Highlights

Multi-product Batch Processing Time Maximization Problem

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- brief bibliographic review on multi-product batch problems;
- Multi-product Batch Processing Time Maximization (MBPTM) problem definition;
- linear integer programming model for the MBPTM problem;
- exact optimization method for solving the MBPTM problem.

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Abstract

In the plastic bag extrusion process, it is necessary to determine the optimal processing time for batches formed by different products, which are processed simultaneously by the same extruder, but with different processing rates. The batch processing time must be determined in order to meet a series of known constraints, such as the limitation for the quantity produced for each product and for the quantity produced for the set of all products in the same batch. In this paper we present this problem as a new combinatorial optimization problem named Multi-product Batch Processing Time Maximization (MBPTM) problem. We also present a mathematical model for the MBPTM problem and an analytical solution method with polynomial time complexity, which proved to be able to obtain optimal solutions for several benchmarks in a very short time, even for very large instances.

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1. Introduction

The term muti-product batch is usually applied to designate a type of industrial process in which the productions of different products batches share the same production facilities, but such facilities can process only a single products batch (or a limited set of products batches) at a time. The scientific literature address some different problems related to such industrial enviroment. Among these problems we have the multi-product batch plant design problem witch is concerned to obtain the configuration of the plant and the equipment that minimize the capital cost of all the equipment items needed to fulfill the production requirements (Ravemark and Rippin, 1998). Another important problem is the multi-product batch scheduling (MPBS), in which it is necessary to determine the production sequence of the products and the ideal batch size for each product based on the known demand (Eilon, 1985). Since a single product (or a limited set of products) is (are) processed at a time, it is necessary that the quantity produced of each product is sufficient to meet the demand during the period in which other products batches are being processed. Also, the solution must be determined in such a way that both setup and storage costs are minimized (Eilon, 1985; Omega Journal, 1993; Liu et al., 2020). Some cientific works impose additional constraints on the MPBS problem - such as release times and due dates for each

products batch production, and sequencing constraints due to mismatched product colors sequences - and focus on other goals - such as optimize customer satisfaction and/or the plant performance (Méndez et al., 2000; Shi et al., 2017). Also, the cientific works consider MPBS problems within different manufacturing plants - such as single unit or serial flowshop plant, in which all products follow a linear flow through the production stages; parallel plants in which production units of the same type are arranged in parallel, forming each production stage (Méndez et al., 2000; Shi et al., 2017); and networked processes, in wich production units are not arranged in lines, and the connection between the units may or may not exist so that the production paths in the flowshop network can be different for each product and the passing of previous batch can be possible so that the final sequence of the production at the last stage units can be different from the initial sequence (Kim et al., 1996). In parallel MPBS as well as in networked processes, it can also be necessary to define in which units each product will be processed.

In all papers presented above, each batch contains only one product type, and each machine can process only one batch (or product type) at a time. Li et al. (2022) deal with a PMPBS problem involving incompatible families with different job sizes and capacity constraints. In this problem, solutions are accepted in which two or more batches are processed simultaneously on the same machine. However, there are no production limitations for the production of each product group. The paper of Li et al. (2022) also presente an extensive literature review on production batch scheduling problems. Other

important works deal with the problem of a multi-product batch plant design (MPBPD). In this case the problem is to obtain the configuration of the plant and the equipment that minimize the capital cost of all the equipment items needed to fulfill the production requirements (Ravemark and Rippin, 1998).

In this work, we are concerned with determining the ideal processing time for a single batch consisting of a set of products, each product being or not different from the other products of the same batch. That is, we consider a specific case where different products are processed simultaneously on the same processor. This case occurs, for example, during the processing of plastic bags in extruders, where the resins are melted, then pass through a cylinder forming a balloon and this balloon, after cooling, is stored in a coil which, in turn, is cut into several smaller coils with different models of plastic bags.

As scientific contributions, in this paper we define a new multi-product batch problem, named multi-product batch processing time maximization (MBPTM) problem, as well as a mathematical model and a polynomial time complexity analytical solution optimization method for solving this problem.

In the next sections, a presentation of problem is made along with an application example. Section 3 presents an interger linear mathematical model for the problem and section 4 presents an analytical method for its solution. Section 5 presents the tests and results obtained by a solver in C++ applying the analytical solution and a solver developed in LINGO to new proposed

benchmarks. In sections 7 and 8, the contributions to this work are informed and acknowledgments are given, respectively. Finally, section 6 presents the paper's conclusions and suggestions for future works.

