta  
Tight bound or Order bound



# Question

---

Go through the following pseudocode

```
containsZero(arr, n){ #assume normal array of length n
  for i=1 to n {
    if arr[i] == 0 return true
  }
  return false
```

What's the lower bound or best case? Well, if the array has 0 as the first value, it will take how much time ?

What's the worst case? If the array doesn't contain 0, it will take what time.

# Question

---

Go through the following pseudocode

```
containsZero(arr, n){ #assume normal array of length n
  for i=1 to n {
    if arr[i] == 0 return true
  }
  return false
```

What's the lower bound or best case? Well, if the array we give it has 0 as the first value, it will take what time ?

**- Constant time:  $\Omega(1)$**

What's the worst case? If the array doesn't contain 0, it will take what time

**- It will iterate through the whole array:  $O(n)$**



# Question

---

Go through the following pseudocode

```
printNums(arr,n){  
  for i=1 to n {  
    print(arr[i]);  
  }  
}
```

Can you think of a best case and worst case??

# Question

---

Go through the following pseudocode

```
printNums(arr,n){  
  for i=1 to n {  
    print(arr[i]);  
  }  
}
```

Can you think of a best case and worst case??

We can't! No matter what array we give it, we have to iterate through every value in the array. So the function will take AT LEAST  $n$  time ( $\Omega(n)$ ), but we also know it won't take any longer than  $n$  time ( $O(n)$ ). What does this mean? Our function will take exactly  $n$  time i.e.,  **$\Theta(n)$**

# Asymptotic notation

---

To compare and rank orders of growth or rate of growth of the algorithms,  
Computer Scientists use three notations:

- **Big - Oh ( $O$ ) UPPER BOUNDING function**
- **Big - Omega ( $\Omega$ ) LOWER BOUNDING function**
- **Big - Theta ( $\Theta$ ) ORDER BOUNDING function**

## Big - Oh (O) UPPER BOUNDING function: Informal Intr.

---

- Let us consider  $t(n)$  and  $g(n)$  are non-negative functions (or expressions) which take non-negative arguments.
- **$O(g(n))$  is set of all functions with a smaller or same order of growth  $g(n)$**  (to within a constant multiple, as  $n$  goes to infinity).

Ex:  $n \in O(n^2)$  for all  $n \geq 1$

# Informal Introduction

---

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Ex:  $n \in O(n^2)$  for all  $n \geq 1$

<b><math>n \in O(n^2) \forall n \geq 1</math></b>	
$n \leq n^2$	
$n$	$n^2$
1	1
2	4
3	9

# Informal Introduction

---

- Let us consider  $t(n)$  and  $g(n)$  are non-negative functions (or expressions) which take non-negative arguments.
- **$O(g(n))$  is set of all functions with a smaller or same order of growth  $g(n)$**  (to within a constant multiple, as goes to infinity).
- Ex:  $100n+5 \in O(n^2)$  for all  $n \geq 1000$

# Big-Oh Upper Bounding: Informal Intr.

- Let us consider  $t(n)$  and  $g(n)$  are non-negative functions (or expressions) which take non-negative arguments.
- **$O(g(n))$  is set of all functions with a smaller or same order of growth  $g(n)$**  (to within a constant multiple, as goes to infinity).
- Ex:  $100n+5 \in O(n^2)$  for all  $n \geq 1000$

<b><math>100n+5 \in O(n^2)</math> <math>\forall n \geq 10^3</math></b>		
$100n+5 \leq n^2$		
$n$	$100n+5$	$n^2$
$10^2$	$10^4+5$	$10^4$
<b><math>10^3</math></b>	<b><math>10^5+5</math></b>	<b><math>10^6</math></b>
$10^4$	$10^6+5$	$10^8$

# Question

---

□ Check whether the statement

$\frac{1}{2}n(n-1) \in O(n^2)$  is true



# Answer

---

□ Check whether the statement

$$\frac{1}{2}n(n-1) \in O(n^2) \text{ is true}$$

True for all  $n \geq 1$

<b>n</b>	<b>(1/2)n(n-1)</b>	<b>n<sup>2</sup></b>
1	0	1
2	1	4
3	3	9
4	6	16
5	10	25

# Question

---

Check which of the following statement is true

- ☐  $n^3 \in O(n^2)$
- ☐  $n^3 \notin O(n^2)$

# Answer

---

Check which of the following statement is true

- ☐  $n^3 \in O(n^2)$  False
- ☐  $n^3 \notin O(n^2)$  True

# Question

---

Check whether the following statement is true

☐  $n^4 + n + 1 \in O(n^2)$

# Answer

---

Check whether the following statement is true

☐  $n^4 + n + 1 \in O(n^2)$

True

# Formal Definition

---

**Big - Oh ( $O$ ) UPPER BOUNDING function**

# Big - Oh (O) UPPER BOUNDING function

---

- Big - Oh notation is used to define the **upper bound** of an algorithm in terms of Time Complexity. That means Big - Oh notation always indicates the maximum time required by an algorithm for all input values. That means Big - Oh notation describes the worst case of an algorithm time complexity.

Formal Definition:

- A function  $f(n)$  is said to be in  $O(g(n))$  , denoted

$$\mathbf{f(n) \in O(g(n))} \quad (\text{or } f(n) = O(g(n))),$$

if  $f(n)$  is bounded above by some constant multiple of  $g(n)$  for all large  $n$ , i.e., if there exist some positive constant  $c$  and some nonnegative integer  $n_0$  such that

$$\mathbf{f(n) \leq cg(n) \text{ for all } n \geq n_0}$$

( $f(n)$  is less than or equal to  $cg(n)$  for all values of  $n$  greater than or equal to  $n_0$ )

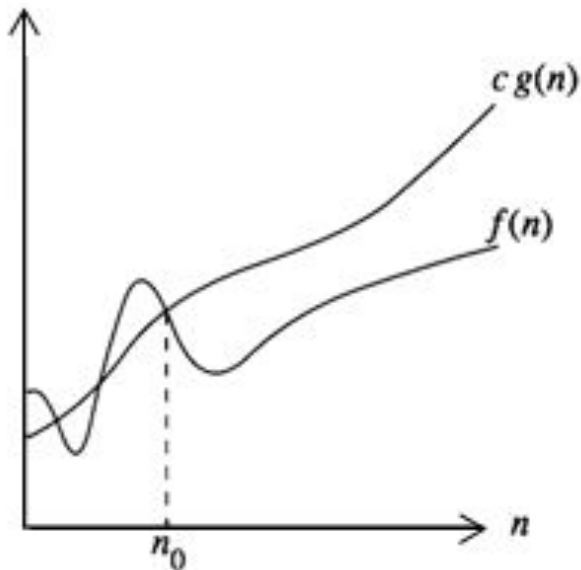
# Big - Oh ( $O$ ) UPPER BOUNDING function

- Big - Oh notation is used to define the **upper bound** of an algorithm in terms of Time Complexity. That means Big - Oh notation always indicates the maximum time required by an algorithm for all input values. That means Big - Oh notation describes the worst case of an algorithm time complexity.

Formal Definition

- A function  $f(n)$  is said to be in  $O(g(n))$ , denoted  **$f(n) \in O(g(n))$  (or  $f(n) = O(g(n))$ )**, if  $f(n)$  is bounded above by some constant multiple of  $g(n)$  for all large  $n$ , i.e., if there exist some positive constant  $c$  and some nonnegative integer  $n_0$  such that

$$f(n) \leq cg(n) \text{ for all } n \geq n_0$$



$n$  is Size of program's input.

$f(n)$  Any real world function. Example: - Running time of a machine.

$g(n)$  Another function that we want to use as an upper-bound. Not a real world function but preferably simple.



# Example

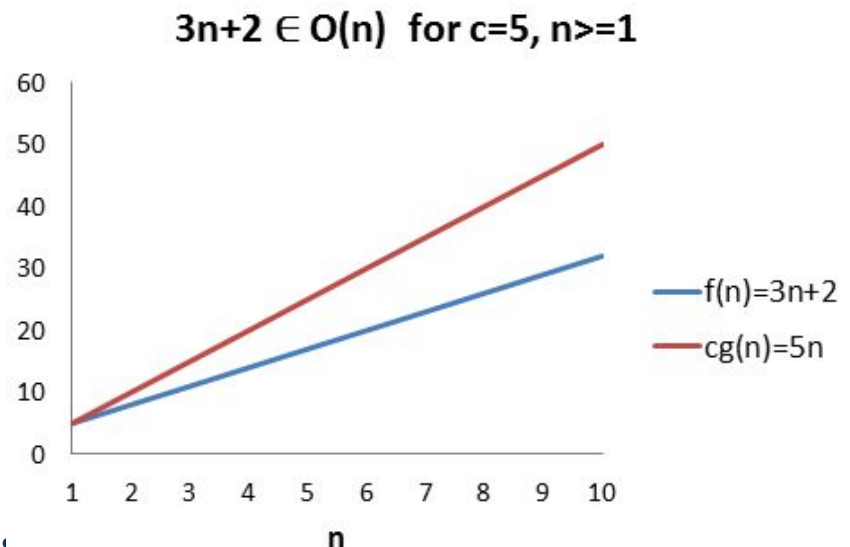
---

- Consider the following  $f(n)$  and  $g(n)$ ...  
 **$f(n) = 3n + 2$**   
 **$g(n) = n$**   
If we want to represent  **$f(n)$**  as  **$O(g(n))$**  then it must satisfy  **$f(n) \leq Cg(n)$**  for all values of  **$C > 0$**  and  **$n_0 \geq 1$**

# Example

- Consider the following  $t(n)$  and  $g(n)$ ...  
 $f(n) = 3n + 2$   
 $g(n) = n$   
If we want to represent  $f(n)$  as  $O(g(n))$  then it must satisfy  $f(n) \leq Cg(n)$  for all values of  $C > 0$  and  $n_0 \geq 1$
- $f(n) \leq Cg(n) \Rightarrow 3n + 2 \leq Cn$   
Above condition is always TRUE for all values of  $C = 5$  and  $n \geq 1$ .

n	f(n)=3n+2	g(n)=n
		cg(n)=5n
1	5	5
2	8	10
3	11	15
4	14	20
5	17	25
6	20	30
7	23	35
8	26	40
9	29	45
10	32	50



By using Big - O notation we can represent the time complexity, as follows...  
 $3n + 2 \in O(n)$  or  $3n + 2 = O(n)$

# Example

---

Consider the following  $t(n)$  and  $g(n)$ ...

$$t(n) = 6 \cdot 2^n + n^2$$

$$g(n) = 2^n$$

Represent  $6 \cdot 2^n + n^2 \in O(2^n)$

$C = ??$  ,  $n_0 = ??$

i.e.,  $6 \cdot 2^n + n^2 \leq C(2^n)$  for all  $n \geq n_0$

# Example

Consider the following  $t(n)$  and  $g(n)$ ...

$$t(n) = 6 \cdot 2^n + n^2$$

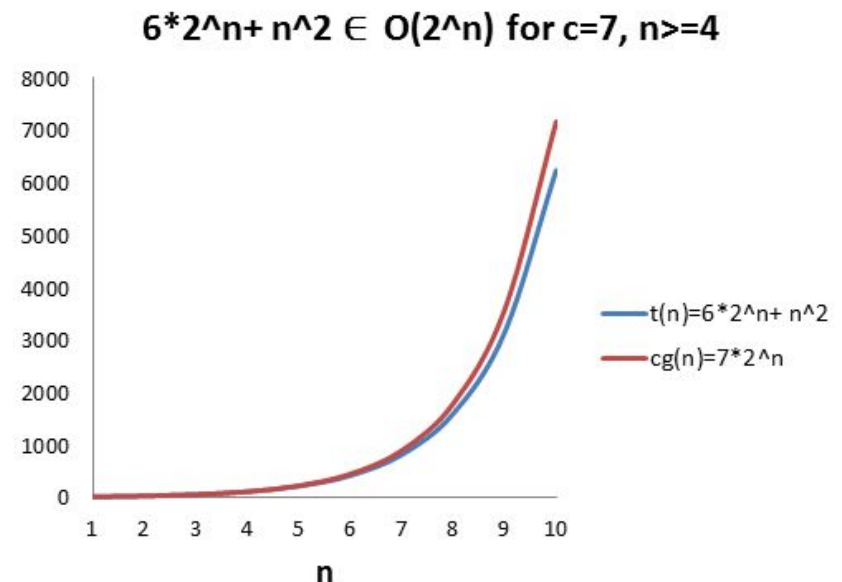
$$g(n) = 2^n$$

Represent  $6 \cdot 2^n + n^2 \in O(2^n)$

$$C=7, n_0=4$$

i.e.,  $6 \cdot 2^n + n^2 \leq 7(2^n)$  for all  $n \geq 4$

		$g(n)=2^n$
$n$	$t(n)=6 \cdot 2^n + n^2$	$cg(n)=7 \cdot 2^n$
1	13	14
2	28	28
3	57	56
4	112	112
5	217	224
6	420	448
7	817	896
8	1600	1792
9	3153	3584
10	6244	7168



# Question

---

Find the values for **c** and **n** to show that the assertion  $3n^3 + 2n^2 \in O(n^3)$  is true

# Question

---

Find the values for **c** and **n** to show that the assertion  $3n^3 + 2n^2 \in O(n^3)$  is true

Answer:

- ☐  $C=5, n_0=1$
- ☐  $3n^3 + 2n^2 \leq 5(n^3)$  for all  $n \geq 1$

# Question

---

- ☐ Is the following assertion True
- $3^n \notin O(2^n)$

# Question

---

- ☐ Is the following assertion True  
 $3^n \notin O(2^n)$

Answer: Yes



# Question

---

Prove that  $n^3 + n^2 + n \in O(n^3)$ .

