# Advanced Futures and Promises in C++

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# Advanced Futures and Promises in C++

## Agenda

- Futures and Promises explained (Scala)
- Futures and Promises in C++
- Motivation for Advanced Futures and Promises
- Core Language for Futures and Promises
- Advanced Futures and Promises
- Sharing Futures and Promises
- Upcoming Features
- Future Work
- References

#### Motivation

```
...
// Do something with hotel
```

val hotel = getHotel()

- External server call
- Result is not available immediately
- We do not want to block the main thread

#### Manual Solution

```
val hotel, mutex, condition variable
spawn {
  mutex.lock()
  hotel = getHotel()
  mutex.unlock()
  condition variable.notify()
mutex.lock()
condition variable.wait(m, { hotel.isSet })
// Do something with hotel
mutex.unlock()
```

We need a concurrency abstraction!

#### Scala Futures

```
val f = Future { getHotel() }
// ...
f onComplete { hotel => bookHotel(hotel) }
// ...
f onComplete { hotel => informFriends(hotel) }
```

- Future (read-only) value
- Non-blocking computation
- Non-blocking callbacks
- Multiple reads

#### Scala Promises

# Futures and Promises are Composable

## Extended Example: Holiday Planning in Scala

```
val switzerland = Future { getHotelSwitzerland() }
// ...
val usa = Future { getHotelUSA() }
// ...
val hotel = switzerland fallbackTo usa
// ...
hotel onComplete { hotel => bookHotel(hotel) }
hotel onComplete { hotel => informFriends(hotel) }
```

- Book hotel either in Switzerland or USA
- Give preference to Switzerland
- Non-blocking compositions with combinators (fallbackTo)

#### Results in Scala

```
val booking = Future { ... }

booking onComplete {
   hotel match {
     case Success(hotel) => bookHotel(hotel)
     case Failure(e) => println("Error: " + e)
   }
}
```

- Try[T] stores the result
- Value on success
- Exception on failure

#### Executors in Scala

```
val threadPool = Executors.newFixedThreadPool(5)
val ex = ExecutionContext.fromExecutorService(threadPool)
hotel onComplete { hotel => bookHotel(hotel) } (ex)
```

- Specify which threads execute callbacks
- Can be specified per callback
- Limit the number of threads
- Default executor

### Summary

- Way of asynchronous programming
- Non-blocking
- Composable
- Successful or failed results
- Executors may limit concurrency
- Scala provides an advanced library

### Existing Libraries

- C++17: Rather limited support
- Boost.Thread: Supports callbacks and executors
- Folly: Supports callbacks, executors and combinators
- Other libraries like Qt, POCO etc.: Even more limited

#### C + +17

- async( ... ): Creates a future
- promise<T>: Write once semantics
- future < T >:
  - Read once semantics with f.get()
  - No callbacks
  - Movable only
- shared future<T>:
  - Multiple read semantics with f.get()
  - No callbacks
  - Can be copied

## Extended Example: Holiday Planning in C++17

```
promise<Hotel> p;
auto switzerland = async([&p] { p.set_value(getHotelSwitzerland()); });
auto usa = async([&p] { p.set_value(getHotelUSA()); });
async([&p] {
   auto hotel = p.get_future().get();
   bookHotel(hotel);
   informFriends(hotel);
}).get();
```

- Hard to understand
- Requires three asynchronous tasks
- No preference of Switzerland

### C++17

- No callbacks
- No combinators
- No executors
- Only blocking access to results

#### Boost.Thread

- C++17 features
- Callbacks with then
- Combinators: when any, when all
- Executors: thread pool etc.
- Non-blocking access to results
- Still missing combinators

### Extended Example: Holiday Planning in Boost. Thread

```
promise < Hotel > p;
auto switzerland = async([&p] { p.set_value(getHotelSwitzerland()); });
auto usa = async([&p] { p.set_value(getHotelUSA()); });
auto hotel = p.get_future();
hotel.then(bookHotel).then(informFriends).get(); // Callbacks!
```

- Callbacks
- Still hard to understand
- Still no preference of Switzerland

### Folly

- Boost. Thread features
- More combinators: collectN, collectAny, collectAnyWithoutException etc.
- Default executors
- Supports Try<T> for results

### Extended Example: Holiday Planning in Folly

```
auto switzerland = async(&getHotelSwitzerland);
auto usa = async(&getHotelUSA);
auto hotel = collectAnyWithoutException(move(switzerland), move(usa));
move(hotel).then(bookHotel).then(informFriends);
```

- Combinator collectAnyWithoutException
- Still no preference of Switzerland
- Futures become invalid after calls (move semantics)

## Motivation

### Issues with Folly

- No systematic design
- No distinction between core (onComplete) and derived features (fallbackTo)
- Missing derived features (fallbackTo)
- Futures become invalid after calls (move semantics)
- Only one callback per future (move semantics)

## Our Work

### Core Language

- Systematic design of futures and promises
- Distinction between core and derived features
- See our papers "Futures and Promises in Haskell and Scala" and "Advanced Futures and Promises in C++"

