Type Flattening Obfuscation

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Abstract—Beside data and control flow, high-level types are important in binary code analysis, particularly in decompilation. Some research papers have introduced methods to map machine-dependent objects into types of some C-like type system. For the obfuscation/anti-decompilation purpose, we present a technique which bypasses existing type recovery approaches. We have implemented a prototype obfuscating C compiler to demonstrate the technique, the compiler is given open source.

Index Terms-type recovery, decompilation, obfuscation

1. Introduction

Binary code *decompilation* [5] is to transform the low-level, machine-dependent code of a program into a high-level form, like code of a high-level language. In almost all academic research papers and commerical products, the target language is C. Similar to compilers, a modern binary code decompiler consists of many phases [5, 11]: disassembly, function boundary detection, immediate representation (IR) lifting, control-flow graph (CFG) recovery, high-level variables detection, type (i.e. variable types and function signatures) recovery, etc. Each phase requires particular but not independent [8] analysis techniques: the results of one can affect another. The analyzed program is transformed gradually into a higher-level, more abstract and more understandable representation.

On the contrary, binary code *obfuscation* protects the machine code from being decompiled, or from being analyzed in general. Because the analysis contains of different interdependent phases, the obfuscation may attack any of them, e.g. anti-disassembly (binary packer, self-modifying code), binary stripping, control-flow flattening, virtualization (for both data and control obfuscation)... just name a few. Basically, each obfuscation method consists of one or several *potent transformations* [9, 13] that hide certain properties of the code.

Context and problem. An optional feature of binary code decompilation is *type reconstruction*, namely to recover high-level types from machine-dependent objects [6, 11]. This is the research objective of some research papers [15, 18, 22, 23], and killing feature of commercial [35, 36] as well as open source [34] binary code analysis tools. Beside decompilation, types and particularly *function signatures* are also essential in numerous applications, e.g. static binary rewriting [14, 17] and raising [29, 31], see for example [19] for a more completed list. Thus the knowledge about types expand the attack surface since more analysis can be applied on the programs.

Contribution. Despite of successes in binary type reconstruction and the need of protecting function signatures, to the best of our knowledge there is no explicit effort in hiding type information. This paper presents a method for type obfuscation, the principal idea is based on the fact that the compiler does not need to preserve all information about high-level types (type erasure), then with specific tricks we can exploit the *semantics gap* between the high-level language and machine code to make some information very hard if not impossible to be recovered. We do not claim that all type information can be hidden, the attacker can eventually know some but it would be hard to distinguish the concrete underlying types from one to another, thus the proposed notion of *type flattening*.

We implement the tricks in *uCc* [38], an open source obfuscating C compiler which obfuscates function signatures. The functions in binaries generated by *uCc* can be perfectly analyzed by classical procedures (boundary detection, disassembling, CFG recovery, etc), only their signatures are obfuscated. That way, we can evaluate the effectiveness of type obfuscation tricks on function signatures while excluding unwanted obfuscation effects that may come from (bad) results of other analysis phases. We find that Mixed Boolean Arithmetic (MBA) expressions [12, 26] are a good match for the goal.

In summary, our contributions are as follows:

- We introduce the notion of *type flattening*, it aims at protecting a high-level property (types) of the program in contrast with classical methods which focus on lower properties as data or control flow.
- We implement an open source prototype compiler uCc to realize the ideas of obfuscation. We introduce in uCc a simple method to generate pointer aliases which would be hard to reverse thanks to the resilience of MBA obfuscation [20, 24].
- We give also an implementation for the permutation polynomials of MBA while other open source state-of-the-art obfuscators (e.g. Tigress [39]) give only basic arithmetic encoding expressions. Other deobfuscation tools (e.g. Syntia [25], QSynth [32]) can profit from *uCc* to test their capabilities of MBA simplification.
- We evaluate the binaries generated by *uCc* against decent decompilers, the results show that no one can detect correctly the underlying types of arguments on function signatures: the original types are indistinguishable from the highest types in the C's integer conversion rank.

2. Brief history of binary type inference

In statically typed languages, the compiler does not need preserve source code level type information in the generated machine code (type erasure), then type recovering requires special techniques. Before presenting the type flattening obfuscation, we give a brief discussion about how current methods on binary type inference work, that gives some intuition about our bypassing techniques.

Though a broad survey for research up until 2015 has been given in [19], it sustains a storage point of view bias: types are attached always with concrete storage primitives (e.g. registers, memory), there are no essential differences between types and data structures, so are the techniques to recover them. Actually, types are compile-time constraints, they may or may not have runtime storage imprints. An example is C's type qualifier (e.g. const, restrict), in general any refinement type should not leave storage traces, the same thing with generics. More concretely, as we will present in the section, the low-level polymorphism is a very specific problem that binary type recovery techniques have to deal with. Also, the survey lacks some important papers which are only published until later [22, 23].

