

Math 619 Notes

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0 A preview of unstable vs stable homotopy theory

Unstable homotopy theory is the study of spaces up to homotopy, whereas stable homotopy is the study of spectra, which can be viewed as stable version of spaces, or from a different perspective, as a generalization of abelian groups. We will start with constructing the category of spaces and its homotopy category and work our way up to the construction the *stable homotopy category*.

0.1 From spaces to spectra

The basic construction that we stabilize with respect to is the suspension functor Σ , which for a based space X is defined as $\Sigma X = X \wedge S^1$. Recall that for based spaces (X, x_0) and (Y, y_0) , the smash product is defined as

$$X \wedge Y := \frac{X \times Y}{(\{x_0\} \times Y) \cup (X \times \{y_0\})}.$$

Example 0.1. There is a homeomorphism $S^1 \wedge S^1 \cong S^2$.

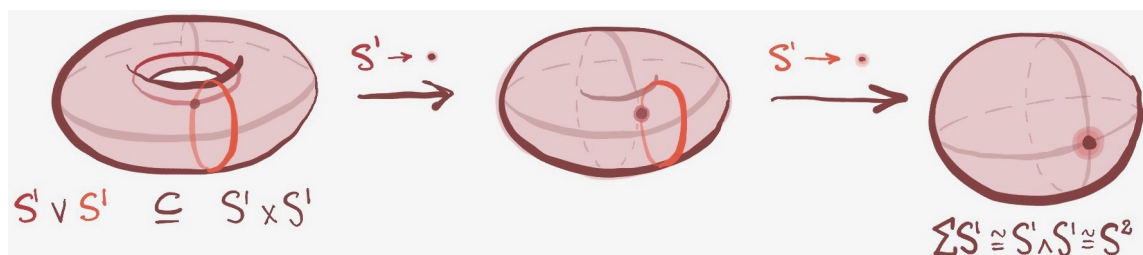


Figure 1: The homeomorphism $S^1 \wedge S^1 \cong S^2$.

In general, we have a similar homeomorphism

$$S^n \wedge S^m \cong S^{n+m}.$$

Let Ω be the loop functor, which for a based space X is defined as $\Omega X := \text{Map}_*(S^1, X)$, i.e., the space of loops in X based at the basepoint of X . There is an adjunction $\Sigma \dashv \Omega$, which means that we have a natural homeomorphism

$$\text{Map}_*(\Sigma X, Y) \cong \text{Map}_*(X, \Omega Y),$$

where this homeomorphism holds in our “convenient” category of spaces. Alternatively this is the data of a unit $X \rightarrow \Omega \Sigma X$ and a counit $\Sigma \Omega X \rightarrow X$ satisfying certain triangle identities. From this adjunction, we will end up seeing that the set of homotopy classes of based maps are isomorphic:

$$[\Sigma X, Y]_* \cong [X, \Omega Y]_*.$$

Higher homotopy groups of a space based space X are defined as homotopy classes of maps from higher dimensional spheres $\pi_n(X) = [S^n, X]_*$. The theorem that forms the bridge from unstable to stable homotopy theory is the *Freudenthal suspension theorem*. Before stating it, we need a preliminary definition.

Definition 0.2. X is n -connected if $\pi_i(X) = 0$ for all $i \leq n$. For example, 0-connected means path connected and 1-connected means simply connected.

We may also define a suspension map on homotopy groups

$$\begin{aligned} \Sigma : \pi_q(X) &\rightarrow \pi_{q+1}(\Sigma X) \\ f &\mapsto [f \wedge \text{id} : S^{q+1} = S^q \wedge S^1 \rightarrow X \wedge S^1 = \Sigma X]. \end{aligned}$$

Theorem 0.3. (*Freudenthal suspension theorem*) Assume that X is a based space which is n -connected. Then the morphism $\Sigma : \pi_q(X) \rightarrow \pi_{q+1}(\Sigma X)$ is:

- a bijection for $q \leq 2n$
- a surjection for $q = 2n + 1$.

By Freudenthal, $\pi_{q+n}(\Sigma^n X)$ stabilizes as n increases. This stable value is an interesting invariant on the space X .

Definition 0.4. The q th stable homotopy group of a space X is defined to be

$$\pi_q^s(X) := \text{colim}_n \pi_{q+n}(\Sigma^n X).$$

We observe that if X is $(n-1)$ -connected, then for $q < n-1$, the maps in the colimit system are isomorphisms. Thus we have that $\pi_q^s(X) = \pi_{q+n}(\Sigma^n X)$ for $q < n-1$.

Remark 0.5. The computations of stable homotopy groups of spheres $\pi_q^s(S^0)$ is one of the deepest problems in algebraic topology. We will observe that these groups are deeply related to many questions throughout geometry and algebra as well.

We can take the idea of stabilizing a space that we have seen, and make the following definition of a *spectrum*, a stable analogue of a space.

