Edge-Weighted Hypergraph Transversals & Contextuality

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This is the abstract.

I. Introduction

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I. INTRODUCTION

A. Applications

II. MARGINAL SATISFIABILITY

A. Definitions

To every random variable v there corresponds a prescribed set of **outcomes** \mathcal{O}_v and a set of **events over** v

References

denoted $\Omega(v)$ corresponding to the set of all functions of the form $\omega:\{v\}\to \mathcal{O}_v$. Evidently, $\Omega(v)$ and \mathcal{O}_v are isomorphic structures and their distinction can be confounding. There is rarely any harm in referring synonymously to either as outcomes. Nonetheless, a sheaf-theoretic treatment of contextuality [1] demands the distinction. Specifically for this work, the distinction becomes essential for the exploitation of marginal symmetries in Section III D. As a natural generalization we define the event over a collection of random variables $V=\{v_1,\ldots,v_n\}$ in a parallel manner:

$$\Omega(V) \equiv \{\omega : V \to \mathcal{O}_V \mid \forall v \in V, \omega(v) \in \mathcal{O}_v\}$$

Furthermore, the **domain** $\mathcal{D}(\omega)$ of an event ω is the set of random variables it valuates, i.e. if $\omega \in \Omega(V)$ then $\mathcal{D}(\omega) = V$.

For every $V' \subset V$ and $\omega \in \Omega(V)$, the **restriction of** ω **onto** V' (denoted $\omega|_{V'}$) corresponds to the unique event in $\Omega(V')$ that agrees with ω for all valuations of variables in V', i.e. $\forall v' \in V' : \omega|_{V'}(v') = \omega(v')$. Using this notational framework, a probability distribution or simply **distribution** p_V is a probability measure on $\Omega(V)$, assigning to each $\omega \in \Omega(V)$ a real number $\mathsf{p}_V(\omega) \in [0,1]$ such that $\sum_{\omega \in \Omega(V)} \mathsf{p}_V(\omega) = 1$. The set of all distributions over $\Omega(V)$ is denoted \mathcal{P}_V . Moreover, given $\mathsf{p}_V \in \mathcal{P}_V$ and $V' \subset V$, there is an induced distribution $\mathsf{p}_V|_{V'} \in \mathcal{P}_{V'}$ obtained by $marginalizing \mathsf{p}_V$:

$$\mathsf{p}_{V}|_{V'}(\omega') = \sum_{\substack{\omega \in \Omega(V) \\ \omega|_{V'} = \omega'}} \mathsf{p}_{V}(\omega) \tag{1}$$

Presently, the reader is equipped with sufficient notation and terminology to comprehend the marginal problem.

Definition 1. The Marginal Problem: Given a collection of m distributions $\{p_{V_1}, \ldots, p_{V_m}\}$, does there exist a distribution $p_{\Lambda} \in \mathcal{P}_{\Lambda}$ with $\Lambda \equiv \bigcup_{i=1}^{m} V_m$ such that $\forall i : p_{\Lambda}|_{V_i} = p_{V_i}$?

To facilitate further discussion of this problem, several pieces of nomenclature will be introduced. First, the set $\mathcal{V} = \{V_1, \dots, V_m\}$ is called the **marginal scenario** while its elements are called the **marginal contexts**. The collection of distributions $\mathbf{p}_{\mathcal{V}} \equiv \{\mathbf{p}_{V_1}, \dots, \mathbf{p}_{V_m}\}^2$ is

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 $^{^{\}rm 1}$ Throughout this document, it is assumed that all random variables are discrete and have finite cardinality.

 $^{^2}$ The subscript * preceding $_*\mathcal V$ is added for clarity; $\mathsf p_*\mathcal V$ is not a distribution but a set of distributions over $\mathcal V.$ The $_*\mathcal V$ convention is adopted throughout this report.

called the **marginal model** $[2]^3$. The distribution p_{Λ} , if it exists, is termed the **joint distribution**. Strictly speaking, as defined by [2], a marginal scenario forms an abstract simplicial complex, meaning it satisfies the supplementary requirement that all subsets of contexts are also contexts, i.e. $\forall V \in \mathcal{V} : V' \subset V \implies V' \in \mathcal{V}$. Throughout this work, we exclusively consider (without loss of generality) maximal marginal scenarios, restricting our focus to the contexts which are contained in no others. Finally, a marginal model $p_*\mathcal{V}$ is said to be **non-contextual**, and will be denoted $p_*\mathcal{V} \in \mathcal{N} \subseteq \mathcal{P}_*\mathcal{V}$ if it admits a joint distribution and **contextual** otherwise $(p_*\mathcal{V} \in \mathcal{N})$. Equipped with this additional terminology and notation, the marginal problem now reads: given $p_*\mathcal{V}$, is $p_*\mathcal{V} \in \mathcal{N}$ or not?

