### FIBRATIONS AND YONEDA'S LEMMA IN A 2-CATEGORY

bу

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Our purpose is to provide within a 2-category a conceptual proof of a set-free version of the Yoneda lemma using the theory of fibrations. In doing so we carry many definitions of category theory into a 2-category and prove in this more general setting results already familiar for CAT.

The La Jolla articles of Lawvere [5] and Gray [2] have strongly influenced this work. Both articles are written in styles which allow easy transfer into a 2-category. However, they also freely use the fact that CAT is cartesian closed, a luxury we do not allow ourselves.

The 2-category is required to satisfy an elementary completeness condition amounting to the existence of 2-pullbacks and comma objects. This relates the 2-category closely to a 2-category of category objects in a category. Such considerations appear in §1 and were considered by Gray [3].

Fibrations over B appear in §2 as pseudo algebras for a 2-monad on the 2-category of objects over B. This 2-monad is of a special kind distinguished by Kock [4]. We define lax algebras and lax homomorphisms for general 2-monads and provide alternative descriptions of pseudo algebras and lax homomorphisms for the special 2-monads. We are able then to give an equivalent definition of fibration generalizing the setting for the Chevalley criterion of Gray [2] p 56.

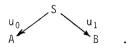
In order to eliminate the need for our 2-category to be cartesian closed in the remainder of our work we are led to introduce an extra variable; we must consider bifibrations from A to B rather than fibrations over B. A particular class of spans from A to B, called covering spans, is introduced in §3. As with their analogue in topology, covering spans are bifibrations. Furthermore, any arrow of spans between covering spans is a homomorphism. In the case of CAT, bifibrations correspond to category-valued functors and the last sentence reflects the fact that covering spans correspond to those functors which are discrete-category-valued; that is,

set-valued. With this interpretation of covering spans as set-valued functors, we see that Corollary 16 is a generalization of the Yoneda lemma of category theory.

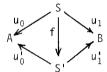
The concept of Kan extension of functors is one of the most fruitful concepts of category theory, and the definition just begs translation into a 2-category. This has already been used to some extent (see [6] and [7]). But the Kan extensions of functors which occur in practice are all pointwise (using the terminology of Dubuc [1]). Using comma objects we define pointwise extensions in a 2-category in §4. Note that, in general, for the 2-category V-Cat, this definition does not agree with Dubuc's; ours is too strong (we hope to remedy this by passing to some related 2-category). For V=Set and V=2, the definitions do agree; for V=AbGp and V=Cat, they do not. The closing section gives some applications of the Yoneda lemma and fibration theory to pointwise extensions illustrating their many pleasing properties.

## §1. Representable 2-categories.

Let A denote a category. A span from A to B in A is a diagram  $(u_0,S,u_1)$ :

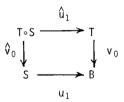


When no confusion is likely, we abbreviate  $(u_0,S,u_1)$  to S; then the *reverse* span  $(u_1,S,u_0)$  is abbreviated to  $S^*$ . Also we identify an arrow  $u:A\longrightarrow B$  with the span (1,A,u) from A to B. An arrow of spans  $f:(u_0,S,u_1)\longrightarrow (u_0',S',u_1')$  is a commutative diagram



Let SPN(A,B) denote the category of spans from A to B and their arrows.

When A has pullbacks, a span  $(u_0,S,u_1)$  from A to B and a span  $(v_0,T,v_1)$  from B to C have a *composite span*  $(u_0\hat{V}_0,T\circ S,v_1\hat{u}_1)$  from A to C where the following square is a pullback.



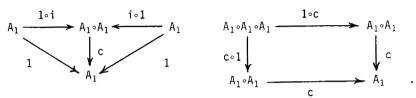
If  $f:S\longrightarrow S'$  is an arrow of spans from A to B and  $g:T\longrightarrow T'$  is an arrow of spans from B to C then the arrow  $g\circ f:T\circ S\longrightarrow T'\circ S'$  induced on pullbacks is an arrow of spans.

An opspan from A to B in A is a span from A to B in  $A^{op}$ ; however, arrows of opspans are arrows of diagrams in A.

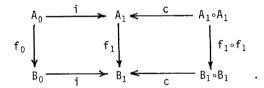
Suppose A has pullbacks. A category object A in A consists of the following data from A:

- an object Ao;
- a span  $(d_0,A_1,d_1)$  from  $A_0$  to  $A_0$ ;
- arrows of spans  $i:(1,A_0,1)\longrightarrow (d_0,A_1,d_1),$   $c:(d_0\overset{\wedge}{d}_0,A_1\circ A_1,d_1\overset{\wedge}{d}_1)\longrightarrow (d_0,A_1,d_1);$

such that the following diagrams commute



A functorial arrow  $f:A \longrightarrow B$  consists of an arrow  $f_0:A_0 \longrightarrow B_0$  and an arrow of spans  $f_1:(f_0d_0,A_1,f_0d_1) \longrightarrow (d_0,B_1,d_1)$  such that the following commutes



If  $f, f': A \longrightarrow B$  are functorial arrows, a *transformation* from f to f' is an arrow of spans  $n: (f_0, A_0, f'_0) \longrightarrow (d_0, B_1, d_1)$  such that the following diagram commutes

$$\begin{array}{ccc}
A_1 & \xrightarrow{\text{(nd}_1) \circ f_1} & B_1 \circ B_1 \\
f'_1 \circ (\text{nd}_0) \downarrow & & \downarrow c \\
B_1 \circ B_1 & \xrightarrow{C} & B_1
\end{array}$$

With the natural compositions we obtain a 2-category  $\mathit{CAT}(A)$  of category objects in A.