2. Multi-product batch processing time maximization problem

The multi-product batch processing time maximization problem arises when a set of different products are processed simultaneously in a same production batch. In this problem, it is considered that the quantity produced of each product is directly proportional to the processing time, however, with a different constant of proportionality (production rate) for each product. In addition, there is a maximum quantity allowed for the production of batch products, defined both individually and for the set. The production quantity of each product is mainly defined according to the demand for the product. However, it is still possible to stock the products and/or send them to the outlets. In both cases, there is a stocking/shipping limit for each product and a stocking/shipping limit for the set of products in the batch. Also, there is a time limit available for processing the batch. About the production, the industry manager has as priority to meet demand and he prefer to send the remaining production to outlets rather then stock it in the factory.

Example: A certain machine must process a batch containing 2 different products: A and B. The production rate of A is 60 g/min while the production rate of B is 40 g/min. The factory has free stock for a maximum of 3000 g of any product, and, according to the company's inventory policy, a

maximum of 3000 g of product A and 2000 g of product B can be stocked at the factory. There is a demand for 1000 g of product A and 500 g of product B. The factory has an outlet that has free space in stock of 1000 g, which can receive a maximum of 600 g of each product. A maximum time of 100 minutes of this machine can be allocated for processing this batch. When distributing production the priority is to meet demand, then send to outlets and finily stock in factory. What is the maximum possible time for processing this batch and how production must be distributed?

3. Mathematical model

Given that:

 UD_i is the demand for the product i;

I is the maximum quantity allowed for additional factory storage of all products in the batch;

 UI_i is the maximum quantity allowed for stocking the product i in the factory;

O is the maximum quantity allowed for shipment of all products to outlets;

 UO_i is the maximum amount of product i that can be shipped to outlets;

 p_i is the production rate of product i;

Z is the timeout for batch processing;

 P_i is the amount of product *i* produced;

 D_i is the amount of product *i* delivered for the demand;

 O_i amount of product *i* shipped to factory outlets;

 I_i is the amount of product i that will be stored at the factory; T is the batch processing time;

We have the problem:

s.t.

$$P_i - p_i * T = 0 \quad \forall i \tag{2}$$

$$P_i - D_i - O_i - I_i = 0 \quad \forall i \tag{3}$$

$$D_i \le \mathrm{UD}_i \quad \forall i$$
 (4)

$$O_i \le \mathrm{UO}_i \quad \forall i$$
 (5)

$$\sum_{i} O_i \le O \tag{6}$$

$$I_i \le UI_i \quad \forall i$$
 (7)

$$\sum_{i} I_{i} \le I \tag{8}$$

$$T \le Z$$
 (9)

$$\min\{UD_i - D_i, O_i + I_i\} = 0 \quad \forall i \tag{10}$$

$$\min\{\mathcal{O} - \sum_{i} \{O_i\}, \mathcal{U}O_i - O_i, I_i\} = 0 \quad \forall i$$
(11)

$$T, D_i, O_i, I_i \in \mathbb{Z}^+ \quad \forall i$$
 (12)

where:

Constraints in (2) relate the quantity produced, P_i , to batch processing time T. Constraints in (3) calculate the quantity produced, P_i , as a function of the primary variables, D_i , O_i and I_i . Constraints in (4), (5), and (7) state that the quantity delivered to demand, the quantity shipped to the autlets, and the factory-stocked quantity of each product must be less than their respective known limits. Constraints (6) and (8) state that both the sum of product quantities sent to the autlets and the sum of product quantities stocked in the factory must be less than their respective maximum allowed values. The restriction in (9) establishes that there is a batch processing time limit, Z, that must be respected. Equations in (10) and (11) define the

production distribution priority. And finally, the constraints in (12) inform the nature of the decision variables.

4. Analytical solution

O método proposto neste artigo para solução do MBPTM problem é composto por duas partes distintas, que são: a) cálculo do tempo máximo de processamento do lote multi-produtos; e b) cálculo e distribuição da produção entre a demanda, os outlets e o estoque em fábrica. A seguir, explicamos cada uma destas partes detalhadamente.

cálculo do tempo máximo de processamento do lote multi-produtos:

It is possible to split the batch processing time into two time slots:

$$T = T' + T'' \tag{13}$$

where T' é o tempo máximo de processamento cuja produção será utilizada exclusivamente para atendimento da demanda.

