# On the Fibrations Underlying Optimization and Elimination

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#### Abstract

As of July 25, 2019: The theory of fibrations and fibered categories appears to be a natural place to discuss the theory of various optimization and elimination problems, including resolution in logic, linear and non-linear quantifier elimination, polytope projection, lattice optimization over various spaces, etc. These notes aim to investigate that claim and furthermore attempts to determine any and all structural similarities between the various cases.

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# **Notation Proposals**

- Aff the category of affine spaces (?) and affine maps between them
- Vect the category of vector spaces and the linear maps between them
- Poly the category of polyhedra and the affine maps between them
- Cone the category of cones and the linear maps between them

#### 1 Introduction

Below is a provisional list of various notions of "elimination":

• The **resolution rule** of propositional (and also first order) logics. Two clauses containing a complementary literals (e.g. variable c in one and its negation  $\neg c$  in the other) entails a clause with the complementary literals eliminated (see Ground resolvents and Ground resolution in [Rob+65]):

$$\frac{a_1 \vee a_2 \vee \cdots \vee c, \quad b_1 \vee b_2 \vee \cdots \vee \neg c}{a_1 \vee a_2 \vee \cdots \vee b_1 \vee b_2 \vee \cdots}$$

Equivalently,

$$\frac{(\neg a_1 \land \neg a_2 \land \cdots) \to c, \quad c \to (b_1 \lor b_2 \lor \cdots)}{(\neg a_1 \land \neg a_2 \land \cdots) \to (b_1 \lor b_2 \lor \cdots)}$$

This generalizes to arbitrary conjunctions of literals which may or may not reference c or  $\neg c$ .

• The incremental step of **Fourier-Motzkin elimination** [Zief2] for systems of linear inequalities. Given

$$a_0 + a_1x_1 + a_2x_2 + \dots + a_nx_n \ge 0, \quad b_0 + b_1x_1 + b_2x_2 + \dots + b_nx_n \ge 0$$

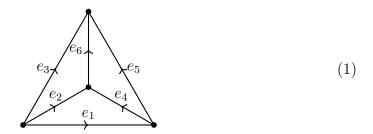
with  $a_1 > 0$  and  $b_1 < 0$ , then

$$\left(\frac{a_0}{a_1} + \frac{a_2}{a_1}x_2 + \dots + \frac{a_n}{a_1}x_n\right) - \left(\frac{b_0}{b_1} + \frac{b_2}{b_1}x_2 + \dots + \frac{b_n}{b_1}x_n\right) \ge 0$$

This generalizes to arbitrary systems of linear inequalities over a set of variables containing  $x_1$ .

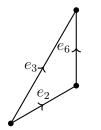
<sup>&</sup>lt;sup>1</sup>Reference https://en.wikipedia.org/wiki/Resolution\_(logic).

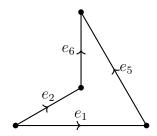
• The elimination axiom of oriented matroids [bjorner1999oriented Bjo+99, circuit axiom (C3)]. Given two citcuits  $X_0 = (X_0^+, X_0^-), X_1 = (X_1^+, X_1^-)$  (with  $X_0 \neq -X_1$ ), and an element  $e \in X_0^+ \cap X_1^-$  which is positively oriented in one circuit and negatively oriented in the other, then the circuits can be "glued" along e producing a new circuit  $X = (X^+, X^-)$  satisfying  $X^+ \subseteq X_0^+ \cup X_1^+ \setminus \{e\}$  and  $X^- \subseteq X_0^- \cup X_1^- \setminus \{e\}$  (i.e. it at least eliminates e). For example, the oriented matroid generated by the cycles of the following graph

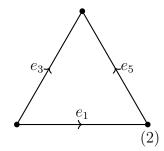


satisfies the elimination axiom. The following example eliminates  $e_2$  (an indirectly eliminates  $e_6$ ):

$$X_0 = (\{e_2, e_6\}, \{e_3\})$$
  $X_1 = (\{e_1, e_5\}, \{e_2, e_6\})$   $X = (\{e_1, e_5\}, \{e_3\})$ 







**TC:** Generally, this "elimination" of n-1 surfaces by gluing together n-dimensional surfaces reminds me of the analogous idea in the homology theory of polyhedra; assign to each n-dimensional face the sum of the n-1 faces *incidence* to it (its boundary) as a formal sum in the free abelian group of all n-1 faces modulo 2 (the modulo 2 carries out the unoriented elimination).

# 2 Category Theory Terminology

The following unordered list of categorical concepts are anticipated to be utilized:

- adjunctions
- fibered categories

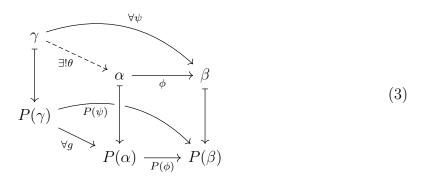
- cleavages
- pseudo functors (and if cleavages are splitting, functors)
- Beck-Chevalley condition
- Frobenius reciprocity (and functors of monoidal categories)

**Tobias:** Cleavages are not really important because for any two different choices of cleavage, the resulting pullback functors are naturally isomorphic. So cleavages are just a technical tool relevant for proving the equivalence between fibred cats and pseudofunctors, but not relevant in practice

TC: The above comment makes sense. Overall there are isomorphisms lurking behind every corner: first, there are natural isomorphisms present when considering the equivalence between pseudo-functors and "cleavaged" fibered categories, and second, whenever the cartesian arrows are indeed pullbacks, they are unique up to unique isomorphism and thus entire cleavages are unique up to unique isomorphisms. For a discussion see [Vis04] at the end of Section 3.1.3. starting on page 50.

#### 2.1 Cartesian Arrows

**Definition 2.1.** Let  $P: \mathcal{E} \to \mathcal{B}$  be a functor between categories  $\mathcal{E}$  and  $\mathcal{B}$ . An arrow  $\phi: \alpha \to \beta$  of  $\mathcal{E}$  is *cartesian* with respect to P (sometimes P-cartesian) if for every arrow  $\psi: \gamma \to \beta$  sharing a codomain with  $\phi$ , and for every arrow  $g: P(\gamma) \to P(\alpha)$  in  $\mathcal{B}$  satisfying  $g \circ P(\phi) = P(\psi)$ , there exists a unique arrow  $\theta: \gamma \to \alpha$  in  $\mathcal{E}$  satisfying  $\phi \circ \theta = \psi$  and  $P(\theta) = g$ .