We focus only on semantics-based approaches, recent research using machine learning [30] or statistical language model [21] are out of scope of the paper. We omit the phase of variable/function detection, which is an essential step before type recovering, more details on this subject can be referenced in [10]. We avoid also difficulties in disassembling, the binaries are supposed to be perfectly disassemblable.

From now on, unless otherwise stated, the target language is C, the type system is C's then. This is also the target almost all research papers and tools in the domain.

2.1. Initial work

Though earlier ideas have been proposed in another context [4], the research in recovering types from low-level languages may begin with the classic paper of Mycroft [6] for his interest of decompilation. The principal idea is inspired by the work of Damas-Hindley-Milner [1, 2, 3] in the ML language: types of variables and functions are checked/referenced automatically from how they are used in the program's source code. For example, given an expression

$$x + u$$

then at least x or y must have integer type, it is impossible that both of them are pointers since adding two pointers does not type check.

The method of Mycroft has several limits, as pointed out by Van Emmerik [11]. One of them comes from the fact that the low-level languages take care mostly on the value of the computation, then (the result of) an expression can be used in several ways and it behaves as different types (low-level polymorphism). Let's consider an assignment

$$p' = p + n$$

where $\vdash p : \mathtt{ptr}(S)$ (p is of type pointer to a struct S) and $\vdash n : \mathtt{int}$, Mycroft's rules derive $\vdash p' : \mathtt{ptr}(S)$ since p+n is considered as the offset calculation to access some element of an array of S. But p+n can be also an offset calculation to access some field of type, e.g. \mathtt{int} , of the struct S, then $\vdash p' : \mathtt{ptr}(\mathtt{int})$.

To overcome these problems, Van Emmerik has proposed a *data-flow based* (in contrast with Mycroft's *constraint based*) approach where type information of an object will be refined gradually, instead of binding it early to some fixed type. He proposed using *subtype lattices* to express the preciseness of type information: p' will not

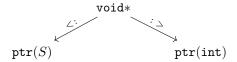


Figure 1. A subtype lattice

be early bound as ptr(S), instead $\vdash p'$: void* (adding integer to pointer does not always result in pointer of the same type) and ptr(S) <: void*. The precise type is only assigned later, when enough constraints are derived from other uses, e.g.:

$$*p' = m$$

where $\vdash m$: int, it then derives $\vdash p'$: ptr(int), finally $\vdash p'$: ptr(int) since ptr(int) = ptr(int) \sqcap void*.

The lack of an IR with well-defined semantics limits Van Emmerik's work, he had to use heuristics as type patterns to recognize and propagate type/subtype relations.

2.2. Improvement

Lee et al. in TIE [15] had the same idea of using type lattice for type preciseness, their deduction rules are more detail and support more cases (e.g. calls and dynamic jumps) but basically similar to Van Emmerik's. For example, the previously discussed assignment

$$p' = p + n$$

will generate $\vdash \mathsf{ptr}(T_\beta) <: \tau_{p'}$ where T_β is a type variable and $\tau_{p'}$ means type of p', but T_β is not free (never used outside the assignment) then this constraint is equivalent with $\vdash p'$: void*. The notable improvement is the use of an IR named BIL (BAP Instruction Language), this makes the type analysis simpler and more coherent.

Polymorphism. The approaches discussed until now only consider basic cases of *low-level polymorphism*, e.g. adding a pointer to an integer may result in a pointer of the same type or not, but there are more. For example, mov can freely move data between signed and unsigned values, or even a constant can behaves as different types: zero is an integer, but it can be also a NULL pointer. Another case is the indistinguishability between a pointer to a struct and a pointer to the first field of this struct. All come from the low-level appearance of *type casting*, more details can be referenced in [7].

Noonan et al. handled these problems in Retypd [22] by first using subtyping in almost all derived constraints. The effect of data moving x=y will be represented by $\vdash \tau_y <: \tau_x$. More importantly, they proposed a *type capability* model: each type variable is attached with several labels representing it capabilities. For example, the pointer dereference and assignment

will result in $\vdash \tau_p$.load $<: \tau_x$, means p is a readable pointer (.load label), and the type of the dereferenced value is a subtype of type of x. The labels on τ_p allows to represent constraints on the inner structure of p (if exists) and p itself. Retypd used lattices for subtype relations, and type analysis is proceeded on an IR, similar with TIE.

