

# **PREDICTIVE MODELING OF I/O CHARACTERISTICS IN HIGH PERFORMANCE COMPUTING SYSTEMS**

Thomas C. H. Lux

Dept. of Computer Science  
Virginia Polytechnic Institute  
& State University  
Blacksburg, VA 24061  
tchlux@vt.edu

Layne T. Watson

Dept. of Computer Science  
Dept. of Mathematics  
Dept. of Aerospace & Ocean Eng.  
Virginia Polytechnic Institute  
& State University

Tyler H. Chang  
Jon Bernard  
Bo Li

Dept. of Computer Science  
Virginia Polytechnic Institute  
& State University

Li Xu

Dept. of Statistics  
Virginia Polytechnic Institute  
& State University

Godmar Back  
Ali R. Butt  
Kirk W. Cameron

Dept. of Computer Science  
Virginia Polytechnic Institute  
& State University

Yili Hong

Dept. of Statistics  
Virginia Polytechnic Institute  
& State University

## **ABSTRACT**

Each of high performance computing, cloud computing, and computer security have their own interests in modeling and predicting the performance of computers with respect to how they are configured. An effective model might infer internal mechanics, minimize power consumption, or maximize computational throughput of a given system. This paper analyzes a four-dimensional dataset measuring the input/output (I/O) characteristics of a cluster of identical computers using the benchmark IOzone. The I/O performance characteristics are modeled with respect to system configuration using multivariate interpolation and approximation techniques. The analysis reveals that accurate models of I/O characteristics for a computer system may be created from a small fraction of possible configurations, and that some modeling techniques will continue to perform well as the number of system parameters being modeled increases. These results have strong implications for future predictive analyses based on more comprehensive sets of system parameters.

**Keywords:** Regression, approximation, interpolation, performance modeling

## 1 INTRODUCTION

Performance tuning is often an experimentally complex and time-intense chore necessary for configuring HPC systems. The procedures for this tuning vary largely from system to system and are often subjectively guided by the system engineer(s). Once a desired level of performance is achieved, an HPC system may only be incrementally reconfigured as required by updates or specific jobs. In the case that a system has changing workloads or non-stationary performance objectives that range from maximizing computational throughput to minimizing power consumption and system variability, it becomes clear that a more effective and automated tool is needed for configuring systems. This scenario presents a challenging and important application of multivariate approximation and interpolation techniques.

Predicting the performance of an HPC system is a challenging problem that is primarily attempted in one of two ways: (1) build a statistical model of the performance by running experiments on the system at select settings or (2) use a performance simulator to run artificial experiments and search for optimal configurations. In this paper the proposed multivariate modelling techniques rest in the first category, however they represent a notable increase in the ability to model more complex interactions between system parameters.

Many previous works attempting to model system performance have used simulated environments to estimate the performance of a system. (Gobelny, Bueno, Troxel, George, and Vetter 2007, Wang, Butt, Pandey, and Gupta 2009, Wang, Khasymski, Krish, and Butt 2013) Some of these works cite statistical models as being over-simplified and not capable of capturing the true complexity of the underlying system. This claim is partially correct, noting that a large portion of predictive statistical models rely on simplifying the system to one or two parameters. (Snively, Carrington, Wolter, Labarta, Badia, and Purkayastha 2002, Bailey and Snively 2005, Barker, Davis, Hoisie, Kerbyson, Lang, Pakin, and Sancho 2009, Ye, Jiang, Chen, Huang, and Wang 2010) These limited statistical models have provided satisfactory performance in very narrow application settings. Many of the aforementioned statistical modeling techniques claim to generalize, while simultaneously requiring additional code annotations, hardware abstractions, or additional application level understandings in order to generate models. The approach presented here requires no modifications of the application, no architecture abstractions, nor any structural descriptions of the input data being modelled. The techniques used are purely mathematical and only need formatted data as input.

Among the statistical models presented in prior works, (Bailey and Snively 2005) specifically mentions that it is difficult for the simplified models to capture variability introduced by I/O. System variability in general has become a problem of increasing interest to the HPC and systems communities, however most of the work has focused on Operating System (OS) induced variability. (Beckman, Iskra, Yoshii, Coghlan, and Nataraj 2008, De, Kothari, and Mann 2007) The work that has focused on managing I/O variability, (Lofstead, Zheng, Liu, Klasky, Oldfield, Kordenbrock, Schwan, and Wolf 2010), does not use any sophisticated modeling techniques. Hence, this paper presents a case study applying advanced mathematical and statistical modeling techniques to the domain of HPC I/O characteristics. The models are used to predict the mean throughput of a system and the variance in throughput of a system. The discussion section outlines how the exact techniques presented can be applied to any performance metric and any system.

