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AVL Tree | Set 1 (Insertion)

AVL tree is a self-balancing Binary Search Tree (BST) where the difference between heights of left and right subtrees cannot be more than one for all nodes.

Why AVL Trees?

Most of the BST operations (e.g., search, max, min, insert, delete.. etc) take $O(h)$ time where h is the height of the BST. The cost of these operations may become $O(n)$ for a skewed Binary tree. If we make sure that height of the tree remains

$O(\log n)$ for all these operations. The height of an AVL tree is always $O(\log n)$ where n is the number of nodes in the tree (See [this](#) video lecture for proof).

Insertion

To make sure that the given tree remains AVL after every insertion, we must



GeeksforGeeks



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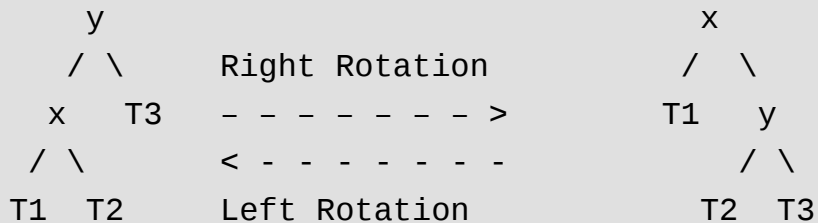
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the BST property ($\text{keys}(\text{left}) < \text{key}(\text{root}) < \text{keys}(\text{right})$). 1) Left Rotation 2) Right Rotation

T1, T2 and T3 are subtrees of the tree rooted with y (on left side)
or x (on right side)



Keys in both of the above trees follow the following order

$\text{keys}(T1) < \text{key}(x) < \text{keys}(T2) < \text{key}(y) < \text{keys}(T3)$

So BST property is not violated anywhere.

Steps to follow for insertion

Let the newly inserted node be w

- 1) Perform standard BST insert for w.
- 2) Starting from w, travel up and find the first unbalanced node. Let z be the first

grandchild of z that comes on the path from w to z.

- 3) Re-balance the tree by performing appropriate rotations on the subtree rooted with z. There can be 4 possible cases that needs to be handled as x, y and z can be arranged in 4 ways. Following are the possible 4 arrangements:

- a) y is left child of z and x is left child of y (Left Left Case)
- b) y is left child of z and x is right child of y (Left Right Case)
- c) y is right child of z and x is right child of y (Right Right Case)

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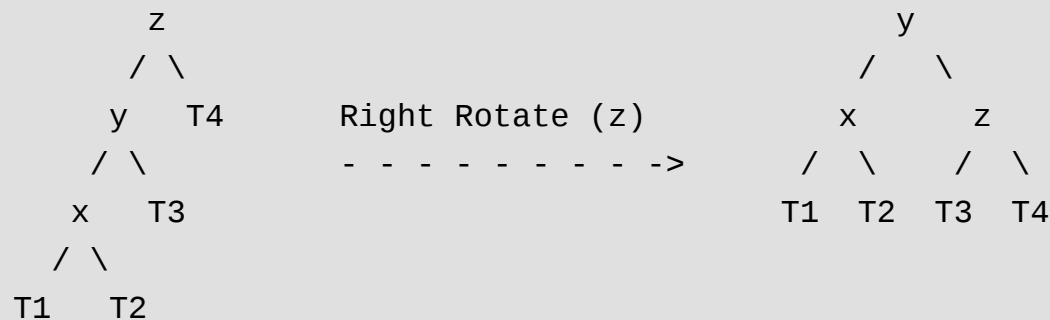
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d) y is right child of z and x is left child of y (Right Left Case)

