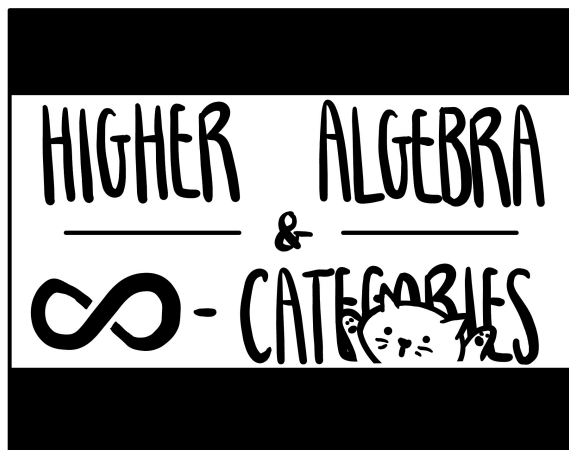


# HIGHER ALGEBRA

MAXIMILIEN PÉROUX



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## 1. LECTURE 1: THURSDAY, JANUARY 12TH

Today: the **homotopy hypothesis**

**Classical algebra:** sets, monoids, groups, abelian groups, rings. Each of these are built up on the other. In higher courses, we may see groupoids, which are types of categories. A category is a generalization of a monoid, in some sense. We also have monoidal categories, which in some sense are a generalization of rings.

For higher algebra: spaces,  $\mathbb{E}_1$ -spaces, spectra,  $\mathbf{E}_1$ -ring spectra. Underlying this we have  $\infty$ -groupoids,  $\infty$ -categories, and monoidal  $\infty$ -categories.

We study spaces, not up to homeomorphism, but up to *weak homotopy equivalence*. We will study this in a minute. “Spaces” in this class will always mean the study of topological spaces up to weak homotopy equivalence.

We’ll give a synthetic definition of what an infinity category is, and circle back to a technical definition in about a month.

### What is an $\infty$ -category?

An  $\infty$ -category (or  $(\infty, 1)$ -category)  $\mathcal{C}$  should consist of:

- (1) a class of objects
- (2) a class of morphisms so that  $\mathrm{Hom}_{\mathcal{C}}(X, Y)$  is a space
- (3)  $n$ -morphisms for  $n \geq 2$ , where for instance 2-morphisms are between 1-morphisms, 3-morphisms between 2-morphisms, etc.
- (4) morphisms can be composed in a suitable way
- (5)  $n$ -morphisms for  $n \geq 2$  are invertible in some sense.

An  $\infty$ -groupoid (or  $(\infty, 0)$ -category) should be an  $\infty$ -category where all the 1-morphisms are also invertible in some sense.

### Why study spaces up to weak homotopy equivalence?

Recall by the Yoneda lemma, we have that

$$X \cong Y \Leftrightarrow \mathrm{Hom}_{\mathrm{Top}}(A, X) \cong \mathrm{Hom}_{\mathrm{Top}}(A, Y)$$

for all  $A \in \mathbf{Top}$ . Figuring out  $\mathrm{Hom}(A, X)$  up to bijection for all  $A$  is very difficult, so we prefer to study continuous maps up to homotopy. For  $X$  and  $Y$  nice enough, we say that  $f \simeq g$  in  $\mathrm{Hom}(X, Y)$  if there exists some path  $I \rightarrow \mathrm{Map}(X, Y)$  so that  $0 \mapsto f$  and  $1 \mapsto g$ . We define  $[X, Y] = \mathrm{Hom}_{\mathbf{Top}}(X, Y) / \simeq$ .

We see then that  $X \simeq Y$  if and only if  $[A, X] \cong [A, Y]$  for all  $A \in \mathbf{Top}$ .

We may ask when  $[A, -] : \mathbf{Top}_* \rightarrow \mathbf{Set}$  factors through  $\mathbf{Grp}$  or  $\mathbf{Ab}$ . We have that  $[A, -]$  factors through  $\mathbf{Grp}$  if and only if  $A$  is a co-H-group in  $\mathbf{Top}$ . That is, we have maps

$$\begin{aligned} A &\rightarrow A \vee A \\ A &\rightarrow *, \end{aligned}$$

which is coassociative, counital, coinvertible.

**Example 1.1.**  $S^n$ , when  $n \geq 1$ , is a co-H-space. The map  $S^n \rightarrow S^n \vee S^n$  is the pinch map.

We say that  $X$  is *weakly homotopy equivalent* to  $Y$ , we write  $X \sim Y$ , if and only if there is a map  $X \rightarrow Y$  inducing an isomorphism

$$\pi_n(X) = [S^n, X]_* \cong [S^n, Y]_* = \pi_n(Y),$$

for all  $n \geq 0$  (for  $n \geq 1$  this is a group isomorphism).

If  $X \sim Y$ , then  $H_n(X) \cong H_n(Y)$  for any  $n$ .

**Theorem 1.2.** (Cellular approximation) For any  $X$  in  $\mathbf{Top}$ , there exists  $\tilde{X}$  a CW complex with a canonical map  $\tilde{X} \xrightarrow{\sim} X$  that is a weak equivalence.

**Theorem 1.3.** (Whitehead) If  $X, Y$  are CW complexes, then  $X \xrightarrow{\sim} Y$  is a homotopy equivalence if and only if  $X \xrightarrow{\sim} Y$  is a weak homotopy equivalence.

**Exercise 1.4.** Find spaces  $X$  and  $Y$  which are weakly homotopy equivalent but not homotopy equivalent.

We denote by  $\Delta$  the simplex category. Its objects are ordered sets of the form  $[n] = \{0, 1, \dots, n\}$ , and its morphisms are order-preserving maps. We have that  $\Delta$  is generated by *cofaces* and *codegeneracies*. The cofaces are of the form

$$d^0, d^1 : [0] \rightarrow [1],$$

skipping 0 or 1 in  $[1]$ , etc. The codegeneracies look like  $s^0 : [1] \rightarrow [0]$  which “repeat” an element.

The cofaces and codegeneracies satisfy certain *cosimplicial identities*.

If  $\mathcal{C}$  is a category, we denote by  $s\mathcal{C} = \mathcal{C}^{\Delta^{\text{op}}}$  the simplicial objects in  $\mathcal{C}$ . If  $\mathcal{C} = \mathbf{Set}$ , we write  $\mathbf{sSet}$  as the category of simplicial sets. A simplicial set  $X_{\bullet} \in \mathbf{sSet}$  consists of sets  $X_0, X_1, \dots$  together with face and degeneracy maps satisfying the simplicial identities.

**Example 1.5.** The *nerve of a small category*. Let  $\mathcal{C} \in \mathbf{Cat}$  a small category. We denote by  $N_{\bullet}\mathcal{C}$  the simplicial set with  $N_0\mathcal{C} = \text{ob}\mathcal{C}$ ,  $N_1\mathcal{C} = \text{mor}\mathcal{C}$ , and  $N_n\mathcal{C}$  the set of  $n$  composable morphisms in  $\mathcal{C}$ . That is,

$$N_n\mathcal{C} = N_1\mathcal{C} \times_{N_0\mathcal{C}} \cdots \times_{N_0\mathcal{C}} N_1\mathcal{C}.$$

The face maps are source/target/composition. The degeneracies insert an identity morphism.

**Example 1.6.** Via Yoneda, we get a functor

$$\Delta^n := \text{Hom}_{\Delta}(-, [n]) : \Delta^{\text{op}} \rightarrow \mathbf{Set}.$$

If  $X_{\bullet}$  is a simplicial set, we get that the set of  $n$ -simplices  $X_n$  is in bijection with  $\text{Hom}_{\mathbf{sSet}}(\Delta^n, X_{\bullet})$ .

**Example 1.7.** (Dold–Kan) We have  $\text{Ch}_R^{\geq 0} \xrightarrow{\Gamma} s\text{Mod}_R$  is an isomorphism, where  $\Gamma_m C_{\bullet} = \bigoplus_{[n] \rightarrow [k]} C_k$ , with faces and degeneracies left as an exercise.

**Example 1.8.** Let  $\Delta_{\text{Top}}^n \subseteq \mathbb{R}^{n+1}$  be defined by

$$\left\{ (t_0, \dots, t_n) \in \mathbb{R}^{n+1} : 0 \leq t_i \leq 1, \sum t_i = 1 \right\}.$$

We can view  $[n] = \{v_0, \dots, v_n\}$ , and  $v_i = (0, \dots, 0, 1, 0, \dots, 0)$  with 1 at the  $i$ th place. Then if  $\alpha : [m] \rightarrow [n]$  in  $\Delta$ , we can define  $\alpha(v_i) = v_{\alpha(i)}$ . Extend linearly to get  $\alpha_* : \Delta_{\text{Top}}^m \rightarrow \Delta_{\text{Top}}^n$ . We get then that  $\Delta_{\text{Top}}^{\bullet}$  is a cosimplicial topological space.

**Example 1.9.** If  $X \in \mathbf{Top}$ , we have  $\text{Sing}_{\bullet}(X) \in \mathbf{sSet}$  defined by  $\text{Sing}_n(X) = \text{Hom}_{\mathbf{Top}}(\Delta_{\text{Top}}^n, X)$ .

**Definition 1.10.** If  $X_{\bullet} \in \mathbf{sSet}$ , we define its *geometric realization* to be

$$|X_{\bullet}| = \coprod_{n \geq 0} X_n \times \Delta_{\text{Top}}^n / \sim,$$

where  $(x, s) \sim (y, t)$  if and only if there is some  $\alpha : [m] \rightarrow [n]$  so that  $\alpha^*y = x$  and  $\alpha_*s = t$ .

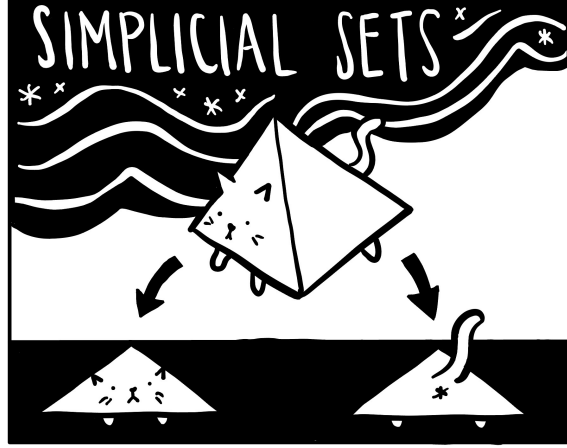
**Example 1.11.**  $|\Delta_{\bullet}^n| \cong \Delta_{\text{Top}}^n$ .

**Exercise 1.12.**  $|X_{\bullet}|$  is always a CW complex for any  $X_{\bullet} \in \mathbf{sSet}$ .

**Exercise 1.13.** We have an adjunction  $|-| : \mathbf{sSet} \rightleftarrows \mathbf{Top} : \text{Sing}(-)$

**Definition 1.14.**  $X_\bullet \rightarrow Y_\bullet$  is a *weak homotopy equivalence* in  $\mathbf{sSet}$  if  $|X_\bullet| \xrightarrow{\sim} |Y_\bullet|$  is a weak homotopy equivalence of spaces.

**Theorem 1.15.** (Quillen) Simplicial sets up to weak equivalence is equivalent to topological spaces up to weak homotopy equivalence. Moreover, for any  $X \in \mathbf{Top}$ , we have that  $|\mathrm{Sing}(X)|$  is weakly equivalent to  $X$ .



## 2. LECTURE 2: TUESDAY, JANUARY 17TH

**Today:** the homotopy hypothesis (continued).

Recall we are interested in studying  $\mathbf{Top}$  up to weak homotopy equivalences. Equivalently, we are interested in studying  $\mathbf{sSet}$  up to weak equivalence, and the relationship between the two was given by the geometric realization / singular complex adjunction.

Recall we've defined  $\Delta^n = \mathrm{Hom}_\Delta(-, [n])$ . We will define the *kth horn*  $\Lambda_k^n \subseteq \Delta^n$  as a coequalizer in  $\mathbf{sSet}$

$$\left( \coprod_{0 \leq i < j \leq n} \Delta^{n-2} \rightrightarrows \coprod_{i \neq k} \Delta^{n-1} \right) \rightarrow \Lambda_k^n,$$

where the two maps are  $\delta^{j-1}$  and  $\delta^i$ . The geometric realization of  $\Lambda_k^n$  is the topological  $n$ -simplex, with the middle and the face opposite the  $k$ th edge removed.

