# On The Bifibrations Underlying Optimization and Elimination

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## 1 Examples

A prototypical example wherein an adjoint triple

$$f_!, \exists_f \dashv f^*, f^{-1} \dashv f^!, \forall_f$$

arises is that of functions  $f: X \to Y$  between sets X and Y. The inverse image functor  $f^*: \mathscr{P}Y \to \mathscr{P}X$  is defined on a subset  $T \subseteq Y$ 

$$f^*(T) = \{ x \in X : f(x) \in T \},\$$

and is functorial in the sense that if  $T \subseteq T' \subseteq Y$  then  $f^*(T) \subseteq f^*(T') \subseteq f^*(T)$ . The adjoint functors  $\exists_f, \forall_f : \mathscr{P}X \to \mathscr{P}Y$  are defined on  $S \subseteq X$  as

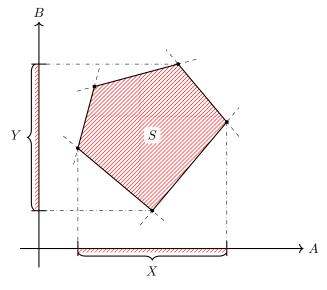
$$\exists_f(S) = \{ y \in Y : \exists x \in f^*(y) : x \in S \}$$
  
$$\forall_f(S) = \{ y \in Y : \forall x \in f^*(y) : x \in S \}$$

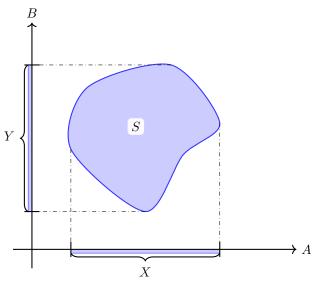
form an adjoint triple in the sense that  $\exists_f \dashv f^* \dashv \forall_f$ :

$$\exists_f \dashv f^*: \quad \exists_f(S) \subseteq T \iff S \subseteq f^*(T)$$
$$f^* \dashv \forall_f: \quad f^*(T) \subseteq R \iff T \subseteq \forall_f(R)$$

Context	Fibration	Total $\mathcal{E}$	Base $\mathcal{E}$	Fibers	Covariant Functor	Contravariant Functor
Subset Projection		'				
Linear Quantifier Elimination						
Non-linear Quantifier Elimination						
Real-valued Optimization						
General Lattice Optimization		'				
Convex Projection						
Convex Optimization						
Resolution						
and more						

## 1.1 Subset Projection

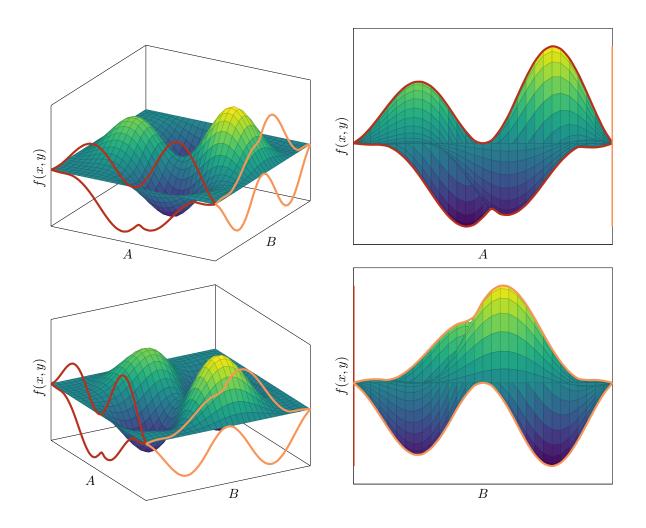




Consider a pair of sets A and B and a subset  $S \subseteq A \times B$  of their cartesian product. The projection morphisms associated with  $A \times B$  are  $p: A \times B \to A$  and  $q: A \times B \to B$ . The projection of the subset S onto A is then the subset  $X \subseteq A$  defined by:

$$X = \{a \in A \mid \exists s \in S, p(s) = a\}$$

$$S \subseteq p^*(X) \Longleftrightarrow \exists_p(S) \subseteq X \tag{1}$$



### 2 Categorical Notions

The following unordered list of categorical concepts are anticipated to be utilized:

- adjunctions
- fibered categories
- cleavages
- puesdo functors (and if cleavages are splitting, functors)
- Beck-Chevalley condition
- Frobenius reciprocity (and functors of monoidal categories)

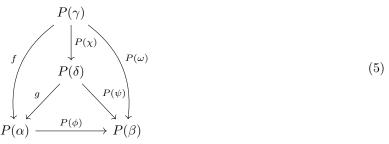
**Definition 2.1.** Let  $P: \mathcal{E} \to \mathcal{B}$  be a functor between categories  $\mathcal{E}$  and  $\mathcal{B}$ . An arrow  $\phi: \alpha \to \beta$  of  $\mathcal{E}$  is cartesian with respect to P if for every arrow  $\psi: \gamma \to \beta$  sharing a codomain with  $\phi$ , and for every arrow  $g: P(\gamma) \to P(\alpha)$  in  $\mathcal{B}$  satisfying  $g \circ P(\phi) = P(\psi)$ , there exists a unique arrow  $\theta: \gamma \to \alpha$  in  $\mathcal{E}$  satisfying  $\phi \circ \theta = \psi$  and  $P(\theta) = g$ .

**Corollary 2.0.1.** A cartesian morphism  $\phi: \alpha \to \beta$  in  $\mathcal{E}$  with respect to a functor  $P: \mathcal{E} \to \mathcal{B}$  establishes an isomorphism of categories [Lur09, Section 2.4.1]<sup>1</sup>

$$\mathcal{E}/\phi \cong \mathcal{E}/\beta \times_{\mathcal{B}/P(\beta)} \mathcal{B}/P(\phi) \tag{3}$$

where  $\mathcal{E}/\beta \times_{\mathcal{B}/P(\beta)} \mathcal{B}/P(\phi)$  is the pullback of functors.

The pullback category  $\mathcal{E}/\beta \times_{\mathcal{B}/P(\beta)} \mathcal{B}/P(\phi)$  has morphisms associated with diagrams of  $\mathcal{B}$  with the following format:



<sup>&</sup>lt;sup>1</sup>This formulation is also discussed here: https://ncatlab.org/nlab/show/Cartesian+morphism#CartInOrdCatReformulation.

Evidently, if  $\phi: \alpha \to \beta$  is cartesian, then there exists unique morphisms  $\zeta: \gamma \to \alpha$  and  $\eta: \delta \to \alpha$  such that  $P(\zeta) = f$  and  $P(\eta) = g$  and the following diagram of  $\mathcal{E}$  commutes:



Intuitively, if  $\phi$  is cartesian, then in order to determine the category  $\mathcal{E}/\phi$  over  $\phi$ , it is sufficient to specify  $\mathcal{E}/\beta \times_{\mathcal{B}/P(\beta)} \mathcal{B}/P(\phi)$ .

**Definition 2.2.** A fibered category over  $\mathcal{B}$  is a category  $\mathcal{E}$  associated to the domain of a functor, referred to as the fibration,  $P: \mathcal{E} \to \mathcal{B}$  with the property that for every morphism  $f: a \to b$  of  $\mathcal{B}$  and object  $\beta$  such that  $P(\beta) = b$ , there exists a cartesian arrow  $\phi: \alpha \to \beta$  with  $P(\phi) = f$ .

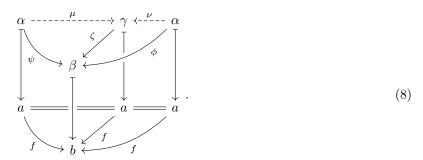
**Lemma 2.1.** A fibration  $P: \mathcal{E} \to \mathcal{B}$  is a faithful functor if and only if its fibers are thin.

