



Persistence: File Systems and RAID

CS 571: *Operating Systems (Spring 2021)*

Lecture 10

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Some material taken/derived from:

- Wisconsin CS-537 materials by Remzi Arpacı-Dusseau.

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File System Abstraction

What is a File?

- File: Array of bytes
 - Ranges of bytes can be read/written
- File system (FS) consists of many files
- Files need names so programs can choose the right one

File Names

- Three types of names (abstractions)
 - **inode** (low-level names)
 - **path** (human readable)
 - **file descriptor** (runtime state)

Inodes

- Each file has exactly one inode number
- Inodes are unique (at a given time) within a FS
- Numbers may be recycled after deletes

Inodes

- Each file has exactly one inode number
- Inodes are unique (at a given time) within a FS
- Numbers may be recycled after deletes
- Show inodes via `stat`
 - `$ stat <file or dir>`

'stat' Example

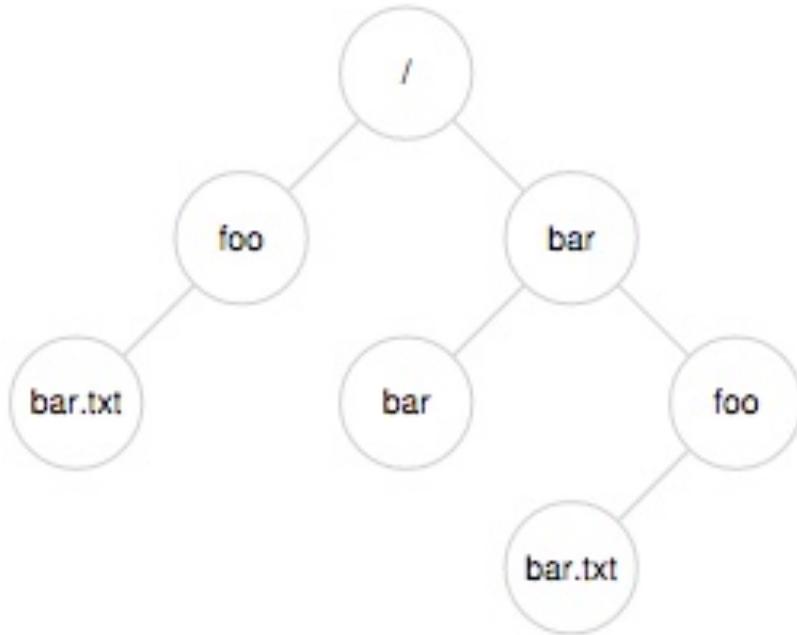
```
PROMPT>: stat test.dat
File: 'test.dat'  Size: 5      Blocks: 8      IO Block: 4096   regular
file
Device: 803h/2051d      Inode: 119341128  Links: 1
Access: (0664/-rw-rw-r--) Uid: ( 1001/      yue)  Gid: ( 1001/      yue)
Context: unconfined_u:object_r:user_home_t:s0
Access: 2015-12-17 04:12:47.935716294 -0500
Modify: 2014-12-12 19:25:32.669625220 -0500
Change: 2014-12-12 19:25:32.669625220 -0500
Birth: -
```

Path (multiple directories)

- A directory is a file
 - Associated with an inode
- Contains a list of <user-readable name, low-level name> pairs

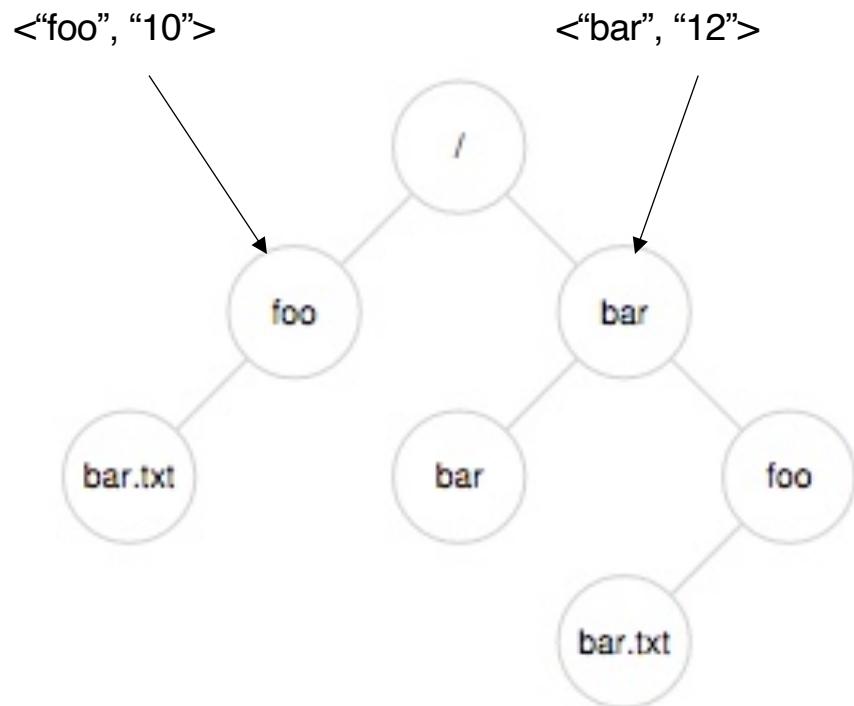
Path (multiple directories)

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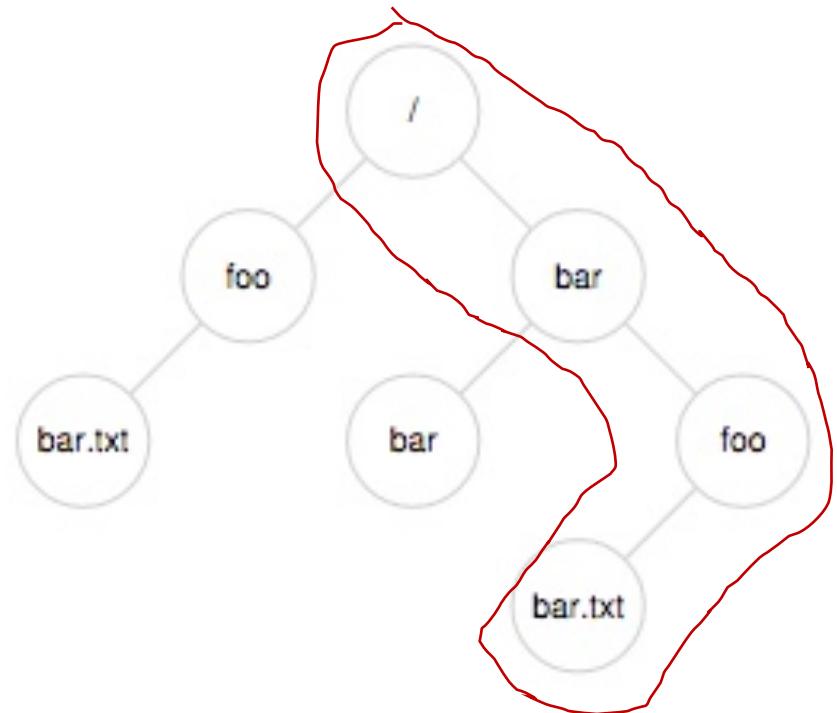
Path (multiple directories)

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 - Associated with an inode
- Contains a list of `<user-readable name, low-level name>` pairs



Path (multiple directories)

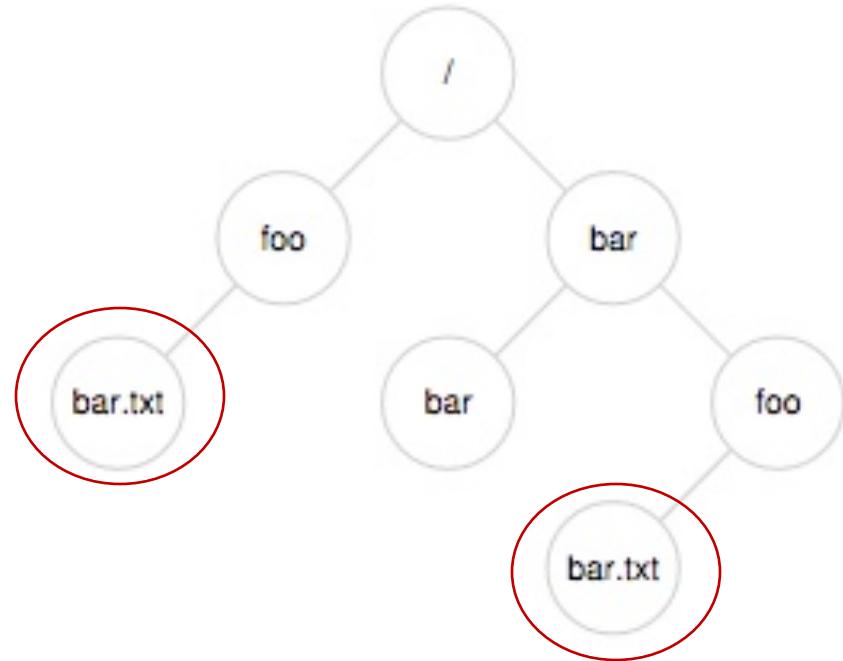
- A directory is a file
 - Associated with an inode
- Contains a list of `<user-readable name, low-level name>` pairs
- Directory tree: reads for getting final inode called **traversal**



[traverse /bar/foo/bar.txt]

File Naming

- Directories and files can have the same name as long as they are in different locations of the file-system tree
- .txt, .c, etc.
 - Naming convention
 - In UNIX-like OS, no enforcement for extension name



Special Directory Entries

```
prompt> ls -al
```

```
total 216
```

```
drwxr-xr-x 19 yue staff 646 Nov 23 16:28 .
```

```
drwxr-xr-x+ 40 yue staff 1360 Nov 15 01:41 ..
```

```
-rw-r--r--@ 1 yue staff 1064 Aug 29 21:48 common.h
```

```
-rwxr-xr-x 1 yue staff 9356 Aug 30 14:03 cpu
```

```
-rw-r--r--@ 1 yue staff 258 Aug 29 21:48 cpu.c
```

```
-rwxr-xr-x 1 yue staff 9348 Sep 6 12:12 cpu_bound
```

```
-rw-r--r-- 1 yue staff 245 Sep 5 13:10 cpu_bound.c
```

```
...
```

File System Interfaces

Creating Files

- UNIX system call: open()

```
int fd = open(char *path, int flag, mode_t mode);
```

-OR-

```
int fd = open(char *path, int flag);
```

File Descriptor (`fd`)