2.3. Existing implementations

Only Van Emmerik gives an open source implementation of type recovery in his Boomerang decompiler, Lee et al and Noonan et al. do not. Published recently, Ghidra [34] is an open source decompiler which has type recovery, we do not know how it works yet. Other open source decompilers, Snowman [37] or RetDec [27], do not seem focus much on this kind of analysis. There are also commercial tools whose methods are not published, most notably Hex-Rays [35] and JEB [36].

3. Type obfuscation

In this section, we present our proposal for type flattening obfuscation and techniques used in our obfuscating compiler uCc to obtain that notion. We focus only on obfuscating scalar types (pointers, integers, but not floats), supporting aggregate types (e.g. struct, nested struct) is still the ongoing work.

3.1. Notion

The type recovery approaches proposed different techniques to deal with the problem of low-level polymorphism, but a common point is to use some *subtype lattice* for the preciseness of inferred types. In the lattice, the bottom type \bot means that the variable violates some constraints in the type system [15]. Ideally, \bot should not occur since in the worst case, the decompiler can simply simulate the "weak" type system of the low-level language, we consider only \top .

The top type \top means universal or any, intuitively if a variable is of type \top then we only know the most trivial information about its type. The idea of *type flattening* is similar, removing useful information about type of an object means making the type recovery algorithm infer the object's type as \top .

Definition 1. A high-level object is called type flattened up to a type inference algorithm with subtyping if its type is inferred as \top in the subtype lattice of the algorithm.

Uncertainty. Unsurprisingly, under some real world conditions, ⊤ type does not mean we do not know anything, we actually know some properties. Recall that in our context, the binaries are disassemblable, function boundaries can be recognized correctly. Thus the binary, as our goal is to make it reusable, must respect the ABI (Application Binary Interface). For example, AMD64 System V ABI specifies that the first parameter of a function is passed via rdi register, thus in the worst case of the binary type inference, the type of the first argument is size64. The actual type may be char∗, signed32, unsigned16, etc. but it is always subtype of size64 (see fig. 2). This is actually what are being performed by some binary raising projects [29, 31] and decompiler [16].

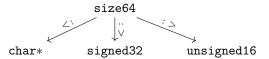


Figure 2. ⊤ as size64

Goal. Our goal in type flattening obfuscation is to make any inferred type become \top . In our specific context, under AMD SystemV ABI, it is size64. For that goal, uCc combines two techniques: data-flow disrupting and function signature masquerading. The former bypasses the intra-procedural data analysis, the later tricks the interprocedural one.

3.2. Data-flow disrupting

Though quite different about type systems, constraint generation rules and constraint solvers; later type recovery techniques follow the *data-flow based* approach proposed by Van Emmerik. Actually, most of implementation code in TIE is for the data-flow analysis and CFG building. Retypd uses an external abstract interpreter which does the data-flow analysis, this interpreter contributes greatly to the preciseness of the type inference.

A direct technique for type flattening is to disrupt the data-flow analysis, that is done by introducing *pointer aliases*. Let's look at the piece of code in listing 1, for illustration purpose we have used a pseudo-C syntax but only put type annotation on the variables whose types are supposed to be already known.

```
foo(signed32 x) {
  p = &x;
  y = *p;
  return y;
}
```

Listing 1. Direct data movement

Any type recovery method can find the return type of foo. Indeed, with TIE: $\vdash p$: ptr(signed32) from the first assignment, then the second infers $\vdash y$: signed32. Retypd gives a slightly different result \vdash signed32 <: y where y <: size32, which is also more precise.

In listing 2, we insert an alias q for p to disrupt the data-flow. TIE derives $\vdash y : \mathtt{size32}$, Retypd is not better $\vdash \mathtt{size32} < : y$ where $\vdash y < : \mathtt{size32}$.

```
foo(signed32 x) {
  p = &x;
  q = an alias of p;
  y = *q;
  return y;
}
```

Listing 2. Data movement with pointer alias

In summary, before an use (which needs to be protected) of a variable, uCc ① creates a stack slot to store the variable, ② generates an alias for the stack slot, ③ loads the variable from the alias.

Pointer alias generation. Thanks to results about invertible *permutation polynomials* [12], the generation of pointer aliases become straightforward. Basically, for each

case where a pointer needs to be aliased, uCc generates randomly an invertible polynomial MBA expression P over $\mathbb{Z}/2^n$ where n is the machine word bit size (n=64), and its inverse $Q=P^{-1}$ (see fig. 4 for an example of P with degree 7 and its inverse Q), the aliases of some value v is Q(P(v)). The calculation of Q(P(v)) is embedded into the generated binary code.

