## Rust Memory Management

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## Introduction

#### Rust's basic idea to memory management

- Rust maintains that, for any live object,
  - 1. there is one and only one pointer that "owns" it (the owning pointer)
  - 2. there are any number of non-owning pointers to it *(borrowing pointers)*
  - 3. borrowing pointers cannot be dereferenced after the owning pointer goes away
- $\Rightarrow$  it can safely reclaim the data when the owning pointer goes away

```
borrow
own
borrow
```

"single-ownership rule"

```
{
  let a = S{x: ..., y: ...};
  ...
} // what a points to will be gone here
```

### The rules are enforced statically

- Rust enforces the rules (or, detect violations thereof)
  - statically, not dynamically
  - compile-time, not at runtime
  - before execution, not during execution

"borrow checker"

## Escaping from the single ownership model

- there are actually some ways to get around the rules
- 1. reference counting pointers (≈ multiple owning pointers)
  - counts the number of owners *at runtime*, and reclaim the data when all these pointers are gone
- 2. unsafe/raw pointers (≈ totally up to you)

they are not specific to Rust, and we'll not cover them below

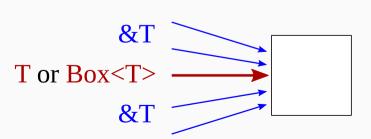
## **Rust Basics**

## Pointer-like data types in Rust

```
given a type T (i32, struct, enum, ...), below are types representing "references (pointers) to T"
```

- 1. T: owning pointer to T
- 2. BoxT> (pronounced "box T"): owning pointer to T
- 3. &T (pronounced "ref T"): borrowing pointer to T
- 4. Rc < T > and Arc < T >: shared (reference-counting) owning pointer to T
- 5. \*T: unsafe pointer to T

following discussions are focused on T, Box<T> and &T



#### Pointer-making expressions

given an expression e of type T, below are expressions that make pointers to the value of e (besides e itself)

- Box::new(e) (of type Box<T>): an owning pointer
- &e (of type &T): a borrowing pointer

#### An example

- note: type of variables can be omitted (spelled out for clarity)
- note: the above program violates several rules so it does not compile

# **Owning Pointers**

### Assignments of owning pointers

• to maintain the "single-owner" rule, an assignment of owning pointers in Rust *does not copy*, *but moves it* out of the righthand side, disallowing further use of it

```
b = a; // a cannot be used below

fn foo() {
    let a = S{x: ..., y: ...};
    ... a.x ...; // OK, as expected
    ... a.y ...; // OK, as expected
```

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### Assignments of owning pointers

• to maintain the "single-owner" rule, an assignment of owning pointers in Rust *does not copy, but moves it* out of the righthand side, disallowing further use of it

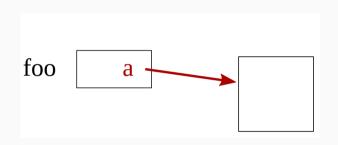
```
b = a; // a cannot be used below

fn foo() {
   let a = S{x: ..., y: ...};
   ... a.x ...; // OK, as expected
   ... a.y ...; // OK, as expected
   // the reference moves out from a
   let b = a;
   a.x; // NG, the value has moved out
   b.x; // OK
}
```

#### Argument-passing also moves the reference

• passing a value to a function also moves the reference out of the source

```
fn foo() {
  let a = S{x: ..., y: ...};
    ... a.x ...; // OK, as expected
    ... a.y ...; // OK, as expected
```

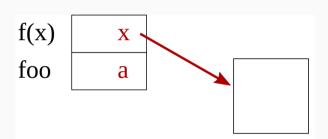


}

#### Argument-passing also moves the reference

• passing a value to a function also moves the reference out of the source

```
fn foo() {
  let a = S{x: ..., y: ...};
  ... a.x ...; // OK, as expected
  ... a.y ...; // OK, as expected
  // moves the reference out of a
  f(a);
  a.x; // NG, the reference has moved
}
```



### Exceptions to "assignment moves the reference"

• you may notice the moving assignment contradicts what you have seen

```
b = a; // a cannot be used after this
```

• if it applies everywhere, does the following program violate it?

```
fn foo() -> f64 {
  let a = 123.456;
  let b = a; // does the reference to 123.456 move out from a!?
  a + 0.789 // if so, is this invalid!?
}
```

- answer: no, it does *not* apply to primitive types like i32, f64, etc.
- more generally, it does not apply to data types that implement Copy trait