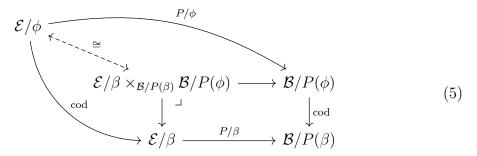


Corollary 2.0.1. A cartesian morphism  $\phi: \alpha \to \beta_1$  in  $\mathcal{E}$  with respect to a functor  $P: \mathcal{E} \to \mathcal{B}$  establishes an isomorphism of categories [Lur09, Section 2.4.1]<sup>2</sup>

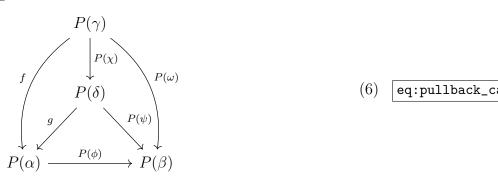
$$\mathcal{E}/\phi \cong \mathcal{E}/\beta \times_{\mathcal{B}/P(\beta)} \mathcal{B}/P(\phi) \tag{4}$$

<sup>&</sup>lt;sup>2</sup>This formulation is also discussed here: https://ncatlab.org/nlab/show/Cartesian+morphism#CartInOrdCatReformulation.

where  $\mathcal{E}/\beta \times_{\mathcal{B}/P(\beta)} \mathcal{B}/P(\phi)$  is the pullback of functors.



The pullback category  $\mathcal{E}/\beta \times_{\mathcal{B}/P(\beta)} \mathcal{B}/P(\phi)$  has morphisms associated with diagrams of  $\mathcal{B}$  with the following format:



Evidently, if  $\phi: \alpha \to \beta$  is cartesian, then there exists unique morphisms  $\zeta: \gamma \to \alpha$  and  $\eta: \delta \to \alpha$  such that  $P(\zeta) = f$  and  $P(\eta) = g$  and the following diagram of  $\mathcal{E}$  commutes:



Intuitively, if  $\phi$  is cartesian, then in order to determine the category  $\mathcal{E}/\phi$  over  $\phi$ , it is sufficient to specify  $\mathcal{E}/\beta \times_{\mathcal{B}/P(\beta)} \mathcal{B}/P(\phi)$ .

# 2.2 Fibrations, Fibered Categories, and Cleavages

**Definition 2.2.** A fibered category over  $\mathcal{B}$  is a category  $\mathcal{E}$  associated to the domain of a functor, referred to as the fibration,  $P: \mathcal{E} \to \mathcal{B}$  with the property that for every morphism  $f: a \to b$  of  $\mathcal{B}$  and object  $\beta$  such that  $P(\beta) = b$ , there exists a cartesian arrow  $\phi: \alpha \to \beta$  with  $P(\phi) = f$ .

**Lemma 2.1.** A fibration  $P: \mathcal{E} \to \mathcal{B}$  is a faithful functor if and only if its fibers are thin.

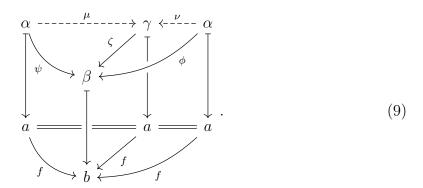
*Proof.* Recall that if  $P: \mathcal{E} \to \mathcal{B}$  is a faithful functor, then by definition every pair of parallel arrows  $\phi, \psi: \alpha \to \beta$  in  $\mathcal{E}$  satisfies

$$P(\phi) = P(\psi) : P(\alpha) \to P(\beta) \implies \phi = \psi.$$
 (8)

eq:faithfulne

 $\Longrightarrow$ : Assuming  $P: \mathcal{E} \to \mathcal{B}$  is faithful functor, consider an arbitrary pair of parallel arrows  $\phi, \psi: \alpha \to \beta$  in an arbitrary fiber  $\mathcal{E}_x$  over x; i.e.  $P(\phi) = P(\psi) = \mathrm{id}_x$ . In such cases, faithfulness of P (Eq. 8) guarantees that  $\phi = \psi$  and thus  $\mathcal{E}_x$  is a thin category.

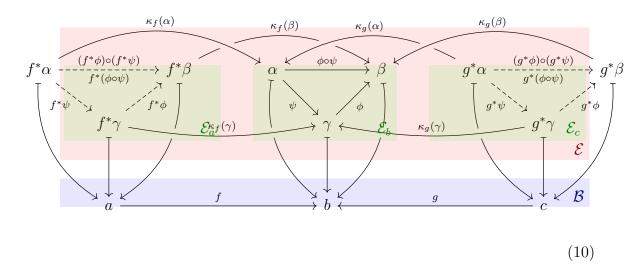
 $\Leftarrow$ : If the fiber  $\mathcal{E}_x$  for every object x in  $\mathcal{B}$  is a thin category, then clearly P:  $\mathcal{E} \to \mathcal{B}$  must be faithful when restricted to an individual fiber. The non-trivial case is to consider an arbitrary pair of parallel morphisms  $\phi, \psi : \alpha \to \beta$  not belonging to any fibers of  $\mathcal{E}$ . Denote  $a \coloneqq P(\alpha)$  and  $b \coloneqq P(\beta)$  and suppose  $f \coloneqq P(\phi) = P(\psi) : a \to b$ . Then, because  $\mathcal{E}$  is a fibered category, there exists a cartesian arrow  $\zeta : \gamma \to \beta$ , such that  $P(\zeta) = f$  (note that  $a = P(\alpha) = P(\gamma)$  but  $\gamma$  is not necessarily equal to  $\alpha$ ). Since  $\zeta$  is a cartesian arrow, there exists a unique arrows  $\mu, \nu : \alpha \to \gamma$  completing the top edges of the following diagram:



However,  $P(\nu) = \mathrm{id}_a = P(\mu)$  and therefore  $\mu$  and  $\nu$  are parallel arrows in the fiber  $\mathcal{E}_a$  and therefore  $\mu = \nu$  because  $\mathcal{E}_a$  is assumed thin. Therefore,  $\psi = \zeta \circ \mu = \zeta \circ \nu = \phi$  and thus P is a faithful functor.

