

## Correlations between Ca II H&K Emission and the Gaia M dwarf Gap

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### ABSTRACT

The Gaia M dwarf gap, also known as the Jap Gap, is a novel feature discovered in the Gaia DR2 G vs. BP-RP color magnitude diagram. This gap represents a 17% in stellar density in a thin magnitude band around the convective transition mass ( $\sim 0.35M_{\odot}$ ) on the main sequence. Previous work has demonstrated a paucity of Hydrogen Alpha emission coincident with the G magnitude of the Jao Gap in the solar neighborhood. The exact mechanism which results in this paucity is as yet unknown; however, the authors of the originating paper suggestion that it may be the result of complex variations to a stars magnetic topology driven by the Jao Gaps characteristic formation and breakdown of stars radiative transition zones. We present a follow up investigating another widely used magnetic activity metric, Calcium II H&K emission. Ca II H&K activity appears to share a similar anomalous behavior as H $\alpha$  does near the Jao Gap magnitude. We observe an increase in star-to-star variation of magnetic activity near the Jao Gap. This increase may be due to stochastic disruptions to the magnetic field originating from the periodic mixing events characteristic of the convective kissing instabilities which drive the formation of the Jao Gap.

*Keywords:* Stellar Evolution (1599) — Stellar Evolutionary Models (2046)

### 1. INTRODUCTION

Due to the initial mass requirements of the molecular clouds which collapse to form stars, star formation is strongly biased towards lower mass, later spectral class stars when compared to higher mass stars. Partly as a result of this bias and partly as a result of their extremely long main-sequence lifetimes, M Dwarfs make up approximately 70 percent of all stars in the galaxy. Moreover, some planet search campaigns have focused on M Dwarfs due to the relative ease of detecting small planets in their habitable zones (e.g. [Nutzman & Charbonneau 2008](#)). M Dwarfs then represent both a key component of the galactic stellar population as well as the possible set of stars which may host habitable exoplanets. Given this key location M Dwarfs occupy in modern astronomy it is important to have a thorough understanding of their structure and evolution.

[Jao et al. \(2018\)](#) discovered a novel feature in the Gaia Data Release 2 (DR2)  $G_{BP} - G_{RP}$  color-magnitude-diagram. Around  $M_G = 10$  there is an approximately 17 percent decrease in stellar density of the sample of stars [Jao et al. \(2018\)](#) considered. Subsequently, this has become known as either the Jao Gap, or Gaia M Dwarf Gap. Following the initial detection of the Gap in DR2 the Gap has also potentially been observed in 2MASS ([Skrutskie et al. 2006](#); [Jao et al. 2018](#)); however, the significance of this detection is quite weak and it relies on the prior of the Gap's location from Gaia data. Further, the Gap is also present in Gaia Early Data Release 3 (EDR3) ([Jao & Feiden 2021](#)). These EDR3 and 2MASS data sets then indicate that this feature is not a bias inherent to DR2.

The Gap is generally attributed to convective instabilities in the cores of stars straddling the fully convective transition mass ( $0.3 - 0.35 M_{\odot}$ ) ([Baraffe & Chabrier 2018](#)). These instabilities interrupt the normal, slow, main sequence luminosity evolution of a star and result in luminosities lower than expected from the main sequence mass-luminosity relation ([Jao & Feiden 2020](#)).

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The Jao Gap, inherently a feature of M Dwarf populations, provides an enticing and unique view into the interior physics of these stars (Feiden et al. 2021). This is especially important as, unlike more massive stars, M Dwarf seismology is infeasible due to the short periods and extremely small magnitudes which both radial and low-order low-degree non-radial seismic waves are predicted to have in such low mass stars (Rodríguez-López 2019). The Jao Gap therefore provides one of the only current methods to probe the interior physics of M Dwarfs.

The magnetic activity of M dwarfs is of particular interest due to the theorised links between habitability and the magnetic environment which a planet resides within. M dwarfs are known to be more magnetically active than earlier type stars while simultaneously this same high activity calls into question the canonical magnetic dynamo believed to drive the magnetic field of solar like stars (the  $\alpha\Omega$  dynamo). One primary challenge which M dwarfs pose is that stars less than approximately  $0.35 M_{\odot}$  are composed of a single convective region. This denies any dynamo model differential rotation between adjacent levels within the star. Alternative dynamo models have been proposed, such as the  $\alpha^2$  dynamo along with modifications to the  $\alpha\Omega$  dynamo which may be predictive of M dwarf magnetic fields.