**Definition 2.1.** We say that  $Y \in \mathbf{sSet}$  is a *Kan complex* if for all  $k \leq n$ , and for every  $\Lambda_k^n \rightarrow Y$ , there exists a (not necessarily unique) lift:

$$\begin{array}{ccc} \Lambda_k^n & \longrightarrow & Y \\ \downarrow & \nearrow & \\ \Delta^n & & \end{array}$$

**Exercise 2.2.**  $Y$  is a Kan complex if and only if for any  $(n-1)$ -simplices  $y_1, \dots, y_{k-1}, y_{k+1}, \dots, y_n$  such that  $d_i y_j = d_{j-1} y_i$  for  $i < j$ ,  $i, j \neq k$ , there exists an  $n$ -simplex  $y$  such that  $d_i y = y_i$  for all  $i \neq k$ .

**Exercise 2.3.** We have that  $\mathrm{Sing}(X)$  is always a Kan complex for any  $X \in \mathbf{Top}$ .

**Exercise 2.4.** We have that  $\Delta^n$  is not a Kan complex for  $n \geq 1$ .

**Exercise 2.5.** If  $X \in \mathbf{sGrp}$ , then the underlying simplicial set of  $X$  is always a Kan complex.

Up to weak homotopy equivalence, every simplicial set is a Kan complex (will see this later).

Recall the Dold-Kan correspondence

$$\mathbf{sMod}_{\mathbb{Z}} \cong \mathbf{Ch}_{\mathbb{Z}}^{\geq 0},$$

which sends weak homotopy equivalences to quasi-isomorphisms. Given a simplicial set  $X_*$ , we can take an associated simplicial abelian group  $\mathbb{Z}[X_*]$  by taking the free group on  $n$ -simplices at level  $n$ . We can ask what  $\mathbb{Z}[X_*]$  corresponds to as a chain complex. One answer is that

$$\mathbb{Z}[\mathrm{Sing}(X_*)] \leftrightarrow C_*(X; \mathbb{Z}).$$

This tells us that

$$\pi_* (\mathbb{Z} [\mathrm{Sing}(X)]) \cong H_*(X; \mathbb{Z}).$$

In some sense we can view  $\mathbb{Z}[\mathrm{Sing}(X)]$  as being (equivalent to) the *free commutative monoid* on  $X$ . This is what is known as the *Dold-Thom theorem*.

**Homotopy hypothesis:** Spaces (up to weak equivalence) are  $\infty$ -groupoids. For us, spaces up to weak equivalences correspond to Kan complexes.

Given  $X \in \mathbf{Kan}$ , we can call  $X_0$  the objects, and  $X_1$  the morphisms. The horn filling conditions on horns tell you that you can *compose* and *invert* morphisms in  $X_1$ , witnessed by simplices in  $X_2$ .

**Definition 2.6.** A *quasi-category* (i.e.  $\infty$ -category) is a simplicial set with inner horn lifting property. That is, we can lift against horns  $\Lambda_k^n$  for  $0 < k < n$ .

**Exercise 2.7.** A quasi-category has unique horn filling if and only if it is isomorphic to the nerve of a 1-category.

### Model categories

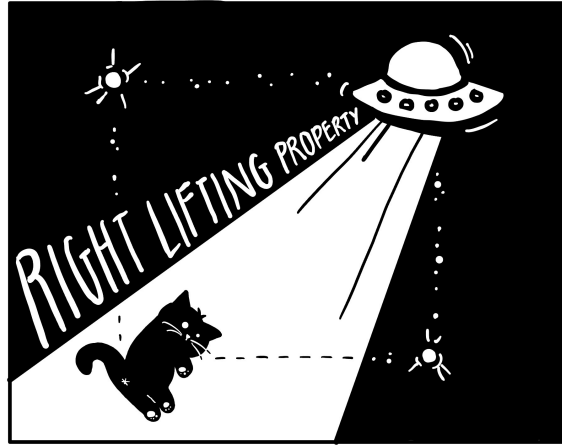
**Vista:** Every nice infinity category is equivalent in some sense to a model category. This will pretty much be the goal of this class.

**Notation 2.8.** Let  $\mathcal{M}$  be a category, and  $\chi \subseteq \mathcal{M}$  a class of morphisms. We define  $\text{LLP}(\chi)$  to be the class of morphisms in  $\mathcal{M}$  so that  $f$  has left lifting property with respect to all morphisms in  $\chi$ :

$$\begin{array}{ccc} \cdot & \xrightarrow{\quad} & \cdot \\ f \downarrow & \nearrow & \downarrow \in \chi \\ \cdot & \xrightarrow{\quad} & \cdot \end{array}$$

Similarly we can define  $f \in \text{RLP}(\chi)$  by

$$\begin{array}{ccc} \cdot & \xrightarrow{\quad} & \cdot \\ \chi \ni \downarrow & \nearrow & \downarrow f \\ \cdot & \xrightarrow{\quad} & \cdot \end{array}$$



**Definition 2.9.** A *weak factorization system* on a category  $\mathcal{M}$  consists of a pair  $(\mathcal{C}, \mathcal{F})$  of classes of morphisms such that

- (1) Given any  $f : X \rightarrow Y$  in  $\mathcal{M}$ , it factors (not necessarily uniquely) as

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow \mathcal{C} \ni & \nearrow \in \mathcal{F} \\ & W & \end{array}$$

- (2)  $\mathcal{C} = \text{LLP}(\mathcal{F})$  and  $\mathcal{F} = \text{RLP}(\mathcal{C})$ .

**Example 2.10.** In **Set**, we have that mono and epimorphisms give a weak factorization system. A factorization is

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow \text{id}_X \times f & \nearrow \pi_Y \\ & X \times Y & \end{array}$$

**Definition 2.11.** A *model structure* on  $\mathcal{M}$  consists of three classes of morphisms:

$$\begin{array}{l|l} W & \text{weak equivalences} \\ \text{Cof} & \text{cofibrations} \\ \text{Fib} & \text{fibrations} \end{array}$$

We denote by  $\widetilde{\text{Cof}} := \text{Cof} \cap W$  and  $\widetilde{\text{Fib}} = \text{Fib} \cap W$ , and call these *trivial cofibrations* (resp. *trivial fibrations*). These are subject to the constraint that

- (1)  $\mathcal{M}$  is bicomplete (all limits and colimits)<sup>1</sup>
- (2)  $W$  satisfies 2-out-of-3 property<sup>2</sup>
- (3)  $(\text{Cof}, \widetilde{\text{Fib}})$  and  $(\widetilde{\text{Cof}}, \text{Fib})$  are weak factorization systems.

**Terminology 2.12.** A category with a model structure is referred to as a *model category*.

**Notation 2.13.** We will decorate each class of morphisms as

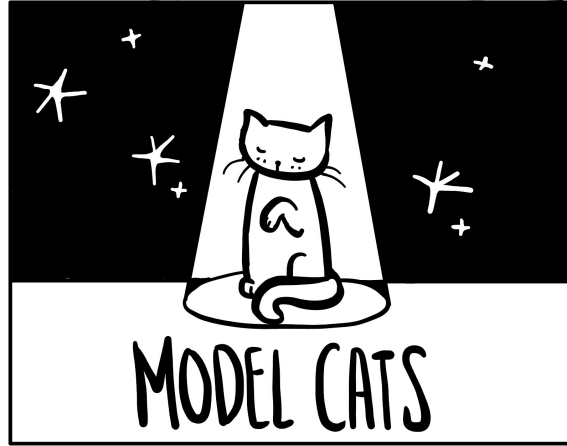
$$\begin{array}{l|l} W & \xrightarrow{\sim} \\ \text{Cof} & \hookrightarrow \\ \text{Fib} & \twoheadrightarrow \end{array}$$

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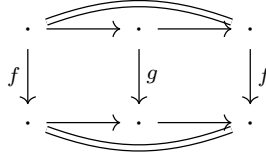
<sup>1</sup>We might also require *finitely* bicomplete.

<sup>2</sup>If  $f$  and  $g$  are composable, and any two of  $f, g, gf$  are in  $W$  then so is the third.





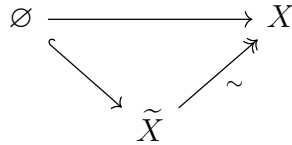
**Exercise 2.14.**  $W$ ,  $\text{Cof}$ , and  $\text{Fib}$  are closed under retracts: that is,



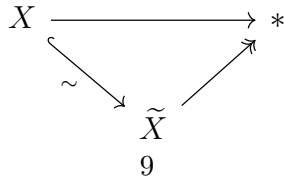
then if  $g \in W$  (resp.  $\text{Cof}$  or  $\text{Fib}$ ) then  $f \in W$  (resp.  $\text{Cof}$  or  $\text{Fib}$ ).

**Definition 2.15.** Let  $\mathcal{M}$  be a model category, and let  $\emptyset \in \mathcal{M}$  the initial object and  $*$   $\in \mathcal{M}$  the terminal object.

- We say that  $X \in \mathcal{M}$  is *cofibrant* if the unique map  $\emptyset \rightarrow X$  is a cofibration.
- We say that  $X \in \mathcal{M}$  is *fibrant* if the unique map  $X \rightarrow *$  is a fibration.
- We say that  $\tilde{X}$  is a *cofibrant replacement* of  $X$  if



- We say that  $\tilde{X}$  is a *fibrant replacement* of  $X$  if



**Example 2.16.**  $\mathcal{M} = \text{Top}$ ,  $W = \text{weak homotopy equivalences}$ ,  $\text{Cof} = \text{relative CW complexes}$ <sup>3</sup> The fibrations are determined by  $\text{Fib} = \text{RLP}(\widetilde{\text{Cof}})$ . The fibrations are equivalently  $\text{RLP}(D^n \rightarrow D^n \times I)$ . Every object here is fibrant, and the cofibrant objects are precisely the CW complexes. Cofibrant replacement is cellular approximation.

### 3. LECTURE 3: THURSDAY, JANUARY 19TH

**Proposition 3.1.** Identities and isomorphisms are weak equivalences in a model category.

*Proof.* For any  $X \in \mathcal{M}$ , we can fibrantly replace it to get  $X \xrightarrow{\sim} \tilde{X}$ . Consider the commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{\text{id}} & X \\ & \searrow \sim & \swarrow \sim \\ & \tilde{X} & \end{array}$$

By 2-out-of-3, we have that  $\text{id} : X \rightarrow X$  is also a weak equivalence.

More generally if  $f : X \rightarrow Y$  is an isomorphism in  $\mathcal{M}$ , then by the diagram

$$\begin{array}{ccccc} X & \xrightarrow{f} & Y & \xrightarrow{f^{-1}} & X \\ & \searrow f & \parallel & \swarrow f^{-1} & \\ Y & \xlongequal{\quad} & Y & \xlongequal{\quad} & Y \end{array}$$

we see that  $f$  is contained in  $W$ . □

If  $(\mathcal{C}, \mathcal{F})$  is a weak factorization system, then both  $\mathcal{C}$  and  $\mathcal{F}$  are closed under retracts. Hence  $\text{Cof}, \widetilde{\text{Cof}}, \text{Fib}, \widetilde{\text{Fib}}$  are closed under retracts.  $W$  is also closed under retracts (exercise).

**Exercise 3.2.** We have that  $\mathcal{M}$  is a model category if and only if  $\mathcal{M}^{\text{op}}$  is a model category.

**Theorem 3.3.** Cofibrations are closed under pushouts and coproducts.

---

<sup>3</sup> $A \hookrightarrow X$  is a *relative CW complex* if  $X$  is built out of  $A$  by attaching cells.



Where  $M_f = (X \times I) \cup_X Y$  is the mapping cylinder.

**Example 3.7.** The *Kan model structure* on **sSet** with

- $W$  = weak homotopy equivalences
- $\text{Cof}$  = monomorphisms (levelwise injections)
- $\text{Fib}$  = Kan fibrations ( $\text{RLP}(\Lambda_k^n \rightarrow \Delta^n)$  for all  $0 \leq k \leq n$ ).

Everything is cofibrant here (since the empty simplicial set injects into everything). Fibrant things are Kan complexes. This tells us that every simplicial set is weakly equivalent to a Kan complex!

**Theorem 3.8.** (Milnor) The natural map  $X \rightarrow \text{Sing}(|X|)$  is a weak homotopy equivalence for any simplicial set  $X$ . [Kerodon, 3.5.4.1]

**Definition 3.9.** Let  $\mathcal{C}$  be a cat, and  $W \subseteq \mathcal{C}$  a subcategory. A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is called the *localization of  $\mathcal{C}$  with respect to  $W$*  if:

- (1)  $F(f) \in \text{iso}\mathcal{D}$  if  $f \in \text{mor}W$
- (2) For any other  $F'$  satisfying (1), we have

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F'} & \mathcal{D}' \\ F \downarrow & \nearrow \exists! & \\ \mathcal{C} & & \end{array}$$

We denote by  $\mathcal{C} \rightarrow \mathcal{C}[W^{-1}]$  the localization.