A category object A in A is determined up to isomorphism by the contravariant category-valued functor on A which assigns to each object X of A the category whose source and target functions are  $A(X,d_0)$ ,  $A(X,d_1)$ :  $A(A,A_1) \longrightarrow A(X,A_0)$  and whose identities and composition are determined by the functions A(X,i), A(X,c). Indeed, we have described the object function of a 2-fully-faithful 2-functor

$$CAT(A) \longrightarrow [A^{OP}, CAT]$$
.

Henceforth we work in a 2-category K. By "span" we shall mean "span in the category  $K_0$ ".

A comma object for the opspan (r,D,s) from A to B is a span  $(d_0,r/s,d_1)$  from A to B together with a 2-cell

$$\begin{array}{ccc}
r/s & \xrightarrow{d_1} & B \\
d_0 & \xrightarrow{\lambda} & \downarrow s \\
A & \xrightarrow{r} & D
\end{array}$$

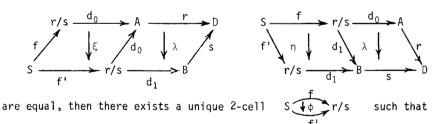
satisfying the following two conditions

- for any span (u\_0,S,u\_1) from A to B, composition with  $\lambda$  yields a bijection



between arrows of spans f and 2-cells  $\sigma$ ;

- given 2-cells ξ,η such that the two composites



$$\xi = d_0 \phi$$
,  $\eta = d_1 \phi$ .

In non-elementary terms, r/s is defined by a 2-natural isomorphism

$$K(S,r/s) \cong K(S,r)/K(S,s),$$

where the expression on the right hand side is the usual comma category of the functors K(S,r), K(S,s).

The comma object of the identity opspan (1,A,1) from A to A is denoted by  $\Phi A$ . It is defined by a 2-natural isomorphism

$$K(S,\Phi A) \stackrel{\sim}{=} K(S,A)^2$$
,

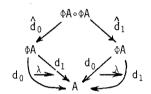
and so is the cotensor in K of the category  $\mathbf{2}$  with the object A. When  $\Phi A$  exists for each object A and when K has 2-pullbacks we say that K is a representable 2-category (Gray [ 3 ] uses "strongly representable").

Example. If A has pullbacks then K = CAT(A) is a representable 2-category. //
Opcomma objects in K are comma objects in  $K^{OP}$ . In a 2-category which is both representable and oprepresentable,  $\Phi$  has a left 2-adjoint  $\Psi$  and any limit which exists in  $K_0$  is automatically a 2-limit in K.

Proposition 1. In a representable 2-category each opspan has a comma object.

<u>Proof.</u> The formula is  $r/s = s*\circ \Phi D \circ r_{.//}$ 

In a representable 2-category, an identity 2-cell  $A \longrightarrow A$  corresponds to an arrow i:A  $\longrightarrow \Phi A$ , and the composite 2-cell



corresponds to an arrow  $\Phi A \circ \Phi A \xrightarrow{C} \Phi A$ . For each arrow  $f:A \longrightarrow B$ , the 2-cell  $\Phi A \xrightarrow{d_0} A \xrightarrow{f} B$  corresponds to an arrow  $\Phi f:\Phi A \longrightarrow \Phi B$ .

Proposition 2. In a representable 2-category the following results hold.

- (a) For each object A, the arrows i,c enrich  $d_0,d_1:\Phi A\longrightarrow A$  to a category object A in  $K_0$ .
- (b) For each arrow  $f:A \longrightarrow B$ , the pair of arrows  $f,\Phi f$  constitute a functorial arrow  $f:A \longrightarrow B$ .
- (c) For each 2-cell A g B, the corresponding arrow  $g:A \longrightarrow \Phi B$  is a transformation from f to f'.
- (d) The assignment



defines a 2-functor from K to  $CAT(K_0)$ .

<u>Proof.</u> (a) For each object X,  $|K(X,A)^2| \Longrightarrow |K(X,A)|$  are the source and target functions for the category K(X,A); so  $K_0(X,\Phi A) \Longrightarrow K_0(X,A)$  are the source and target functions for a category, functorially in X. So  $\Phi A \Longrightarrow A$  carries the structure of a category object in  $K_0$ . It is readily checked that this structure agrees with that of the proposition.

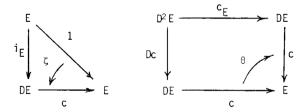
- (b) For each X,  $(K_0(X,f), K_0(X,\Phi f))$  corresponds to the functor  $K(X,f): K(X,A) \longrightarrow K(X,B)$ .
- (c) Similarly,  $K_0(X,g)$  corresponds to the natural transformation  $K(X,\sigma)\colon K(X,f)\longrightarrow K(X,f')$ .
- (d) What we have shown is that the composite

$$K \longrightarrow CAT(K_0) \longrightarrow [K_0^{op}, CAT]$$

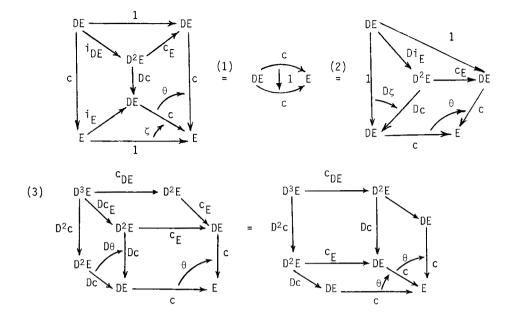
is the Yoneda embedding, a well-known 2-functor. It follows that the first arrow is a 2-functor. $_{\prime\prime}$ 

### §2. Lax algebras and fibrations

Suppose D is a 2-monad on a 2-category C and let i:1 $\longrightarrow$  D, c:DD  $\longrightarrow$  D denote the unit and multiplication. A lax D-algebra consists of an object E, an arrow c:DE $\longrightarrow$  E and 2-cells

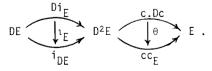


in the 2-category  $\,{\mathcal C}\,\,$  such that the composites

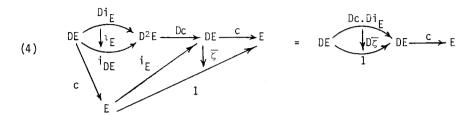


are equal as indicated. A pseudo D-algebra is a lax D-algebra in which  $\zeta,\theta$  are isomorphisms. A normalized lax D-algebra has  $\zeta$  an identity 2-cell. A D-algebra is a lax D-algebra with both  $\zeta,\theta$  identities. Of course, for any E in C, DE with  $c_F:D^2E \longrightarrow DE$  is the free D-algebra on E.