Despite this work, very few studies have dived specifically into the magnetic field of M dwarfs at or near the convective transition region (CITATION). This is not surprising as that only spans approximately 0.2 mag in the Gaia BP-RP color magnitude diagram and is therefore populated by a relatively small number of stars.

(Jao et al. 2023) identify the Jao gap as a strong discontinuity point for magnetic activity in M dwarfs. Two primary observations from their work are that the Gap serves as a boundary where very few active stars in their sample of 640 M dwarfs exist below the gap and that the overall downward trend of activity moving to fainter magnitudes is anomalously high in within the 0.2 mag range of the gap. Jao et al. Figures 3 and 13 make this paucity in H $\alpha$  emission particularly clear. Based on previous work from Spada & Lanzafam 2020, Curtis et al. 2020, and Dungee et al. 2022 the authors propose that the mechanism resulting in the reduced fraction of active stars within the gap is that as the radiative zone dissipates due to core expansion, angular momentum from the outer convective zone is dumped into the core resulting in a faster spin down than would otherwise be possible. Effectively the core of the star acts as a sink, reducing the amount of angular momentum which needs to be lost by magnetic breaking for the outer convective region to reach the same angular velocity. Given that

H $\alpha$  emission is strongly coupled magnetic activity in the lower photosphere and that a stars angular velocity is a primary factor in its magnetic activity, a faster spin down will serve to more quickly dampen H $\alpha$  activity.

In addition to H $\alpha$  the Calcium Fraunhofer lines may be used to trace the magnetic activity of a star. These lines originate from magnetic heating of the upper chromosphere driven by magnetic shear stresses within the star. Boudreaux et al. (2022) and Perdelwitz et al. (2021) presented calcium emission measurements for stars spanning the Jao Gap. In this paper we search for similar trends in the Ca II H& K emission as Jao et al. see in the H $\alpha$  emission. In Section 2 we investigate the empirical star-to-star variability in emission and quantify if this could be due to noise or sample bias; in Section 3 we present a simplified toy model which shows that the mixing events characteristic of convective kissing instabilities could lead to increased star-to-star variability in activity as is seen empirically.

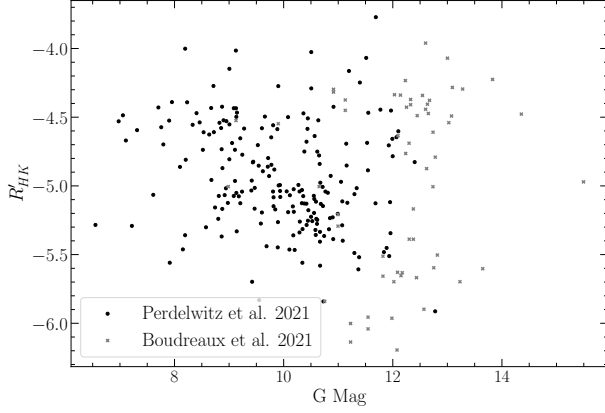
## 2. CORRELATION

Using Ca II H&K emission data from Boudreaux et al. (2022) and Perdelwitz et al. (2021) (quantified using the  $R_{HK}$  metric) we investigate the correlation between the Jao Gap magnitude and stellar magnetic activity. We are more statistically limited here than past authors have been due to the requirement for high resolution spectroscopic data when measuring Calcium emission; however, this is balanced by the apparent stronger correlation between Calcium emission and the Jao gap when compared to H $\alpha$  emission.

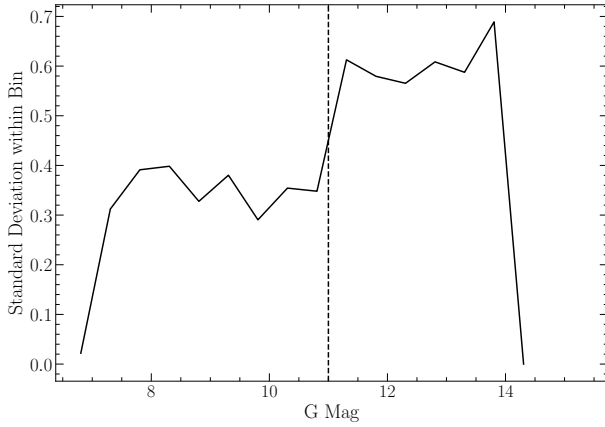
The merged dataset is presented in Figure 1. There is a visual discontinuity just below the Jao Gap magnitude; however, this manifests as an increase in the spread of the emission measurements rather than a change in the mean value. In order to quantify the significance of this discontinuity we measure the false alarm probability of the change in standard deviation.