Here is a naive way to construct  $\mathcal{C}[W^{-1}]$ : we take the free category on  $\mathcal{C}$  and “ $W^{-1}$ .” That is, we take the same objects, but allow morphisms to be “zigzags” of morphisms forward in  $\mathcal{C}$  and morphisms backwards in  $W$ , and we mod out by the relation that things in  $W$  become isomorphisms. There are size issues here.

**Theorem 3.10.** If  $\mathcal{M}$  is a model category, then localization  $\mathcal{M} \rightarrow \mathcal{M}[W^{-1}]$  exists. We denote by  $\text{Ho}(\mathcal{M}) = \mathcal{M}[W^{-1}]$  the homotopy category of  $\mathcal{M}$ .

Recall in **Top** that  $f \simeq g : X \rightarrow Y$  if there is a map  $H : X \times I \rightarrow Y$  so that  $H(-, 0) = f$  and  $H(-, 1) = g$ .

**Definition 3.11.** Let  $\mathbf{tM}$  be a model category. A *cylinder object* on  $X \in \mathcal{M}$  is defined to be

$$\begin{array}{ccc} X \amalg X & \xrightarrow{\nabla} & Y \\ & \searrow & \nearrow \sim \\ & \text{Cyl}(X) & \end{array}$$

The construction of cylinder objects is *not functorial*.

A (left) *homotopy* from  $f$  to  $g$  is a map  $H : \text{Cyl}(X) \rightarrow Y$  such that  $H \circ i_0 = f$  and  $H \circ i_1 = g$ . We denote this by  $f \simeq g$ .

**Proposition 3.12.** We have that  $i_0 : X \rightarrow \text{Cyl}(X)$  is a weak equivalence (and same for  $i_1$ ).

*Proof.* We have

$$\begin{array}{ccccc}
 & & \text{id} & & \\
 & \curvearrowright & & \curvearrowleft & \\
 X & \longrightarrow & X \amalg X & \xrightarrow{\nabla} & Y \\
 & \searrow^{i_0} & \downarrow & \nearrow_{\sim} & \\
 & & \text{Cyl}(X) & & 
 \end{array}$$

By 2-out-of-3 on the outside maps, the result follows. □

**Proposition 3.13.** If  $X$  is cofibrant, then  $i_0, i_1 : X \rightarrow \text{Cyl}(X)$  are cofibrations.

*Proof.* Since cofibrations are preserved under pushouts, we have that  $i_0$  and  $i_1$  are cofibrations:

$$\begin{array}{ccc}
 \emptyset & \hookrightarrow & X \\
 \downarrow & \lrcorner & \downarrow i_0 \\
 X & \xrightarrow{i_1} & X \amalg X
 \end{array}$$

□

**Theorem 3.14.** (Exercise) If  $X$  is cofibrant, then homotopy  $\simeq$  gives an equivalence relation on  $\text{Hom}(X, Y)$  for any  $Y$ .

We can think of a map

$$\begin{aligned}
 \text{Hom}_{\mathcal{M}}(X, Y) / \simeq \times \text{Hom}_{\mathcal{M}}(Y, Z) / \simeq &\rightarrow \text{Hom}_{\mathcal{M}}(X, Z) / \simeq \\
 (f, g) &\mapsto g \circ f.
 \end{aligned}$$

In order for this to be well-defined, we need  $Z$  to be fibrant.

**Lemma 3.15.** If  $Z$  is fibrant, and  $f \simeq g : X \rightarrow Z$ , then if  $h : X' \rightarrow X$ , we have that  $fh \simeq gh$ .

*Proof.* We have  $H : \text{Cyl}(X) \rightarrow Y$  with  $H_0 = f$  and  $H_1 = g$ . By lifting, we get

$$\begin{array}{ccccc} X' \amalg X' & \longrightarrow & X \amalg X & \longrightarrow & \text{Cyl}(X) \\ \downarrow & & & \nearrow \text{dashed} & \downarrow \sim \\ \text{Cyl}(X') & \longrightarrow & X' & \longrightarrow & X. \end{array}$$

This gives the desired map. We used fibrancy of  $Z$  to ensure that the map  $\text{Cyl}(X) \rightarrow X$  was a trivial fibration (or could be replaced with a better cylinder object using a map to  $Z$ ).  $\square$

**Theorem 3.16.** In  $\mathcal{M}$ , given  $f : X \rightarrow Y$  with  $X$  cofibrant and  $Y$  fibrant, then  $f \in W$  if and only if  $f$  is a homotopy equivalence.<sup>4</sup>

**Notation 3.17.**  $\mathcal{M}_c$  = cofibrant objects in  $\mathcal{M}$ , and  $\mathcal{M}_f$  = fibrant objects in  $\mathcal{M}$ . We denote by  $\mathcal{M}_{cf}$  = objects which are *both* cofibrant and fibrant.

Concretely, we can define  $\text{Ho}(\mathcal{M})$  as the objects in  $\mathcal{M}$ , but where

$$\text{Hom}_{\text{Ho}(\mathcal{M})}(X, Y) = \text{Hom}_{\mathcal{M}_{cf}/\simeq}(RQX, RQY),$$

where  $R$  is a fibrant replacement and  $Q$  is a cofibrant replacement.

**Exercise 3.18.** Given  $X \rightarrow Y$  in  $\mathcal{M}$ , there exists  $QX \xrightarrow{\tilde{f}} QY$  such that

$$\begin{array}{ccc} QX & \xrightarrow{\tilde{f}} & QY \\ \downarrow \sim & & \downarrow \sim \\ X & \xrightarrow{f} & Y. \end{array}$$

Here  $\tilde{f}$  is well-defined up to left homotopy.

Given some  $\mathcal{M} \rightarrow \text{Ho}(\mathcal{M})$ , we just need to check that  $W \mapsto \text{isos}$ , and it is universal in that way.

#### 4. LECTURE 4: TUESDAY, JANUARY 24TH

**Definition 4.1.** Suppose  $\mathcal{M}$  and  $\mathcal{N}$  are model categories, and take a functor  $F : \mathcal{M} \rightarrow \mathcal{N}$ . A *left derived functor* of  $F$  is an (absolute) right Kan extension of  $F$  along

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<sup>4</sup>Meaning that there is some  $g : Y \rightarrow X$  with  $fg \simeq \text{id}$  and  $gf \simeq \text{id}$ .

$\gamma_{\mathcal{M}} : \mathcal{M} \rightarrow \text{Ho}(\mathcal{M})$ :

$$\begin{array}{ccc} \mathcal{M} & \xrightarrow{F} & \mathcal{N} \\ \gamma_{\mathcal{M}} \downarrow & \swarrow \ell & \nearrow \\ \text{Ho}(\mathcal{M}) & & \end{array}$$

if  $G : \text{Ho}(\mathcal{M}) \rightarrow \mathcal{N}$  and  $s : G \circ \gamma_{\mathcal{M}} \Rightarrow F$ , then there exists a unique  $s' : G \Rightarrow LF$  so that  $\ell \circ (s' \circ \gamma_{\mathcal{M}}) = s$ .

$$\begin{array}{ccc} \mathcal{M} & \xrightarrow{F} & \mathcal{N} \\ \gamma_{\mathcal{M}} \downarrow & \swarrow \ell & \nearrow \\ \text{Ho}(\mathcal{M}) & & \end{array} \quad \begin{array}{c} \nearrow s' \\ \nwarrow \ell \end{array}$$

**Definition 4.2.** Let  $F : \mathcal{M} \rightarrow \mathcal{N}$ . A *total left derived functor*  $\mathbb{L}F : \text{Ho}(\mathcal{M}) \rightarrow \text{Ho}(\mathcal{N})$  is the left derived functor of  $\mathcal{M} \xrightarrow{F} \mathcal{N} \xrightarrow{\gamma_{\mathcal{N}}} \text{Ho}(\mathcal{N})$ .

**Example 4.3.** If  $\mathcal{F} : \mathcal{M} \rightarrow \mathcal{N}$  where if  $f \in W$  between cofibrant objects then  $Ff$  is a weak equivalence in  $\mathcal{N}$ , then  $\mathbb{L}F$  exists:

$$\begin{array}{ccccc} \mathcal{M} & \xrightarrow{F} & \mathcal{N} & \longrightarrow & \text{Ho}(\mathcal{N}) \\ \downarrow & & & \nearrow & \\ \text{Ho}(\mathcal{M}) & & & & \end{array}$$

We will have that  $\mathbb{L}F(X) \xrightarrow{\sim} F(X)$  whenever  $X$  is cofibrant. In general,  $\mathbb{L}F(X) = F(Q(X))$ .

**Definition 4.4.** Let  $F : \mathcal{M} \rightarrow \mathcal{N}$ . We say that  $F$  is a *left Quillen functor* if

- (i)  $F$  is a left adjoint
- (ii)  $F$  preserves cofibrations and trivial cofibrations.

In this case if  $G$  is a right adjoint, then we say the adjunction is a *Quillen adjunction* / *Quillen pair*.<sup>5</sup>

**Exercise 4.5.** Show that  $L$  is left Quillen if and only if  $G$  is right Quillen.

**Lemma 4.6.** (Ken Brown's Lemma) If  $F : \mathcal{M} \rightarrow \mathcal{N}$  is any functor between model categories which sends trivial cofibrations between cofibrant objects to weak equivalences in  $\mathcal{N}$ , then  $F$  sends any weak equivalence between cofibrant objects to weak equivalences.

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<sup>5</sup>There is a dual notion of right Quillen functor, meaning it is a right adjoint which preserves fibrations and trivial fibrations.

*Proof.* Let  $f : A \xrightarrow{\sim} B$ , where  $A, B \in \mathcal{M}_c$ . We need  $F(f)$  to be a weak equivalence. Consider the factorization of the coproduct of  $f$  and the identity on  $B$ :

$$\begin{array}{ccc} A \amalg B & \xrightarrow{f \amalg \text{id}_B} & B \\ & \searrow q \quad \nearrow p \sim & \\ & C & \end{array}$$

Then consider the pushout:

$$\begin{array}{ccccc} \emptyset & \hookrightarrow & A & \xrightarrow{f} & B \\ \downarrow & & \downarrow i_A & \searrow \sim & \uparrow p \\ B & \hookrightarrow & A \amalg B & \xrightarrow{q} & C \\ & \searrow q & \searrow q & & \downarrow p \\ & & & & B \end{array}$$

We have that

$$\begin{aligned} B &\xrightarrow{i_B} A \amalg B \xrightarrow{q} C \\ A &\xrightarrow{i_A} A \amalg B \xrightarrow{q} C \end{aligned}$$

are both trivial cofibrations, hence their images under  $F$  are weak equivalences. We see that

$$F(p) \circ F(q \circ \text{id}_B) = F(p \circ q \circ \text{id}_B) = F(\text{id}_B).$$

Therefore  $F(p)$  is a weak equivalence by 2-out-of-3.  $\square$

**Theorem 4.7.** Suppose that  $F : \mathcal{M} \rightarrow \mathcal{M}$  is left Quillen. Then  $\mathbb{L}F : \text{Ho}(\mathcal{M}) \rightarrow \text{Ho}(\mathcal{N})$  exists and can be defined as

$$\text{Ho}(\mathcal{M}) \xrightarrow{Q} \text{Ho}(\mathcal{M}_c) \xrightarrow{F} \text{Ho}(\mathcal{N}).$$

Moreover, we obtain an adjunction on the homotopy categories:

$$\mathbb{L}F : \text{Ho}(\mathcal{M}) \rightleftarrows \text{Ho}(\mathcal{N}) : \mathbb{R}G.$$

*Proof idea.* We have a natural iso

$$\text{Hom}_{\mathcal{M}}(X, G(Y)) \cong \text{Hom}_{\mathcal{N}}(F(X), Y),$$



compatible with homotopy equivalence:

$$\mathrm{Hom}_{\mathcal{M}}(X, G(Y))/\simeq \cong \mathrm{Hom}_{\mathcal{N}}(F(X), Y)/\simeq$$

□

**Theorem/Definition:** Take a Quillen adjunction  $F : \mathcal{M} \rightleftarrows \mathcal{N} : G$ . Suppose that  $f : X \xrightarrow{\sim} G(Y)$ , with  $X \in \mathcal{M}_c$  and  $Y \in \mathcal{N}_f$  is a weak equivalence if and only if  $f^\flat : F(X) \rightarrow Y$  is. Then  $\mathbb{L}F$  and  $\mathbb{R}G$  are equivalences of categories, we call this a *Quillen equivalence*.

