# CSC 421 Assignment 1

# **Taylor Koch**

### V00809341

## Knn

This notebook imports the CIFAR-10 image dataset and separates the data into two sets containing 50000 train / 10000 test images. The data is not shuffled, since it was not required to be (except in the 5-fold validation section). The Knn machine learning algorithm is then used to train on the 50000 train images, and classify on the 10000 test images (using various values of k). The accuracies of the predictions for each k value are calculated and compared.

### Import statements

```
In [2]: import ssl #for resolving a known certificate error with downloadi ssl._create_default_https_context = ssl._create_unverified_context
```

The ssl code above is for resolving a known certificate error with CIFAR-10 in torchvision

### **RGB** values for Grayscale conversion

```
In [3]: RED = 0.299

GREEN = 0.587

BLUE = 0.114
```

## Seed value for shuffling data

```
In [4]: seedval = 2022 #seed used in k-fold validation
```

## Importing and partitioning data into test and train datasets

The data is imported and stored in two arrays. Each image of the dataset is a 32x32 image, and each pixel has BGR values.

```
In [5]: train_dataset = datasets.CIFAR10(root='data/', download=True, train=True, transform=ToTe
    test_dataset = datasets.CIFAR10(root='data/', download=True, train=False, transform=ToTe
    Files already downloaded and verified
    Files already downloaded and verified
```

```
X_test = test_dataset.data
y_test = np.array(test_dataset.targets)
classes = train_dataset.classes

In [7]: print(X_train.shape)
print(X_test.shape)

(50000, 32, 32, 3)
(10000, 32, 32, 3)
```

#### **Function Definitions**

In [6]: | X\_train = train\_dataset.data

y train = np.array(train dataset.targets)

Grayscale conversion function to be performed on every pixel when called in preProcess function

```
In [8]: def toBWArray(itemarray):
    global RED,BLUE,GREEN
    val = np.uint8(np.dot(itemarray, [BLUE,GREEN,RED]))
    return val
```

Pre-processing of each image. Flattens the array, converts it to grayscale, and scales each pixel value between 0-1.

```
In [9]: def preProcess(itemarray):
    arrcopy = np.empty((len(itemarray), 32, 32, 3))
    np.copyto(arrcopy, itemarray)
    arrcopy = arrcopy.reshape((len(itemarray), 1024, 3))
    bwArray = np.empty((len(itemarray), 1024), np.uint8)
    for i in range(len(itemarray)):
        bwArray[i] = toBWArray(arrcopy[i])
    bwArray = bwArray / 256
    return bwArray
```

Distance computation. Calculates the distances between every image in X\_test and every image in X\_train. In this case, outputs an array of size (10000, 50000)

```
In [10]: def computeDist(X_train, X_test):
    distance_array = np.empty((len(X_test),len(X_train)), np.float32)
    for n in range(len(X_test)):
        #print(f'calculating distances for image {n}')
        results_array = np.empty((len(X_train)))
        for i in range(len(X_train)):
            results_array[i] = np.sqrt(np.sum(np.square(X_train[i] - X_test[n])))
        distance_array[n] = results_array
    return distance_array
```

User-facing function used to "train" the dataset. It really just calls computeDist.

This function uses global values of k to take the k-closest X\_train images from the corresponding distance list for each image of X\_test. It then takes the indexes of those closest images, counts the most common occurance, and stores that value in a results\_array whose index corresponds to the index of X\_test

```
In [12]: def classifyThis(distance_array, y_train):
    global k
    results_array = np.empty(len(distance_array), np.uint8)
    for n in range(len(results_array)):
        classeslist = np.empty(k)
```

```
distancesortedindexes = np.argsort(distance_array[n])
  for i in range(len(classeslist)):
        classeslist[i] = y_train[distancesortedindexes[i]]
  c = Counter(classeslist)
    results_array[n] = c.most_common(1)[0][0]
return results_array
```

Compares the answers of the test set to the predicted set and returns the accuracy of the predicted set

```
In [13]: def evalThis(results_array, y_test):
    val = np.sum(results_array == y_test) / len(y_test)
    return val
```

### Computation

Both the X\_train and X\_test arrays are preprocessed.

```
In [14]: X_train_proc = preProcess(X_train)
    print('X_train preprocessed')
    X_test_proc = preProcess(X_test)
    print('X_test preprocessed')

X_train preprocessed
    X test preprocessed
```