In general, this paper compares five multivariate approximation techniques that operate on inputs in  $\mathbb{R}^d$  (vectors of  $d$  real numbers) and produce predicted responses in  $\mathbb{R}$ . In order to provide coverage of the varied mathematical strategies that can be employed to solve the continuous modeling problem, three of the techniques are regression-based and the remaining two techniques produce interpolants. The sections below outline the mathematical formulations of each technique and provide computational complexity bounds with respect to the size (number of points and dimension) of input data. Throughout the sections,  $d$  will refer to

the dimension of the input data,  $n$  is the number of points in the input data,  $x_i \in \mathbb{R}^d$  is the  $i^{th}$  input data point, and  $y_i$  is the response value of the  $i^{th}$  input data point.

## 1.1 Approximation

Multivariate approximations are capable of accurately modelling complex relationships between the dimensions of any set of points in  $\mathbb{R}^d$  with respect to some response in  $\mathbb{R}$ . The approximations produce a function  $f : \mathbb{R}^d \rightarrow \mathbb{R}$  which minimizes some selected error metric related to the provided data. Often, as in least-squares regression, the distance metric chosen is the sum of squared differences between modeled response values and true response values.

### 1.1.1 Multivariate Adaptive Regression Splines

This algorithm was introduced by statistician J. Friedman in (Friedman 1991) and subsequently improved to its current version in (Jerome H Friedman 1993). This work uses the Fast Multivariate Adaptive Regression Splines (Fast MARS) formulation. In Fast MARS, a least-squares fit model is iteratively built by beginning with a single constant valued function and adding two new basis functions at each iteration of the form

$$\begin{aligned} B_{2s-1}(x) &= B_l(x)[c(x_i - v)]_+ \\ B_{2s}(x) &= B_k(x)[c(x_i - v)]_- \end{aligned}$$

Where  $s$  is the iteration number,  $B_l(x)$  and  $B_k(x)$  are some previously generated basis functions,  $c, v \in \mathbb{R}$ , and  $x_i$  with  $0 < i \leq d$  is the  $i^{th}$  component (1 indexed) of input vector  $x$ . After iteratively constructing a model, MARS then iteratively removes basis functions that do not contribute to goodness of fit. In effect, MARS creates a locally component-wise linear approximation of the data that is  $C^0$ . The overall computational complexity of Fast MARS is  $\mathcal{O}(ndm^3)$  where  $m$  is a user selected value that is the maximum complexity of the model in terms of the number of underlying basis functions. This paper uses an Earth implementation of MARS (Jason Rudy 2017) with a max number of basis functions of  $n$ , however with larger datasets ( $n > 1000$ ) this value would need to be reduced.

### 1.1.2 Multi-Layer Perceptron Regressor

The neural network is a well studied and widely used mathematical tool for both regression and classification tasks. (Hornik, Stinchcombe, and White 1989) When using the rectified linear unit (ReLU) activation functions (Dahl, Sainath, and Hinton 2013) and trained using the BFGS technique (Møller 1993), the model built by a multi-layer perceptron uses layers of the form

$$l(x) : \mathbb{R}^i \rightarrow \mathbb{R}^j = \max(xW_l, 0)$$

Where  $W_l$  is the  $i$  by  $j$  weight matrix of layer  $l$  and the max function is applied element-wise to the resulting vector. In this form, the multi-layer perceptron (MLP) produces a locally linear model of the input data that is  $C^0$ . The computational complexity of training a multi-layer perceptron is approximately  $\mathcal{O}(ndm)$  where  $m$  is a constant determined by a linear function of the sizes of the layers of the network and the convergence stopping criteria of the BFGS minimization used for finding weights. This paper uses the scikit-learn MLP regressor (Pedregosa, Varoquaux, Gramfort, Michel, Thirion, Grisel, Blondel, Prettenhofer, Weiss, Dubourg,

Vanderplas, Passos, Cournapeau, Brucher, Perrot, and Duchesnay 2011) with a single hidden layer with 100 nodes, ReLU activation, and BFGS for training.