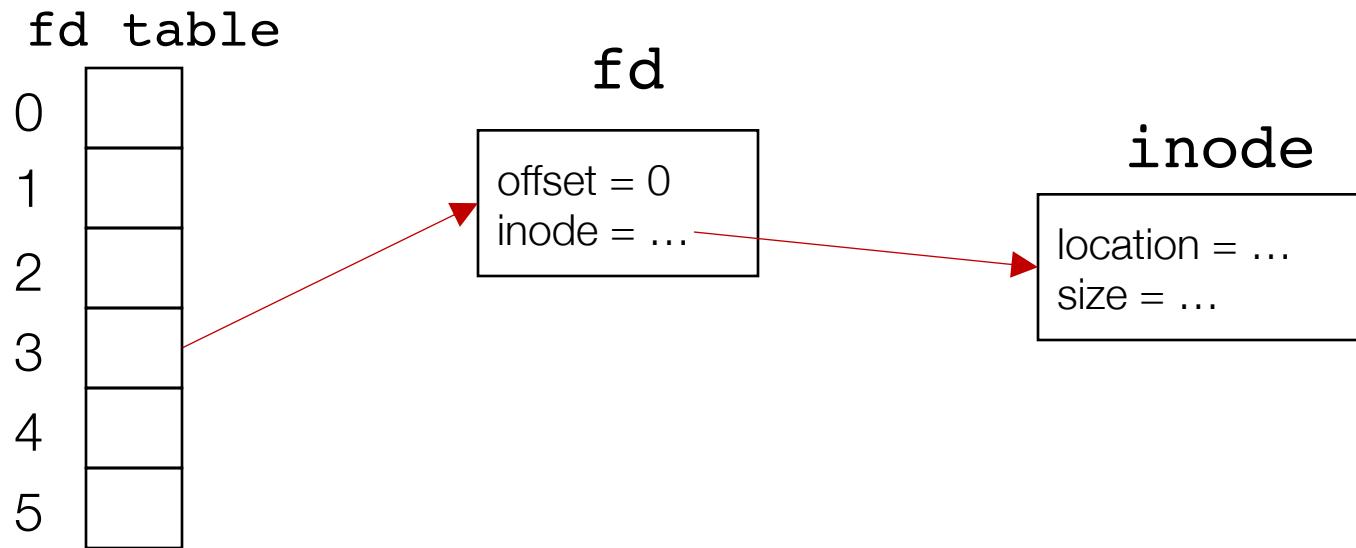
- `open()` returns a file descriptor (`fd`)
 - A `fd` is an integer
 - Private per process
- An **opaque handle** that gives caller the power to perform certain operations
- Think of a `fd` as **a pointer to an object** of the file
 - By owning such an object, you can call other “methods” to access the file

open() Example

```
int fd1 = open("file.txt", O_CREAT); // return 3
read(fd1, buf, 8);
int fd2 = open("file.txt", O_WRONLY); // return 4
int fd3 = dup(fd2); // return 5
```

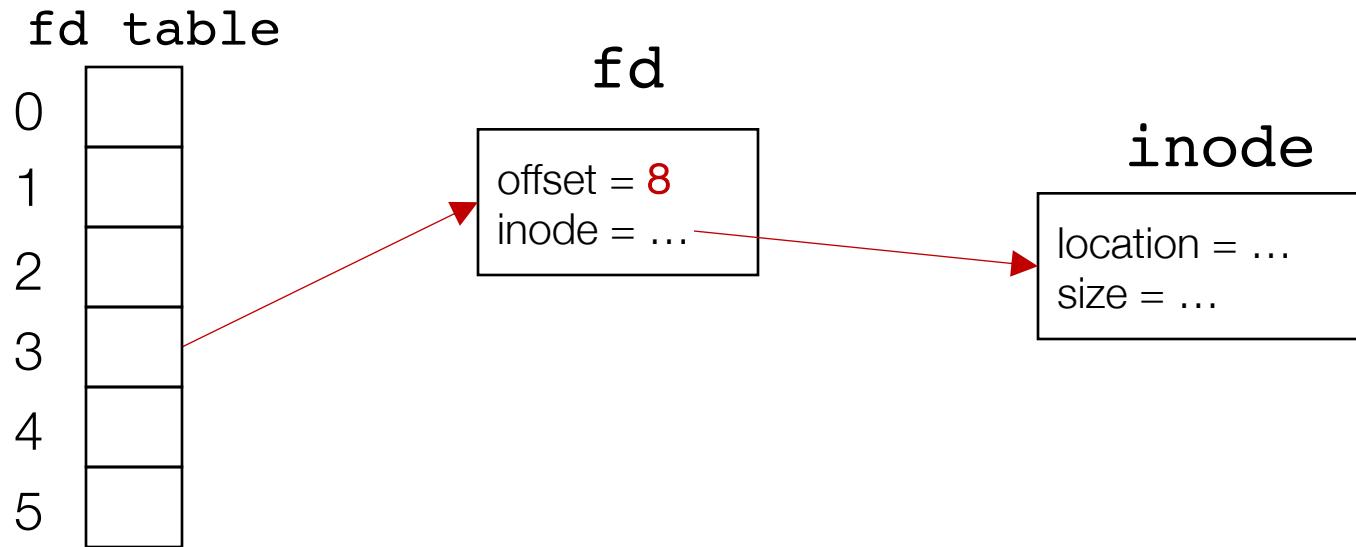
open() Example

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int fd1 = open("file.txt", O_CREAT); // return 3
```



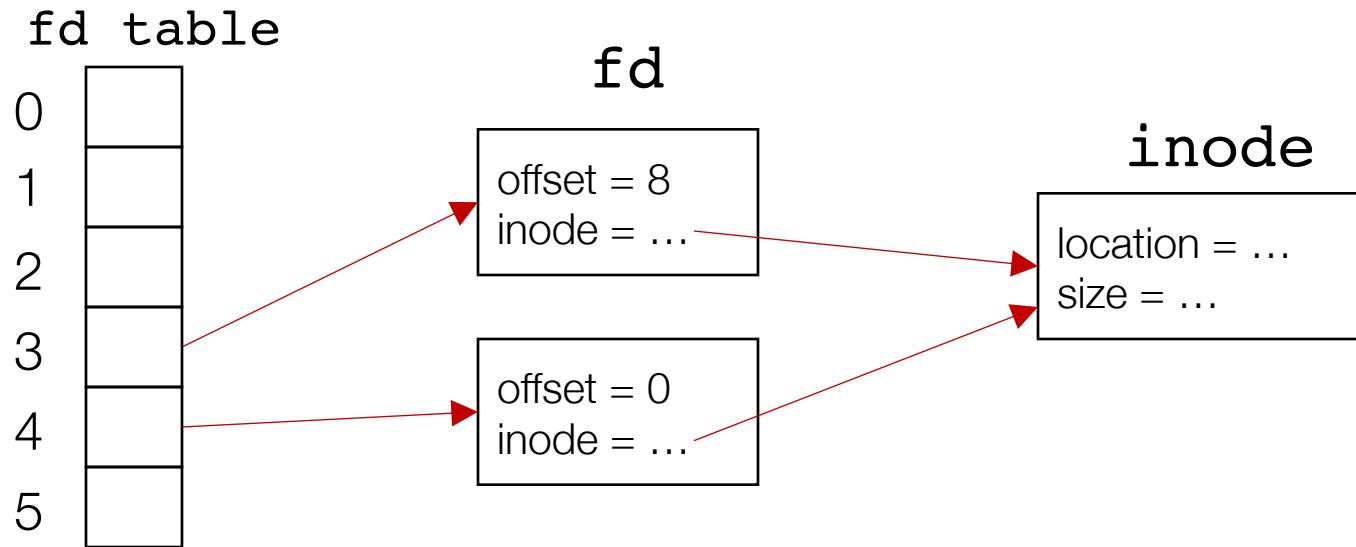
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```



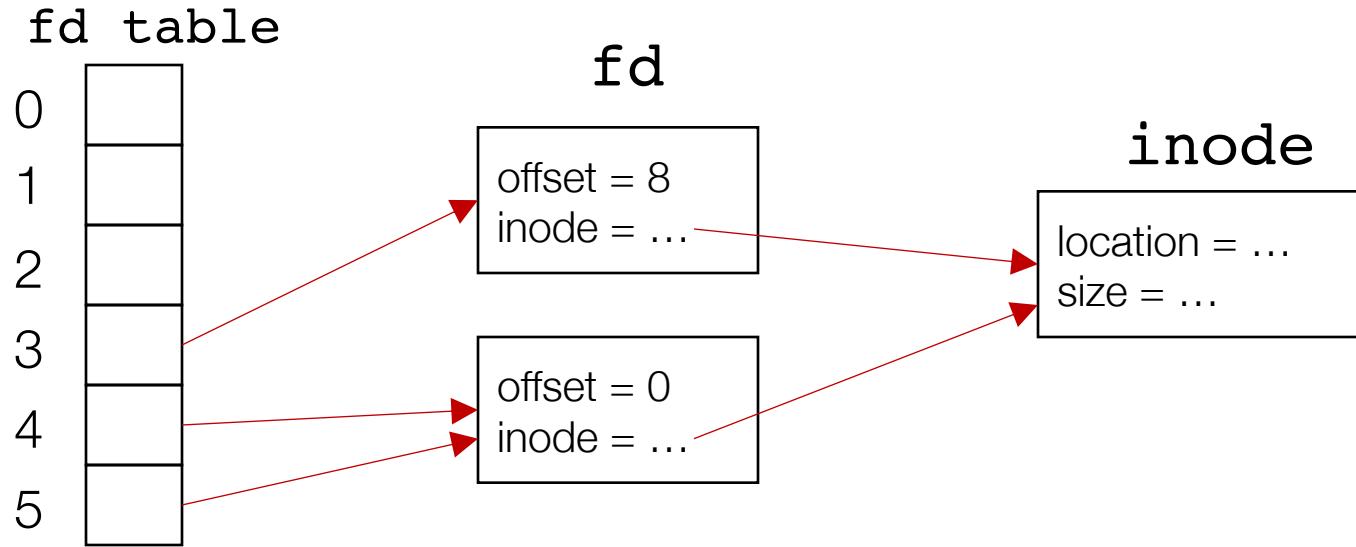
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open() Example

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read(fd1, buf, 8);  
  
int fd2 = open("file.txt", O_WRONLY); // return 4  
int fd3 = dup(fd2); // return 5
```



UNIX File Read and Write APIs

```
int fd = open(char *path, int flag, mode_t mode);
```

-OR-

```
int fd = open(char *path, int flag);
```

```
ssize_t sz = read(int fd, void *buf, size_t count);
```

```
ssize_t sz = write(int fd, void *buf, size_t count);
```

```
int ret = close(int fd);
```

Reading and Writing Files

```
prompt> echo hello > file.txt
```

```
prompt> cat file.txt
```

```
hello
```

```
prompt>
```

Reading and Writing Files

```
prompt> strace cat file.txt
...
open("file.txt", O_RDONLY)          = 3
read(3, "hello\n", 65536)          = 6
write(1, "hello\n", 6)              = 6
read(3, "", 65536)                = 0
close(3)                           = 0
...
prompt>
```