B. Linearity

An essential feature of the marginal problem is linearity; the marginalization of p_{Λ} onto the marginal contexts $\{p_{\Lambda}|_{V}\mid V\in \mathcal{V}\}$ is a linear transformation, requiring only the summations pursuant to Eq. (1). Consequently, it is advantageous to consider the statement of the marginal problem as a matrix multiplication. To this end, for each marginal scenario \mathcal{V} we define a binary matrix \mathcal{M} called the **incidence matrix** which implements this mapping. The columns of \mathcal{M} are indexed by *joint events* $j\in\Omega(\Lambda)$ and the rows are indexed by marginal events $m\in\Omega(V)$ for some $V\in\mathcal{V}$. By deliberate abuse of notation, we will denote the set of all marginal events as $\Omega({}_{*}\mathcal{V})$ and is defined as the following disjoint union:

$$\Omega(\!_{*}\!\mathcal{V}) \equiv \coprod_{V \in \mathcal{V}} \Omega(V)$$

The $|\Omega(\mathcal{V})| \times |\Omega(\Lambda)|$ incidence matrix \mathcal{M} is then defined element-wise for $m \in \Omega(\mathcal{V})$ and $j \in \Omega(\Lambda)$:

$$\mathcal{M}_{j}^{m} = \begin{cases} 1 & j|_{\mathcal{D}(m)} = m \\ 0 & \text{otherwise} \end{cases}$$
 (2)

Conceptually, the entries of this matrix are populated with ones whenever the marginal event (row) m is the restriction of some joint event (column) j. For a given marginal scenario \mathcal{V} , \mathcal{M} represents the tuple of restriction maps $\mathcal{M}: \Omega(\Lambda) \to \prod_{V \in \mathcal{V}} \Omega(V) :: j \mapsto \{j|_V \mid V \in \mathcal{V}\}$ [1]. Furthermore, note that the component indices of \mathcal{M} in Eq. (2) are deliberately separated. Among other reasons, this is done to allow one to denote the m-th row of \mathcal{M} as \mathcal{M}^m and the j-th column as \mathcal{M}_j . For further notational convenience, since \mathcal{M} is a binary matrix, we let \mathcal{M}^m and \mathcal{M}_j analogously correspond their respective supports⁴,

To illustrate this concretely, consider the following example. Let Λ be 3 binary variables $\{a,b,c\}$ and $\mathcal V$ be the marginal scenario $\mathcal V=\{\{a,b\},\{b,c\},\{a,c\}\}$. The incidence matrix for $\mathcal V$ becomes:

In addition, for any joint distribution $\mathbf{p}_{\Lambda} \in \mathcal{P}_{\Lambda}$ we associate a joint distribution $vector\ \mathbf{p}_{\Lambda}$ (identically denoted) indexed by $j \in \Omega(\Lambda)$, i.e. $\mathbf{p}_{\Lambda}^{j} \equiv \mathbf{p}_{\Lambda}(j)$. Analogously, for each marginal model $\mathbf{p}_{*}\mathcal{V} \in \mathcal{P}_{*}\mathcal{V}$ there is an associated marginal distribution $vector\ \mathbf{p}_{*}\mathcal{V}$ indexed by $m \in \Omega({}_{*}\mathcal{V})$ such that $\mathbf{p}_{*}^{m} \equiv \mathbf{p}_{\mathcal{D}(m)}(m)$. Using these vectors, the marginal problem becomes the following linear program:

Definition 2. The Marginal Linear Program (MLP):

$$\label{eq:minimize:plane} \begin{split} & \min \text{minimize:} & & \emptyset \cdot \mathsf{p}_{\Lambda}^{\,5} \\ & \text{subject to:} & & \mathsf{p}_{\Lambda} \succeq 0 \\ & & & \mathcal{M} \cdot \mathsf{p}_{\Lambda} = \mathsf{p}_{*\mathcal{V}} \end{split} \tag{4}$$

As such, $p_* \mathcal{V} \in \mathcal{N}$ if and only if MLP is a *feasible* linear program. Importantly, if MLP is feasible, it will return the joint distribution p_{Λ} . To every linear program, there exists a dual linear program that characterizes the feasibility of the original [3]. Constructing the dual linear program is a well-defined procedure [4].

