

Languages and Algorithms for Artificial Intelligence (Third Module) **The Computational Model**

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The Need of a Model

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 - ▶ It should be as simple as possible, to *facilitate proofs*.
 - ▶ It must be able to simulate with reasonable overhead *all physically realistic* machines.

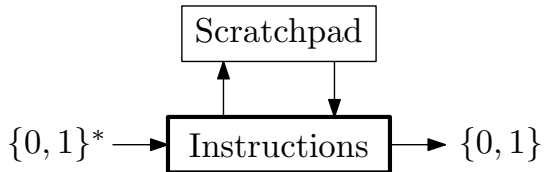
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 - ▶ It should be as simple as possible, to *facilitate proofs*.
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- ▶ There is a universally accepted model of computation, that we will take as our reference model, namely the **Turing Machine**.
 - ▶ This part of the module is specifically about it.

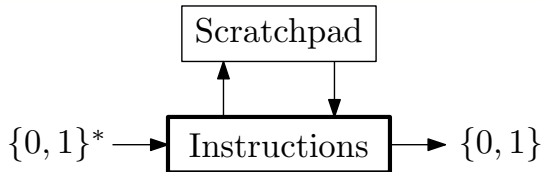
Part I

The Model, Informally

How to Compute $f : \{0, 1\}^* \rightarrow \{0, 1\}$

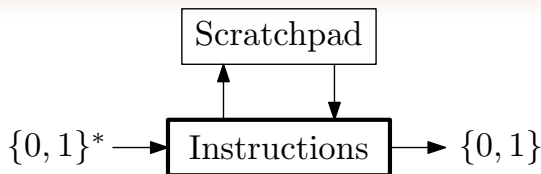


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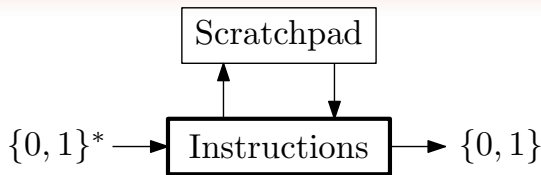
- ▶ The set of instructions to be followed is fixed (and should work for every input x), and finite.
- ▶ The same instruction can be used potentially many times.
- ▶ Every instruction proceeds by:
 - ▶ Reading a bit of the input;
 - ▶ Reading a symbol from the scratchpad;and based on that decide what to do next, namely:
 - ▶ either write symbol to the scratchpad, and proceed to another instruction;
 - ▶ or declare the computation finished, by stopping it and outputting either 0 or 1.

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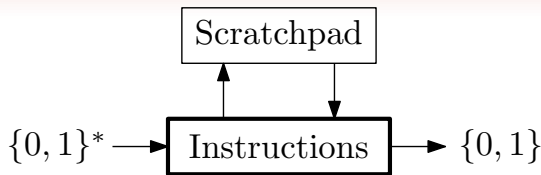
- ▶ The **running time** of this machine/process/algorithm on x is simply the number of these basic instructions which are executed on a certain input x .
- ▶ We say that the machine **runs in time** $T(n)$ if it performs *at most* $T(n)$ instructions on input strings *of length* n .

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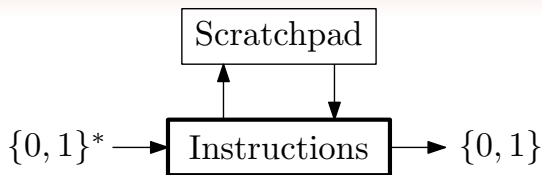
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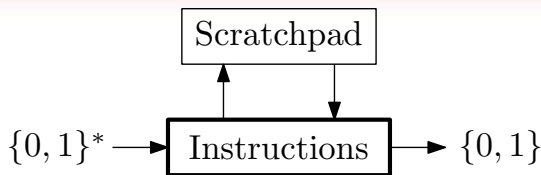
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- ▶ The model is **robust** to many tweaks in the definition, (e.g. changing the alphabet, allowing multiple scratchpads rather than one): the simplest model can simulate the more complicated with *a polynomial overhead* in time.
- ▶ Since there are finitely many instructions, machine descriptions can be **encoded as binary strings** themselves.