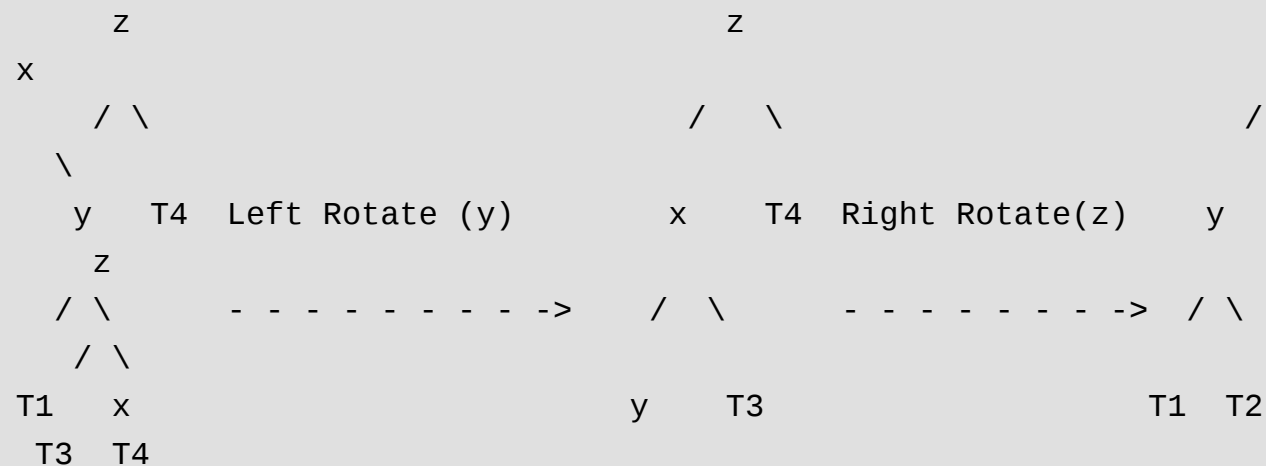
Following are the operations to be performed in above mentioned 4 cases. In all of the cases, we only need to re-balance the subtree rooted with z and the complete tree becomes balanced as the height of subtree (After appropriate rotations) rooted with z becomes same as it was before insertion. (See [this](#) video lecture for proof)

a) Left Left Case

T1, T2, T3 and T4 are subtrees.



b) Left Right Case



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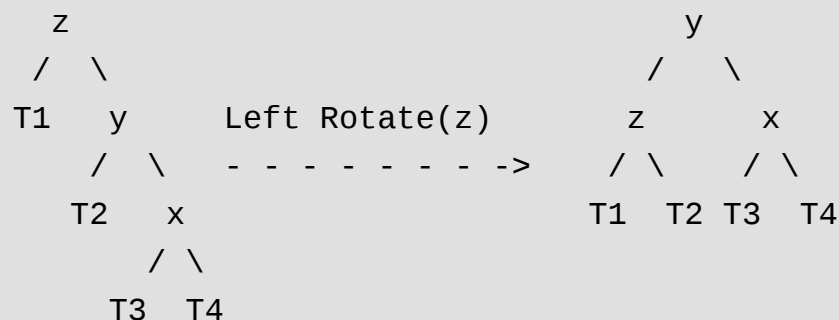
```

      / \
     T2  T3
  