Kock [4] has distinguished those 2-monads D with the property that  $c \longrightarrow iD$  in the 2-functor 2-category  $[\mathcal{C},\mathcal{C}]$  with identity counit. Then the identity modification  $D \xrightarrow{Di} D^2$  D corresponds under the adjunction to a modification  $D \xrightarrow{iD} D^2$ . Suppose E is a lax D-algebra such that  $\zeta$  is an isomorphism with inverse  $\overline{\zeta}$ , and consider the composite



On the one hand,  $\theta \iota_E = (cc_E \iota_E)(\theta.Di_E) = \theta.Di_E = c.D\overline{\zeta}.$ On the other hand,  $\theta \iota_E = (\theta i_{DE})(c.Dc.\iota_E) = (\xi c)(c.Dc.\iota_E).$  So we have the equality



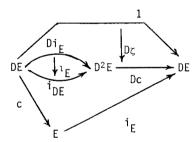
The next proposition generalizes slightly some of Kock's results; he considers the normalized case.

<u>Proposition 3.</u> Suppose  $\,\,$  D is a 2-monad with the Kock property and suppose the 2-cell



is an isomorphism with inverse  $\overline{\zeta}$  satisfying equality (4). Then:

(a)  $\overline{\zeta}$  is the counit for an adjunction  $c \longrightarrow i_E$  with unit given by the composite

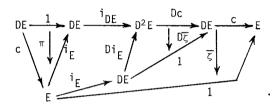


(b) the 2-cell 
$$D^2E$$
  $0$   $E$  corresponding under adjunction to the identity 2-cell  $E$   $0$   $E$   $0$   $E$  is unique with the property that the equality (1)

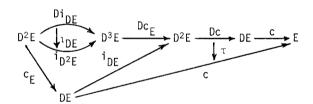
holds;

- (c) this 2-cell  $\theta$  enriches E,c, $\zeta$  with the structure of pseudo D-algebra. <u>Proof.</u> (a) Let  $\pi$  denote the composite 2-cell displayed in (a). Equality (4) gives  $\overline{\zeta}c.c\pi = 1$ . Since the composite  $\iota_F i_F$  is the identity, we also have
- $i_F \overline{\zeta}.\pi i_F = 1.$

(b) Let  $\tau$  denote the composite



Then the 2-cell  $\theta$  described in (b) is the composite



The 2-naturality of  $i:1\longrightarrow D$  implies the equality  $i_{\overline{L}}\overline{\zeta}c=D\overline{\zeta}.Dc.i_{DE}$ , from which it easily follows that  $\tau=\overline{\zeta}c$ . Using this and the equations  $c_{\overline{L}}i_{DE}=1$ ,  $i_{DE}i_{DE}=1$ , we deduce the equality (1).

To prove uniqueness, suppose  $\,\theta\,$  satisfies (1). The 2-cell corresponding to  $\,\theta\,$  under adjunction is the composite

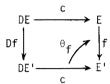
$$i_{DE}i_{E} \xrightarrow{(unit)i_{DE}i_{E}} Di_{E}.i_{E}c.Dc.i_{DE}i_{E} \xrightarrow{Di_{E}.i_{E}\theta i_{DE}i_{E}} Di_{E}.i_{E}cc_{E}i_{DE}i_{E}$$

$$\underbrace{Di_{E}.i_{E}(counit)}_{Di_{F}.i_{F}}.$$

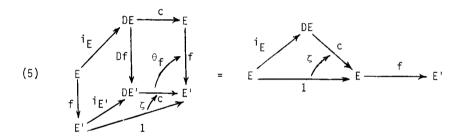
- So (1) implies that this composite is independent of  $\theta$ . For one such  $\theta$  the composite is the identity, so the composite is the identity for all such  $\theta$ . So  $\theta$  is unique.
- (c) Clearly  $\theta$  is an isomorphism, so it remains to show that  $\theta$  satisfies (2)

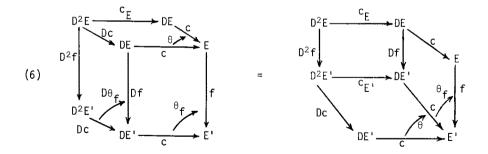
and (3). Equality (2) follows from the equations  $c_E.Di_E = 1$ ,  $c.Dc.Dc_E.l_{DE}.Di_E = c.Dc.Dc_E.Di_{DE}.l_E = c.Dc.l_E$ ,  $\tau = \overline{\zeta}c$  and (4). By the naturality of "replacing arrows by their right adjoints", equality (3) holds since identity 2-cells appear in the squares of the transformed equality.//

A law homomorphism of lax D-algebras from E to E' consists of an arrow  $f{:}E {\:\longrightarrow\:} E' \quad and \ a \ 2{-}cell$ 



in C such that the composites





are equal as indicated. A lax homomorphism f is called a *pseudo homomorphism* when  $\theta_f$  is an isomorphism, and is called a *homomorphism* when  $\theta_f$  is an identity.