Definition 0.6. A (pre)spectrum X is a sequence of based spaces X_0, X_1, \dots equipped with structure maps

$$\sigma_i : \Sigma X_i \rightarrow X_{i+1}$$

for each i .

Example 0.7. There is a *sphere spectrum*, denoted \mathbb{S} , whose n th space is the n -sphere S^n . The structure maps are the homeomorphisms $S^1 \wedge S^n \xrightarrow{\cong} S^{n+1}$ given by [Example 0.1](#).

Example 0.8. Given any based space X , we denote by $\Sigma^\infty X$ its *suspension spectrum*, whose n th space is $\Sigma^n X$, and whose structure maps are the obvious homeomorphisms $\Sigma \Sigma^n X \xrightarrow{\cong} \Sigma^{n+1} X$. As an example, we have that $\mathbb{S} = \Sigma^\infty S^0$.

Definition 0.9. Given a spectrum X , we may define the n th *stable homotopy group* of X as

$$\pi_n(X) = \operatorname{colim}_k \left(\cdots \rightarrow \pi_{n+k}(X_k) \xrightarrow{\Sigma} \pi_{n+k+1}(\Sigma X_k) \xrightarrow{(\sigma_k)_*} \pi_{n+k+1}(\Sigma X_{k+1}) \rightarrow \cdots \right)$$

Exercise 0.10. If X is a based space, then its q th stable homotopy group is the q th homotopy group of its suspension spectrum.

The collection of spectra form a category.

Definition 0.11. A *morphism of spectra* $X \rightarrow Y$ is a collection of maps $f_i : X_i \rightarrow Y_i$ at each level, which commute with the structure maps:

$$\begin{array}{ccc} \Sigma X_i & \xrightarrow{\Sigma f_i} & \Sigma Y_i \\ \sigma_i \downarrow & & \downarrow \sigma_i \\ X_{i+1} & \xrightarrow{f_{i+1}} & Y_{i+1}. \end{array}$$

Knowing what a weak homotopy equivalence of topological spaces is, we might want to define a notion of having a weak homotopy equivalence of spectra. One naive notion would be to define an equivalence to be a levelwise equivalence (i.e. $f : X \rightarrow Y$ is an equivalence if $f_i : X_i \rightarrow Y_i$ is a weak homotopy equivalence for each i). A better notion is that of a *stable equivalence*.

Definition 0.12. A *stable equivalence* of spectra is a morphism $f : X \rightarrow Y$ so that the induced map on homotopy groups

$$(f_q)_* : \pi_q(X) \xrightarrow{\cong} \pi_q(Y)$$

is an isomorphism for each q .

We may also define a category \mathbf{HoSp} which is the localization of the category of spectra at the stable equivalences. That is, a functor $\mathbf{Sp} \rightarrow \mathbf{HoSp}$ which turns stable equivalences into isomorphisms, and is initial among functors with this property — that is, if there is another functor $\mathbf{Sp} \rightarrow \mathcal{C}$ which sends stable equivalences to isomorphisms, we get a unique factorization

$$\begin{array}{ccc} \mathbf{Sp} & \longrightarrow & \mathbf{HoSp} \\ & \searrow & \downarrow \text{---} \\ & & \mathcal{C}. \end{array}$$

However \mathbf{HoSp} is a difficult category to work in, since it does not have many limits or colimits. A survey of the history of stable homotopy theory is given by [May]. For a great introduction to

spectra and associated constructions, see [Mal], where you can find the following diagram relating different constructions that describe the passage between spaces and spectra, and abelian groups and spectra.

$$\begin{array}{ccccccc}
 \mathbf{CW} & \xrightarrow[\text{basept.}]{\text{disjoint}} & \mathbf{CW}_* & \xrightarrow{\Sigma^\infty} & \mathbf{Sp} & \xleftarrow{H-} & \mathbf{Ab} \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \text{0th component} \\
 \mathbf{Ho}(\mathbf{CW}) & \longrightarrow & \mathbf{Ho}(\mathbf{CW}_*) & \longrightarrow & \mathbf{Ho}(\mathbf{Sp}) & \xrightarrow{\pi_*} & \mathbf{Ab}^{\mathbb{Z}_{\geq 0}}
 \end{array}$$

For every abelian group G , there is an *Eilenberg-MacLane spectrum* HG which is described up to homotopy by the property that $\pi_0(HG) = G$ and $\pi_i(HG) = 0$ for $i \neq 0$, where $(HG)_n = K(G, n)$ for each n . We will construct this spectrum later on in the class.

0.2 Why should we care about spectra?

There is an amazing theorem called *Brown representability* that states that every cohomology theory $E^* : \mathbf{HoCW} \rightarrow \mathbf{Ab}^{\mathbb{Z}_{\geq 0}}$, satisfying certain axioms, is represented by a spectrum E , that is, $E^n(X) \cong [X, E_n]$ and E is unique up to isomorphism in \mathbf{HoSp} . Equivalently, any spectrum yields a cohomology theory. Thus we can study generalized cohomology theories by directly studying spectra.