**Definition 2.3.** A cleavage for a fibration  $P: \mathcal{E} \to \mathcal{B}$  is an assignment to each morphism  $f: a \to b$  of  $\mathcal{B}$  and object  $\beta$  in  $\mathcal{E}_b$  (i.e.  $P(\beta) = b$ ), a unique cartesian morphism  $\kappa_f(B)$  of  $\mathcal{E}$  such that  $P(\kappa_f(B)) = f$ .

Given a cleavage for a fibration, the cartesianness of morphisms within a cleavage permits one to establish functors between the fibers of the fibration. This concept is visualized in the following figure:



#### 2.3 Pseudo-Functors, Splitting Cleavages

Pages 47-48 of [Vis04] explicate the notions of pseudo-functors and their equivalence to fibrations with cleavages. Morover if the cleavage is splitting, the induced pseudo-functor is in fact a functor.

# 2.4 Nearby Fibrations: Opfibrations and \*-fibrations

Given a functor  $P: \mathcal{E} \to \mathcal{B}$ , it can be considered as a fibration in many different ways. For example, if  $P^{\text{op}}: \mathcal{E}^{\text{op}} \to \mathcal{B}^{\text{op}}$  is a fibration, then P is said to be an *opfibration*.

#### 2.5 Hom-Functors

For a locally small category  $\mathcal{C}$ , the hom-functor of  $\mathcal{C}$  is a functor  $\operatorname{Hom}_{\mathcal{C}}: \mathcal{C}^{\operatorname{op}} \times \mathcal{C} \to \mathsf{Set}$  constructed in the following manner. Given objects  $a, b, c, \ldots \in \mathcal{C}_0$  of  $\mathcal{C}$ , the hom-functor  $\operatorname{Hom}_{\mathcal{C}}$  maps a pair of objects  $(a,b) \in (\mathcal{C}^{\operatorname{op}} \times \mathcal{C})_0 = \mathcal{C}_0 \times \mathcal{C}_0 = \mathcal{C}_0^2$  into the set of morphisms  $\mathcal{C}_1$  of  $\mathcal{C}$  with source a and target b. Therefore,  $\operatorname{Hom}_{\mathcal{C}}(a,b)$  is the set of morphisms in  $\mathcal{C}$  of type  $a \to b$ . Given morphisms  $g^{\operatorname{op}} \in \operatorname{Hom}_{\mathcal{C}^{\operatorname{op}}}(a,c)$  and  $h \in \operatorname{Hom}_{\mathcal{C}}(b,d)$ , the hom-functor  $\operatorname{Hom}_{\mathcal{C}}$  constructs a function

$$\operatorname{Hom}_{\mathcal{C}}(g^{\operatorname{op}}, h) : \operatorname{Hom}_{\mathcal{C}}(a, b) \to \operatorname{Hom}_{\mathcal{C}}(c, d)$$

<sup>&</sup>lt;sup>3</sup>The collection of morphisms of type  $a \to b$  forms a set because  $\mathcal{C}$  is locally small.

which takes a morphism  $f: a \to b \in \operatorname{Hom}_{\mathcal{C}}(a, b)$  and produces the morphism  $h \circ f \circ g: c \to d \in \operatorname{Hom}_{\mathcal{C}}(c, d)$ . Graphically,

$$\operatorname{Hom}_{\mathcal{C}}(g^{\operatorname{op}}, h) \left( a \xrightarrow{f} b \right) = c \xrightarrow{g} a \xrightarrow{f} b \xrightarrow{h} d$$

#### 2.6 Adjoint Functors

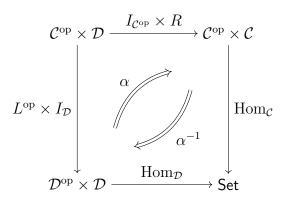
Given two categories  $\mathscr C$  and  $\mathscr D$ , a pair of functors  $L:\mathscr C\to\mathscr D,R:\mathscr D\to\mathscr C$  are called an *adjoint pair*, denoted  $L\dashv R$  or

$$\mathcal{C}$$
 $\stackrel{L}{\underset{R}{\longleftarrow}} \mathcal{D}$ 

if there exists a natural isomorphism  $\alpha$  between the following pair of hom-functors of type  $\mathscr{C}^{\text{op}} \times \mathscr{D} \to \mathsf{Set}$ :

$$\operatorname{Hom}_{\mathscr{D}}(L^{\operatorname{op}}(-), -) \stackrel{\alpha}{\simeq} \operatorname{Hom}_{\mathscr{C}}(-, R(-))$$

This relationship can be depicted graphically as 2-cell (and its inverse) in Cat,



Concretely, the naturality of  $\alpha$  means that for every morphism  $(f^{\text{op}}: b \to a, g: c \to d) \in (\mathcal{C}^{\text{op}} \times \mathcal{D})_1$  the components  $\alpha_{(b,c)}$  and  $\alpha_{(a,d)}$  of  $\alpha$  make the following square commute:

#### 2.7 Beck-Chevalley Conditions

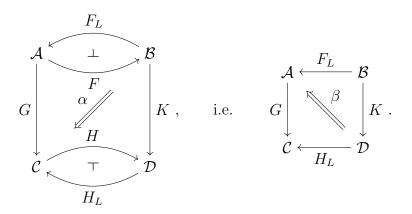
The Beck-Chevalley Conditions are conditions that may or may not be satisfied by a quadruplet of functors F, H, G, K which form a natural isomorphism  $\alpha : KF \Rightarrow HG$  square:

$$\begin{array}{ccc}
\mathcal{A} & \xrightarrow{F} & \mathcal{B} \\
G \middle\downarrow & & \downarrow K \\
C & \xrightarrow{H} & \mathcal{D}
\end{array}$$

To define the *left* Beck-Chevalley condition, one needs functors  $F_L: \mathcal{B} \to \mathcal{A}$  and  $H_L: \mathcal{D} \to \mathcal{A}$  which are respectively left adjoint functors to F and H,

$$\mathcal{A} \xrightarrow{F_L} \mathcal{B} , \qquad \mathcal{C} \xrightarrow{H_L} \mathcal{D} .$$

Using these left adjoint functors, it becomes possible to construct a natural transformation  $\beta: KH_L \Rightarrow GF_L$  from  $\alpha^4$ . Graphically,  $\beta$  can be identified as the outer cell of the following diagram:



Although the natural transformation  $\alpha$  is assumed to be a natural isomorphism, the natural transformation  $\beta$  need not be; if  $\beta$  happens to be a natural isomorphism, then we say that the original square satisfies the *left* Beck-Chevalley condition<sup>5</sup>. The *right* Beck-Chevalley condition is defined analogously with functors  $F_R$ ,  $H_R$  which are respectively right adjoints  $F \dashv F_R$  and  $H \dashv H_R$ .

