

# A FORCING ARGUMENT?

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Here is the complete argument which I tried to summarize on MathOverflow.

**Definition 0.1.** Let  $\mathcal{C}$  be a triangulated category with zero object  $0$  and  $\Delta \subseteq (\text{Ob } \mathcal{C})^3$  the set of objects in a triple. Let  $1 \in \mathcal{C}$  be a distinguished object. Assume that  $\mathcal{C}$  is *monogenic* in the sense that the smallest triangulated subcategory of  $\mathcal{C}$  containing  $1$  is  $\mathcal{C}$  itself.<sup>1</sup>

A function  $L : \text{Ob } \mathcal{C} \rightarrow \mathbb{R}_{\geq 0}$  is said to be a *norm* if  $L(0) = 0$ ,  $L(1) \leq 1$ , and for every triangle  $X \rightarrow Y \rightarrow Z$  we have  $L(Y) \leq L(X) + L(Z)$ .

A norm is said to be *gapped* if there are no  $X \in \mathcal{C}$  with  $0 < L(X) < 1$ , and moreover  $L(X \oplus Y) = L(X) + L(Y)$  and  $L(X) = L(X[1])$  for all  $X, Y \in \mathcal{C}$ .<sup>2</sup>

I will assume for simplicity that  $\mathcal{C}$  has (countably many objects and) countably many morphisms, though this doesn't seem to be essential.

**Theorem 0.2.** *Let  $\mathcal{C}$  be a triangulated category and  $1 \in \mathcal{C}$  a distinguished object. Let  $L : \text{Ob } \mathcal{C} \rightarrow \mathbb{R}_{\geq 0}$  be a gapped norm. Then  $L$  is integer-valued.*

*Proof.* (Note that if  $L(1) = 0$ , then  $L = 0$  by induction on number of cells and the result is trivial. Otherwise by gappedness we have  $L(1) = 1$ , so we will assume this is the case.)

**Step 0:** Let  $p$  be a prime.

**Step 1:** Let  $L_0(X) = \lceil L(X) \rceil$  be the smallest integer  $\geq L(X)$ . Then  $L_0 : \mathcal{C} \rightarrow [0, \infty]$  is a subadditive, normalized, shift-invariant, retractive function. Then we have  $L(X) = \lim_{k \rightarrow \infty} \frac{1}{p^k} L_0(p^k X)$ . Moreover,  $\frac{1}{p^{k+l}} L_0(p^{k+l} X) \leq \frac{1}{p^k} L_0(p^k X)$ , so this limit is decreasing in  $k$ .

Enumerate the triangles of  $\mathcal{C}$  as  $E_1, E_2, \dots$  where  $E_i$  is  $A_i \rightarrow B_i \rightarrow C_i$ . We now define a sequence of functions  $L_0, L_1, \dots : \mathcal{C} \rightarrow [0, \infty]$  satisfying the following conditions:

- (1)  $L_0 = \lceil L \rceil$  as above;
- (2) For each  $X \in \mathcal{C}$  and  $n \in \mathbb{N}$  we have  $L_n(X) \in \mathbb{Z}[1/p]$ ;
- (3) For each  $X \in \mathcal{C}$  and  $n \in \mathbb{N}$ , we have  $L(X) \leq L_n(X) \leq \frac{1}{p^n} L_0(p^n X)$ ;
- (4) For each  $n \in \mathbb{N}$  and  $1 \leq i \leq n$  we have  $L_n(B_i) \leq L_n(A_i) + L_n(C_i)$ ;
- (5) For each  $i \leq n \in \mathbb{N}$  with  $L(A_i), L(C_i) < L(B_i)$ , we have  $L(A_i) + L(C_i) - L(B_i) \leq L_n(A_i) + L_n(C_i) - L_n(B_i)$ .

(Note that by construction, if  $L(X) \in \mathbb{Z}$ , then  $L_n(X) = L(X)$  will be satisfied for all  $n$ .)

To wit, we define  $L_n$  as follows. For  $X \in \mathcal{C}$  not of the form  $B_i$  for  $i \leq n$ , define  $L_n(X) = \frac{1}{p^n} L_0(p^n X)$ . Let  $\lambda_1 < \dots < \lambda_k \in [0, \infty]$  be those numbers  $\lambda$  such that there exists  $i \leq n$  with  $L(B_i) = \lambda$ . Now for  $j \leq k$ , assume inductively that we have defined  $L_n(B_i)$  whenever  $L(B_i) < \lambda_j$ . Let  $B \in \{B_1, \dots, B_n\}$  be such that  $L(B) = \lambda_j$ ; we wish now to define  $L_n(B)$ . Let  $\mathcal{I}$  be the collection of  $1 \leq i \leq n$  such that  $B_i = B$ . For each  $i \in \mathcal{I}$ , we will derive an inequality that  $L_n(B)$  must satisfy, and then we

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<sup>1</sup>This means that objects of  $\mathcal{C}$  can always be built of by a finite sequence of "cell attachments". More precisely, for every  $X \in \mathcal{C}$  there is a finite chain of maps  $0 = X_0 \rightarrow X_1 \rightarrow \dots \rightarrow X_n = X$  such that the map  $X_i \rightarrow X_{i+1}$  fits in a triangle of the form  $X_i \rightarrow X_{i+1} \rightarrow 1[k]$  for some  $k \in \mathbb{Z}$ . The least such  $n \in \mathbb{N}$  is the *number of cells* in  $X$ .