# Question

---

Prove that  $n^3 + n^2 + n \in O(n^3)$ .

□ **Sol.** For  $C=3$ , and  $n_0=1$ ,

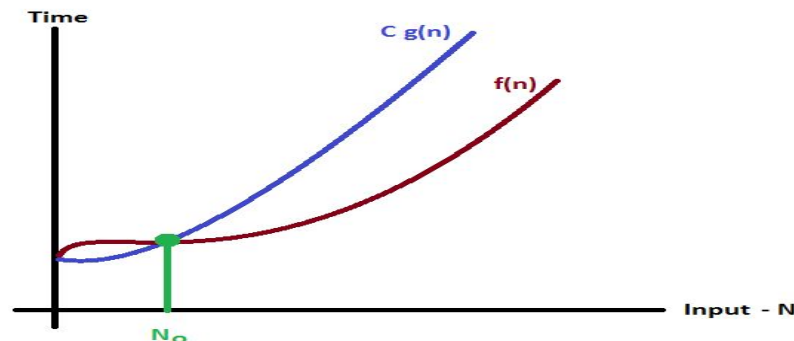
# Big - Oh Notation (O)

- Big - Oh notation is used to define the **upper bound** of an algorithm in terms of Time Complexity.  
That means Big - Oh notation always indicates the maximum time required by an algorithm for all input values. That means Big - Oh notation describes the worst case of an algorithm time complexity.  
Big - Oh Notation can be defined as follows...

Consider function  $f(n)$  the time complexity of an algorithm and  $g(n)$  is the most significant term. If  $f(n) \leq C g(n)$  for all  $n \geq n_0$ ,  $C > 0$  and  $n_0 \geq 1$ . Then we can represent  $f(n)$  as  $O(g(n))$ .

$$f(n) = O(g(n))$$

- Consider the following graph drawn for the values of  $f(n)$  and  $C g(n)$  for input ( $n$ ) value on X-Axis and time required is on Y-Axis



- In above graph  $N_0$  indicates the algorithm's upper bound.

# Big-O

---

- Big-O, commonly written as **O**, is an Asymptotic Notation for the worst case, or ceiling of growth for a given function. It provides us with an **asymptotic upper bound** for the growth rate of runtime of an algorithm. Say  $f(n)$  is your algorithm runtime, and  $g(n)$  is an arbitrary time complexity you are trying to relate to your algorithm.  $f(n)$  is  $O(g(n))$ , if for some real constants  $c$  ( $c > 0$ ) and  $n_0$ ,  $f(n) \leq c g(n)$  for every input size  $n$  ( $n > n_0$ ).

## *Example 1*

- $f(n) = 3\log n + 100$   $g(n) = \log n$
- Is  $f(n) = O(g(n))$ ? Is  $3\log n + 100 = O(\log n)$ ? Let's look to the definition of Big-O.
- $3\log n + 100 \leq c * \log n$
- Is there some pair of constants  $c, n_0$  that satisfies this for all  $n > n_0$ ?
- $3\log n + 100 \leq 150 * \log n, n > 2$  (undefined at  $n = 1$ )
- Yes! The definition of Big-O has been met therefore  $f(n)$  is  $O(g(n))$ .

# Big-O

---

- Big-O, commonly written as **O**, is an Asymptotic Notation for the worst case, or ceiling of growth for a given function. It provides us with an **asymptotic upper bound** for the growth rate of runtime of an algorithm. Say  $f(n)$  is your algorithm runtime, and  $g(n)$  is an arbitrary time complexity you are trying to relate to your algorithm.  $f(n)$  is  $O(g(n))$ , if for some real constants  $c$  ( $c > 0$ ) and  $n_0$ ,  $f(n) \leq c g(n)$  for every input size  $n$  ( $n > n_0$ ).

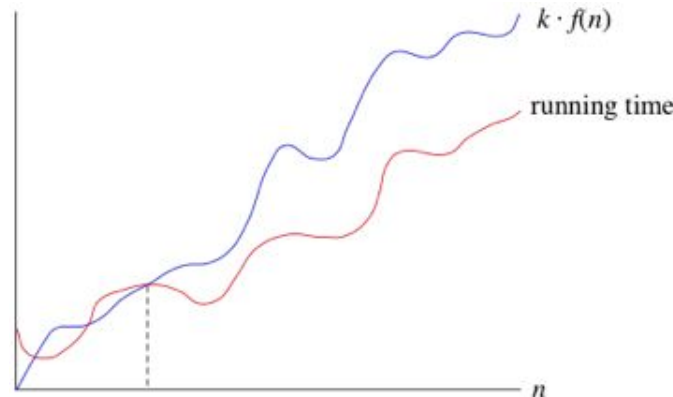
## *Example 2*

- $f(n) = 3 * n^2$   $g(n) = n$
- Is  $f(n)$   $O(g(n))$ ? Is  $3 * n^2$   $O(n)$ ? Let's look at the definition of Big-O.
- **$3 * n^2 \leq c * n$**
- Is there some pair of constants  $c$ ,  $n_0$  that satisfies this for all  $n > n_0$ ? No, there isn't.  $f(n)$  is NOT  $O(g(n))$ .

# Summarizing Big-Oh

---

- It would be convenient to have a form of asymptotic notation that means "the running time grows at most this much, but it could grow more slowly." We use "big-O" notation for just such occasions.
- If a running time is  $O(f(n))$ , then for large enough  $n$ , the running time is at most  $k f(n)$  for some constant  $k$ . Here's how to think of a running time that is  $O(f(n))$ :



- We say that the running time is "big-O of  $f(n)$ " or just "O of  $f(n)$ ." We use big-O notation for **asymptotic upper bounds**, since it bounds the growth of the running time from above for large enough input sizes.

# Formal Definition

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**Big - Omega ( $\Omega$ ) LOWER BOUNDING function**

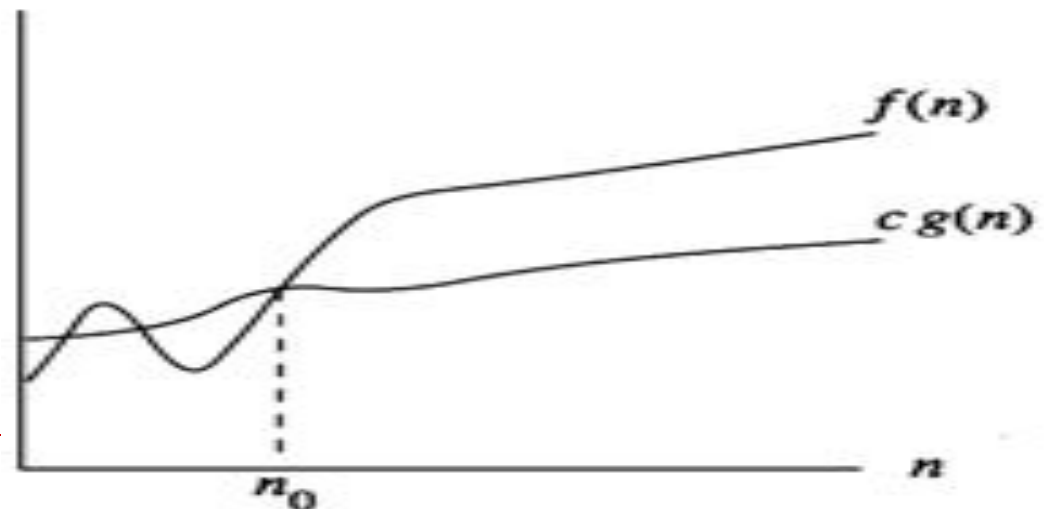
# Big - Omega ( $\Omega$ ) LOWER BOUNDING function

- Big - Omega notation is used to define the **lower bound** of an algorithm in terms of Time Complexity. That means Big - Omega notation always indicates the **minimum time required** by an algorithm for all input values. That means Big - Omega notation describes the best case of an algorithm time complexity.

Formal Definition

- A function  $f(n)$  is said to be in  $\Omega(g(n))$ , denoted  $f(n) \in \Omega(g(n))$ , if  $f(n)$  is bounded below by some positive constant multiple of  $g(n)$  for all large  $n$ , i.e., if there exist some positive constant  $c$  and some nonnegative integer  $n_0$  such that

$$f(n) \geq cg(n) \text{ for all } n \geq n_0$$





# Big - Omega ( $\Omega$ )

Big - Omega notation is used to define the lower bound of an algorithm in terms of Time Complexity.

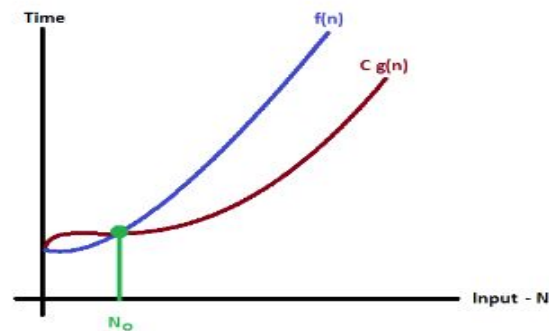
That means Big - Omega notation always indicates the minimum time required by an algorithm for all input values. That means Big - Omega notation describes the best case of an algorithm time complexity.

Big - Omega Notation can be defined as follows...

Consider function  $f(n)$  the time complexity of an algorithm and  $g(n)$  is the most significant term. If  $f(n) \geq C \times g(n)$  for all  $n \geq n_0$ ,  $C > 0$  and  $n_0 \geq 1$ . Then we can represent  $f(n)$  as  $\Omega(g(n))$ .

$$f(n) = \Omega(g(n))$$

Consider the following graph drawn for the values of  $f(n)$  and  $C \times g(n)$  for input ( $n$ ) value on X-Axis and time required is on Y-Axis



In above graph after a particular input value  $n_0$ , always  $C \times g(n)$  is less than  $f(n)$  which indicates the algorithm's lower bound.

# Example

---

- Consider the following  $f(n)$  and  $g(n)$ ...

$$f(n) = 3n + 2$$

$$g(n) = n$$

If we want to represent  $f(n)$  as  $\Omega(g(n))$  then it must satisfy  $f(n) \geq C g(n)$  for all values of  $C > 0$  and  $n_0 \geq 1$

# Example

---

- Consider the following  $f(n)$  and  $g(n)$ ...  
 **$f(n) = 3n + 2$**   
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If we want to represent  **$f(n)$**  as  **$\Omega(g(n))$**  then it must satisfy  **$f(n) \geq C g(n)$**  for all values of  **$C > 0$**  and  **$n_0 \geq 1$**
  
- $f(n) \geq C g(n)$   
 $\Rightarrow 3n + 2 \geq C n$   
Above condition is always TRUE for all values of  
 **$C = 1$**  and  **$n \geq 1$** .
  