<sup>&</sup>lt;sup>4</sup>The natural transformations  $\alpha$  and  $\beta$  are known as mates or conjugates.

<sup>&</sup>lt;sup>5</sup>Are the left adjoints  $F_L$ ,  $H_L$  unique? If not, it might be better to say the original square satisfies the left Beck-Chevalley condition with respect to  $F_L$ ,  $H_L$ .

#### 2.8 Slice and Coslice Categories

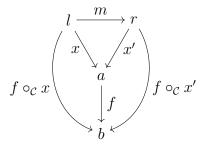
Given a category  $\mathcal{C}$  and an object  $c \in \mathcal{C}_0$  of  $\mathcal{C}$ , the *slice category* (or *over category*)  $\mathcal{C}/c$  is the "stuff in  $\mathcal{C}$  that is on top of c". Specifically, the objects of  $\mathcal{C}/c$  are all the morphisms  $f \in \mathcal{C}_1$  from  $\mathcal{C}$  whose codomain is  $\operatorname{cod}(f) = c$  (alternatively you could write  $(\mathcal{C}/c)_0 = \operatorname{Hom}_{\mathcal{C}}(-,c)$ ). A morphism of  $\mathcal{C}/c$  between objects  $f: a \to c, g: b \to c \in (\mathcal{C}/c)_0$  is a commuting triangle completed by a third morphism  $h: a \to b \in \mathcal{C}_1$ :

$$a \xrightarrow{h} b$$

Composition of morphisms in C/c is induced by the composition of morphisms in C:

$$\begin{pmatrix}
y & \xrightarrow{n} z \\
f & \swarrow h
\end{pmatrix} \circ_{C/c} \begin{pmatrix}
x & \xrightarrow{m} y \\
g & \swarrow f \\
c
\end{pmatrix} = g \downarrow f \\
f & \downarrow f$$

The assignment of an overcategory  $\mathcal{C}/c$  to each object c can be extended to a *slice* functor  $\mathcal{C}/(-): \mathcal{C} \to \mathbf{Cat}$  in the following sense. For objects  $c \in \mathcal{C}_0$ , the slice functor takes c to the slice category  $\mathcal{C}/c$ ; for morphisms  $f: a \to b \in \mathcal{C}_1$ , the slice functor takes f to the functor  $\mathcal{C}/f: \mathcal{C}/a \to \mathcal{C}/b$  defined graphically; for every morphism of  $\mathcal{C}/a$  (commuting triangle in  $\mathcal{C}$  over a), contruct the morphism of  $\mathcal{C}/b$  (commuting triangle in  $\mathcal{C}$  over b) as follows:



where the inner triangle is a morphism of C/a and the outer triangle is a morphism of C/b given by the functor C/f.

Given a category  $\mathcal{C}$  and an object  $c \in \mathcal{C}_0$  of  $\mathcal{C}$  the coslice category (or under category)  $c/\mathcal{C}$  is the "stuff in  $\mathcal{C}$  that is underneath c". Specifically, the objects of  $c/\mathcal{C}$  are all the morphisms  $f \in \mathcal{C}_1$  from  $\mathcal{C}$  whose domain is dom(f) = c (alternatively you could write  $(c/\mathcal{C})_0 = \text{Hom}_{\mathcal{C}}(c, -)$ ). A morphism of  $c/\mathcal{C}$  between objects  $f: c \to a, g: c \to b \in (c/\mathcal{C})_0$  is a commuting triangle completed by a third morphism  $h: a \to b \in \mathcal{C}_1$ :



Everything about coslice categories is defined as expected analogously to that of a slice categories.

**TODO:** determine how the details of the Grothendieck construction transform the slice (pseudo-)functor  $\mathcal{C}/(-):\mathcal{C}\to\mathbf{Cat}$  into the codomain fibration

#### 2.9 Functors of Monoidal Categories

[TODO]

#### 2.10 Frobenius Reciprocity

[TODO]

#### 3 Case Studies of Interest

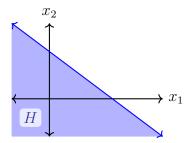
#### 3.1 Polyhedra and Affine Maps

One of the primary motiviating examples for this project is the theory of (finite) convex polyhedra and the affine maps between them. Following Boyd and Vandenberghe [BV04], a polyhedron<sup>6,7</sup> P is the intersection of a finite number of halfspaces of some ambient vector space  $V \cong \mathbb{R}^n$ . A halfspace  $H \subseteq \mathbb{R}^n$  is a subset of a vector space (of dimension n) which is the solution set of a linear inequality constraint over canonical coordinates  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$ :

$$H = \{ x \in V \mid a^{\mathsf{T}} x = \sum_{i=1}^{n} a_i x_i \ge b \}$$
 (11)

<sup>&</sup>lt;sup>6</sup>The term polytope will be reserved for the context of bounded polyhedron. Note that the opposite convention is sometimes used by other authors as pointed out by [BV04].

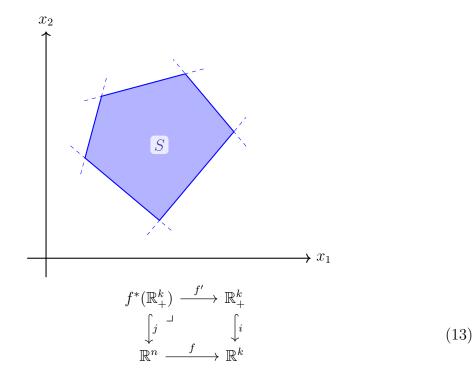
<sup>&</sup>lt;sup>7</sup>Alternative and sometimes inequivalent definitions for "polyhedra" do exist; oftentimes, these alternative definitions accommodate more general notions of polyhedra, such as non-convex polyhedra. Understanding the relationship between these various definitions, and the proposal of new ones is a mathematical endeavour which dates back to antiquity and continues today Grüü3; Lak15.