**Example 4.8.** We have that

$$|-| : \mathbf{sSet}_{\mathrm{Kan}} \rightleftarrows \mathbf{Top}_{\mathrm{Quillen}} : \mathrm{Sing}(-)$$

is a Quillen equivalence.

**Example 4.9.** We have that

$$\mathrm{id} : \mathbf{Top}_{\mathrm{Quillen}} \rightleftarrows \mathbf{Top}_{\mathrm{Str\o{m}}} : \mathrm{id}$$

is a Quillen adjunction but not a Quillen equivalence.

**Q:** If  $\mathcal{M}$  and  $\mathcal{N}$  are model categories such that there is an equivalence of categories  $\mathrm{Ho}(\mathcal{M}) \cong \mathrm{Ho}(\mathcal{N})$ , is this always coming from a Quillen equivalence?

**A:** No! Dugger–Shipley, 2009.

This indicates that Quillen equivalence is a good notion but it is not a *perfect* notion.

### Guided example: chain complexes

Let's take  $\mathbf{Ch}_{\mathbb{Z}}$  to be homologically graded unbounded chain complexes. There are three model structures of interest. We first start with the projective one:

$(\mathbf{Ch}_{\mathbb{Z}})_{\mathrm{projective}} :$

- weak equivalences are quasi-isomorphisms
- fibrations are levelwise epimorphisms
- cofibrations are levelwise monomorphisms such that the cokernel of each  $f_n : X_n \rightarrow Y_n$  is free.

If  $M \in \mathbf{Ab}$ , we define  $S^n(M)$  to be the chain complex  $M[n]$  which is concentrated in  $M$  at degree  $n$ . If  $M = \mathbb{Z}$ , we call it  $S^n$ . We define  $D^n(M)$  to be a chain complex

$$\cdots \rightarrow 0 \rightarrow M \xrightarrow{\mathrm{id}} M \rightarrow 0 \rightarrow \cdots$$

with two  $M$ 's concentrated in degrees  $n$  and  $n - 1$ . We call  $D^n(\mathbb{Z}) =: D^n$ .

**Exercise 4.10.** Show that fibrations are  $\text{RLP}(0 \rightarrow D^n)$  for all  $n$ . That is,

$$\begin{array}{ccc} 0 & \longrightarrow & X \\ \downarrow & \nearrow & \downarrow \\ D^n & \longrightarrow & Y. \end{array}$$

We claim this lifts iff  $X \rightarrow Y$  is a levelwise epimorphism. We have that  $\text{Hom}_{\text{ch}}(D^n, Y) \cong Y_n$ , so we are just asking if every element in  $Y_n$  lifts to an element in  $X_n$ .

**Exercise 4.11.** Show that  $\widetilde{\text{Fib}} = \text{RLP}(S^n \hookrightarrow D^{n+1})$  for all  $n$ . Consider  $\text{Hom}_{\text{ch}}(S^n, Y)$ . A map looks like

$$\begin{array}{ccccccc} \cdots & \longrightarrow & \mathbb{Z} & \longrightarrow & 0 & \longrightarrow & \cdots \\ & & \downarrow & & \downarrow & & \\ \cdots & \longrightarrow & Y_n & \longrightarrow & Y_{n-1} & \longrightarrow & \cdots \end{array}$$

That is, it picks out a class in  $Y_n$  which maps to zero under the differential. The data of a square

$$\begin{array}{ccc} S^{n-1} & \longrightarrow & X \\ \downarrow & & \downarrow p \\ D^n & \longrightarrow & Y \end{array}$$

is the data of  $(y, x) \in Y_n \oplus Z_{n-1}X$  so that  $p(x) = dy$ . Show that a lift exists if and only if  $p$  is a trivial fibration.

Other model structures.

$(\text{Ch}_R)_{\text{injective}}$ :

- $W$  = quasi-isomorphisms
- $\text{Cof}$  = fiberwise monomorphisms<sup>6</sup>
- $\text{Fib}$  = fiberwise epimorphisms with fibrant kernel

We get a Quillen equivalence

$$\text{id} : (\text{Ch}_R)_{\text{projective}} \rightleftarrows (\text{Ch}_R)_{\text{injective}} : \text{id}.$$

We also have have a third one which is *not* Quillen equivalent.

$(\text{Ch}_R)_{\text{Hurewicz}}$ :

- $W$  = homotopy equivalences of chain complexes
- $\text{Cof}$  = split levelwise monomorphisms

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<sup>6</sup>Here we roughly have that  $\text{Cof} = \text{LLP}(D^n \rightarrow 0)$  and  $\widetilde{\text{Fib}} = \text{LLP}(D^{n+1} \rightarrow S^n)$ .

- Fib = split levelwise epimorphisms

We denote by  $\mathcal{D}(R) = \text{Ho}\left((\mathbf{Ch}_R)_{\text{proj}}\right)$  the *derived category* of a ring  $R$ .

We can also think about *connective* chain complexes (which are zero in negative degrees). We have an adjunction

$$\mathbf{Ch}_R \rightleftarrows \mathbf{Ch}_R^{\geq 0}.$$

This induces a model structure on  $\mathbf{Ch}_R^{\geq 0}$  making it into a Quillen adjunction but not a Quillen equivalence. We denote by  $\text{Ho}(\mathbf{Ch}_R^{\geq 0}) = \mathcal{D}^{\geq 0}(R)$ .

We get a model structure:  $(\mathbf{Ch}_R^{\geq 0})_{\text{proj}}$

- $W$  = quasi-isomorphisms
- Fib = positive epimorphisms (may not be epi in degree 0)
- Cof = monomorphisms with projective cokernel. The cofibrant objects here are levelwise projective  $R$ -modules.

If we take  $M \in \text{Mod}_R$ , we can view  $S^0(M) \in \mathbf{Ch}_R^{\geq 0}$ , and take a cofibrant replacement of it  $P \xrightarrow{\sim} S^0(M)$ . This is *exactly* a projective resolution of  $M$ !

$$\begin{array}{ccccccc} \cdots & \longrightarrow & P_2 & \longrightarrow & P_1 & \longrightarrow & P_0 \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ \cdots & \longrightarrow & 0 & \longrightarrow & 0 & \longrightarrow & M \longrightarrow 0. \end{array}$$

**Example 4.12.** Let  $M \in \text{Mod}_R$ . Then we can take

$$S^0(M) \otimes_R - : \mathbf{Ch}_R^{\geq 0} \rightarrow \mathbf{Ch}_R^{\geq 0}.$$

We can check that this is left Quillen. We can look at its total left derived functor  $S^0(M) \otimes_R^{\mathbb{L}} -$ . We can see that

$$M \otimes_R^{\mathbb{L}} N := S^0(M) \otimes_R^{\mathbb{L}} S^0(N) \simeq S^0(M) \otimes_R P_{\bullet},$$

where  $P_{\bullet}$  is a projective resolution of  $N$ . We have that

$$H_i(M \otimes_R^{\mathbb{L}} N) = \text{Tor}_i^R(M, N).$$

**Exercise 4.13.** In the same way, if we want to derive hom, we can check that

$$\text{Hom}_{\mathcal{D}^{\geq 0}(R)}(S^m(M), S^n(N)) \cong \text{Ext}_R^{n-m}(M, N).$$

Via Dold-Kan, we have a Quillen adjunction

$$R[-] : \mathbf{sSet}_{\text{Kan}} \rightleftarrows \mathbf{sMod}_R : U,$$

with the model structure on  $\mathbf{sMod}_R$  given by weak homotopy equivalences as underlying simplicial sets, and fibrations as underlying Kan fibrations.

Then Dold-Kan takes the form of a Quillen equivalence

$$N : (\mathbf{sMod}_R)_{\text{Kan}} \rightleftarrows (\mathbf{Ch}_R^{\geq 0})_{\text{proj}} : \Gamma.$$

In general  $N(X \otimes_R Y) \not\cong N(X) \otimes_R N(Y)$ , however  $N(X \otimes Y) \cong N(X) \otimes_R N(Y)$ . They both describe  $\mathcal{D}^{\geq 0}(R)$  in a monoidal way.

## 5. LECTURE 5: THURSDAY, JANUARY 26TH

For Dold-Kan  $\mathbf{Ch}_{\geq 0} \cong \mathbf{sMod}_R$ , we have

$$M \otimes N \rightleftarrows M \otimes R \otimes N \rightleftarrows M \otimes R^{\otimes 2} N \dots$$

we denote this by  $B_{\bullet}(M, R, N)$  and call it the *bar construction*.

### Homotopy colimits

**Motivation:** Limits and colimits are not invariant under (weak) homotopy equivalence.

$$\begin{array}{ccc} X & \hookrightarrow & CX \\ \downarrow & \lrcorner & \downarrow \\ CX & \longrightarrow & \Sigma X \end{array} \qquad \begin{array}{ccc} X & \longrightarrow & * \\ \downarrow & \lrcorner & \downarrow \\ * & \longrightarrow & * \end{array}$$

However  $\Sigma X \not\cong *$ .

Let  $\mathcal{M}$  be a model category, and  $\mathcal{C}$  a small category. Then we denote by  $\text{Fun}(\mathcal{C}, \mathcal{M}) = \mathcal{M}^{\mathcal{C}}$ . Let  $\mathcal{C}_0 \subseteq \mathcal{C}$  be the discrete subcategory spanned by  $\text{ob}(\mathcal{C})$ . Let  $\mathcal{M}^{\mathcal{C}_0} = \prod_{\mathcal{C}_0} \mathcal{M}$ . This has a model structure where  $W$ ,  $\text{Fib}$ , and  $\text{Cof}$  are determined objectwise.

Consider  $\iota : \mathcal{C}_0 \hookrightarrow \mathcal{C}$ . This induces a map

$$\begin{aligned} \iota^* : \mathcal{M}^{\mathcal{C}} &\rightarrow \mathcal{M}^{\mathcal{C}_0} \\ F &\mapsto F|_{\mathcal{C}_0}. \end{aligned}$$

This admits adjoints:

$$\iota_! \dashv \iota^* \dashv \iota_*.$$

We have that  $\iota^*$  creates  $W$  and  $\text{Fib}$ .

We have  $(\mathcal{M}^{\mathcal{C}})_{\text{proj}}$ :

- $W$  = objectwise weak equivalence

- Fib = objectwise fib
- Cof = ? induced by  $\iota_! \text{Cof}$

We have that  $\mathcal{M}$  is cocomplete, so we get a tensoring

$$\begin{aligned} \mathcal{M} \times \mathbf{Set}^{\mathcal{C}} &\rightarrow \mathcal{M}^{\mathcal{C}} \\ (X, F) &\mapsto X \otimes F = \coprod_{F(-)} X. \end{aligned}$$

We have  $(X \times F)(c) = \coprod_{F(c)} X$ .

There are representable functors

$$\begin{aligned} \mathcal{C}(c, -) : \mathcal{C} &\rightarrow \mathbf{Set} \\ d &\mapsto \mathcal{C}(c, d). \end{aligned}$$

By Yoneda, there is a natural iso

$$\mathbf{Set}^{\mathcal{C}}(\mathcal{C}(c, -), F) \cong F(c).$$

Tensoring with a representable functor gives

$$X \otimes \mathcal{C}(c, -) = \coprod_{\mathcal{C}(c, -)} X.$$

This is the *free diagram of  $X$  generated at  $c$* .

This gives an adjunction

$$- \otimes \mathcal{C}(c, -) : \mathcal{M} \rightleftarrows \mathcal{M}^{\mathcal{C}} : \text{ev}_c.$$

In this case

$$\iota_!(F) = \coprod_c \coprod_{\mathcal{C}(c, -)} F(c),$$

which is the free diagram in  $\mathcal{M}$  generated by  $F$ . Evaluating at  $d$  gives

$$\iota_!(F)(d) = \coprod_{c \in \mathcal{C}} \coprod_{\mathcal{C}(c, d)} F(c).$$

This is the functor  $\iota_! : \mathcal{M}^{\mathcal{C}_0} \rightarrow \mathcal{M}^{\mathcal{C}}$ . We see that  $\iota_! X$  is a left Kan extension

$$\begin{array}{ccc} \mathcal{C}_0 & \xrightarrow{X} & \mathcal{M} \\ \downarrow \iota & \nearrow & \\ \mathcal{C} & & \end{array}$$

There is a diagonal functor

$$\begin{aligned} \mathcal{M} &\xrightarrow{\Delta} \mathcal{M}^{\mathcal{C}} \\ C &\mapsto \text{constant functor at } X. \end{aligned}$$

This admits adjoints

$$\text{colim} \dashv \Delta \dashv \text{lim}.$$

**Proposition 5.1.** The adjunction

$$\mathrm{colim} : (\mathcal{M}^{\mathcal{C}})_{\mathrm{proj}} \rightleftarrows \mathcal{M} : \Delta$$

is Quillen.