#### Copy trait

• define your struct with #[derive(Copy, Clone)] like

```
#[derive(Copy, Clone)]
struct S { ... }
```

•  $\Rightarrow$  assignment or argument-passing of S *copies* the righthand side

```
fn foo() {
  let a = S{x: ..., y: ...};
  a.x; // OK, as expected
  a.y; // OK, as expected
```

note: copy types trivially maintain the single-owner rule

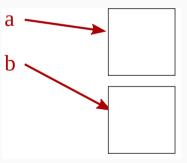
#### Copy trait

• define your struct with #[derive(Copy, Clone)] like

```
#[derive(Copy, Clone)]
struct S { ... }
```

•  $\Rightarrow$  assignment or argument-passing of S *copies* the righthand side

```
fn foo() {
   let a = S{x: ..., y: ...};
   a.x; //OK, as expected
   a.y; //OK, as expected
   // the value is copied
   let b = a;
   a.x; //OK
   b.x; //OK, too
}
```



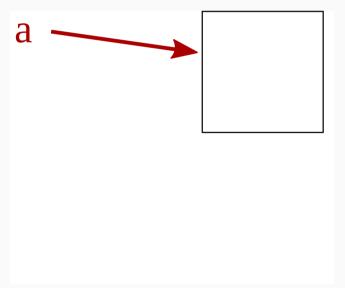
 note: copy types trivially maintain the single-owner rule

# Box< T > type

#### Box<T> makes an owning pointer

• making a pointer by Box::new(v) moves the reference out of v, too, and Box::new(v) becomes the owning pointer

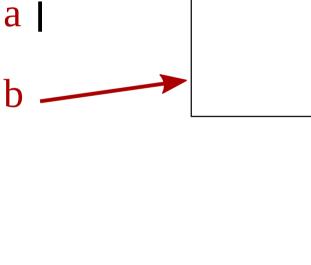
```
fn foo() {
  let a = S{x: ..., y: ...};
  a.x; //OK, as expected
  a.y; //OK, as expected
}
```



#### Box<T> makes an owning pointer

• making a pointer by Box::new(v) moves the reference out of v, too, and Box::new(v) becomes the owning pointer

```
fn foo() {
  let a = S{x: ..., y: ...};
  a.x; //OK, as expected
  a.y; //OK, as expected
  //OK, now b is the owning pointer
  let b = Box::new(a)
  a.x; //NG, the value has moved out
  (*b).x; //OK
  b.x; //OK. abbreviation of (*b).x
}
```



#### Difference between T and Box<T>?

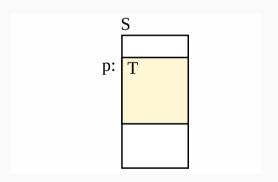
• as you have seen, the effects of

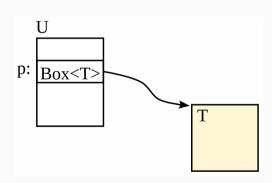
```
let b = a
and
let b = Box::new(a)
look very similar (identical)
```

- as far as data lifetime is concerned, it is in fact safe to say they are
- Rust has distinction between them for
  - 1. specifying data layout
  - 2. allowing dynamic dispatch only for Box<T>
  - 3. specifying where data are allocated (stack vs. heap)

## Data layout differences between T and Box<T>

- S and U below have different data layouts
  - ▶ struct S { ..., p: T, } "embeds" a T into S
  - struct U { ..., p: Box< T>, } has p point to a separately allocated T





### Data layout differences between T and Box<T>

- in particular, Box < T > is essential to define recursive data structures
  - ▶ struct S { ..., p: S, } is not allowed, whereas
  - ▶ struct U { ..., p: Box<U>, } is
- note: U above can never be constructed; a recursive data structure typically looks like
  - ▶ struct U { ..., p: Option<Box<U>>>, }

## Data layout differences between T and Box<T>

• the distinction is insignificant when discussing lifetimes



- in both cases, data of T (yellow box) is gone exactly when the enclosing structure is gone
- another difference is that Rust allocates T on stack and move it to heap when  ${\sf Box}{<}T{>}$  is made
  - ▶ but again, it has nothing to do with lifetime (unlike C/C++)