As previously mentioned, a polyhedra is the intersection of finitely many halfspaces and therefore corresponds to

$$P = \{ x \in V \mid \bigwedge_{j=1}^{k} (a_j^{\mathsf{T}} x \ge b_j) \}$$
 (12)

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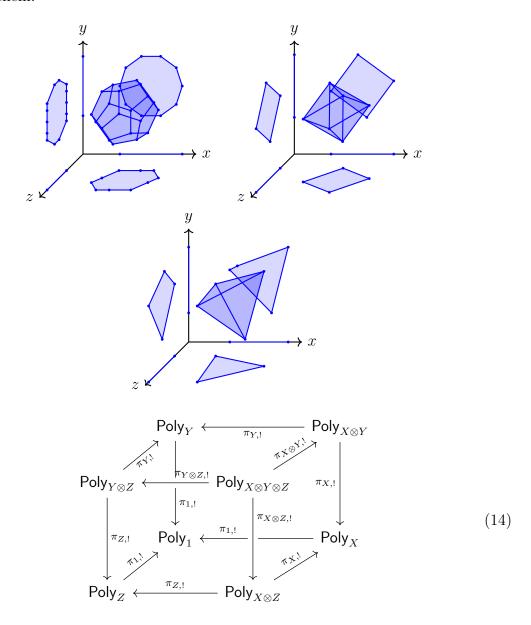


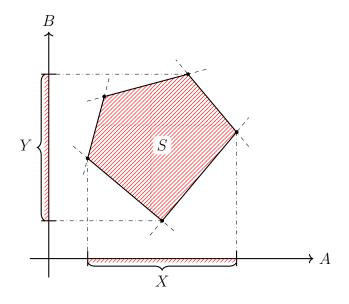
In Equation 13, the morphism j is simply the inclusion of the polyhedra  $f^*(\mathbb{R}^k_+)$  into its ambient vector space  $\mathbb{R}^n$  and the morphism f' is provided by the restriction of f onto  $f^*(\mathbb{R}^k_+)$ .

# 3.2 The Beck-Chevalley Condition for the Polyhedral Fibration

Consider a commuting square of affine maps between vector spaces in the base category of affine maps

**Definition 3.1.** The category Poly consists of polyhedra as objects and affine maps between them.





# 3.3 The Codomain Fibration

rrow\_category

**Definition 3.2.** For any category  $\mathcal{C}$ , its **arrow category**  $\mathsf{Arr}(\mathcal{C})$  has as objects the morphisms  $f: f_0 \to f_1$  of  $\mathcal{C}$  and has as morphisms  $\alpha: f \to g$  the commuting squares of  $\mathcal{C}$ , i.e.

$$\begin{array}{ccc}
f_0 & \xrightarrow{f} & f_1 \\
\alpha_0 \downarrow & & \downarrow \alpha_1 \\
g_0 & \xrightarrow{g} & g_1
\end{array}$$
(15)

The arrow category can be equivalently defined as a functor category  $[I, C] \simeq Arr(C) = C^I$  where I is the *interval category* 

$$id_0 \stackrel{?}{\subset} 0 \stackrel{i}{\longrightarrow} 1 \supset id_1$$
 (16)

consisting of two objects and a non-identity morphism  $i: 0 \to 1$  between them.

**Definition 3.3.** The **codomain functor** cod :  $Arr(C) \rightarrow C$  takes a morphism of Arr(C) (commuting square of C)  $\alpha : f \rightarrow g$  to its codomain  $cod(\alpha) = g$ ,

$$\operatorname{cod} \begin{pmatrix} f_0 \xrightarrow{f} f_1 \\ \alpha_0 \downarrow & \downarrow \alpha_1 \\ g_0 \xrightarrow{g} g_1 \end{pmatrix} = g_0 \xrightarrow{g} g_1 \tag{17}$$

The fibers of the codomain functor cod :  $Arr(\mathcal{C}) \to \mathcal{C}$  are therefore isomorphism to the slice categories; given an object a in  $\mathcal{C}$ , the fiber over a is the slice category  $Arr_a(\mathcal{C}) \simeq \mathcal{C}/a$  whose morphisms are commuting triangles over a in  $\mathcal{C}$ , i.e.

$$c \xrightarrow{t} d$$

$$\downarrow q$$

$$\downarrow a$$

$$(18)$$

Automatically, observe that the codomain functor cod :  $\mathsf{Arr}(\mathcal{C}) \to \mathcal{C}$  constitutes an opfibration; for each morphism  $f: a \to b$ , the associated fiber-convariant functor  $f_!: \mathcal{C}/a \simeq \mathsf{Arr}_a(\mathcal{C}) \to \mathsf{Arr}_b(\mathcal{C}) \simeq \mathcal{C}/b$  is specified by post-composition with f:

$$f_! \left( \begin{array}{c} c \xrightarrow{t} d \\ \downarrow g \\ \downarrow a \end{array} \right) = \begin{array}{c} c \xrightarrow{t} d \\ \downarrow fh \\ b \end{array}$$
 (19)

$$\begin{array}{ccc}
c & \xrightarrow{t} & d \\
\downarrow g & & \downarrow_{fh} \\
a & \xrightarrow{f} & b
\end{array} (20)$$

Under the right conditions, a codomain functor is also a *fibration* and thus an *bifibration*.

**Proposition 3.1.** If a category  $\mathcal{B}$  has pullbacks, the codomain functor  $\operatorname{cod}:\operatorname{Arr}(\mathcal{B})\to \mathcal{B}$  is a fibration called the **codomain fibration**.

For each morphism  $f: a \to b$  in the base  $\mathcal{B}$ , the associated fiber-contravariant functor  $f^*: \mathcal{B}/a \to \mathcal{B}/b$  is specified by pullback along f:

$$f^* \begin{pmatrix} c \xrightarrow{t} d \\ \downarrow \\ b \end{pmatrix} = \begin{pmatrix} c' \xrightarrow{t'} d' \\ \downarrow \\ f^*h \end{pmatrix}$$
 (21)

Note that the morphism  $t':c'\to d'$  completing the resulting commuting triangle is unique by the universality of d' as the pullback of  $a\stackrel{f}{\longrightarrow}b\stackrel{h}{\longleftarrow}d$ .

Given a category  $\mathcal{B}$  with pullbacks, and a morphism  $f: a \to b$  of  $\mathcal{B}$ , the codomain bifibration cod:  $Arr(\mathcal{B}) \to \mathcal{B}$  induces a adjoint pair of functors between the fibers

$$\mathcal{B}/a \underbrace{\downarrow}_{f^*}^{f_!} \mathcal{B}/b \tag{23}$$

such that  $f_!: \mathcal{B}/a \to \mathcal{B}/b$  is given by post-composition and  $f^*: \mathcal{B}/b \to \mathcal{B}/a$  is given by pullback.