```

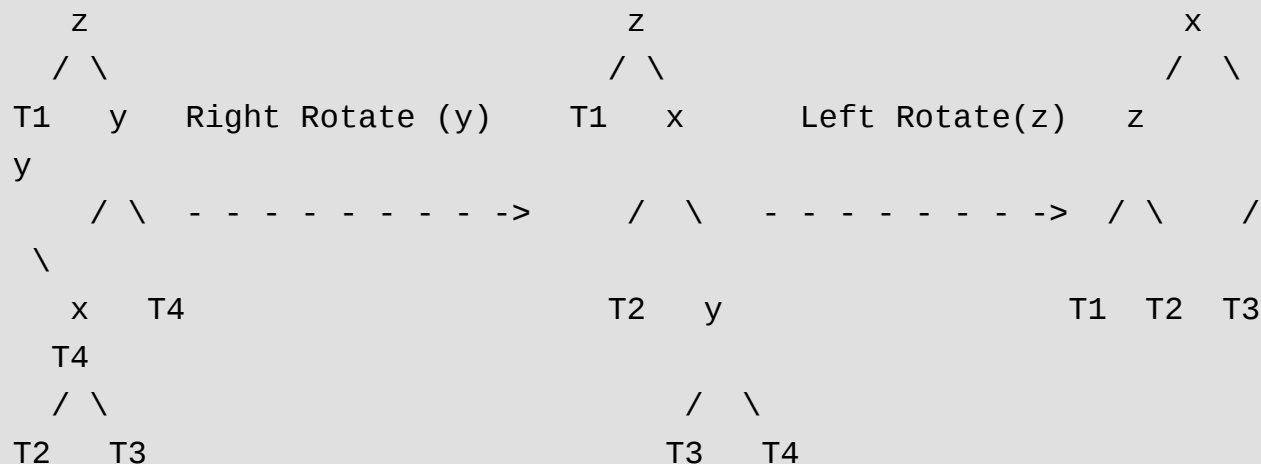
```

      / \
     T1  T2
  
```

c) Right Right Case



d) Right Left Case



implementation

uses the recursive BST insert to insert a new node.

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invit
by Thermo I

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In the recursive BST insert, after

insertion, we get pointers to all ancestors one by one in bottom up manner. So we don't need parent pointer to travel up. The recursive code itself travels up and visits all the ancestors of the newly inserted node.

- 1) Perform the normal BST insertion.
- 2) The current node must be one of the ancestors of the newly inserted node. the height of the current node.
- 3) Get the balance factor (left subtree height – right subtree height) of the current node.
- 4) If balance factor is greater than 1, then the current node is unbalanced and we are either in Left Left case or left Right case. To check whether it is left left case or not, compare the newly inserted key with the key in left subtree root.
- 5) If balance factor is less than -1, then the current node is unbalanced and we are either in Right Right case or Right Left case. To check whether it is Right Right case or not, compare the newly inserted key with the key in right subtree root.

C

Java

```
#include<stdio.h>
#include<stdlib.h>

// An AVL tree node
struct node
{
    int key;
    struct node *left;
    struct node *right;
```

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Ashish Jaiswal

C code:

```
#include<stdio.h>
```

```
#include<stdlib.h>...
```

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```

    int height;
};

// A utility function to get maximum of two integers
int max(int a, int b);

// A utility function to get height of the tree
int height(struct node *N)
{
    if (N == NULL)
        return 0;
    return N->height;
}

// A utility function to get maximum of two integers
int max(int a, int b)
{
    return (a > b)? a : b;
}

/* Helper function that allocates a new node with the given
key and
NULL left and right pointers. */
struct node* newNode(int key)
{
    struct node* node = (struct node*)
                        malloc(sizeof(struct node));

    node->key    = key;
    node->left   = NULL;
    node->right  = NULL;
    node->height = 1; // new node is initially added at le

```

Gitesh Narula

<http://ideone.com/u3eOUs> . Can anyone tell me...

Count Inversions in an array · 3 hours ago

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```

af
    return(node);
}

// A utility function to right rotate subtree rooted with y
// See the diagram given above.
struct node *rightRotate(struct node *y)
{
    struct node *x = y->left;
    struct node *T2 = x->right;

    // Perform rotation
    x->right = y;
    y->left = T2;

    // Update heights
    y->height = max(height(y->left), height(y->right))+1;
    x->height = max(height(x->left), height(x->right))+1;

    // Return new root
    return x;
}

// A utility function to left rotate subtree rooted with x
// See the diagram given above.
struct node *leftRotate(struct node *x)
{
    struct node *y = x->right;
    struct node *T2 = y->left;

    // Perform rotation

```

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```

y->left = x;
x->right = T2;

// Update heights
x->height = max(height(x->left), height(x->right))+1;
y->height = max(height(y->left), height(y->right))+1;

// Return new root
return y;
}

// Get Balance factor of node N
int getBalance(struct node *N)
{
    if (N == NULL)
        return 0;
    return height(N->left) - height(N->right);
}

struct node* insert(struct node* node, int key)
{
    /* 1. Perform the normal BST rotation */
    if (node == NULL)
        return(newNode(key));

    if (key < node->key)
        node->left = insert(node->left, key);
    else
        node->right = insert(node->right, key);

    /* 2. Update height of this ancestor node */

```



```

node->height = max(height(node->left), height(node->right)) + 1;

/* 3. Get the balance factor of this ancestor node to check whether
   this node became unbalanced */
int balance = getBalance(node);

// If this node becomes unbalanced, then there are 4 cases

// Left Left Case
if (balance > 1 && key < node->left->key)
    return rightRotate(node);