First we split the merged dataset into bins with a width of 0.5 mag. In each bin we measure the standard deviation about the mean of the data. The results of this are shown in Figure 2. In order to measure the false alarm probability of this discontinuity we first resample the merged calcium emission data based on the associated uncertainties for each datum as presented in their respective publications. Then, for each of these “resample trials” we measure the probability that a change in the standard deviation of the size seen would happen purely due to noise. Results of this test are show in Figure 3.

This rapid increase star-to-star variability would only arise due purely to noise  $0.3 \pm 0.08$  percent of the time



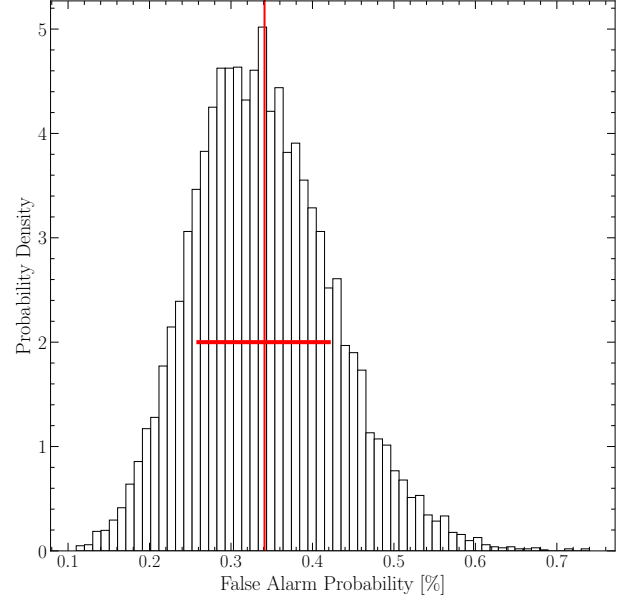
**Figure 1.** Merged Dataset from Boudreaux et al. (2022); Perdelwitz et al. (2021). Note the increase in the spread of  $R'_{HK}$  around the Jao Gap Magnitude.



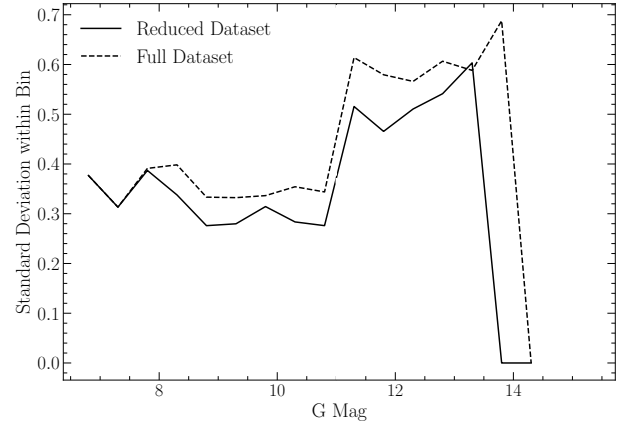
**Figure 2.** Standard deviation of Calcium emission data within each bin. Note the discontinuity near the Jao Gap Magnitude.

and is therefore likely either a true effect or an alias of some sample bias. **COME BACK TO HERE TO FLUSH OUT SAMPLE BIAS SECTION.**

If the observed increase in variability is not due to a sample bias and rather is a physically driven effect then there is an obvious similarity between these findings and those of (Jao et al. 2023). Specifically we find an increase in variability just below the magnitude of the gap. Moreover, this variability increase is primarily driven by an increase in the number of low activity stars (as opposed to an increase in the number of high activity stars). We can further investigate the observed change in variability for only low activity stars by filtering out those stars at or above the saturated threshold for magnetic activity. Boudreaux et al. (2022) identify  $\log(R'_{HK}) = -4.436$  as the saturation threshold. We adopt this value and filter out all stars where  $\log(R'_{HK}) \geq -4.436$ . Applying the same analysis to this reduced dataset as was



**Figure 3.** Probability distribution of the false alarm probability for the discontinuity seen in Figure 2. The mean of this distribution is  $0.341\% \pm_{0.08}^{0.08}$ .