We denote  $\mathrm{hocolim} := \mathbb{L}\mathrm{colim}$ . There is a map  $\mathrm{hocolim}(-) \rightarrow \mathrm{colim}(-)$ , and

$$\mathrm{hocolim}(F) \simeq \mathrm{colim}(QF).$$

Here  $QF$  denotes a cofibrant replacement in  $(\mathcal{M}^{\mathcal{C}})_{\mathrm{proj}}$ . For a general  $\mathcal{C}$ ,  $QF$  is very difficult to determine.

Consider  $\mathcal{C} = a \leftarrow b \rightarrow c$ , and let  $X \in \mathcal{M}^{\mathcal{C}_0}$ . Then  $\iota_! X$  is equal to

$$\begin{array}{ccc} X(b) & \longrightarrow & X(b) \amalg X(c) \\ \downarrow & & \\ X(a) \amalg X(b) & & \end{array}$$

Cofibrant objects in  $\mathcal{M}^{\mathcal{C}}$  are of the form

$$\begin{array}{ccc} X & \hookrightarrow & Z \\ \downarrow & & \\ Y & & \end{array}$$

with  $X$  cofibrant. Here cofibrant replacement is easy. We start with  $Y \xleftarrow{f} X \xrightarrow{g} Z$ , and we replace  $X$  with  $\tilde{X} \xrightarrow{\sim} X$  to get

$$\begin{array}{ccc} \tilde{X} & \longrightarrow & Y \\ \downarrow & & \\ Z & & \end{array}$$

If we cofibrantly replace  $\tilde{X} \rightarrow Z$ , and similarly for  $Y$ , we get

$$\begin{array}{ccc} \tilde{X} & \longrightarrow & \tilde{Z} \\ \downarrow & & \\ \tilde{Y} & & \end{array}$$

The maps we used to fibrantly replace induces a fiberwise weak equivalence between this diagram and the one we started out with.

In  $(\mathbf{Top})_{\text{Quillen}}$ , we can take  $\text{hocolim}(* \leftarrow X \rightarrow *)$ . We cofibrantly replace  $X$  if necessary, and replace  $X \rightarrow *$  by  $X \hookrightarrow CX$ , which is a cofibration. In this case we see that

$$\text{hocolim}(* \leftarrow X \rightarrow *) \simeq \text{colim}(C\tilde{X} \leftarrow \tilde{X} \rightarrow C\tilde{X}) = \Sigma\tilde{X}.$$

More generally,  $\text{hocolim}(Y \xleftarrow{f} X \xrightarrow{g} Z)$  is the double mapping cylinder  $M(f, g)$ .

**Theorem 5.2.** If  $\mathcal{M}$  is a *left proper model category* then

$$\text{hocolim}(Y \leftarrow X \rightarrow Z) \cong \text{colim}(Y \leftarrow X \rightarrow Z).$$

*Proof.* In the easy case,  $X$  is cofibrant, so we can factor the map to  $Z$  to get

$$\begin{array}{ccccc} X & \hookrightarrow & \tilde{Z} & \xrightarrow{\sim} & Z \\ \downarrow & & \downarrow & \lrcorner & \downarrow \\ Y & \longrightarrow & H & \dashrightarrow & P. \end{array}$$

The entire rectangle is a pushout, so  $Z \rightarrow P$  is a cofibration, and the right square is a pushout by the pasting law, so  $H \rightarrow P$  is a weak equivalence.  $\square$

**Example 5.3.** Let  $\mathcal{C} = * \rightarrow * \rightarrow \dots$ . Show that  $X_0 \rightarrow X_1 \rightarrow \dots$  is cofibrant in  $\mathcal{M}^{\mathcal{C}}$  if and only if  $X_0$  is cofibrant and  $X_i \hookrightarrow X_{i+1}$  is a cofibration for each  $i$ .

There is a third model structure on  $\mathcal{M}^{\mathcal{C}}$  called the *Reedy model structure* (need  $\mathcal{C}$  to be a Reedy cat). In this case,  $\text{hocolim}_{\Delta^{\text{op}}}(X_{\bullet}) \cong |Q^{\text{Reedy}} X_{\bullet}|$ , for  $X$  a simplicial object in  $\mathcal{M}$ .

**Bar construction:** Let  $\mathcal{M}$  a model cat,  $\mathcal{C}$  a small cat,  $F : \mathcal{C}^{\text{op}} \rightarrow \mathcal{M}$ , and  $G : \mathcal{C} \rightarrow \mathcal{M}$ . Then we define

$$B_{\bullet}(F, \mathcal{C}, G) := \coprod_{c_0 \in \mathcal{C}} F(c_0) \times G(c_0) \rightrightarrows \coprod_{c_0 \leftarrow c_1} F(c_0) \times G(c_1) \rightrightarrows \dots$$

**Example 5.4.** If  $F = * = G$ , then

$$B_{\bullet}(*, \mathcal{C}, *) \cong N_{\bullet}(\mathcal{C}^{\text{op}}).$$

**Pièce de résistance:**

**Theorem 5.5.** (Bousfield–Kan) If  $F : \mathcal{C} \rightarrow \mathcal{M}$  is a functor, then

$$\text{hocolim}_{\mathcal{C}}(F) \simeq |B_{\bullet}(*, \mathcal{C}, F)|.$$

## 6. LECTURE 6: TUESDAY, JANUARY 31ST

### Combinatorial model categories

**Definition 6.1.** A model category is *combinatorial* if it is *presentable*<sup>7</sup> and *cofibrantly generated*.

To motivate presentability, let  $X$  be a set. Then  $X$  is determined by its elements, meaning that

$$\mathrm{Hom}_{\mathbf{Set}}(*, X) \cong X.$$

Then we can present  $X$  as  $X = \cup_{x \in X} \{*\}$ .

**Definition 6.2.** A colimit is *filtered* if the diagram is filtered, meaning it is nonempty and every subdiagram has a cocone.

**Theorem 6.3.** (Exercise) In  $\mathbf{Set}$ , filtered colimits commute with finite limits. That is, if  $F : I \times J \rightarrow \mathbf{Set}$  with  $I$  finite and  $J$  filtered, then

$$\mathrm{colim}_J \left( \lim_I F_I \right) \xrightarrow{\sim} \lim_I (\mathrm{colim}_J F_J)$$

is an isomorphism.

**Proposition 6.4.** A set  $X$  is finite if and only if

$$\mathrm{Hom}_{\mathbf{Set}}(X, -) : \mathbf{Set} \rightarrow \mathbf{Set}$$

preserves filtered colimits.

*Proof.* For the backwards direction, let  $I = \{X_i\}$  be the collection of finite subsets of  $X$ . Then  $X = \mathrm{colim}_I X_i$ . In particular, we have that

$$\begin{aligned} \mathrm{colim}_I \mathrm{Hom}(X, X_i) &\cong \mathrm{Hom}(X, X) \\ (X \xrightarrow{f_i} X_i) &\xrightarrow{\sim} \mathrm{id}_X? \end{aligned}$$

For the forwards direction,  $\mathrm{Hom}_{\mathbf{Set}}(*, -) \cong \mathrm{id}_{\mathbf{Set}}$  so it preserves colimits. Since  $X$  is finite, we have that  $X = \{x_1, \dots, x_n\}$ , hence

$$\mathrm{Hom}(X, -) \cong \mathrm{Hom}(\cup_i \{x_i\}, -) \cong \lim_i \mathrm{Hom}(\{x_i\}, -).$$

Then we use finite limits commuting with filtered colimits. □

**Definition 6.5.** An object  $X \in \mathcal{C}$  is *compact* if  $\mathrm{Hom}_{\mathcal{C}}(X, -) : \mathcal{C} \rightarrow \mathbf{Set}$  preserves filtered colimits.

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<sup>7</sup>By this we mean “locally presentable.”



Hence if  $F : I \rightarrow \mathcal{C}$ , with  $I$  filtered, then a map  $X \rightarrow \operatorname{colim}_I F$  factors through an  $F(i)$ .

**Examples 6.6.** Compact objects:

- **Set**, compact = finite set
- **Vect<sub>F</sub>**, compact = finite dimensional
- **Mod<sub>R</sub>**, compact = finitely presented
- **Grp**, compact = finitely presented
- **Top**, compact = finite sets with discrete topology
- **Ch**, compact = perfect chain complexes (bounded, levelwise finitely generated and projective)
- **sSet**, compact = finite simplicial sets ( $X_n$  finite for each  $n$ , and there exists an  $m$  so that all non-degenerate simplices have dimension  $\leq m$ ).

A topological space is (topologically) compact if and only if  $X \in \mathcal{O}(X)$  is (categorically) compact.

**Lemma 6.7.** Finite colimits of compact objects are compact.

**Definition 6.8.** A category  $\mathcal{C}$  is *presentable* if

- (1)  $\mathcal{C}$  is cocomplete
- (2) There exists a set  $S$  of compact objects in  $\mathcal{C}$  such that every object in  $\mathcal{C}$  is a filtered colimit of objects in  $S$ .

We also say the “ind-completion” of  $S$  is  $\mathcal{C}$ , denoted  $\operatorname{Ind}(S) = \mathcal{C}$ .

**Theorem 6.9.**  $\mathcal{C}$  is presentable if and only if there is an adjunction of the form

$$\operatorname{Fun}(K^{\operatorname{op}}, \mathbf{Set}) \rightleftarrows \mathcal{C},$$

where  $K$  is some small category, and the right adjoint is fully faithful and preserves filtered colimits.

We might take  $K$  for example to be isomorphism classes of compact objects in  $\mathcal{C}$ , then we have

$$\begin{aligned} \mathcal{C} &\rightarrow \operatorname{Fun}(K^{\operatorname{op}}, \mathbf{Set}) \\ X &\mapsto \left( K^{\operatorname{op}} \rightarrow \mathcal{C}^{\operatorname{op}} \xrightarrow{\operatorname{Hom}(-, X)} \mathbf{Set} \right). \end{aligned}$$

**Theorem 6.10.** Suppose  $\mathcal{C}$  and  $\mathcal{D}$  presentable. Then  $L : \mathcal{C} \rightarrow \mathcal{D}$  preserves colimits if and only if  $L$  is a left adjoint.

### Cofibrantly generated model categories

**Definition 6.11.** Let  $I$  be a set of maps in a cocomplete category, fix  $\lambda$  to be an ordinal, and let  $X : \lambda \rightarrow \mathcal{C}$  a functor, and suppose that  $X(\alpha) \rightarrow X(\alpha + 1)$  fits into

$$\begin{array}{ccc} A_\alpha & \longrightarrow & X(\alpha) \\ \downarrow & & \downarrow \\ B_\alpha & \longrightarrow & X(\alpha + 1), \end{array}$$

where  $A_\alpha \rightarrow B_\alpha$  is in  $I$ . Then we say that  $X(0) \rightarrow \operatorname{colim}_\lambda X$  is a *relative  $I$ -cell complex*. We say an object  $Y \in \mathcal{C}$  is an  *$I$ -cell complex* if  $\emptyset \rightarrow Y$  is a relative  $I$ -cell complex.

If  $I = \{S^n \hookrightarrow D^{n+1}\}_{n \geq 0}$ , then we are recovering the idea of CW complexes in spaces.

We denote by  $\operatorname{Cell}_I(\mathcal{C})$  the class of relative  $I$ -cell complexes.

**Exercise 6.12.** We have that  $\operatorname{Cell}_I(\mathcal{C})$  is the smallest class in  $\mathcal{C}$  closed under composition, pushouts, and filtered colimits.

**Theorem 6.13.** (*Small object argument*) Let  $\mathcal{C}$  be cocomplete, let  $I$  a set of maps in  $\mathcal{C}$ , and suppose that for all  $A \rightarrow B$  in  $I$ , we have that  $A$  is compact with respect to the full subcategory of  $I$ -cells in  $\mathcal{C}$ . Then there exists a functorial factorization of maps in  $\mathcal{C}$ :

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow \gamma & \nearrow \delta \\ & C & \end{array}$$

with  $\gamma \in \operatorname{Cell}_I(\mathcal{C})$  and  $\delta \in \operatorname{RLP}(I)$ .

*Proof idea.* Start with  $X(0) = X$ , and take a map  $X(0) \rightarrow Y$ . Suppose  $X(\beta) = \operatorname{colim}_{\alpha < \beta} X(\alpha)$  is constructed with  $X(\beta) \rightarrow Y$ . Look at the set<sup>8</sup>

$$S = \left\{ \begin{array}{ccc} A & \longrightarrow & X(\beta) \\ g \downarrow & & \downarrow \\ B & \longrightarrow & Y \end{array} : g \in I \right\}.$$

---

<sup>8</sup>Note this set is nonempty because we can take  $g$  to be  $\operatorname{id} : X(\beta) \rightarrow X(\beta)$ .

