# Pumping Lemma for CFG and Turing Machines 204213 Theory of Computation

Proof Ideas of the Pumping Lemma

Jittat Fakcharoenphol

Kasetsart University

August 3, 2021

#### Outline

Pumping Lemma for CFG

2 Proof Ideas of the Pumping Lemma

Turing Machines

Can you find a CFG describing the language  $\{a^nb^nc^n|n\geq 0\}$ ?

### Non-context-free language

Can you find a CFG describing the language  $\{a^nb^nc^n|n \geq 0\}$ ? I bet you can't.

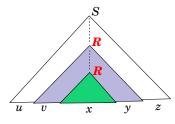
### Pumping lemma for CFL

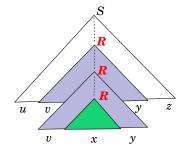
#### Theorem 1 (pumping lemma for CFL)

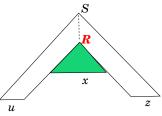
If A is a context-free language, then there is a pumping length p such that for any string  $s \in A$  of length at least p, s can be divided into 5 pieces s = uvxyz satisfying the following conditions

- for each i > 0,  $uv^i x y^i z \in A$ ,
- |vy| > 0, and
- $|vxy| \leq p$ .

#### Parse tree for s







Turing Machines

$$C = \{a^n b^n c^n | n \ge 0\}$$
 is not context-free (1)

• We'll prove by contradiction. Assume that *C* is context-free.

$$C = \{a^n b^n c^n | n \ge 0\}$$
 is not context-free (1)

- We'll prove by contradiction. Assume that *C* is context-free.
- Thus, there exists a pumping length p.

$$C = \{a^n b^n c^n | n \ge 0\}$$
 is not context-free (1)

- We'll prove by contradiction. Assume that *C* is context-free.
- Thus, there exists a pumping length p.
- Consider  $s = a^p b^p c^p \in C$ . Note that  $|s| \ge p$ .

## $C = \{a^n b^n c^n | n \ge 0\}$ is not context-free (1)

- We'll prove by contradiction. Assume that *C* is context-free.
- Thus, there exists a pumping length *p*.
- Consider  $s = a^p b^p c^p \in C$ . Note that  $|s| \ge p$ .
- The pumping lemma states that we can divide s = uvxyz, such that  $uv^ixy^iz \in C$  for any  $i \ge 0$ .

## $C = \{a^n b^n c^n | n \ge 0\}$ is not context-free (1)

- We'll prove by contradiction. Assume that *C* is context-free.
- Thus, there exists a pumping length p.
- Consider  $s = a^p b^p c^p \in C$ . Note that  $|s| \ge p$ .
- The pumping lemma states that we can divide s = uvxyz, such that  $uv^ixy^iz \in C$  for any  $i \ge 0$ .
- We'll show that this leads to a contradiction.

There are two cases.

• Case 1, if each of v and y contains only one kind of alphabets.

Outline

There are two cases.

• Case 1, if each of v and y contains only one kind of alphabets. Then consider  $s' = uv^2xy^2z$ . Note that at least one alphabet appears in s' in fewer times than the others; thus,  $s' \notin C$ .

#### There are two cases.

- Case 1, if each of v and y contains only one kind of alphabets. Then consider s' = uv²xy²z. Note that at least one alphabet appears in s' in fewer times than the others; thus, s' ∉ C.
- Case 2, if v or v contains two kinds of alphabets.

#### There are two cases.

- Case 1, if each of v and y contains only one kind of alphabets. Then consider s' = uv²xy²z. Note that at least one alphabet appears in s' in fewer times than the others; thus, s' ∉ C.
- Case 2, if v or y contains two kinds of alphabets. Note that  $s' = uv^2xy^2z$  contains alphabets in the wrong order. Again,  $s' \notin C$ .

There are two cases.

- Case 1, if each of v and y contains only one kind of alphabets. Then consider  $s' = uv^2xy^2z$ . Note that at least one alphabet appears in s' in fewer times than the others; thus,  $s' \notin C$ .
- Case 2, if v or y contains two kinds of alphabets. Note that  $s' = uv^2xy^2z$  contains alphabets in the wrong order. Again,  $s' \notin C$ .

Note that in either case, s cannot be pumped, and this contradicts the assumption that  ${\it C}$  is context-free.

Note that the first proof doesn't use the 3rd property, stating that  $|vxy| \le p$ .

Note that the first proof doesn't use the 3rd property, stating that  $|vxy| \le p$ . Given that fact, we know that v and y cannot contain all three types of alphabets.

Note that the first proof doesn't use the 3rd property, stating that  $|vxy| \le p$ . Given that fact, we know that v and y cannot contain all three types of alphabets. Therefore,  $s' = uv^2xy^2z$  contains different numbers of a's, b's, or c's, and  $s' \notin C$ .