<u>Proposition 4.</u> Suppose D is a 2-monad with the Kock property and suppose  $f:E\longrightarrow E'$  is an arrow between pseudo D-algebras. Then the 2-cell  $\theta_f:c.Df\longrightarrow fc$  which corresponds under adjunction to the identity 2-cell  $1:Df.i_E\longrightarrow i_{E^1}.f$  is unique with the property that equality (5) holds. Furthermore, this  $\theta_f$  enriches f with the structure of lax homomorphism.

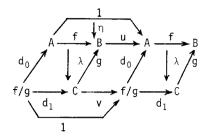
<u>Proof.</u> Suppose  $\theta_f$  is as explained in the proposition. Equality (5) holds since both the 2-cells  $\operatorname{ci}_E$  of correspond to the identity 2-cell  $\operatorname{i}_{E'}f \xrightarrow{1} \operatorname{i}_{E'}f$  under adjunction (recall that  $\overline{\zeta}$  is the counit for  $\operatorname{c} \xrightarrow{1} \operatorname{i}_{E'}$ ). On the other hand, suppose  $\theta_f$  satisfies (5). Then  $\theta_f$  corresponds under adjunction to the composite

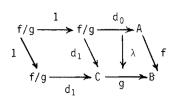
which is independent of  $\theta_f$  by (5); so  $\theta_f$  is unique. Finally,  $\theta_f$  satisfies (6) since both the 2-cells c.Dc.D<sup>2</sup>f  $\longrightarrow$  fcc<sub>E</sub> correspond under adjunction to the identity 2-cell D<sup>2</sup>f.i<sub>DE</sub>,  $i_E = i_{DE}$ ,  $i_E$ ,  $f \xrightarrow{1}$  Di<sub>E</sub>,  $i_E$ , f (recall that  $\theta$ :c.Dc  $\longrightarrow$  cc<sub>E</sub> corresponds to 1:i<sub>DE</sub> $i_E \xrightarrow{Di}$  Di<sub>E</sub>,  $i_E$ ).

For convenience we henceforth work in a representable 2-category K. <u>Proposition 5.</u> Suppose  $f:A \longrightarrow B$  is an arrow with a right adjoint u, counit  $\epsilon$  and unit  $\eta$ . For any arrow  $g:C \longrightarrow B$ , the arrow  $v:C \longrightarrow f/g$  corresponding to the 2-cell  $\epsilon g$  is a right adjoint for  $d_1:f/g \longrightarrow C$  with counit the identity and unit  $\beta:1 \longrightarrow vd_1$  defined by the equations

 $d_0\beta = u\lambda.\eta d_0$  ,  $d_1\beta = 1$ .

*Proof.* Using  $\varepsilon f.f\eta = 1$ , we see that the two composite 2-cells





are equal; so there exists a unique 2-cell  $\beta$  as asserted. Using us.nu = 1, we also see that  $\beta v$  = 1. So  $\beta$  is a unit for  $d_1 \longrightarrow v$  with identity counit.//

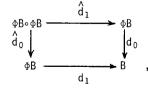
Corollary 6. For any arrow p:E  $\longrightarrow$  B, the arrow  $d_0$ :p/B  $\longrightarrow$  E has a left adjoint  $i_p$  with unit the identity. Explicitly,  $i_p$  is the unique arrow whose composite with  $\lambda$  is the identity 2-cell E  $\beta$ .

<u>Proof.</u> Since  $1:B \longrightarrow B$  has a left adjoint, a dual of the proposition yields the result.

<u>Corollary 7.</u> An arrow  $f:A \longrightarrow B$  has a right adjoint if and only if the arrow  $d_1:f/B \longrightarrow B$  has a right adjoint. In this case there is a right adjoint for  $d_1$  with counit the identity.

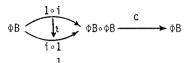
<u>Proof.</u> If  $d_1 \longrightarrow v$  we can compose with  $i_f \longrightarrow d_0$  of Corollary 6 to obtain  $f = d_1 i_f \longrightarrow d_0 v$ . The converse and the last sentence follow directly from Proposition 5.//

Corollary 4 applied to  $p=1_B$  gives i as left adjoint for  $d_0: \Phi B \longrightarrow B$ . The unit of this adjunction is the identity and the counit  $\Phi B \longrightarrow 0$  is the 2-cell defined by the equations  $d_0 \iota_0 = 1$ ,  $d_1 \iota_0 = \lambda$ . Dually,  $d_1: \Phi B \longrightarrow B$  has i as right adjoint with counit the identity and unit  $\Phi B \longrightarrow 0$  defined by  $d_0 \iota_1 = \lambda$ ,  $d_1 \iota_1 = 1$ . Using the 2-pullback property of the square



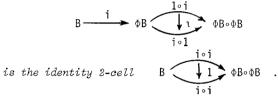
we see that  $d_1 \iota_0 = 1 = d_0 \iota_1$  imply the existence of a unique 2-cell  $\Phi B = \Phi B \Phi B$ such that  $\hat{d}_0 \iota = \iota_0$ ,  $\hat{d}_1 \iota = \iota_1$ .

Proposition 8. (a) The composite 2-cell



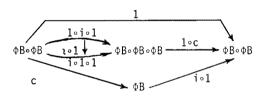
is the identity 2-cell  $\Phi B = \frac{1}{11} \Phi B$ .

(b) The composite 2-cell



$$\begin{array}{c} B & \downarrow 1 \\ \downarrow i \circ i \end{array} \Phi B \circ \Phi B \quad .$$

The arrow  $c:\Phi B\circ\Phi B\longrightarrow \Phi B$  is left adjoint to iol with counit the identity and with unit given by the composite



(a) This follows from the calculations

$$d_0c_1 = d_0\dot{d}_{01} = d_{010} = 1$$
,  $d_1c_1 = d_1\dot{d}_{11} = d_{111} = 1$ .