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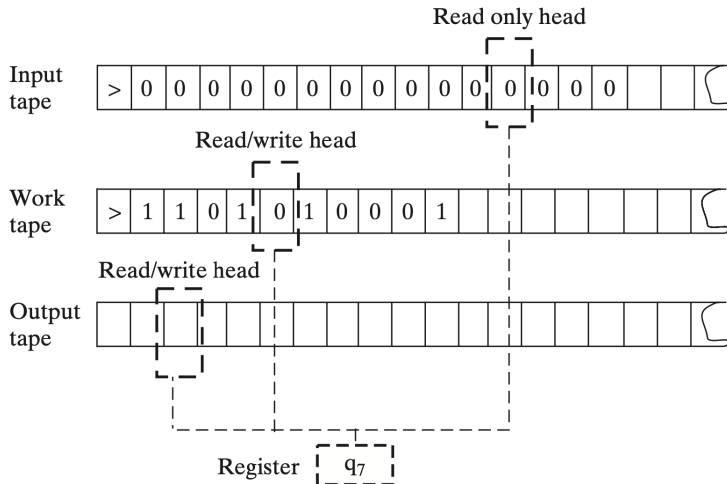


- ▶ Given a string α , we indicate as \mathcal{M}_α the Turing Machine α encodes.
- ▶ There is a so-called **Universal** Turing Machine \mathcal{U} , which simulates any other Turing Machine given its string representation: from a pair of strings (x, α) , the machine \mathcal{U} simulates the behaviour of \mathcal{M}_α on x .
 - ▶ The simulation is very efficient: if the running time of \mathcal{M}_α were $T(|x|)$, then \mathcal{U} would take time $O(T(|x|) \log T(|x|))$.
- ▶ There are functions $f : \{0, 1\}^* \rightarrow \{0, 1\}$ which are **intrinsically uncomputable** by Turing Machines, and this can be proved formally.
 - ▶ This has intimate connections to Gödel's famous **incompleteness theorem**.

Part II

The Model, Formally

A More Detailed View



The Scratchpad(s)

- ▶ It consists of k **tapes**, where a tape is an infinite one-directional line of cells, each of which can hold a symbol from a finite alphabet Γ , the *alphabet* of the machine.
- ▶ Each tape is equipped with **tape head**, which can read or write one symbol at a time *from* or *to* the tape. Each head can move left or right.
- ▶ Some of the tapes can be designated as **input tapes**, and are read-only.
- ▶ The last tape can be taken as the **output tape**, and in that case contains the result of the computation.
 - ▶ This slightly deviates from what we have said in our informal account, and is needed to compute arbitrary functions on $\{0, 1\}^*$.
 - ▶ The output tape(s) can be absent, and in that case the result can be taken as 0 or 1 depending on the *final state*.

The Instructions

- ▶ The machine has a finite set of *states*, called Q , which determine the action to be taken at the next step.
- ▶ At *each step*, the machine:
 1. **Read** the symbols under the k tape heads.
 2. For the $k - 1$ read-write tapes, **replace** the symbol with a new one, or leave it unchanged.
 3. **Change** its state to a new one.
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 4. **Move** each of the k tape heads to the left or to the right (or stay in place).
- ▶ These instructions are of course very basic, and far from being close to the kind of instructions programming languages offer.
 - ▶ The point here is to have a *simple*, but *expressive* model.

The Formal Definition

A **Turing Machine** (TM for short) working on k tapes is described as a triple (Γ, Q, δ) containing

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- ▶ A finite set Q of **states** which includes a designated *initial state* q_{init} and a designated final state q_{halt} .
- ▶ A **transition function**

$$\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^{k-1} \times \{\text{L}, \text{S}, \text{R}\}^k$$

describing the instructions regulating the functioning of the machine at each step.