The arrays are now reshaped, converted to grayscale, and scaled

Distance array is calculated for every image in X\_test.

k3 = evalThis(results array, y test)

print(k3)

```
k = 5
results_array = classifyThis(distance_array, y_train)
k5 = evalThis(results_array, y_test)
print(k5)
k = 7
results_array = classifyThis(distance_array, y_train)
k7 = evalThis(results_array, y_test)
print(k7)
k = 11
results_array = classifyThis(distance_array, y_train)
k11 = evalThis(results_array, y_test)
print(k11)
```

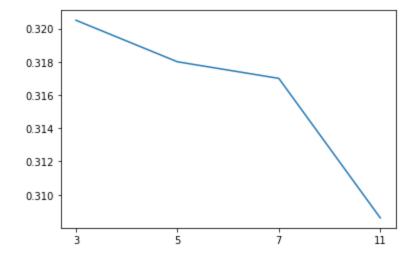
0.3205 0.318 0.317

0.3086

## **Accuracy Chart**

```
In [21]: vals = {'3':k3, '5':k5, '7':k7, '11':k11}
plt_x = list(vals.keys())
plt_y = list(vals.values())
plt.plot(plt_x, plt_y)
```

Out[21]: [<matplotlib.lines.Line2D at 0x1df65a129a0>]



As we can see, k = 3 has the highest accuracy at around 32%

# 5-fold Validation

```
In [22]: def fiveFoldVal(X_train, X_test, y_train, y_test):
    global k
    folds = 5
    np.random.seed(seedval)

X_set_OG = np.concatenate((X_train, X_test))
    y_set_OG = np.concatenate((y_train, y_test))

X_set_OG = preProcess(X_set_OG)

indices = np.arange(X_set_OG.shape[0])
    np.random.shuffle(indices)
    X_set = X_set_OG[indices]
    y_set = y_set_OG[indices]
```

```
#size reduction for testing
\#X \ set = X \ set[:1000]
#y set = y set[:1000]
\#This\ is\ assuming\ (X\_set=y\_set=60000)\ is\ divisible\ by\ (folds=5)
#I didn't bother to write the case where it isn't
subset size = int(X set.shape[0] / folds)
X sets = np.empty((folds, subset size, 1024), np.float32)
for i in range(folds):
    X \text{ sets}[i] = X \text{ set}[(i * \text{ subset size}):((i+1) * \text{ subset size})]
y sets = np.empty((folds, subset size), np.uint8)
for i in range(folds):
    y sets[i] = y set[(i * subset size):((i+1) * subset size)]
accuracies = np.empty((folds, 4), np.float32)
for i in range(folds):
    print(f'Fold: {(i+1)}')
    X test = X sets[i]
    y test = y sets[i]
    X train = np.empty(shape=[0, 1024], dtype=np.float32)
    y train = np.empty(shape=[0], dtype=np.uint8)
    for j in range(folds):
        if(j!=i):
            X train = np.append(X train, X sets[j], axis=0)
            y train = np.append(y train, y sets[j])
    distance array = trainThis(X train, y train, X test)
    k = 3
    results array = classifyThis(distance array, y train)
    k3 = evalThis(results array, y test)
    accuracies[i][0] = k3
    print(k3)
    k = 5
    results array = classifyThis(distance array, y train)
    k5 = evalThis(results array, y test)
    accuracies[i][1] = k5
    print(k5)
    k = 7
    results array = classifyThis(distance array, y train)
    k7 = evalThis(results array, y test)
    accuracies[i][2] = k7
    print(k7)
    k = 11
    results array = classifyThis(distance array, y train)
    k11 = evalThis(results_array, y_test)
    accuracies[i][3] = k11
    print(k11)
    print()
    print()
return accuracies
```

```
Fold: 1
         0.3155
         0.31983333333333336
         0.3156666666666665
         0.3145
         Fold: 2
         0.31475
        0.3134166666666667
         0.3125
         0.30758333333333333
         Fold: 3
         0.31908333333333333
         0.3205
         0.317583333333333333
         0.3144166666666667
         Fold: 4
         0.3086666666666664
         0.3126666666666665
        0.3119166666666667
         0.3085833333333333
        Fold: 5
         0.31908333333333333
         0.31575
         0.3133333333333333
         0.30641666666666667
In [24]:
         avg k3 = np.mean(accuracies[:,0])
         avg k5 = np.mean(accuracies[:,1])
         avg k7 = np.mean(accuracies[:,2])
         avg k11 = np.mean(accuracies[:,3])
         vals = {'3':avg_k3, '5':avg_k5, '7':avg_k7, '11':avg_k11}
         plt x = list(vals.keys())
         plt y = list(vals.values())
         plt.plot(plt x, plt y)
         [<matplotlib.lines.Line2D at 0x1df63cb59a0>]
Out[24]:
         0.316
         0.315
         0.314
         0.313
```