Note that the first proof doesn't use the 3rd property, stating that  $|vxy| \le p$ . Given that fact, we know that v and y cannot contain all three types of alphabets. Therefore,  $s' = uv^2xy^2z$  contains different numbers of a's, b's, or c's, and  $s' \notin C$ . This, again, leads to a contradiction.

### Pumping lemma for CFL

#### Theorem 2 (pumping lemma for CFL)

If A is a context-free language, then there is a pumping length p such that for any string  $s \in A$  of length at least p, s can be divided into 5 pieces s = uvxyz satisfying the following conditions

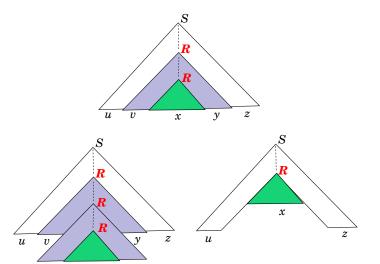
- for each i > 0,  $uv^i x y^i z \in A$ ,
- |vy| > 0, and
- $|vxy| \leq p$ .

#### Parse tree for s

υ

x

y



• Recall our proof for the pumping lemma for regular languages.

Outline

- Recall our proof for the pumping lemma for regular languages.
- How do we know that the DFA would visits the same state twice?

Outline

- Recall our proof for the pumping lemma for regular languages.
- How do we know that the DFA would visits the same state twice?
- This time, we want the same variable to appear twice on some path in the parse tree.

- Recall our proof for the pumping lemma for regular languages.
- How do we know that the DFA would visits the same state twice?
- This time, we want the same variable to appear twice on some path in the parse tree.
- Any idea? (Any hint?)

Outline

- Recall our proof for the pumping lemma for regular languages.
- How do we know that the DFA would visits the same state twice?
- This time, we want the same variable to appear twice on some path in the parse tree.
- Any idea? (Any hint?) Yes, the Pigeon-Hole Principle.

- Recall our proof for the pumping lemma for regular languages.
- How do we know that the DFA would visits the same state twice?
- This time, we want the same variable to appear twice on some path in the parse tree.
- Any idea? (Any hint?) Yes, the Pigeon-Hole Principle.
  - What?

Outline

- Recall our proof for the pumping lemma for regular languages.
- How do we know that the DFA would visits the same state twice?
- This time, we want the same variable to appear twice on some path in the parse tree.
- Any idea? (Any hint?) Yes, the Pigeon-Hole Principle.
  - What?
  - Since we have |V| variables, if, on the parse tree, the path from the start variable to some terminal is long enough (how long?)

Turing Machines

Outline

- Recall our proof for the pumping lemma for regular languages.
- How do we know that the DFA would visits the same state twice?
- This time, we want the same variable to appear twice on some path in the parse tree.
- Any idea? (Any hint?) Yes, the Pigeon-Hole Principle.
  - What?
  - Since we have |V| variables, if, on the parse tree, the path from the start variable to some terminal is long enough (how long?) we should see the same variable twice.

Outline

- Recall our proof for the pumping lemma for regular languages.
- How do we know that the DFA would visits the same state twice?
- This time, we want the same variable to appear twice on some path in the parse tree.
- Any idea? (Any hint?) Yes, the Pigeon-Hole Principle.
  - What?
  - Since we have |V| variables, if, on the parse tree, the path from the start variable to some terminal is long enough (how long?) we should see the same variable twice.
  - How can we make sure that the parse tree is very tall?

### Tall parse tree: example

 $G_1$ 

$$S \rightarrow AB$$

$$A \rightarrow 1A0|0A1|arepsilon$$

$$B \rightarrow BB|0|1$$

### Tall parse tree: example

 $G_1$ 

$$S \rightarrow AB$$

$$A \rightarrow 1A0|0A1|\varepsilon$$

$$B \rightarrow BB|0|1$$

- What is the longest string whose longest path from *S* to any terminal is < 4?
- Any bound on the length of the string generated by  $G_2$  that guarantees that the height of its parse tree is at least 5?

### Models of computation

- Finite automata and regular expressions.
  - Devices with small, limited memory.
- Push-down automata and context-free languages
  - Devices with unlimited memory, but have restricted access.

### **Turing Machines**

## Turing Machines

• Proposed by Alan Turing in 1936.

#### Turing Machines

- Proposed by Alan Turing in 1936.
- A finite automaton with an unlimited memory with unrestricted access.