- ▶ When the first parameter is q_{halt} , then δ cannot touch the tapes nor the heads:

$$\delta(q_{\text{halt}}, (\sigma_1, \dots, \sigma_k)) = (q_{\text{halt}}, (\sigma_2, \dots, \sigma_k), (\text{S}, \dots, \text{S}))$$

and the machine is *stuck*.

Machine Configurations and Computations

Given a TM $\mathcal{M} = (\Gamma, Q, \delta)$ working on k tapes:

- ▶ A **configuration** consists of
 - ▶ The current state q .
 - ▶ The contents of the k tapes.
 - ▶ The positions of the k tape heads.

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 - ▶ The current state is q_{init} .
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- ▶ A **final configuration** for the output $y \in \{0, 1\}^*$ is any configuration whose state is q_{halt} and in which the content of the output tape is $\triangleright y$, followed by blank symbols.

Machine Computations

Given a TM $\mathcal{M} = (\Gamma, Q, \delta)$ working on k tapes:

- ▶ Given any configuration C , the transition function δ determines in a natural way the next configuration D , and we write $C \xrightarrow{\delta} D$ if this is the case.
- ▶ We say that \mathcal{M} **returns** $y \in \{0, 1\}^*$ **on input** $x \in \{0, 1\}^*$ **in t steps** if

$$\mathcal{I}_x \xrightarrow{\delta} C_1 \xrightarrow{\delta} C_2 \xrightarrow{\delta} \dots \xrightarrow{\delta} C_t$$

where C_t is a final configuration for y . We write $\mathcal{M}(x)$ for y if this holds.

- ▶ Finally, we say that \mathcal{M} **computes** a function $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$ iff $\mathcal{M}(x) = f(x)$ for every $x \in \{0, 1\}^*$. In this case, f is said to be **computable**.
 - ▶ Beware: for the moment, we do not put any constraint *on the number of steps* \mathcal{M} needs to compute $f(x)$ from x .

The Expressive Power of TMs

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 - ▶ This is fine, *provided* program or algorithm instructions can be **simulated** by TMs.
- ▶ There are many other formalisms which are perfectly equivalent to TMs as for the class of computable functions they induce.
 - ▶ Examples: Random Access Machines, the λ -calculus, URM's, Partial Recursive Functions, ...

Efficiency and Runtime

- ▶ A TM \mathcal{M} computes a function $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$ **in time** $T : \mathbb{N} \rightarrow \mathbb{N}$ iff \mathcal{M} returns $f(x)$ on input x in a number of steps smaller or equal to $T(|x|)$ for every $x \in \{0, 1\}^*$. In this case, f is said to be **computable** in time T .

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 - ▶ Just **one tape**, rather than many.
 - ▶ Tapes can be infinite **in both directions**.
- ▶ In all the cases above (and in many others), one can prove that the more restrictive notion of machine **simulates** the more general one **with polynomial overhead**.
 - ▶ We do not have time to see all that, but you are encouraged to take a look at [AroraBarak2009], Section 1.3.1.

Machines as Strings

- ▶ One of the very nice consequences of keeping our definition of a Turing Machine very simple is that any machine $\mathcal{M} = (\Gamma, Q, \delta)$ is in fact completely determined from the graph of δ , seen as a subset of

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- ▶ Any parsimonious encoding of δ as a binary string in $\{0, 1\}^*$ thus constitutes an acceptable encoding $\ulcorner \mathcal{M} \urcorner$ of \mathcal{M} , provided the following two conditions are satisfied:
 1. **Every string** in $\{0, 1\}^*$ represents a TM, i.e. for every $x \in \{0, 1\}^*$ there is \mathcal{M} such that $x = \ulcorner \mathcal{M} \urcorner$.
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 2. Every TM \mathcal{M} is represented by an **infinitely many strings** (although exactly one is the “canonical” representation $\ulcorner \mathcal{M} \urcorner$ of \mathcal{M}).
- ▶ The two conditions above are not essential in any other contexts (i.e. when the encoded data is not a program), but are technically crucial here.