After performing 5-fold validation, it is clear that the best value of k for Knn in this model is actually k = 5, with around 31.65% accuracy

0.312

0.311

0.310

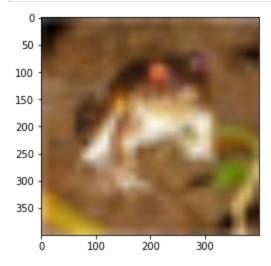
# **Image Printing**

This section was used for testing and can be ignored.

```
In [25]: IMGNUM = 0
#img_colored = cv2.cvtColor(X_train[IMGNUM], cv2.COLOR_BGR2RGB)
#img_resized = cv2.resize(img_colored, (400,400))
#plt.imshow(img_resized)

#X_train = X_train.reshape((50000,32,32))
#print(X_train)
img_resized = cv2.resize(X_train[IMGNUM], (400,400))
#img_resized2 = cv2.resize(X_train_proc[IMGNUM], (400,400))

plt.imshow(img_resized)
plt.show()
#plt.imshow(img_resized2, cmap='gray', vmin=0, vmax=255)
#plt.show()
```



## **Kmeans**

My Kmeans implementation was created in its own notebook, and as such uses a seperate memory space / kernel. This notebook imports the CIFAR-10 image dataset and separates the data into two sets containing 50000 train / 10000 test images. The data is not shuffled, since it was not required to be (except in the 5-fold validation section). The Kmeans machine learning algorithm is then used to train on the 50000 train images, and classify on the 10000 test images (using various values of k). The accuracies of the predictions for each k value are calculated and compared.

### Import statements

```
In [1]: import matplotlib.pyplot as plt
   import numpy as np
   import torch
   from torch.utils.data import DataLoader
   import torchvision
   from torchvision import datasets
   from torchvision.transforms import ToTensor, Compose
   from collections import Counter
   import cv2
```

```
In [2]: import ssl #This is for resolving a known certificate error with CIFAR-10 in torchvision
ssl._create_default_https_context = ssl._create_unverified_context
```

The ssl code above is for resolving a known certificate error with CIFAR-10 in torchvision

### **RGB** values for Grayscale conversion

```
In [3]: #Global colour values for greyscale conversion
RED = 0.299
BLUE = 0.114
GREEN = 0.587
```

## Seed value for shuffling data

classes = train dataset.classes

```
In [4]: seedval = 2022 #seed used for picking random centroids and in k-fold validation
```

## Importing and partitioning data into test and train datasets

The data is imported and stored in two arrays. Each image of the dataset is a 32x32 image, and each pixel has BGR values.

```
In [5]: train_dataset = datasets.CIFAR10(root='data/', download=True, train=True, transform=ToTe
    test_dataset = datasets.CIFAR10(root='data/', download=True, train=False, transform=ToTe
    Files already downloaded and verified
    Files already downloaded and verified

In [6]: X_train = train_dataset.data
    y_train = np.array(train_dataset.targets)
    X_test = test_dataset.data
    y test = np.array(test_dataset.targets)
```

#### **Function Definitions**

Grayscale conversion function to be performed on every pixel when called in preProcess function

```
In [7]: def toBWArray(itemarray):
    global RED,BLUE,GREEN
    val = np.uint8(np.dot(itemarray, [BLUE,GREEN,RED]))
    return val
```