- By using Big - Omega notation we can represent the time complexity as follows...  
 **$3n + 2 = \Omega(n)$**

# Example

---

- Consider the following  $f(n)$  and  $g(n)$ ...

$$\mathbf{f(n) = n^3 + 4n^2}$$

$$\mathbf{g(n) = n^2}$$

If we want to represent  $\mathbf{f(n)}$  as  $\mathbf{\Omega(g(n))}$  then it must satisfy

$\mathbf{f(n) \geq C g(n)}$  for all values of  $\mathbf{C > 0}$  and  $\mathbf{n_0 \geq 1}$

# Example

---

- Consider the following  $f(n)$  and  $g(n)$ ...

$$\mathbf{f(n) = n^3 + 4n^2}$$

$$\mathbf{g(n) = n^2}$$

If we want to represent  $\mathbf{f(n)}$  as  $\mathbf{\Omega(g(n))}$  then it must satisfy  $\mathbf{f(n) \geq C g(n)}$  for all values of  $\mathbf{C > 0}$  and  $\mathbf{n_0 \geq 1}$

- $f(n) \geq C g(n)$   
 $\Rightarrow n^3 + 4n^2 \geq C n^2$

Above condition is always TRUE for all values of

$\mathbf{C = 1}$  and  $\mathbf{n \geq 1}$ .

- By using Big - Omega notation we can represent the time complexity as follows...

$$n^3 + 4n^2 = \mathbf{\Omega(n^2)}$$

# Big-Oh and Big-Omega

---

- Big-Oh
- Think of it this way. Suppose you have 10 rupees in your pocket. You go up to your friend and say, "I have an amount of money in my pocket, and I guarantee that **it's no more** than one thousand rupees." Your statement is absolutely true, though not terribly precise.
  
- Big-Omega
- For example, if you really do have a one thousand rupees in your pocket, you can truthfully say "I have an amount of money in my pocket, and it's **at least** 10 rupees." That is correct, but certainly not very precise.

# Formal Definition

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**Big - Theta ( $\Theta$ ) ORDER BOUNDING function**

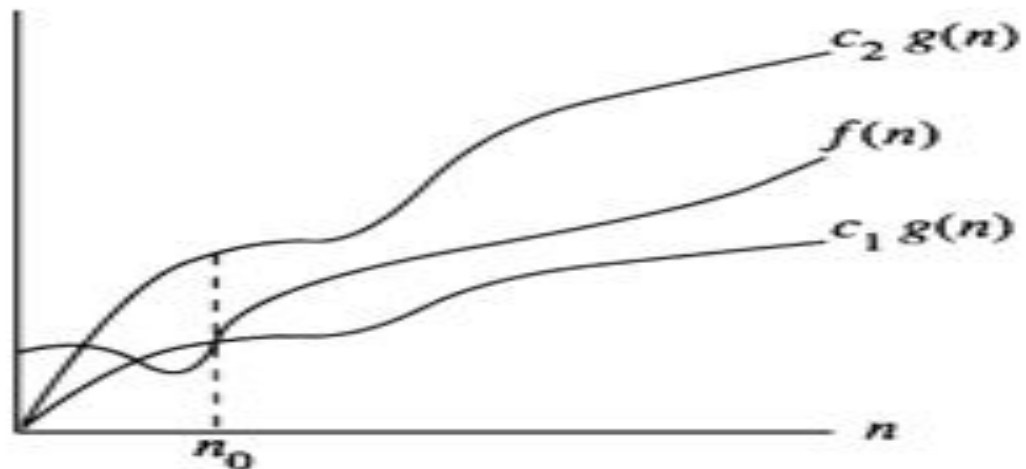
# Big - Theta ( $\Theta$ ) ORDER BOUNDING function

- Big - Theta notation is used to define the **average bound** of an algorithm in terms of Time Complexity. That means Big - Theta notation always indicates the average time required by an algorithm for all input values. That means Big - Theta notation describes the average case of an algorithm time complexity.

Formal Definition

- A function  $f(n)$  is said to be in  $\Theta(g(n))$ , denoted  $f(n) \in \Theta(g(n))$ , if  $f(n)$  is bounded above and below by some positive constant multiple of  $g(n)$  for all large  $n$ , i.e., if there exist some positive constant  $c_1$  and  $c_2$  and some nonnegative integer  $n_0$  such that

$$c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for all } n \geq n_0$$





# Example

---

- Consider the following  $f(n)$  and  $g(n)$ ...

$$f(n) = 3n + 2$$

$$g(n) = n$$

If we want to represent  $f(n)$  as  $\Theta(g(n))$  then it must satisfy  $C_1 g(n) \leq f(n) \leq C_2 g(n)$  for all values of  $C_1$ ,  $C_2 > 0$  and  $n_0 \geq 1$

# Example

---

- Consider the following  $f(n)$  and  $g(n)$ ...

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If we want to represent  $f(n)$  as  $\Theta(g(n))$  then it must satisfy  $C_2 g(n) \leq f(n) \leq C_1 g(n)$  for all values of  $C_1$ ,  $C_2 > 0$  and  $n_0 \geq 1$

- $C_1 g(n) \leq f(n) \leq C_2 g(n) \Rightarrow$

$$C_1 n \leq 3n + 2 \leq C_2 n$$

Above condition is always TRUE for all values of  $C_1 = 1$ ,  $C_2 = 5$  and  $n \geq 1$ .

By using Big - Theta notation we can represent the time complexity as follows...

$$3n + 2 = \Theta(n)$$

# Example

---

- Consider the following  $f(n)$  and  $g(n)$ ...

$$f(n) = 10n^3 + 5$$

$$g(n) = n^3$$

If we want to represent  $f(n)$  as  $\Theta(g(n))$  then it must satisfy  $C_2 g(n) \leq f(n) \leq C_1 g(n)$  for all values of  $C_1$ ,  $C_2 > 0$  and  $n_0 \geq 1$

# Example

---

- Consider the following  $f(n)$  and  $g(n)$ ...

$$f(n) = 10n^3 + 5$$

$$g(n) = n^3$$

If we want to represent  $f(n)$  as  $\Theta(g(n))$  then it must satisfy  $C_1 g(n) \leq f(n) \leq C_2 g(n)$  for all values of  $C_1$ ,  $C_2 > 0$  and  $n_0 \geq 1$

- $C_1 g(n) \leq f(n) \leq C_2 g(n) \Rightarrow$   
 $C_1 n^3 \leq 10n^3 + 5 \leq C_2 n^3$

Above condition is always TRUE for all values of

$$C_1 = 10, C_2 = 11 \text{ and } n \geq 2.$$

By using Big - Theta notation we can represent the time complexity as follows...

$$10n^3 + 5 = \Theta(n^3)$$

Summarizing:  
Formal Definitions of Asymptotic Notations

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**Big - Oh ( $O$ ) UPPER BOUNDING function**

**Big - Omega ( $\Omega$ ) LOWER BOUNDING function**

**Big - Theta ( $\Theta$ ) ORDER BOUNDING function**

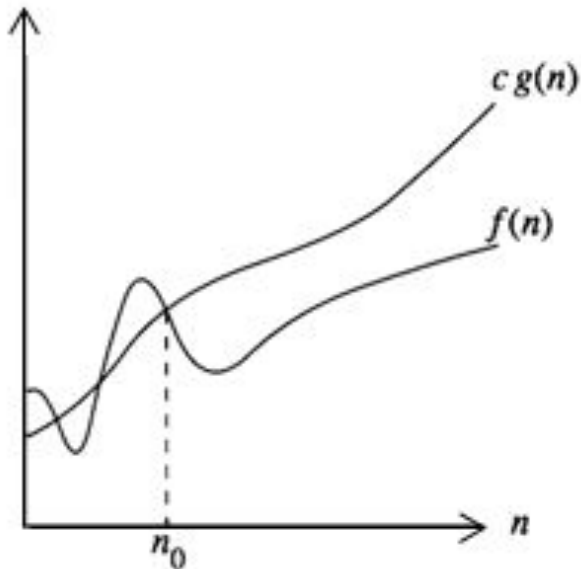
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Formal Definition

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$$f(n) \leq cg(n) \text{ for all } n \geq n_0$$



$n$  is Size of program's input.

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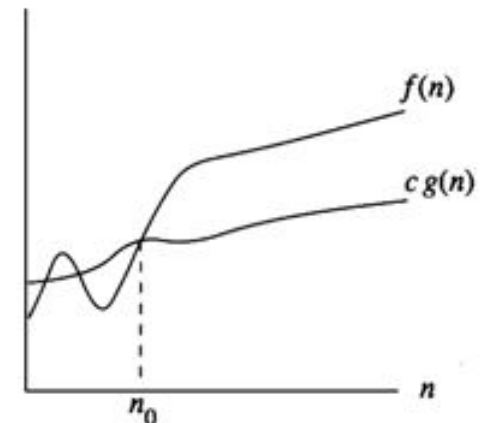
# Big - Omega ( $\Omega$ ) LOWER BOUNDING function

- Big - Omega notation is used to define the **lower bound** of an algorithm in terms of Time Complexity. That means Big - Omega notation always indicates the **minimum time required** by an algorithm for all input values. That means Big - Omega notation describes the best case of an algorithm time complexity.

Formal Definition

- A function  $f(n)$  is said to be in  $\Omega(g(n))$ , denoted  $f(n) \in \Omega(g(n))$ , if  $f(n)$  is bounded below by some positive constant multiple of  $g(n)$  for all large  $n$ , i.e., if there exist some positive constant  $c$  and some nonnegative integer  $n_0$  such that

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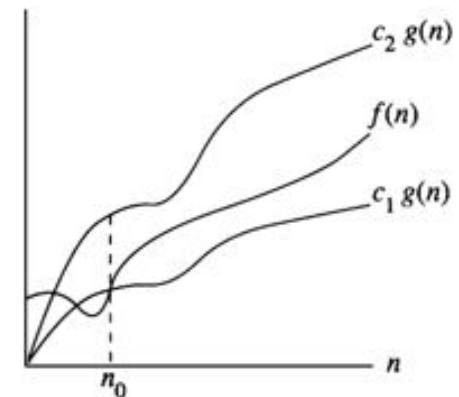
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Formal Definition

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$$c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for all } n \geq n_0$$





# Question

---

Let us consider the problem of finding the minimum of an array  $x[1..n]$ . The input size is  $n$  and the corresponding algorithm can be described as follows:

```
minimum( $x[1..n]$ )  
1:   $m \leftarrow x[1]$   
2:  for  $i \leftarrow 2, n$  do  
3:    if  $m > x[i]$  then  
4:       $m \leftarrow x[i]$   
5:    endif  
6:  endfor  
7: return  $m$ 
```

In the given algorithm above, how many times the fourth operation ( $m = x[i]$ ) will be executed if the given array ( $x$ ) is having elements in **ascending order** ?

# Answer

---

Let us consider the problem of finding the minimum of an array  $x[1..n]$ . The input size is  $n$  and the corresponding algorithm can be described as follows:

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4:       $m \leftarrow x[i]$   
5:    endif  
6:  endfor  
7: return  $m$ 
```

It will not get executed

**Best Case (Lower Bound)**

$$T(n) = 0$$

In the given algorithm above, how many times the fourth operation ( $m = x[i]$ ) will be executed if the given array ( $x$ ) is having elements in **ascending order** ?

# Question

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```

In the given algorithm above, how many times the fourth operation ( $m=x[i]$ ) will be executed if the given array ( $x$ ) is having elements in **Descending order** ?

# Answer

---

Let us consider the problem of finding the minimum of an array  $x[1..n]$ . The input size is  $n$  and the corresponding algorithm can be described as follows:

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minimum( $x[1..n]$ )  
1:   $m \leftarrow x[1]$   
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3:    if  $m > x[i]$  then  
4:       $m \leftarrow x[i]$   
5:    endif  
6:  endfor  
7: return  $m$ 
```

It will get executed  $(n-1)$  times

**Worst Case (Upper bound)**

$$T(n) = (n-1)$$

In the given algorithm above, how many times the fourth operation ( $m=x[i]$ ) will be executed if the given array ( $x$ ) is having elements in **Descending order** ?

# Best and Worst case

<u>minimum(<math>x[1..n]</math>)</u>	<u>Operation</u>	<u>Cost</u>	<u>Repetitions</u>
1: $m \leftarrow x[1]$	1	1	1
2: <b>for</b> $i \leftarrow 2, n$ <b>do</b>	2	$2n$	1
3: <b>if</b> $m > x[i]$ <b>then</b>	3	1	$n - 1$
4: $m \leftarrow x[i]$	4	1	$\tau(n)$
5: <b>endif</b>			
6: <b>endfor</b>			
7: <b>return</b> $m$			

Unlike in previous examples we cannot find a general expression for the running time. This happens because the running time of the fourth operation does not depend only on the input size but also on the properties of the array (mainly on the position where the minimal value appears for the first time).