Denote by  $g_s$  the map  $A \rightarrow B$  appearing in  $s \in S$ . Then we build

$$\begin{array}{ccc} \coprod_{s \in S} A_s & \longrightarrow & X(\beta) \\ \Pi_s g_s \downarrow & \lrcorner & \downarrow \in \text{Cell}_I(\mathcal{C}) \\ \coprod_{s \in S} B_s & \longrightarrow & X(\beta + 1) \end{array}$$

By UP of the pushout, there is an induced map  $X(\beta + 1) \rightarrow Y$ . Then we claim that

$$X(0) \rightarrow \text{colim}_{\beta} X(\beta) =: C$$

is in  $\text{Cell}_I(\mathcal{C})$ . The only thing left to show is that  $C \rightarrow Y$  is in  $\text{RLP}(I)$ . Take

$$\begin{array}{ccc} A & \longrightarrow & C = \text{colim}_{\beta} X(\beta) \\ \downarrow & & \downarrow \\ B & \longrightarrow & Y. \end{array}$$

Since  $A$  is compact with respect to  $I$ -cells, the map  $A \rightarrow C$  factors through some  $X(\beta)$ . Since  $B \rightarrow Y$  factors through  $X(\beta + 1)$ , we see that it lifts to  $B \rightarrow C$ .  $\square$

**Definition 6.14.** A model category  $\mathcal{M}$  is *cofibrantly generated* if there exist sets of maps  $I, J$  in  $\mathcal{M}$  so that

- $\text{Cof} = \text{retracts of } I\text{-cell complexes, denoted } \widehat{\text{Cell}_I(\mathcal{C})}$ <sup>9</sup>
- $\text{Cof} = \widehat{\text{Cell}_J(\mathcal{C})}$

and “ $I$  and  $J$  permit the small object argument.”

**Example 6.15.** For  $\text{Top}_{\text{Quillen}}$ , we can take

$$\begin{aligned} I &= \{S^n \hookrightarrow D^{n+1}\} \\ J &= \{D^n \rightarrow D^n \times [0, 1]\}. \end{aligned}$$

**Example 6.16.** For  $\text{sSet}_{\text{Kan}}$ , we can take

$$\begin{aligned} I &= \{\partial \Delta^n \rightarrow \Delta^n\} \\ J &= \{\Lambda_n^k \rightarrow \Delta^n\}. \end{aligned}$$

**Example 6.17.** For  $(\text{Ch}_R)_{\text{proj}}$ ,

$$\begin{aligned} I &= \{S^n \rightarrow D^{n+1}\} \\ J &= \{0 \rightarrow D^n\}. \end{aligned}$$

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<sup>9</sup>The hat  $\widehat{\phantom{x}}$  means “retracts of -”

**Example 6.18.** The Strøm model structure is not cofibrantly generated in the definition above.

**Theorem 6.19.** (Kan — Right transfer) Let  $\mathcal{M}$  be a cofibrantly generated model category and  $\mathcal{C}$  is any category where there is an adjunction

$$F : \mathcal{M} \rightleftarrows \mathcal{C} : G.$$

Then  $\mathcal{C}$  has a model structure where  $W$  and  $\text{Fib}$  are created by  $G$ . The model structure is cofibrantly generated by  $F(I)$  and  $F(J)$  if:

- (1)  $F(I)$  and  $F(J)$  permit the small object argument
- (2)  $G(\text{Cell}_{F(J)})$  are weak equivalences in  $\mathcal{M}$ .

For combinatorial model categories, we get an inductive argument for building cofibrant replacements.

[Rezk-Schwede-Shipley] Combinatorial model categories are always simplicially enriched.

[Dugger] Any combinatorial model category  $\mathcal{M}$  is Quillen equivalent to a localization of a projective Kan one:

$$L_\tau \text{Fun}(K^{\text{op}}, \mathbf{sSet}) \rightleftarrows \mathcal{M}.$$

## 7. LECTURE 7: THURSDAY, FEBRUARY 2ND

[missed]

## 8. LECTURE 8: TUESDAY, FEBRUARY 7TH

**Last time:** We had  $\mathcal{M}$  a model category, and  $\otimes$  a monoidal structure. We used this to give a monoidal structure on  $\text{Ho}(\mathcal{M})$ , given by  $\otimes^{\mathbb{L}}$ , the *left derived tensor product*. We used this to give a homotopy theory on  $\mathbf{Alg}(\mathcal{M})$ , and  $\mathbf{Mod}_R(\mathcal{M})$ , etc.

**Q:** What are algebras in the homotopy category of a model structure  $\mathcal{M}$ ? An example of interest is  $\mathcal{M} = \mathbf{Top}$ .

What are commutative algebras in  $\mathbf{Top}$ ?

**Theorem 8.1.** (Moore) If  $X \in \mathbf{CAlg}(\mathbf{Top})$ , then there is a weak equivalence

$$\prod_{i=1}^{\infty} K(\pi_i(X), i) \rightarrow X.$$

*Proof.* Let  $G_n = \pi_n(X)$ . Then we take

$$0 \rightarrow F \rightarrow \mathbb{Z}[G_n] \rightarrow G_n \rightarrow 0.$$

Then we get that  $\tilde{H}_n(\bigvee_{g \in G_n} S^n) \cong \bigoplus_{g \in G_n} \tilde{H}_n(S^n) = \mathbb{Z}[G_n]$ . Using the Hurewicz theorem, there is an isomorphism

$$\pi_n(\bigvee S^n) \xrightarrow{\sim} \tilde{H}_n(\bigvee S^n),$$

so we can pick  $f_j \in \pi_n(S^n)$  for each  $e_j$  in a basis of  $F$ . This gives us a pushout

$$\begin{array}{ccc} \bigvee_{j \in J} S^n & \longrightarrow & \bigvee_{g \in G_n} S^n \\ \downarrow & \lrcorner & \downarrow \\ * & \longrightarrow & M(G_n, n) \end{array}$$

This gives a map  $\bigvee_{n \geq 1} M(G_n, n) \rightarrow X$ . By universal property, we get an algebra homomorphism<sup>1011</sup>

$$\mathrm{SP}(\bigvee_{n \geq 1} M(G_n, n)) \rightarrow X$$

The Dold–Thom theorem states that  $\pi_* \mathrm{SP}(Y) \cong \tilde{H}_*(Y)$ , given some connectedness hypothesis (path-connected?). We get that

$$\mathrm{SP}(\bigvee_{n \geq 1} M(G_n, n)) \cong \prod_n \mathrm{SP}(M(G_n, n)) = \prod_n K(G_n, n).$$

□

**Definition 8.2.** We say that  $X \in \mathrm{Alg}(\mathrm{Ho}(\mathrm{Top}))$  if and only if  $X$  is a CW complex, with multiplication and unit

$$\begin{aligned} X \times X &\rightarrow X \\ * &\rightarrow X \end{aligned}$$

which are associative and unital *up to homotopy*.

These are also called *H-spaces*. The most prototypical example is a loop space.

**Example 8.3.** If  $X$  is a based space, we can build  $\Omega X$  as the homotopy pullback of the two maps from a point. Concatenation gives a map  $\Omega X \times \Omega X \rightarrow \Omega X$ .

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<sup>10</sup>Here  $\mathrm{SP}(-)$  denotes the infinite symmetric product, i.e. the free commutative algebra in  $\mathrm{Top}$ .

<sup>11</sup>The infinite symmetric product is left adjoint to the forgetful functor, i.e.  $\mathrm{SP} : \mathrm{Top} \rightleftarrows \mathrm{CAlg}(\mathrm{Top}) : U$ .

**Example 8.4.** Eilenberg-MacLane spaces  $K(G, n)$  are uniquely determined up to homotopy. We have that

$$\pi_k(\Omega K(G, n)) \cong \pi_{k+1}(K(G, n))$$

therefore  $\Omega K(G, n) = K(G, n-1)$ .

**Q:** Given  $X$  an  $H$ -space, such that  $\pi_0 X$  is a group, is  $X$  a loop space?

**A:** No, there are many grouplike  $H$ -spaces that are not equivalent to  $\Omega X$ . For example  $S^7 \subseteq \mathbb{O}$  the unit octonians.

Loop spaces have an extra condition. Given  $w, x, y, z \in \Omega X$ , there is an association  $(xy)z \simeq x(yz)$ . There is a pentagon witnessing the different ways to associate four elements.

We can keep going with 5 loops, 6 loops... and we get the Stasheff associahedra  $K(n)$ , which tell us how to concatenate  $n$  loops. These give maps

$$K(n) \times (\Omega X)^n \rightarrow \Omega X,$$

witnessing the higher associativities of concatenation. We call this an  $A_\infty$ -algebra structure.

**Theorem 8.5.** (Stasheff) Given  $X$  connected, we have that  $X \simeq \Omega Y$  for some  $Y$  if and only if  $X$  is an  $A_\infty$ -algebra in spaces that is grouplike.

**Rigidification:** We have that  $\mathrm{Ho}(\mathrm{Alg}(\mathbf{sSet}, \times)) \simeq \mathrm{Alg}_{A_\infty}(\mathrm{Ho}(\mathbf{Top}))$ .

### Operads

Let  $\mathcal{C} = (\mathcal{C}, \otimes, I, [-, -])$  be a closed monoidal category.

**Definition 8.6.** An *operad* in  $\mathcal{C}$  is a collection of objects  $\{\mathcal{O}(j)\}_{j \geq 0}$  in  $\mathcal{C}$  such that

- (1) there is a right action of  $\Sigma_j$  on  $\mathcal{O}(j)$
- (2)  $\mathcal{O}(0) = I$
- (3)  $I \rightarrow \mathcal{O}(1)$  exists in  $\mathcal{C}$
- (4) composition

$$\mathcal{O}(k) \otimes \mathcal{O}(j_1) \otimes \cdots \otimes \mathcal{O}(j_k) \xrightarrow{\gamma} \mathcal{O}(j_1 + \cdots + j_k)$$

for all  $k \geq 0$  and  $j_1, \dots, j_k \geq 0$  such that they are equivariant, unital, and associative.

We think about  $\mathcal{O}(j)$  as an abstract way to compose  $j$ -ary operations.

**Example 8.7.** We let  $\text{Assoc}$  be the operad defined by

$$\text{Assoc}(j) = \coprod_{\sigma \in \Sigma_j} I.$$

We can define  $\text{Comm}(j) = I$ .

**Example 8.8.** If  $X \in \mathcal{C}$ , the *endomorphism operad* is given by

$$\text{End}_X(j) = [X^{\otimes j}, X].$$

**Definition 8.9.** A *morphism of operads*  $\mathcal{O} \rightarrow \mathcal{O}'$  is a sequence of maps  $\psi_j : \mathcal{O}(j) \rightarrow \mathcal{O}'(j)$  for  $j \geq 0$  that are equivariant, associative, and unital.

**Definition 8.10.** Given  $\mathcal{O}$  an operad in  $\mathcal{C}$ , an  $\mathcal{O}$ -algebra  $(X, \theta)$  in  $\mathcal{C}$  is  $X \in \mathcal{C}$  together with a morphism of operads  $\theta : \mathcal{O} \rightarrow \text{End}_X$ , sending  $\mathcal{O}(j) \rightarrow \text{End}_X(j)$ . By adjointness, we think about this as  $\mathcal{O}(j) \otimes X^{\otimes j} \rightarrow X$  which are associative and unital.

This gives us a category of  $\mathcal{O}$ -algebras, denoted  $\text{Alg}_{\mathcal{O}}(\mathcal{C})$ .

**Example 8.11.** We have that

$$\begin{aligned} \text{Alg}_{\text{Assoc}}(\mathcal{C}) &\cong \text{Alg}(\mathcal{C}) \\ \text{Alg}_{\text{Comm}}(\mathcal{C}) &\cong \text{CAlg}(\mathcal{C}). \end{aligned}$$

We have that  $\mathcal{M}$  is a monoidal model category if  $\theta$  is nice enough, i.e. we get an adjunction

$$\mathcal{M} \rightleftarrows \text{Alg}_{\mathcal{O}}(\mathcal{M}).$$

**Definition 8.12.** A *monad* in  $\mathcal{C}$  is an algebra in  $(\text{Fun}(\mathcal{C}, \mathcal{C}), \circ, \text{id}_{\mathcal{C}})$ . That is,  $M \in \text{Alg}(\text{Fun}(\mathcal{C}, \mathcal{C}))$  if we have  $M : \mathcal{C} \rightarrow \mathcal{C}$  together with  $\mu : M \circ M \Rightarrow M$ , and  $\eta : \text{id}_{\mathcal{C}} \Rightarrow M$  that are associative and unital.