The Universal Turing Machine

Theorem (UTM, Efficiently)

There exists a TM \mathcal{U} such that for every $x, \alpha \in \{0, 1\}^$, it holds that $\mathcal{U}(x, \alpha) = \mathcal{M}_\alpha(x)$, where \mathcal{M}_α denotes the TM represented by α . Moreover, if \mathcal{M}_α halts on input x within T steps then $\mathcal{U}(x, \alpha)$ halts within $CT \log(T)$ steps, where C is independent of $|x|$ and depending only on \mathcal{M}_α .*

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- ▶ A proof of the Theorem above in its full generality requires quite a bit of work.
- ▶ More specifically, one has to encode configurations of Turing machines as strings, and prove that \mathcal{U} can simulate \mathcal{M}_α for every α .

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- ▶ This would be a contradiction, because by definition

$$uc(\ulcorner \mathcal{M} \urcorner) = 1 \Leftrightarrow \mathcal{M}(\ulcorner \mathcal{M} \urcorner) \neq 1 \Leftrightarrow uc(\ulcorner \mathcal{M} \urcorner) = 0$$

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- ▶ This result could be seen as a way to reinterpret Gödel's first incompleteness theorem “computationally”.

Diophantine Equations

- ▶ A **diophantine equation** is a polynomial equality with integer coefficients and finitely many unknowns.
 - ▶ **Examples:**

$$x^2 + 3y = 2x + 1 \qquad x^4 + 3x^3 + y - z = 12$$

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Theorem (MDPR Theorem)

The problem of determining whether an arbitrary diophantine equation has a solution is undecidable.

Rice's Theorem

- ▶ A language $\mathcal{L} \subseteq \{0,1\}^*$ is **semantic** when the following condition holds: if \mathcal{M} and \mathcal{N} compute the same function, then $\ulcorner \mathcal{M} \urcorner \in \mathcal{L}$ if and only if $\ulcorner \mathcal{N} \urcorner \in \mathcal{L}$.

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- ▶ Semantic languages can be seen as extensional properties of programs.
 - ▶ **Examples:** the set of all machines which compute a certain function, the set of all terminating programs, the set of all programs which never output a certain “bad” string.

Rice's Theorem

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Theorem (Rice's Theorem)

Every semantic decidable language \mathcal{L} is trivial, i.e. either $\mathcal{L} = \emptyset$ or $\mathcal{L} = \{0,1\}^$.*

How to Prove a Problem to be *Computable*?

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How to Prove a Problem to be *Computable*?

- ▶ One can of course **construct a TM** which computes the given function or that decides the given language.
- ▶ One can describe, in a more informal way, **an algorithm** which itself computes the function or decides the language.
 - ▶ It is of course crucial to be sure that all steps the algorithm perform, i.e., all instructions, are elementary, or at least compute functions which are already known to be computable.
 - ▶ In doing so, one can use other algorithms as “subroutines”.
 - ▶ Evaluating the performances of algorithms defined this way is possible, but often not so precisely.

How to Prove a Problem to be *Uncomputable*?

- ▶ You can prove that the problem under consideration, call it \mathcal{L} is **at least as hard** as a problem you already know to be undecidable, call it \mathcal{G}
 - ▶ You have to show that there is a computable way ϕ of turning strings in $\{0, 1\}^*$ into strings in $\{0, 1\}^*$ in such a way that

$$s \in \mathcal{G} \Leftrightarrow \phi(s) \in \mathcal{L}$$

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- ▶ This way, any hypothetical algorithm for \mathcal{L} would be turned into one for \mathcal{G} , which cannot exist however.
- ▶ You can use results like **Rice's Theorem**.

Thank You!

Questions?