

### **Individual Self-Certification**

This is an example document for demonstration purposes only

#### **Instructions for completion**

Sidecar is obliged under the Tax information Authority Law, the Regulations, and Guidance Notes made pursuant to that Law, and treaties and intergovernmental agreements entered into by the local Tax Authority in relation to the automatic exchange of information for tax matters (collectively "AEOI"), to collect certain information about each account holder's tax status. To ensure compliance, please complete this form and provide any additional information requested. Note that in certain circumstances, Sidecar may be required to share this and other information with relevant tax authorities. Please complete the sections below as directed and provide any additional information that is requested. Terms referenced in this Form shall have the same meaning as applicable under the relevant Common Reporting Standard Regulations, Guidance Notes or international agreements.

If any of the information below regarding your tax residence or AEOI classification changes in the future, please ensure you advise us of these changes promptly. If you have any questions about how to complete this Form, please contact your tax advisor

**Please note that where there are joint account holders each investor is required to complete a separate Self-Certification form.**

#### **Section 1: Account Holder Identification**

Account Holder Name	Date of Birth (dd/mm/yyyy)	Place and Country of Birth
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#### **Permanent Residence Address:**

Number & Street	City/Town
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State/Province/County	Post Code	Country
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#### **Mailing address (if different from above):**

Number & Street	City/Town
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State/Province/County	Post Code	Country
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#### **Section 2: Declaration of U.S. Citizenship or U.S. Residence for Tax purposes**

Please tick either (a) **or** (b) **or** (c) and complete as appropriate.

(a) ☐ I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes (green card holder or resident under the substantial presence test) and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

(b) ☐ I confirm that I was born in the U.S. (or a U.S. territory) but am no longer a U.S. citizen as I have voluntarily surrendered my citizenship as evidenced by the attached documents.

(c) ☐ I confirm that **I am not** a U.S. citizen or resident in the U.S. for tax purposes.

**Complete section 3 if you have non-U.S. tax residences.**

**Section 3: Declaration of Tax Residency (other than U.S.)**

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country).

Country/countries of tax residency	Tax reference number type	Tax reference number

Please indicate not applicable if jurisdiction does not issue or you are unable to procure a tax reference number or functional equivalent. If applicable, please specify the reason for non-availability of a tax reference number:

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**Part 4 Declaration and Undertakings**

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete. Where legally obliged to do so, I hereby consent to the recipient sharing this information with the relevant tax information authorities.

I acknowledge that it is an offence to make a self-certification that is false in a material particular.

If the account holder does any of the following actions below, the account holder is deemed to be a recalcitrant person and will be reported to the NTS.

- Refusing to submit self-certification or documentary evidence
- Delaying the submission of self-certification or documentary evidence
- Fabricating the contents of the self-certification or documentary evidence or submitting such forms
- Failing to report any change in circumstances in the self-certification or documentary evidence to the Reporting Financial Institution
- Obstructing any other reporting obligations

Signature: \_\_\_\_\_

Date  
(dd/mm/yyyy): \_\_\_\_\_