3.3. Function signature masquerading

While types of local variables can be obfuscated by disrupting the data-flow, obfuscating types of function parameters needs different techniques. For inter-procedural type analysis, the data-flow disrupting still works because the type of passed arguments are already hidden: they are local variables of the calling function. But for intraprocedural type analysis, we can still hide the sign property (signed, unsigned) or whether they are pointers or not, but we cannot hide their size (recall that our goal is to obtain \top for all referred types). That is because of the size of a function parameter can be detected by looking at the register used to access this parameter inside the function. For example, if the first argument is of type int (i.e. signed32) then the register edi is used, not rdi. The parameter size is masqueraded by the following techniques:

Signature rewriting. For each function, uCc keeps an original copy of the signature but creates another signature where size of each parameter is extended to size64. The masqueraded signature is used for any call to the function in the translation unit, so for from an external (but in the translation unit) view, the function behaves as it has the masqueraded signature. The original signature is used inside the function.

Trampoline block. In the function, uCc creates a trampoline as the pseudo-entry basic block, this trampoline uses the masqueraded signature to retrieve the parameters but then convert them to the real ones using the original signature. The conversion applies the data-flow disrupting to hide the source of the parameters. The real parameters then passed into the real entry basic block.

Semantics gap and type erasure. The techniques used for function signature masquerading have actually profited from *semantics gap* between the high-level and the machine language. In the high-level language, a function parameter has some high-level type and the program will not type check if this type violates the type system (e.g. passing an integer in a function whose the parameter type is pointer). But the ABI does not have such a constraint, it simply states that, for example, the first parameter is passed via rdi, no matter what its type is. In another words, the semantics gap is an instance of *type erasure*.

We can see also that the signature rewriting and inner trampoline profit the low-level polymorphism to wrap a type by its supertype, this is exactly an inverse process of binary type recovery which tries to unveil a type to get the underlying subtype.

3.4. Other obfuscation tricks

uCc implements also some supplemental techniques to augment its resilience from type analysis algorithms and general deobfuscation efforts.

Split and merge. To bypass the type constraints generated from load/store operations in TIE, as well as the derived types .load/.store in Retypd. *uCc* may split the loading of a value into several loads, each of them retrieves a part of the value, then merges these parts. This is a basic but useful obfuscation trick.

MBA rewriting. uCc uses some MBA rewriting rules to obfuscate basic arithmetic operations, add, and, or, xor. The rules are taken from [26].

$$\begin{aligned} x+y &\to (x \wedge y) + (x \vee y) \\ x \vee y &\to (x+y) - (x \wedge y) \\ x \times y &\to (x \wedge y) \times (x \vee y) + (x \wedge \bar{y}) \times (\bar{x} \wedge y) \end{aligned}$$

Figure 3. Some rewriting rules used in uCc

Code diversity. *uCc* is a *probabilistic compiler*, the generation of pointer aliases is not the same over generated binary codes: the coefficients and even the degree of invertible polynomials are generated randomly for each run of *uCC*. The number of split parts in a value loading is randomized, as well as the size of each part.

4. Implementation

The open source implementation in Rust of uCc is given at [38]. The frontend is implemented from scratch, the code generation part of the backend uses Cranelift [33]. Beside the final result is an obfuscated ELF object file, uCc has also several options, for example JIT code generation, showing IR code, and selecting obfuscation level.

Notes. The principal goal of uCc is type flattening obfuscation, but there is another requirement that is the capability of evaluating the single effect of type flattening. Concretely, we want that the functions in binaries generated by uCc would be perfectly detectable, disassemblable and decompilable (but with flattened types). For these purposes, we try to avoid any other obfuscation tricks that may bring unwanted effects. Many popular obfuscation techniques [13, 28] (control-flow flattening, dead-code insertion, virtualization, code packing, self-modifying code, etc) cannot be applied because they either protect the binaries from disassembling, or prevent the detection of functions in the binary, as well as obfuscating the function control-flow graph.

5. Evaluation

We test outputs of uCc against some decompilers, Table 1 describes briefly tested functions and their signatures in source codes, Table 2 shows results of decompilers on binaries generated by uCc.

