

## 1 Natural Induction on Inequality

**Note 3** Prove that if  $n \in \mathbb{N}$  and  $x > 0$ , then  $(1+x)^n \geq 1+nx$ .

**Solution:**

- *Base Case:* When  $n = 0$ , the claim holds since  $(1+x)^0 \geq 1+0x$ .
- *Inductive Hypothesis:* Assume that  $(1+x)^k \geq 1+kx$  for some value of  $n = k$  where  $k \in \mathbb{N}$ .
- *Inductive Step:* For  $n = k+1$ , we can show the following:

$$\begin{aligned}(1+x)^{k+1} &= (1+x)^k(1+x) \geq (1+kx)(1+x) \\ &\geq 1+kx+x+kx^2 \\ &\geq 1+(k+1)x+kx^2 \geq 1+(k+1)x\end{aligned}$$

By induction, we have shown that  $\forall n \in \mathbb{N}, (1+x)^n \geq 1+nx$ .

## 2 Make It Stronger

**Note 3** Suppose that the sequence  $a_1, a_2, \dots$  is defined by  $a_1 = 1$  and  $a_{n+1} = 3a_n^2$  for  $n \geq 1$ . We want to prove that

$$a_n \leq 3^{(2^n)}$$

for every positive integer  $n$ .

- Suppose that we want to prove this statement using induction. Can we let our inductive hypothesis be simply  $a_n \leq 3^{(2^n)}$ ? Attempt an induction proof with this hypothesis to show why this does not work.
- Try to instead prove the statement  $a_n \leq 3^{(2^n-1)}$  using induction.
- Why does the hypothesis in part (b) imply the overall claim?

**Solution:**

(a) Let's try to prove that for every  $n \geq 1$ , we have  $a_n \leq 3^{2^n}$  by induction.

Base Case: For  $n = 1$  we have  $a_1 = 1 \leq 3^{2^1} = 9$ .

Inductive Step: For some  $n \geq 1$ , we assume  $a_n \leq 3^{2^n}$ . Now, consider  $n + 1$ . We can write:

$$a_{n+1} = 3a_n^2 \leq 3(3^{2^n})^2 = 3 \times 3^{2 \times 2^n} = 3 \times 3^{2^{n+1}} = 3^{2^{n+1}+1}.$$

However, what we wanted was to get an inequality of the form:  $a_{n+1} \leq 3^{2^{n+1}}$ . There is an extra  $+1$  in the exponent of what we derived.

(b) This time the induction works.

Base Case: For  $n = 1$  we have  $a_1 = 1 \leq 3^{2^1-1} = 3$ .

Inductive Step: For some  $n \geq 1$  we assume  $a_n \leq 3^{2^n-1}$ . Now, consider  $n + 1$ . We can write:

$$a_{n+1} = 3a_n^2 \leq 3 \times (3^{2^n-1})^2 = 3 \times 3^{2 \times (2^n-1)} = 3 \times 3^{2^{n+1}-2} = 3^{2^{n+1}-1}.$$

This is exactly the induction hypothesis for  $n + 1$ .

(c) For every  $n \geq 1$ , we have  $2^n - 1 \leq 2^n$  and therefore  $3^{2^n-1} \leq 3^{2^n}$ . This means that our modified hypothesis which we proved in part (b) does indeed imply what we wanted to prove in part (a).

### 3 Binary Numbers

Note 3

Prove that every positive integer  $n$  can be written in binary. In other words, prove that for any positive integer  $n$ , we can write

$$n = c_k \cdot 2^k + c_{k-1} \cdot 2^{k-1} + \cdots + c_1 \cdot 2^1 + c_0 \cdot 2^0,$$

for some  $k \in \mathbb{N}$  and  $c_i \in \{0, 1\}$  for all  $i \leq k$ .

#### Solution:

Prove by strong induction on  $n$ .

The key insight here is that if  $n$  is divisible by 2, then it is easy to get a bit string representation of  $(n + 1)$  from that of  $n$ . However, if  $n$  is not divisible by 2, then  $(n + 1)$  will be, and its binary representation will be more easily derived from that of  $(n + 1)/2$ . More formally:

- Base Case:  $n = 1$  can be written as  $1 \times 2^0$ .
- Inductive Step: Assume that the statement is true for all  $1 \leq m \leq n$ , where  $n$  is arbitrary. Now, we need to consider  $n + 1$ . If  $n + 1$  is divisible by 2, then we can apply our inductive hypothesis to  $(n + 1)/2$  and use its representation to express  $n + 1$  in the desired form.

