

1. Dinamic Multi-period, Multi-product p-Batch Processing Time Maximization Problem - not finished yet

The Multi-product p-Batch Processing Time Maximization Problem (MPpBPTM) problem arises when a set of different products are processed simultaneously in a same production batch. In this problem, it is considered that the quantity produced of each product is directly proportional to the processing time, however, with a different constant of proportionality (production rate) for each product. In addition, there is a maximum quantity allowed for the production of batch products, defined both individually and for the set. The production quantity of each product is mainly defined according to the demand for the product. However, it is still possible to stock the products and / or send them to the outlets. In both cases, there is a stocking / shipping limit for each product and a stocking / shipping limit for the set of products in the batch. Also, there is a time limit available for processing the batch. About the production, the industry manager has as priority to meet demand and he prefer to send the remaining production to outlets rather than stock it in the factory.

The Dinâmico Multi-período, Multi-produto p-Batch Processing Time Maximization (DMPMPpBPTM) problem é uma versão mais complexa do MPpBPTM na qual considera-se um horizonte de planejamento contendo mais do que um único período (dias, semanas, etc). Neste caso é necessário definir o tempo máximo de processamento de cada lote para o período atual, mas considerando um horizonte de planejamento contendo vários períodos. Adicionalmente, em um cenário dinâmico, consideramos que já existe um planejamento prévio para produção e entregas. Neste caso o objetivo consiste em definir um encaixe de produção para atender às novas demandas.

O DMPMPpBPTM é mais complexo do que o MPpBPTM porque, no caso do primeiro, a decisão sobre a produção de determinado produto em determinado período pode influir fortemente nos limites permitidos para produção do mesmo produto e de outros produtos em outros períodos. E o mesmo é válido para os limites de estocagem definidos em cada período.

Para melhor compreensão do problema DMPMPpBPTM e de sua complexidade, considere o problema MPpBPTM com dois produtos e um único período de planejamento (1 dia) apresentado na Tab. 1, e o problema DMPMPpBPTM com dois produtos e um horizonte de planejamento de dois dias, conforme apresentado na Tab. 2, onde: p_i is the production rate of product i ; UD_i is the demand for the product i ; UD_{id} is the demand for the product i on day d ; UO_i is the maximum amount of product i that can be shipped to outlets; UI_i é o limite de estoque para o produto i ; UI_{id} é o limite de estoque para o produto i no dia d ; O is the maximum quantity allowed for shipment of all products to outlets; I é o limite de estoque in the factory para all products in the batch, on the first day de planejamento; Z is the timeout for batch processing; Z_d is the timeout for batch processing on day d .

Table 1: **Benchmark MPpBPTM 02.**

i	1	2	total	
p_i	60	40		
UD_i	1000	500		
UO_i	600	600	O	1000
UI_i	3000	2000	I	3000
		Z		100

Table 2: **Benchmark DMPMPpBPTM 02.**

i	1	2	total	
p_i	60	40		
UD_{i1}	1000	500		
UD_{i2}	200	500		
UO_i	600	600	O	1000
UI_{i1}	3000	2000	I_1	3000
UI_{i2}	1500	2000	I_2	1500
		Z		100

No caso do problema MPpBPTM 02, desejamos encontrar o tempo máximo de planejamento em um único período, T , para o lote contendo os produtos 1 e 2. Neste caso, podemos encontrar T aplicando as equações matemáticas (1) e (2) propostas por Fraga (2024) para solução deste problema:

$$T^* = \lfloor \min\{\min_i\{(UO_i + UI_i + UD_i)/p_i\}, (O + I + \sum_i UD_i) / \sum_i p_i\} \rfloor \quad (1)$$

$$T = \min\{T^*, Z\} \quad (2)$$

Aplicando estas fórmulas, teremos a solução $T = 55$. A produção e a distribuição desta produção para atendimento à demanda, envio para outlets e estoque em fábrica são apresentados na Tab. 3.