### Owning pointers and control flows

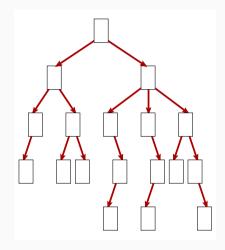
- Rust compiler determines, for each variable of owning pointer type (T or Box<T>), at which point the variable can be used (i.e., the value has not been moved out)
- it may be a *conservative* estimate

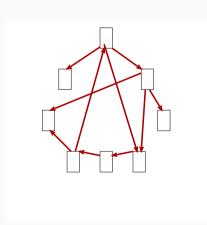
```
fn foo() {
  let a = S{x: ..., y: ...};
  if ... {
    let b = a;
  }
    ... a.x ... // NG
}

fn foo() {
  let a = S{x: ..., y: ...};
  for ... {
    let b = a; // NG
  }
}
```

## A (huge) implication of the single-owner rule

- with only owning pointers (T and Box<T>),
  - ▶ you can make *a tree* of data,
  - but you cannot make a general graph with joins or cycles, where a node may be pointed to by multiple nodes
- to make a graph whose nodes are T, use either
  - &T to represent edges, or
  - Vec<T> to represent nodes and Vec<(i32, i32)> to represent edges

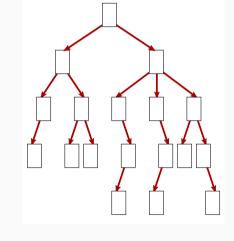




## The (huge) implication to memory management

- with only owning pointers (i.e., no borrowing pointers)
- whenever an owning pointer is gone (e.g.,
  - a variable goes out of scope or
  - ▶ a variable or field is overwritten),

the entire tree rooted from the pointer can be safely reclaimed



• Rust exactly does that, with the additional guarantee that *borrowing* pointers are never dereferenced after its owning pointer is gone

# Borrowing pointers (&T)

#### Basics

- you can derive any number of borrowing pointers (&T) from T or Box<T>
- the owning pointer remains valid after a borrowing pointer has been made

```
let a = S{x: .., y: ..};
let b = &a;
... a.x + b.x ... // OK
```

• the issue is how to prevent a program from *dereferencing borrowing* pointers after its owning pointer is gone

• a borrowing pointer cannot be dereferenced after its owning pointer is gone

}

• a borrowing pointer cannot be dereferenced after its owning pointer is gone

```
fn foo() -> i32 {
  let c: &S; // a reference to S
  { // an inner block
    let b: &S; // another reference
```

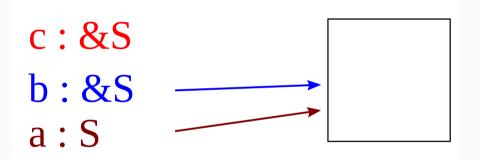
c:&S

b: &S

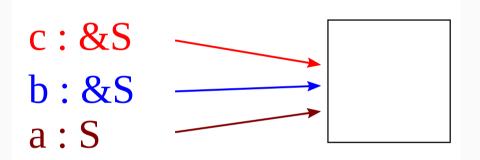
```
fn foo() -> i32 {
  let c: &S; // a reference to S
  { // an inner block
    let b: &S; // another reference
    let a = S\{x: ...\}; // allocate S
```

```
c:&S
b:&S
a:S
```

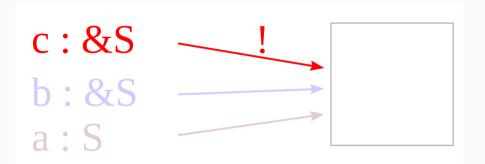
```
fn foo() -> i32 {
  let c: &S; // a reference to S
  { // an inner block
    let b: &S; // another reference
    let a = S\{x: ...\}; // allocate S
    // OK (both a and b live only until the end of
the inner block)
    b = &a;
```



```
fn foo() -> i32 {
  let c: &S; // a reference to S
  { // an inner block
    let b: &S; // another reference
    let a = S\{x: ...\}; // allocate S
    // OK (both a and b live only until the end of
the inner block)
    b = &a;
    c = b; // dangerous (c outlives a)
```



```
fn foo() -> i32 {
  let c: &S; // a reference to S
  { // an inner block
    let b: &S; // another reference
    let a = S\{x: ...\}; // allocate S
    // OK (both a and b live only until the end of
the inner block)
    b = &a;
    c = b; // dangerous (c outlives a)
  } // a dies here, making c a dangling pointer
  C. X // NG (deref a dangling pointer)
```