Reading and Writing Files

Open the file with read
only mode

```
prompt> strace cat file.txt
...
open("file.txt", O_RDONLY)      = 3
read(3, "hello\n", 65536)       = 6
write(1, "hello\n", 6)          = 6
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close(3)                        = 0
...
prompt>
```

Reading and Writing Files

Open the file with read
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prompt> strace cat file.txt
```

...

| | |
|----------------------------|-----|
| open("file.txt", O_RDONLY) | = 3 |
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| close(3) | = 0 |

...

Read content from file

Reading and Writing Files

Open the file with read
only mode

```
prompt> strace cat file.txt
```

...

| | |
|----------------------------|-----|
| open("file.txt", O_RDONLY) | = 3 |
| read(3, "hello\n", 65536) | = 6 |
| write(1, "hello\n", 6) | = 6 |
| read(3, "", 65536) | = 0 |
| close(3) | = 0 |
| ... | |

prompt>

Reading and Writing Files

Open the file with read
only mode

```
prompt> strace cat file.txt
```

Read content from file

Write string to std
output fd 1

```
open("file.txt", O_RDONLY) = 3
```

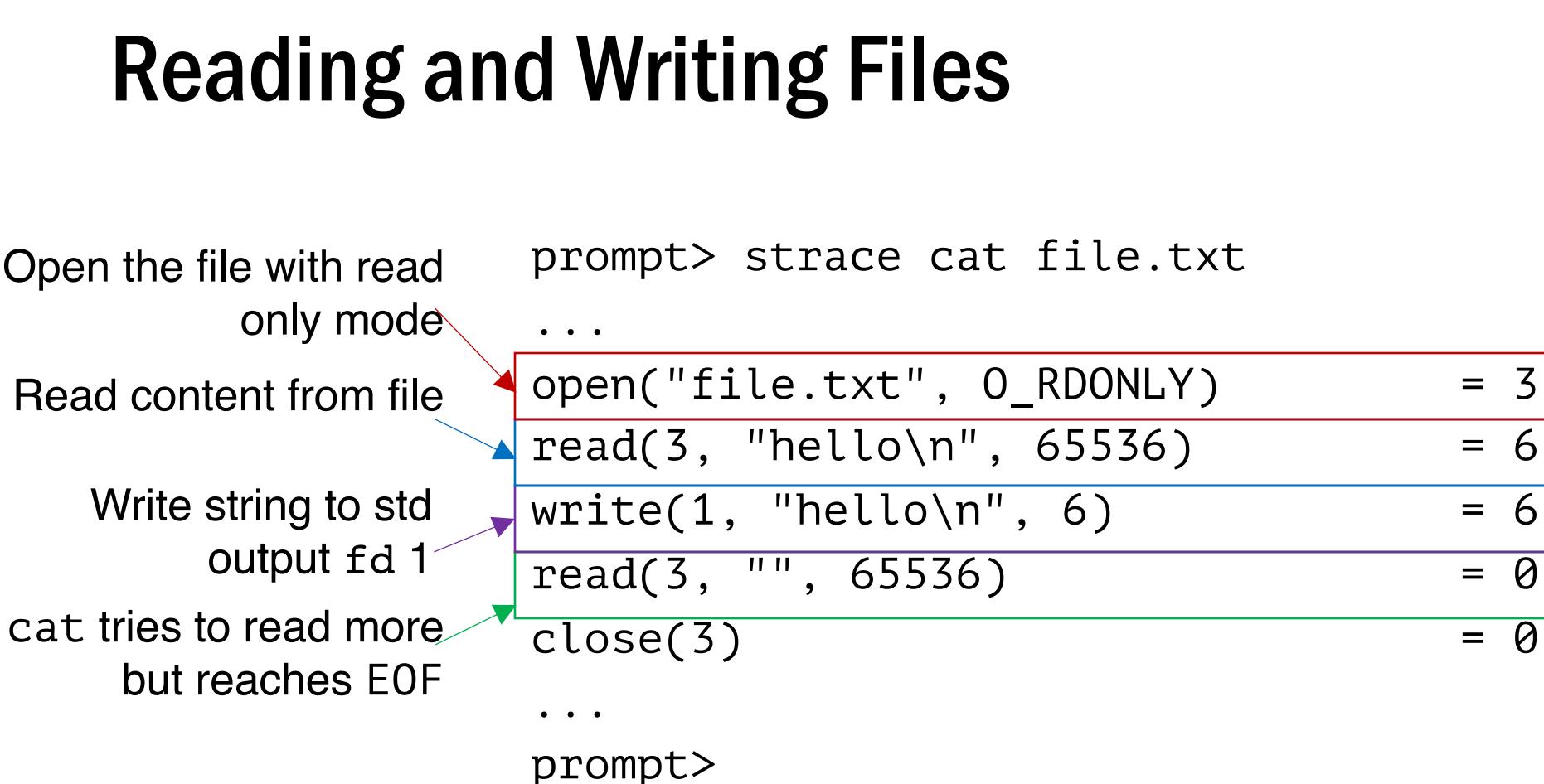
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read(3, "hello\n", 65536) = 6
```

```
write(1, "hello\n", 6) = 6
```

```
read(3, "", 65536) = 0
```

```
close(3) = 0
```

```
prompt>
```



Reading and Writing Files

Open the file with read
only mode

```
prompt> strace cat file.txt
```

Read content from file

Write string to std
output fd 1

| | |
|----------------------------|-----|
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| read(3, "hello\n", 65536) | = 6 |
| write(1, "hello\n", 6) | = 6 |
| read(3, "", 65536) | = 0 |
| close(3) | = 0 |

cat tries to read more
but reaches EOF

cat done with file ops
and closes the file

```
prompt>
```

Non-Sequential File Operations

```
off_t offset = lseek(int fd, off_t offset, int whence);
```

Non-Sequential File Operations

```
off_t offset = lseek(int fd, off_t offset, int whence);
```

whence:

- If whence is SEEK_SET, the offset is set to offset bytes
- If whence is SEEK_CUR, the offset is set to its current location plus offset bytes
- If whence is SEEK_END, the offset is set to the size of the file plus offset bytes

Non-Sequential File Operations

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off_t offset = lseek(int fd, off_t offset, int whence);
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Note: Calling **lseek()** does not perform a disk seek!

Writing Immediately with `fsync()`

```
int fd = fsync(int fd);
```

- `fsync(fd)` forces buffers to flush to disk, and (usually) tells the disk to flush its write cache too
 - To make the data **durable** and **persistent**
- **Write buffering** improves performance

Renaming Files

```
prompt> mv file.txt new_name.txt
```

Renaming Files

```
prompt> strace mv file.txt new_name.txt  
...  
rename("file.txt", "new_name.txt") = 0  
...  
prompt>
```

Renaming Files

System call `rename()`
atomically renames a
file

```
prompt> strace mv file.txt new_name.txt
...
rename("file.txt", "new_name.txt") = 0
...
prompt>
```

File Renaming Example

```
prompt> vim file.txt
```



```
int fd = open(".file.txt.swp", O_WRONLY|O_CREAT|O_TRUNC,S_IRUSR|S_IWUSR);
```

Using `vim` to edit a file and then save it

File Renaming Example

```
prompt> vim file.txt  
... vim editing session ...
```



```
int fd = open(".file.txt.swp", O_WRONLY|O_CREAT|O_TRUNC,S_IRUSR|S_IWUSR);  
write(fd, buffer, size); // write out new version of file (editing...)
```

Using `vim` to edit a file and then save it

File Renaming Example

```
prompt> vim file.txt  
... vim editing session ...  
prompt> ..... :WQ
```



```
int fd = open(".file.txt.swp", O_WRONLY|O_CREAT|O_TRUNC, S_IRUSR|S_IWUSR);  
write(fd, buffer, size); // write out new version of file  
fsync(fd); // make data durable  
close(fd); // close tmp file  
rename(".file.txt.swp", "file.txt"); // change name and replacing old file
```

Using vim to edit a file and then save it

Deleting Files

```
prompt> rm file.txt
```

Deleting Files

```
prompt> strace rm file.txt
...
unlink("file.txt") = 0
...
prompt>
```

Deleting Files

System call `unlink()` is called to delete a file

```
prompt> strace rm file.txt
...
unlink("file.txt") = 0
...
prompt>
```

Deleting Files

System call `unlink()` is called to delete a file

```
prompt> strace rm file.txt
...
unlink("file.txt") = 0
...
prompt>
```

Directories are deleted when `unlink()` is called

Q: File descriptors are deleted when ???

Demo: Hard Links vs. Symbolic Links

File System Implementation

File System Implementation

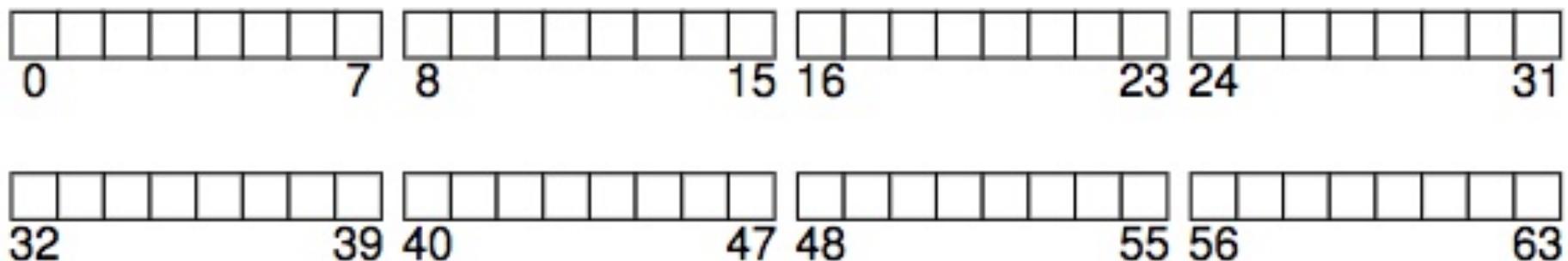
- On-disk structures
 - How do we represent files and directories?
- File system operations (internally)
 - How on-disk structures get touched when performing FS operations
- File system locality & data layout policies
 - How data layout impacts locality for on-disk FS?