**Lemma 3.2.** Given a category  $\mathcal{B}$  with all pullbacks, the codomain bifibration cod :  $Arr(\mathcal{B}) \to \mathcal{B}$  satisfies the Beck-Chevalley condition at all pullback squares in  $\mathcal{B}$ .

*Proof.* Consider an arbitrary pullback square in base category  $\mathcal{B}$ ,

$$\begin{array}{ccc}
a & \xrightarrow{f} & b \\
\downarrow & \downarrow g \\
c & \xrightarrow{h} & d
\end{array} \tag{24} \quad \boxed{eq:generic\_pu}$$

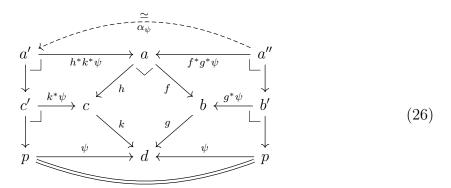
Automatically, there exists a natural isomorphism  $\alpha: f^*g^* \to h^*k^*$ :

$$\mathcal{B}/a \xleftarrow{f^*} \mathcal{B}/b$$

$$h^* \uparrow \qquad \uparrow g^* \qquad (25) \quad \boxed{eq:right\_adjo}$$

$$\mathcal{B}/c \xleftarrow{k^*} \mathcal{B}/d$$

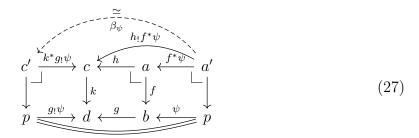
The individual components  $\alpha_{\psi}$  for each object  $\psi: p \to d$  of  $\mathcal{B}/d$  is specified by the following diagram:



Using the composition of pullback squares, it is clear that both  $h^*k^*\psi$  and  $f^*g^*\psi$  are projection morphisms (onto a) in the pullback square of  $a \xrightarrow{gf=kh} d \xleftarrow{\psi} p$  and therefore, they are unique up to a unique isomorphism; the component  $\alpha_{\psi}$  is precisely that isomorphism. Notice that this argument does not make use of the fact that the original commuting square in Equation 24 was a pullback square.

To prove the Beck-Chevalley condition, one must demonstrate that the natural transformation  $\beta = \varepsilon_h \alpha \eta_g : h_! f^* \to k^* g_!$  is also a natural isomorphism. Remembering that the left adjoints can be computed by post-composition, the component of  $\beta$  for an object  $\psi : p \to b$  of  $\mathcal{B}/b$ , denoted  $\beta_{\psi}$ , and its inverse can be determined by the

following diagram:



Similarly,  $k^*g_!\psi$  and  $h_!f^*\psi$  are *both* projection morphisms (onto c) in the pullback square of  $p \xrightarrow{g\psi} d \xleftarrow{k} c$  and therefore, they are unique up to a unique isomorphism  $\beta_{\psi}$ . The key difference in this case is that this argument *does* rely on a being the pullback object in the original pullback square in the base  $\mathcal{B}$  (Equation 24).

#### 3.4 The Subobject Fibration

#### 3.4.1 Definitions

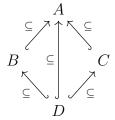
Given any category  $\mathcal{B}$ , and an object X of  $\mathcal{B}$ , a subobject of X is an isomorphism class of monomorphisms  $f:Y\hookrightarrow X$ ; two monomorphisms  $f:Y\hookrightarrow X, g:Z\hookrightarrow X$  with shared codomain X are isomorphic if there exists an isomorphism  $h:Y\overset{\sim}{\to} Z$  such that  $f=g\circ h$ . The individual monomorphisms of a subobject class can be equipped with a preorder relation  $(f:Y\hookrightarrow X)\leq (g:Z\hookrightarrow X)$  if there exists  $k:Y\to Z$  such that  $f=g\circ k$ :

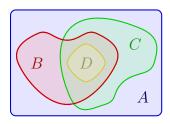
$$Y \xrightarrow{k} Z$$

$$\downarrow f \qquad \downarrow g$$

$$X$$
(28)

Note that if such a  $k: Y \to Z$  exists, then it is unique because it g is a monomorpism; if  $k': Y \to Z$  satisfied  $f = g \circ k'$  as well, then  $g \circ k = g \circ k'$  which implies k = k'. Moreover, this preorder relation on the individual monomorphisms extends to a poset  $\mathsf{Sub}_{\mathcal{B}}(X)$  between the subobjects. For example, if  $\mathcal{B}$  is the category  $\mathsf{Set}$ , the subobjects of X are subsets of X and thus  $\mathsf{Sub}_{\mathsf{Set}}(X) \cong \mathsf{P}(X)$ . Even more concretely, if X is the set  $\mathbb{R}^2$ , an exemplary diagram of  $\mathsf{Sub}_{\mathsf{Set}}(\mathbb{R}^2)$  is





If the category  $\mathcal{B}$  has pullbacks, the posetal categories  $\mathsf{Sub}_{\mathcal{B}}(X)$  for varying objects X of  $\mathcal{B}$  can be "stitched together" to form an enveloping category denoted  $\mathsf{Sub}(\mathcal{B})$ . The objects of  $\mathsf{Sub}(\mathcal{B})$  are the objects of  $\mathsf{Sub}_{\mathcal{B}}(X)$  for various X. The morphisms of  $\mathsf{Sub}(\mathcal{B})$  are defined using the morphisms of  $\mathcal{B}$ . Given a equivalence class of monomorphisms  $B = \{b_i : Z_i \hookrightarrow X\}_{i \in \mathcal{I}} \in \mathsf{Sub}_{\mathcal{B}}(X) \text{ with with shared codomain } X, \text{ and morphism } f: Y \to X \text{ (not necessarily a monomorphism) with codmain } X, \text{ the pullbacks } f^*b_i \text{ of } b_i \text{ along } f \text{ for each } i \in \mathcal{I} \text{ constitute an equivalence class of monomorphisms } f^*B \coloneqq \{f^*b_i : Y \times_X Z_i \hookrightarrow Y\}_{i \in \mathcal{I}} \in \mathsf{Sub}_{\mathcal{B}}(Y):^{8,9}$ 

$$\begin{array}{ccc}
Y \times_X Z_i & \xrightarrow{b_i^* f} & Z_i \\
f^* b_i & & \downarrow b_i \\
Y & \xrightarrow{f} & X
\end{array}$$
(29)