# Best and Worst case

```
minimum( $x[1..n]$ )
1:   $m \leftarrow x[1]$ 
2:  for  $i \leftarrow 2, n$  do
3:    if  $m > x[i]$  then
4:       $m \leftarrow x[i]$ 
5:    endif
6:  endfor
7: return  $m$ 
```

Operation	Cost	Repetitions
1	1	1
2	$2n$	1
3	1	$n - 1$
4	1	$\tau(n)$

If the minimum is on the first position then the assignment 4 is not at all executed,  $\tau(n) = 0$ . This is the **best case** which could appear.

If, on the other hand, the array is decreasingly sorted the assignment 4 is executed at each iteration. Thus  $\tau(n) = n - 1$ . This is the **worst case**.

Taking into consideration the **best** and the **worst case** we can establish a **lower** and an **upper bound** for the running time:

$$1 + 2n + n - 1 \leq T(n) \leq 1 + 2n + n - 1 + n - 1$$

$3n \leq T(n) \leq 4n - 1$ . It is easy to see that both bounds depend linearly on the input size.

# Example

---

```
int a;  
a = 5  
a++;
```

Simple statements

Fragment 1

$O(1)$

# Example

---

```
int a;  
a = 5  
a++;
```

Simple statements

Fragment 1

$O(1)$

```
for(i=0; i<n; i++)  
{  
    // simple statements  
}
```

Single loop

Fragment 2

$O(n)$



# Example

---

```
int a;  
a = 5  
a++;
```

Simple statements

Fragment 1

$O(1)$

```
for(i=0; i<n; i++)  
{  
    // simple statements  
}
```

Single loop

Fragment 2

$O(n)$

```
for(i=0; i<n; i++)  
{  
    for(j=0; j<n; j++)  
    {  
        // simple statements  
    }  
}
```

Nested loop

Fragment 3

$O(n^2)$

Question: What is the running time of this code ?

---

```
int a;  
a = 5;  
a++;  
for(i = 0; i < n; i++)  
{  
    // simple statements  
}  
for(i = 0; i < n; i++)  
{  
    for(j = 0; j < n; j++)  
    {  
        // simple statements  
    }  
}
```

# Answer

---

```
int a;  
a = 5  
a++;
```

$O(1)$

$$T(n) = O(1) + O(n) + O(n^2) = O(n^2)$$

```
for(i=0; i<n; i++)  
{  
    // simple statements  
}
```

$O(n)$

```
for(i=0; i<n; i++)  
{  
    for(j=0; j<n; j++)  
    {  
        // simple statements  
    }  
}
```

$O(n^2)$

Question: What is the running time of this code ?

---

```
if (Some Condition)
{
    for (i = 0; i < n; i++)
    {
        // simple statements
    }
}
else
{
    for (i = 0; i < n; i++)
    {
        for (j = 0; j < n; j++)
        {
            // simple statements
        }
    }
}
```

# Answer

---

```
if (some condition)
{
    for (i = 0; i < n; i++)
    {
        // simple statements
    }
}
else
{
    for (i = 0; i < n; i++)
    {
        for (j = 0; j < n; j++)
        {
            // simple statements
        }
    }
}
```

$T(n) = O(n^2)$

$O(n)$

$O(n^2)$

# Question

---

Use the informal definitions of  $O$ ,  $\Theta$ , and  $\Omega$  to determine whether the following assertions are true or false.

a.  $n(n+1)/2 \in O(n^3)$

b.  $n(n+1)/2 \in O(n^2)$

c.  $n(n+1)/2 \in \Theta(n^3)$

d.  $n(n+1)/2 \in \Omega(n)$

# Question

---

Use the informal definitions of  $O$ ,  $\Theta$ , and  $\Omega$  to determine whether the following assertions are true or false.

a.  $n(n+1)/2 \in O(n^3)$

b.  $n(n+1)/2 \in O(n^2)$

c.  $n(n+1)/2 \in \Theta(n^3)$

d.  $n(n+1)/2 \in \Omega(n)$

## Answer

$n(n+1)/2 \approx n^2/2$  is quadratic. Therefore

a.  $n(n+1)/2 \in O(n^3)$  is true.

b.  $n(n+1)/2 \in O(n^2)$  is true.

c.  $n(n+1)/2 \in \Theta(n^3)$  is false.

d.  $n(n+1)/2 \in \Omega(n)$  is true.

# Question

---

- ☐ For the functions,  $n^k$  and  $c^n$ , what is the asymptotic relationship between these functions? Assume that  $k \geq 1$  and  $c > 1$  are constants.
- ☐  $n^k$  is  $O(c^n)$
- ☐  $n^k$  is  $\Omega(c^n)$
- ☐  $n^k$  is  $\Theta(c^n)$



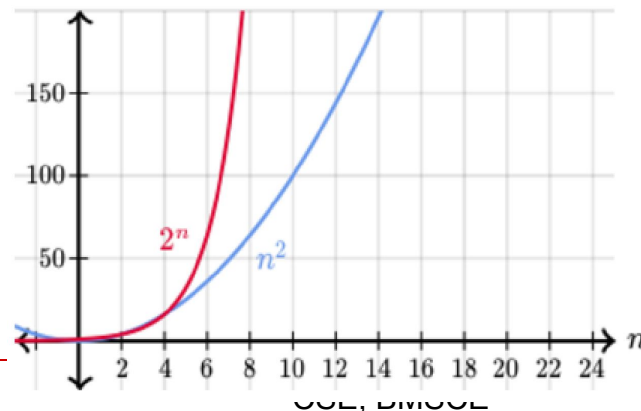
# Answer

---

For the functions,  $n^k$  and  $c^n$ , what is the asymptotic relationship between these functions? Assume that  $k \geq 1$  and  $c > 1$  are constants.

- **$n^k$  is  $O(c^n)$**
- $n^k$  is  $\Omega(c^n)$
- $n^k$  is  $\Theta(c^n)$

$n^k$  is a polynomial function, and  $c^n$  is an exponential function. We know that polynomials always grow more slowly than exponentials. We could prove that with a graph, but we have to make sure we look at it for big values of  $n$ , because the early behavior could be misleading. Here's a graph that compares the two functions (with  $k=2$  and  $c=2$ ), where we can clearly see the difference in growth:



# Question

---

For the functions,  $8^n$  and  $4^n$ , what is the asymptotic relationship between these functions?

---

(A)  $8^n$  is  $O(4^n)$

---

(B)  $8^n$  is  $\Omega(4^n)$

---

(C)  $8^n$  is  $\Theta(4^n)$

---

# Question

---

For the functions,  $8^n$  and  $4^n$ , what is the asymptotic relationship between these functions?

---

☐ (A)  $8^n$  is  $O(4^n)$

---

☐ (B)  $8^n$  is  $\Omega(4^n)$

---

☐ (C)  $8^n$  is  $\Theta(4^n)$

---

---

☐  $8^n$  is  $O(4^n)$

---

☒  $8^n$  is  $\Omega(4^n)$

---

☐  $8^n$  is  $\Theta(4^n)$

---

# Question

---

Consider the following three claims

1.  $(n + k)^m = \Theta(n^m)$ , where  $k$  and  $m$  are constants
2.  $2^n + 1 = O(2^n)$
3.  $2^{2n} + 1 = O(2^n)$

Which of these claims are correct ?

- (A) 1 and 2      (B) 1 and 3  
(C) 2 and 3      (D) 1, 2, and 3

Answer: (A)

**Explanation:**  $(n + k)^m$  and  $\Theta(n^m)$  are asymptotically same as theta notation can always be written by taking the leading order term in a polynomial expression.

$2^n + 1$  and  $O(2^n)$  are also asymptotically same as  $2^n + 1$  can be written as  $2 * 2^n$  and constant multiplication/addition doesn't matter.

$2^{2n} + 1$  and  $O(2^n)$  are not same as constant is in power.

# Question

---

What is the asymptotic relationship between the functions  $n^3 \lg n$  and  $3n \log_8 n$ ?

☐ (A)  $n^3 \lg n$  is  $O(3n \log_8 n)$

☐ (B)  $n^3 \lg n$  is  $\Omega(3n \log_8 n)$

☐ (C)  $n^3 \lg n$  is  $\Theta(3n \log_8 n)$

☐  $n^3 \lg n$  is  $O(3n \log_8 n)$

☒  $n^3 \lg n$  is  $\Omega(3n \log_8 n)$

☐  $n^3 \lg n$  is  $\Theta(3n \log_8 n)$

# Question

---

For the functions,  $\lg n^{\lg 17}$  vs.  $\lg 17^{\lg n}$ , what is the asymptotic relationship between these functions?

Choose all answers that apply:

---

☐ (A)  $\lg n^{\lg 17}$  is  $O(\lg 17^{\lg n})$

---

☐ (B)  $\lg n^{\lg 17}$  is  $\Omega(\lg 17^{\lg n})$

---

☐ (C)  $\lg n^{\lg 17}$  is  $\Theta(\lg 17^{\lg n})$

---

# Answer

In conclusion, all of the statements are true.

✓  $\lg n^{\lg 17}$  is  $O(\lg 17^{\lg n})$

✓  $\lg n^{\lg 17}$  is  $\Omega(\lg 17^{\lg n})$

✓  $\lg n^{\lg 17}$  is  $\Theta(\lg 17^{\lg n})$

Both  $\lg n^{\lg 17}$  vs.  $\lg 17^{\lg n}$  are functions with logarithmic growth, and the same base. They differ in what they take the logarithm of:  $n^{\lg 17}$  versus  $17^{\lg n}$ . Here's a graph of the two functions:



Notice something? It's the same graph! They're actually exactly equivalent functions, because of a particular property of logarithms:

$$\lg a^b = b \lg a$$

Let's re-write both of the original functions using that property:

original	becomes
$\lg (n^{\lg 17})$	$\lg (17) \cdot \lg n$
$\lg (17^{\lg n})$	$\lg (n) \cdot \lg (17)$

# Useful Property Involving the Asymptotic Notations

---

**THEOREM** If  $t_1(n) \in O(g_1(n))$  and  $t_2(n) \in O(g_2(n))$ , then

$$t_1(n) + t_2(n) \in O(\max\{g_1(n), g_2(n)\}).$$

**PROOF** The proof extends to orders of growth the following simple fact about four arbitrary real numbers  $a_1, b_1, a_2, b_2$ : if  $a_1 \leq b_1$  and  $a_2 \leq b_2$ , then  $a_1 + a_2 \leq 2 \max\{b_1, b_2\}$ .

Since  $t_1(n) \in O(g_1(n))$ , there exist some positive constant  $c_1$  and some non-negative integer  $n_1$  such that

$$t_1(n) \leq c_1 g_1(n) \quad \text{for all } n \geq n_1.$$

Similarly, since  $t_2(n) \in O(g_2(n))$ ,

$$t_2(n) \leq c_2 g_2(n) \quad \text{for all } n \geq n_2.$$

Let us denote  $c_3 = \max\{c_1, c_2\}$  and consider  $n \geq \max\{n_1, n_2\}$  so that we can use both inequalities. Adding them yields the following:

$$\begin{aligned} t_1(n) + t_2(n) &\leq c_1 g_1(n) + c_2 g_2(n) \\ &\leq c_3 g_1(n) + c_3 g_2(n) = c_3 [g_1(n) + g_2(n)] \\ &\leq c_3 2 \max\{g_1(n), g_2(n)\}. \end{aligned}$$

Hence,  $t_1(n) + t_2(n) \in O(\max\{g_1(n), g_2(n)\})$ , with the constants  $c$  and  $n_0$  required by the  $O$  definition being  $2c_3 = 2 \max\{c_1, c_2\}$  and  $\max\{n_1, n_2\}$ , respectively. ■



## Useful Property Involving the Asymptotic Notations (Contd...)

---

So what does this property imply for an algorithm that comprises two consecutively executed parts? It implies that the algorithm's overall efficiency is determined by the part with a higher order of growth, i.e., its least efficient part:

$$\left. \begin{array}{l} t_1(n) \in O(g_1(n)) \\ t_2(n) \in O(g_2(n)) \end{array} \right\} t_1(n) + t_2(n) \in O(\max\{g_1(n), g_2(n)\}).$$

For example, we can check whether an array has equal elements by the following two-part algorithm: first, sort the array by applying some known sorting algorithm; second, scan the sorted array to check its consecutive elements for equality. If, for example, a sorting algorithm used in the first part makes no more than  $\frac{1}{2}n(n-1)$  comparisons (and hence is in  $O(n^2)$ ) while the second part makes no more than  $n-1$  comparisons (and hence is in  $O(n)$ ), the efficiency of the entire algorithm will be in  $O(\max\{n^2, n\}) = O(n^2)$ .

