

AixCaliBuHA: Automated calibration of building and HVAC systems

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DOI: [10.xxxxxx/draft](https://doi.org/10.xxxxxx/draft)

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Submitted: 02 October 2021

Published: unpublished

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Summary

AixCaliBuHA enables an automated calibration of dynamic building and HVAC (heating, ventilation and air conditioning) simulation models. Currently, the package supports the calibration of Functional Mock-up Units (FMUs) based on the Functional Mock-up Interface (FMI) standard ([Modelica Association Project, 2021](#)) as well as Modelica models through the python_interface of the Software Dymola ([Dassault Systems, 2021](#)). As the former enables a software-independent simulation, our framework is applicable to any time-variant simulation software that supports the FMI standard. Using these interfaces, we enable the automated calibration of state-of-the-art building performance simulation libraries such as the Buildings ([Wetter et al., 2014](#)) or the AixLib ([Müller et al., 2016](#)) library. [Figure 1](#) illustrates the overall toolchain automated by AixCaliBuHA. At the core of AixCaliBuHA lays the definition of data types, that link the python data types to the underlying optimization problem and are used for all subsequent steps.

Before executing the calibration, an automated sensitivity analysis can be performed to identify relevant parameters using the SALib ([Herman & Usher, 2017](#)). As the derivative of simulations is typically not available, the optimization behind the calibration is solved by using already published gradient-free solvers (e.g. Virtanen et al. (2020); King (2009); Blank & Deb (2020)). The whole process is visualized by optional progress plots to inform the user about convergence and design space exploration. Although the toolchain can be fully automated, users are free to perform semi-automatic calibration based on their expert knowledge. Especially for complex models, expert knowledge is still required to define useful parameter boundaries and assess the result quality.

As most of the classes originally created for AixCaliBuHA can be used to automate other tasks in simulation based research, we collect them in the separated project [ebcpy](#). ebcpy aims to collect modules commonly used to analyze and optimize building energy systems and building indoor environments. Last but not least the lightweight Modelica Library [Modelica Calibration Templates](#) (MoCaTe) provides a standardized interface for coupling of Modelica models to the calibration toolchain. However, its usage is optional.

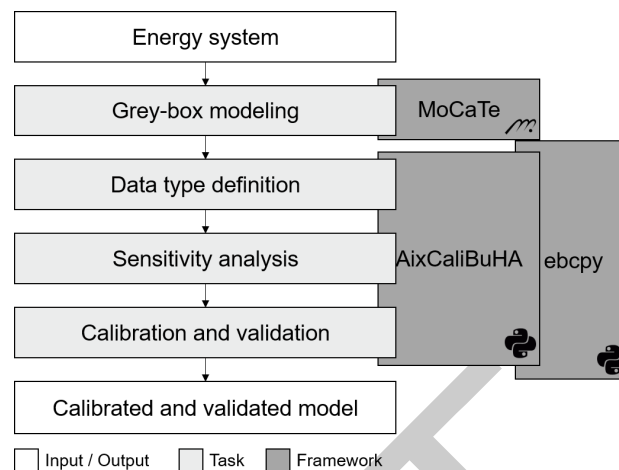


Figure 1: Steps to perform in order to calibrate a model using AixCalibuHA.

Statement of need

Simulation based analysis is a key enabler of a sustainable building energy sector. In order for the simulation to yield profound results, model parameters have to be calibrated with experimental data. Since 74 % of calibrations are performed manually (Coakley et al., 2014), there is a desperate need for an automated software tool. As building energy systems are inherently time dependent, such a tool should enable dynamic calibration. Existing frameworks are either not open-source or a tailored towards a single simulation and optimization method (Arendt et al., 2018; Bonvini et al., 2014). Therefore, we developed AixCalibuHA to automate the calibration process of dynamic building and HVAC system models. AixCalibuHA was developed and tested for Modelica models. However, other simulation environments, for instance EnergyPlus, can be integrated by extending the SimulationAPI. For the underlying optimization, we build upon various existing gradient-free frameworks. Currently, switching between different frameworks requires substantial implementation effort and programming knowledge. We thus created a wrapper class to handle different available frameworks. Thus, existing calibration frameworks such as EstimationPy or modestpy can be integrated (Arendt et al., 2018; Bonvini et al., 2014). Also, novel sensitivity analysis methods may be used, too. In general, AixCalibuHA does not aim to provide new methods. Instead, it uses methods from the rich pool of already existing open-source frameworks. AixCalibuHA was already used in various contributions concerning calibration and digital twins (Mehrfeld et al., 2021; Storek et al., 2019; Vering, Borges, et al., 2021; Wüllhorst et al., 2021). We hope to extend the circle of users and developers by making the code fully open-source.