Neste caso, sendo $D_i^{'}$ a quantidade produzida do produto i após T' unidades de tempo de processamento do lote, temos que:

$$D_i' - p_i * T' = 0 \quad \forall i \tag{14}$$

$$D_i' \in Z \quad \forall i$$
 (15)

e, como $D_{i}^{'} \leq D_{i}$, $\forall i$, temos que:

$$D_i' \le \mathrm{UD}_i \quad \forall i$$
 (16)

Das equações em (14), (15) e (16), temos:

$$T' \le \lfloor \mathrm{UD}_i/\mathrm{p}_i \rfloor \quad \forall i$$
 (17)

E, como desejamos máximo valor para T', de (17), temos:

$$T' = \min_{i} \{ \lfloor UD_i/p_i \rfloor \}$$
 (18)

Observer que poderá haver uma sobra correspondente à demanda não atendida pela produção ocorrida na primeira parte do tempo de processamento do lote, T'. Esta sobra, S_i , será definida de acordo com as equações em (19):

$$S_{i} = \mathrm{UD}_{i} - D_{i}^{'} \quad \forall i \tag{19}$$

É possível também considerar que os outlets são uma extensão do estoque da fábrica. Portanto it is possible to consider the factory stock of product i and the outlets stock i as single stock for the product i, de forma que:

$$E_i = O_i + I_i \tag{20}$$

$$E_i \le UO_i + UI_i$$
 (21)

$$\sum_{i} E_{i} \le O + I \tag{22}$$

$$E_i \in Z \quad \forall i$$
 (23)

where E_i is the sum of factory stock and outlets stock of the product i.

Como o tempo T' foi utilizado apenas para produção que irá atender à demanda, T'' será o restante do tempo de processamento, ou seja, o tempo máximo de processamento utilizado para produção que irá atender à sobra da demanda, será enviada para os outlets e estocada em fábrica. Assim temos que:

$$E_i + S_i - \mathbf{p}_i * T'' = 0 \quad \forall i \tag{24}$$

Portanto, das restrições em (21) e equações em (24), temos:

$$T'' \le (\mathrm{UO}_i + \mathrm{UI}_i + S_i)/\mathrm{p}_i \quad \forall i$$
 (25)

e, das restrições em (22) e equações em (24), temos:

$$T'' \le (O + I + \sum_{i} S_i) / \sum_{i} p_i$$
(26)

Finalmente, como T'' é um valor inteiro e queremos maximizar esse valor, então:

$$T'' = \left[\min \left\{ \min_{i} \left\{ (UO_i + UI_i + S_i) / p_i \right\}, (O + I + \sum_{i} S_i) / \sum_{i} p_i \right\} \right]$$
 (27)

So, the analytical method for finding maximum T can be decomposed into 4 steps:

step 1: find T', where:

$$T' = \left\lfloor \min_{\forall i} \{ UD_i / p_i \} \right\rfloor \tag{28}$$

step 2: calculate S_i for all products.

$$S_i = UD_i - p_i * T' \quad \forall i$$
 (29)

step 3: find T'', where:

$$T'' = \left[\min\left\{\min_{\forall i} \left\{ (\mathrm{UO}_i + \mathrm{UI}_i + S_i)/\mathrm{p}_i \right\}, (\mathrm{O} + \mathrm{I} + \sum_i S_i) / \sum_i \mathrm{p}_i \right\} \right]$$
 (30)

step 4: calculate T, where:

$$T^* = T' + T'' (31)$$

$$T = \min\{T^*, Z\} \tag{32}$$

Note that, à medida em que reduzimos o valor de T', a consequente

redução do valor de D'_i será atribuída diretamente à sobra S_i e, portanto, there will be no change in the optimal solution if it is considered to T', a value between 0 and the value found in step 1. Thus it is possible to use a simplification of the method presented, making T' = 0. In this case $S_i = \mathrm{UD}_i, \forall i$. The simplified version of the method is presented below:

step 1: find T^* , where:

$$T^* = \left[\min\left\{\min_{\forall i} \left\{ (\mathrm{UO}_i + \mathrm{UI}_i + \mathrm{UD}_i)/\mathrm{p}_i \right\}, (\mathrm{O} + \mathrm{I} + \sum_i \mathrm{UD}_i) / \sum_i \mathrm{p}_i \right\} \right]$$
(33)

step 2: calculate T, where:

$$T = \min\{T^*, \mathbf{Z}\}\tag{34}$$

Essa simplificação do método demonstra que o valor ótimo calculado para T não depende da forma como a produção do produto i, P_i , é distribuída entre atendimento à demanda, D_i , outlets, O_i , e estoque de fábrica I_i .

We can determine now the values of D_i , O_i and I_i , $\forall i$, simply by seguindo the priorities for distribution. The following three algorithms can be used to find a complete solution.

The algorithm (1) is used for finding an optimimal value for T.