Here are some questions and applications where spectra are key.

Example 0.13. The enumeration of differentiable structures on spheres S^n for $n \geq 5$ is reduced to the computation of the stable homotopy groups of spheres.

Example 0.14. One can impose an equivalence relation on closed manifolds called *cobordism*.



Figure 2: A cobordism from S^1 to $S^1 \amalg S^1$.

The classification question of closed manifolds up to cobordism is a central problem in differential topology. Amazingly, it turns out that this problem can be translated into stable homotopy theory.

The groups Ω_n of closed n -manifolds up to cobordism was shown by Thom to be isomorphic to the homotopy groups of a spectrum MO .

Example 0.15. Consider the question: *For which n is \mathbb{R}^n a division algebra?* Of course, we know four examples, $\mathbb{R}, \mathbb{C} = \mathbb{R}^2, \mathbb{H} = \mathbb{R}^4, \mathbb{O} = \mathbb{R}^8$, are there any others? This is also equivalent to asking when S^{n-1} is an H -space, i.e., it has a continuous multiplication map with a two-sided identity element. This question is also known as *the Hopf invariant one problem* because it is also equivalent to the existence of a Hopf invariant one map $S^{2n-1} \rightarrow S^n$. This question was solved by Adams, initially in a 100 page paper, where he introduced the Adams spectral sequence for $\pi_q^s(S^0)$. He later gave a much shorter solution using K -theory. Stable homotopy theory is key in both solutions.

Example 0.16. Consider the question: *How many linearly independent everywhere nonzero vector fields can there be on S^{n-1} ?* This question was answered by Adams using K -theory, an extraordinary cohomology theory. The answer is that S^{n-1} admits exactly $\rho(n) - 1$ linearly independent everywhere nonzero vector fields, where $\rho(n)$ is the Radon-Hurwitz number equal to $2^c + 8d$, where $n = (2a + 1)2^b$ and $b = c + 4d$ with $0 \leq c < 4$.

Example 0.17. A very old question in differential topology dating back to the 1960s was: *For which n does there exist a stably framed n -manifold with the Kervaire invariant one?* This is known as the *Kervaire invariant one problem*. The Kervaire invariant of a smooth stably framed manifold is the so-called Arf-invariant of an associated quadratic form $H^{\frac{n}{2}}(M, \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$. The answer to the Kervaire invariant 1 problem is yes in dimensions $n = 2, 6, 14, 62$ and possibly 126, but it was ruled out in all other dimensions in 2009 by a recent breakthrough result of Hill, Hopkins and Ravenel [HHR16]. This question can again be translated into the survival of certain elements in the Adams spectral sequence for the stable homotopy groups of spheres, and it was further translated by the authors into a question in equivariant stable homotopy theory and solved in that setting.

0.3 Some references

The notes for this course will mostly be based on [May99], [Hat03], and we often follow Bert Guillou's [course notes](#). These will be supplemented with many other resources along the way, which we will specify and reference in each subsection accordingly.

1 The category of spaces

We start with some recollections about basic category theory and we construct the “convenient category of topological spaces” which modern algebraic topologists work in.

1.1 Category theory review

We refer the reader to the following excellent sources for the basics of category theory. The sets of notes [GS],[Tor] and [Joh15] are great introductions and so are the textbooks [Lei14] and [Rie16].

The standard reference for category, which was the first book on the subject is still [Mac71]. We skip the definition of category but recall a list of standard examples of categories that you have certainly encountered before.

Table 1: Examples of categories

Category	Objects	Morphisms
Set	sets	set functions
Grp	groups	group homomorphisms
Ab	abelian groups	group homomorphisms
Ring	rings	ring homomorphisms
CRing	commutative rings	ring homomorphisms
Top	topological spaces	continuous maps
Top_*	based spaces	based continuous maps
Vect(k)	vector spaces over a field k	linear maps
1	single object	only identity arrow
2	two objects, denoted 0 and 1	single non-identity morphism $0 \rightarrow 1$.

Also, here are some examples of functors between some of these categories, which we have probably encountered before:

- the forgetful functor $U : \mathbf{Grp} \rightarrow \mathbf{Set}$ which forgets the group structure
- the free functor $F : \mathbf{Set} \rightarrow \mathbf{Grp}$ which takes the free group on a set
- homology functors $H_i : \mathbf{Top}_* \rightarrow \mathbf{Grp}$
- homotopy group functors $\pi_i : \mathbf{Top}_* \rightarrow \mathbf{Grp}$
- cohomology functors $H^i : \mathbf{Top}^* \rightarrow \mathbf{Grp}$, which are *contravariant* functors.

Example 1.1. Suppose that G is a group. Then we may form a category G with one object, and whose morphism set is all of G . Composition is given by the group law. A functor $G \rightarrow \mathbf{Set}$ is exactly the data of a G -set, that is, a set equipped with an action of G . Similarly, a functor $G \rightarrow \mathcal{C}$ is referred to as a G -object in \mathcal{C} .