Pre-processing of each image. Flattens the array, converts it to grayscale, and scales each pixel value between 0-1.

```
In [8]: def preProcess(itemarray):
    arr_copy = np.empty((len(itemarray), 32, 32, 3))
    np.copyto(arr_copy, itemarray)
    arr_copy = arr_copy.reshape((len(itemarray), 1024, 3))
    bwArray = np.empty((len(itemarray), 1024), np.uint8)
    for i in range(len(itemarray)):
        bwArray[i] = toBWArray(arr_copy[i])
    bwArray = bwArray / 256
    return bwArray
```

Distance computation. Calculates the distances between every image in X\_test and every image in arr\_centroids. In this case, outputs an array of size (10000, k)

```
In [9]: def computeDist(X_test, arr_centroids):
    distance_array = np.empty((len(X_test),len(arr_centroids)), np.float32)
    for n in range(len(X_test)):
        results_array = np.empty((len(arr_centroids)))
        for i in range(len(arr_centroids)):
            results_array[i] = np.sqrt(np.sum(np.square(arr_centroids[i] - X_test[n])))
        distance_array[n] = results_array
    return distance_array
```

Computes the cluster value for each image in X\_train. Outputs an array of size 50000. The index of the array is the image id in X\_train and the value is the id of the centroid it belongs to in arr\_centroids.

```
In [10]: def computeClusters(X_train, arr_centroids):
    arr_clusters = np.empty((len(X_train)), np.uint8)
    for n in range(len(X_train)):
        distance_array = np.empty((len(arr_centroids)), np.float32)
        for i in range(len(arr_centroids)):
            distance_array[i] = np.sqrt(np.sum(np.square(X_train[n] - arr_centroids[i]))
            distancesortedindexes = np.argsort(distance_array)
            arr_clusters[n] = distancesortedindexes[0]
    return arr_clusters
```

Function that picks the initial centroids for the algorithm. Outputs a semi-random array of images to be used as centroids of size (k). When ( $k \le the size of the feature set$ ) the chosen images will all be different classes. When ( $k \ge the size of the feature set$ ), the shuffled sequence of classes to be chosen will repeat. (Ex. when k = 10, arr\_centroids = [850923716485092]

```
In [11]: def pickCentroids(X_train, y_train):
    global k
    global seedval
    np.random.seed(seedval)
    arr_centroids_id = np.empty((k), np.uint32)
    arr_centroids = np.empty((k, len(X_train[0])))
    indices = np.arange(X_train.shape[0])
    np.random.shuffle(indices)
```

```
shuffled classes = np.arange(len(classes))
np.random.shuffle(shuffled classes)
picked classes = np.empty((k), np.uint8)
for i in range(picked classes.shape[0]):
    picked classes[i] = shuffled classes[int(i % (len(classes)))]
#print(picked classes[::-1])
loop c = 0
while (picked classes.size != 0):
    if y train[indices[loop c]] in picked classes:
        arr centroids id[(len(picked classes) - 1)] = indices[loop c]
        index of found value = np.where(picked classes == y train[indices[loop c]])[
        if(index of found value.size != 1):
            index of found value = index_of_found_value[0]
        picked classes = np.delete(picked classes, index of found value)
    loop c += 1
for i in range(k):
    arr centroids[i] = X train[arr centroids id[i]]
#print(arr centroids id)
return arr centroids
```

#### Function that recomputes the centroids based on the current clusters

```
In [12]: def recomputeCentroids(arr_centroids, arr_clusters, X_train):
    avgs = np.zeros((len(arr_centroids),1024), np.float32)
    for i in range(len(arr_clusters)):
        avgs[arr_clusters[i]] += X_train[i]
    arr_count = np.bincount(arr_clusters)
    for j in range(len(avgs)):
        avgs[j] = avgs[j] / arr_count[j]
    return avgs
```

User-facing function for training the model. Sets up initial centroids, clusters, the base case for recursive Train, and calls recursive Train. Returns arr\_clusters and arr\_centroids to be used for classification.

```
In [13]: def trainThis(X_train, y_train):
    arr_centroids = pickCentroids(X_train, y_train)
    arr_clusters = computeClusters(X_train, arr_centroids)
    prev_centroids = np.zeros((len(arr_centroids),arr_centroids.shape[1]),np.float32)
    tupled = recursiveTrain(arr_clusters, arr_centroids, prev_centroids, X_train)
    return tupled[0], tupled[1]
```