**Example 8.13.** Every adjunction  $L : \mathcal{C} \rightleftarrows \mathcal{D} : R$  defines a monad  $RL$ .

**Definition 8.14.** An *algebra*  $(X, \theta)$  over a monad  $(M, \mu, \eta)$  in  $\mathcal{C}$  is  $X \in \mathcal{C}$  together with maps  $\theta : M(X) \rightarrow X$  such that they are associative and unital, meaning that the diagrams commute:

$$\begin{array}{ccc} X & \xrightarrow{\eta} & M(X) \\ & \searrow & \downarrow \theta \\ & & X \end{array} \qquad \begin{array}{ccc} M(M(X)) & \xrightarrow{\mu_{MX}} & M(X) \\ M(\theta) \downarrow & & \downarrow \theta \\ M(X) & \xrightarrow{\theta} & X. \end{array}$$

**Definition 8.15.** If  $M$  is a monad, a *morphism of  $M$ -algebras*  $(X, \theta) \rightarrow (X', \theta')$  is a map  $f : X \rightarrow X'$  in  $\mathcal{C}$  so that the diagram commutes

$$\begin{array}{ccc} MX & \xrightarrow{\theta} & X \\ Mf \downarrow & & \downarrow f \\ MX' & \xrightarrow{\theta'} & X'. \end{array}$$

**Example 8.16.** Consider  $R$  a commutative ring, and the adjunction

$$- \otimes_{\mathbb{Z}} R : \mathbf{Ab} \rightleftarrows \mathbf{Mod}_R : U.$$

This forms a monad  $M := - \otimes_{\mathbb{Z}} R : \mathbf{Ab} \rightarrow \mathbf{Ab}$ . Then  $\mathbf{Alg}_M(\mathbf{Ab})$  is equivalent to  $\mathbf{Mod}_R$ .

This is not always true! When this happens we say the adjunction is *monadic*.

Given a monadic adjunction

$$\mathcal{C} \rightleftarrows \mathcal{D} = \mathbf{Alg}_{RL}(\mathcal{C}),$$

we get a ton of things for free:

- $R$  will preserve colimits if  $RL$  does
- get things like free monadic resolutions, bar constructions, etc.

## 9. LECTURE 9: THURSDAY, FEBRUARY 9TH

[missed]

## 10. LECTURE 10: THURSDAY, FEBRUARY 16TH

**Definition 10.1.** A simplicial set  $\mathcal{C}$  is an  $\infty$ -category (or *quasi-category*) if it has inner horn filling — for all  $0 < k < n$ , we have

$$\begin{array}{ccc} \Lambda_n^k & \longrightarrow & \mathcal{C} \\ \downarrow & \nearrow & \\ \Delta^n & & \end{array}$$

We shall see that  $\infty$ -categories are fibrant objects in  $\mathbf{sSet}$  with the Joyal model structure.

**Example 10.2.**

- (1) If  $\mathcal{C}$  is a Kan complex, then it is an  $\infty$ -category
- (2) If  $\mathcal{C}$  is a category, then  $N\mathcal{C}$  is an  $\infty$ -category.



**Definition 10.3.** Given an  $\infty$ -category  $\mathcal{C}$ , the *objects* of  $\mathcal{C}$  are the vertices,<sup>12</sup> the *morphisms* are 1-simplices. We have *source* and *target* maps  $d^1, d^0 : \mathcal{C}_1 \rightarrow \mathcal{C}_0$ .<sup>13</sup> We define the *set of morphisms* from  $X$  to  $Y$  as the pullback

$$\begin{array}{ccc} \mathrm{hom}_{\mathcal{C}}(X, Y) & \longrightarrow & \mathcal{C}_1 \\ \downarrow & \lrcorner & \downarrow (s, t) \\ \mathcal{C}_1 & \xrightarrow{(X, Y)} & \mathcal{C}_0 \times \mathcal{C}_0. \end{array}$$

We have that  $\mathrm{hom}_{\mathcal{C}}(X, Y)$  is the set of vertices of a simplicial set  $\mathrm{Hom}_{\mathcal{C}}(X, Y)$ , which forms a Kan complex.

**Definition 10.4.** Given  $X \in \mathcal{C}$  we define  $\mathrm{id}_X \in \mathcal{C}_1$  by  $s^0(X)$ .

How do we compose? Composition won't be unique, but it will be unique *up to homotopy*.

Given  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  in  $\mathcal{C}$ , this determines a map of simplicial sets  $\Lambda_1^2 \rightarrow \mathcal{C}$ . By inner horn lifting, we have

$$\begin{array}{ccc} \Lambda_1^2 & \longrightarrow & \mathcal{C} \\ \downarrow & \nearrow \text{dashed} & \\ \Delta^2 & & \end{array}$$

We refer to the filling as a *composition*:

$$\begin{array}{ccc} & Y & \\ f \nearrow & & \searrow g \\ X & \xrightarrow{h} & Z. \end{array}$$

**Exercise 10.5.** Given an  $\infty$ -category  $\mathcal{C}$ , how can we define  $\mathcal{C}^{\mathrm{op}}$ ? Would want that  $N(\mathcal{C}^{\mathrm{op}}) \cong (N\mathcal{C})^{\mathrm{op}}$ .<sup>14</sup>

**Detour:** Let  $A \in \mathbf{Cat}$ , and let  $\mathcal{C}$  be a cocomplete category. Recall that  $\mathrm{Fun}(A^{\mathrm{op}}, \mathbf{Set})$  is the free cocompletion. Given a functor  $A \rightarrow \mathcal{C}$ , by universal property there is a

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<sup>12</sup> $X \in \mathcal{C}$  means  $X \in \mathcal{C}_0$

<sup>13</sup>We write  $f : X \rightarrow Y$  in  $\mathcal{C}$  to mean  $f \in \mathcal{C}_1$  with  $s(f) = X$  and  $t(f) = Y$ .

<sup>14</sup>Every Kan complex has that  $\mathcal{C}^{\mathrm{op}} \cong \mathcal{C}$ .

map

$$\begin{array}{ccc} A & \xrightarrow{Q} & \mathcal{C} \\ \downarrow & \nearrow \text{---} & \\ \text{Fun}(A^{\text{op}}, \mathbf{Set}) & & \end{array} \quad \begin{array}{c} \\ \\ \vdash_Q \end{array}$$

This gives us an adjunction

$$\vdash_Q : \text{Fun}(A^{\text{op}}, \mathbf{Set}) \rightleftarrows \mathcal{C} : \text{Sing}_Q(-).$$

Here  $\text{Sing}_Q(-) = \text{Hom}_{\mathcal{C}}(Q(-), X)$ .

**Example 10.6.** If  $\mathcal{C} = \mathbf{Top}$ , then we can take  $\Delta_{\mathbf{Top}} : \Delta \rightarrow \mathbf{Top}$ , sending  $[n]$  to  $\Delta_{\mathbf{Top}}^n$ . In this case, we recover the usual  $\vdash$  and  $\text{Sing}(-)$  adjunction.

**Example 10.7.** If  $\mathcal{C} = \mathbf{Cat}$ , there is a functor  $\Delta \rightarrow \mathbf{Cat}$  sending  $[n]$  to the associated poset category. We get an associated adjunction:

$$\tau : \mathbf{sSet} \rightleftarrows \mathbf{Cat} : N,$$

since  $N = \text{Hom}_{\mathbf{Cat}}([-], \mathcal{C})$ .

**Exercise 10.8.** Describe  $\tau : \mathbf{sSet} \rightarrow \mathbf{Cat}$  explicitly.

We call  $\tau$  the fundamental category functor, essentially it will produce the homotopy category of an  $\infty$ -category.

**Definition 10.9.** Given an  $\infty$ -category  $\mathcal{C}$ , two morphisms  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are *homotopic*, written  $f \simeq g$ , if there exists a 2-simplex  $\sigma : \Delta^2 \rightarrow \mathcal{C}$  with boundary  $(g, f, \text{id}_X)$ :

$$\begin{array}{ccc} & X & \\ \text{id}_X \nearrow & & \searrow g \\ X & \xrightarrow{f} & Y. \end{array}$$

**Example 10.10.** If  $\mathcal{C}$  is an ordinary category, then in  $N\mathcal{C}$ , we have that  $f \simeq g$  if and only if  $f = g$ .

**Proposition 10.11.** Given  $\mathcal{C}$  an  $\infty$ -category, and  $X, Y \in \mathcal{C}$ , the homotopy relation provides an equivalence relation on  $\text{hom}_{\mathcal{C}}(X, Y)$ .

**Definition 10.12.** We denote by  $[f]$  the homotopy class of  $f$ .

*Sketch.* We first need to show reflexivity, so we want to find a 2-cell witnessing

$$\begin{array}{ccc} & X & \\ \parallel & \searrow f & \\ X & \xrightarrow{f} & Y. \end{array}$$

We check that this is  $s_0(f)$ , where  $f \in \mathcal{C}_1$ , and  $s_0 : \mathcal{C}_1 \rightarrow \mathcal{C}_2$ .

For symmetry, suppose we have  $f \simeq g$ . We want to show  $g \simeq f$ . We can fill a  $\Lambda_2^3$  witnessing this.

Transitivity is left as an exercise. □

**Definition 10.13.** Given  $\mathcal{C}$  an  $\infty$ -category, define the 1-category  $\mathrm{Ho}(\mathcal{C})$  to be the *homotopy category*, given by

$$\begin{aligned} \mathrm{obHo}(\mathcal{C}) &= \mathcal{C}_0 \\ \mathrm{Hom}_{\mathrm{Ho}(\mathcal{C})}(X, Y) &= \mathrm{hom}_{\mathcal{C}}(X, Y) / \simeq. \end{aligned}$$

In order to show this, we need to argue that composition is well-defined up to homotopy.

Suppose we have two compositions

$$\begin{array}{ccc} & Y & \\ f \nearrow & & \searrow g \\ X & \xrightarrow{h_1} & Z. \end{array} \quad \begin{array}{ccc} & Y & \\ f \nearrow & & \searrow g \\ X & \xrightarrow{h_2} & Z. \end{array}$$

We want to argue that  $h_1 \simeq h_2$ . This can be done by filling the horn of a 3-simplex.

**Proposition 10.14.** When we restrict the adjunction  $\tau \dashv N$  to  $\infty$ -categories, we get an adjunction

$$\mathrm{Ho}(-) : \mathbf{Cat}_{\infty} \rightleftarrows \mathbf{Cat} : N.$$

The way to compose arrows is contractible.

**Theorem 10.15.** The inclusion  $\Lambda_1^2 \hookrightarrow \Delta^2$  induces a map

$$\mathrm{Hom}_*(\Delta^2, \mathcal{C}) \rightarrow \mathrm{Hom}_*(\Lambda_1^2, \mathcal{C})$$

which is a trivial Kan fibration for any  $\mathcal{C} \in \mathbf{Cat}_{\infty}$ .

Here  $\mathrm{Hom}_*$  is the *internal hom*, where  $\mathrm{Hom}_*(X, Y) := \mathrm{Hom}_{\mathbf{sSet}}(\Delta^* \times X, Y)$ .

*Proof.* Exercise □

As a consequence, we can take a pullback diagram:

$$\begin{array}{ccc} P & \longrightarrow & \mathrm{Hom}_*(\Delta^2, \mathcal{C}) \\ \downarrow & \lrcorner & \downarrow \\ \Delta^0 & \longrightarrow & \mathrm{Hom}_*(\Lambda_1^2, \mathcal{C}). \end{array}$$

Then the pullback  $P \rightarrow \Delta^0$  should be a trivial fibration, meaning that  $P$  is a contractible Kan complex.

**Definition 10.16.** Given  $\mathcal{C}$  an  $\infty$ -category and  $X, Y \in \mathcal{C}$ , recall that a map  $f : X \rightarrow Y$  corresponds to  $\Delta^1 \rightarrow \mathcal{C}$  whose faces are  $X$  and  $Y$ . An  $n$ -*morphism* from  $X$  to  $Y$  is simply a map  $\Delta^n \rightarrow \mathcal{C}$  such that  $\Delta^{\{0, \dots, n-1\}} = X$  and  $\Delta^{\{n\}} = Y$ .

For  $n \geq 2$ , all  $n$ -morphisms are invertible in some sense.

**Definition 10.17.** Two objects  $X$  and  $Y$  in  $\mathcal{C}$  are *equivalent*, written  $X \simeq Y$ , if there exists a 1-morphism  $f : X \rightarrow Y$  in  $\mathcal{C}$  such that  $[f]$  in  $\mathrm{Ho}(\mathcal{C})$  is an *isomorphism*.