# Using Limits for Comparing Orders of Growth

---

- Though the formal definitions of  $O$ ,  $\Omega$  and  $\Theta$  are indispensable for proving their abstract properties, they are rarely used for comparing the orders of growth of two specific functions. A much more convenient method for doing so is based on computing the limit of the ratio of two functions in question. Three principal cases may arise:

$$\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \begin{cases} 0 & \text{implies that } t(n) \text{ has a smaller order of growth than } g(n), \\ c & \text{implies that } t(n) \text{ has the same order of growth as } g(n), \\ \infty & \text{implies that } t(n) \text{ has a larger order of growth than } g(n).^3 \end{cases}$$

Note that the first two cases mean that  $t(n) \in O(g(n))$ , the last two mean that  $t(n) \in \Omega(g(n))$ , and the second case means that  $t(n) \in \Theta(g(n))$ .

# Example

---

**EXAMPLE 1** Compare the orders of growth of  $\frac{1}{2}n(n-1)$  and  $n^2$ . (This is one of the examples we used at the beginning of this section to illustrate the definitions.)

$$\lim_{n \rightarrow \infty} \frac{\frac{1}{2}n(n-1)}{n^2} = \frac{1}{2} \lim_{n \rightarrow \infty} \frac{n^2 - n}{n^2} = \frac{1}{2} \lim_{n \rightarrow \infty} \left(1 - \frac{1}{n}\right) = \frac{1}{2}.$$

Since the limit is equal to a positive constant, the functions have the same order of growth or, symbolically,  $\frac{1}{2}n(n-1) \in \Theta(n^2)$ . ■

# Problem

---

For each of the following functions, indicate the class  $\Theta(g(n))$  the function belongs to. (Use the simplest  $g(n)$  possible in your answers.) Prove your assertions.

a.  $(n^2 + 1)^{10}$

b.  $\sqrt{10n^2 + 7n + 3}$

a. Informally,  $(n^2 + 1)^{10} \approx (n^2)^{10} = n^{20} \in \Theta(n^{20})$  Formally,

$$\lim_{n \rightarrow \infty} \frac{(n^2 + 1)^{10}}{n^{20}} = \lim_{n \rightarrow \infty} \frac{(n^2 + 1)^{10}}{(n^2)^{10}} = \lim_{n \rightarrow \infty} \left( \frac{n^2 + 1}{n^2} \right)^{10} = \lim_{n \rightarrow \infty} \left( 1 + \frac{1}{n^2} \right)^{10} = 1.$$

Hence  $(n^2 + 1)^{10} \in \Theta(n^{20})$ .

b. Informally,  $\sqrt{10n^2 + 7n + 3} \approx \sqrt{10n^2} = \sqrt{10}n \in \Theta(n)$ . Formally,

$$\lim_{n \rightarrow \infty} \frac{\sqrt{10n^2 + 7n + 3}}{n} = \lim_{n \rightarrow \infty} \sqrt{\frac{10n^2 + 7n + 3}{n^2}} = \lim_{n \rightarrow \infty} \sqrt{10 + \frac{7}{n} + \frac{3}{n^2}} = \sqrt{10}.$$

Hence  $\sqrt{10n^2 + 7n + 3} \in \Theta(n)$ .

# Unit 1:

---

## Mathematical analysis of Non-recursive and Recursive Algorithms

# Unit 1: Mathematical analysis of Non-recursive Algorithms

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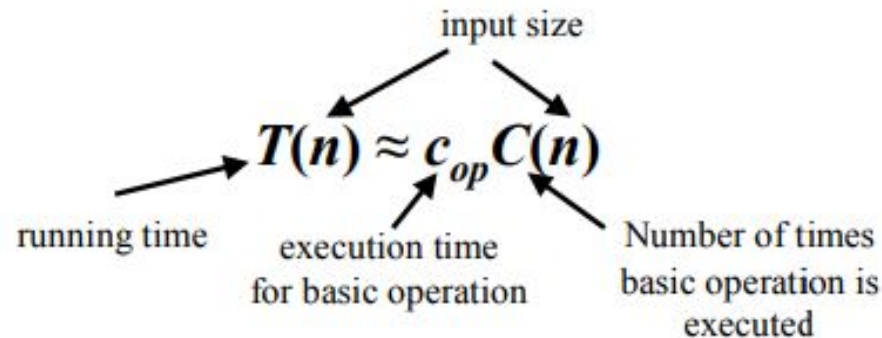
- General framework for analyzing time efficiency of Non-Recursive algorithms



# Theoretical analysis of time efficiency

---

- Time efficiency is analyzed by determining the number of repetitions of the **basic operation** as a function of **input size**.
- **Basic operation:** the operation that contributes most towards the running time of the algorithm.



- An algorithm to solve a particular task employs some set of basic operations. When we estimate the amount of work done by an algorithm we usually do not consider all the steps such as e.g. initializing certain variables. Generally, the total number of steps is roughly proportional to the number of the basic operations. Thus, we are concerned mainly with the basic operations - **how many times the basic operations have to be performed depending on the size of input**.

# Input size and Basic Operation examples

---

Problem	Input Size	Basic Operation
Search for key in list of n items	Number of items in list n	Comparison of Key element with Array element
Sort an array of numbers	The number of elements in the array	Comparison of two array entries
Multiply two matrices of floating point numbers	Dimensions of matrices	Floating point multiplication
Compute $a^n$	n	Floating point multiplication
Graph problem	Number of vertices and edges	Visiting a vertex or traversing an edge



# Example: Maximum element in an Array

---

**ALGORITHM** *MaxElement*( $A[0..n - 1]$ )

//Determines the value of the largest element in a given array

//Input: An array  $A[0..n - 1]$  of real numbers

//Output: The value of the largest element in  $A$

$maxval \leftarrow A[0]$

**for**  $i \leftarrow 1$  **to**  $n - 1$  **do**

**if**  $A[i] > maxval$

$maxval \leftarrow A[i]$

**return**  $maxval$

# Example: Maximum element in an Array

---

**ALGORITHM** *MaxElement*( $A[0..n - 1]$ )  
//Determines the value of the largest element in a given array  
//Input: An array  $A[0..n - 1]$  of real numbers  
//Output: The value of the largest element in  $A$   
 $maxval \leftarrow A[0]$   
**for**  $i \leftarrow 1$  **to**  $n - 1$  **do**  
    **if**  $A[i] > maxval$   
         $maxval \leftarrow A[i]$   
**return**  $maxval$

- Basic Operation: Comparison operation  $A[i] > maxval$
- Let us denote  $T(n)$  the number of times this comparison is executed and try to find a formula expressing it as a function of size  $n$ . The algorithm makes one comparison on each execution of the loop, which is repeated for each value of the loop's variable  $i$  within the bounds 1 and  $n - 1$ , inclusive. Therefore, we get the following sum for

$$T(n) = \sum_{i=1}^{n-1} 1.$$

This is an easy sum to compute because it is nothing other than 1 repeated  $n - 1$  times. Thus,

$$T(n) = \sum_{i=1}^{n-1} 1 = n - 1 \in \Theta(n).$$

# Mathematical analysis of nonrecursive algorithms

---

Steps in mathematical analysis of nonrecursive algorithms:

- ❑ Decide on parameter  $n$  indicating input size
- ❑ Identify algorithm's basic operation
- ❑ Determine worst, average, and best case for input of size  $n$
- ❑ Set up summation for  $C(n)$  reflecting algorithm's loop structure
- ❑ Simplify summation using standard formulas

# Useful Formulas for the Analysis of Algorithms

## Important Summation Formulas

1.  $\sum_{i=l}^u 1 = \underbrace{1 + 1 + \dots + 1}_{u-l+1 \text{ times}} = u - l + 1$  ( $l, u$  are integer limits,  $l \leq u$ );  $\sum_{i=1}^n 1 = n$
2.  $\sum_{i=1}^n i = 1 + 2 + \dots + n = \frac{n(n+1)}{2} \approx \frac{1}{2}n^2$
3.  $\sum_{i=1}^n i^2 = 1^2 + 2^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6} \approx \frac{1}{3}n^3$
4.  $\sum_{i=1}^n i^k = 1^k + 2^k + \dots + n^k \approx \frac{1}{k+1}n^{k+1}$
5.  $\sum_{i=0}^n a^i = 1 + a + \dots + a^n = \frac{a^{n+1} - 1}{a - 1}$  ( $a \neq 1$ );  $\sum_{i=0}^n 2^i = 2^{n+1} - 1$
6.  $\sum_{i=1}^n i2^i = 1 \cdot 2 + 2 \cdot 2^2 + \dots + n2^n = (n-1)2^{n+1} + 2$
7.  $\sum_{i=1}^n \frac{1}{i} = 1 + \frac{1}{2} + \dots + \frac{1}{n} \approx \ln n + \gamma$ , where  $\gamma \approx 0.5772 \dots$  (Euler's constant)
8.  $\sum_{i=1}^n \lg i \approx n \lg n$

## Sum Manipulation Rules

1.  $\sum_{i=l}^u ca_i = c \sum_{i=l}^u a_i$
2.  $\sum_{i=l}^u (a_i \pm b_i) = \sum_{i=l}^u a_i \pm \sum_{i=l}^u b_i$
3.  $\sum_{i=l}^u a_i = \sum_{i=l}^m a_i + \sum_{i=m+1}^u a_i$ , where  $l \leq m < u$
4.  $\sum_{i=l}^u (a_i - a_{i-1}) = a_u - a_{l-1}$

# Commonly used sum manipulation rules and Summation formulas

---

- Two frequently used basic rules of sum manipulation

$$\sum_{i=l}^u ca_i = c \sum_{i=l}^u a_i, \quad (\text{R1})$$

$$\sum_{i=l}^u (a_i \pm b_i) = \sum_{i=l}^u a_i \pm \sum_{i=l}^u b_i, \quad (\text{R2})$$

- Summation Formulas

$$\sum_{i=l}^u 1 = u - l + 1 \quad \text{where } l \leq u \text{ are some lower and upper integer limits, } (\text{S1})$$

$$\sum_{i=0}^n i = \sum_{i=1}^n i = 1 + 2 + \cdots + n = \frac{n(n+1)}{2} \approx \frac{1}{2}n^2 \in \Theta(n^2). \quad (\text{S2})$$

# Question

---

Consider the Algorithm

ALGORITHM Sum(n)

// Input: A nonnegative integer(n)

S = 0

for i =1 to n do

    S = S + i

return S

- What does this algorithm compute?
- What is its basic operation?
- How many times is the basic operation executed?
- What is the efficiency class of this algorithm?

# Question

---

Consider the Algorithm

ALGORITHM Sum(n)

// Input: A nonnegative integer(n)

S = 0

for i =1 to n do

    S = S + i

return S

- a. What does this algorithm compute?
- b. What is its basic operation?
- c. How many times is the basic operation executed?
- d. What is the efficiency class of this algorithm?

Answer:

- a. Computes the sum of the first n numbers
- b. It is the summation
- c. Number of executions = n
- d. The basic operation is always(worst, average, best case) executed n times, so it's  $\Theta(n)$ .

## Example: Finding the Maximum and Minimum Element in an array

---

```
Algorithm Secret( $A[0..n - 1]$ )  
//Input: An array  $A[0..n - 1]$  of  $n$  real numbers  
 $minval \leftarrow A[0]$ ;  $maxval \leftarrow A[0]$   
for  $i \leftarrow 1$  to  $n - 1$  do  
    if  $A[i] < minval$   
         $minval \leftarrow A[i]$   
    if  $A[i] > maxval$   
         $maxval \leftarrow A[i]$   
return  $maxval - minval$ 
```

- What does this algorithm compute?
- What is its basic operation?
- How many times is the basic operation executed?
- What is the efficiency class of this algorithm?