Table 3: **Results obtained for the MPpBPTM 02.**

product	production	delivered	send to outlets	stocked in factory
1	3,300	1,000	400	1,900
2	2,200	500	600	1,100

Já no caso do problema DMPMPpBPTM 02, apresentado na Tab. 2, é necessário considerar que existe um planejamento prévio para o segundo período, o qual não pode ser alterado e, portanto, variação nos limites de estocagem. Há ainda uma demanda prevista adicional com previsão de entrega para o segundo período.

Por exemplo, no caso do problema apresentado na Tab. 2, antes de considerar qualquer planejamento, temos um limite de estocagem para o produto 2 de 3000 unidade no primeiro dia. Contudo este limite é reduzido para 1500 unidade no segundo dia. Isso pode ser justificado, por exemplo, com uma produção previamente planejada de 1500 unidades do produto 1 para o segundo período.

A Tab. 4 apresenta uma atualização dos dados do problema DMPMPpBPTM 02, considerando a solução apresentada para o problema MPpBPTM 02, $T_1 = 55$, e usando a produção do primeiro período para atender a demanda do segundo período.

Table 4: **Atualização das informação do DMPMPpBPTM 02, após primeiro período com $T_1 = 55$.**

i	1	2	total	
p_i	60	40		
UD_{i1}	0	0		
UD_{i2}	0	0		
UO_i	100	100	O	0
UI_{i1}	1,100	900	I_1	0
UI_{i2}	- 200	1400	I_2	-1,000
		T_1		55
		Z_2		50

Conforme é possível verificar na Tab. 4, considerando $T_1 = 55$, teríamos um excesso de estoque para o segundo período. Este resultado comprova que a determinação do tempo máximo de produção do lote no primeiro período deve levar em conta as informações relativas aos outros períodos. É possível demonstrar que isso é válido para todos os períodos considerados. Contudo tal demonstração não será apresentada neste artigo.

O problema DMPMPpBPTM portanto, consiste em definir o tempo máximo de produção para um lote multi-produtos paralelos, tendo como base os seguintes aspectos:

- são considerados N produtos, $i = 1, \dots, N$, que devem ser processados simultaneamente em um único lote;
- cada produto é produzido de acordo com uma taxa de produção conhecida, p_i , a qual pode variar de um produto para outro;
- é considerado um horizonte de planejamento com D períodos, $d = 1, \dots, D$;
- o lote será processado no primeiro período;
- existe uma demanda conhecida para cada produto em cada período, UD_{id} ;
- existe um limite conhecido para a quantidade enviada de cada produto para os outlets, UO_i ;
- existe um limite conhecido para a quantidade enviada do conjunto de produtos para os outlets, O;
- as quantidades de cada produto solicitadas diretamente pelos outlets são entendidas como demanda e não influem nos limites anteriormente definidos;
- existe um limite conhecido para a quantidade de cada produto que pode ser estocada em fábrica, UI_{id} , sendo que estes limites podem variar de um período para outro;

- existe um limite conhecido para a quantidade total do conjunto de produtos que pode ser estocada em fábrica, U_d , sendo que este limite também pode variar de um período para outro;
- não é atribuída nenhuma prioridade para quais produtos devem ser estocados em fábrica ou enviados para os outlets;
- existe um tempo máximo para processamento do lote, Z ;
- a produção deve prioritariamente atender à demanda, depois ser enviada para os outlets e, a quantidade restante estocada em fábrica, de acordo com as restrições conhecidas;
- o tempo máximo de processamento para o lote de produtos em cada período, T_d , deve ser definido de forma que as restrições acima elencadas sejam todas respeitadas.

A seção à seguir um modelo matemático para o problema DMPMPpBPTM.