**Figure 4.** Spread in the magnetic activity metric for the merged sample with any stars  $\log(R'_{HK}) > -4.436$  filtered out.

done to the full dataset we still find a discontinuity at the same location (Figure 4). This discontinuity is of a smaller magnitude and consequently is more likely to be due purely to noise, with a  $7 \pm 0.2$  percent false alarm probability. This false alarm probability is however only concerned with the first point after the jump in variability. If we consider the false alarm probability of the entire high variability region then the probability that the high variability region is due purely to noise drops to  $1.4 \pm 0.04$  percent.

We observe a strong, likely statistically significant, discontinuity in the star-to-star variability of Ca II K

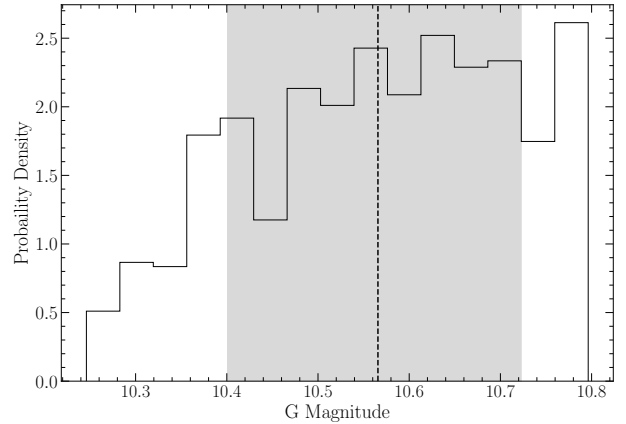
& K emission just below the magnitude of the Jao Gap. However, modeling is required to determine if this discontinuity may be due to the same underlying physics.

While the observed increase in variability seen here does not seem to be coincident with the Jao Gap — instead appearing to be approximately 0.5 mag fainter, in agreement with what is observed in Jao et al. (2023) — a number of complicating factors prevent us from falsifying that these two features are not coincident. Jao et al. find, similar to the results presented here, that the paucity of  $H\alpha$  emission originates just below the gap. Moreover, we use a 0.5 magnitude bin size when measuring the star-to-star variability which injects error into the positioning of any feature in magnitude space. We can quantify the degree of uncertainty the magnitude bin choice injects by conducting Monte Carlo trials where bins are randomly shifted redder or bluer. We conduct 10,000 trials where each trial involves sampling a random shift to the bin start location from a normal distribution with a standard deviation of 1 magnitude. For each trial we identify the discontinuity location as the maximum value of the gradient of the standard deviation (effectively this is just the derivative of 4). Some trials result in the maximal value lying at the 0th index of the magnitude array due to edge effects, these trials are rejected (and account for 11% of the trials). The uncertainty in the identified magnitude of the discontinuity due to the selected start point of the magnitude bins reveals a  $1\sigma = \pm 0.32$  magnitude uncertainty in the location of the discontinuity (Figure 5). Finally, all previous studies of the M dwarf gap (Jao et al. 2018; ?; Mansfield & Kroupa 2021; Boudreaux et al. 2022; Jao et al. 2023) demonstrate that the gap has a color dependency, shifting to fainter magnitudes as the population reddens and consequently an exact magnitude range is ill-defined. Therefore we cannot falsify the model that the discontinuity in star-to-star activity variability is coincident with the Jao Gap magnitude.

### 2.1. Rotation

It is well known that stars magnetic activity tend to be correlated with their rotational velocity [CITATIONS]; therefore, we investigate whether there is a similar correlation between gap location and rotational period in our dataset. rotational periods have already been published for the targets presented in Boudreaux et al. (2022); however, for the additional targets pulled from Perdelwitz et al. (2021) we also present newly derived photometric rotational periods.

Following the process described in ?, we first put the dataset through *stella* (Feinstein et al. 2020b,a), a convolutional neural network that trains a multitude



**Figure 5.** Probability density distribution of discontinuity location as identified in the merged dataset. The dashed line represents the mean of the distribution while the shaded region runs from the 16th percentile to the 84th percentile of the distribution. This distribution was built from 10,000 independent samples where the discontinuity was identified as the highest value in the gradient of the standard deviation.

of models, given a different initial seed, on TESS 2-min cadence. In this case, we also used an ensemble of 100 models to optimize the gains. *stella* identifies flares given a score of 0 to 1, here we use a score of 0.5 and above as flare identification. Furthermore, we also bin the data from a 2-min to 10-min cadence using *lightcurve*'s binning function (Lightcurve Collaboration et al. 2018; Barentsen et al. 2020). Not only does this help further reduce any flaring-contribution that might have been missed by *stella*<sup>1</sup>, but it also optimizes computational efficiency. Subsequently, we calculate residuals by subtracting the model from the data, retaining data with residuals smaller than 4 times the root-mean-square.