While implementing AixCalibuHA, we found that some classes and functions may be useful for other research questions. First, the class SimulationAPI can be used to automate any simulation based task. Second, the gradient-free Optimizer is able to optimize any model parameter of a dynamic simulation model. Third, the custom TimeSeriesData may be useful for tasks encompassing energy system analysis. Thus, we bundled these three features into ebcpy. Using the library as a base, various tools for the analysis and optimization of dynamic simulation models may be derived. For instance, it has already been used for the design optimization of heat pump systems in a recent publication (Vering, Wüllhorst, et al., 2021).

Link between optimization and class definition

Before any automated calibration of models can take place, the underlying optimization problem has to be formulated. The goal of any calibration is to minimize the deviation between some

66 measured data $y(t)$ and simulated data $\hat{y}(t)$ by varying the model parameters p :

$$\begin{aligned} \min_p \quad & \sum_{i=0}^N w_i \cdot f(y_i(t), \hat{y}_i(t)) \\ \text{s.t.} \quad & p_{LB} \leq p \leq p_{UB}, \\ & \hat{y}(t) = F(t, p, u(t)) \quad \forall t \in [t_{start}, t_{end}] \end{aligned}$$

67 Inhere, N is the number of variables to be matched by the simulation, w_i is the weighing of
68 the i -th target data and f is some function to evaluate the deviation between y and \hat{y} , e.g. the
69 root mean square error (RMSE). As constraints, the parameter may have some upper (UB)
70 and lower boundaries (LB). Additionally, $\hat{y}(t)$ is output of the simulation model F taking the
71 time t , tuneable model parameters p and time-variant input data $u(t)$ as inputs.

72 This mathematical formulation is transformed into python using a CalibrationClass. This
73 class contains the goal of the calibration (mathematically speaking the objective), the parameters
74 to tune (the optimization variables) and further information like simulation time and inputs.
75 Lastly, F is included by calling one child-class of the SimulationAPI of ebcpy. Figure 2
76 displays all mentioned links.

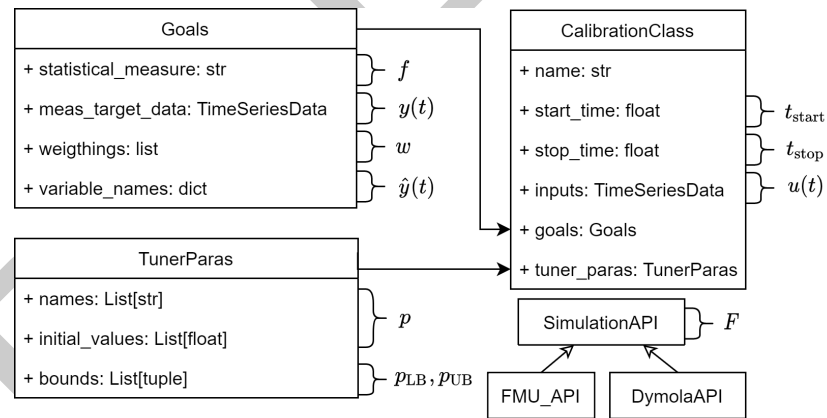


Figure 2: Link between the optimization problem and the CalibrationClass object.

77 Once instances of CalibrationClass and SimulationAPI are generated, the calibration can
78 run fully automated. However, the user can decide which steps to automate and which steps
79 to perform manually using expert knowledge.

80 Even though one CalibrationClass is enough to run an automated calibration, the quality of
81 calibration can be improved by assigning tuner parameters to different time intervals in the
82 calibration. This idea, initially described in Storek et al. (2019), will be shortly submitted to a
83 corresponding journal. Using segmentation methods, input data can be automatically split into
84 multiple CalibrationClass instances. In future work we are going to link this segmentation
85 onto multiple-class calibration. Thus, we further decrease manual user input and increase
86 model accuracy. As the SimulationAPI enables parallelization, we plan to apply parallelization
87 for sensitivity analysis and calibration in future versions.

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