<sup>2</sup>In a more leisurely treatment, I would separate out these conditions into several different adjectives, but for our purposes I lump them together to minimize the terminological load.

will take  $L_n(B)$  to be the largest real number satisfying these inequalities, along with the inequalities  $L_n(B) \leq \frac{1}{p^n} L(p^n B)$  and  $L_n(B) \leq L_{n-1}(B)$  and  $L_n(X[k]) = L_n(X)$ . There are a few cases:

- If  $L(A_i) = 0$ , so that  $L(B_i) = L(C_i)$ , then we impose no further inequality, and similarly if  $L(C_i) = 0$ .
- Otherwise, if  $L(B_i) \leq L(A_i)$  or  $L(B_i) \leq L(C_i)$  and  $L(A_i), L(C_i) > 0$ , then  $L(B_i) < L(A_i) + L(C_i)$ . So pick  $m$  large enough so that  $\frac{1}{p^m} L_0(p^m B_i) \leq L(A_i) + L(C_i)$ , and set  $L_n(B_i) \leq \frac{1}{p^m} L_0(p^m B_i)$ .

Otherwise, we have  $L(A_i), L(C_i) < L(B_i)$ , so that by induction  $L_n(A_i), L_n(C_i)$  are already defined.

- If  $L_n(A_i) > L(A_i)$  or  $L_n(C_i) > L(C_i)$  or  $L(B_i) < L(A_i) + L(C_i)$ , then we may find  $m \geq n$  large enough so that  $\frac{1}{p^m} L_0(p^m B_i) \leq L_n(A_i) + L_n(C_i)$  and moreover that  $L(A_i) + L(C_i) - L(B_i) \leq L_n(A_i) + L_n(C_i) - \frac{1}{p^m} L(p^m B_i)$ . Choose such an  $m$ , and set  $L_n(B_i) \leq \frac{1}{p^m} L_0(p^m B_i)$ .
- Otherwise, we have  $L_n(A_i) = L(A_i)$ ,  $L_n(C_i) = L(C_i)$ , and  $L(B_i) = L(A_i) + L(C_i) = L_n(A_i) + L_n(C_i)$ . In this case, set  $L_n(B_i) = L(B_i)$ . In this case we have  $L(A_i) + L(C_i) - L(B_i) = 0 = L_n(A_i) + L_n(C_i) - L_n(B_i)$ .

**Step 2:** Now let  $L_\infty : \mathcal{C} \rightarrow \mathcal{R}$  be any nonprincipal ultraproduct of the  $L_n$ , valued in an ultrapower  $\mathcal{R}$  of the field  $\mathbb{R}$ . Embedding  $\mathbb{R}$  into the ultrapower  $\mathcal{R}$ , we have  $L \leq L_\infty$  because  $L \leq L_n$  for all  $n$  by (3). By (4)  $L_\infty$  is subadditive. Moreover, by (5) we have  $L(A) + L(C) - L(B) \leq L_\infty(A) + L_\infty(C) - L_\infty(B)$  for all sequences with  $L(A), L(C) < L(B)$ .

**Step 3:** Now we induct on the number of cells in  $0 \neq X \in \mathcal{C}$  to show that  $L(X) = L_\infty(X)$ . When  $n = 1$  this is given by normalization and shift-invariance. Let  $1[k] \rightarrow Y \rightarrow X$  be a cofiber sequence where  $Y$  has fewer cells. There are two cases:

- If  $L(X) \leq 1$ , then by hypothesis we either have  $L(X) = 0$ , so that  $L_\infty(X) = 0$  too, or else we have  $L(X) = 1$ , so that  $L_n(X) = 1$  for all  $n$  by construction, and then  $L_\infty(X) = 1$  too.
- If  $L(X) > 1 = L(1)$ , then the fiber sequence  $Y \rightarrow X \rightarrow 1[k+1]$  satisfies  $L(Y) + L(1) - L(X) \leq L_\infty(Y) + L_\infty(1) - L_\infty(X)$  by (5). By induction we have  $L_\infty(Y) = L(Y)$  and  $L_\infty(1) = L(1)$ . Hence we have  $-L(X) \leq -L_\infty(X)$ . The reverse inequality we have already guaranteed. Hence  $L_\infty(X) = L(X)$  as desired.

Thus  $L_\infty = L$ , for any prime  $p$  and any ultrafilter.

**Step 4:** Since this is true for any ultrafilter, for each  $X \in \mathcal{C}$  the sequence  $(L_n(X))_{n \in \mathbb{N}}$  must be eventually constant at  $L(X)$ , so that  $L(X) \in \mathbb{Z}[1/p]$ .

**Step 5:** Since this is true for any  $p$ , we have  $L(X) \in \mathbb{Z}$ . □