Definition 1.2. For any category \mathcal{C} , we can define its *opposite category*, denoted \mathcal{C}^{op} , whose objects are the same as \mathcal{C} , but whose morphisms have the domain and codomain swapped, and whose composition is defined to mirror the composition in \mathcal{C} . That is, a morphism $x \xrightarrow{f} y$ in \mathcal{C} corresponds to a morphism $y \xrightarrow{f^{\text{op}}} x$, and we define $g^{\text{op}} \circ f^{\text{op}} := (f \circ g)^{\text{op}}$.

Note that for any category \mathcal{C} , we have that $(\mathcal{C}^{\text{op}})^{\text{op}}$ is just \mathcal{C} . The construction \mathcal{C}^{op} occurs frequently in mathematics. The opposite category \mathcal{C}^{op} can be very different than the category \mathcal{C} . Some interesting examples follow:

- the category of affine schemes is equivalent to $\mathbf{CRing}^{\text{op}}$
- the opposite category of sets is equivalent to a subcategory of Boolean algebras (for a discussion of this, see [Arl])

- the category $\mathbf{Top}^{\mathrm{op}}$ is, in some sense, equivalent to the category of frames (for a discussion of this, see [Wof])

Note on terminology: The classical notion of a contravariant functor from $\mathcal{C} \rightarrow \mathcal{D}$ can be thought of as a covariant functor $\mathcal{C}^{\mathrm{op}} \rightarrow \mathcal{D}$, thus so long as we specify the domain category, we may often refer to a functor with the implicit assumption that it is covariant.

Definition 1.3. Let $F, G : \mathcal{C} \rightarrow \mathcal{D}$ be covariant functors. A *natural transformation* $\eta : F \Rightarrow G$ is a collection of morphisms $\eta_x : Fx \rightarrow Gx$ for each $x \in \mathcal{C}$, such that, for every $x \xrightarrow{f} y$ in \mathcal{C} , we have that the diagram commutes

$$\begin{array}{ccc} Fx & \xrightarrow{Ff} & Fy \\ \eta_x \downarrow & & \downarrow \eta_y \\ Gx & \xrightarrow{Gf} & Gy. \end{array}$$

Definition 1.4. A *natural isomorphism* is a natural transformation $\eta : F \Rightarrow G$ such that the components $\eta_c : Fc \xrightarrow{\cong} Gc$ is an isomorphism for each $c \in \mathcal{C}$.

For any two categories \mathcal{C} and \mathcal{D} , we can define the product category $\mathcal{C} \times \mathcal{D}$, with objects and morphisms given by pairs of objects and morphisms, and identities and composition defined componentwise.

Exercise 1.5. Show that the data of a natural transformation is the same as a functor $H : \mathcal{C} \times \mathbf{2} \rightarrow \mathcal{D}$, such that $H(-, 0) = F$ and $H(-, 1) = G$.

Example 1.6. Let $(-)^{**} : \mathbf{Vect}(\mathbb{R}) \rightarrow \mathbf{Vect}(\mathbb{R})$ denote the double dual functor. We have a natural transformation $\eta : \mathrm{id}_{\mathbf{Vect}(\mathbb{R})} \Rightarrow (-)^{**}$ whose components are the isomorphisms $\eta_V : V \rightarrow V^{**}$ given by $x \mapsto [\mathrm{ev}_x : V^* \rightarrow \mathbb{R}]$. One may check that the corresponding diagram commutes, and gives a natural isomorphism.

Remark 1.7. For every V , there also exists an isomorphism $V \cong V^*$, however there is no natural isomorphism $\mathrm{id} \Rightarrow (-)^*$.

Definition 1.8. We define $\mathcal{D}^{\mathcal{C}}$ or $\mathrm{Fun}(\mathcal{C}, \mathcal{D})$ as the *functor category* whose objects are functors $\mathcal{C} \rightarrow \mathcal{D}$ and whose morphisms are natural transformations.¹

Let \mathcal{C} be a *locally small* category, meaning that $\mathrm{Hom}_{\mathcal{C}}(a, b)$ is a set for each pair of objects in \mathcal{C} . For each $c \in \mathcal{C}$, we obtain functors

$$\begin{aligned} \mathrm{Hom}_{\mathcal{C}}(c, -) : \mathcal{C} &\rightarrow \mathbf{Set} \\ \mathrm{Hom}_{\mathcal{C}}(-, c) : \mathcal{C}^{\mathrm{op}} &\rightarrow \mathbf{Set} \end{aligned}$$

Functors of this form are called *representable*. The Yoneda lemma says that the set of natural transformations $\mathrm{Hom}_{\mathcal{D}^{\mathcal{C}}}(\mathrm{Hom}_{\mathcal{C}}(-, c), F) \Rightarrow F(c)$ is in bijection with $F(c)$, naturally in c .