# Answer

---

```
Algorithm Secret( $A[0..n-1]$ )  
//Input: An array  $A[0..n-1]$  of  $n$  real numbers  
 $minval \leftarrow A[0]$ ;  $maxval \leftarrow A[0]$   
for  $i \leftarrow 1$  to  $n-1$  do  
    if  $A[i] < minval$   
         $minval \leftarrow A[i]$   
    if  $A[i] > maxval$   
         $maxval \leftarrow A[i]$   
return  $maxval - minval$ 
```

- a. What does this algorithm compute?
- b. What is its basic operation?
- c. How many times is the basic operation executed?
- d. What is the efficiency class of this algorithm?

- a. Finding maximum and minimum
- b. Basic operations:  $A[i] < minval$  and  $A[i] > maxval$
- c. d.  $T(n) = \sum_{i=1}^{n-1} 2 = 2 \sum_{i=1}^{n-1} 1 = 2(n-1) = 2n - 2 = \Theta(n)$

# Question

---

- In the following code find out how many times the **sum++** will be executed

```
sum = 0;
for( i = 1; i <= n; i++) {
  for( j = 1; j <= n; j++)
    { sum++; }
}
```

# Answer

---

- In the following code find out how many times the **sum++** will be executed

```
sum = 0;
for( i = 1; i <= n; i++) {
  for( j = 1; j <= n; j++)
    { sum++; }
}
```

$$T(n) = \sum_{i=1}^n \sum_{j=1}^n 1 = \Theta(n^2)$$

## Example: Checking all elements in an array are distinct

---

- **Element uniqueness problem:** check whether all the elements in a given array of  $n$  elements are distinct.

**ALGORITHM** *UniqueElements*( $A[0..n - 1]$ )

//Determines whether all the elements in a given array are distinct

//Input: An array  $A[0..n - 1]$

//Output: Returns “true” if all the elements in  $A$  are distinct

//           and “false” otherwise

**for**  $i \leftarrow 0$  **to**  $n - 2$  **do**

**for**  $j \leftarrow i + 1$  **to**  $n - 1$  **do**

**if**  $A[i] = A[j]$  **return false**

**return true**

# Example: Checking all elements in an array are distinct

---

- **Element uniqueness problem:** check whether all the elements in a given array of  $n$  elements are distinct.

**ALGORITHM** *UniqueElements*( $A[0..n-1]$ )

//Determines whether all the elements in a given array are distinct

//Input: An array  $A[0..n-1]$

//Output: Returns “true” if all the elements in  $A$  are distinct

// and “false” otherwise

**for**  $i \leftarrow 0$  **to**  $n-2$  **do**

**for**  $j \leftarrow i+1$  **to**  $n-1$  **do**

**if**  $A[i] = A[j]$  **return false**

**return true**

$$\begin{aligned} T(n) &= \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 = \sum_{i=0}^{n-2} [(n-1) - (i+1) + 1] = \sum_{i=0}^{n-2} (n-1-i) \\ &= \sum_{i=0}^{n-2} (n-1) - \sum_{i=0}^{n-2} i = (n-1) \sum_{i=0}^{n-2} 1 - \frac{(n-2)(n-1)}{2} \\ &= (n-1)^2 - \frac{(n-2)(n-1)}{2} = \frac{(n-1)n}{2} \approx \frac{1}{2}n^2 \in \Theta(n^2). \end{aligned}$$

We also could have computed the sum  $\sum_{i=0}^{n-2} (n-1-i)$  faster as follows:

$$\sum_{i=0}^{n-2} (n-1-i) = (n-1) + (n-2) + \cdots + 1 = \frac{(n-1)n}{2},$$

# Homework Problem

---

- In the following code find out how many times the **sum++** will be executed

```
sum = 0;  
for( i = 1; i <= n; i++)  
  for( j = i; j <= n; j++)  
    sum++;
```

$$\sum_{i=1}^n \sum_{j=i}^n 1 = \sum_{i=1}^n (n - i + 1) = \sum_{i=1}^n (n + 1) - \sum_{i=1}^n i =$$

$$n(n + 1) - \frac{n(n + 1)}{2} = \frac{n(n + 1)}{2} \approx n^2$$

# Homework Problem

---

- In the following code find out how many times the **sum++** will be executed

```
sum = 0;  
for( i = 1; i <= n; i++)  
for( j = 1; j <= 2n; j++)  
    sum++;
```

# Question

---

- In the following code find out how many times the **sum++** will be executed

```
for (i=1; i<=n*n; i++)  
    for (j=0; j<i; j++)  
        sum++;
```



# Answer

---

```
for (i=1; i<=n*n; i++)  
    for (j=0; j<i; j++)  
        sum++;
```

Exact # of times `sum++` is executed:

$$\begin{aligned}\sum_{i=1}^{n^2} i &= \frac{n^2(n^2 + 1)}{2} \\ &= \frac{n^4 + n^2}{2} \\ &\in \Theta(n^4)\end{aligned}$$

# Homework Problem

---

In the following code find out how many times the **sum++** will be executed

```
sum = 0;
for( i = 0; i < n; i++)
for( j = 0; j < i*i; j++)
for( k = 0; k < j; k++)
    sum++;
```

# Example: Two matrix Multiplication

---

- Given two  $n \times n$  matrices  $A$  and  $B$ , find the time efficiency of the definition-based algorithm for computing their product  $C = AB$

**ALGORITHM** *MatrixMultiplication*( $A[0..n-1, 0..n-1]$ ,  $B[0..n-1, 0..n-1]$ )  
//Multiplies two square matrices of order  $n$  by the definition-based algorithm  
//Input: Two  $n \times n$  matrices  $A$  and  $B$   
//Output: Matrix  $C = AB$   
**for**  $i \leftarrow 0$  **to**  $n - 1$  **do**  
    **for**  $j \leftarrow 0$  **to**  $n - 1$  **do**  
         $C[i, j] \leftarrow 0.0$   
        **for**  $k \leftarrow 0$  **to**  $n - 1$  **do**  
             $C[i, j] \leftarrow C[i, j] + A[i, k] * B[k, j]$   
**return**  $C$

# Example: Two matrix Multiplication

- Given two  $n \times n$  matrices  $A$  and  $B$ , find the time efficiency of the definition-based algorithm for computing their product  $C = AB$

**ALGORITHM** *MatrixMultiplication*( $A[0..n-1, 0..n-1]$ ,  $B[0..n-1, 0..n-1]$ )

//Multiplies two square matrices of order  $n$  by the definition-based algorithm

//Input: Two  $n \times n$  matrices  $A$  and  $B$

//Output: Matrix  $C = AB$

**for**  $i \leftarrow 0$  **to**  $n - 1$  **do**

**for**  $j \leftarrow 0$  **to**  $n - 1$  **do**

$C[i, j] \leftarrow 0.0$

**for**  $k \leftarrow 0$  **to**  $n - 1$  **do**

$C[i, j] \leftarrow C[i, j] + A[i, k] * B[k, j]$

**return**  $C$

$$T(n) = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} \sum_{k=0}^{n-1} 1 = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} n = \sum_{i=0}^{n-1} n^2 = \Theta(n^3)$$

## Example: Counting binary digits in binary representation of a decimal number

---

The following algorithm finds the number of binary digits in the binary representation of a positive decimal integer.

### **ALGORITHM** *Binary*( $n$ )

*//Input: A positive decimal integer  $n$*

*//Output: The number of binary digits in  $n$ 's binary representation*

*count*  $\leftarrow 1$

**while**  $n > 1$  **do**

*count*  $\leftarrow$  *count* + 1

$n \leftarrow \lfloor n/2 \rfloor$

**return** *count*

## Example: Counting binary digits in binary representation of a decimal number

---

The following algorithm finds the number of binary digits in the binary representation of a positive decimal integer.

### **ALGORITHM** *Binary(n)*

*//Input: A positive decimal integer  $n$*

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*count*  $\leftarrow 1$

**while**  $n > 1$  **do**

*count*  $\leftarrow$  *count* + 1

*n*  $\leftarrow \lfloor n/2 \rfloor$

**return** *count*

$$C(n) = n \rightarrow (n/2) \rightarrow (n/4) \rightarrow \dots \rightarrow 1 = \lfloor \log_2 n \rfloor$$

Number of times  **$n > 1$**  gets executed is  $\lfloor \log_2 n \rfloor + 1$ .

## Example: Counting binary digits in binary representation of a decimal number

---

The following algorithm finds the number of binary digits in the binary representation of a positive decimal integer.

**ALGORITHM** *Binary*( $n$ )

//Input: A positive decimal integer  $n$

//Output: The number of binary digits in  $n$ 's binary representation

$count \leftarrow 1$

**while**  $n > 1$  **do**

$count \leftarrow count + 1$

$n \leftarrow \lfloor n/2 \rfloor$

**return**  $count$

First, notice that the most frequently executed operation here is not inside the **while** loop but rather the comparison  $n > 1$  that determines whether the loop's body will be executed. Since the number of times the comparison will be executed is larger than the number of repetitions of the loop's body by exactly 1, the choice is not that important.

A more significant feature of this example is the fact that the loop variable takes on only a few values between its lower and upper limits; therefore, we have to use an alternative way of computing the number of times the loop is executed. Since the value of  $n$  is about halved on each repetition of the loop, the answer should be about  $\log_2 n$ . The exact formula for the number of times the comparison  $n > 1$  will be executed is actually  $\lfloor \log_2 n \rfloor + 1$ —the number of bits in the binary representation of  $n$ .

# Consecutive program fragments

---

- The total running time is the maximum of the running time of the individual fragments

```
sum = 0;
for( i = 0; i < n; i++)
    sum = sum + i;
sum = 0;
for( i = 0; i < n; i++)
    for( j = 0; j < 2n; j++)
        sum++;
```

The first loop runs in  $\Theta(n)$  time,  
the second  $\Theta(n^2)$  time, the maximum is  $\Theta(n^2)$



# Unit 1: Mathematical analysis of Recursive Algorithms

---

- General framework for analyzing time efficiency of Recursive algorithms

# Time efficiency of recursive algorithms

---

Steps in mathematical analysis of recursive algorithms:

- ❑ Decide on parameter  $n$  indicating input size
- ❑ Identify algorithm's basic operation
- ❑ Determine worst, average, and best case for input of size  $n$
- ❑ Set up a **recurrence relation and initial condition(s)** for  $T(n)$ -the number of times the basic operation will be executed for an input of size  $n$  (alternatively count recursive calls).
- ❑ Solve the recurrence to obtain a closed form or estimate the order of magnitude of the solution

# Example: Factorial

---

- Analysis of recursive algorithm to find factorial of a given number

# Example: Factorial

---

- Compute the factorial function  **$F(n) = n!$**  for an arbitrary nonneg-ative integer  **$n$** . Since 
$$n! = 1 * 2 * \dots * (n - 1) * n = (n - 1)! \cdot n \quad \text{for } n \geq 1$$
 and  $0! = 1$  by definition, we can compute
- **$F(n) = F(n - 1) \cdot n$**  with the following recursive algorithm.

# Example: Factorial

---

- Compute the factorial function  **$F(n) = n!$**  for an arbitrary nonneg-ative integer  **$n$** . Since 
$$n! = 1 * 2 * \dots * (n - 1) * n = (n - 1)! \cdot n \quad \text{for } n \geq 1$$
 and  $0! = 1$  by definition, we can compute
- **$F(n) = F(n - 1) \cdot n$**  with the following recursive algorithm.