### 1.1.3 Support Vector Regressor

Support vector machines are a common tool used in machine learning classification tasks that can be adapted for the purpose of regression (Basak, Pal, and Patranabis 2007). The mathematical formalisms for exactly how to build a support vector regressor (SVR) are beyond the scope of this summary, but the core of the technique solves an optimization problem of the form

$$\begin{aligned} \text{Minimize } & \left\{ \frac{1}{2} \sum_{i=1}^n \sum_{j=1}^n a_i a_j K(x_i, x_j) + \epsilon \sum_{i=1}^n a_i - \sum_{i=1}^n y_i a_i \right\} \\ \text{Subject to } & \sum_{i=1}^n a_i = 0 \text{ and } a_i \in [0, C] \end{aligned}$$

Where  $K$  is the selected kernel function,  $a \in \mathbb{R}^n$  is the solved coefficient vector, and  $\epsilon$  is the error tolerance. The SVR is always fitting a linear function, however the kernel mapping of the data can be non-linear to create non-linear fits. The computational complexity of the SVR minimization (for the implementation used) is  $\mathcal{O}(n^2 dm)$  with  $m$  being a constant determined by the minimization convergence criteria. This paper uses the scikit-learn SVR (Pedregosa, Varoquaux, Gramfort, Michel, Thirion, Grisel, Blondel, Prettenhofer, Weiss, Dubourg, Vanderplas, Passos, Cournapeau, Brucher, Perrot, and Duchesnay 2011) with a polynomial kernel function.

## 1.2 Interpolation

### 1.2.1 Delaunay

### 1.2.2 Linear Shepard

The remainder of the paper is broken up into \_ parts. *(will fill in the parts and descriptions once they are finalized)*

## 2 METHODOLOGY

### 2.1 Data

The summary of the data used in the experiments for this paper can be seen in Table 1.

### 2.2 Dimensional Analysis

This work utilizes an extension to standard k-fold cross validation that allows for a more thorough investigation of the expected model performance in a variety of real-world situations. Alongside randomized splits, two extra components are considered: the amount of training data provided, and the dimension of the input data. It is important to consider that algorithms which perform well with less training input also require less

System Parameter	Type	Values
Hypervisor Scheduler	Categorical	CFQ, NOOP, DEAD
Operating System Scheduler	Categorical	CFQ, NOOP, DEAD
IOZone Test Type	Categorical	Fread, Fwrite, RandomRead
File Size	Continuous	64, 128, 256, 512, 1024
Record Size	Continuous	32 64, 128, 256, 512
Thread Count	Continuous	1,2,4,8,16,32,64
Frequency	Continuous	2.4, 2.5, 2.6, 2.7, 2.8, 2.9, 3.0, 3.1

Table 1: A description of the system parameters being considered in the IOZone tests. There are three categorical settings and four continuous settings. Notice that the ranges of values for continuous settings are different.

experimentation. Although, the amount of training data required may change as a function of the number of input dimensions and this needs to be studied as well.

The framework used in this paper will be referred to as a multi-dimensional analysis (MDA) of the IOZone data presented in this study. However, the MDA framework can be applied to other datasets.

1. Cycling the categorical settings
2. Selecting subsets of 1,2,3 up to 4 dimensions
3. Cycling different training : testing ratios (5:95  $\rightarrow$  95:5)
4. Generating 200 random training : testing splits
5. Ensuring the testing points are on/inside the convex hull of the training.
6. Ensuring the training points are well-spaced.

## 2.3 Prediction

1. For each file generated from the dimensional analysis, train on the training data, evaluate at the testing data points