## C++ Implementation of the Core Language

- Implementation based on MVar (container with one element)
- Provides missing derived features such as fallbackTo
- Futures stay valid after calls (no move semantics)

# Core Language

#### Core Features

```
p = \text{new} Create
get p Blocking access
onComplete p h Non-blocking callback
tryComplete p v Try to set value
```

- Systematic design of futures and promises
- Unify futures and promises
- Allow different implementations (STM, MVar and CAS)
- Derived features (fallbackTo) are available for all implementations

#### Promise State

Either a value  $(p_v)$  or a list of callbacks  $(p_{hs})$ 

#### new

Creates a new promise with an empty list of callbacks (simplified rewrite rules):

$$p = \text{new} \Rightarrow p_{[]}$$

#### get

Blocks until there is a result and returns it:

• Returns the result immediately:

$$r = \text{get } p_v \Rightarrow r = v$$

• Blocks until there is a result:

$$r = \text{get } p_{hs} \Rightarrow \text{wait for } p_v$$

### onComplete

Registers a callback:

• Executes the callback concurrently if there is a result:

onComplete 
$$p_{\nu}$$
  $h \Rightarrow [h(\nu)]$ 

• Adds the callback if there is no result:

onComplete 
$$p_{hs}$$
  $h \Rightarrow p_{h:hs}$ 

### tryComplete

Sets the result only once:

• No effect if there is already a result:

tryComplete 
$$p_{v}$$
  $v' \Rightarrow p_{v}$ 

Sets the result and executes all callbacks concurrently:

tryComplete 
$$p_{hs} \ v \Rightarrow p_v \mid [h_1(v)], ..., [h_n(v)]$$

Returns whether successful or not

### Summary

- Core language for futures and promises
- Changing the promise state must be thread-safe
- Possible implementations: Software Transactional Memory, MVar, atomic compare-and-swap
- Reference implementations in Scala in Haskell (our short paper "Futures and Promises in Haskell and Scala")

### Open Question

How to implement the Core Language in C++?

#### Abstract Class Executor

```
class Executor {
  public:
    virtual void add(std::function < void() > &&f) = 0;
};

class FollyExecutor : public Executor {
  public:
    explicit FollyExecutor(folly::Executor *ex);
    ...
};
```

- Specifies which threads execute callbacks
- Can adapt Folly's and Boost's executors

## Class Template Try

```
template <typename T>
class Try {
  public:
    explicit Try(T &&v);
    explicit Try(std::exception_ptr &&e);
  const T& get() const;
  bool hasValue() const;
  bool hasException() const;
};
```

- Stores a future's result
- Similar to Folly's and Scala's type

## Class Template Core

- Allows different implementations
- Referred by shared pointers to allow copying

#### Derived Features

```
template <typename T>
class Future {
    ...
};
template <typename T>
class Promise {
    ...
};
```

- Future and Promise hide a Core implementation
- Use shared pointers to allow copying
- Do not depend on the concrete implementation

### Summary

- Helper classes Executor and Try
- Implement the class template Core
- Get all derived features for free

### Open Question

How to implement Core?

#### MVar in C++

```
template < typename T>
class MVar {
  void put(T &&v);
  T take();
  const T & read();
  bool is Empty();
};
```

- Inspired by Haskell
- Holds one element.
- Allows concurrent access
- Implemented with mutex and condition variables

#### Promise State with MVar

```
using Callback = std::function <void(const Try<T> &)>;
using Callbacks = std::vector < Callback >;
using State = std::variant < Try < T>, Callbacks >;

MVar<State> state;
```

- Either a result value or a list of callbacks
- MVar allows concurrent acess

#### new

```
MVar<State>> state(Callbacks());
MVar<void> signal;
```

- New promise with an empty list of callbacks
- Signal for get

Creates a new promise with an empty list of callbacks:

$$p=\mathsf{new}\Rightarrow p_{||}$$

#### get

```
const Try<T>& get() {
  signal.read();
  auto s = state->read();
  auto r =
     std::get<Try<T>>(std::move(s));
  return r;
}
```

- signal gets its value in tryComplete
- signal.read() blocks the calling thread

Blocks until there is a result and returns it:

Returns the result immediately:

$$r = \text{get } p_v \Rightarrow r = v$$

Blocks until there is a result:

$$r=$$
 get  $ho_{hs}\Rightarrow$  wait for  $ho_{v}$ 

### onComplete

```
void onComplete(Callback &&h) {
  auto s = state -> take();
  if (s.index() == 0) {
    state ->put(std::move(s));
    executeCallback(std::move(h));
  } else {
    auto hs =
       addCallback(std::move(s).
       std::move(h));
    state ->put(std::move(hs));
```

### Registers a callback:

• Executes the callback concurrently if there is a result:

```
onComplete p_v \ h \Rightarrow [h(v)]
```

 Adds the callback if there is no result:

onComplete  $p_{hs}$   $h \Rightarrow p_{h:hs}$ 

# Core Implementation with MVar

### tryComplete

```
bool tryComplete(Try<T> &&v) {
  auto s = state -> take();
 if (s.index() == 0) {
    state -> put(std::move(s));
    return false:
  } else {
    auto hs =
       std::get<Callbacks>(s);
    state ->put(std::move(v));
    signal.put();
    executeCallbacks(std::move(hs));
    return true:
```