Recursively trains the model. Returns multiple variables uses in recursion, but only the final arr\_clusters and arr\_centroids are relevant to the user.

```
In [14]: def recursiveTrain(arr_clusters, arr_centroids, prev_centroids, X_train):
    diff = 0.0
    for i in range(len(arr_centroids)):
        diff += (arr_centroids[i] - prev_centroids[i]).sum()
    #print(diff)
    if(diff == 0.0):
        return arr_clusters, arr_centroids, prev_centroids, X_train
    else:
        np.copyto(prev_centroids, arr_centroids)
        arr_clusters = computeClusters(X_train, arr_centroids)
        arr_centroids = recomputeCentroids(arr_centroids, arr_clusters, X_train)
    return recursiveTrain(arr_clusters, arr_centroids, prev_centroids, X_train)
```

Classifies the test data based on arr\_clusters, and arr\_centroids. Somewhat unintuitively requires y\_train to be passed to it because the k features are also labelled in this function.

```
In [15]: def classifyThis(X_train, X_test, y_train, arr_clusters, arr_centroids):
    results_array = np.empty((X_test.shape[0]), np.uint8)

arr_clusters_counts = np.zeros((arr_centroids.shape[0], len(classes)), np.int32)
    for i in range(arr_clusters.shape[0]):
        arr_clusters_counts[arr_clusters[i]][y_train[i]] += 1

centroid_labels = np.empty((arr_centroids.shape[0]), np.uint8)

for i in range(arr_clusters_counts.shape[0]):
        sorted_cluster_indexes = np.argsort(arr_clusters_counts[i])
        centroid_labels[i] = sorted_cluster_indexes[(sorted_cluster_indexes.shape[0] - 1

distance_array = computeDist(X_test, arr_centroids)
    for i in range(distance_array.shape[0]):
        distancesortedindexes = np.argsort(distance_array[i])
        results_array[i] = centroid_labels[distancesortedindexes[0]]
    return results_array
```

Compares the answers of the test set to the predicted set and returns the accuracy of the predicted set

```
In [16]: def evalThis(results_array, y_test):
    val = np.sum(results_array == y_test) / len(y_test)
    return val
```

### Computation

Both the X\_train and X\_test arrays are preprocessed.

```
In [17]: X_train_proc = preProcess(X_train)
    print('X_train preprocessed')
    X_test_proc = preProcess(X_test)
    print('X_test preprocessed')

X_train_preprocessed
    X_test_processed
```

The arrays are now reshaped, converted to grayscale, and scaled

```
In [18]: k = 3
```

Global k value is now set

The arr\_clusters and arr\_centroids are created by training:

```
In [19]: arr_clusters, arr_centroids = trainThis(X_train_proc, y_train)
```

Classify the X\_test data and return the predicted results:

```
In [20]: results = classifyThis(X_train_proc, X_test_proc, y_train, arr_clusters, arr_centroids)
```

Accuracy is returned and saved in k3:

```
In [21]: k3 = evalThis(results, y_test)
print(k3)
```

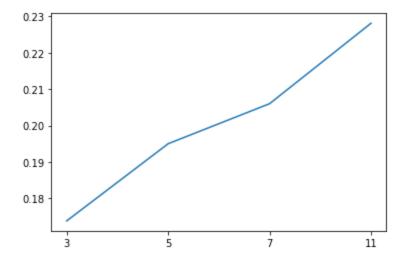
0.1738

```
In [22]:
         k = 5
         arr clusters, arr centroids = trainThis(X train proc, y train)
         results array = classifyThis(X train proc, X test proc, y train, arr clusters, arr centr
         k5 = evalThis(results_array, y_test)
        print(k5)
         k = 7
         arr clusters, arr centroids = trainThis(X train proc, y train)
         results array = classifyThis(X train proc, X test proc, y train, arr clusters, arr centr
         k7 = evalThis(results array, y test)
         print(k7)
        k = 11
         arr clusters, arr centroids = trainThis(X train proc, y train)
         results array = classifyThis(X train proc, X test proc, y train, arr clusters, arr centr
         k11 = evalThis(results_array, y_test)
         print(k11)
        0.195
        0.206
        0.2281
```

# **Accuracy Chart**

```
In [23]: vals = {'3':k3, '5':k5, '7':k7, '11':k11}
    plt_x = list(vals.keys())
    plt_y = list(vals.values())
    plt.plot(plt_x, plt_y)
```