- $P(x) = 562949953421312 6992785447134243183 \times x + 9007199254740992 \times x^2 + 1125899906842624 \times x^3 + 8796093022208 \times x^4 + 4611686018427387904 \times x^5 + 35184372088832 \times x^6 9223372036854775808 \times x^7 + 36184372088832 \times x^6 + 3618437208842 \times x^6 + 3618447208842 \times x^6 + 361844720884 \times x^6 + 36184472084 \times x^6 + 3618447474 \times x^6 + 361847474 \times x^6 + 361847474 \times x^6 + 361847474 \times x^6 + 361847474$
- $Q(x) = -6116451243922554880 + 8805192775852862065 \times x 5341269158061408256 \times x^2 4540754324296302592 \times x^3 + 2440754324296302592 \times x^3 + 244075442962 \times x^3 + 244075442962 \times x^3 + 244075442962 \times x^3 + 2440754429 \times x^3 + 2440764429 \times x^3 + 24407644429 \times x^3 + 24407644444 \times x^3 + 2440764444 \times x^3 + 2440764444 \times x^3 + 2440764444 \times x^3 + 2440764444 \times x^3 + 24407644444 \times x^3 + 2440764444 \times x^3 + 244076444 \times x^3 + 2440764444 \times$
 - $-6409053278306304000 \times x^4 4611686018427387904 \times x^5 1711965992726298624 \times x^6 9223372036854775808 \times x^7 + 1711965992726298624 \times x^8 + 171196599272629862 \times x^8 + 1711965992726296 \times x^8 + 1711965992726296 \times x^8 + 17119659676 \times x^8 + 171196696 \times x^8 + 1711966 \times x^8 + 171196 \times x^$

int collatz(int n)

Figure 4. An invertible polynomial and its inverse generated by uCc

Description Signature identity int id(int) division int div(short, char) modular char mod(short, char) increase $p \leftarrow p + 1$ then dereference int inc_deref(int *p) strlen slen(char *s) int sdbm hash int sdbm(char *s) djb2 hash int djb2(char *s) sum of array a long sum(short *a, int *n*-th fibonaci number (recursive impl.) int fibo(int n)

TABLE 1. FUNCTIONS AND ORIGINAL TYPES

6. Related work

there is still no explicit effort in obfuscating high-level types. There would be several reasons for this lack of effort

num. of steps until $n \rightsquigarrow 1$ (Collatz's conj.)

First, type obfuscation is unsurprisingly a side effect of data or control flow obfuscation. Indeed, type reconstruction algorithms need data and control flow to build type constraints, if any of them is hidden then the algorithms cannot work correctly. Or if the function boundary is not found (because of anti-disassembly tricks, for example), then high-level objects cannot be recognized. Second, high-level types seem too coarse to be worthy of being protected, in many cases just knowing certain values of the input which make the program exploitable is enough. But knowledge about types expands attack surfaces because more analysis can be proceeded, beside decompilation see the survey [19] for a more complete list.

Acknowledgment

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- [37] Snowman decompiler. URL: http://derevenets.com/.
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 TABLE 2. TYPE FLATTENING EFFECT

Original type	Hex-Rays	JEB	Ghidra	Snowman	RetDec
int id(int)	int64 id(int64)	ulong id(ulong)	ulong id(void)	int64 id(int64)	int64 id(int64)
short div(short, char)	int64 div (uint64, uint64)	div_t div(int, int)	div_t div(int, int)	int64 div(int64, int64)	int64 div(int64, int64)
char mod(short, char)	int64 mod(int64, int64)	ulong mod(ulong, ulong)	ulong mod(void)	int64 mod(int64, int64)	int64 mod(int64, int64)
int inc_deref(int*)	int64 inc_deref(int64)	ulong inc_deref(ulong)	ulong inc_deref(undefined8)	int64 inc_deref(uint64)	int64 int_deref(int64)
int slen(char*)	int64 slen(int64)	ulong slen(ulong)	ulong slen(undefined8)	int64 slen(uint64)	int64 slen(int64)
int sdbm(char*)	int64 sdbm(uint64)	ulong sdbm(ulong)	ulong sdbm(undefined8)	int64 sdbm(uint64)	int64 sdbm(int64)
int djb2(char*)	int64 djb2(uint64)	ulong djb2(ulong)	ulong djb2 (undefined8)	int64 djb2(uint64)	int64 djb2(int64)
long sum(short*, int)	int64 sum(int64, uint64)	ulong sum(ulong, ulong)	undefined8 sum(undefined8)	int64 sum(uint64, int64)	int64 sum(int64, int64)
int fibo(int)	int64 fibo(uint64)	ulong fibo(ulong)	ulong fibo (undefined8)	int64 fibo(int64)	int64 fibo(int64)
int collatz(int)	int64 collatz(int64)	ulong collatz (ulong)	ulong collatz(undefined8)	int64 collatz (int64)	int64 collatz(int64)