Sets the result only once:

No effect:

tryComplete 
$$p_{\nu}$$
  $\nu' \Rightarrow p_{\nu}$ 

• Sets the result:

```
tryComplete p_{hs} v \Rightarrow p_v \mid [h_1(v)], ..., [h_n(v)]
```

## Advanced Futures and Promises

#### **Features**

- Systematic design
- Class Core allows different implementations (MVar, STM, CAS, ...)
- Derived features for free
- Futures and promises do not become invalid (no move semantics)
- Multiple callbacks per future
- Multiple-read semantics for futures

## Advanced Futures and Promises

## Extended Example: Holiday Planning

```
FollyExecutor ex(getCPUExecutor().get());
auto switzerland = async(&ex, getHotelSwitzerland);
auto usa = async(&ex, getHotelUSA);
auto hotel = switzerland.fallbackTo(usa);
hotel.onComplete(bookHotelAdv);
hotel.onComplete(informFriendsAdv);
```

- Prefers Switzerland
- Multiple callbacks per future
- Futures do not become invalid

# Advanced Futures and Promises

#### Derived Features

```
async ex f
then p f
thenWith p f
guard p f
fallbackTo p q
first p q
firstSucc p q
```

```
firstN [p_1, \ldots, p_m] n firstNSucc [p_1, \ldots, p_m] n trySuccess p v tryFail p e tryCompleteWith p q tryFailWith p q tryFailWith p q
```

Even more powerful than Scala's library

### No Automatic Garbage Collection

- Manual memory management
- Distinction between shared and non-shared futures and promises
- Move semantics for non-shared futures and promises
- Copy semantics for shared futures and promises

#### Move Semantics

- Prevent copying
- Invalidate the source object

## tryCompleteWith

tryCompleteWith p q = onComplete q 
$$(v => tryComplete p v)$$

- Derived feature
- Completes p with the result q
- Non-blocking

## tryCompleteWith for Folly Implemented Wrong!

```
template <typename T>
void tryCompleteWith(Promise<T> &p, Future<T> &f) {
  f.setCallback_([&p](Try<T> &&t) {
    p.setTry(move(t));
  });
}
```

- No guarantees for the lifetime of p
- Only one callback per future
- f becomes invalid

## tryCompleteWith for Folly Implemented Safe

```
template <typename T>
void tryCompleteWith(Promise<T> &&p, Future<T> &&f) {
   auto promise = make_shared<Promise<T>>(move(p));
   auto future = make_shared<Future<T>>(move(f));
   future->setCallback_([promise, future](Try<T> &&t) {
      promise->setTry(move(t));
   });
}
```

- Moves promise and future
- Promise and future cannot be used anymore
- Guarantees the lifetime

### tryCompleteWith for Advanced Futures and Promises

```
template < typename T>
void tryCompleteWith(Promise < T> p, Future < T> f) {
  f.onComplete([p](const Try < T> &t) mutable {
    p.tryComplete(t);
  });
}
```

- Copies promise and future
- Promise and future can still be used
- Internal shared pointer to Core
- Guarantees the lifetime

#### C++17 and Boost.Thread

```
future \langle int \rangle f = async([] () { ... });
shared_future \langle int \rangle = f.share();
```

No shared promises

### Folly

- No shared futures or promises
- Workaround with the help of SharedPromise<T>
- See our paper "Advanced Futures and Promises in C++"

#### **Broken Promises**

```
promise < Hotel > p;
future < Hotel > f = p.get_future();
async([p = move(p)] { ... });
...
f.get();
```

- Promise is never completed
- Futures are completed with special exception BrokenPromise
- Same behavior as C++17, Boost. Thread and Folly
- We must keep track of referencing promises

### Summary

- Move semantics restrict derived features
- Copying removes restrictions
- C++ provides shared pointers
- Consider broken promises

# **Upcoming Features**

### async/await

```
val switzerland = Future { ... }
val usa = Future { ... }
val hotel = async { bookHotels(await(switzerland), await(usa)) }
```

- Syntactic sugar for composition
- Scala, C#, JavaScript etc. support
- Microsoft provides C++ support
- Same as:

```
switzerland.flatMap(s \Rightarrow usa.map(u \Rightarrow bookHotels(s, u)))
```

# **Upcoming Features**

### C++20 Proposals

- Add executors
- Separation of SemiFuture and ContinuableFuture
- Only some combinators like onSuccess and onFailure
- async/await only for Coroutines
- Track https://github.com/executors
- Track
   http://www.open-std.org/jtc1/sc22/wg21/docs/papers/

# Future Work

### Implementations of the Core Language

- CAS (std::atomic)
- STM (C++ library)
- . .

### Program Transformations

Promise Linking

#### **Benchmarks**

Compare to Folly

# References

### Papers

- Advanced Futures and Promises in C++
- Futures and Promises in Haskell and Scala

#### GitHub

https://github.com/tdauth/cpp-futures-promises