## A mutable borrowing reference (&mut T)

• data cannot be modified through ordinary borrowing references &T

```
let a : S = S{x: 10, y: 20};
let b : &S = &a;
b.x = 100; //NG
```

- i.e., &T is the type of *immutable* references
- you can modify data only through a mutable reference (&mut T)

```
let mut a : S = S{x: 10, y: 20};
let b : &mut S = &mut a;
b.x = 100; //OK
```

• the difference is largely orthogonal to memory management

# **Borrow-checking details**

# A technical remark about the borrow-checking

- it's *not* dangling pointers, *per se*, that are prevented, but their *dereferencing*
- the previous code compiles as long as c is not dereferenced

```
fn foo() -> i32 {
  let c: &S; // a reference to S
  {    // an inner block
    let b: &S; // another reference
    let a = S{x: ...}; // allocate S
    // OK (both a and b live only until the end of the inner block)
    b = &a;
    c = b; // dangerous (c outlives a)
  } // a dies here, making c a dangling pointer
    // c.x don't deref c
}
```

# How borrow-checking works: lifetime

- *lifetime* of data
  - program points where the data has not been deallocated
  - program points where the data's owning pointer is valid
- for each borrowing pointer, Rust compiler determines the *lifetime* of data it points to *(referent lifetime)* as its static type
- upon assignment p = q between borrowing pointers, it demands

referent lifetime of  $p \subset$  referent lifetime of q

# How borrow-checking basically works

```
fn foo() -> i32 {
  let c: &S;
  {
    let b: &S;
    let a = S{x: ...}; // lives until α
}
```

- 1. the owning pointer a's lifetime is the inner block; call it  $\alpha$  (...)
- 2. let  $\beta$  and  $\gamma$  be referent lifetimes of b and c, respectively

# How borrow-checking basically works

```
fn foo() -> i32 { let c: &S; } { let b: &S; let a = S{x: ...}; // lives until \alpha b = &a; // b's referent lifetime \subset a's = \alpha c = b; // c's referent lifetime \subset b's = \alpha ... \alpha }
```

- 1. the owning pointer a's lifetime is the inner block; call it  $\alpha$  (...)
- 2. let  $\beta$  and  $\gamma$  be referent lifetimes of b and c, respectively
- 3. due to the assignments,

• b = &a 
$$\Rightarrow \beta \subset \alpha$$

• c = b 
$$\Rightarrow \gamma \subset \beta (\subset \alpha)$$

# How borrow-checking basically works

```
fn foo() -> i32 {
  let c: &S;
  {
    let b: &S;
    let a = S{x: ...}; // lives until \alpha

    b = &a; // b's referent lifetime \subset a's = \alpha
    c = b; // c's referent lifetime \subset b's = \alpha ... \alpha
}

c.x // NG (deref outside c's referent lifetime = \alpha)
}
```

- 1. the owning pointer a's lifetime is the inner block; call it  $\alpha$  (...)
- 2. let  $\beta$  and  $\gamma$  be referent lifetimes of b and c, respectively
- 3. due to the assignments,
  - b = &a  $\Rightarrow \beta \subset \alpha$
  - c = b  $\Rightarrow \gamma \subset \beta (\subset \alpha)$
- 4. dereference c.x must be  $\subset \gamma$  ( $\subset \alpha$ ), which is not the case (i.e., invalid)

# Programming with borrowing references

- in more general cases, programs using borrowing references must help compilers track their referent lifetimes
- this must be done for functions called from unknown places, function calls to unknown functions and data structures
- to this end, the programmer sometimes must annotate *reference types with their referent lifetimes*

#### References in function calls

how to check the validity of a functions call without knowing its body?

```
let r : &i32;
let a = 123;
  let b = 456;
    let c = 789;
    r = foo(&a, &b, &c);
```

\*r should be safe if f(p, q,
 r) returns a reference whose referent lifetime contains (†);
 i.e., p

 how to check the validity of dereferencing references obtained from a data structure

```
struct A { b : &B }
struct B { c : &C }
struct C { x : i32 }
...
let c = C{x : 123};
let b = B{c : &c};
let mut a = A{b : &b};
```

```
a.b.c.x // 0K?
```

 how to check the validity of dereferencing references obtained from a data structure

```
struct A { b : &B }
struct B { c : &C }
struct C { x : i32 }
  let c = C\{x : 123\};
  let b = B\{c : \&c\};
  let mut a = A\{b : \&b\};
   let b2 = B\{c : \&c\};
   |a.b| = &b2;
  a.b.c.x // 0K?
```