1.1 Mathematical Model - not finished yet

Given that:

UD_i is the demand for the product i ;

I is the maximum quantity allowed for additional factory storage of all products in the batch;

UI_i is the maximum quantity allowed for stocking the product i in the factory;

O is the maximum quantity allowed for shipment of all products to outlets;

UO_i is the maximum amount of product i that can be shipped to outlets;

p_i is the production rate of product i ;

Z is the timeout for batch processing;

P_i is the amount of product i produced;

D_i is the amount of product i delivered for the demand;

O_i amount of product i shipped to factory outlets;

I_i is the amount of product i that will be stored at the factory;

T is the batch processing time;

We have the problem:

$$\max \quad T \quad (3)$$

s.t.

$$P_i - p_i * T = 0 \quad \forall i \quad (4)$$

$$P_i - D_i - O_i - I_i = 0 \quad \forall i \quad (5)$$

$$D_i \leq UD_i \quad \forall i \quad (6)$$

$$O_i \leq UO_i \quad \forall i \quad (7)$$

$$\sum_i O_i \leq O \quad (8)$$

$$I_i \leq UI_i \quad \forall i \quad (9)$$

$$\sum_i I_i \leq I \quad (10)$$

$$T \leq Z \quad (11)$$

$$\min\{UD_i - D_i, O_i + I_i\} = 0 \quad \forall i \quad (12)$$

$$\min\{O - \sum_i \{O_i\}, UO_i - O_i, I_i\} = 0 \quad \forall i \quad (13)$$

$$T, D_i, O_i, I_i \in \mathbb{Z}^+ \quad \forall i \quad (14)$$

where constraints in Eq. (2) relate the quantity produced, P_i , to batch processing time T . Constraints in Eq. (3) calculate the quantity produced, P_i , as a function of the primary variables, D_i , O_i and I_i . Constraints in Eq. (4), (5), and (7) state that the quantity delivered to demand, the quantity shipped to the outlets, and the factory-stocked quantity of each product must be less than their respective known limits. Constraints defined by Eq. (6) and (8) state that both the sum of product quantities sent to the outlets and the sum of product quantities stocked in the factory must be less than their respective maximum allowed values. The restriction in Eq. (9) establishes that there is a batch processing time limit, Z , that must be respected. Equations (10) and (11) define the production distribution priority. And finally, the constraints in Eq. (12) inform the nature of the decision variables.

1.2 Analytical Solution

The method proposed in this paper for solution of the MPpBPTM problem is composed of two distinct parts, which are: a) calculation of the maximum processing time of the predefined multi-product p-batch; and b) calculation of the part of the production used to meet demand, the part of the production sent to outlets and the part of the production stocked in factory. Next, we explain each of these parts in detail.

Calculation of maximum p-batch processing time:

It is possible to split the batch processing time into two time slots:

$$T = T' + T'' \quad (15)$$

where T' is the maximum processing time whose production will be used exclusively to meet the demand.

Then, being D'_i the quantity produced of the product i after T' units of processing time, we have:

$$D'_i - p_i * T' = 0 \quad \forall i \quad (16)$$

$$D'_i \in Z \quad \forall i \quad (17)$$

and, as $D'_i \leq D_i, \forall i$, we have:

$$D'_i \leq UD_i \quad \forall i \quad (18)$$

From the Eq. (16) and the restrictions in Eq. (17) and (18), we have:

$$T' \leq \lfloor UD_i / p_i \rfloor \quad \forall i \quad (19)$$

And, as we wish maximum value to T' , from Eq. (19) we have:

$$T' = \min_i \{ \lfloor UD_i / p_i \rfloor \} \quad (20)$$

Observe that there may be a leftover corresponding to the demand not met by the production in the first part of the processing time, T' . This leftover, S_i , will be defined according to the equations in Eq. (21):

$$S_i = UD_i - D'_i \quad \forall i \quad (21)$$

It is also possible to consider that outlets are extensions of the factory stock. Therefore, it is possible to consider the factory stock of product i and the outlets stock i as single stock for the product i , E_i , so that:

$$E_i = O_i + I_i \quad (22)$$

$$E_i \leq UO_i + UI_i \quad (23)$$

$$\sum_i E_i \leq O + I \quad (24)$$

$$E_i \in Z \quad \forall i \quad (25)$$

As the time T' was used only for production that will meet the demand, T'' will be the remaining processing time, *i.e.* the maximum processing time used for production that will meet the leftover of demand, sent to the outlets and stored in factory. So we have:

$$E_i + S_i - p_i * T'' = 0 \quad \forall i \quad (26)$$

Thus, after replacing Eq. (26) in Eq. (23) and applying some algebra, we have:

$$T'' \leq (UO_i + UI_i + S_i) / p_i \quad \forall i \quad (27)$$

And, after replacing Eq. (26) in Eq. (24) and applying some algebra, we have:

$$T'' \leq (O + I + \sum_i S_i) / \sum_i p_i \quad (28)$$

Finally, as T'' is an integer value and we want to maximize this value, then:

$$T'' = \lfloor \min_i \{ \min \{ (UO_i + UI_i + S_i) / p_i \}, (O + I + \sum_i S_i) / \sum_i p_i \} \rfloor \quad (29)$$

So, the analytical method for finding maximum T can be decomposed into 4 steps:

step 1: find T' , where:

$$T' = \lfloor \min_i \{UD_i/p_i\} \rfloor \quad (30)$$

step 2: calculate S_i for all products.

$$S_i = UD_i - p_i * T' \quad \forall i \quad (31)$$

step 3: find T'' , where:

$$T'' = \lfloor \min_i \{ \min \{ (UO_i + UI_i + S_i)/p_i \}, (O + I + \sum_i S_i) / \sum_i p_i \} \rfloor \quad (32)$$

step 4: calculate T , where:

$$T^* = T' + T'' \quad (33)$$

$$T = \min\{T^*, Z\} \quad (34)$$

Note that, as we reduce the value of T' , the consequent reduction of D'_i will be directly attributed to the leftover of demand S_i and, therefore, there will be no change in the optimal solution if it is considered to T' , a value between 0 and the value found in step 1. Thus it is possible to use a simplification of the method presented, making $T' = 0$. In this case $S_i = UD_i, \forall i$. The simplified version of the method is presented below:

step 1: find T^* , where:

$$T^* = \lfloor \min_i \{ \min \{ (UO_i + UI_i + UD_i)/p_i \}, (O + I + \sum_i UD_i) / \sum_i p_i \} \rfloor \quad (35)$$

step 2: calculate T , where:

$$T = \min\{T^*, Z\} \quad (36)$$

This simplification of the method demonstrates that the value calculated to T does not depend on the way the production of the product i , P_i , is distributed between service to demand, D_i , outlets, O_i , and factory's stock, I_i .

Full solution:

We can determine now the values of D_i , O_i and I_i , $\forall i$, simply attending the priorities for distribution. The following three algorithms can be used to find a complete solution: The Algorithm (1) is used for finding an optimal value for T . Then an initial production distribution can be done by the Algorithm (2). This distribution is made without considering the restrictions defined for the set of products. SO and SI represent, respectively, the total amount that can be sent to the outlets and the total amount that can be stocked in factory after the initial distribution. If this values are negative, this indicates that the proposed initial distribution is not a feasible distribution. So, after applying Algorithm (2), if $SO \geq 0$ and $SI \geq 0$, solution found is an optimal solution. However, if $SO < 0$ or $SI < 0$, solution is not yet feasible. But as the solution found to T is feasible to the problem defined in Eq. (3) to (14), we have the following statements:

$$if \quad SO < 0 \quad then \quad SI > 0 \quad and \quad |SO| < SI$$

$$if \quad SI < 0 \quad then \quad SO > 0 \quad and \quad |SI| < SO$$

Algorithm 1 Solving MPpBPTM problem | Part 01 - find an optimal T to the problem defined in (3) to (14).

Require: $UD_i, UO_i, UI_i, p_i, \forall i, O, I, Z$

$$T^* \leftarrow \lfloor \min_i \{ \min \{ (UO_i + UI_i + UD_i)/p_i \}, (O + I + \sum_i UD_i) / \sum_i p_i \} \rfloor$$

$$T \leftarrow \min\{T^*, Z\}$$

Return: T .