Out[23]: [<matplotlib.lines.Line2D at 0x181c696df70>]



As we can see, k = 11 has the highest accuracy at around 23%

# 5-fold Validation

```
In [24]: def fiveFoldVal(X_train, X_test, y_train, y_test):
    global k
    global seedval
    folds = 5
    np.random.seed(seedval)

X_set_OG = np.concatenate((X_train, X_test))
    y set OG = np.concatenate((y train, y test))
```

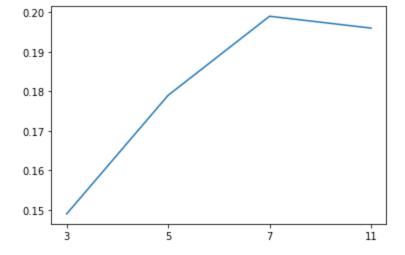
```
X set OG = preProcess(X set OG)
indices = np.arange(X set OG.shape[0])
np.random.shuffle(indices)
X set = X set OG[indices]
y set = y set OG[indices]
#size reduction for testing
X_{set} = X_{set}[:1000]
y set = y set[:1000]
#This is assuming (X set = y set = 60000) is divisible by (folds = 5)
#I didn't bother to write the case where it isn't
subset size = int(X set.shape[0] / folds)
X sets = np.empty((folds, subset size, 1024), np.float32)
for i in range(folds):
    X sets[i] = X set[(i * subset size):((i+1) * subset size)]
y sets = np.empty((folds, subset size), np.uint8)
for i in range(folds):
    y sets[i] = y set[(i * subset size):((i+1) * subset size)]
accuracies = np.empty((folds, 4), np.float32)
for i in range(folds):
   print(f'Fold: {(i+1)}')
   X test = X sets[i]
   y test = y sets[i]
    X train = np.empty(shape=[0, 1024], dtype=np.float32)
    y train = np.empty(shape=[0], dtype=np.uint8)
    for j in range(folds):
       if(j!=i):
            X train = np.append(X train, X sets[j], axis=0)
            y train = np.append(y train, y sets[j])
    k = 3
    arr clusters, arr centroids = trainThis(X train, y train)
   results array = classifyThis(X train, X test, y train, arr clusters, arr centroi
   k3 = evalThis(results array, y test)
    accuracies[i][0] = k3
   print(k3)
   k = 5
    arr clusters, arr centroids = trainThis(X train, y train)
   results array = classifyThis(X train, X test, y train, arr clusters, arr centroi
   k5 = evalThis(results array, y test)
   accuracies[i][1] = k5
    print(k5)
   k = 7
    arr clusters, arr centroids = trainThis(X train, y train)
    results array = classifyThis(X_train, X_test, y_train, arr_clusters, arr_centroi
   k7 = evalThis(results array, y test)
   accuracies[i][2] = k7
    print(k7)
   k = 11
    arr clusters, arr centroids = trainThis(X train, y train)
    results array = classifyThis(X train, X test, y train, arr clusters, arr centroi
    k11 = evalThis(results array, y test)
    accuracies[i][3] = k11
    print(k11)
```

```
print()
             return accuracies
In [25]: | accuracies = fiveFoldVal(X_train, X_test, y_train, y_test)
         Fold: 1
         0.155
         0.2
         0.205
         0.205
        Fold: 2
         0.15
         0.22
         0.24
         0.195
        Fold: 3
         0.15
         0.17
         0.16
         0.16
        Fold: 4
         0.16
         0.13
         0.17
         0.195
        Fold: 5
         0.13
         0.175
         0.22
         0.225
In [26]: | avg_k3 = np.mean(accuracies[:,0])
         avg k5 = np.mean(accuracies[:,1])
         avg k7 = np.mean(accuracies[:,2])
         avg k11 = np.mean(accuracies[:,3])
         vals = {'3':avg_k3, '5':avg_k5, '7':avg_k7, '11':avg_k11}
         plt x = list(vals.keys())
         plt y = list(vals.values())
         plt.plot(plt x, plt y)
```

[<matplotlib.lines.Line2D at 0x181c5bdde50>]

Out[26]:

print()



After performing 5-fold validation, it seems that the best value of k for Kmeans in this model is between k = 7, and k=11 with around 20% accuracy. This makes sense since k is roughly equal to the size of the classes set.