As M dwarfs often exhibit non-sinusoidal and quasi-periodic rotational variability, we employ Gaussian processes for modeling based on Angus et al. (2018) for the subset of M Dwarfs with no fiducial periods. The *starspot* package is adapted for light curve analysis (Angus 2021; ?) and accessible at (HAVEN'T DONE IT YET-AYLIN). Our Gaussian process kernel function incorporates two stochastically-driven simple harmonic oscillators, representing primary ( $P_{\text{rot}}$ ) and secondary ( $P_{\text{rot}}/2$ ) rotation modes. First, we implement the Lomb-Scargle periodogram within *starspot* to initially estimate the period. After which, we create a maximum a posteriori (MAP) fit using *starspot* to generate a model for stellar rotation. To obtain the posterior of

<sup>1</sup> This is relevant for flares that are misshapen at the start or break in the dataset due to missing either the ingress or egress.

the stellar rotation model, we use Markov Chain Monte Carlo (MCMC) sampling using the `pymc3` package (Salvatier et al. 2016) within our adapted `starspot` version. All rotational periods are presented in Table [TABLE]. In total we have access to 191 rotational periods.

One might expect a decrease in mean rotational period around the magnitude of the gap, due to the slight decrease in magnetic activity. However, there is no statistically significant correlation between rotational period and G magnitude which we can detect given our sample size (Figure 6). Rotational period is however, not the ideal parameterization to use, as magnetic activity is more directly related to the Rossby number (i.e. ). Using the empirical calibration presented in ? (Equation ??) we find the mixing timescale for each star and then let the Rossby number,  $Ro = P_{rot}/\tau_c$ .

$$\tau_c = 0.64 + 0.25 * (V - K) \quad (1)$$

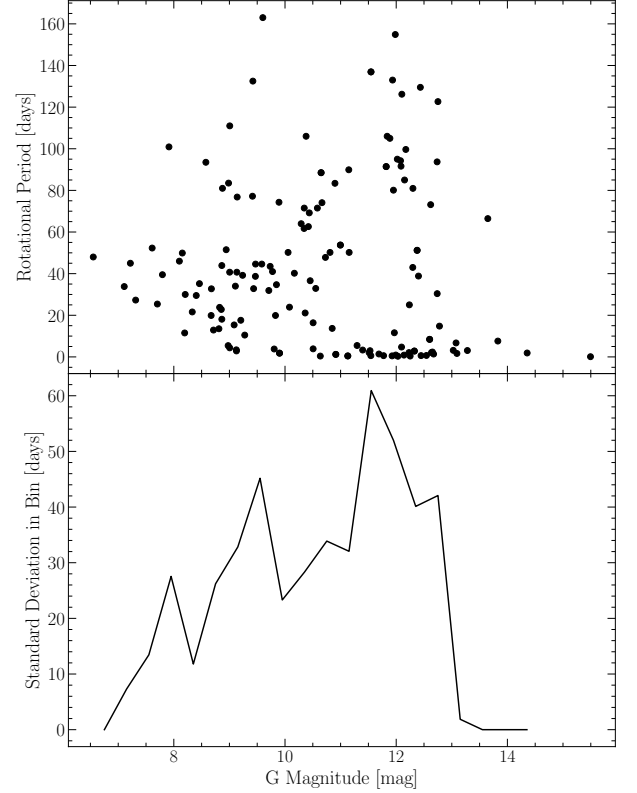
When we compare Rossby number to G magnitude (Figure 7) we find that there may be a slight paucity of rotation coincident with the decrease in spread of the activity metric. We quantify the statistical significance of this drop by building a Gaussian kernel density estimator (kde) based on the data outside of this range, and then resampling that kde 10000 times for each data point in the theorized paucity range. The false alarm probability that that drop is due to noise is then the product of the fraction of samples which are less than or equal to the value of each data point. We find that there is a 0.022 percent probability that this dip is due purely to noise.