(b) This follows from the calculations

$$\hat{d}_0 i = i_0 i = 1_i$$
,  $\hat{d}_1 i = i_1 i = 1_i$ .

(c) Using (a), we have

$$c(1 \circ c)(\iota \circ 1) = c(c \circ 1)(\iota \circ 1) = c(c \iota \circ 1) = 1_c;$$

and using (b), we have

$$(1 \circ c)(1 \circ 1)(i \circ 1) = (1 \circ c)(t i \circ 1) = (1 \circ c)1_{i \circ i \circ 1} = 1_{i \circ c(i \circ 1)} = 1_{i}.$$

So  $c \rightarrow i \circ 1$  with counit and unit as stated.

Let  $K_{\mathsf{R}}$  denote the comma object of the opspan



in 2-CAT. So  $K_B$  is the 2-category whose objects are pairs (E,p) where  $p:E\longrightarrow B$  is an arrow in K, whose arrows  $f:(E,p)\longrightarrow (E',p')$  are arrows  $f:E\longrightarrow E'$  in K such that p'f=p, and whose 2-cells  $(E,p)\longrightarrow g$  for (E',p') are 2-cells  $E\longrightarrow g$  in K such that  $p'\sigma=1_p$ . We often write E for (E,p).

Let  $L: K_R \longrightarrow K_R$  denote the 2-functor given by:

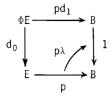
$$(E,p) \underbrace{\int_{g}^{f} (E',p')}_{g} \longmapsto (p/B,d_1) \underbrace{\int_{g/B}^{f/B} (p'/B,d_1)}_{g/B};$$

or, in other words,  $L(E,p) = (\Phi B \circ p, d_1 \overset{\wedge}{p})$ ,  $Lf = 1 \circ f$ ,  $L\sigma = 1 \circ \sigma$ . Let i:1  $\longrightarrow$  L,  $c:L^2 \longrightarrow L$  denote the 2-natural transformations with (E,p)-components

The diagrams which say that  $\underline{\mathbb{B}}$  (see Proposition 2(a)) is a category object precisely say that L is a 2-monad on  $K_{\underline{B}}$  with unit i and multiplication c. Moreover, Proposition 8 shows that L has the Kock property so that Propositions 3 and 4 apply.

An arrow p:E $\longrightarrow$  B is called a *O-fibration over* B when (E,p) supports the structure of pseudo L-algebra. The O-fibration is called split when (E,p) supports the structure of an L-algebra.

<u>Proposition 9.</u> (Chevalley criterion). The arrow  $p:E \longrightarrow B$  is a 0-fibration over B if and only if the arrow  $\bar{p}:\Phi E \longrightarrow p/B$  corresponding to the 2-cell



has a left adjoint with unit an isomorphism.

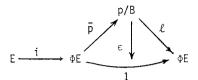
 $\underline{Proof}$ . Suppose (E,p) is a pseudo L-algebra. The counit of Corollary 6 is readily seen to be

this 2-cell corresponds to an arrow k:p/B  $\longrightarrow \Phi(p/B)$ . Let  $\ell$  be the composite  $p/B \xrightarrow{k} \Phi(p/B) \xrightarrow{\Phi c} \Phi E$ . One readily verifies that  $\bar{p}\ell = L(c\iota_E)$ . Let  $\ell\bar{p}$  p/B denote the 2-cell Lz; it is an isomorphism. Let  $\ell\bar{p}$  denote the unique 2-cell satisfying  $d_0\varepsilon = \bar{\zeta}d_0$ ,  $d_1\varepsilon = (\bar{\zeta}d_1)(c\bar{p}\iota_1)$ . (Note that  $\bar{p}i = i_E$ ). By applying  $d_0, d_1$  to  $\bar{p}\varepsilon.n\bar{p}$  it is readily seen that  $\bar{p}\varepsilon.n\bar{p} = 1$ . Also  $d_0(\varepsilon\ell.\ell n) = 1$  is immediate. To complete the proof that  $\ell - \ell\bar{p}$  with counit  $\varepsilon$  and unit n, we must show that  $d_1(\varepsilon\ell.\ell n) = 1$ . But  $d_1(\varepsilon\ell.\ell n) = (\bar{\zeta}d_1\ell)(c\bar{p}\iota_1\ell)(cL\zeta)$ .

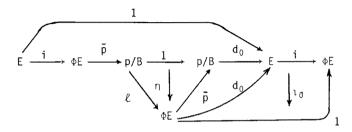
From the calculations

$$\begin{split} & d_0\bar{p}\,\iota_1\ell = d_0\,\iota_1\ell = \lambda\ell = \lambda.\Phi c.k = c\lambda k = c(\iota_0\circ 1) = c(\mathring{d}_0\circ 1)(\iota\circ 1) = d_0(1\circ c)(\iota\circ 1), \\ & d_1\bar{p}\,\iota_1\ell = \Phi p.d_1\,\iota_1\ell = 1_{\Phi(pc)k} = 1_{d_1k} = 1_{d_1} = d_1(\iota_1\circ 1) = d_1(\mathring{d}_1\circ 1)(\iota\circ 1) = d_1(1\circ c)(\iota\circ 1), \\ & \text{we deduce that } \bar{p}\,\iota_1\ell = (1\circ c)(\iota\circ 1) = Lc.\,\iota_E. \quad \text{So, by condition (4), we have} \\ & d_1(\epsilon\ell.\ell\eta) = (\overline{\zeta}c)(c.Lc.\,\iota_F)(cL\zeta) = 1. \end{split}$$