¹We warn the reader that some authors use the convention that $\mathcal{D}^{\mathcal{C}}$ denotes \mathcal{D} -valued presheaves on \mathcal{C} , which are functors $\mathcal{C}^{\mathrm{op}} \rightarrow \mathcal{D}$.

Lemma 1.9 (Yoneda Lemma, covariant version). Let $F: \mathcal{C} \rightarrow \mathbf{Set}$. There is a bijection

$$\mathrm{Hom}_{\mathbf{Set}^{\mathcal{C}}}(\mathrm{Hom}_{\mathcal{C}}(c, -), F) \cong F(c),$$

naturally in both c and F .

Exercise 1.10. Prove the Yoneda lemma.

Hint: There are two parts to this. First, define the required set isomorphism. Second, draw out the naturality squares, along maps $c \rightarrow c'$ and along natural transformations $F \Rightarrow F'$ and check that with the definition of the isomorphism you gave, these squares commute. A reference for the proof is for example [Joh15, Lemma 2.5].

There is an equivalent contravariant version of the Yoneda lemma. Moreover, it turns out we can prove two objects in a category are isomorphic by showing that the representables are naturally isomorphic.

Lemma 1.11. Suppose that there is a natural isomorphism of functors

$$\eta: \mathrm{Hom}_{\mathcal{C}}(c, -) \cong \mathrm{Hom}_{\mathcal{C}}(d, -).$$

Then $c \cong d$ in \mathcal{C} .

Proof. We can show that $\eta_c(\mathrm{id}_c): d \rightarrow c$ is an isomorphism. Since η_d is an isomorphism, there exists an $f: c \rightarrow d$ such that $\eta_d(f) = \mathrm{id}_d$. Considering the naturality square

$$\begin{array}{ccc} \mathrm{Hom}_{\mathcal{C}}(c, c) & \xrightarrow{\eta_c} & \mathrm{Hom}_{\mathcal{C}}(d, c) \\ \circ f \downarrow & & \downarrow \circ f \\ \mathrm{Hom}_{\mathcal{C}}(c, d) & \xrightarrow{\eta_d} & \mathrm{Hom}_{\mathcal{C}}(d, d) \end{array}$$

we can see that $f \circ \eta_c(\mathrm{id}_c) \circ f = \mathrm{id}_d$. Similarly considering the naturality square for $\eta_c(\mathrm{id}_c): d \rightarrow c$ we can show that $\eta_c(\mathrm{id}_c) \circ f = \mathrm{id}_c$. \square

1.2 Adjoint pairs of functors

Let \mathbf{Cat} be the category of categories and functors. Note that in any category, there is a looser way that objects can be “the same” than equality: two objects C and D are defined to be isomorphic if there are morphisms $f: C \rightarrow D$ and $g: D \rightarrow C$ such that $fg = \mathrm{id}$ and $gf = \mathrm{id}$. In particular, in the category \mathbf{Cat} , two categories \mathcal{C} and \mathcal{D} are isomorphic if there are functors $F: \mathcal{C} \rightarrow \mathcal{D}$ and $G: \mathcal{D} \rightarrow \mathcal{C}$ such that $FG = \mathrm{id}$ and $GF = \mathrm{id}$. However, we have seen that there is a looser notion that we can use to compare functors than equality: we have defined a notion of isomorphic functors, which leads to the definition of *equivalence of categories*.

Definition 1.12. A functor $F: \mathcal{C} \rightarrow \mathcal{D}$ is an *equivalence of categories* if there exists $G: \mathcal{D} \rightarrow \mathcal{C}$ such that $FG \cong \mathrm{id}_{\mathcal{D}}$ and $GF \cong \mathrm{id}_{\mathcal{C}}$ are natural isomorphisms of functors. We say that F is an *isomorphism of categories* if $FG = \mathrm{id}_{\mathcal{D}}$ and $GF = \mathrm{id}_{\mathcal{C}}$.

Recall that for a set function having an inverse is equivalent to being injective and surjective. An analogous result regarding equivalences of categories is the following.

Proposition 1.13. $F: \mathcal{C} \rightarrow \mathcal{D}$ is an equivalence of categories if and only if F is:

- *faithful*, meaning that $\text{Hom}_{\mathcal{C}}(c, c') \hookrightarrow \text{Hom}_{\mathcal{D}}(Fc, Fc')$ is injective for each c, c'
- *full*, meaning that $\text{Hom}_{\mathcal{C}}(c, c') \twoheadrightarrow \text{Hom}_{\mathcal{D}}(Fc, Fc')$ is surjective for each c, c'
- *essentially surjective on objects*, meaning for each $d \in \mathcal{D}$ there exists $c \in \mathcal{C}$ such that $F(c) \cong d$.

Exercise 1.14. Prove the proposition. A reference for the proof is [GS, Proposition 1].

More generally we have a notion of having a map between functors, i.e., a natural transformation. We can ask for two categories to be related in an even weaker sense than the notion of equivalence we have defined by simply requiring that we have natural transformations between the two composites and identities, but without requiring that these are isomorphisms. We do impose a condition that ensures that the two natural transformations do interact nicely with each other.