**Definition 10.18.** An  $\infty$ -*groupoid* is an  $\infty$ -category for which  $\mathrm{Ho}(\mathcal{C})$  is a groupoid, meaning all the 1-morphisms are equivalences.

**Theorem 10.19.** (Homotopy hypothesis) We get that  $\mathcal{C}$  is an  $\infty$ -groupoid if and only if  $\mathcal{C}$  is a Kan complex.

## 11. LECTURE 11: TUESDAY, FEBRUARY 21ST

[missed]

## 12. LECTURE 12: THURSDAY, FEBRUARY 23RD

### Adjoint functors and colimits

**Last time:** Recall that a 1-morphism in  $\mathrm{Fun}(\mathcal{C}, \mathcal{D})$ <sup>15</sup> is precisely a natural transformation  $\eta : F \rightarrow G$ , where  $F, G : \mathcal{C} \rightarrow \mathcal{D}$ . In other words, it is  $\eta : \Delta^1 \times \mathcal{C} \rightarrow \mathcal{D}$ .

We have  $\mathbf{hQC}at = \mathrm{Ho}(\mathbf{Cat}_\infty)$ , where objects are infinity categories, and the morphisms are

$$\mathrm{Hom}_{\mathbf{hQC}at}(\mathcal{C}, \mathcal{D}) = \Pi_0(\mathrm{Fun}(\mathcal{C}, \mathcal{D})^\simeq).$$

That is, it is the set of equivalence classes of functors  $\mathcal{C} \rightarrow \mathcal{D}$ .

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<sup>15</sup>The simplicial set  $\mathrm{Fun}(\Delta^\bullet \times \mathcal{C}, \mathcal{D})$

If  $\mathcal{C}$  is an  $\infty$ -category, and  $X, Y \in \mathcal{C}$ , we defined  $\mathrm{Hom}_{\mathcal{C}}(X, Y)_{\bullet}$  to be the simplicial set given by the pullback

$$\begin{array}{ccc} \mathrm{Hom}_{\mathcal{C}}(X, Y)_{\bullet} & \longrightarrow & \mathrm{Fun}(\Delta^1, \mathcal{C}) \\ \downarrow & \lrcorner & \downarrow \\ \Delta^0 & \longrightarrow & \mathrm{Fun}(\{0\}, \mathcal{C})_{\bullet} \times \mathrm{Fun}(\{1\}, \mathcal{C}). \end{array}$$

**Proposition 12.1.** We have that  $\mathrm{Hom}_{\mathcal{C}}(X, Y) \in \mathbf{Kan}$ .

*Sketch.* This follows from a more general fact that for  $A \hookrightarrow B$  a subsimplicial set with  $A_0 = B_0$ , and  $\mathcal{C}$  an  $\infty$ -category, then  $P$  is always a Kan complex

$$\begin{array}{ccc} P & \longrightarrow & \mathrm{Fun}(B, \mathcal{C}) \\ \downarrow & \lrcorner & \downarrow \\ \Delta^0 & \xrightarrow{f} & \mathrm{Fun}(A, \mathcal{C}). \end{array}$$

Need to show that every  $u$  in  $\mathrm{Fun}(B, \mathcal{C})_1$  in the pullback is a weak equivalence. We have an evaluation map for every  $b \in B_0 = A_0$ , given by  $\mathrm{ev}_b : \mathrm{Fun}(B, \mathcal{C}) \rightarrow \mathrm{Fun}(\{b\}, \mathcal{C})$ , mapping  $u$  to  $u_{f(b)}$ . We claim that  $u_{f(b)} = \mathrm{id}_{f(b)}$ , since the diagram commutes

$$\begin{array}{ccc} \mathrm{Fun}(B, \mathcal{C}) & \xrightarrow{\quad} & \mathrm{Fun}(\{b\}, \mathcal{C}) \\ & \searrow & \nearrow \\ & \mathrm{Fun}(A, \mathcal{C}) & \end{array}$$

□

### Adjoint functors

**Definition 12.2.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$ , and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be functors of  $\infty$ -categories. We say that  $F \dashv G$  if there exist natural transformations  $\eta : \mathrm{id}_{\mathcal{C}} \rightarrow GF$  and  $\varepsilon : FG \rightarrow \mathrm{id}_{\mathcal{D}}$  so that:

- (1) there exists  $\Delta^2 \rightarrow \mathrm{Fun}(\mathcal{C}, \mathcal{D})$  witnessing

$$\begin{array}{ccc} & FG F & \\ \mathrm{id}_{\mathcal{C}} \eta \nearrow & & \searrow \varepsilon \mathrm{id}_F \\ F \mathrm{id}_{\mathcal{C}} & \xrightarrow{\quad \mathrm{id} \quad} & \mathrm{id}_{\mathcal{C}} F. \end{array}$$

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(2) there exists  $\Delta^2 \rightarrow \text{Fun}(\mathcal{D}, \mathcal{C})$  witnessing

$$\begin{array}{ccc} & GFG & \\ \eta \text{id} \nearrow & & \searrow \text{id} \varepsilon \\ \text{id}_{\mathcal{C}} G & \xrightarrow{\text{id}} & G \text{id}_{\mathcal{C}} \end{array}$$

**Remark 12.3.** We have that  $\eta : \text{id} \rightarrow GF$  depends only on  $[\eta]$  in  $\text{Ho}(\text{Fun}(\mathcal{C}, \mathcal{D}))$ . If  $\eta$  is given, then  $\varepsilon$  is unique up to homotopy.

**Example 12.4.** If  $\mathcal{C}$  and  $\mathcal{D}$  are ordinary categories, then we have a 1-categorical adjunction

$$F : \mathcal{C} \rightleftarrows \mathcal{D} : G$$

if and only if we have an  $\infty$ -categorical adjunction

$$NF : N\mathcal{C} \rightleftarrows N\mathcal{D} : NG.$$

**Example 12.5.** If  $X, Y \in \mathbf{Kan}$ , then  $F : X \rightarrow Y$  is an adjoint if and only if  $F$  is a homotopy equivalence of simplicial sets. The unit and counit become the witnesses of homotopy equivalence.

**Remark 12.6.** If we have an adjunction  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  of  $\infty$ -categories, then  $F$  and  $G$  are homotopy equivalences of simplicial sets. The converse is not true in general.

**Exercise 12.7.** If  $F : \mathcal{C} \rightarrow \mathcal{D}$  is an equivalence of  $\infty$ -categories, then it is both a left and right adjoint functor.

**Proposition 12.8.** Given  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  of  $\infty$ -categories, then

$$\text{Ho}(F) : \text{Ho}(\mathcal{C}) \rightleftarrows \text{Ho}(\mathcal{D}) : \text{Ho}(G)$$

is an adjunction of 1-categories. That is, **if** we know  $F \dashv G$  in  $\infty$ -categories, then to check if  $\eta : \text{id}_{\mathcal{C}} \rightarrow GF$  is a unit, it is enough to check that  $\text{Ho}(\eta)$  is the unit.

However the converse is not true!

**Warning:** Suppose we take  $F : \Delta^0 \rightarrow X$  with  $X \in \mathbf{Kan}$  simply connected, and  $F$  picks  $x \in X_0$ . Then  $\text{Ho}(F) \dashv \text{Ho}(G)$  because  $\text{Ho}(X)$  will be simply connected. But it does not imply that  $F \dashv G$  unless  $X$  is contractible.

There  $\text{Hom}_{\text{Ho}(\mathcal{D})}(FC, D) \cong \text{Hom}_{\text{Ho}(\mathcal{C})}(C, GD)$  for any  $C \in \mathcal{C}$  and  $D \in \mathcal{D}$ .

**Theorem 12.9.** Take  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  functors of  $\infty$ -categories. Then  $F \dashv G$  with unit  $\eta$  if and only if the composite

$$\text{Hom}_{\mathcal{D}}(FC, D) \xrightarrow{G} \text{Hom}_{\mathcal{C}}(GFC, GD) \xrightarrow{\eta^*} \text{Hom}_{\mathcal{C}}(C, GD)$$

is a weak homotopy equivalence between Kan complexes (aka a homotopy equivalence) for all  $C, D$ .

The forward direction is straightforward, but the backwards direction uses (co)cartesian fibration stuff.

### Limits and colimits

Recall that if  $\mathcal{C}$  is an ordinary category, then  $i \in \mathcal{C}$  is *initial* if for all  $X \in \mathcal{C}$ , there is a unique  $i \xrightarrow{!} X$ . That is,  $\mathrm{Hom}_{\mathcal{C}}(i, X) = *$ .

**Definition 12.10.** In an  $\infty$ -category  $\mathcal{C}$ , we have that  $i \in \mathcal{C}$  is *initial* if  $\mathrm{Hom}_{\mathcal{C}}(i, X) \simeq *$  is contractible for all  $X \in \mathcal{C}$ .

**Definition 12.11.** Let  $\mathcal{C}$  be an  $\infty$ -category, and  $K_{\bullet} \in \mathbf{sSet}$ . Then for any  $X \in \mathcal{C}$ , denote by  $\underline{X} \in \mathrm{Fun}(K, \mathcal{C})$  the constant functor valued at  $X$ . The assignment  $X \mapsto \underline{X}$  defines a diagonal map

$$\Delta : \mathcal{C} \rightarrow \mathrm{Fun}(K, \mathcal{C}).$$

This is defined by precomposing with  $K \rightarrow \Delta^0$ , and looking at  $\mathcal{C} \simeq \mathrm{Fun}(\Delta^0, \mathcal{C}) \rightarrow \mathrm{Fun}(K, \mathcal{C})$ .

**Definition 12.12.** Let  $u : K \rightarrow \mathcal{C}$  be a diagram. We say a natural transformation  $\alpha : \underline{L} \rightarrow u$  exhibits  $L \in \mathcal{C}$  as a *limit* of  $u$  if for all  $X \in \mathcal{C}$ , we have that the composite

$$\mathrm{Hom}_{\mathcal{C}}(X, L) \xrightarrow{\Delta} \mathrm{Hom}_{\mathrm{Fun}(K, \mathcal{C})}(\underline{X}, \underline{L}) \xrightarrow{\alpha_*} \mathrm{Hom}_{\mathrm{Fun}(K, \mathcal{C})}(\underline{X}, u)$$

is a (weak) homotopy equivalence of Kan complexes.

**Definition 12.13.** We say that  $\beta : u \rightarrow \underline{C}$  exhibits  $C$  as a *colimit* of  $u$  if, for all  $Y \in \mathcal{C}$ , the composite

$$\mathrm{Hom}_{\mathcal{C}}(C, Y) \xrightarrow{\Delta} \mathrm{Hom}_{\mathrm{Fun}(K, \mathcal{C})}(\underline{C}, \underline{Y}) \xrightarrow{\beta^*} \mathrm{Hom}_{\mathrm{Fun}(K, \mathcal{C})}(u, \underline{C})$$

is a (weak) homotopy equivalence.

Note that if  $\alpha$  or  $\beta$  exist, they are unique up to equivalence.

**Example 12.14.** If  $\mathcal{C}$  is an ordinary category, then  $u : K \rightarrow N\mathcal{C}$  is equivalent to a map  $\tau(u) : \tau K \rightarrow \mathcal{C}$ . We can check that  $L \in \mathcal{C}$  is  $\lim(\tau u)$  in a 1-categorical sense if and only if  $L \in \mathcal{C}$  is a limit of  $u$  in an  $\infty$ -categorical sense.

**Example 12.15.** Let  $f : X \rightarrow Y$  in an  $\infty$ -cat  $\mathcal{C}$ . Then  $f$  is an equivalence if and only if  $f$  exhibits  $Y$  as a colimit  $\{X\} \rightarrow \mathcal{C}$ , if and only if  $f$  exhibits  $X$  as a limit  $\{Y\} \rightarrow \mathcal{C}$ .

**Example 12.16.** Taking the identity diagram  $\emptyset \rightarrow \mathcal{C}$ , the notion of limit/colimit matches the notion of terminal/initial object.

**Proposition 12.17.** A limit  $L \in \mathcal{C}$  is unique up to homotopy. Therefore we usually define it as  $\lim_K(u)$ .

**Proposition 12.18.** We have that  $\mathcal{C}$  admits all  $K$ -indexed limits if and only if

$$\Delta : \mathcal{C} \rightarrow \text{Fun}(K, \mathcal{C})$$

is a left adjoint. The right adjoint is given by  $\lim_K(-)$ .

Equalizers are limits along  $\Delta^1 \amalg_{\partial \Delta^1} \Delta^1$ , pullbacks are limits along  $\Delta^1 \times \Delta^1 - (0, 0)$ , etc.



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## REFERENCES