Then an initial production distribution can be done by the algorithm (2). Esta distribuição é feita sem levar em consideração as restrições definidas para o conjunto de produtos do lote. SO e SI representam, respectivamente, o quantidade total disponível a ser enviada para os outlets e a quantidade

Algorithm 1 Solving MBPTM problem — Part 01 - find an optimal T to the problem defined in (1) to (12).

```
Require: UD_i, UO_i, UI_i, p_i, \forall i, O, I, Z
T^* \leftarrow \left[\min\{\min_{\forall i}\{(UO_i + UI_i + UD_i)/p_i\}, (O + I + \sum_i UD_i)/\sum_i p_i\}\right]
T \leftarrow \min\{T^*, Z\}
Return: T.
```

total disponível para estoque em fábrica após a distribuição inicial. Caso esse valores sejam negativo, isso indica que a distribuição inicial proposta não é uma distribuição viável.

Algorithm 2 Solving MBPTM problem — Part 02 - calculate D_i , O_i and I_i , $\forall i$, ignoring the restrictions for the set of products of the batch.

```
Require: \mathrm{UD}_i, \mathrm{UO}_i, \mathrm{UI}_i, \mathrm{p}_i, \forall i, \mathrm{O}, \mathrm{I}, \mathrm{Z}, T.

for all i do

E_i \leftarrow T * \mathrm{p}_i
D_i \leftarrow \min\{\mathrm{UD}_i, E_i\}
E_i \leftarrow E_i - D_i
O_i \leftarrow \min\{\mathrm{UO}_i, E_i\}
E_i = E_i - O_i
\mathrm{UO}_i = \mathrm{UO}_i - O_i
I_i = E_i
\mathrm{UI}_i = \mathrm{UI}_i - I_i
end for

SO = \mathrm{O} - \sum_i O_i
SI = \mathrm{I} - \sum_i I_i
Return: D_i, O_i, I_i, E_i, \mathrm{UO}_i, \mathrm{UI}_i, \forall i, SO, SI.
```

Assim, after applying algorithm (2), if $SO \geq 0$ and $SI \geq 0$, solution found is an optimal solution. However, if SO < 0 or SI < 0, solution is not yet feasible. But as the solution found to T is feasible to the problem defined in (1) to (12), we have the following statements:

if
$$SO < 0$$
 then $SI > 0$ and $|SO| < SI$

```
if SI < 0 then SO > 0 and |SI| < SO
```

Therefore it is possible to meet the restrictions by applying the agorithm (3). Neste algoritmo redistribuimos a produção do estoque para os outlets ou vice versa, respectivamente, em função de termos um SI ou SO negativo.

Algorithm 3 Solving MBPTM problem — Part 03: redistribute production to comply with limitation restrictions for the batch products set.

```
Require: O_i, I_i, UO_i, UI_i, \forall i, SO, SI.
  if SO < 0 then
       for all i do
           O_i \leftarrow O_i - \min\{O_i, UI_i, |SO|\}
           I_i \leftarrow I_i + \min\{O_i, UI_i, |SO|\}
           SO \leftarrow SO + \min\{O_i, UI_i, |SO|\}
           if SO = 0 then break looping for;
           end if
       end for
  end if
  if SI < 0 then
       for all i do
           I_i \leftarrow I_i - \min\{I_i, UO_i, |SI|\}
           O_i \leftarrow O_i + \min\{I_i, UO_i, |SI|\}
           SI \leftarrow SI + \min\{I_i, UO_i, |SI|\}
           if SI = 0 then break looping for;
           end if
       end for
  end if
  Return: O_i, I_i, \forall i.
```

5. Tests and results

To test the developed model and analytical solution method, we created a solver in C++ named COPSolver (V01_20230814, lib multiproduct-batch-processing-time-maximization-problem) and a solver in LINGO named

MBPTM.lng both available at https://github.com/tbfraga/COPSolver. The tests were performed on a notebook with an Intel i7 processor. We tested the solvers developed for the benchmarks presented on Tables 1, 2 and 3, and for randon benchmarks generated by the following functions:

$$p_i = \text{rand}()\%30 + 10$$
 (35)

$$UD_i = rand()\%3000 + 800; (36)$$

$$seed1 = rand()\%3000 + 500; (37)$$

$$seed2 = rand()\%5000 + 1000; (38)$$

$$O = N/2 * seed1; (39)$$

$$UO_i = rand()\%(seed1 - 500) + 500;$$
 (40)

$$I = N/2 * seed2; (41)$$

$$UI_i = rand()\%(seed2 - 1000) + 1000;$$
 (42)

$$Z = 100;$$

$$i \qquad 1 \qquad 2 \quad \text{total}$$

$$p_i \qquad 60 \qquad 40$$

$$UD_i \quad 1000 \qquad 500$$

$$UO_i \quad 600 \quad 600 \quad 1000$$

$$UI_i \quad 3000 \quad 2000 \quad 3000$$

$$Z \quad 100$$

Table 1: Benchmark MBPTM 2

$\underline{}$	1	2	3	total
\mathbf{p}_i	60	40	50	
UD_i	1000	500	800	
UO_i	600	600	600	1500
UI_i	3000	2000	1000	3500
			\mathbf{Z}	100