## 2.2. Limitations

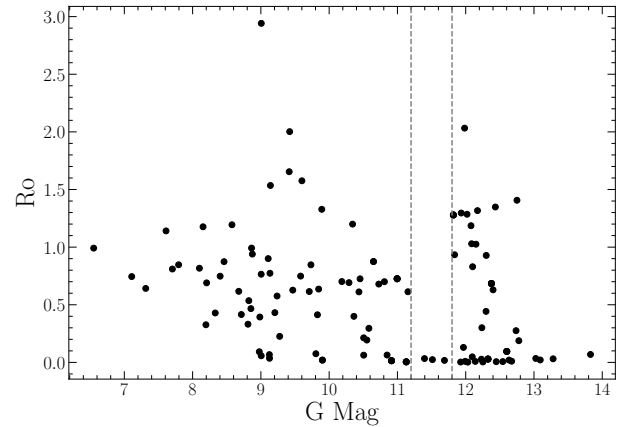
There are two primary limitations of our dataset. First, we only have 232 stars in our dataset limiting the statistical power of our analysis. This is primarily due to the relative difficulty of obtaining Ca II H&K measurements compared to obtaining  $H\alpha$  measurements. Reliable measurements require both high spectral resolutions ( $R \sim \text{XXXXXX}$ ) and a comparatively blue wavelength range <sup>2</sup>.

Additionally, the sample we do have does not extend to as low mass as would be ideal. This presents a degeneracy between two potential causes for the observed increased star-to-star variability. One option, as presented above and elaborated on in the following section, is that this is due to kissing instabilities. However, another possibility is that this increased variability is in-

<sup>2</sup> wrt. to what many spectrographs cover. There is no unified resource listing currently commissioned spectrographs; however, it is somewhat hard to source glass which transmits well at H&K wavelengths limiting the lower wavelength of most spectrographs.



**Figure 6.** Rotational Periods against G magnitude for all stars with rotational periods (top). Standard deviation of rotational period within magnitude bin (bottom).



**Figure 7.** Rossby number vs. G magnitude for all stars with rotational periods and V-K colors on Simbad. Dashed lines represent the hypothesized region of decreased rotation.

trinsic to the magnetic fields of fully convective stars. There is limited discussion in the literature of the latter effect; however, Shulyak et al. (2019) present estimated magnetic field strengths for 47 M dwarfs, spanning a larger area around the convective transition region and their dataset does not indicate an inherently increased



variability for fully convective stars (fully confirm this, not just visually).

### 3. MODELING

One of the most pressing questions related to this work is whether or not the increased star-to-star variability in the activity metric and the Jao Gap, which are coincident in magnitude, are driven by the same underlying mechanism. The challenge when addressing this question arises from current computational limitations. Specifically, the kinds of three dimensional magneto-hydrodynamical simulations — which would be needed to derive the effects of convective kissing instabilities on the magnetic field of the star — are infeasible to run over gigayear timescales while maintaining thermal timescale resolutions needed to resolve periodic mixing events.

In order to address this and answer the specific question of *could kissing instabilities result in increased star-to-star variability of the magnetic field*, we adopt a very simple toy model. Kissing instabilities result in transient radiative zone separating the core of a star (convective) from its envelope (convective). When this radiative zone breaks down two important things happen: one, the entire star becomes mechanically coupled, and two, convective currents can now move over the entire radius of the star. Jao et al. (2023) propose that this mechanical coupling may allow the stars core to act as an angular momentum sink thus accelerating a stars spin down and resulting in anomalously low H $\alpha$  emission.

Regardless of the exact mechanism by which the magnetic field may be effected, it is reasonable to expect that both the mechanical coupling and the change to the scale of convective currents will have some effect on the stars magnetic field. On a microscopic scale both of these will change how packets of charge within a star move and may serve to disrupt a stable dynamo. Therefore, in the model we present here we make only one primary assumption: *every mixing event may modify the stars magnetic field by some amount*. Within our model this assumption manifests as a random linear perturbation applied to some base magnetic field at every mixing event. The strength of this perturbation is sampled from a normal distribution with some standard deviation,  $\sigma_B$ .

Synthetic stars are sampled from a grid of stellar models evolved using the Dartmouth Stellar Evolution Program (DSEP). Each stellar model was evolved using a high temporal resolution (timesteps no larger than 10,000 years Check this) and typical numerical tolerances of one part in  $10^5$ . Each model was based on a GS98 (Grevesse & Sauval 1998) solar composition with a mass range from  $0.3 M_\odot$  to  $0.4 M_\odot$ . Finally, models adopt OPLIB high temperature radiative opacities,

Ferguson 2004 low temperature radiative opacities, and include both atomic diffusion and gravitational settling. A Kippenhan-Iben diagram showing the structural evolution of a model within the gap is shown in Figure 8.