### References in function parameters

• how to check the validity of functions taking references or structures containing references, *without knowing all its callers* 

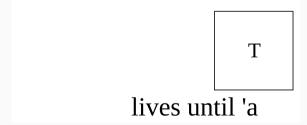
```
fn bar(a : &mut &i32, b : &i32) {
  *a = b;
}
```

• what if references are in structures ...

```
fn baz(a : &mut A, b: &B) {
  a.b = b
}
```

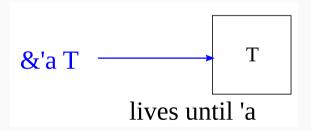
## Reference type with a lifetime parameter

• to address these problems, Rust's borrowing reference types (&T or &mut T) carry *lifetime parameter representing their referent lifetimes* 



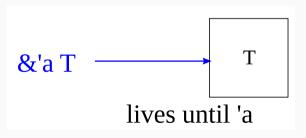
## Reference type with a lifetime parameter

- to address these problems, Rust's borrowing reference types (&T or &mut T) carry *lifetime parameter representing their referent lifetimes*
- syntax:
  - &' a T: reference to "T whose lifetime is 'a"
  - &'a mut T: ditto; except you can modify data through it



# Reference type with a lifetime parameter

- to address these problems, Rust's borrowing reference types (&T or &mut T) carry *lifetime parameter representing their referent lifetimes*
- syntax:
  - &' a T: reference to "T whose lifetime is 'a"
  - &' a mut T: ditto; except you can modify data through it
- *every* reference carries a lifetime parameter, though there are places you can omit them
- roughly, you must write them explicitly in function parameters, return types, and struct/enum fields; and can omit them for local variables



# Attaching lifetime parameters

• rule: reference types that appear in function parameters, return types, and struct/enum fields must have explicit lifetime paramters

## Attaching lifetime parameters to functions

• therefore the following does not compile:

```
fn foo(ra: &i32, rb: &i32, rc: &i32) -> &i32 {
  ra
}
```

with errors like:

# Why do we need an annotation, *fundamentally*?

• without any annotation, how to know whether this is safe, *without knowing the body of foo?* 

```
let r : &i32;
let a = 123;
 let b = 456;
   let c = 789;
   r = foo(&a, &b, &c);
```

- essentially, the compiler complains "tell me what kind of referent lifetime the reference returned by foo(&a, &b, &c) has"
- it must be inferred without knowing the body of foo, only from its type

# Attaching lifetime parameters

functions

```
fn f<'a,'b,'c,...> (p_0 : T_0, p_1 : T_1, ...) \rightarrow T_r \{ ... \}
```

• structs/enums

•  $T_0, T_1, \ldots$ , and  $T_r$  may use 'a, 'b, 'c,  $\ldots$  as lifetime parameters (e.g., & 'a i32)

# One way to attach lifetime parameters to the example

fn foo<'a>(ra: &'a i32, rb: &'a i32, rc: &'a i32) -> &'a i32

```
• effect: the return value is assumed to point to the shortest of the three
```

- why? generally, when Rust compiler finds
   foo(x, y, z), it tries to determine 'a so that
   'a ⊂ referent lifetimes of x, y, and z
- in this case,

```
'a \subset (life time of a) \cap (life time of b) \cap (life time of c) = life time of c
```

• as a result, our program does not compile, even if foo(&a,&b,&c) in fact returns &a

```
let r: &i32;
  let a = 123;
    let b = 456;
       let c = 789;
       r = foo(&a, &b, &c);
      // 'a \leftarrow \alpha \cap \beta \cap \gamma = \gamma
      // and r's type becomes &\gamma i32
    } // c's lifetime (= \gamma) ends here
  } // b's lifetime (= \beta) ends here
  *r // NG, as we are outside \gamma
```

#### An annotation that works

```
• signifies that the return value points to data whose lifetime is ra's referent lifetime (and has nothing to do with rb's or rc's)
```

fn foo<'a,'b,'c>(ra: &'a i32, rb: &'b i32, rc: &'c i32)->&'a i32

- for foo(x, y, z), Rust compiler tries to determine 'a so that 'a ⊂ referent lifetimes of x
- as a result, the program we are discussing compiles

```
let r: &i32;
  let a = 123;
    let b = 456;
      let c = 789;
      r = foo(&a, &b, &c);
      // 'a \leftarrow \alpha
      // and r's type becomes &\alpha i32
    \} \( // c's lifetime (= \gamma) ends here
  } // b's lifetime (= \beta) ends here
  *r // OK, as here is within \alpha
```