## **ALGORITHM** $F(n)$

```
//Computes  $n!$  recursively  
//Input: A nonnegative integer  $n$   
//Output: The value of  $n!$   
if  $n = 0$  return 1  
else return  $F(n - 1) * n$ 
```

# Example: Factorial

## ALGORITHM $F(n)$

//Computes  $n!$  recursively

//Input: A nonnegative integer  $n$

//Output: The value of  $n!$

**if**  $n = 0$  **return** 1

**else return**  $F(n - 1) * n$

- The basic operation of the algorithm is multiplication, whose number of executions we denote  $M(n)$ .

$$M(n) = M(n-1) + \underset{\substack{\text{to compute} \\ F(n-1)}}{1} \quad \underset{\substack{\text{to multiply} \\ F(n-1) \text{ by } n}}{1} \quad \text{for } n > 0.$$

$M(0) = 0.$

the calls stop when  $n = 0$    no multiplications when  $n = 0$

# Example: Factorial

---

## ALGORITHM $F(n)$

//Computes  $n!$  recursively

//Input: A nonnegative integer  $n$

//Output: The value of  $n!$

**if**  $n = 0$  **return** 1

**else return**  $F(n - 1) * n$

Recurrence relation and initial condition for the algorithm's number of multiplications  $M(n)$ :

$$M(n) = M(n - 1) + 1 \quad \text{for } n > 0,$$

$$M(0) = 0.$$

# Example: Factorial

---

$$M(n) = M(n - 1) + 1 \quad \text{for } n > 0,$$

$$M(0) = 0.$$

Solving the above recurrence relation using the *method of **backward substitutions***.

$$M(n) = M(n - 1) + 1 \quad \text{substitute } M(n - 1) = M(n - 2) + 1$$

$$= [M(n - 2) + 1] + 1 = M(n - 2) + 2 \quad \text{substitute } M(n - 2) = M(n - 3) + 1$$

$$= [M(n - 3) + 1] + 2 = M(n - 3) + 3.$$

$$= M(n - i) + i$$

$$= M(n - n) + n$$

$M(n) = n$       Hence the time complexity of recursive factorial algorithm is  $T(n) = \Theta(n)$



# Question

---

- Solve the following recurrence relations using Substitution method

a.  $x(n) = x(n-1) + 5$  for  $n > 1$ ,  $x(1) = 0$

b.  $x(n) = 3x(n-1)$  for  $n > 1$ ,  $x(1) = 4$

d.  $x(n) = x(n/2) + n$  for  $n > 1$ ,  $x(1) = 1$  (solve for  $n = 2^k$ )

# Answer

---

a.  $x(n) = x(n-1) + 5$  for  $n > 1$ ,  $x(1) = 0$

$$\begin{aligned}x(n) &= x(n-1) + 5 \\&= [x(n-2) + 5] + 5 = x(n-2) + 5 \cdot 2 \\&= [x(n-3) + 5] + 5 \cdot 2 = x(n-3) + 5 \cdot 3 \\&= \dots \\&= x(n-i) + 5 \cdot i \\&= \dots \\&= x(1) + 5 \cdot (n-1) = 5(n-1).\end{aligned}$$

Note: The solution can also be obtained by using the formula for the  $n$  term of the arithmetical progression:

$$x(n) = x(1) + d(n-1) = 0 + 5(n-1) = 5(n-1).$$

# Question

---

Solve the following recurrence relation

b.  $x(n) = 3x(n - 1)$  for  $n > 1$ ,  $x(1) = 4$

# Answer

---

b.  $x(n) = 3x(n-1)$  for  $n > 1$ ,  $x(1) = 4$

$$\begin{aligned}x(n) &= 3x(n-1) \\&= 3[3x(n-2)] = 3^2x(n-2) \\&= 3^2[3x(n-3)] = 3^3x(n-3) \\&= \dots \\&= 3^i x(n-i) \\&= \dots \\&= 3^{n-1}x(1) = 4 \cdot 3^{n-1}.\end{aligned}$$

Note: The solution can also be obtained by using the formula for the  $n$  term of the geometric progression:

$$x(n) = x(1)q^{n-1} = 4 \cdot 3^{n-1}.$$

# Question

---

Solve the following recurrence relations.

d.  $x(n) = x(n/2) + n$  for  $n > 1$ ,  $x(1) = 1$  (solve for  $n = 2^k$ )

# Answer

---

d.  $x(n) = x(n/2) + n$  for  $n > 1$ ,  $x(1) = 1$  (solve for  $n = 2^k$ )

$$\begin{aligned}x(2^k) &= x(2^{k-1}) + 2^k \\&= [x(2^{k-2}) + 2^{k-1}] + 2^k = x(2^{k-2}) + 2^{k-1} + 2^k \\&= [x(2^{k-3}) + 2^{k-2}] + 2^{k-1} + 2^k = x(2^{k-3}) + 2^{k-2} + 2^{k-1} + 2^k \\&= \dots \\&= x(2^{k-i}) + 2^{k-i+1} + 2^{k-i+2} + \dots + 2^k \\&= \dots \\&= x(2^{k-k}) + 2^1 + 2^2 + \dots + 2^k = 1 + 2^1 + 2^2 + \dots + 2^k \\&= 2^{k+1} - 1 = 2 \cdot 2^k - 1 = 2n - 1.\end{aligned}$$

# HomeWork Problem to Solve

---

Solve the following recurrence relations.

c.  $x(n) = x(n-1) + n$  for  $n > 0$ ,  $x(0) = 0$

e.  $x(n) = x(n/3) + 1$  for  $n > 1$ ,  $x(1) = 1$  (solve for  $n = 3^k$ )

# Answer

---

c.  $x(n) = x(n-1) + n$  for  $n > 0$ ,  $x(0) = 0$

$$\begin{aligned}x(n) &= x(n-1) + n \\&= [x(n-2) + (n-1)] + n = x(n-2) + (n-1) + n \\&= [x(n-3) + (n-2)] + (n-1) + n = x(n-3) + (n-2) + (n-1) + n \\&= \dots \\&= x(n-i) + (n-i+1) + (n-i+2) + \dots + n \\&= \dots \\&= x(0) + 1 + 2 + \dots + n = \frac{n(n+1)}{2}.\end{aligned}$$

e.  $x(n) = x(n/3) + 1$  for  $n > 1$ ,  $x(1) = 1$  (solve for  $n = 3^k$ )

$$\begin{aligned}x(3^k) &= x(3^{k-1}) + 1 \\&= [x(3^{k-2}) + 1] + 1 = x(3^{k-2}) + 2 \\&= [x(3^{k-3}) + 1] + 2 = x(3^{k-3}) + 3 \\&= \dots \\&= x(3^{k-i}) + i \\&= \dots \\&= x(3^{k-k}) + k = x(1) + k = 1 + \log_3 n.\end{aligned}$$



# Homework Problem

---

Consider the following recursive algorithm for computing the sum of first  $n$  cubes  
 $S(n) = 1^3 + 2^3 + \dots + n^3$

```
Algorithm S(n)
  if ( n== 1)
    return 1
  else
    return s(n-1)+n*n*n
  end of if
end
```

Set up and solve a recurrence relation for the number of times the algorithm's basic operation is executed.

# Answer

---

Consider the following recursive algorithm for computing the sum of first  $n$  cubes  
 $S(n) = 1^3 + 2^3 + \dots + n^3$

```
Algorithm S(n)
  if ( n== 1)
    return 1
  else
    return s(n-1)+n*n*n
  end of if
end
```

Set up and solve a recurrence relation for the number of times the algorithm's basic operation is executed.

Sol: The recurrence would be

$$T(n) = \begin{cases} 1, & \text{if } n = 1 \\ T(n-1) + 3, & \text{if } n > 1 \end{cases}$$

When  $n=1$  then only a value is returned. For any value of  $n > 1$  there are two multiplication and one addition for a total of three operations in addition to recursive call which reduces the problem size by 1. Thus using back substitution

$$T(n) = T(n-1) + 3 = T(n-2) + 3 + 3 = T(n-3) + 3 + 3 + 3 = \dots = T(n-i) + 3 + 3 \dots i \text{ times.}$$

The recursion stops when  $n-i = 1$  or  $i = n-1$ . Thus substituting  $n-1$  for  $i$  in the equation above

$$T(n) = T(n-n+1) + 3*(n-1) = T(1) + 3(n-1) = 1 + 3(n-1) = 3n - 2 = \Theta(n)$$

## Example 2:

---

- Towers of Hanoi

# Example: Tower of Hanoi

---

- There are three pegs, Source(A), Auxiliary(B) and Destination(C). Peg A contains a set of disks stacked to resemble a tower, with the largest disk at the bottom and the smallest disk at the top. The objective is to transfer the entire tower of disks in peg A to peg C maintaining the same order of the disks.

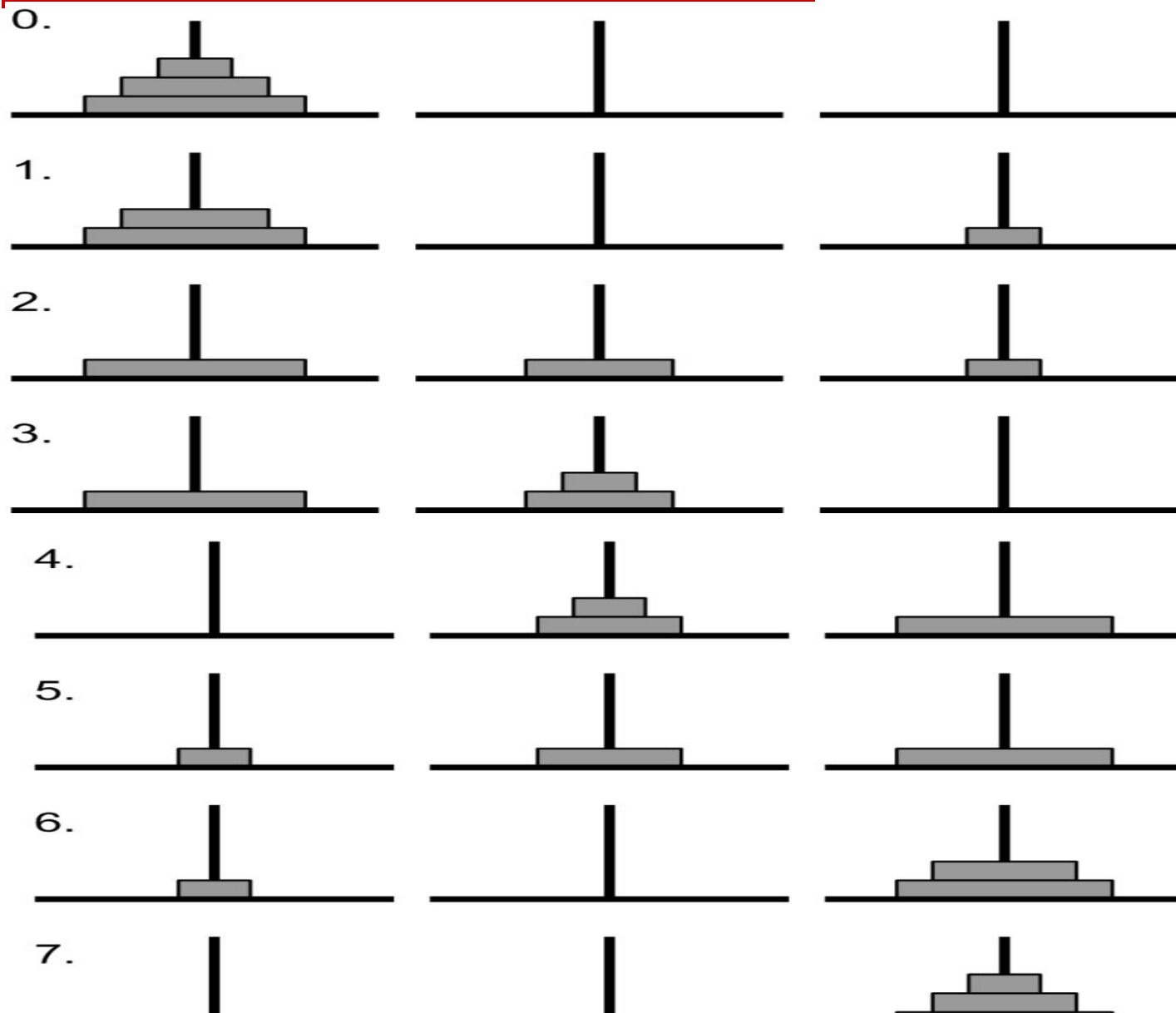
## **Obeying the following rules:**

- Only one disk can be transfer at a time.
- Each move consists of taking the upper disk from one of the peg and placing it on the top of another peg i.e. a disk can only be moved if it is the uppermost disk of the peg.
- Never a larger disk is placed on a smaller disk during the transfer.