Definition 3. The Dual Marginal Linear Program (DMLP):

minimize:
$$\gamma \cdot \mathbf{p}_{*V}$$

subject to: $\gamma \cdot \mathcal{M} \succeq 0$

By construction, DMLP completely determines the whether or not $p_{*\mathcal{V}} \in \mathcal{N}$ or not. If $p_{*\mathcal{V}} \in \mathcal{N}$, then MLP is feasible and the following holds,

$$\gamma \cdot \mathbf{p}_{,\mathcal{V}} = \gamma \cdot (\mathcal{M} \cdot \mathbf{p}_{\Lambda}) \ge 0 \tag{5}$$

e.g. $m \in \sigma(\mathcal{M}_j)$ if and only if $\mathcal{M}_j^m = 1$. Throughout the remainder of this report, the utility of the incidence matrix \mathcal{M} will be indispensable.

³ In [1], p_{*V} is instead called an *empirical model*.

⁴ The support $\sigma(f)$ of a mapping f is the subset of its domain $\mathcal{D}(f)$ that is not mapped to a zero element: $\sigma(f) = \{x \in \mathcal{D}(f) \mid f(x) \neq 0\}.$

⁵ Note that the primal value of the linear program is of no interest, all that matters is its *feasibility*. Here ∅ denotes a null vector of all zero entries.

because both $\gamma \cdot \mathcal{M} \succeq 0$ and $\mathsf{p}_{\Lambda} \succeq 0$. If however, $\gamma \cdot \mathsf{p}_{*\mathcal{V}} < 0$, then Eq. (5) is violated and $\mathsf{p}_{*\mathcal{V}} \not\in \mathcal{N}^6$. In summary, the sign of $d \equiv \min(\gamma \cdot \mathsf{p}_{*\mathcal{V}})$ answers the marginal program; $\mathsf{p}_{*\mathcal{V}} \in \mathcal{N}$ if and only if $d \geq 0^7$.

Corollary 1. All linear, homogeneous constraints $\gamma \cdot \mathsf{p}_{*\mathcal{V}} \geq 0$ constraining non-contextual marginal models $\mathcal{N} \subseteq \mathcal{P}_{*\mathcal{V}}$ satisfy $\gamma \cdot \mathcal{M} \succeq 0$. Moreover, all vectors γ satisfying $\gamma \cdot \mathcal{M} \succeq 0$ correspond to valid constraints $\gamma \cdot \mathsf{p}_{*\mathcal{V}} \geq 0$ for $\mathcal{N} \subseteq \mathcal{P}_{*\mathcal{V}}$.

In light of Definitions 2 and 3, when supplied with a particular marginal model $p_{*\nu}$, the marginal problem can be solved computationally by evaluating DMLP to determine the feasibility of MLP. A more difficult variant of the marginal problem is one wherein no particular marginal model is supplied.

Definition 4. The General Marginal Problem (GMP): Given a marginal scenario $\mathcal V$ find a set of independent constraints Γ which completely constraint $\mathcal N\subseteq\mathcal P_*\mathcal V$; i.e. $\mathsf p_*\mathcal V\in\mathcal N$ if and only if it satisfies all constraints in Γ .

The remainder of this paper is concerned with methods for solving (or partially solving) GMP. Specifically, Section II C discusses existing methods for completely solving GMP and outlines some of their disadvantages. Section II D summarizes an existing method for completely solving a possibilistic variant of GMP. Sections II C and II D motivate Section III, wherein a new method for completely solving GMP is presented.