// Right Right Case
if (balance < -1 && key > node->right->key)
    return leftRotate(node);

// Left Right Case
if (balance > 1 && key > node->left->key)
{
    node->left = leftRotate(node->left);
    return rightRotate(node);
}

// Right Left Case
if (balance < -1 && key < node->right->key)
{
    node->right = rightRotate(node->right);
    return leftRotate(node);
}

```

```

    }

    /* return the (unchanged) node pointer */
    return node;
}

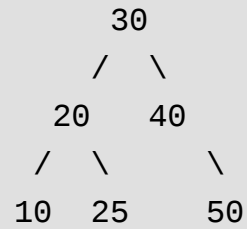
// A utility function to print preorder traversal of the tree.
// The function also prints height of every node
void preOrder(struct node *root)
{
    if(root != NULL)
    {
        printf("%d ", root->key);
        preOrder(root->left);
        preOrder(root->right);
    }
}

/* Driver program to test above function*/
int main()
{
    struct node *root = NULL;

    /* Constructing tree given in the above figure */
    root = insert(root, 10);
    root = insert(root, 20);
    root = insert(root, 30);
    root = insert(root, 40);
    root = insert(root, 50);
    root = insert(root, 25);

```

```
/* The constructed AVL Tree would be
```



```
*/
```

```
printf("Pre order traversal of the constructed AVL tree is\n");
preOrder(root);

return 0;
}
```

Output:

```
Pre order traversal of the constructed AVL tree is
30 20 10 25 40 50
```

Time Complexity: The rotation operations (left and right rotate) take constant time as only few pointers are being changed there. Updating the height and getting the

same as BST insert which is $O(h)$ where h is height of the tree. Since AVL tree is balanced, the height is $O(\log n)$. So time complexity of AVL insert is $O(\log n)$.

The AVL tree and other self balancing search trees like Red Black are useful to get

all basic operations done in $O(\log n)$ time. The AVL trees are more balanced compared to Red Black Trees, but they may cause more rotations during insertion and deletion. So if your application involves many frequent insertions and deletions, then Red Black trees should be preferred. And if the insertions and deletions are less frequent and search is more frequent operation, then AVL tree should be preferred over Red Black Tree.

Following is the post for delete.

[AVL Tree | Set 2 \(Deletion\)](#)

Following are some previous posts that have used self-balancing search trees.

[Median in a stream of integers \(running integers\)](#)

[Maximum of all subarrays of size k](#)

[Count smaller elements on right side](#)

References:

[IITD Video Lecture on AVL Tree Introduction](#)

[IITD Video Lecture on AVL Tree Insertion and Deletion](#)

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.



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pranav adarsh · 16 days ago

IS This right code I am getting right result!!!!

```
#include<stdio.h>
```

```
#include<malloc.h>
```

```
struct avl
```

```
{
```

```
int data;
```

```
struct avl*lf;
```

```
struct avl*rt;
```

```
int height;
```

```
};
```

```
int height(struct avl*n)
```

[see more](#)

^ | v • Reply • Share ›



Jay Solanki • a month ago

There is a problem with insertion.

If using this code if we try to insert 1->2->3

it becomes something like this