On-Disk Structures

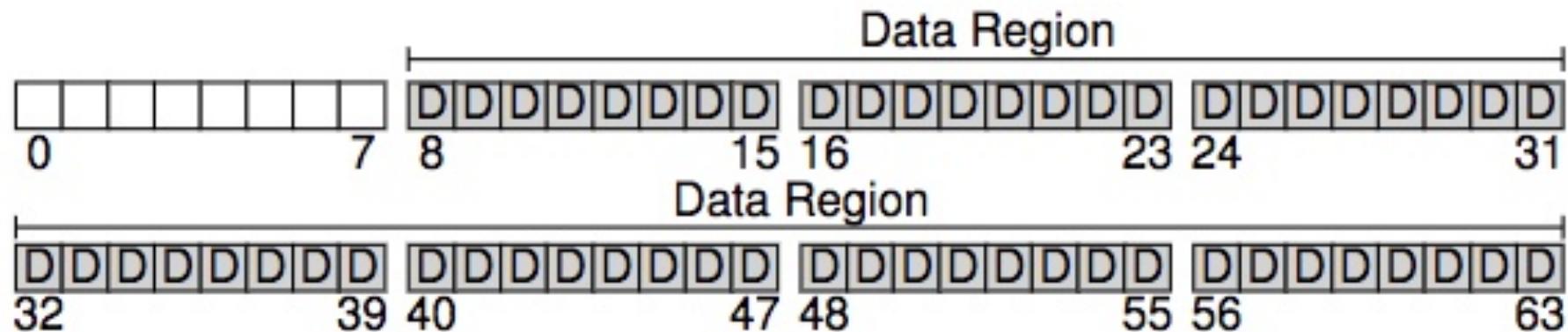
On-Disk Structures

- Common file system structures
 - Data block
 - inode table
 - Directories
 - Data bitmap
 - inode bitmap
 - Superblock

On-Disk Structure: Empty Disk



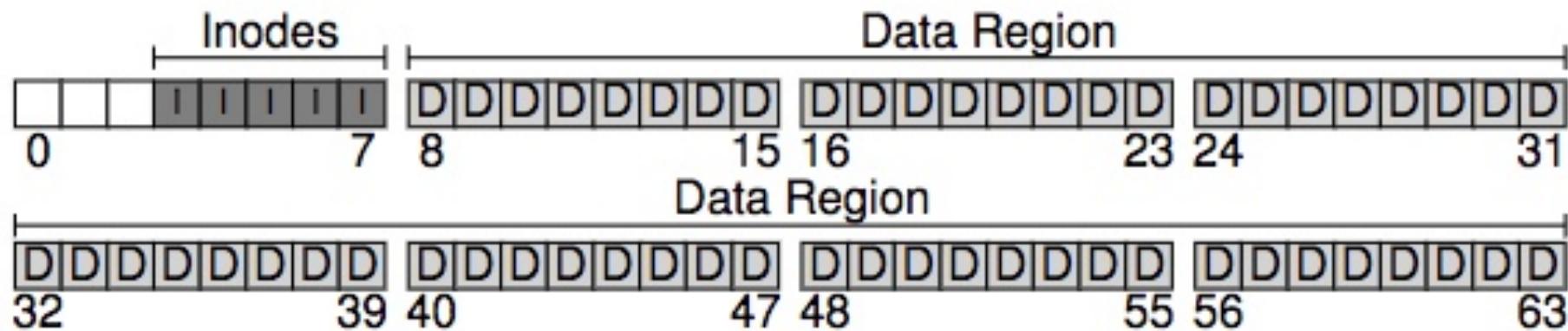
On-Disk Structure: Data Blocks



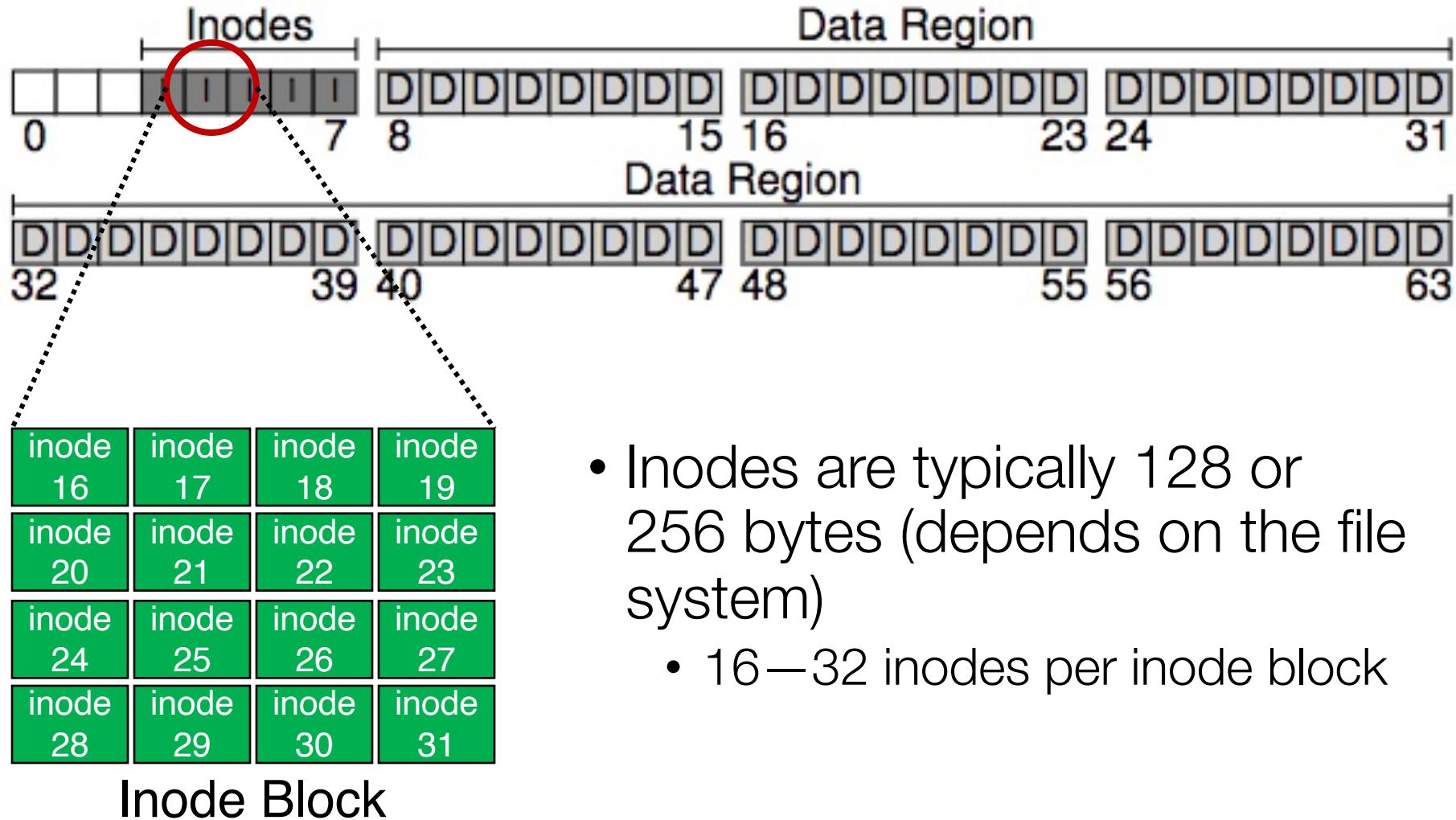
On-Disk Structures

- Common file system structures
 - Data block
 - **inode table**
 - Directories
 - Data bitmap
 - inode bitmap
 - Superblock