*Proof.* Recall that if  $P: \mathcal{E} \to \mathcal{B}$  is a faithful functor, then by definition every pair of parallel arrows  $\phi, \psi: \alpha \to \beta$  in  $\mathcal{E}$  satisfies

$$P(\phi) = P(\psi) : P(\alpha) \to P(\beta) \implies \phi = \psi.$$
 (7)

 $\implies$ : Assuming  $P: \mathcal{E} \to \mathcal{B}$  is faithful functor, consider an arbitrary pair of parallel arrows  $\phi, \psi: \alpha \to \beta$  in an arbitrary fiber  $\mathcal{E}_x$  over x; i.e.  $P(\phi) = P(\psi) = \mathrm{id}_x$ . In such cases, faithfulness of P (Eq. 7) guarantees that  $\phi = \psi$  and thus  $\mathcal{E}_x$  is a thin category.

 $\Leftarrow$ : If the fiber  $\mathcal{E}_x$  for every object x in  $\mathcal{B}$  is a thin category, then clearly  $P: \mathcal{E} \to \mathcal{B}$  must be faithful when restricted to an individual fiber. The non-trivial case is to consider an arbitrary pair of parallel morphisms  $\phi, \psi: \alpha \to \beta$  not belonging to any fibers of  $\mathcal{E}$ . Denote  $a \coloneqq P(\alpha)$  and  $b \coloneqq P(\beta)$  and suppose  $f \coloneqq P(\phi) = P(\psi): a \to b$ . Then, because  $\mathcal{E}$  is a fibered category, there exists a cartesian arrow  $\zeta: \gamma \to \beta$ , such that  $P(\zeta) = f$  (note that  $a = P(\alpha) = P(\gamma)$  but  $\gamma$  is not necessarily equal to  $\alpha$ ). Since  $\zeta$  is a cartesian arrow, there exists a unique arrows  $\mu, \nu: \alpha \to \gamma$  completing the top edges of the following diagram:



However,  $P(\nu) = \mathrm{id}_a = P(\mu)$  and therefore  $\mu$  and  $\nu$  are parallel arrows in the fiber  $\mathcal{E}_a$  and therefore  $\mu = \nu$  because  $\mathcal{E}_a$  is assumed thin. Therefore,  $\psi = \zeta \circ \mu = \zeta \circ \nu = \phi$  and thus P is a faithful functor.

**Definition 2.3.** A cleavage for a fibration  $P: \mathcal{E} \to \mathcal{B}$  is an assignment to each morphism  $f: a \to b$  of  $\mathcal{B}$  and object  $\beta$  in  $\mathcal{E}_b$  (i.e.  $P(\beta) = b$ ), a unique cartesian morphism  $\phi$  such that  $P(\phi) = f$ .

$$f^*\beta_1 \xrightarrow{\kappa(f;\beta_1)} \beta_1 \xleftarrow{\kappa(g;\beta_1)} g^*\beta_1$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$a \xrightarrow{f} b \xleftarrow{g} c$$

$$\uparrow \qquad \qquad \uparrow \qquad \uparrow$$

$$f^*\beta_2 \xrightarrow{\kappa(f;\beta_2)} \beta_2 \xleftarrow{\kappa(g;\beta_2)} g^*\beta_2$$