C. Marginal Polytopes

The complete space of marginal models over \mathcal{V} (denoted $\mathcal{P}_{*\mathcal{V}}$) can be partitioned into two spaces: the contextual marginal models $(\bar{\mathcal{N}})$ and the non-contextual marginal models $(\mathcal{N} \equiv \mathcal{P}_{*\mathcal{V}} \setminus \bar{\mathcal{N}})$. Pitowsky [7] demonstrates that \mathcal{N} forms a *convex* polytope commonly referred to as the **marginal polytope** for \mathcal{V} . When embedded in $\mathbb{R}^{|\Omega_{*}\mathcal{V}|}$, the extremal rays of the marginal polytope correspond to the columns of \mathcal{M} which further correspond to all *deterministic* joint distributions $p_{\Lambda} \in \mathcal{P}_{\Lambda}^{8}$. The normalization of p_{Λ} ($\sum_{j} p_{\Lambda}^{j} = 1$) defines the convexity of the polytope; each marginal model $p_{*\mathcal{V}} \in \mathcal{P}_{*\mathcal{V}}$ must be a convex mixture of the deterministic marginal models pursuant to Eq. (4). Consequently,

characterizing the contextuality of marginal models is manifestly a problem of polytope description. Notably, the **facets** of a marginal polytope correspond to a finite set of linear inequalities that are complete in the sense that all contextual distributions violate at least one facet inequality [8]. From the perspective of a marginal polytope, convex hull algorithms or linear quantifier elimination can be used to compute a representation of the complete set of facet inequalities and consequently completely solve the GMP. A popular tool for linear quantifier elimination is *Fourier-Motzkin elimination* [9–12]. In this report, we will avoid expounding upon the Fourier-Motzkin procedure and instead recall a few of its notable features and consequences.⁹

Definition 5. [3, Section 12.2] Given a system of linear inequality constraints $S = \{A \cdot x \leq b\}$ constraining some free variables x, the **Fourier-Motzkin elimination** procedure eliminates some of the variables in x and returns a system of linear inequality constraints $S' = \{A' \cdot x' \leq b'\}$ over $x' \subset x$ such that any solution x' of S' will permit at least one compatible solution x of S' (and vice versa).

$$\exists x' : A' \cdot x' \le b' \iff \exists x : A \cdot x \le b \tag{6}$$

In particular, the following system of linear inequalities defines the marginal polytope for \mathcal{V} :

$$\begin{split} \forall m \in \Omega(\mathcal{V}): & \quad \mathsf{p}_{\mathcal{V}}^m - \sum_{j} \mathcal{M}_{j}^m \mathsf{p}_{\Lambda}^j \geq 0 \\ \forall m \in \Omega(\mathcal{V}): & \quad -\mathsf{p}_{\mathcal{V}}^m + \sum_{j} \mathcal{M}_{j}^m \mathsf{p}_{\Lambda}^j \geq 0 \\ \forall j \in \Omega(\Lambda): & \quad \mathsf{p}_{\Lambda}^j \geq 0 \\ & \quad \sum_{j} \mathsf{p}_{\Lambda}^j \geq 1 \\ & \quad -\sum_{j} \mathsf{p}_{\Lambda}^j \geq -1 \end{split} \tag{7}$$

Using the Fourier-Motzkin elimination procedure, it is possible to eliminate the variables p^j_Λ relating to joint events and obtain a system of linear inequalities constraining only marginal events $\mathsf{p}^m_{*\mathcal{V}}$ which completely characterizes the set of non-contextual marginal models $\mathcal{N} \subseteq \mathcal{P}_{*\mathcal{V}}$.

Lemma 1. ¹⁰ There exists a finite set of integral vectors Γ such that for all $p_{*\mathcal{V}} \in \mathcal{P}_{*\mathcal{V}}$:

$$\mathbf{p}_{\mathcal{V}} \in \mathcal{N} \iff \forall \gamma \in \Gamma : \gamma \cdot \mathbf{p}_{\mathcal{V}} \ge 0$$
 (8)

Proof. The finiteness and existence of Γ is a fundamental property of polytopes [9, 11–14]. The fact that each vector $\gamma \in \Gamma$ need only be integer-valued follows from

⁶ These observations are collectively a consequence of Farkas's Lemma [5].

⁷ In particular, if $d \geq 0$, then d = 0 due to the existence of the trivial solution $\gamma = \emptyset$. This observation is an instance of the Complementary Slackness Property [6]. Alternatively, if d < 0, then it is unbounded $d = -\infty$ due to the Unbounded Property [6].