Conversely, suppose  $\ell \longrightarrow \bar{p}$  with counit  $\epsilon$  and isomorphism unit  $\eta$ . Since  $\ell \longrightarrow \bar{p}$  with counit  $\epsilon$  and  $d_1 \longrightarrow i$  with counit 1, we have  $d_1\ell \longrightarrow \bar{p}i = i_E$  with counit  $d_1\epsilon i : d_1\ell p i \longrightarrow d_1i = 1$ . So put c equal to the composite  $p/B \xrightarrow{\ell} \Phi E \xrightarrow{d_1} E$  and  $\overline{\zeta} = d_1\epsilon i$ . It is readily checked that the composite



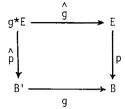
is an isomorphism with inverse the composite



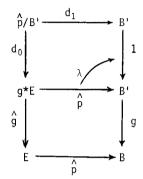
Compare the above proposition with Gray [2] p.56; so we have related the definition of O-fibration here with the definition of opfibration in [2] when K = Cat. Notice that the unit of the adjunction  $\ell \longrightarrow \bar{p}$  for Gray is not just an isomorphism but an identity. It is worth pointing out the reason for this since we will need the observation in the next paper. A O-fibration will be called normal when there is a normalized pseudo L-algebra structure on it. In Cat every O-fibration is normal, but in other 2-categories this need not be the case. In the proof of the Chevalley criterion, if  $\zeta$  is an identity then so is  $\eta$ . So, for a normal O-fibration,  $\bar{p}:\Phi E \longrightarrow p/B$  has a left adjoint with unit an identity.

For any arrow  $g:B'\longrightarrow B$ , "pulling back along g" is a 2-functor  $g^*:K_{\overline{B}}\longrightarrow K_{\overline{B}}$ ;

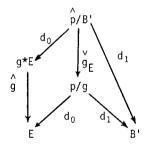
for each E in  $K_{\mathrm{B}}$ , the diagram



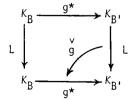
is a pullback. The composite 2-cell



induces an arrow of spans  $g_F$ :



for each E in  $K_B$ . One readily checks that  $g_E$ , E  $\in K_B$  are the components of a 2-natural transformation g:



Indeed, in the language of Street [6], the pair  $(g^*, g^*)$  is a monad functor from

 $(K_{R},L)$  to  $(K_{R},L)$  in the 2-category 2-CAT.

<u>Proposition 10.</u> Suppose  $g:B'\longrightarrow B$  is an arrow in K. For each lax L-algebra E, the arrow

$$Lg*E \xrightarrow{g} g*LE \xrightarrow{g*(c)} g*E$$

enriches  $g^*E$  with the structure of lax L-algebra. For each lax homomorphism  $f:E\longrightarrow E'$  of lax L-algebras, the 2-cell

Lg\*E 
$$\xrightarrow{\overset{\circ}{g}_{E}}$$
 g\*LE  $\xrightarrow{g^{*}(c)}$  g\*E

Lg\*E  $\xrightarrow{\overset{\circ}{g}_{F'}}$  g\*LE'  $\xrightarrow{g^{*}(c)}$  g\*E'

 $\xrightarrow{g^{*}(c)}$  g\*E'

enriches  $g^*(f):g^*E\longrightarrow g^*E'$  with the structure of lax homomorphism. If E is a pseudo L-algebra or an L-algebra then so is  $g^*E$ . If f is a pseudo homomorphism or a homomorphism then so is  $g^*(f)$ .//

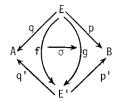
Corollary 11. The pullback of a (split) 0-fibration along any arrow is a (split) 0-fibration.//

Let  $R: K_{\Lambda} \longrightarrow K_{\Lambda}$  denote the 2-functor given by:

$$(E,q)$$
  $\downarrow \sigma$   $(E',q')$   $\longmapsto$   $(A/q,d_0)$   $\bigwedge_{A/q}$   $(A/q',d_0)$ .

There is a 2-monad structure on R and the theory develops as for L; just replace K by  $K^{CO}$ . An arrow  $q:E\longrightarrow A$  is called a 1-fibration over A when (E,q) supports the structure of pseudo R-algebra.

Note that the category SPN(A,B) of spans from A to B becomes a 2-category by taking as 2-cells the 2-cells  $\sigma$  of K as in the diagram



where  $q'\sigma = 1_q$ ,  $p'\sigma = 1_p$ . Let  $M:SPN(A,B) \longrightarrow SPN(A,B)$  denote the 2-functor given by:

$$E \xrightarrow{g} E' \qquad \longmapsto \quad \Phi B \circ E \circ \Phi A \xrightarrow{1 \circ f \circ 1} \Phi B \circ E' \circ \Phi A.$$

This 2-functor supports the structure of 2-monad too; the unit i:1 $\longrightarrow$  M and multiplication c:MM $\longrightarrow$  M have as components

A span (q,E,p) for A to B is called a *bifibration from A to B* when it supports the structure of pseudo M-algebra. A *split bifibration* is an M-algebra.

Results on L-algebras and R-algebras can be transferred to M-algebras via the following result. The corresponding statement for lax algebras is left to the reader.

Proposition 12. Suppose E is a span from A to B. The M-algebra structures  $c:\Phi B \circ E \circ \Phi A \longrightarrow E \text{ are in bijective correspondence with pairs of arrows of spans}$   $c_L:\Phi B \circ E \longrightarrow E, \quad c_R:E \circ \Phi A \longrightarrow E \text{ such that } c_L, \quad c_R \text{ are L-algebra, R-algebra}$  structures on E related by the condition that

$$\begin{array}{ccc}
 & \text{ME} & \xrightarrow{1 \circ c_R} & \text{LE} \\
 & c_L \circ 1 \downarrow & \downarrow c_L \\
 & \text{RE} & \xrightarrow{c_R} & \text{E}
\end{array}$$

commutes; the bijection is determined by

$$c_{L} = (\Phi B \circ E \xrightarrow{1 \circ 1 \circ i} \Phi B \circ E \circ \Phi A \xrightarrow{C} E)$$

$$c_{R} = (E \circ \Phi A \xrightarrow{i \circ 1 \circ 1} \Phi B \circ E \circ \Phi A \xrightarrow{C} E)$$

$$c = c_{I} (1 \circ c_{R}) = c_{R} (c_{I} \circ 1).$$

Furthermore, an arrow of spans is a homomorphism of M-algebras if and only if it is a homomorphism of both the corresponding L-algebras and the corresponding R-algebras.