### Types with lifetime parameters capture/constrain the function's behavior

• what if you try to fool the compiler by:

```
fn foo<'a,'b,'c>(ra: &'a i32, rb: &'b i32, rc: &'c i32) -> &'a i32 {
  rb
}
```

• the compiler rejects returning rb (of type &'b) when the function's return type is &'a, as it cannot infer

lifetime represented by 'a ⊂ lifetime represented by 'b

does not compile

```
struct A { b : &B }
struct B { c : &C }
struct C { x : i32 }

fn baz(a : &mut A, b: &B) {
  a.b = b
}
```

```
struct A { b : &B }
struct B { c : &C }
struct C { x : i32 }

fn baz(a : &mut A, b: &B) {
  a.b = b
}
```

does not compile

```
struct A { b : &B }
struct B { c : &C }
struct C { x : i32 }

fn baz(a : &mut A, b: &B) {
   a.b = b
}
struct A<'b,'c> { b : &'b B<'c> }
struct B<'c> { c : &'c C }
struct C { x : i32 }
```

does not compile

```
struct A { b : &B }
struct B { c : &C }
struct C { x : i32 }

fn baz(a : &mut A, b: &B) {
    a.b = b
}
does not compile

struct A<'b,'c> { b : &'b B<'c> }
struct B<'c> { c : &'c C }
struct C { x : i32 }
fn baz<'a,'b,'c','d,'e> (a : &'a mut A<'b,'c>,
    b: &'d B<'e>) {
    a.b = b
}
```

```
struct A { b : &B }
struct B { c : &C }
struct C { x : i32 }

fn baz(a : &mut A, b: &B) {
    a.b = b
}
does not compile

struct A<'b,'c> { b : &'b B<'c> }
struct B<'c> { c : &'c C }
struct C { x : i32 }
fn baz<'a,'b,'c','d,'e> (a : &'a mut A<'b,'c>,
    b: &'d B<'e>) {
    a.b = b
}
does not compile
```

```
struct A { b : &B }
struct B { c : &C }
struct C { x : i32 }

fn baz(a : &mut A, b: &B) {
    a.b = b
}
does not compile

struct A<'b,'c> { b : &'b B<'c> }
struct B<'c> { c : &'c C }
struct C { x : i32 }

fn baz<'a,'b,'c'>(a : &'a mut A<'b,'c>,
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fn baz<'a,'b,'c'>(a : &'a mut A<'b,'c>,
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does compile
```

• as stated earlier, dereferencing a borrowing pointer of type &'a . . . is allowed at program point p when:

$$p \subset \text{lifetime represented by 'a}$$

• the rule is actually more strict; for types involving lifetime parameters (e.g., A<'a,'b,'c,...>), the above applies to *all* parameters

• the following program is *safe*, but rejected by the compiler

```
struct S<'a,'b> {
 a : &'a i32,
 b: &'b i32,
  let a = 123;
  let mut s = S\{a: \&a, b: \&a\};
    let b = 456;
    s.b = \&b;
 // s.b is a dangling pointer, but s.a is not
  *s.a ... (†)
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```

- s.a is not allowed, because:
  - the type of s is S<'a, 'b> and
  - ▶ 'b  $\subset \beta$  (: s.b = &b);

### Lifetime parameters in a function

- because of this restriction, the compiler can assume all lifetime parameters that appear in the function parameters contain the function body
- the compiler deduces dereferencing a.b below is safe based on this assumption

# **Summary**

# Why memory management is difficult

- every language wants to prevent dereferencing a pointer to an alreadyreclaimed memory block (dangling pointer)
- the problem would have been trivial if you could reclaim v's referent as soon as v goes out of scope
- this is not the case, as v's referent may still be reachable from other variables when v goes out of scope