Therefore it is possible to meet the restrictions by applying the Algorithm (3). This algorithm redistributes the production of factory's stock to the outlets or vice versa, respectively, due to having a SI or SO negative.

2. RESULTS AND DISCUSSION

To test the developed model and analytical solution method, we created a solver in LINGO named MPpBPTM.lng and also a solver in C++ named COPSolver: library for solving the multi-product p-batch processing time maximization problem, both available at codeocean and GitHub. A brief explanation of the software and links for downloads can be found in the paper of Fraga (2023). The tests were performed on a notebook with an Intel i7 processor. We tested the

Algorithm 2 Solving MPpBPTM problem | Part 02 - calculate D_i , O_i and I_i , $\forall i$, ignoring the restrictions for the set of products of the batch.

Require: $UD_i, UO_i, UI_i, p_i, \forall i, O, I, Z, T$.

for all i **do**

$E_i \leftarrow T * p_i$
 $D_i \leftarrow \min\{UD_i, E_i\}$
 $E_i \leftarrow E_i - D_i$
 $O_i \leftarrow \min\{UO_i, E_i\}$
 $E_i = E_i - O_i$
 $UO_i = UO_i - O_i$
 $I_i = E_i$
 $UI_i = UI_i - I_i$

end for

$SO = O - \sum_i O_i$

$SI = I - \sum_i I_i$

Return: $D_i, O_i, I_i, E_i, UO_i, UI_i, \forall i, SO, SI$.

Algorithm 3 Solving MPpBPTM problem | Part 03: redistribute production to comply with limitation restrictions for the batch set.

Require: $O_i, I_i, UO_i, UI_i, \forall i, SO, SI$.

if $SO < 0$ **then**

for all i **do**

$O_i \leftarrow O_i - \min\{O_i, UI_i, |SO|\}$
 $I_i \leftarrow I_i + \min\{O_i, UI_i, |SO|\}$
 $SO \leftarrow SO + \min\{O_i, UI_i, |SO|\}$
if $SO = 0$ **then** break looping for;
end if

end for

end if

if $SI < 0$ **then**

for all i **do**

$I_i \leftarrow I_i - \min\{I_i, UO_i, |SI|\}$
 $O_i \leftarrow O_i + \min\{I_i, UO_i, |SI|\}$
 $SI \leftarrow SI + \min\{I_i, UO_i, |SI|\}$
if $SI = 0$ **then** break looping for;
end if

end for

end if

Return: $O_i, I_i, \forall i$.

solvers developed for the benchmarks presented on Tab. 5, 6 and 7, and for random benchmarks generated by the following functions:

$$p_i = \text{rand}() \% 30 + 10 \quad (37)$$

$$UD_i = \text{rand}() \% 3000 + 800; \quad (38)$$

$$\text{seed1} = \text{rand}() \% 3000 + 500; \quad (39)$$

$$\text{seed2} = \text{rand}() \% 5000 + 1000; \quad (40)$$

$$O = N/2 * \text{seed1}; \quad (41)$$

$$UO_i = \text{rand}() \% (\text{seed1} - 500) + 500; \quad (42)$$

$$I = N/2 * \text{seed2}; \quad (43)$$

$$UI_i = \text{rand}() \% (\text{seed2} - 1000) + 1000; \quad (44)$$

$$Z = 100; \quad (45)$$

Randomly generated benchmarks were named RMPpBPTM N, being N the number of products. Seeking to enable the reproduction of the results, in the computational construction of the benchmarks we used the function `srand((unsigned)`

Table 5: **Benchmark MPpBPTM 2.**

i	1	2	total
p_i	60	40	
UD_i	1000	500	
UO_i	600	600	1000
UI_i	3000	2000	3000
Z			100

Table 6: **Benchmark MPpBPTM 3.**

i	1	2	3	total
p_i	60	40	50	
UD_i	1000	500	800	
UO_i	600	600	600	1500
UI_i	3000	2000	1000	3500
Z				100