# Tower of Hanoi: 3 Discs

---

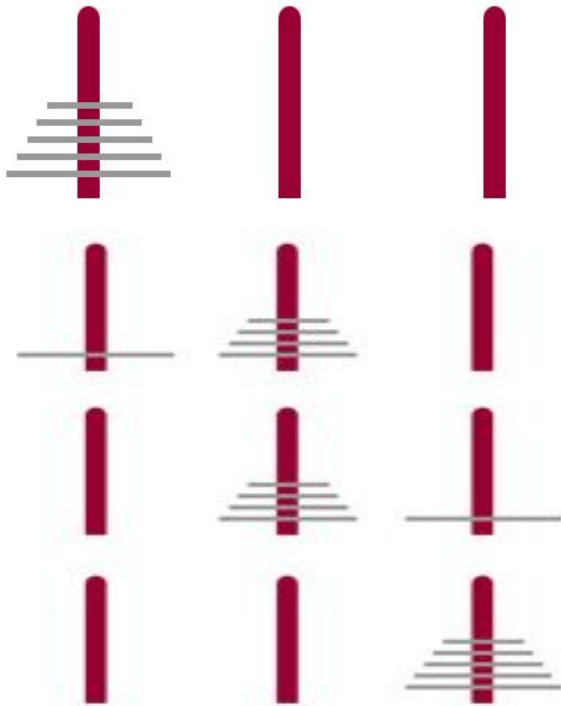


# Towers of Hanoi: Recursive

---

- Move **(n-1)** discs from the source post to the auxiliary post.
- Move the **last** disc to the destination post.
- Move **(n-1)** discs back from the auxiliary post to the destination post.

Source   Aux   Destination



# Towers of Hanoi: Recursive

---

Algorithm **TowerHanoi**(**n**, source, dest, aux)

IF  $n == 1$ , THEN

    move disk from source to dest

ELSE

**TowerHanoi**(**n - 1**, source, aux, dest)

    move disk from source to dest

**TowerHanoi**(**n - 1**, aux, dest, source)

END IF

END

# Towers of Hanoi: Recursive

---

Algorithm TowerHanoi( $n$ , source, dest, aux)

IF  $n == 1$ , THEN

    move disk from source to dest

ELSE

    TowerHanoi( $n - 1$ , source, aux, dest)

    move disk from source to dest

    TowerHanoi( $n - 1$ , aux, dest, source)

END IF

END

The number of disks  $n$  is the obvious choice for the input's size indicator, and so is moving one disk as the algorithm's basic operation. Clearly, the number of moves  $M(n)$  depends on  $n$  only, and we get the following recurrence equation for it:

$$M(n) = M(n - 1) + 1 + M(n - 1) \text{ for } n > 1.$$

With the obvious initial condition  $M(1) = 1$ , we have the following recurrence relation for the number of moves  $M(n)$ :

$$M(n) = 2M(n - 1) + 1 \text{ for } n > 1$$

$$M(1) = 1 \quad \text{for } n=1$$



# Towers of Hanoi: Recursive

$$M(n) = 2M(n - 1) + 1 \text{ for } n > 1$$

$$M(1) = 1 \quad \text{for } n=1$$

Solving the above recurrence relation using the *method of backward substitutions*.

$$\begin{aligned} M(n) &= 2M(n - 1) + 1 && \text{sub. } M(n - 1) = 2M(n - 2) + 1 \\ &= 2[2M(n - 2) + 1] + 1 = 2^2M(n - 2) + 2 + 1 && \text{sub. } M(n - 2) = 2M(n - 3) + 1 \\ &= 2^2[2M(n - 3) + 1] + 2 + 1 = 2^3M(n - 3) + 2^2 + 2 + 1. \end{aligned}$$

The pattern of the first three sums on the left suggests that the next one will be  $2^4M(n - 4) + 2^3 + 2^2 + 2 + 1$ , and generally, after  $i$  substitutions, we get

$$M(n) = 2^i M(n - i) + 2^{i-1} + 2^{i-2} + \cdots + 2 + 1 = 2^i M(n - i) + 2^i - 1.$$

Since the initial condition is specified for  $n = 1$ , which is achieved for  $i = n - 1$ , we get the following formula for the solution to recurrence

$$\begin{aligned} M(n) &= 2^{n-1}M(n - (n - 1)) + 2^{n-1} - 1 \\ &= 2^{n-1}M(1) + 2^{n-1} - 1 = 2^{n-1} + 2^{n-1} - 1 = 2^n - 1. \end{aligned}$$

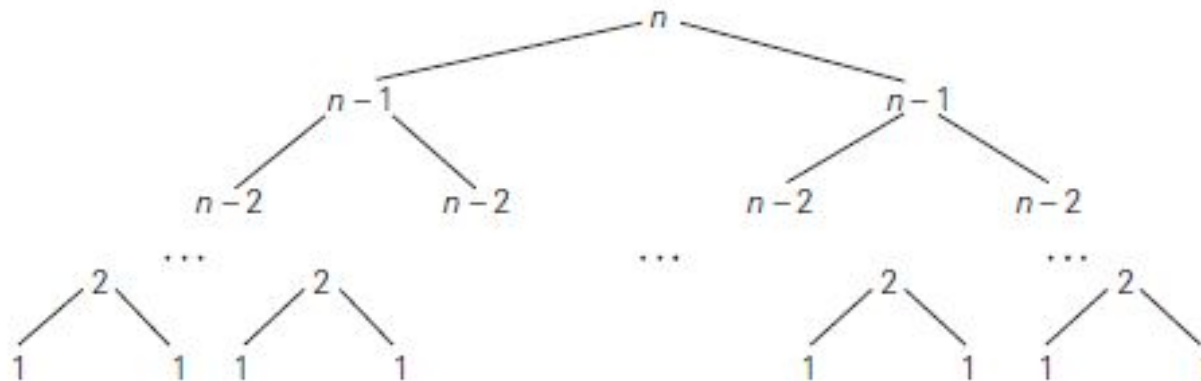
Hence,  $T(n) = \Theta(2^n)$

$$\sum_{i=0}^{i=n} 2^i = 2^{n+1} - 1$$

$$\begin{aligned} M(n) &= 2 * 2^{n-1} - 1 \\ &= 2 * (2^n / 2) - 1 \end{aligned}$$

# Towers of Hanoi: Recursive

- Tree of recursive calls made by the recursive algorithm for the Tower of Hanoi puzzle.

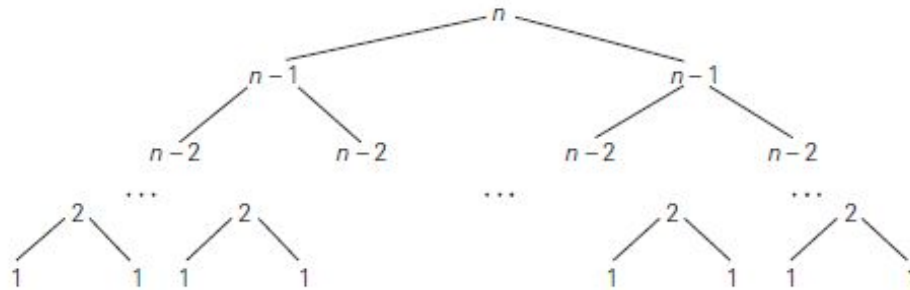


- When a recursive algorithm makes more than a single call to itself, it can be useful for analysis purposes to construct a tree of its recursive calls. In this tree, nodes correspond to recursive calls, and we can label them with the value of the parameter (or, more generally, parameters) of the calls. For the Tower of Hanoi example, the tree is given in Figure 2.5. By counting the number of nodes in the tree, we can get the total number of calls made by the Tower of Hanoi algorithm:

$$C(n) = \sum_{l=0}^{n-1} 2^l \text{ (where } l \text{ is the level in the tree in Figure 2.5)} = 2^n - 1.$$

# Towers of Hanoi: Recursive

- Tree of recursive calls made by the recursive algorithm for the Tower of Hanoi puzzle.



The number of nodes at level 0 =  $2^0 = 1$

The number of nodes at level 1 =  $2^1 = 2$

The number of nodes at level 2 =  $2^2 = 4$

.....

The number of nodes at level (n-1) =  $2^{(n-1)}$

Total number of calls made by Towers of Hanoi:

$$C(n) = 2^0 + 2^1 + 2^2 + \dots + 2^{(n-1)} = 2^n - 1$$

# Tower of Hanoi

---

```
1./*C program for Tower of Hanoi using Recursion */
4.#include <stdio.h>
5.
6.void towers(int, char, char, char);
7.
8.int main()
9.{
10.int num;
11.
12.printf("Enter the number of disks : ");
13 scanf("%d", &num);
14.printf("The sequence of moves involved in the Tower of Hanoi are :\n");
15.towers(num, 'A', 'C', 'B');
16.return 0;
17.}
18.void towers(int num, char frompeg, char topeg, char auxpeg)
19.{
20.if (num == 1)
21.{
22.printf("\n Move disk 1 from peg %c to peg %c", frompeg, topeg);
23.return;
24.}
25.towers(num - 1, frompeg, auxpeg, topeg);
26.printf("\n Move disk %d from peg %c to peg %c", num, frompeg, topeg);
27.towers(num - 1, auxpeg, topeg, frompeg);
28.}
```

## Example 3:

---

- Counting bits in the binary representation of an decimal number

# Counting bits in the binary representation of an decimal number

---

## □ Recursive Algorithms

**ALGORITHM** *BinRec*( $n$ )

//Input: A positive decimal integer  $n$

//Output: The number of binary digits in  $n$ 's binary representation

**if**  $n = 1$  **return** 1

**else return** *BinRec*( $\lfloor n/2 \rfloor$ ) + 1

# Counting bits in the binary representation of an decimal number

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## □ Recursive Algorithms

**ALGORITHM** *BinRec*( $n$ )

//Input: A positive decimal integer  $n$

//Output: The number of binary digits in  $n$ 's binary representation

**if**  $n = 1$  **return** 1

**else return** *BinRec*( $\lfloor n/2 \rfloor$ ) + 1

Let us set up a recurrence and an initial condition for the number of additions  $A(n)$  made by the algorithm. The number of additions made in computing *BinRec*( $\lfloor n/2 \rfloor$ ) is  $A(\lfloor n/2 \rfloor)$ , plus one more addition is made by the algorithm to increase the returned value by 1. This leads to the recurrence

$$A(n) = A(\lfloor n/2 \rfloor) + 1 \quad \text{for } n > 1.$$

Since the recursive calls end when  $n$  is equal to 1 and there are no additions made then, the initial condition is

$$A(1) = 0.$$

# Solving the Recurrence Relation

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$$A(2^k) = A(2^{k-1}) + 1 \quad \text{for } k > 0,$$
$$A(2^0) = 0.$$

Now backward substitutions encounter no problems:

$$\begin{aligned} A(2^k) &= A(2^{k-1}) + 1 && \text{substitute } A(2^{k-1}) = A(2^{k-2}) + 1 \\ &= [A(2^{k-2}) + 1] + 1 = A(2^{k-2}) + 2 && \text{substitute } A(2^{k-2}) = A(2^{k-3}) + 1 \\ &= [A(2^{k-3}) + 1] + 2 = A(2^{k-3}) + 3 && \dots \\ &\dots && \\ &= A(2^{k-i}) + i && \\ &\dots && \\ &= A(2^{k-k}) + k. \end{aligned}$$

Thus, we end up with

$$A(2^k) = A(1) + k = k,$$

or, after returning to the original variable  $n = 2^k$  and hence  $k = \log_2 n$ ,

$$A(n) = \log_2 n \in \Theta(\log n).$$



# Thanks for Listening

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□ Slides Courtesy: Dr Umadevi V

□ Tower of Hanoi:

[https://in.video.search.yahoo.com/search/video;\\_ylt=AwrPiBRtFn1gBA0ADAA7HAX.;\\_ylu=Y29sbwNzZzMecG9zAzEEdnRpZAMEc2VjA3BpdnM-?p=tower+of+hanoi+recursive+function+%2B+c+program&fr2=piv-web&fr=mcafee#id=3&vid=b2997b9bb4d3ce3af2ec40264bbf2d6c&action=view](https://in.video.search.yahoo.com/search/video;_ylt=AwrPiBRtFn1gBA0ADAA7HAX.;_ylu=Y29sbwNzZzMecG9zAzEEdnRpZAMEc2VjA3BpdnM-?p=tower+of+hanoi+recursive+function+%2B+c+program&fr2=piv-web&fr=mcafee#id=3&vid=b2997b9bb4d3ce3af2ec40264bbf2d6c&action=view)