```
1
```

```
\
```

```
2
```

```
\
```

3

Here the difference between height of left subtree and right sub tree
Ideally it should be

2

/\

1 3

But it is not happening using this code. as as per condition height
and as the left node is null the height of left subtree is 0. Other wis

[see more](#)

^ | v · Reply · Share ›



Naman Gupta · 2 months ago

Why there is a concept of LR and RL rotations? In and above prog
The second rotation call is made when the control returns to the p
balance factor is greater the 1)

^ | v · Reply · Share ›



Saurav Pradhan · 4 months ago

can avl tree for the same set be different??

^ | v · Reply · Share ›



Prabu R. · 4 months ago

<https://github.com/Prabu073/pr...>

^ | v · Reply · Share ›



Md Tareque Khan • 5 months ago

Can we replace the 4 if statement with if-else ladder in the insert function? I believe only atmost one of the four cases will match, so if-else looks more correct. Besides, having four if, gives a wrong perception that the following if conditions can be true, if execution goes into the first if block (for example).

^ | v • Reply • Share ›



ganpat rao → Md Tareque Khan • 5 months ago

bhakk saale.... ghanta bhi nahi aata tere ko :D

^ | v • Reply • Share ›



Vivek • 8 months ago

what will happen when insert function gets called with duplicate key

^ | v • Reply • Share ›



danish-shark → Vivek • 8 months ago

In the insert function, replace if (key < node->key) with if (key > node->key).
strictly speaking AVL tree is a type of dictionary and all entries are keys. This condition is mandatory for a (key,value) pair to be inserted.

^ | v • Reply • Share ›



Monica Shankar → danish-shark • 7 months ago

no you need a separate case to handle when value is already present

1 ^ | v • Reply • Share ›



Arya → Vivek · 8 months ago

Tagda jaar

^ | v · Reply · Share ›



Vivek · 8 months ago

/* 1. Perform the normal BST rotation */

is it rotation or insertion??

^ | v · Reply · Share ›



Monica Shankar → Vivek · 7 months ago

ordinary insertion where based on the values you traverse

^ | v · Reply · Share ›



Dman · 9 months ago

In insertion in AVL, is their maximum one rotation?

^ | v · Reply · Share ›



Dman · 9 months ago

simple implementation

<https://ideone.com/7sYZnt>

2 ^ | v · Reply · Share ›



nits · a year ago

should height be always incremented, there can be an insertion in ancestor.



Monica Shankar → nits · 7 months ago

Although not discussed here, the height won't be incremented

^ | v · Reply · Share ›



John → nits · 9 months ago

It is not necessarily incremented every time. The +1 is similar to children's height does not change, the current node's height is ALWAYS +1 the height of the children. Hope this helps

^ | v · Reply · Share ›



Piyush · a year ago

Here is my iterative solution :

<http://ideone.com/oyMybx>

^ | v · Reply · Share ›



Varun Khare → Piyush · 9 months ago

it is wrong

^ | v · Reply · Share ›



Mission Peace · a year ago

<https://youtu.be/rbg7Qf8GkQ4>

Check out my video on AVL tree.

^ | v · Reply · Share ›



Guest · a year ago



consider :

z

/ \

y T4

/ \

x T3

now insert T1/T2 :

z

/ \

y T4

/ \

x T3

/

T1

So, imbalance is at z so instead of seeing key < node->left->key less than y , shouldn't we see key < node->left->left->key, i.e. if T1 < x, or T2 > x to distinguish between left left and left right cases?

we DON'T need to see for T3 because tree (z) will already be balanced.

Can anybody clarify it?

^ | v • Reply • Share ›



Sushil Lakra → Guest • 9 months ago

This is left left imbalance, right rotate at z, y will become new root

new tree will look something like this.

y

/ \

x z

// \

T1 T3 T4

^ | v • Reply • Share ›



Right name • a year ago

can someone help me with some ideas for deleting a node in an AVL

^ | v • Reply • Share ›



Abhinav Rai → Right name • a year ago

Deleting the node in AVL tree is just the same as deleting from a BST. You also have to balance the tree; starting from the deleted node.

1 ^ | v • Reply • Share ›



Right name → Abhinav Rai • a year ago

Hello! I don't know if you could give me some help.

Write the

code to transform a graph represented in an adjacency list representation (and the other way around).

Also make sure that the BFS and DFS traversals work on the new representation.

I could use some ideas here! Thanks:)

^ | v • Reply • Share ›



Right name → Abhinav Rai · a year ago

I'll try. Thank you!

^ | v · Reply · Share ›



Abhishek Singh · a year ago

This is incorrect implementation of AVL Tree. To be specific, getB doesn't take care of case where $\text{height}(n \rightarrow \text{right}) - \text{height}(n \rightarrow \text{left}) \geq 0$. As a result what we get is pre-order traversal.

^ | v · Reply · Share ›



GeeksforGeeks Mod → Abhishek Singh · a year ago

Please take a closer look at the code. getBalance() is supposed to handle conditions in insert() which use the returned balance to do

1 ^ | v · Reply · Share ›



Evan Urena → Abhishek Singh · a year ago

Note that AVL trees do not have to have leaf nodes that are skewed. AVL trees can be skewed.

^ | v · Reply · Share ›



Abhishek Singh → Evan Urena · a year ago

I think the whole point of balanced trees like AVL/RL is to reduce complexity. If you're getting skewed trees in AVL, then it's not a balanced tree.

^ | v · Reply · Share ›



Sumit Kesarwani · a year ago

@

^ | v · Reply · Share ›



Hello_world · a year ago

Is it possible to write AVL insertion code in iterative way ?

^ | v · Reply · Share ›



Biphph → Hello_world · a month ago

Iterative AVL is only possible with Parent nodes. The soluti

^ | v · Reply · Share ›



danish-shark → Hello_world · 8 months ago

Yes, I have implemented it in java. But trust me, the recurs

^ | v · Reply · Share ›



ganglu · a year ago

there is a bug in the code, for checks like

if (balance > 1 && key < node->left->key)

node->left could be null and so node->left->key could throw a null

consider this example

construct a new binary tree and insert the following keys in this or
[2,4,7]

1 ^ | v • Reply • Share ›



Sohaib → ganglu • a year ago

This wont ever happen cause balance > 1 can only happen

1 ^ | v • Reply • Share ›



Jimmy → Sohaib • a year ago

As 7 is inserted, the tree is

2 : height = 3

\

4 : height = 2

\

7 : height = 1

When 2 checks its balance it will be = 2

It will then check node.left.key and fire a null pointer though since it's a very simple fix. Just add node.left statements

^ | v • Reply • Share ›



Mohamed Ramdan → Jimmy • a year ago

when 2 checks it will be -2 not 2 !

^ | v • Reply • Share ›



Jimmy → Sohaib • a year ago

No, ganglu is correct. Try it yourself.

| • Reply • Share ›



petros · a year ago

There is a big memory leak in this code but I can't find it.
please help me.

^ | v · Reply · Share ›



am · a year ago

There is a bug somewhere in this code, if you insert from 1->64 it

^ | v · Reply · Share ›



helper · a year ago

my avl tree code, almost similar and in c <http://ideone.com/Ge9y0>

^ | v · Reply · Share ›



Jerry Goyal · a year ago

shouldn't it be x instead of w in "1) Perform standard BST insert for

^ | v · Reply · Share ›



Guest · a year ago

@GeeksforGeeks in left and right rotation we are taking maximum
while updating height