To every morphism  $f: Y \to X$  of  $\mathcal{B}$ , and object  $B \in \mathsf{Sub}_{\mathcal{B}}(X)$ , denote  $\kappa_f(B): f^*B \to B$  where  $f^*B \in \mathsf{Sub}_{\mathcal{B}}(Y)$ . Finally, a morphism of  $\mathsf{Sub}(\mathcal{B})$  between  $A \in \mathsf{Sub}_{\mathcal{B}}(Y)$  and  $B \in \mathsf{Sub}_{\mathcal{B}}(X)$  is the formal sequence  $A \xrightarrow{\leq} f^*(B) \xrightarrow{\kappa_f(B)} B$ . The projection functor  $P_{\mathcal{B}}: \mathsf{Sub}(\mathcal{B}) \to \mathcal{B}$  defines the subobject fibration 10.

**TODO:** figure out the relationship between a subobject fibration and the codomain fibration via the notion of subterminal objects.

#### 3.4.2 The Beck-Chevalley Condition

Given any category  $\mathcal{B}$  with all pullbacks, the functor  $P_{\mathcal{B}}: \mathsf{Sub}_{\mathcal{B}} \to \mathcal{B}$  which sends subobjects  $[\psi]$  to their shared codomains, constitutes a bifibration. In particular, given a morphism  $f: a \to b$  of  $\mathcal{B}$ , there is an induced adjoint pair of functors between the subobject fibers:

$$\mathsf{Sub}_{\mathcal{B}}(a) \underbrace{\perp}_{f^*} \mathsf{Sub}_{\mathcal{B}}(b) \tag{30}$$

Specifically, left adjoint functor  $f_!$  acting on a subobject  $[\psi]$ :  $\mathsf{Sub}_{\mathcal{B}}(a)$  (where  $[\psi]$  is the equivalence class of monomorphisms into a containing  $\psi: a' \hookrightarrow a$ ) is given by post-composition  $f_!([\psi]) = [f\psi] \in \mathsf{Sub}_{\mathcal{B}}(b)$  in  $\mathcal{B}$ .

**Tobias:** That works for the codomain fibration, but not here, because  $f\psi$  is generally not a subobject. Rather,  $f_!([\psi])$  should be the *image* of the morphism  $f\psi$ , in the sense of image factorization (please check whether this works). Thus one needs to assume that image factorizations exist

<sup>&</sup>lt;sup>8</sup>Evidently, this relies on the fact that pullbacks perserve monomorphisms; i.e.  $b: Z \hookrightarrow X$  is a monomorphism, then the pullback  $f^*b: Y \times_X Z \hookrightarrow Y$  is also.

<sup>&</sup>lt;sup>9</sup>The isomorphisms connecting the monomorphisms of  $f^*B$  are also given by pullback of the isomorphisms connecting the monomorphisms of B.

<sup>&</sup>lt;sup>10</sup>Note that while the fibres  $\mathsf{Sub}_{\mathcal{B}}(X)$  are thin, the total category  $\mathsf{Sub}(\mathcal{B})$  is not necessarily thin.

**TC:** It turns out the subobject fibration also satisfies the Beck-Chevalley condition at all pullback squares in the base provided that image factorizations in the base are stable under (i.e. commute with) pullback; equivalently, the base is a regular category. I will finish the write up tomorrow.

The right adjoint functor  $f^*$  acting on a subobject  $[\phi] \in \mathsf{Sub}_{\mathcal{B}}(b)$  is given by pullback of  $a \xrightarrow{f} b \xleftarrow{\phi} b'$  in  $\mathcal{B}$ :

$$\begin{array}{ccc}
f^*b' & \longrightarrow b' \\
f^*\phi \int_{a} & \int_{\phi} & \\
a & \longrightarrow_{f} & b
\end{array} \tag{31}$$

Generally speaking, it is important to determine how diagrams in the base category  $\mathcal{B}$  behave when lifted to the total category  $\mathsf{Sub}_{\mathcal{B}}$  under the associated pseudofunctors. For example, given a pullback square in base category  $\mathcal{B}$ ,

$$\begin{array}{ccc}
a & \xrightarrow{f} & b \\
\downarrow h & \downarrow g \\
c & \xrightarrow{k} & d
\end{array} \tag{32}$$

There exists a natural isomorphism  $\alpha$  between the functors  $f^*g^*$  and  $h^*k^*$ :

The existence of components  $\alpha_{[\psi]}$  for the subobject class containing the monomorphism  $\psi: p \hookrightarrow d$  can be determined by examining the following diagram:

Using the composition of pullback squares, it is clear that both  $f^*g^*p$  and  $h^*k^*p$  are pullbacks of  $a \xrightarrow{gf=kh} d \longleftrightarrow p$  and therefore, they are unique up to a unique

isomorphism which will be denoted  $\beta$ . It is important to notice that this does not depend on a being a pullback itself.

$$h^*k^*p \xrightarrow{\beta} f^*g^*p$$

$$h^*k^*\psi \xrightarrow{f^*g^*\psi} d \longleftrightarrow p$$

$$(35)$$

These isomorphisms actually establish that  $h^*k^*\psi \simeq f^*g^*\psi$  and therefore they belong to the same equivalence class  $[h^*k^*\psi] = [f^*g^*\psi]$  for each  $\psi$ . Therefore, it becomes clear that the natural isomorphism is indeed the identity natural transformation  $\mathrm{id}_{f^*g^*} = \mathrm{id}_{h^*k^*} = \alpha: f^*g^* \to h^*k^*.$ 

Alternatively, one can consider whether or not there exists natural transformations (or natural isomorphisms) of the form  $\alpha: h_!f^* \to k^*g_!$ . Remembering that the left adjoints  $f_!$  are specified by post-composition  $f_![\psi] \to [f\psi]$ , this question can be answered by considering the following diagram:

$$k^*gp \xrightarrow{k^*g\psi} c \xleftarrow{h} a \xleftarrow{f^*\psi} f^*p$$

$$\downarrow \qquad \qquad \downarrow k \qquad \qquad \downarrow f \qquad \qquad \downarrow$$

$$p \xrightarrow{g\psi} d \xleftarrow{g} b \xleftarrow{\psi} p$$

$$(36)$$

Similarly,  $k^*gp$  and  $f^*p$  are both pullbacks of  $p \xrightarrow{g\psi} d \xleftarrow{k} c$  and therefore, we obtain an analogous statement: since  $hf^*\psi \simeq k^*g\psi$ , we have  $[hf^*\psi] = [k^*g\psi]$  and therefore  $h_!f^* = k^*g_!$ .