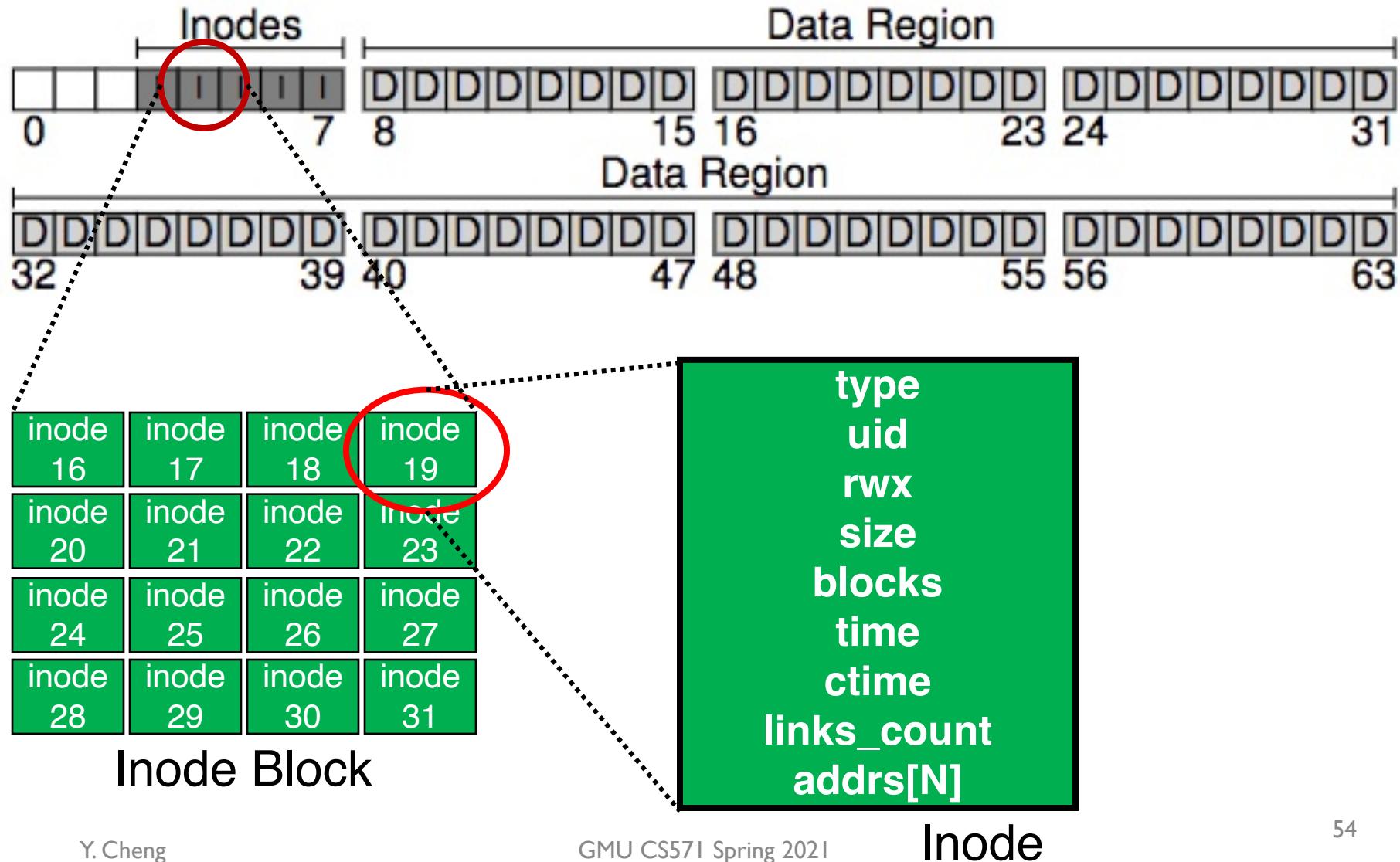
On-Disk Structure: Inodes



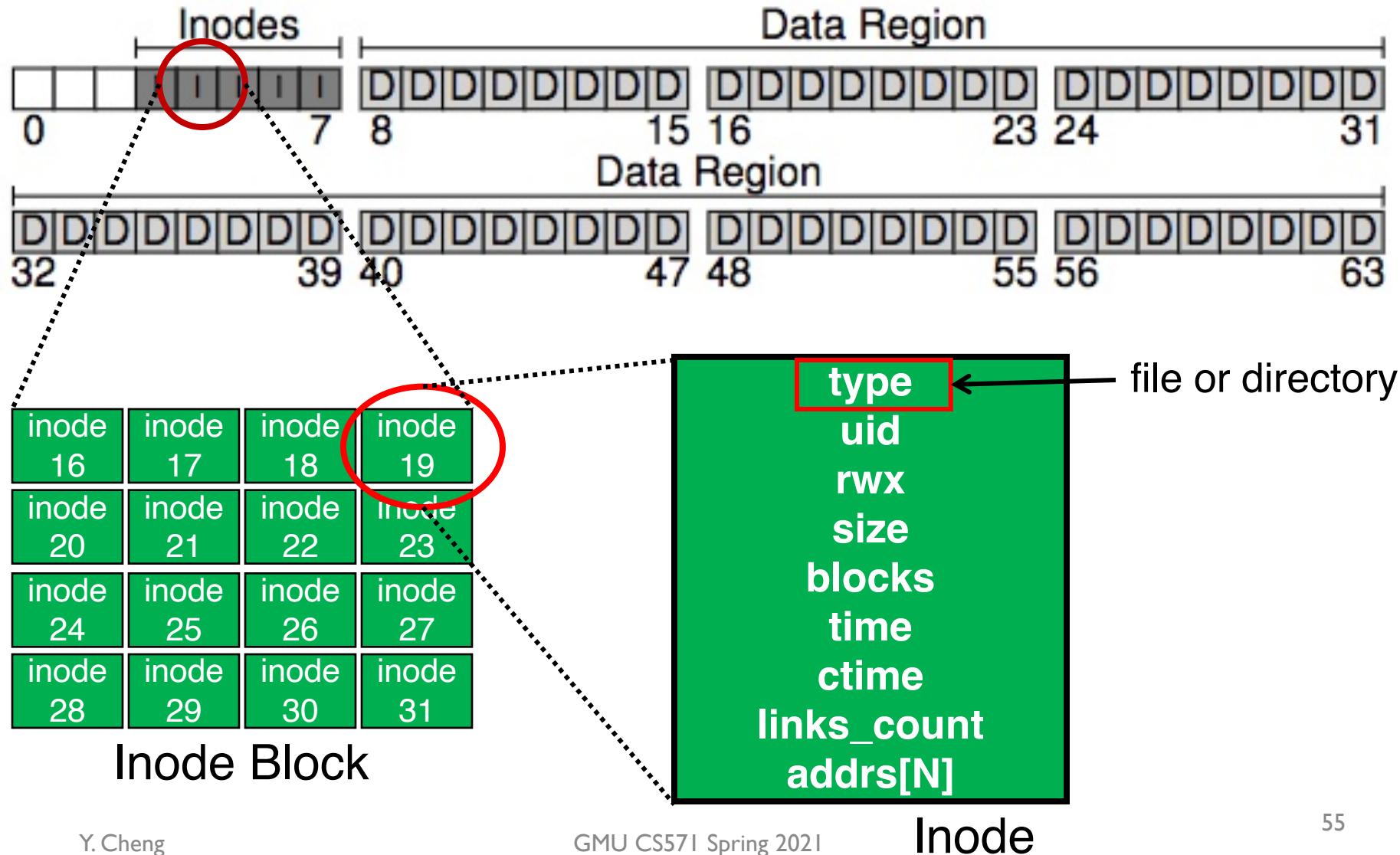
On-Disk Structure: Inodes



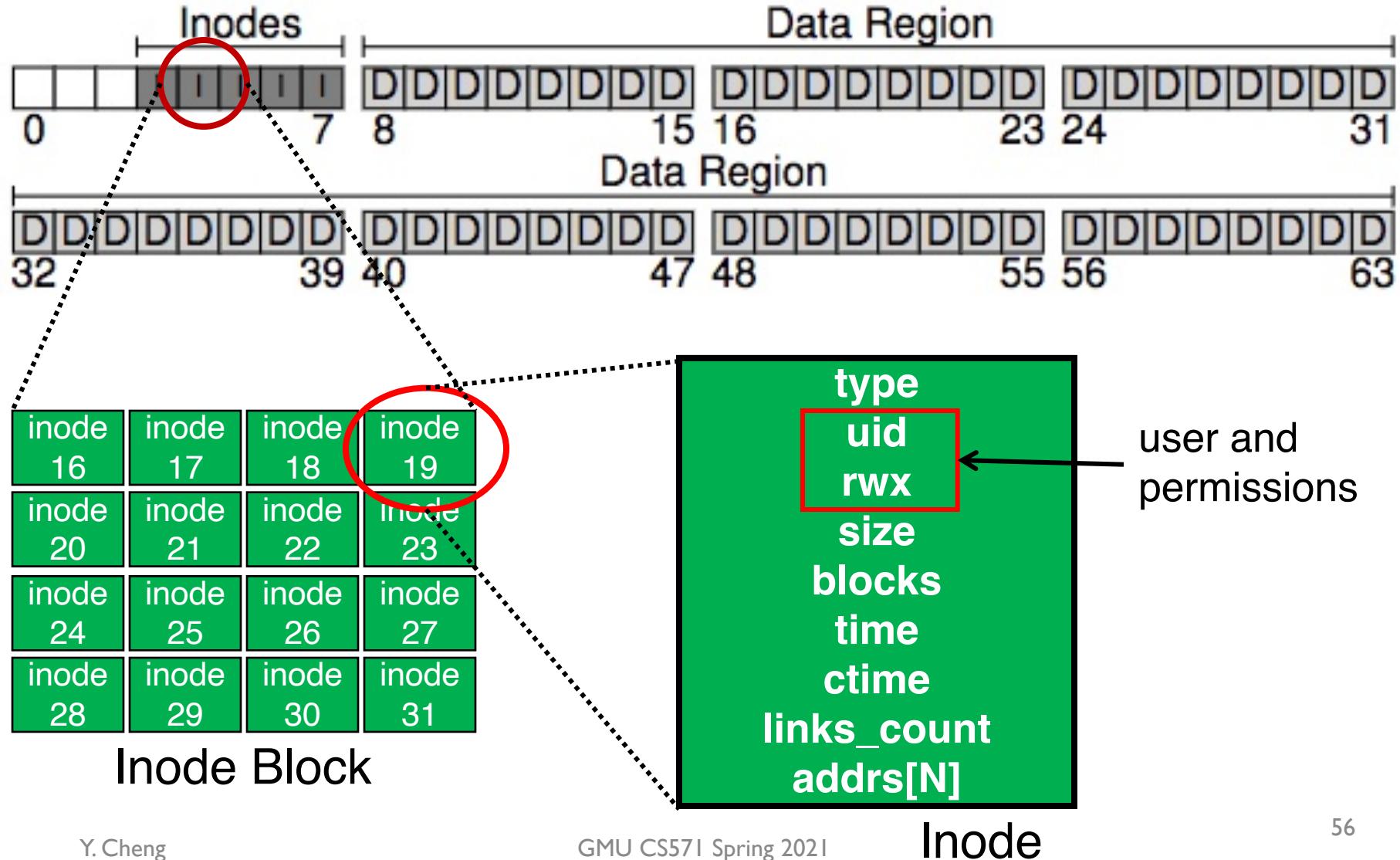