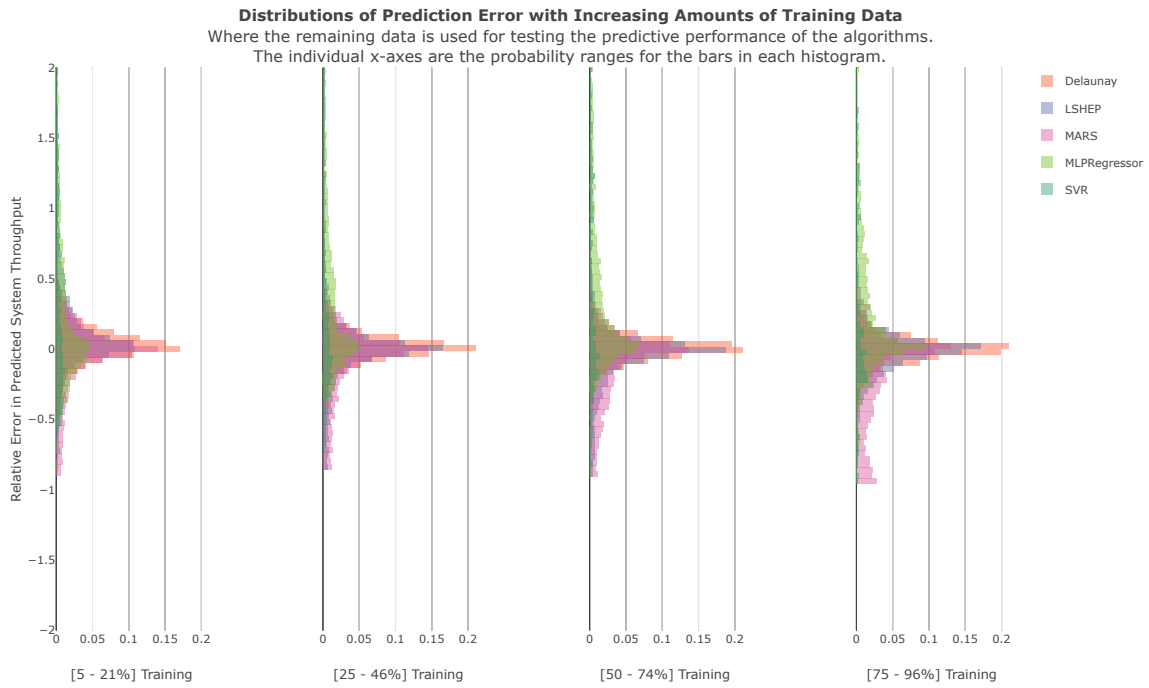


Figure 1: In this figure, it can be seen how the distribution of prediction errors for each algorithm is affected by the quantity of training data provided. Notice that for all amounts of training data, Delaunay has the largest likelihoods around 0 error.

Algorithm	Input Dimension	Mean Absolute Error	Mean Relative Error
Delaunay	1	2060267	0.03
	2	2916224	0.07
	3	3001794	0.09
	4	3134779	0.10
LSHEP	1	6353148	0.06
	2	13038882	1.52
	3	5446207	1.13
	4	5133648	1.07
MARS	1	2176368	0.03
	2	6213369	0.61
	3	4024964	0.65
	4	4497414	1.01
MLPRegressor	1	2461097	0.06
	2	8883580	0.81
	3	11190977	2.03
	4	11831721	2.20
SVR	1	19306731	0.96
	2	78213963	18.49
	3	97761266	31.27
	4	113551300	37.2

Table 2: Most models experience a decay in predictive performance as the dimension of the data increases. This is expected because higher dimension input has exponentially more possible patterns to identify. The MLP Regressor and SVR experience the worst decay in performance with increasing dimension.

Algorithm	Training Data	Mean Absolute Error	Mean Relative Error
Delaunay	[5-21]%	5274933	0.11
	[25-46]%	2898330	0.08
	[50-74]%	1518477	0.07
	[75-96]%	886346	0.08
LSHEP	[5-21]%	13218469	2.53
	[25-46]%	4936381	0.85
	[50-74]%	2251409	0.26
	[75-96]%	1415034	0.15
MARS	[5-21]%	6543153	0.61
	[25-46]%	4324916	0.80
	[50-74]%	3115943	0.95
	[75-96]%	2591451	0.74
MLPRegressor	[5-21]%	17348982	2.58
	[25-46]%	12504957	2.29
	[50-74]%	5292177	1.17
	[75-96]%	2996253	1.02
SVR	[5-21]%	170906944	49.45
	[25-46]%	99851846	31.28
	[50-74]%	51565405	19.63
	[75-96]%	27125130	12.6

Table 3: All models experience a reduction in error with increasing amounts of training data. This suggests that the data being modeled is pattern-dense, over-fitting is *not* occurring, and that oversimplifications will tend towards worse predictions.



### 3 RESULTS

#### 3.1 I/O Throughput Mean

#### 3.2 I/O Throughput Variance

#### 3.3 Increasing Dimension

### 4 DISCUSSION

#### 4.1 Modeling the System

#### 4.2 Quantifying Variability

#### 4.3 Extending the Analysis

### 5 FUTURE WORK

The most severe limitation to the presented work is the present inability to model the relationship between categorical system parameters. This could be seen as a limitation of the models selected, because only MARS is capable of handling non-numeric variables, or as a limitation of the MDA framework.