⁸ A deterministic distribution p_Λ is a distribution in which a singular event $j \in \Omega(\Lambda)$ occurs with certainty, i.e. $\mathsf{p}_\Lambda^j = 1$ and $\forall j' \neq j : \mathsf{p}_\Lambda^{j'} = 0$.

⁹ Applying the Fourier-Motzkin procedure to completely solve GMP is discussed in more detail in Fritz and Chaves [2].

¹⁰ This is a stronger variant of [11, Proposition 7].

the integer-valued coefficients that constrain Eq. (7). Finally, the homogeneity of the constraints in Eq. (8) follows from the assumption that each $p_*v \in \mathcal{P}_*v$ a priori satisfies normalization constraints context-wise; i.e. $\forall V \in \mathcal{V}: \sum_{m \in \Omega(V)} \mathsf{p}_V^m = 1^{11}$.

D. Logical Contextuality

Let $a \in \Omega({}_{*}\mathcal{V})$ be any marginal event and $C = \{c_1, \ldots, c_n\} \subseteq \Omega({}_{*}\mathcal{V})$ be a subset of marginal events such that the following logical implication holds for all marginal models $\mathbf{p}_{*}\mathcal{V} \in \mathcal{P}_{*}\mathcal{V}$:

$$a \implies c_1 \vee \cdots \vee c_n = \bigvee_{c \in C} c$$
 (9)

Which can be dictated: whenever the event a occurs, at least one event in C occurs. In accordance with the logical form of Eq. (9), a will be referred to as the **antecedent** and C as the **consequent set**. To clarify, a marginal model $\mathbf{p}_{*V} \in \mathcal{P}_{*V}$ satisfies Eq. (9) if there always at least one $c \in C$ that is possible $(\mathbf{p}_{*V}^c) > 0$ whenever a is possible. A marginal model violates Eq. (9) whenever none of events in c are possible while a remains possible. Marginal models that violate logical statements such as Eq. (9) are known as **Hardy Paradoxes** [10, 15, 16]. Motivated by a greater sense of robustness compared to possibilistic constraints, the concept of witnessing quantum contextuality on a logical level has be analyzed thoroughly for decades [11, 17].

All logical implications of the form of Eq. (9) can be derived by first selecting am antecedent marginal event a, then constructing a consequent set C such that Eq. (9) holds. This is accomplished by making use of Lemma 2.

Lemma 2. Let $m \in \Omega({}_{*}\mathcal{V})$ be a marginal event. Then for all non-contextual marginal models $p_{*}\mathcal{V} \in \mathcal{N}$,

$$m \iff \bigvee_{j \in \sigma(\mathcal{M}^m)} j$$

Essentially, if a joint distribution does exist $(p_* \mathcal{V} \in \mathcal{N})$, then event m represents partial knowledge of the entire system of variables Λ ; whenever m occurs, exactly one joint event j has occurred in reality and m must be a restriction of j. Applying Lemma 2 to the antecedent $a \in \Omega(*\mathcal{V})$ and consequent set $C \subseteq \Omega(*\mathcal{V})$,

$$a \iff \bigvee_{j \in \sigma(\mathcal{M}^a)} j$$

$$\bigvee_{c \in C} c \iff \bigvee_{c \in C} \bigvee_{j \in \sigma(\mathcal{M}^c)} j$$
(10)

Therefore, if a subset C of $\Omega(*\mathcal{V})$ (preferably excluding a) can be found such that,

$$\sigma(\mathcal{M}^a) \subseteq \bigcup_{c \in C} \sigma(\mathcal{M}^c) \tag{11}$$

then Eq. (9) follows from Eqs. (10,11).

It is possible to show that for each logical constraint of the form Eq. (9), there exists a corresponding probabilistic constraint that is tighter. Corollary 2^{12} generalizes Lemma 2.