On-Disk Structure: Inodes



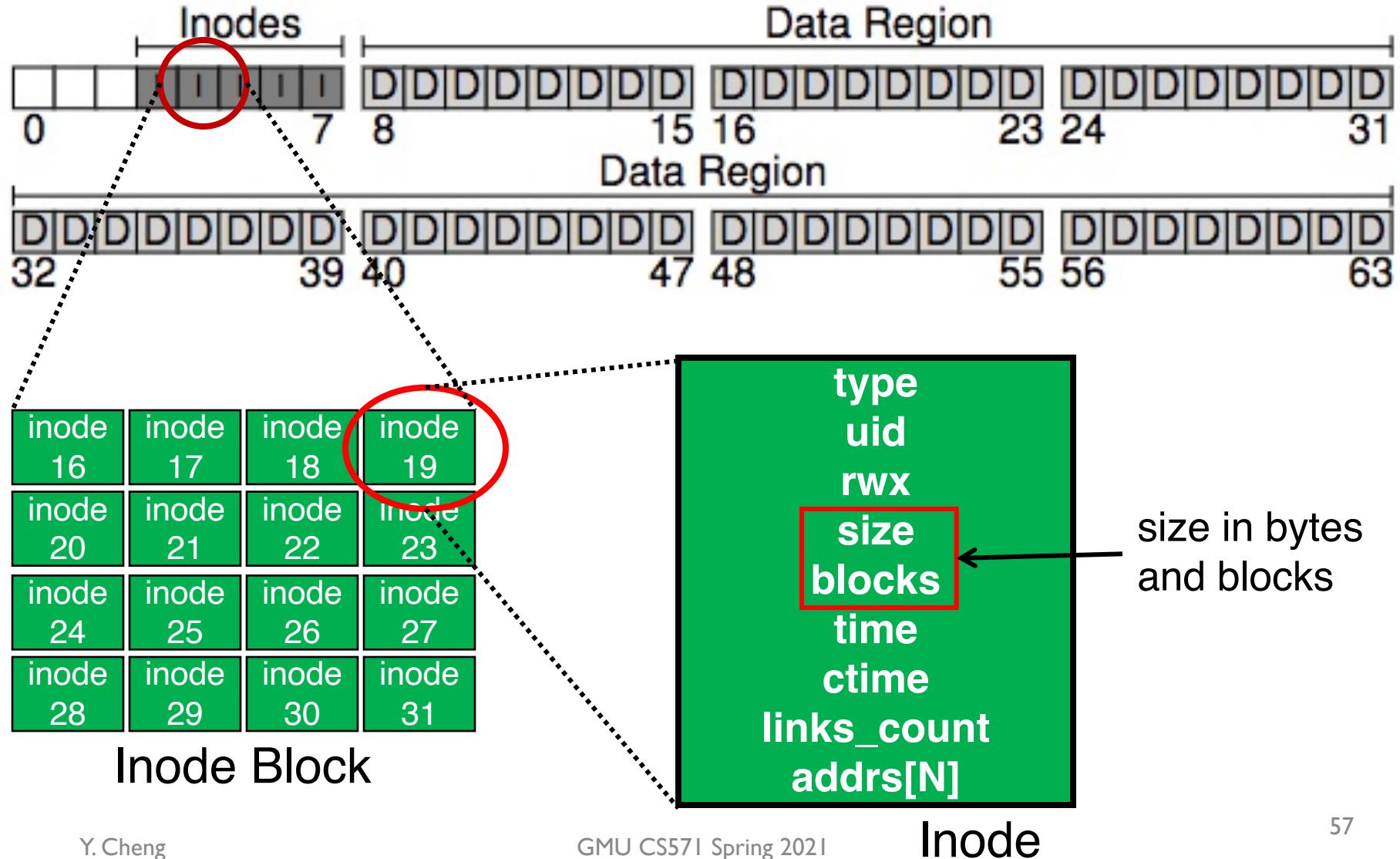
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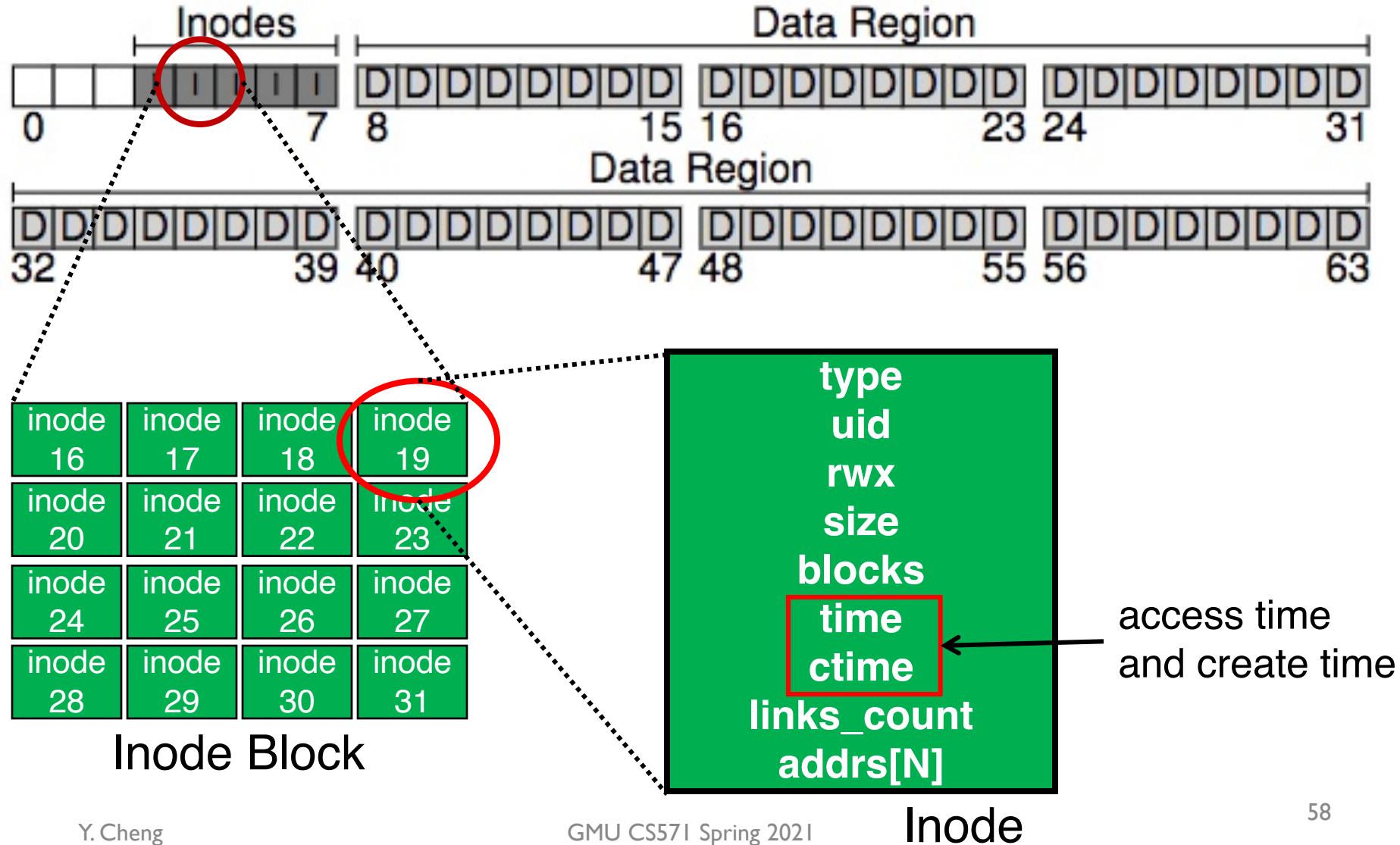
On-Disk Structure: Inodes