Definition 1.15. Given a pair of functors $F: \mathcal{C} \rightleftarrows \mathcal{D}: G$, we say they are *adjoint* if there exist natural transformations $\eta: \text{id}_{\mathcal{C}} \Rightarrow GF$ (called the *unit*) and $\epsilon: FG \Rightarrow \text{id}_{\mathcal{D}}$ (called the *counit*) satisfying the triangle identities:

$$\begin{array}{ccc} F(a) & \xrightarrow{F\eta_a} & FGF(a) \\ & \searrow & \downarrow \epsilon_{F(a)} \\ & & F(a) \end{array} \quad \begin{array}{ccc} G(b) & \xrightarrow{\eta_{G(b)}} & GFG(b) \\ & \searrow & \downarrow G(\epsilon_b) \\ & & G(b), \end{array}$$

for each $a \in \mathcal{C}, b \in \mathcal{D}$.

We will give three more definitions of an adjunction, which we claim are all equivalent to each other. We can define an adjunction in terms of just the unit or the counit together with a universal property.

Definition 1.16. Given a pair of functors $F: \mathcal{C} \rightleftarrows \mathcal{D}: G$, we say they are *adjoint* if there exists a natural transformations $\eta: \text{id}_{\mathcal{C}} \Rightarrow GF$ (called the *unit*) such that for any object C of \mathcal{C} and D of \mathcal{D} and any map $f: C \rightarrow G(D)$ there exists a unique map $g: F(C) \rightarrow D$ so that the following diagram commutes:

$$\begin{array}{ccc} C & \xrightarrow{\eta_C} & GF(C) \\ \downarrow f & \searrow \text{---} & \downarrow U \\ U(D) & & \end{array}$$

Definition 1.17. (Dual definition specifying the counit instead of the unit.)

Lastly, we can give a definition in terms of a natural isomorphism of hom-sets when the categories \mathcal{C} and \mathcal{D} are both locally small so that $\text{Hom}_{\mathcal{D}}(F(a), b)$ and $\text{Hom}_{\mathcal{C}}(a, G(b))$ are sets. Oftentimes, the following is given as the definition of an adjunction.

Definition 1.18. Given a pair of functors $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$, we say they are *adjoint* if, for each $a \in \mathcal{C}$, $b \in \mathcal{D}$, there exists an isomorphism

$$\mathrm{Hom}_{\mathcal{D}}(F(a), b) \cong \mathrm{Hom}_{\mathcal{C}}(a, G(b)),$$

which is natural in both a and b .

Exercise 1.19. Demonstrate the equivalence of these four definitions.

Hint: These equivalences of definitions can all be found in the nLab entry on adjunctions. Also, the proof of the equivalence of [Definition 1.15](#) and [Definition 1.18](#) can be found as [\[Lei14, Theorem 2.2.5\]](#) or [\[Joh15, Theorem 3.9\]](#). The equivalence of [Definition 1.16](#) and [Definition 1.18](#) can be found in [\[Hen08, Section 3\]](#) or [\[Lei14, Theorem 2.3.6.\]](#), where the universal property of the unit is formulated in terms of initial objects. The argument using [Definition 1.17](#) instead of [Definition 1.16](#) should be exactly analogous.

Examples 1.20. Here are some examples of adjunctions:

- Fix an abelian group B . Then for any abelian groups A and C , we have a natural isomorphism of sets

$$\mathrm{Hom}_{\mathrm{Ab}}(A \otimes B, C) \cong \mathrm{Hom}_{\mathcal{C}}(A, \mathrm{Hom}_{\mathrm{Ab}}(B, C)).$$

That is, the functors $- \otimes B$ and $\mathrm{Hom}_{\mathrm{Ab}}(B, -)$ are adjoint.

- We have an free-forgetful adjunction $F : \mathbf{Set} \rightleftarrows \mathbf{Grp} : U$
- $(-)_+ : \mathbf{Top} \rightleftarrows \mathbf{Top}_* : U$ is an adjunction. We see this since

$$\mathbf{Top}(X, UY) \cong \mathbf{Top}_*(X_+, Y).$$

Proposition 1.21. Adjoints compose.

Exercise 1.22. Let $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$ be an adjunction. Then

1. F is fully faithful if and only if the unit $\eta : \mathrm{id}_{\mathcal{C}} \rightarrow GF$ is a natural isomorphism.
2. G is fully faithful if and only if the counit $\epsilon : FG \rightarrow \mathrm{id}_{\mathcal{D}}$ is a natural isomorphism.

Note that in [Definition 1.12](#) of equivalence of categories we only required that $GF \cong \mathrm{id}$ and $FG \cong \mathrm{id}$ were natural isomorphisms, but not necessarily that F and G are adjoint (namely, the triangle identities were not imposed). It turns out that every equivalence of categories can be modified to an adjoint equivalence by possibly changing the unit or counit. For a proof, see [\[Joh15, Lemma 3.10\]](#).