There is a more general composition of bifibrations which we will not need. If E is a bifibration from A to B and F a bifibration from B to C then the bifibration  $F \otimes E$  from A to C can be defined by the usual "tensor product of bimodules" coequalizer, provided this coequalizer exists and is preserved by certain pullbacks.

# §3. Yoneda's Lemma within a 2-category.

Again we work in a representable 2-category K.

A *covering span* is defined to be a span which is the comma object of some opspan.

<u>Theorem 14.</u> Any covering span is a split bifibration. Any arrow of spans between covering spans is a homomorphism.

<u>Proof.</u> Any comma object r/s is a composite  $s^* \circ \Phi D \circ r$ . But  $\Phi D$  is the value of M at the identity span of D; so  $\Phi D$  is a free split bifibration. So r/s is a split bifibration by Corollary 13.

Suppose  $f:r/s \longrightarrow u/v$  is an arrow of spans from A to B. We must prove that f commutes with the M-algebra structures on r/s and u/v. By Proposition 12, it suffices to show that f commutes with the L-algebra and R-algebra structures separately. By duality, it suffices to show that f commutes with just the L-algebra structures.

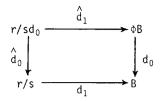
The L-algebra structure  $c:\Phi B\circ (r/s)\longrightarrow r/s$  comes from that of  $\Phi D$  via the commutative square

$$\Phi B \circ (r/s) \xrightarrow{\Phi S \circ \lambda} \Phi D \circ \Phi D$$

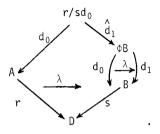
$$\downarrow c \qquad \qquad \downarrow c$$

$$\uparrow c \qquad \qquad \downarrow c$$

Equivalently, note that  $\Phi B \circ (r/s)$  is the comma object of the opspan  $(r,D,sd_0)$  from A to  $\Phi B$  (composed with  $d_1:\Phi B \longrightarrow B$ ) since we have the pullback



and  $c:r/sd_0 \longrightarrow r/s$  corresponds to the composite 2-cell



The main trick of the proof is to introduce the 2-cell  $r/sd_0$  q r/s

defined by  $d_0\alpha=1_{d_0}$ ,  $d_1\alpha=\lambda d_1$ ; of course, we also have such an  $\alpha$  for u/v. The arrow L(f) is defined by the commutative diagram

The calculations

$$d_0 \alpha L(f) = 1_{d_0 L(f)} = 1_{d_0} = d_0 \alpha = d_0 f \alpha$$
  
 $d_1 \alpha L(f) = \lambda \hat{d}_1 L(f) = \lambda \hat{d}_1 = d_1 \alpha = d_1 f \alpha$ 

show that the following composites are equal

$$r/sd_0 \xrightarrow{\hat{d}_0} r/s \xrightarrow{f} u/v = r/sd_0 \xrightarrow{L(f)} u/vd_0 \xrightarrow{\hat{d}_0} u/v$$
.

So c.L(f) = fc, which proves that f is a homomorphism.//

Let COV(A,B) denote the full subcategory SPN(A,B) whose objects are the covering spans. Let SPL(A,B) denote the category of algebras for the monad M on SPN(A,B); it is the category of split bifibrations from A to B and their

homomorphisms (up to equivalence).

<u>Corollary 15.</u> The inclusion functor  $COV(A,B) \longrightarrow SPN(A,B)$  factors through the underlying functor  $SPL(A,B) \longrightarrow SPN(A,B)$ .

Corollary 16 (Yoneda lemma). Suppose  $f:A\longrightarrow B$  is an arrow and E is a covering span from A to B. Composition with the arrow of spans  $i_f:f\longrightarrow f/B$  yields a bijection between arrows of spans from f/B to E and arrows of spans from f to E.

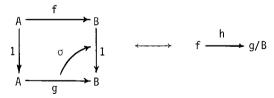
The following special case of Corollary 16 appears in Gray [3].

Corollary 17. The functor  $K(A,B)^{op} \longrightarrow SPN(A,B)$  given by

$$A \xrightarrow{g} B \qquad \longmapsto \qquad f/B \xrightarrow{\sigma/B} g/B$$

is fully faithful.

*Proof.* The definition of comma objects gives the bijection



between 2-cells  $\sigma$  and arrows of spans h. The Yoneda lemma provides the bijection between such h and arrows of spans  $f/A \longrightarrow g/B$ .

§4. Pointwise extensions.

Recall the definition of left extension in a 2-category (see [6]).

# Proposition 18. There is a bijection between 2-cells



obtained by composition with  $i_j$ . The 2-cell  $\kappa$  exhibits k as a left extension of f along j if and only if the corresponding  $\zeta$  exhibits k as a left extension of  $fd_0$  along  $d_1$ .