**Tobias:** Nice! Even though the pushforward functors are missing the image factorizations, I think that this is the correct argument in the case of the codomain fibration. For the subobject fibration, we might need some additional stability property, presumably the one stated in the alternative definition of regular categories

The key difference in this case is that this argument does rely on a being the pullback of a pullback square.

In summary, the subobject fibration satisfies the Beck-Chevalley condition. Moreover, since none of the above argument relies on the fact that the objects of total category are represented by monomorphisms in the base, just that they correspond to morpisms in the base, it is clear that any codomain bifibration also satisfies the Beck-Chevalley condition.

<sup>&</sup>lt;sup>11</sup>Ultimately, the reason for  $\alpha = \mathrm{id}$  is due to considering  $\mathsf{Sub}_{\mathcal{B}}(a)$ , the *poset* of subobjects of a, instead of  $\mathsf{Mono}_{\mathcal{B}}(a)$  the *preorder* of monomorphisms into a.

#### 3.5 The Category of Convex Cones and Linear Maps

Given any  $\mathbb{R}$ -vector space V, a (closed) cone  $C \subseteq V$  is a subset of V such that for any elements  $c_1, c_2 \in C$  and for any positive coefficients  $\gamma_1, \gamma_2 \geq 0$ ,  $\gamma_1 c_1 + \gamma_2 c_2 \in C$ . A polyhedral cone  $C \subseteq V$  is one which admits a half-space representation in terms a finite number of linear constraints:

$$C = \{ x \in V \mid \bigwedge_{i=1}^{K} (a_i \cdot x \ge 0) \}$$
 (37)

Alternatively, a Cone can be expressed in terms of the pullback of the positive orthant

$$\mathbb{R}^n_+ := \{ v \in \mathbb{R}^n \mid \forall i \in [n] : v_i \ge 0 \}$$
 (38)

by a linear transformation  $f: V \to \mathbb{R}^n$  into  $\mathbb{R}^n$ .

$$f^*(\mathbb{R}^n_+) \longrightarrow \mathbb{R}^n_+$$

$$\downarrow \qquad \qquad \downarrow_{i_+}$$

$$V \stackrel{f}{\longrightarrow} \mathbb{R}^n$$

$$(39)$$

$$f^*(\mathbb{R}^n_+) \cong \{ v \in V \mid f(v) \in \mathbb{R}^n_+ \} \tag{40}$$

Given a cone  $f^*(\mathbb{R}^n_+) \subseteq V$  associated with a finite set of n linear expressions  $f: V \to \mathbb{R}^n$ , and a linear transformation  $g: V \to W$ ,

### 3.6 Subset Projection

A prototypical example wherein an adjoint triple

$$f_!, \exists_f \dashv f^*, f^{-1} \dashv f^!, \forall_f$$

arises is that of functions  $f:X\to Y$  between sets X and Y. The inverse image functor  $f^*:\mathscr{P}Y\to\mathscr{P}X$  is defined on a subset  $T\subseteq Y$ 

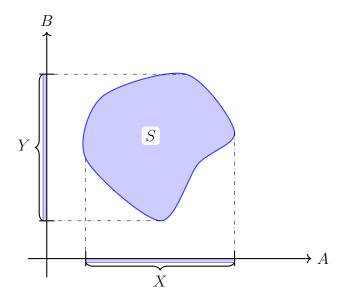
$$f^*(T) = \{ x \in X : f(x) \in T \},\$$

and is functorial in the sense that if  $T \subseteq T' \subseteq Y$  then  $f^*(T) \subseteq f^*(T') \subseteq f^*(T)$ . The adjoint functors  $\exists_f, \forall_f : \mathscr{P}X \to \mathscr{P}Y$  are defined on  $S \subseteq X$  as

$$\exists_f(S) = \{ y \in Y : \exists x \in f^*(y) : x \in S \}$$
  
$$\forall_f(S) = \{ y \in Y : \forall x \in f^*(y) : x \in S \}$$

form an adjoint triple in the sense that  $\exists_f \dashv f^* \dashv \forall_f$ :

$$\exists_f \dashv f^*: \exists_f(S) \subseteq T \iff S \subseteq f^*(T)$$
  
 $f^* \dashv \forall_f: f^*(T) \subseteq R \iff T \subseteq \forall_f(R)$ 

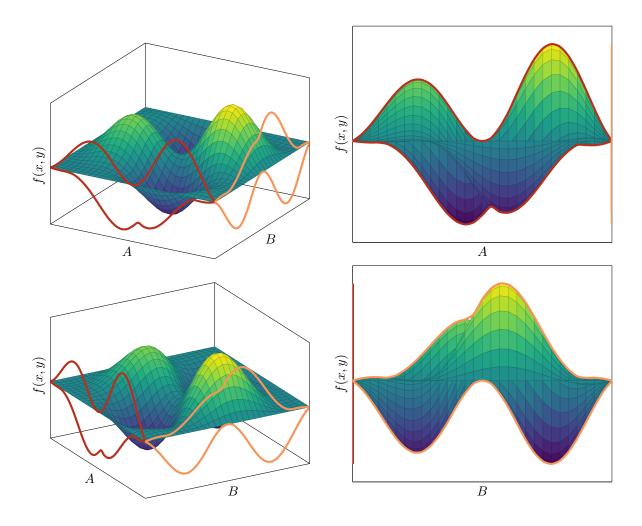


Consider a pair of sets A and B and a subset  $S \subseteq A \times B$  of their cartesian product. The projection morphisms associated with  $A \times B$  are  $p: A \times B \to A$  and  $q: A \times B \to B$ . The projection of the subset S onto A is then the subset  $X \subseteq A$  defined by:

$$X = \{a \in A \mid \exists s \in S, p(s) = a\}$$

$$S \subseteq p^*(X) \Longleftrightarrow \exists_p(S) \subseteq X$$
 (41)

# 3.7 Optimization of real-valued functions



# Potentially Annotated Bibliography

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