1.3 A convenient category of spaces

For many years, algebraic topologists have been laboring under the handicap of not knowing in which category of spaces they should work. Our need is to be able to make a variety of constructions and to know that the results have good properties without the tedious spelling out at each step of lengthy hypotheses such as countably paracompact, normal, completely regular, first axiom of countability, metrizable, and so forth. It may be good research technique and an enjoyable exercise to analyse the precise circumstances for which an argument works; but if a developing theory is to be handy for research workers and attractive to students, then the simplicity of the fundamentals must be the goal.

[Ste67]

The main references for this section are [Ste67] and [Str], and we refer the reader to those papers for all of the proofs of point set topology propositions. An excellent set of notes on this topic is also [Fra13].

Recall that in **Set** we have an adjunction between the product and the hom functor given by

$$\mathrm{Hom}_{\mathbf{Set}}(A \times B, C) \cong \mathrm{Hom}_{\mathbf{Set}}(A, \mathrm{Hom}_{\mathbf{Set}}(B, C)).$$

Of course, the bijection follows from comparing cardinalities, but the point is that these bijections are natural, so they are compatible along morphisms in the category. We want a similar adjunction in the category of spaces.

Let Y^X or $\mathrm{Map}(X, Y)$ denote the *mapping space* between two topological spaces, whose underlying set is the collection of continuous maps $X \rightarrow Y$, and which is equipped with the *compact-open topology*, generated by the subbasis

$$W_{K,U} = \{f : X \rightarrow Y : f(K) \subseteq U\},$$

indexed over $K \subseteq X$ compact and $U \subseteq Y$ open. We have a map

$$\mathrm{Map}(X \times Y, Z) \rightarrow \mathrm{Map}(X, \mathrm{Map}(Y, Z)), \tag{1}$$

which is always a homeomorphism onto its image, but it is not always surjective. Thus $\mathrm{Map}(Y, -)$ and $- \times Y$ are not adjoint. As we will see in the next section, any left adjoint in **Top** would need to preserve quotients, which is a type of colimit. However, by [Mun00, Section 22, Example 7], The product of the quotient map $\mathbb{R} \rightarrow \mathbb{R}/\sim$, which identifies all of \mathbb{N} to a point, with \mathbb{Q} is not a quotient. Thus $- \times \mathbb{Q}$ cannot possibly be a left adjoint.

In order to turn Equation 1 into a homeomorphism, we define a class of spaces for which this is a homeomorphism.

Definition 1.23. A subspace $A \subseteq X$ is *k-closed in X* if, for all compact Hausdorff spaces K and continuous maps $K \xrightarrow{f} X$, we have that $f^{-1}(A)$ is closed in K .

Exercise 1.24. The collection of k -closed subsets of X forms a topology which contains the original topology on X (since closed implies k -closed).

Let kX denote the set X equipped with the k -closed topology. So kX has the same underlying set as X but its topology has more closed sets. We note that the identity map $kX \rightarrow X$ is continuous.

Definition 1.25. We say that a space X is *compactly generated (CG)* if the identity map $kX \rightarrow X$ is a homeomorphism. That is, if every k -closed set is closed in the original topology on X .

Some examples of CG spaces are metric spaces and all locally compact spaces, so in particular, CW complexes. The proofs that these spaces are CG can be found in [Str, Propositions 1.6, 1.7]

Note that immediately from the definitions, if X is a compactly generated space, and Y is any space, then $f : X \rightarrow Y$ is continuous if and only if $f : X \rightarrow kY$ is continuous.

Upshot 1.26. For the functors $i : \mathbf{CG} \hookrightarrow \mathbf{Top} : k$, we have that

$$\mathrm{Hom}_{\mathbf{Top}}(iX, Y) \cong \mathrm{Hom}_{\mathbf{CG}}(X, kY),$$

so i is left adjoint to k .

Note 1.27. We have that $k^2X = kX$.

Note 1.28. The category \mathbf{CG} is not closed under product and mapping spaces. So we must define

$$\begin{aligned} X \times_k Y &:= k(X \times Y) \\ \mathrm{Map}_k(X, Y) &:= k\mathrm{Map}(X, Y). \end{aligned}$$

Theorem 1.29. There exists a homeomorphism

$$\mathrm{Map}(X \times_k Y, Z) \cong \mathrm{Map}_k(X, \mathrm{Map}_k(Y, Z)),$$

so \mathbf{CG} is Cartesian closed. For a proof of this theorem, read [Str, Proposition 2.11].

The category \mathbf{CG} is good enough for most applications, but to make things a little better, we impose an additional separation axiom.

Definition 1.30. A topological space is *weak Hausdorff* if for all compact Hausdorff spaces K and every continuous map $f : K \rightarrow X$, we have that $f(K)$ is closed in X .

Exercise 1.31. Hausdorff implies weak Hausdorff.