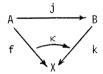
<u>Proof.</u> By definition of comma objects there is a bijection between 2-cells  $\zeta$  and arrows of spans  $j/B \longrightarrow f/k$ , and a bijection between 2-cells  $\kappa$  and arrows of spans  $j \longrightarrow f/k$ . The first sentence of the proposition now follows by the Yoneda lemma. For any arrow  $\ell:B \longrightarrow X$ , there are bijections

$$f \longrightarrow \ell j \longleftrightarrow j \longrightarrow f/\ell \longleftrightarrow j/B \longrightarrow f/\ell$$

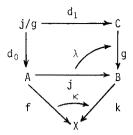
$$\longleftrightarrow j/B \longrightarrow \phi X \longleftrightarrow f d_0 \longrightarrow \ell d_1$$

where the first, third and fourth are from the definition of comma object and the second is by Yoneda. $_{//}$ 

The 2-cell



is said to exhibit k as a pointwise left extension of f along j when, for each arrow g: $C \longrightarrow B$ , the composite 2-cell



exhibits kg as a left extension of  $fd_0$  along  $d_1$ .

Taking  $g = 1_B$  in this definition we obtain the following corollary to the last proposition.

Corollary 19. A pointwise left extension is a left extension. //

<u>Remark.</u> When K = CAT, the pointwise left extensions are precisely those given by the formula

$$kb = \underset{\longrightarrow}{\text{lim}} (j/b \xrightarrow{d_0} A \xrightarrow{f} X).$$

To see this, take C = 1, g = b and note that left extension along  $j/b \longrightarrow 1$  is direct limit.

Recall that left extensions along an arrow with a right adjoint always exist and are obtained by composing with the right adjoint. The following result is a direct corollary of Proposition 5.

<u>Proposition 20.</u> Any left extension along an arrow which has a right adjoint is pointwise.

<u>Proposition 21.</u> An arrow  $f:B \longrightarrow A$  is a left adjoint to  $u:A \longrightarrow B$  if and only if there is an isomorphism  $f/A \cong B/u$  of spans from A to B.

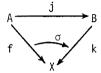
Proof. Using the Yoneda lemma, we have bijections

It is readily checked that  $\eta,\epsilon$  are a unit and counit for an adjunction f —  $\downarrow$  u if and only if the corresponding m,n are mutually inverse isomorphisms.  $_{//}$ 

An arrow  $j:A \longrightarrow B$  is said to be  $fully\ faithful$  when, given any 2-cell  $C \xrightarrow{ju} B$ , there exists a unique 2-cell  $C \xrightarrow{v} A$  such that  $\tau$  is the

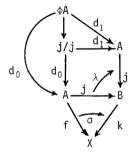
composite  $C \xrightarrow{u} A \xrightarrow{j} B$ . It is readily seen that j is fully faithful if and only if the arrow of spans  $\Phi A \longrightarrow j/j$  corresponding to  $j\lambda$  is an isomorphism.

Proposition 22. If  $j:A \longrightarrow B$  is fully faithful and if the 2-cell



exhibits k as a pointwise left extension of f along j, then  $\sigma$  is an isomorphism.

<u>Proof.</u> Since k is a pointwise left extension and  $\Phi A \longrightarrow j/j$  is an isomorphism, the composite 2-cell

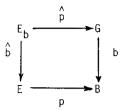


exhibits kj as a left extension of  $\mathrm{fd}_0$  along  $\mathrm{d}_1$ . By Proposition 18, the corresponding 2-cell



exhibits kj as a left extension of f along  $1_A$ . But also the identity 2-cell exhibits f as a left extension of f along  $1_A$ . So  $\sigma$  is an isomorphism.//

For a 0-fibration p:E  $\longrightarrow$  B and arrow b:G  $\longrightarrow$  B, we denote by E the pullback of b along p.

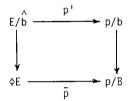


### Proposition 23. Suppose in the diagram



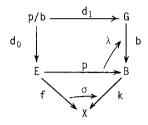
that p is a normal 0-fibration. The 2-cell  $\sigma$  exhibits k as a pointwise left extension of f along p if and only if, for each arrow  $b\!:\! G \longrightarrow B$ , the 2-cell  $\sigma \hat{b}$  exhibits kb as a left extension of  $f\hat{b}$  along  $\hat{p}$ .

*Proof.* The following square is readily seen to be a pullback.



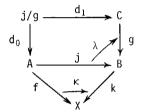
Since p is a normal O-fibration,  $\bar{p}$  has a left adjoint with unit an identity (Chevalley criterion). This property is preserved by pullback: so p' has a left adjoint  $\ell$ ' with unit an identity. The arrow  $d_1:E/\hat{b} \longrightarrow E_b$  has a right adjoint  $i_b^{\hat{b}}:E_{\bar{b}} \longrightarrow E/\hat{b}$  (dual of Corollary 6). So the composite  $d_1\ell':p/b \longrightarrow E_b$  has a right adjoint  $p'i_b^{\hat{c}}$ . Let  $\eta$  denote the unit of this adjunction. One readily checks the equations

So  $fd_0\eta$  exhibits  $f\hat{b}$  as a left extension of  $fd_0$  along  $d_1\ell'$ . It follows that the composite 2-cell

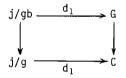


exhibits kb as a left extension of fd $_0$  along d $_1$  if and only if  $\sigma \hat{b}$  exhibits kb as a left extension of f $\hat{b}$  along  $\hat{p}_{.//}$ 

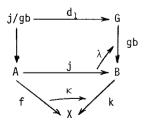
Proposition 24. Suppose in the diagram



that  $\kappa$  exhibits k as a pointwise left extension of f along f. Then the composite 2-cell exhibits kg as a pointwise left extension of  $fd_0$  along  $d_1$ . Proof. Take  $b:G \longrightarrow C$ . The following square is a pullback.



If this is mounted on the top of the diagram of the proposition we obtain the diagram



and this composite 2-cell does exhibit kgb as a left extension of  $fd_0$  along  $d_1$  (from the pointwise property of  $\kappa$ ). By Proposition 12 and Theorem 14 we

have that  $d_1:j/g\longrightarrow C$  is a normal O-fibrations (indeed, split). So Proposition 23 applies with  $p=d_1:j/g\longrightarrow C$  to yield the result.//

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