$$(9)$$

## Categorical Definitions

#### 2.1 Hom-Functors

For a locally small category  $\mathcal{C}$ , the hom-functor of  $\mathcal{C}$  is a functor  $\operatorname{Hom}_{\mathcal{C}}: \mathcal{C}^{\operatorname{op}} \times \mathcal{C} \to \mathbf{Set}$  constructed in the following manner. Given objects  $a, b, c, \ldots \in \mathcal{C}_0$  of  $\mathcal{C}$ , the hom-functor  $\operatorname{Hom}_{\mathcal{C}}$  maps a pair of objects  $(a,b) \in (\mathcal{C}^{\operatorname{op}} \times \mathcal{C})_0 = \mathcal{C}_0 \times \mathcal{C}_0 = \mathcal{C}_0^2$  into the set  $^2$  of morphisms  $\mathcal{C}_1$  of  $\mathcal{C}$  with source a and target b. Therefore,  $\operatorname{Hom}_{\mathcal{C}}(a,b)$  is the set of morphisms in  $\mathcal{C}$  of type  $a \to b$ . Given morphisms  $g^{\operatorname{op}} \in \operatorname{Hom}_{\mathcal{C}^{\operatorname{op}}}(a,c)$  and  $h \in \operatorname{Hom}_{\mathcal{C}}(b,d)$ , the hom-functor  $\operatorname{Hom}_{\mathcal{C}}$  constructs a function

$$\operatorname{Hom}_{\mathcal{C}}(g^{\operatorname{op}},h): \operatorname{Hom}_{\mathcal{C}}(a,b) \to \operatorname{Hom}_{\mathcal{C}}(c,d)$$

which takes a morphism  $f: a \to b \in \operatorname{Hom}_{\mathcal{C}}(a, b)$  and produces the morphism  $h \circ f \circ g: c \to d \in \operatorname{Hom}_{\mathcal{C}}(c, d)$ . Graphically,

$$\operatorname{Hom}_{\mathcal{C}}(g^{\operatorname{op}},h)\left(\begin{array}{c}a \xrightarrow{f} b\end{array}\right) = \ c \xrightarrow{g} a \xrightarrow{f} b \xrightarrow{h} d$$

### 2.2 Adjoint Functors

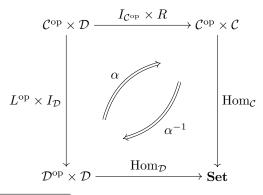
Given two categories  $\mathscr C$  and  $\mathscr D$ , a pair of functors  $L:\mathscr C\to\mathscr D,R:\mathscr D\to\mathscr C$  are called an *adjoint pair*, denoted  $L\dashv R$  or

$$C \xrightarrow{L} \mathcal{D}$$

if there exists a natural isomorphism  $\alpha$  between the following pair of hom-functors of type  $\mathscr{C}^{op} \times \mathscr{D} \to \mathbf{Set}$ :

$$\operatorname{Hom}_{\mathscr{D}}(L^{\operatorname{op}}(-),-) \stackrel{\alpha}{\simeq} \operatorname{Hom}_{\mathscr{C}}(-,R(-))$$

This relationship can be depicted graphically as 2-cell (and its inverse) in Cat,



<sup>&</sup>lt;sup>2</sup>The collection of morphisms of type  $a \to b$  forms a set because  $\mathcal{C}$  is locally small.

Concretely, the naturality of  $\alpha$  means that for every morphism  $(f^{\text{op}}:b\to a,g:c\to d)\in (\mathcal{C}^{\text{op}}\times\mathcal{D})_1$  the components  $\alpha_{(b,c)}$  and  $\alpha_{(a,d)}$  of  $\alpha$  make the following square commute:

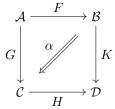
$$\operatorname{Hom}_{\mathcal{D}}(L^{\operatorname{op}}(b),c) \xrightarrow{\operatorname{Hom}_{\mathcal{D}}(L^{\operatorname{op}}(f^{\operatorname{op}}),g)} \operatorname{Hom}_{\mathcal{D}}(L^{\operatorname{op}}(a),d)$$

$$\downarrow \alpha_{(b,c)} \qquad \qquad \downarrow \alpha_{(a,d)}$$

$$\operatorname{Hom}_{\mathcal{C}}(b,R(c)) \xrightarrow{\operatorname{Hom}_{\mathcal{C}}(f^{\operatorname{op}},R(g))} \operatorname{Hom}_{\mathcal{C}}(a,R(d))$$