Table 2: Benchmark MBPTM 3

Randomly generated benchmarks were named RMBPTM N, being N the number of products. Seeking to enable the reproduction of the results, in the computational construction of the benchmarks we used the function srand((unsigned) source), where source is a defined value. To build the re-

$\underline{}$	1	2	3	4	5	6	7	8	9	10	total
\mathbf{p}_i	60	40	50	40	30	50	60	10	20	40	
UD_i	1000	500	800	500	400	500	2000	300	500	1000	
UO_i	600	600	600	1500	300	200	500	800	0	200	3000
UI_i	3000	2000	1000	800	3000	1000	400	300	200	0	5000

Z 100

Table 3: Benchmark MBPTM 10

sults presented in this work, we used source=0. The benchmarks used for the tests performed can be consulted at github.com/tbfraga/COPSolver.

Table 4 presents the results obtained by applying the analytical method (COPSolver) and the LINGO solver for the solution of the previously presented benchmarks.

problem	LINGO solver	time (s)	analytical method	time (s)
MBPTM 2	55	0.03	55	< 0.01
MBPTM 3	48	0.03	48	< 0.01
MBPTM 10	30	0.05	30	< 0.01
RMBPTM 20	100	0.06	100	< 0.01
RMBPTM 50	98	0.08	98	< 0.01
RMBPTM 100	98	0.11	98	< 0.01
RMBPTM 1,000	78	1.20	78	< 0.01
RMBPTM 2,000	70	3.25	70	< 0.01
RMBPTM 5,000	70	15.12	70	< 0.02
RMBPTM 10,000	70	55.19	70	< 0.04

Table 4: Results obtained with the LINGO solver and the analytical method

Tables (5) and (6) shows the production distribution for MBPTM 3 and 10 found by both COPSolver and LINGO solver. Files containing all results

can be found at github.com/tbfraga/COPSolver.

product	production	delivered (s)	send to outlets	stocked in factory
P1	2,880	1,000	300	1,580
P2	1,920	500	600	820
P3	2,400	800	600	1,000

Table 5: Results obtained with the LINGO solver and COPSolver for the MBPTM 3

product	production	delivered (s)	send to outlets	stocked in factory
P1	1,800	1,000	400	400
P2	1,200	500	600	100
P3	1,500	800	600	100
P4	1,200	500	700	0
P5	900	400	300	200
P6	1,500	500	200	800
P7	1,800	1800	0	0
P8	300	300	0	0
P9	600	500	0	100
P10	1,200	1000	200	0

Table 6: Results obtained with the LINGO solver and COPSolver for the MBPTM 10

It is important to point out that the LINGO solver was developed with the purpose of validating the results found by the proposed analytical method. As the analytical method is a polynomial time complexity method, we did not intend to compare computational costs, however it is possible to verify that both solvers are capable of finding optimal solutions for the proposed benchmarks very quickly, even for very large problems.

We have considered here a one-day period problem, so in a future work we will study the complexities of solving a multi-period problem and try to propose new solution methods if needed.

6. Conclusions and suggestions for future works

In this paper we presented the Multi-product Batch Processing Time Maximization problem, as well as a mathematical model and an exact analytical solution method for this problem. The mathematical model and analytical method were tested, respectively, by a solver developed with the LINGO software, from LINDO Systems, and with a solver developed in C++ language. Optimum results were found for all proposed benchmarks, very quickly, even in the case of very large problems, which demonstrates the efficiency of the proposed method. As in this paper we considered a planning period of one day, in a future work we will study the complexities that arise when considering the same problem in a multi-period scenario. We will also verify if there is the possibility of extending the proposed analytical method to solve a class of linear integer programming problems with similar characteristics to the studied problem.

7. CRediT authorship contribution statement

T.B. Fraga: Conceptualization, Project administration, Supervision, Software, Methodology, Validation, Formal analysis, Writing – original draft, Writing – review & editing. Í.R.B. Aquino: Data curation. R.C.S. Menêzes: Data curation.

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