Some examples of weak Hausdorff spaces are again CW complexes, metric spaces, etc. One of the reasons why we work with weak Hausdorff spaces as opposed to Hausdorff spaces is that it behaves well with respect to quotients. We recall that in general the quotient of a Hausdorff space, even by a closed relation, is not necessarily Hausdorff. For example, the quotient of the C_2 -action on a pair of real lines \mathbb{R} which swaps the points between the lines outside of 0, and fixes 0 on both lines is the “line with two origins,” which is not Hausdorff. Another example is the quotient of the action of the additive subgroup \mathbb{Q} on \mathbb{R} , which is \mathbb{R}/\mathbb{Q} , which has the trivial topology so it is not Hausdorff. On the other hand, for any closed equivalence relation on X where X is weak Hausdorff, the quotient is also weak Hausdorff.

Proposition 1.32. For a weak Hausdorff space X , any larger topology on X (i.e. a topology containing the original topology on X) will also be weak Hausdorff.

Another reason why we like weak Hausdorff spaces is because they interact nicely with compactly generated spaces. For example, by [Str, Lemma 1.4. and Proposition 2.14]

- for X is a k -space, it is weak Hausdorff if and only if the diagonal $X \xrightarrow{\Delta} X \times_k X$ is closed,
- for X weak Hausdorff, it is a k -space if and only if the closed set C are precisely those for which $C \cap K$ is closed for every compact Hausdorff $K \subseteq X$.

Proposition 1.33. For $X \in \mathbf{CG}$, we have that X/\sim is weak Hausdorff for some equivalence class on X if and only if \sim is closed (meaning the equivalence relation is closed as a subspace of $X \times X$).

For the proof of this, read [Str, Corollary 2.21]. Now, by [Str, Proposition 2.22], there is a smallest closed equivalence relation on a CG space X , so we can make the following definition.

Definition 1.34. For $X \in \mathbf{CG}$, let $hX = X/\sim$, where \sim is the smallest closed equivalence relation on X . Let $j : \mathbf{CGWH} \rightarrow \mathbf{CG}$ denote the inclusion. Thus we have an adjunction

$$h : \mathbf{CG} \rightleftarrows \mathbf{CGWH} : j.$$

That is, $\mathrm{Hom}_{\mathbf{CG}}(X, jY) \cong \mathrm{Hom}_{\mathbf{CGWH}}(hX, Y)$.

Proposition 1.35. If $X, Y \in \mathbf{CGWH}$ then $\mathrm{Map}_k(X, Y)$ and $X \times_k Y$ are in \mathbf{CGWH} . So \mathbf{CGWH} is cartesian closed.

In other words, once in \mathbf{CG} , passing to weak Hausdorff spaces, does not change products and mapping spaces. For the proofs, again see [Str, Corollary 2.16 and Proposition 2.24].

1.4 Limits and colimits in categories

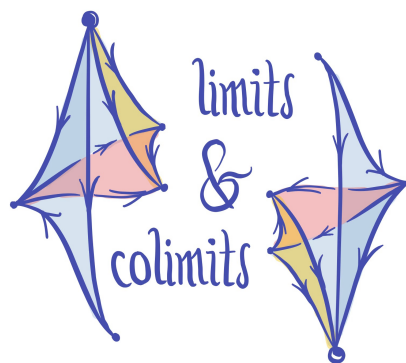


Figure 3: A limit cone and colimit cocone.

Definition 1.36. Let $F : I \rightarrow \mathcal{C}$ be a functor. We call this an *I-shaped diagram in \mathcal{C}* . The *colimit* of F , denoted $\operatorname{colim} F$, is an object in \mathcal{C} together with a family of morphisms $F(X) \rightarrow \operatorname{colim} F$ for each $X \in I$, such that

$$\begin{array}{ccc} F(X) & \xrightarrow{\quad} & F(Y) \\ & \searrow & \swarrow \\ & \operatorname{colim} F & \end{array}$$

commutes for each $X \rightarrow Y$ in I , and moreover it satisfies a universal property so that for any other object $A \in \mathcal{C}$ with the above properties, we have that there exists a unique map $\operatorname{colim} F \rightarrow A$ such that the diagram commutes

$$\begin{array}{ccc} F(X) & \xrightarrow{\quad} & F(Y) \\ & \searrow & \swarrow \\ & \operatorname{colim} F & \\ & \downarrow & \\ & A & \end{array}$$

for each $X \rightarrow Y$.

The *limit* of a diagram $F : I \rightarrow \mathcal{C}$ is dual to this definition, meaning that a limit is a colimit in the opposite category $\mathcal{C}^{\operatorname{op}}$, i.e. the colimit of the composite functor $I \xrightarrow{F} \mathcal{C} \rightarrow \mathcal{C}^{\operatorname{op}}$.

Proposition 1.37. Limits and colimits, when they exist, are unique up to isomorphism.

Exercise 1.38. Prove [Proposition 1.37](#).

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