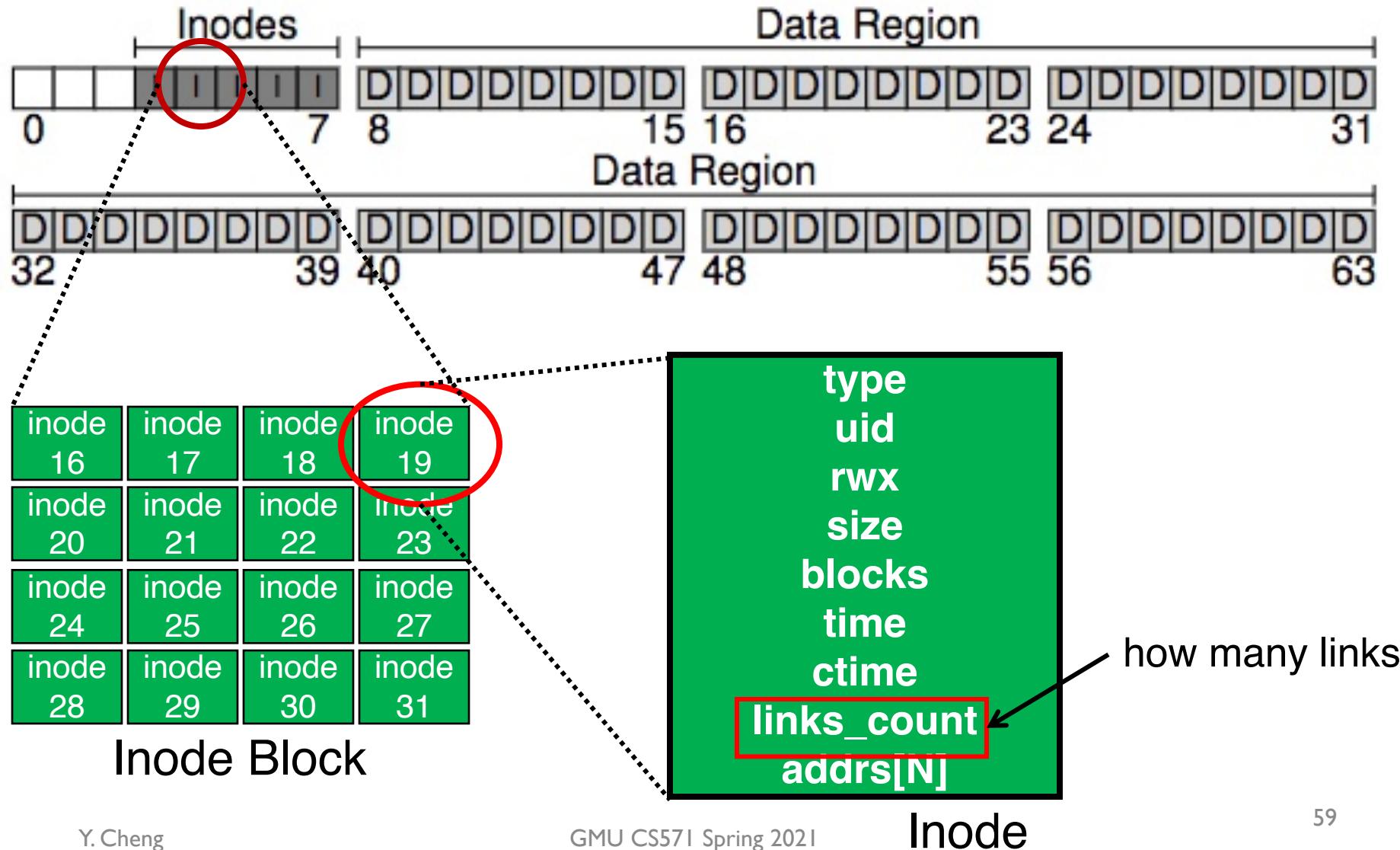
On-Disk Structure: Inodes



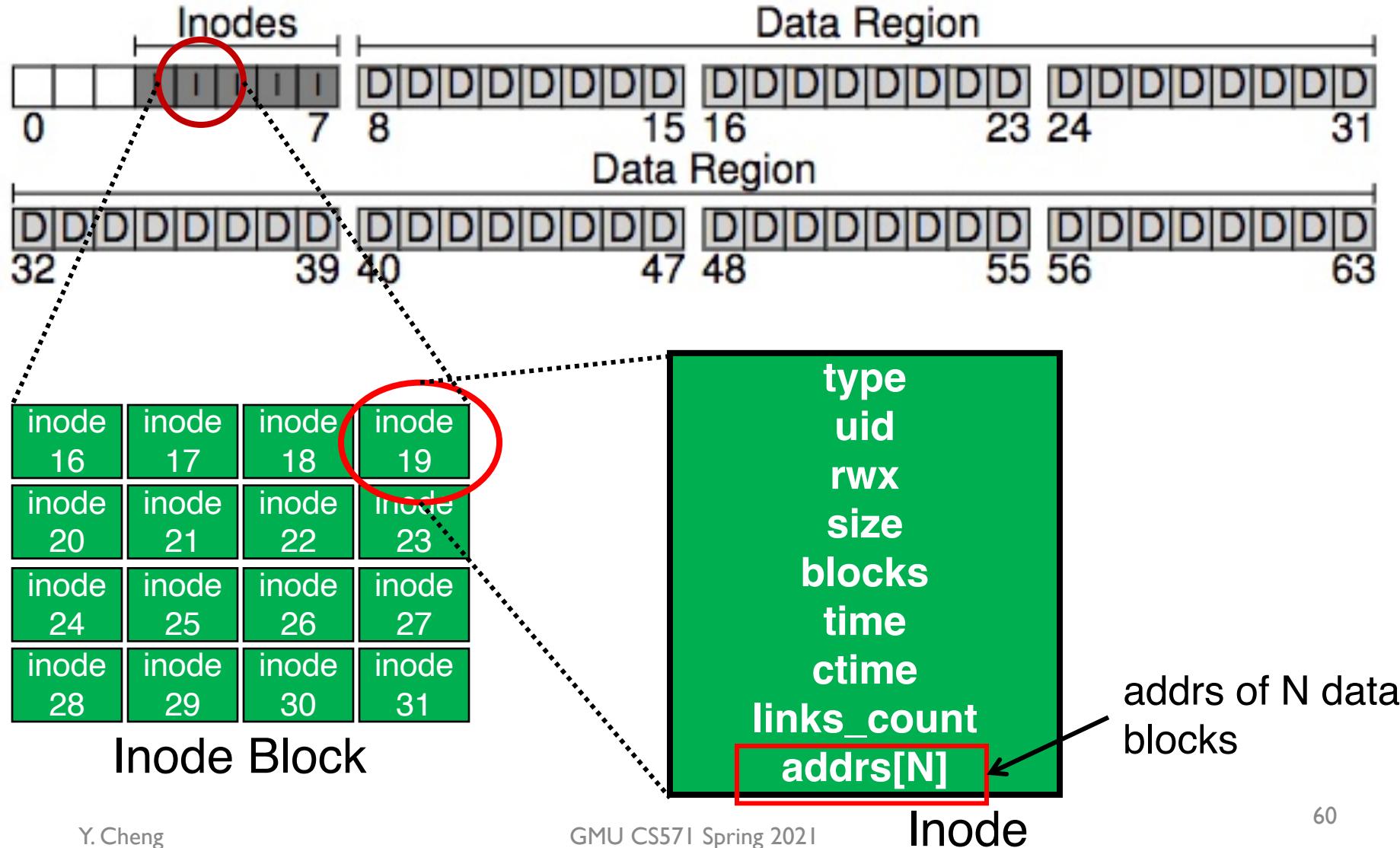
On-Disk Structure: Inodes



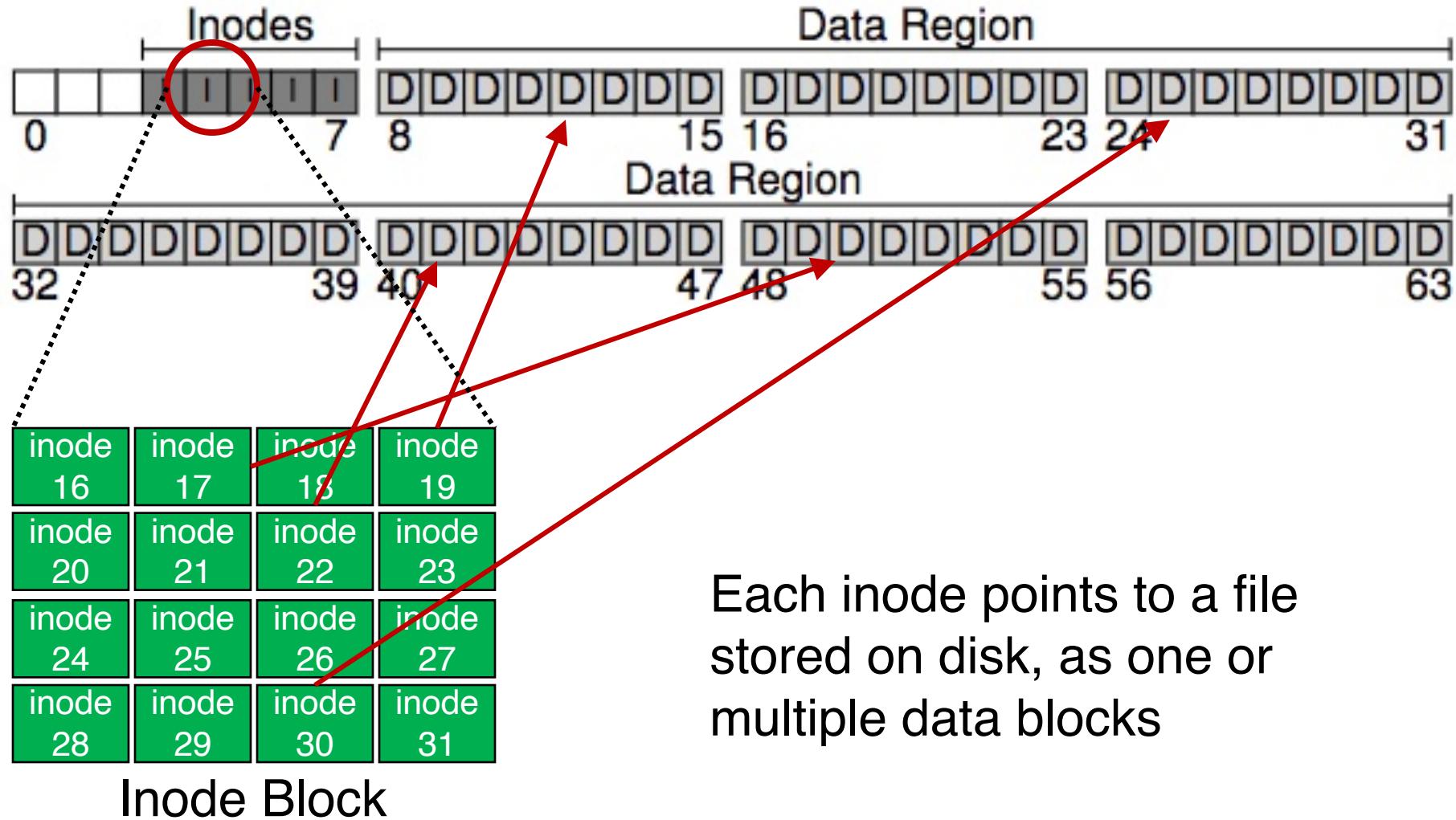
On-Disk Structure: Inodes



On-Disk Structure: Inodes



On-Disk Structure: Inodes



On-Disk Structures

- Common file system structures
 - Data block
 - Inode table
 - Directories
 - Data bitmap
 - Inode bitmap
 - Superblock

On-Disk Structure: Directories

- Common directory design: just store directory entries in files
 - Different file systems vary
- Various data structures (formats) could be used
 - Lists
 - B-trees

On-Disk Structures

- Common file system structures
 - Data block
 - inode table
 - Directories
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 - inode bitmap
 - Superblock

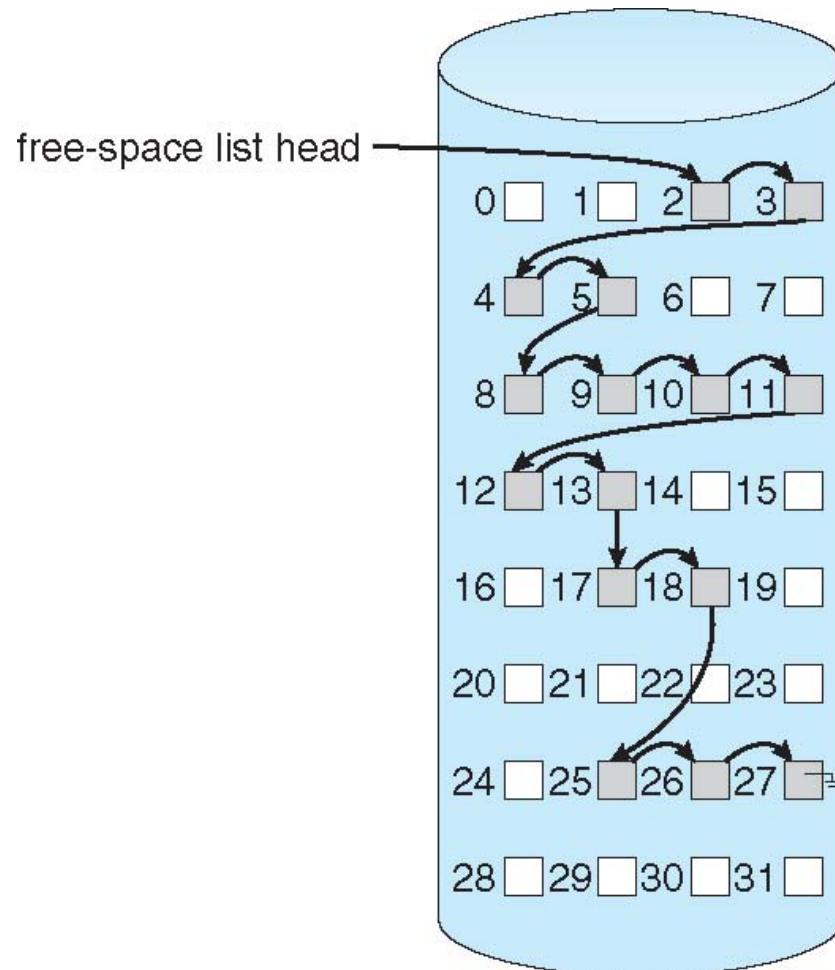
Allocation

- How does file system find free data blocks or free inodes?

Allocation

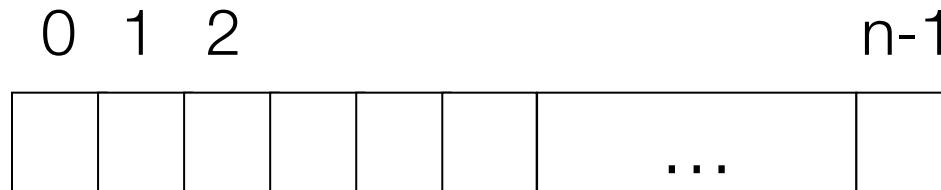
- How does file system find free data blocks or free inodes?
 - Free list
 - Bitmaps
- What are the tradeoffs?

Free List



Bitmap

Each bit of the bitmap is used to indicate whether the corresponding object/block is **free** (0) or **in-use** (1)

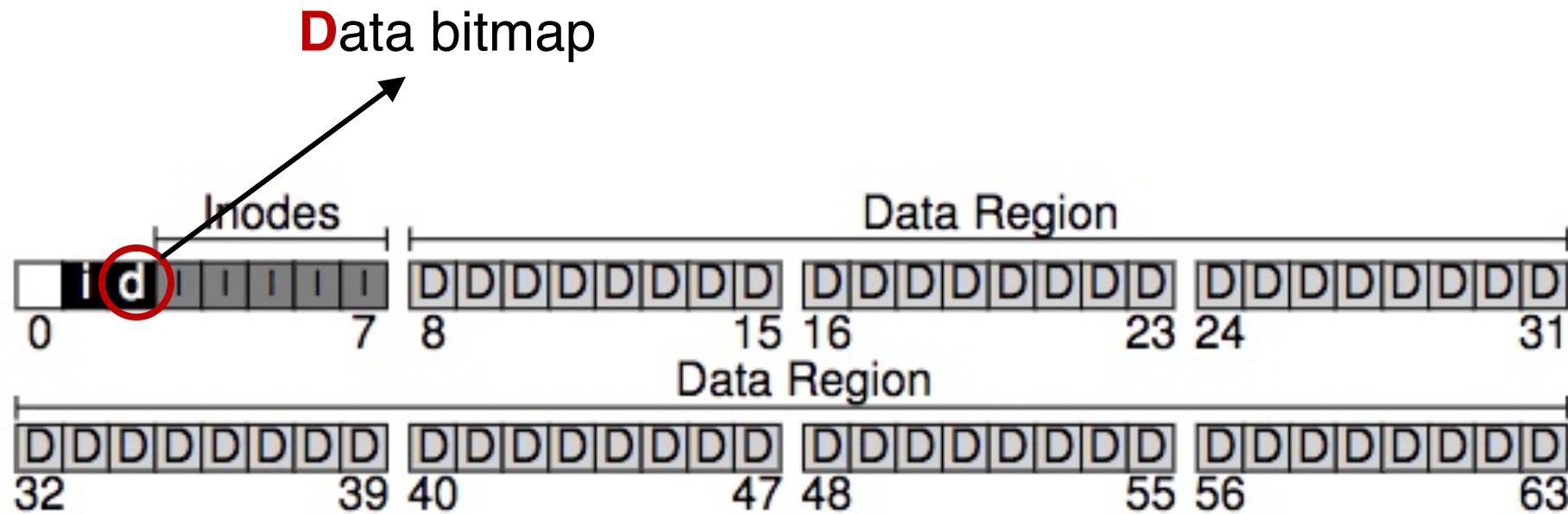


$$\text{bit}[i] = \begin{cases} 1 \Rightarrow \text{object}[i] \text{ in use} \\ 0 \Rightarrow \text{object}[i] \text{ free} \end{cases}$$