$$\begin{aligned} (n + 1)/2 &= c_k \cdot 2^k + c_{k-1} \cdot 2^{k-1} + \cdots + c_1 \cdot 2^1 + c_0 \cdot 2^0 \\ n + 1 &= 2 \cdot (n + 1)/2 = c_k \cdot 2^{k+1} + c_{k-1} \cdot 2^k + \cdots + c_1 \cdot 2^2 + c_0 \cdot 2^1 + 0 \cdot 2^0. \end{aligned}$$

Otherwise,  $n$  must be divisible by 2 and thus have  $c_0 = 0$ . We can obtain the representation of  $n + 1$  from  $n$  as follows:

$$\begin{aligned} n &= c_k \cdot 2^k + c_{k-1} \cdot 2^{k-1} + \cdots + c_1 \cdot 2^1 + 0 \cdot 2^0 \\ n + 1 &= c_k \cdot 2^k + c_{k-1} \cdot 2^{k-1} + \cdots + c_1 \cdot 2^1 + 1 \cdot 2^0 \end{aligned}$$

Therefore, the statement is true.

Here is another alternate solution emulating the algorithm of converting a decimal number to a binary number.

- Base Case:  $n = 1$  can be written as  $1 \times 2^0$ .
- Inductive Step: Assume that the statement is true for all  $1 \leq m \leq n$ , for arbitrary  $n$ . We show that the statement holds for  $n + 1$ . Let  $2^m$  be the largest power of 2 such that  $n + 1 \geq 2^m$ . Thus,  $n + 1 < 2^{m+1}$ . We examine the number  $(n + 1) - 2^m$ . Since  $(n + 1) - 2^m < n + 1$ , the inductive hypothesis holds, so we have a binary representation for  $(n + 1) - 2^m$ . Also, since  $n + 1 < 2^{m+1}$ ,  $(n + 1) - 2^m < 2^m$ , so the largest power of 2 in the representation of  $(n + 1) - 2^m$  is  $2^{m-1}$ . Thus, by the inductive hypothesis,

$$(n + 1) - 2^m = c_{m-1} \cdot 2^{m-1} + c_{m-2} \cdot 2^{m-2} + \cdots + c_1 \cdot 2^1 + c_0 \cdot 2^0,$$

and adding  $2^m$  to both sides gives

$$n + 1 = 2^m + c_{m-1} \cdot 2^{m-1} + c_{m-2} \cdot 2^{m-2} + \cdots + c_1 \cdot 2^1 + c_0 \cdot 2^0,$$

which is a binary representation for  $n + 1$ . Thus, the induction is complete.

Another intuition is that if  $x$  has a binary representation,  $2x$  and  $2x + 1$  do as well: shift the bits and possibly place 1 in the last bit. The above induction could then have proceeded from  $n$  and used the binary representation of  $\lfloor n/2 \rfloor$ , shifting and possibly setting the first bit depending on whether  $n$  is odd or even.

Note: In proofs using simple induction, we only use  $P(n)$  in order to prove  $P(n + 1)$ . Simple induction gets stuck here because in order to prove  $P(n + 1)$  in the inductive step, we need to assume more than just  $P(n)$ . This is because it is not immediately clear how to get a representation for  $P(n + 1)$  using just  $P(n)$ , particularly in the case that  $n + 1$  is divisible by 2. As a result, we assume the statement to be true for all of  $1, 2, \dots, n$  in order to prove it for  $P(n + 1)$ .

## 4 Fibonacci for Home

Note 3

Recall, the Fibonacci numbers, defined recursively as

$$F_1 = 1, F_2 = 1, \text{ and } F_n = F_{n-2} + F_{n-1}.$$

Prove that every third Fibonacci number is even. For example,  $F_3 = 2$  is even and  $F_6 = 8$  is even.

**Solution:**

We want to prove that for all natural numbers  $k \geq 1$ ,  $F_{3k}$  is even.

Base case: For  $k = 1$ , we can see that  $F_3 = 2$  is even.

Induction hypothesis: Suppose that for an arbitrary fixed value of  $k$ ,  $F_{3k}$  is even.

Inductive step: We can write

$$F_{3k+3} = F_{3k+2} + F_{3k+1} = 2F_{3k+1} + F_{3k}.$$

By the induction hypothesis, we know that  $F_{3k} = 2q$  for some  $q$ .

This means that we have that  $F_{3k+3} = 2(F_{3k+1} + q)$ , which implies that it is even. Thus, by the principles of induction we have shown that all  $F_{3k}$  are even.