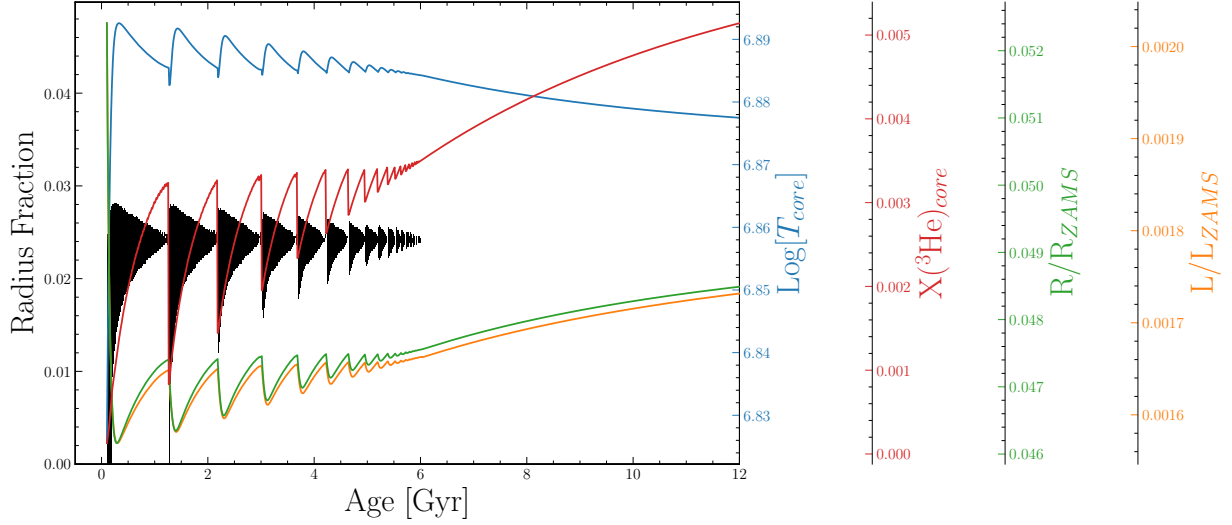
Each synthetic star is assigned some base magnetic activity ( $B_0 \sim \mathcal{N}(1, \sigma_B)$ ) and then the number of mixing events before some age  $t$  are counted based on local maxima in the core temperature. The toy magnetic activity at age  $t$  for the model is given in Equation 2. An example of the magnetic evolution resulting from this model is given in Figure 9. Fundamentally, this model presents magnetic activity variation due to mixing events as a random walk and therefore results will increasingly divergence over time.

$$B(t) = B_0 + \sum_i B_i \sim \mathcal{N}(1, \sigma_B) \quad (2)$$

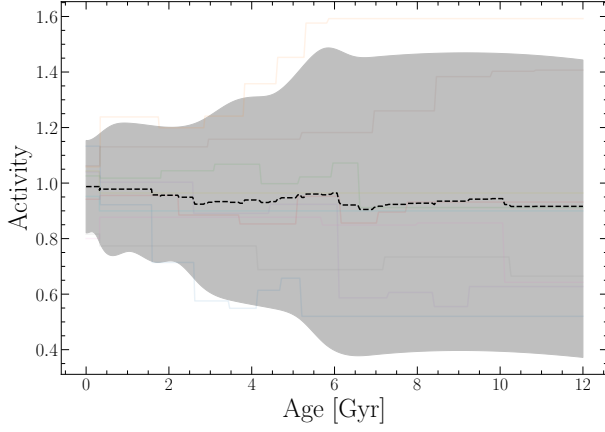
Applying the same analysis to these models as was done to the observations as described in Section X.X we find that this simple model results in a qualitatively similar trend in the standard deviation vs. Magnitude graph (Figure 10). In order to reproduce the approximately 50 percent change to the spread of the activity metric observed in the combined dataset in section 2 a distribution with a standard deviation of 0.1 is required when sampling the change in the magnetic activity metric at each mixing event. This corresponds to 68% of mixing events modifying the activity strength by 10 percent or less. The interpretation here is important, what this qualitative similarity demonstrates is that it may be reasonable to expect kissing instabilities to result in the observed increased star-to-star variation. Importantly, we are not able to claim that kissing instabilities *do* lead to these increased variations, only that they reasonably could. Further modeling, observational, and theoretical efforts will be needed to more definitively answer this question.