```
y->height = max(height(y->left), height(y->right))+1;
```

```
x->height = max(height(x->left), height(x->right))+1;
```

i think it should be minimum

^ | v · Reply · Share ›



Optimus · a year ago



I think code is incorrect, because we are performing rotation (cons: code we are passing current node which is X. So how it will perform attached..As insertion always take place in the form of BST, so insertion performed in the current node instead of Z

^ | v • Reply • Share ›



Yitao Li • 2 years ago

Fantastic!

Except for some minor fix is actually needed to handle duplicate insertion

```
*** before_dupl_fix.cc Sun Sep 14 17:08:34 2014
```

```
--- after_dupl_fix.cc Sun Sep 14 17:09:33 2014
```

```
*****
```

```
*** 94,99 ****
```

```
--- 94,100 ----
```

```
if (key < node->key)
```

```
node->left = insert(node->left, key);
```

```
+ else if (key == node->key) return node;
```

```
else
```

```
node->right = insert(node->right, key);
```

```
# This would be a test case that terminates with signal 11 before 1
```

```
# struct node *root = NULL;
```

```
# root = insert(root, 1);
```

```
# root = insert(root, 0);
```

```
# root = insert(root, 1);  
# root = insert(root, 1);  
# root = insert(root, 1);  
# root = insert(root, 0);
```

2 ^ | v • Reply • Share ›



Shekhar • 2 years ago

Height of Node :

return -1 ; if node ==NULL

^ | v • Reply • Share ›



sandeep • 2 years ago

in the "steps to follow insertion"

after standard BST insert shouldn't we update the balance factors

^ | v • Reply • Share ›



SubZero • 2 years ago

Wrong code Pal. Leaf node height is 0 and null is -1. All wrong info

^ | v • Reply • Share ›

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