### 2.3 Beck-Chevalley Conditions

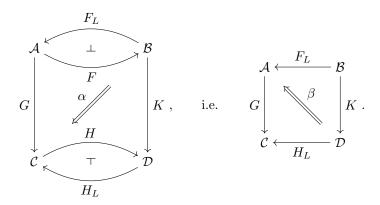
The Beck-Chevalley Conditions are conditions that may or may not be satisfied by a quadruplet of functors F, H, G, K which form a natural isomorphism  $\alpha : KF \Rightarrow HG$  square:



To define the *left* Beck-Chevalley condition, one needs functors  $F_L: \mathcal{B} \to \mathcal{A}$  and  $H_L: \mathcal{D} \to \mathcal{A}$  which are respectively left adjoint functors to F and H,

$$\mathcal{A} \xrightarrow{F_L} \mathcal{B} , \qquad \mathcal{C} \xrightarrow{H_L} \mathcal{D} .$$

Using these left adjoint functors, it becomes possible to construct a natural transformation  $\beta: KH_L \Rightarrow GF_L$  from  $\alpha^3$ . Graphically,  $\beta$  can be identified as the outer cell of the following diagram:



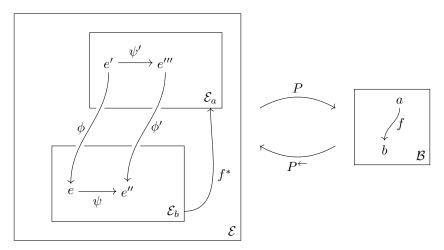
Although the natural transformation  $\alpha$  is assumed to be a natural isomorphism, the natural transformation  $\beta$  need not be; if  $\beta$  happens to be a natural isomorphism, then we say that the original square satisfies the *left* Beck-Chevalley condition<sup>4</sup>. The *right* Beck-Chevalley condition is defined analogously with functors  $F_R$ ,  $H_R$  which are respectively right adjoints  $F \dashv F_R$  and  $H \dashv H_R$ .

 $<sup>^3 \</sup>text{The natural transformations } \alpha$  and  $\beta$  are known as mates or conjugates.

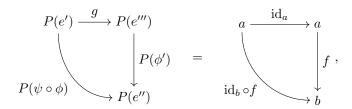
<sup>&</sup>lt;sup>4</sup>Are the left adjoints  $F_L$ ,  $H_L$  unique? If not, it might be better to say the original square satisfies the left Beck-Chevalley condition with respect to  $F_L$ ,  $H_L$ .

### 2.4 The Equivalence of Puesdofunctors and Fibrations

Given a functor  $P: \mathcal{E} \to \mathcal{B}$  which is also a Grothendieck fibration equipped with a cleavage (i.e. a choice of cartesian morphism  $\phi \in \operatorname{Hom}_{\mathcal{E}}(e',e)$  for each  $f \in \operatorname{Hom}_{\mathcal{B}}(a,P(e))$  such that  $P(\phi)=f$ ), it is possible to construct a pseudofunctor (read weak 2-functor between weak 2-categories)  $\pi: \mathcal{B}^{\operatorname{op}} \to \mathbf{Cat}$ . In particular, each object  $b \in \mathcal{B}_0$  is mapped to the  $\operatorname{sub-category} \pi(b) = \mathcal{E}_b$  of  $\mathcal{E}$  whose objects are those which map to b under P and whose morphism are those which map to  $\operatorname{id}_b$  under P;  $\mathcal{E}_b$  is the fibre category over b with respect to P. For each morphism  $f \in \operatorname{Hom}_{\mathcal{B}}(a,b)$  in  $\mathcal{B}$ , the pseudofunctor  $\pi$  maps  $f^{\operatorname{op}}: b \to a$  onto a functor  $\pi(f^{\operatorname{op}}) = f^*: \mathcal{E}_b \to \mathcal{E}_a$  which is defined accordingly:



Given an object  $e \in (\mathcal{E}_b)_0$ , the functor  $f^*$  finds the unique cartesian morphism  $\phi \in \operatorname{Hom}_{\mathcal{E}}(e',e)$  as specified by the cleavage and assigns  $f^*(e) = e'$ . Next, given a morphism  $\psi \in \operatorname{Hom}_{\mathcal{E}_b}(e,e'')$ , the functor  $f^*$  first finds the unique cartesian morphisms  $\phi \in \operatorname{Hom}_{\mathcal{E}}(e',e)$  and  $\phi' \in \operatorname{Hom}_{\mathcal{E}}(e''',e'')$ . Then, because  $g = \operatorname{id}_a$  completes the following diagram



and because  $\phi'$  is cartesian, there must exist a unique  $\psi' \in \operatorname{Hom}_{\mathcal{E}_a}(e', e''')$  such that  $\psi \circ \phi = \phi' \circ \psi'$ . For each  $\psi \in \operatorname{Hom}_{\mathcal{E}_b}(e, e'')$ , the functor  $f^*$  selects this unique morphism  $f^*(\psi) = \psi'$ . In summary, the pseudofunctor  $\pi : \mathcal{B}^{\mathrm{op}} \to \mathbf{Cat}$  induced by  $P : \mathcal{E} \to \mathcal{B}$  is defined on objects  $b \in \mathcal{B}_0$  as  $\pi(b) = \mathcal{E}_b$  and on morphisms  $f \in \mathcal{B}_1$  as  $\pi(f) = f^*$  and forms a functor [TODO: figure out the 'pseudo' part of the pseudofunctorality.].

### 2.5 Slice and Coslice Categories

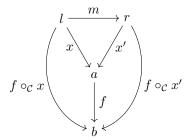
Given a category  $\mathcal{C}$  and an object  $c \in \mathcal{C}_0$  of  $\mathcal{C}$ , the *slice category* (or *over category*)  $\mathcal{C}/c$  is the "stuff in  $\mathcal{C}$  that is on top of c". Specifically, the objects of  $\mathcal{C}/c$  are all the morphisms  $f \in \mathcal{C}_1$  from  $\mathcal{C}$  whose codomain is  $\operatorname{cod}(f) = c$  (alternatively you could write  $(\mathcal{C}/c)_0 = \operatorname{Hom}_{\mathcal{C}}(-,c)$ ). A morphism of  $\mathcal{C}/c$  between objects  $f: a \to c, g: b \to c \in (\mathcal{C}/c)_0$  is a commuting triangle completed by a third morphism  $h: a \to b \in \mathcal{C}_1$ :



Composition of morphisms in C/c is induced by the composition of morphisms in C:

$$\begin{pmatrix}
y & \xrightarrow{n} z \\
f & \swarrow h \\
c
\end{pmatrix} \circ_{\mathcal{C}/c} \begin{pmatrix}
x & \xrightarrow{m} y \\
g & \swarrow f \\
c
\end{pmatrix} = g \downarrow f \\
f & h$$

The assignment of an overcategory  $\mathcal{C}/c$  to each object c can be extended to a *slice functor*  $\mathcal{C}/(-)$ :  $\mathcal{C} \to \mathbf{Cat}$  in the following sense. For objects  $c \in \mathcal{C}_0$ , the slice functor takes c to the slice category  $\mathcal{C}/c$ ; for morphisms  $f: a \to b \in \mathcal{C}_1$ , the slice functor takes f to the functor  $\mathcal{C}/f: \mathcal{C}/a \to \mathcal{C}/b$  defined graphically; for every morphism of  $\mathcal{C}/a$  (commuting triangle in  $\mathcal{C}$  over a), contract the morphism of  $\mathcal{C}/b$  (commuting triangle in  $\mathcal{C}$  over b) as follows:



where the inner triangle is a morphism of C/a and the outer triangle is a morphism of C/b given by the functor C/f.