#### 3.1. Limitations

The model presented in this paper is very limited and it is important to keep those limitations in mind when interpreting the results presented here. Some of the main challenges which should be leveled at this model are the assumption that the magnetic field will be altered by some small random perturbation at every mixing event. This assumption was informed by the large number of free parameters available to a physical star during the establishment of a large scale magnetic field and the associated likely stochastic nature of that process. However, it is similarly believable that the magnetic field will tend to alter in a uniform manner at



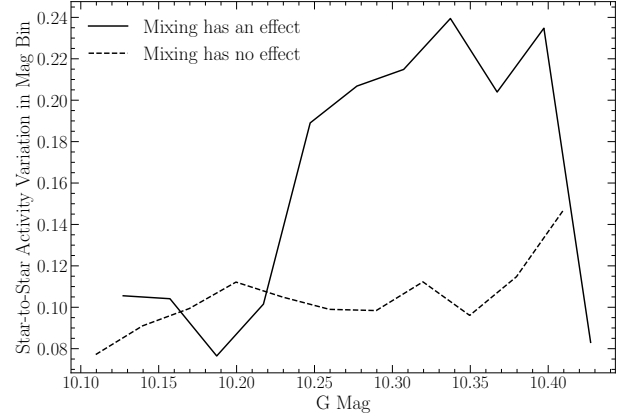
**Figure 8.** Kippenhan-Iben diagram for a 0.345 solar mass star. Note the periodic mixing events (where the plotted curves peak).



**Figure 9.** Example of the toy model presented here resulting in increased divergence between stars magnetic fields. The shaded region represents the maximum spread in the two point correlation function at each age.

each mixing event. For example, since differential rotation is generally proportional to the temperature gradient within a star and activity is strongly coupled to differential rotation then it may be that as the radiative zone reforms over thermal timescales the homogenization of angular momentum throughout the star results in overall lower amounts of differential rotation each after mixing event than would otherwise be present.

Moreover, this model does not consider how other degenerate sources of magnetic evolution such as stellar spin down, relaxation, or coronal heating may effect star-to-star variability. These could conceivably lead to a similar increase in star-to-star variability which is coincident with the Jao Gap magnitude as the switch from



**Figure 10.** Toy model results showing a qualitatively similar discontinuity in the star-to-star magnetic activity variability.

fully to partially convective may effect efficiency of these process.

Additionally, there are challenges with this toy model that originate from the stellar evolutionary model. Observations of the Jao Gap show that the feature is not perpendicular to the magnitude axis; rather, it is inversely proportional to the color. No models of the Jao Gap published at the time of writing capture this color dependency and *what causes this color dependency* remains one of the most pressing questions relating to the underlying physics. This non captured physics is one potential explanation for why the magnitude where our model predicts the increase in variability is not in agreement with where the variability jump exists in the data.

Finally, we have not considered detailed descriptions of the dynamos of stars. The magnetohydrodynamical

modeling which would be required to model the evolution of the magnetic field of these stars at thermal timescale resolutions over gigayears is currently beyond the ability of practical computing. Therefore future work should focus on limited modeling which may inform the evolution of the magnetic field directly around the time of a mixing event.

#### 4. CONCLUSION

It is, at this point, well established that the Jao Gap may provide a unique view of the interiors of stars for which other probes, such as seismology, fail. However, it has only recently become clear that the Gap may lend insight into not just structural changes within a star but also into the magnetic environment of the star. [Jao et al. \(2023\)](#) presented evidence that the physics driving the Gap might additionally result in a paucity of H $\alpha$  emission. These authors propose potential physical mechanisms which could explain this paucity, including the core of the star acting as an angular momentum sink during mixing events.

Here we have expanded upon this work by probing the degree and variability of Calcium II H&K emission around the Jao Gap. We lack the same statistical power of [Jao et al.](#)'s sample; however, by focusing on the star-to-star variability within magnitude bins we are able

to retain statistical power. We find that there is an anomalous increase in variability at a G magnitude of  $\sim 11$ . This is only slightly below the observed mean gap magnitude.

Additionally, we propose a simple model to explain this variability. Making the assumption that the periodic convective mixing events will have some small but random effect on the overall magnetic field strength we are able to qualitatively reproduce the increase activity spread in a synthetic population of stars.

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*Software:* The Dartmouth Stellar Evolution Program (DSEP) ([Dotter et al. 2008](#)), *BeautifulSoup* ([Richardson 2007](#)), *mechanize* ([Chandra & Varanasi 2015](#)), *FreeEOS* ([Irwin 2012](#)), *pyTOPSScrape* ([Boudreaux 2022](#))

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