Table 7: **Benchmark MPpBPTM 10.**

i	1	2	3	4	5	6	7	8	9	10	total
p_i	60	40	50	40	30	50	60	10	20	40	
UD_i	1000	500	800	500	400	500	2000	300	500	1000	
UO_i	600	600	600	1500	300	200	500	800	0	200	3000
UI_i	3000	2000	1000	800	3000	1000	400	300	200	0	5000
Z											100

source), where source is a defined value. To build the results presented in this work, we used source=0. Files containing benchmarks used for the tests performed and all results can be found at tbfraga.github.io/COPSolver/benchmarks.

Table 8 presents the results obtained by applying the analytical method (COPSolver) and the LINGO solver for the solution of the previously presented benchmarks. Tables (9) and (10) shows the production distribution for MPpBPTM 3 and 10 found by both COPSolver and LINGO solver. It is important to point out that the LINGO solver was developed with the purpose of validating the results found by the proposed analytical method. It is possible to verify that both solvers are capable of finding optimal solutions for the proposed benchmarks very quickly, even for very large-scale problems. However, as the analytical method is a polynomial time complexity method, it is much more efficient. In addition, the modular structure of the library developed for COPSolver allows the easy application of the proposed solution method when developing new heuristics for solving MPpBS problems, making this tool a significant technological contribution to the production management of some industries as well as for researchers in the area. Since we have considered here a static problem, in a future work we will study the complexities of solving the problem dynamically and try to propose new solution methods if needed.

Table 8: **Results obtained with the LINGO solver and the analytical method.**

problem	LINGO solver	time (s)	analytical method	time (s)
MPpBPTM 2	55	0.03	55	< 0.01
MPpBPTM 3	48	0.03	48	< 0.01
MPpBPTM 10	30	0.05	30	< 0.01
RMPpBPTM 20	100	0.06	100	< 0.01
RMPpBPTM 50	98	0.08	98	< 0.01
RMPpBPTM 100	98	0.11	98	< 0.01
RMPpBPTM 1,000	78	1.20	78	< 0.01
RMPpBPTM 2,000	70	3.25	70	< 0.01
RMPpBPTM 5,000	70	15.12	70	< 0.02
RMPpBPTM 10,000	70	55.19	70	< 0.04

Table 9: Results obtained with the LINGO solver and COPSolver for the MPpBPTM 3.

product	production	delivered	send to outlets	stocked in factory
P1	2,880	1,000	300	1,580
P2	1,920	500	600	820
P3	2,400	800	600	1,000

Table 10: Results obtained with the LINGO solver and COPSolver for the MPpBPTM 10.

product	production	delivered	send to outlets	stocked in factory
P1	1,800	1,000	400	400
P2	1,200	500	600	100
P3	1,500	800	600	100
P4	1,200	500	700	0
P5	900	400	300	200
P6	1,500	500	200	800
P7	1,800	1800	0	0
P8	300	300	0	0
P9	600	500	0	100
P10	1,200	1000	200	0

3. CONCLUSIONS AND SUGGESTIONS FOR FUTURE WORKS

In this paper we presented the multi-product p-batch processing time maximization problem, as well as a mathematical model and an exact analytical solution method for this problem. The mathematical model and analytical method were tested, respectively, by a solver developed with the LINGO software, from LINDO Systems, and with a solver developed in C++ language. Optimum results were found for all proposed benchmarks, very quickly, even in the case of very large-scale problems, which demonstrates the efficiency of the proposed method. As in this paper we considered a static problem, in a future work we will study the complexities that arise when considering the same problem in a dynamic scenario. We will also verify if there is the possibility of extending the proposed analytical method to solve a class of integer programming problems with similar characteristics to the studied problem.

4. CREDIT AUTHORSHIP CONTRIBUTION STATEMENT

T.B. Fraga: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Data Curation, Writing – original draft, Writing – review & editing, Supervision, Project administration. Í.R.B. Aquino: Investigation. R.C.S. Menêzes: Investigation.

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