Given a category  $\mathcal{C}$  and an object  $c \in \mathcal{C}_0$  of  $\mathcal{C}$  the coslice category (or under category)  $c/\mathcal{C}$  is the "stuff in  $\mathcal{C}$  that is underneath c". Specifically, the objects of  $c/\mathcal{C}$  are all the morphisms  $f \in \mathcal{C}_1$  from  $\mathcal{C}$  whose domain is dom(f) = c (alternatively you could write  $(c/\mathcal{C})_0 = Hom_{\mathcal{C}}(c, -)$ ). A morphism of  $c/\mathcal{C}$  between objects  $f: c \to a, g: c \to b \in (c/\mathcal{C})_0$  is a commuting triangle completed by a third morphism  $h: a \to b \in \mathcal{C}_1$ :



Everything about coslice categories is defined as expected analogously to that of a slice categories. [TODO: determine how the details of the Grothendieck construction transform the slice (pseudo-)functor  $\mathcal{C}/(-)$ :  $\mathcal{C} \to \mathbf{Cat}$  into the codomain fibration.]

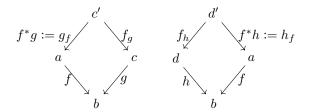
#### 2.6 The Pullback and Pushforward Functors

Given a category  $\mathcal{C}$  and a morphism  $f: a \to b \in \mathcal{C}_1$ , the image of f under the slice functor  $\mathcal{C}/(-)$  produces a functor  $\mathcal{C}/f: \mathcal{C}/a \to \mathcal{C}/b$  between slice categories of  $\mathcal{C}$  in the "same direction" as f TODO: confirm that  $\mathcal{C}/f$  is the pushforward functor  $f_!$  of  $f \in \mathcal{C}_1$ .

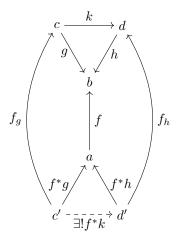
If the given category  $\mathcal{C}$  admits pullbacks, in becomes possible to define, for a morphism  $f: a \to b$  a pullback functor  $f^*: \mathcal{C}/b \to \mathcal{C}/a$ . Given a morphism in  $\mathcal{C}/b$  (commuting triangle in  $\mathcal{C}$  with base at b),



the pullback functor  $f^*: \mathcal{C}/b \to \mathcal{C}/a$  associated with f takes the objects  $g: c \to b, h: d \to b$  of  $\mathcal{C}/b$  (morphisms in  $\mathcal{C}$ ) completes the pullback squares associated with f



where a subscript notation  $g_f$  means "the pullback of g along f". Defining the action of  $f^*: \mathcal{C}/b \to \mathcal{C}/a$  on objects to be  $f^*g = g_f$  and  $f^*h = h_f$ , the action on morphisms in  $\mathcal{C}/b$  is defined by composing the pullback squares with the commuting triangle morphism:



The commuting triangle in  $\mathcal{C}/a$  appearing at the bottom is completed by a unique morphism [TODO: why does this morphism need to be unique and exist?] denoted to be  $f^*k$  ( $\neq k_f$  obviously). The functoriality of  $f^*$  has a simple proof found here https://proofwiki.org/wiki/Pullback\_Functor\_is\_Functor.

#### 2.7 Functors of Monoidal Categories

[TODO]

#### 2.8 Frobenius Reciprocity

[TODO]

### Comments on selected references

This section is temporary and reserved for recording comments toward various references.

- Vistoli [Vis04]
- Street [Str74]
- Koudenburg [Kou18]
- Brown and Sivera [BS09]
- Lurie [Lur09]

## References

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