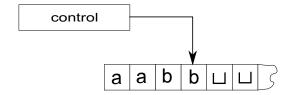
- Proposed by Alan Turing in 1936.
- A finite automaton with an unlimited memory with unrestricted access.
- Can perform any tasks that a computer can. (we'll see)

- Proposed by Alan Turing in 1936.
- A finite automaton with an unlimited memory with unrestricted access. ที่ได้ ได้แล
- Can perform any tasks that a computer can. (we'll see)
- However, there are problems that TM can't solve. These problems are beyond the limit of computation.

#### Components



- An infinite tape. Wirenmon ( tape)
- A tape head that can
  - read and write to the tape, and
  - move around the tape.



• The tape initialy contains an input string.

- The tape initialy contains an input string.
- The rest of the tape is blank (denoted by  $\Box$ ).

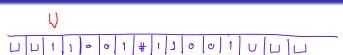
- The tape initialy contains an input string.
- The rest of the tape is blank (denoted by □).
- The machine reads a symbol from of the tape where its head is at.

- The tape initialy contains an input string.
- The rest of the tape is blank (denoted by □).
- The machine reads a symbol from of the tape where its head is at.
- It can write a symbol back and move left or right.

Turing Machines

- The tape initialy contains an input string.
- The rest of the tape is blank (denoted by □).
- The machine reads a symbol from of the tape where its head is at.
- It can write a symbol back and move left or right.
- At the end of the computation, the machine outputs accept or reject, by entering accept state of reject state. (After changing, it halts.)

- The tape initialy contains an input string.
- The rest of the tape is blank (denoted by □).
- The machine reads a symbol from of the tape where its head is at.
- It can write a symbol back and move left or right.
- At the end of the computation, the machine outputs accept or reject, by entering accept state of reject state. (After changing, it halts.)
- It can go on forever (not entering any accept or reject states).



• We'll design a TM that recognizes

$$B = \{ w \# w \mid w \in \{0, 1\}^* \}.$$

#### Example: $M_1$ — strategy

- $M_1$  works by comparing two copies of w.
- $M_1$  compares two symbols on the corresponding positions.
- It write marks on the tape to keep track of the position.

# Example: $M_1$ — snapshots

0 1 1 0 0 0 # 0 1 1 0 0 0

# Example: $M_1$ — snapshots

```
0 1 1 0 0 0 # 0 1 1 0 0 0 \sqcup
x 1 1 0 0 0 # 0 1 1 0 0 0 \sqcup
```

# Example: $M_1$ — snapshots

```
0 1 1 0 0 0 # 0 1 1 0 0 0 \sqcup
x 1 1 0 0 0 # 0 1 1 0 0 0 U
x 1 1 0 0 0 # 0 1 1 0 0 0 \sqcup
```

```
1 1 0 0 0 # 0 1 1 0 0 0 🗆
x 1 1 0 0 0 # 0 1 1 0 0 0 U
  1 1 0 0 0 # 0 1 1 0 0 0 \sqcup
x 1 1 0 0 0 # x 1 1 0 0 0 \sqcup
```

```
1 1 0 0 0 # 0 1 1 0 0 0 🗆
x 1 1 0 0 0 # 0 1 1 0 0 0 U
  1 1 0 0 0 # 0 1 1 0 0 0 \sqcup
  1 1 0 0 0 # x 1 1 0 0 0
x 1 1 0 0 0 # x 1 1 0 0 0 \sqcup
```

```
1 1 0 0 0 # 0 1 1 0 0 0 🗆
x 1 1 0 0 0 # 0 1 1 0 0 0 U
   1000#011000
   1 0 0 0 # x 1 1 0 0
 1 1 0 0 0 # x 1 1 0 0 0
x 1 1 0 0 0 # x 1 1 0 0 0 U
```

```
1 1 0 0 0 # 0 1 1 0 0 0 🗆
x 1 1 0 0 0 # 0 1 1 0 0 0 U
  1 1 0 0 0 # 0 1 1 0 0 0 \sqcup
   1 0 0 0 # x 1 1 0
   1000#x11000
x 1 1 0 0 0 # x 1 1 0 0 0 U
x x 1 0 0 0 # x 1 1 0 0 0 U
```

```
1 1 0 0 0 # 0 1 1 0 0 0 🗆
x 1 1 0 0 0 # 0 1 1 0 0 0 U
  1 1 0 0 0 # 0 1 1 0 0 0 \sqcup
   1 0 0 0 # x 1 1 0
   1000#x11000
x 1 1 0 0 0 # x 1 1 0 0 0 U
x x 1 0 0 0 # x 1 1 0 0 0 U
x x 1 0 0 0 # x x 1 0 0 0 \sqcup
```