```
let p : &T;
{
    let v = T{x: ...};
    ...
    p = &v;
} // v never used below, but its referent is
... p.x ...
```

# Why memory management is difficult

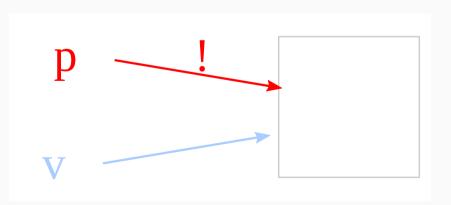
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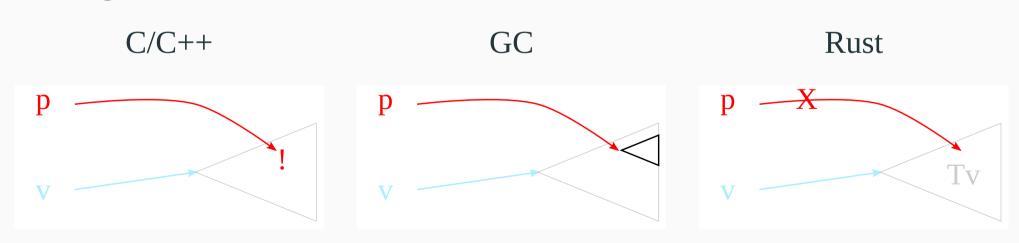
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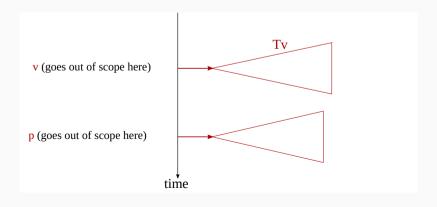


#### C vs. GC vs. Rust

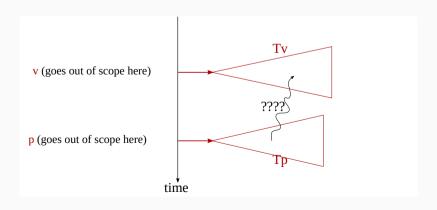
- C/C++: it's up to you
- GC: if it is reachable from other variables, I retain it for you
- Rust: when v goes out of scope,
  - 1. I reclaim  $T_v$ , all data reachable from v through owning pointers
  - 2.  $T_v$  may be reachable from other variables via borrowing references, but I guarantee such references are never dereferenced



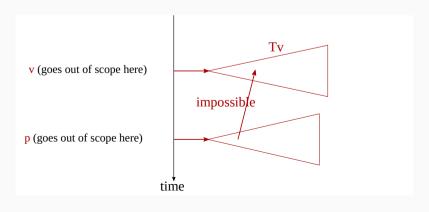
- say two data structures  $T_v$  rooted at variable v and  $T_p$  rooted at variable p
- assume v goes out of scope earlier than p
- we wish to guarantee when v goes out of scope, it is safe to reclaim the entire  $T_{\nu}$
- generally it is of course not the case, as there may be pointers somewhere in  $T_p \to {\rm somewhere\ in\ } T_v$



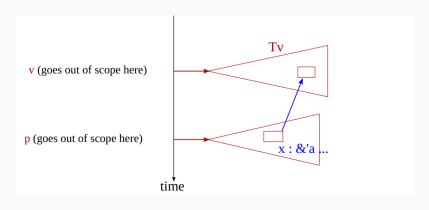
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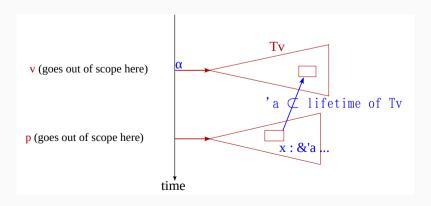
- recall the "single-owner rule," which guarantees there is only one owning pointer to any node
- $\Rightarrow$  there can be *no owning pointers* from outside  $T_v$  to inside  $T_v$



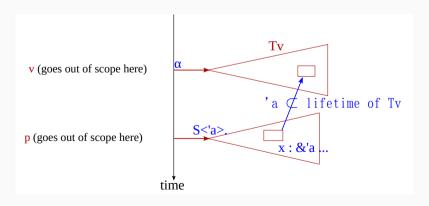
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- $\Rightarrow$  any such pointer must be *a borrowing pointer*
- recall that a borrowing pointer must have a lifetime parameter; e.g., 'a
- it must hold that 'a  $\subset$  lifetime of  $T_n$



- any structure containing borrowing pointers must have these parameters as part of its type (e.g., S<'a>)
- by 'a  $\subset$  lifetime of  $T_v$ , the containing data structure (of type S<'a>) cannot be dereferenced after  $T_v$  is gone



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