Corollary 2. Let $m \in \Omega({}_{*}\mathcal{V})$ be a marginal event. Then for all non-contextual marginal models $p_{*}\mathcal{V} \in \mathcal{N}$,

$$\mathsf{p}^m_{*\mathcal{V}} = \sum_{j \in \sigma(\mathcal{M}^m)} \mathsf{p}^j_{\Lambda}$$

III. AN OBSERVATION

A. An Antecedent Hierarchy

B. The Antecedent Hypergraph

Given an antecedent multi-set γ where $\gamma \leq 0$, we identify the **inhibiting set** of joint events $\mathcal{I}(\gamma) \subseteq \Omega(\Lambda)$ preventing $\gamma \cdot \mathcal{M}$ from being positive semi-definite:

$$\mathcal{I}(\gamma) \equiv \left\{ j \in \Omega(\Lambda) \mid (\gamma \cdot \mathcal{M})_j < 0 \right\}$$

The inhibiting set $\mathcal{I}(\gamma)$ of γ completely characterizes the **antecedent hypergraph** $\mathcal{H}(\gamma)$ whose edges \mathcal{E}_j are indexed by the inhibiting events $j \in \mathcal{I}(\gamma)$. Each edge $\mathcal{E}_j \subseteq \Omega(\mathcal{V})$ corresponds to the set of the marginal events $m \in \Omega(\mathcal{V})$ which are restrictions of j. Specifically,

$$\mathcal{H}(\gamma) \equiv \{\mathcal{E}_j \mid j \in \mathcal{I}(\gamma)\}$$

$$\mathcal{E}_j \equiv \{m \in \Omega({}_*\mathcal{V}) \mid m = j|_{\mathcal{D}(m)}, \gamma_m = 0\}$$

Todo (TC Fraser): Incorporate weights

$$\omega(\gamma) = \left\{ \omega_j = -(\gamma \cdot \mathcal{M})_j \mid j \in \mathcal{I}(\gamma) \right\}$$

Todo (TC Fraser): Define set of right-minimal inequalities

$$I(\gamma) = \left\{ (\gamma + \tilde{\gamma}) \cdot \mathbf{p}_{*\mathcal{V}} \ge 0 \mid \tilde{\gamma} \in \mathrm{Tr}_{\omega(\gamma)}[\mathcal{H}(\gamma)] \right\}$$

 $^{^{11}}$ Specifically, any inhomogeneous constraint $\gamma \cdot \mathbf{p}_{*V} \geq \alpha$ can be homogenized by replacing α with $\sum_{m \in \Omega(V)} \alpha \mathbf{p}_{V}^{m}.$

¹² Corollary 2 is simply the m-th row of Eq. (4).

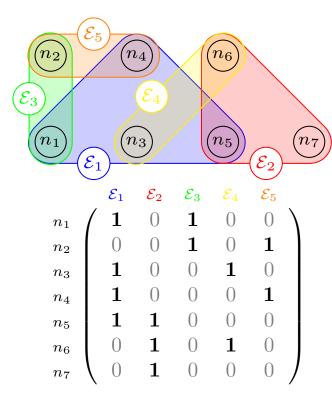


FIG. 1. Dual-representations of a hypergraph $\mathcal{H} = \{\mathcal{E}_1, \mathcal{E}_2, \mathcal{E}_3, \mathcal{E}_4, \mathcal{E}_5\}.$

- C. Irreducibility
- D. Marginal Symmetries
- E. Curated Inequalities
 - F. Targeted Searches
 - G. Relaxations

IV. EDGE-WEIGHTED HYPERGRAPH TRANSVERSALS

A. Preliminaries

B. Hypergraph Transversals

- C. Adding Weights
 - D. Unsolved

Consider γ_1, γ_2 and $\gamma_1 + \gamma_2$.

$$\begin{split} I(\gamma_a) &= \left\{ (\gamma_a + \tilde{\gamma}_a) \cdot \mathbf{p}_{*\mathcal{V}} \geq 0 \mid \tilde{\gamma}_a \in \mathrm{Tr}_{\omega(\gamma_a)}[\mathcal{H}(\gamma_a)] \right\} \quad a = 1, 2 \\ I(\gamma_1 + \gamma_2) &= \left\{ (\gamma_1 + \gamma_2 + \tilde{\gamma}_{12}) \cdot \mathbf{p}_{*\mathcal{V}} \geq 0 \mid \tilde{\gamma}_{12} \in \mathrm{Tr}_{\omega(\gamma_1) + \omega(\gamma_2)}[\mathcal{H}(\gamma_1 + \gamma_2) + \tilde{\gamma}_{12}] \right\} \end{split}$$

V. CONCLUSIONS

ACKNOWLEDGMENTS

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