Outline

```
state q:
                                                                                                                                                                                                                                                                                               a input tape
                                                                                                                                                                                                                                                                                              The wall e
                1 1 0 0 0 # 0 1 1 0 0 0 🗆 🖣 🗸
                                                                                                                                                                                                                                                                                                > L.R
x 1 1 0 0 0 # 0 1 1 0 0 0 \( \text{\begin{array}{c} \mu \ \text{x} \\ \mu \end{array}} \\ \mu \\ \m \m \mu \\ \mu \\ \mu \\ \mu \\ \mu \\ \m \m
               1 1 0 0 0 # x 1 1 0 0 0 1 -> check of which # of in 1/6 11
                                                                                                                                                                                                                                       IRIOTIN IS X WITCH
                                  1000#x11000 U
                                                                                                                                                                                                                                                      กับสมกัจเนางใช้
                                   1 0 0 0 # x 1 1 0 0 0 U
     x x 1 0 0 0 # x x 1 0 0 0 \sqcup
     x x x x x x # x x x x x x \sqcup accept!
```

Proof Ideas of the Pumping Lemma

### Example: $M_1$ — algorithm

 $M_1 =$  "On input string w:

• Zig-zag across the tape to corresponding positions on either side of the # symbol to check if they contains the same symbol.

#### Example: $M_1$ — algorithm

 $M_1 =$  "On input string w:

• Zig-zag across the tape to corresponding positions on either side of the # symbol to check if they contains the same symbol. If they do not or there is no #, reject.

### Example: $M_1$ — algorithm

 $M_1 =$  "On input string w:

• Zig-zag across the tape to corresponding positions on either side of the # symbol to check if they contains the same symbol. If they do not or there is no #, reject. Mark these symbols to keep track of the current position.

#### $M_1 =$ "On input string w:

- 2 Zig-zag across the tape to corresponding positions on either side of the # symbol to check if they contains the same symbol. If they do not or there is no #, reject. Mark these symbols to keep track of the current position.
- After all symbols on the left of # have been marked, check if there're other unmarked symbols on the right of #, if there's any, reject; otherwise accept."

 Again, the important part is the definition of the transition function.

- Again, the important part is the definition of the transition function.
- The machine look at the tape symbol and consider its current state, then makes a move by writing some symbol on the tape and moving its head left or right.

- Again, the important part is the definition of the transition function.
- The machine look at the tape symbol and consider its current state, then makes a move by writing some symbol on the tape and moving its head left or right.
- Thus,
  - Input: current state and the symbol on the tape

- Again, the important part is the definition of the transition function.
- The machine look at the tape symbol and consider its current state, then makes a move by writing some symbol on the tape and moving its head left or right.
- Thus,
  - Input: (current state) and the symbol on the tape )
  - Output: (next state), a symbol to be written to the tape,) and the new state.

- Again, the important part is the definition of the transition function.
- The machine look at the tape symbol and consider its current state, then makes a move by writing some symbol on the tape and moving its head left or right.
- Thus,
  - Input: current state and the symbol on the tape
  - Output: next state, a symbol to be written to the tape, and the new state

- Again, the important part is the definition of the transition function.
- The machine look at the tape symbol and consider its current state, then makes a move by writing some symbol on the tape and moving its head left or right.
- Thus,

- Input: current state and the symbol on the tape
- Output: next state, a symbol to be written to the tape, and the new state.

  State tape state tope moving
- So,  $\delta$  is in the form:  $Q \times \Gamma \to Q \times \Gamma \times \{L, R\}$

- Again, the important part is the definition of the transition function.
- The machine look at the tape symbol and consider its current state, then makes a move by writing some symbol on the tape and moving its head left or right.
- Thus,
  - Input: current state and the symbol on the tape
  - Output: next state, a symbol to be written to the tape, and the new state.
- So,  $\delta$  is in the form:  $Q \times \Gamma \to Q \times \Gamma \times \{L,R\}$
- E.g., if  $\delta(q, a) = (r, b, L)$ , then if the machine is in state q and reads a, it will change its state to r, write b to the tape and move to the left. (a, b) = (r, b, L)

#### Definition

#### Definition (Turing Machine)

A **Turing machine** is a 7-tuple,  $(Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$ , where  $Q, \Sigma, \Gamma$  are finite sets and

- Q is the set of states.
- $\circ$  is the input alphabet not containing the blank symbol  $\sqcup$ ,

Proof Ideas of the Pumping Lemma

- **③**  $\Gamma$  is the tape alphabet, where  $\sqcup$  ∈  $\Gamma$  and  $\Sigma$   $\subset$   $\Gamma$ ,
- **4**  $\delta: Q \times \Gamma \to Q \times \Gamma \times \{L, R\}$  is the transition function,
- $oldsymbol{0} q_0 \in Q$  is the start state,
- **1**  $q_{accept} \in Q$  is the accept state, and
- $oldsymbol{q} q_{reject} \in Q$  is the reject state, where  $q_{accept} \neq q_{reject}$ .