### REFERENCES

- Bailey, D. H., and A. Snavely. 2005. "Performance modeling: Understanding the past and predicting the future". In *European Conference on Parallel Processing*, pp. 185–195. Springer.
- Barker, K. J., K. Davis, A. Hoisie, D. J. Kerbyson, M. Lang, S. Pakin, and J. C. Sancho. 2009. "Using performance modeling to design large-scale systems". *Computer* vol. 42 (11).
- Basak, D., S. Pal, and D. C. Patranabis. 2007. "Support vector regression". *Neural Information Processing-Letters and Reviews* vol. 11 (10), pp. 203–224.
- Beckman, P., K. Iskra, K. Yoshii, S. Coghlan, and A. Nataraj. 2008. "Benchmarking the effects of operating system interference on extreme-scale parallel machines". *Cluster Computing* vol. 11 (1), pp. 3–16.
- Dahl, G. E., T. N. Sainath, and G. E. Hinton. 2013. "Improving deep neural networks for LVCSR using rectified linear units and dropout". In *Acoustics, Speech and Signal Processing (ICASSP), 2013 IEEE International Conference on*, pp. 8609–8613. IEEE.
- De, P., R. Kothari, and V. Mann. 2007. "Identifying sources of operating system jitter through fine-grained kernel instrumentation". In *Cluster Computing, 2007 IEEE International Conference on*, pp. 331–340. IEEE.
- Friedman, J. H. 1991. "Multivariate adaptive regression splines". *The annals of statistics*, pp. 1–67.
- Grobelny, E., D. Bueno, I. Troxel, A. D. George, and J. S. Vetter. 2007. "FASE: A framework for scalable performance prediction of HPC systems and applications". *Simulation* vol. 83 (10), pp. 721–745.
- Hornik, K., M. Stinchcombe, and H. White. 1989. "Multilayer feedforward networks are universal approximators". *Neural networks* vol. 2 (5), pp. 359–366.
- Jason Rudy, Mehdi Cherti, et al. 2017. "Py-Earth: A Python Implementation of Multivariate Adaptive Regression Splines".
- Jerome H Friedman, S. U. L. f. C. S. 1993. *Fast MARS*.

- Lofstead, J., F. Zheng, Q. Liu, S. Klasky, R. Oldfield, T. Kordenbrock, K. Schwan, and M. Wolf. 2010. "Managing variability in the IO performance of petascale storage systems". In *High Performance Computing, Networking, Storage and Analysis (SC), 2010 International Conference for*, pp. 1–12. IEEE.
- Møller, M. F. 1993. "A scaled conjugate gradient algorithm for fast supervised learning". *Neural networks* vol. 6 (4), pp. 525–533.
- Pedregosa, F., G. Varoquaux, A. Gramfort, V. Michel, B. Thirion, O. Grisel, M. Blondel, P. Prettenhofer, R. Weiss, V. Dubourg, J. Vanderplas, A. Passos, D. Cournapeau, M. Brucher, M. Perrot, and E. Duchesnay. 2011. "Scikit-learn: Machine Learning in Python". *Journal of Machine Learning Research* vol. 12, pp. 2825–2830.
- Snively, A., L. Carrington, N. Wolter, J. Labarta, R. Badia, and A. Purkayastha. 2002. "A framework for performance modeling and prediction". In *Supercomputing, ACM/IEEE 2002 Conference*, pp. 21–21. IEEE.
- Wang, G., A. R. Butt, P. Pandey, and K. Gupta. 2009. "A simulation approach to evaluating design decisions in mapreduce setups". In *Modeling, Analysis & Simulation of Computer and Telecommunication Systems, 2009. MASCOTS'09. IEEE International Symposium on*, pp. 1–11. IEEE.
- Wang, G., A. Khasymski, K. Krish, and A. R. Butt. 2013. "Towards improving mapreduce task scheduling using online simulation based predictions". In *Parallel and Distributed Systems (ICPADS), 2013 International Conference on*, pp. 299–306. IEEE.
- Ye, K., X. Jiang, S. Chen, D. Huang, and B. Wang. 2010. "Analyzing and modeling the performance in xen-based virtual cluster environment". In *High Performance Computing and Communications (HPCC), 2010 12th IEEE International Conference on*, pp. 273–280. IEEE.