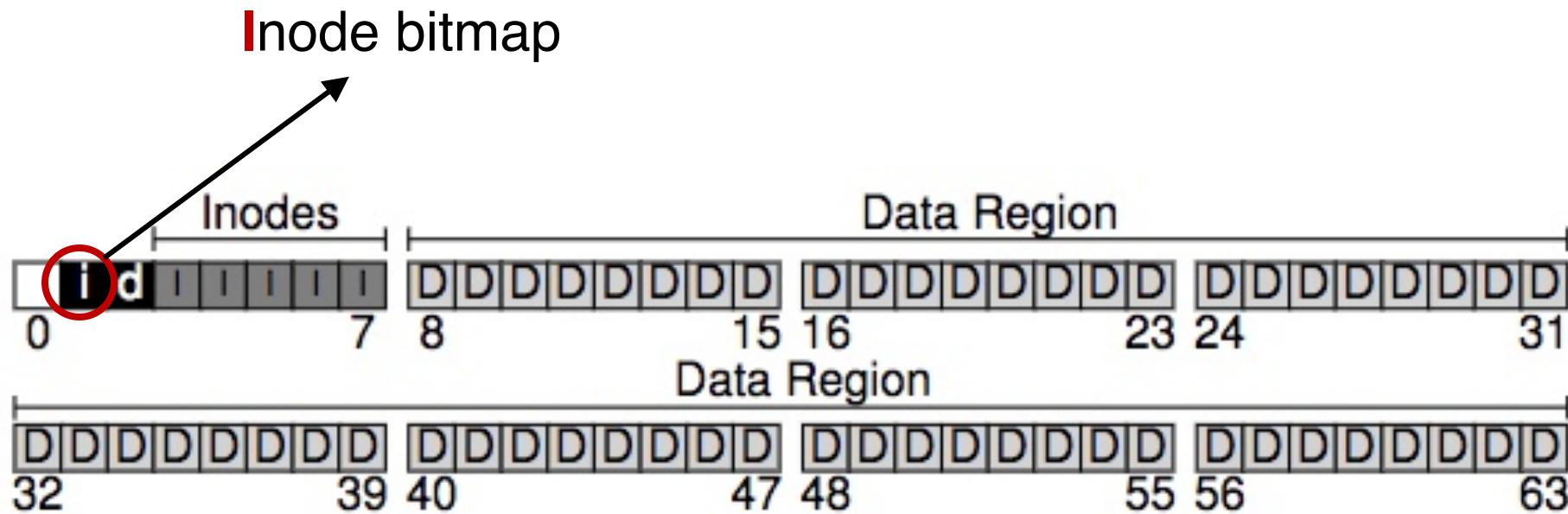
Allocation

- How does file system find free data blocks or free inodes?
 - Free list
 - Bitmaps
- What are the tradeoffs?
 - Free list: Cannot get contiguous space easily
 - Bitmap: Easy to allocate contiguous space for files

On-Disk Structure: Data Bitmaps



On-Disk Structure: Inode Bitmaps



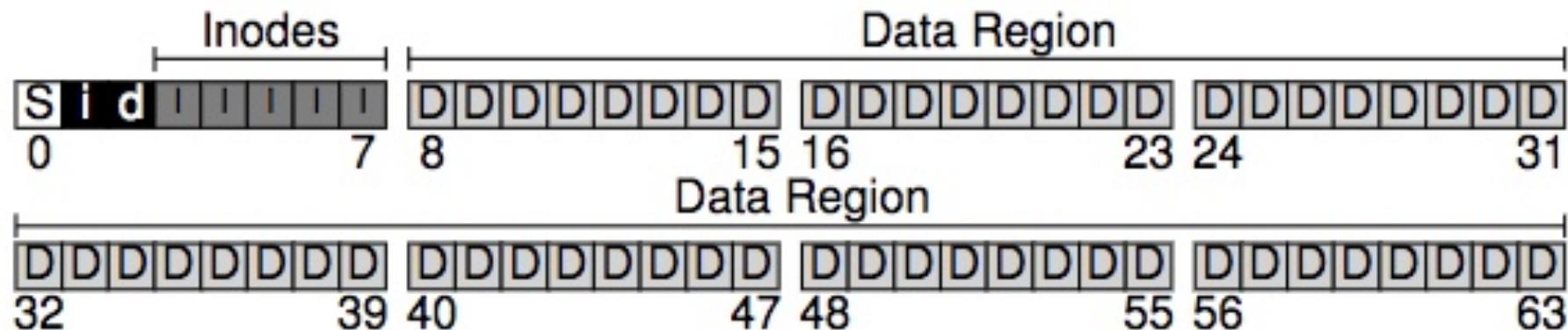
On-Disk Structures

- Common file system structures
 - Data block
 - Inode table
 - Directories
 - Data bitmap
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 - **Superblock**

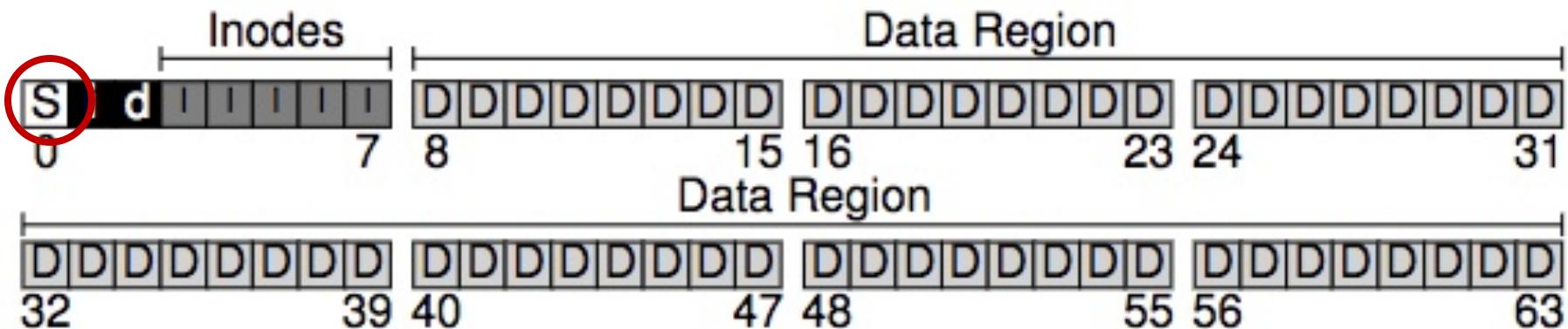
On-Disk Structure: Superblock

- Need to know basic file system configuration and runtime status, such as:
 - Block size
 - How many inodes are there
 - How much free space
- Store all these **metadata** info in a superblock

On-Disk Structure: Superblock



On-Disk Structure: Superblock



On-Disk Structure Overview

The Inode Table (Closeup)

The diagram illustrates the disk layout of a file system, showing the following components:

- Super**: Located at 0KB.
- i-bmap**: Located at 4KB.
- d-bmap**: Located at 8KB.
- Inodes**: Located at 12KB, spanning from index 0 to 7. The first 8 inodes (0-7) are highlighted with a red circle.
- Data Region**: Located at 16KB, spanning from index 8 to 31. It contains 24 data blocks labeled DDDDDDDDDDDDDDDDD.
- iblock 0**, **iblock 1**, **iblock 2**, **iblock 3**, **iblock 4**: Located at 16KB, 20KB, 24KB, 28KB, and 32KB respectively, each containing 8 data blocks labeled DDDDDDDD.

File System Operations

Basic File System Operations

create /foo/bar

| data bitmap | inode bitmap | root inode | foo inode | bar inode | root data | foo data |
|----------------|-----------------|---------------|--------------|--------------|--------------|-------------|
| | | | | | | |

Basic File System Operations

create /foo/bar

[traverse]

| data bitmap | inode bitmap | root inode | foo inode | bar inode | root data | foo data |
|-------------|--------------|------------|-----------|-----------|-----------|----------|
| | | read | | | | read |

Basic File System Operations

create /foo/bar

[traverse]

| data bitmap | inode bitmap | root inode | foo inode | bar inode | root data | foo data |
|-------------|--------------|------------|-----------|-----------|-----------|----------|
| | | read | | read | | read |

Basic File System Operations

create /foo/bar

[traverse]

| data bitmap | inode bitmap | root inode | foo inode | bar inode | root data | foo data |
|-------------|--------------|------------|-----------|-----------|-----------|----------|
| | | read | | | read | |

foo inode: we have permission
foo data: bar doesn't exist

Basic File System Operations

create /foo/bar

| data bitmap | inode bitmap | root inode | foo inode | bar inode | root data | foo data |
|-------------|--------------|------------|-----------|-----------|-----------|----------|
| | | read | | | read | read |

Basic File System Operations

create /foo/bar

[allocate inode]

Basic File System Operations

create /foo/bar

[populate inode]

| data bitmap | inode bitmap | root inode | foo inode | bar inode | root data | foo data |
|-------------|---------------|------------|-----------|---------------|-----------|----------|
| | | read | | | read | |
| | | | read | | | read |
| | read write | | | | | |
| | | | | read write | | |

Basic File System Operations

create /foo/bar

[add bar to /foo]

| data bitmap | inode bitmap | root inode | foo inode | bar inode | root data | foo data |
|-------------|---------------|------------|-----------|---------------|-----------|----------|
| | | read | | | read | |
| | | | read | | | read |
| | read write | | | | | |
| | | | | read write | | |
| | | | write | | | write |

Basic File System Operations

write to /foo/bar

| data bitmap | inode bitmap | root inode | foo inode | bar inode | root data | foo data | bar data |
|----------------|-----------------|---------------|--------------|--------------|--------------|-------------|-------------|
| | | | | | | | |

Basic File System Operations

write to /foo/bar

[block full? yes]

| data bitmap | inode bitmap | root inode | foo inode | bar inode | root data | foo data | bar data |
|----------------|-----------------|---------------|--------------|--------------|--------------|-------------|-------------|
| | | | | | read | | |

Basic File System Operations

write to /foo/bar

[allocate block]

| data bitmap | inode bitmap | root inode | foo inode | bar inode | root data | foo data | bar data |
|----------------|-----------------|---------------|--------------|--------------|--------------|-------------|-------------|
| | | | | | read | | |

read
write

Basic File System Operations

write to /foo/bar

[point to block]



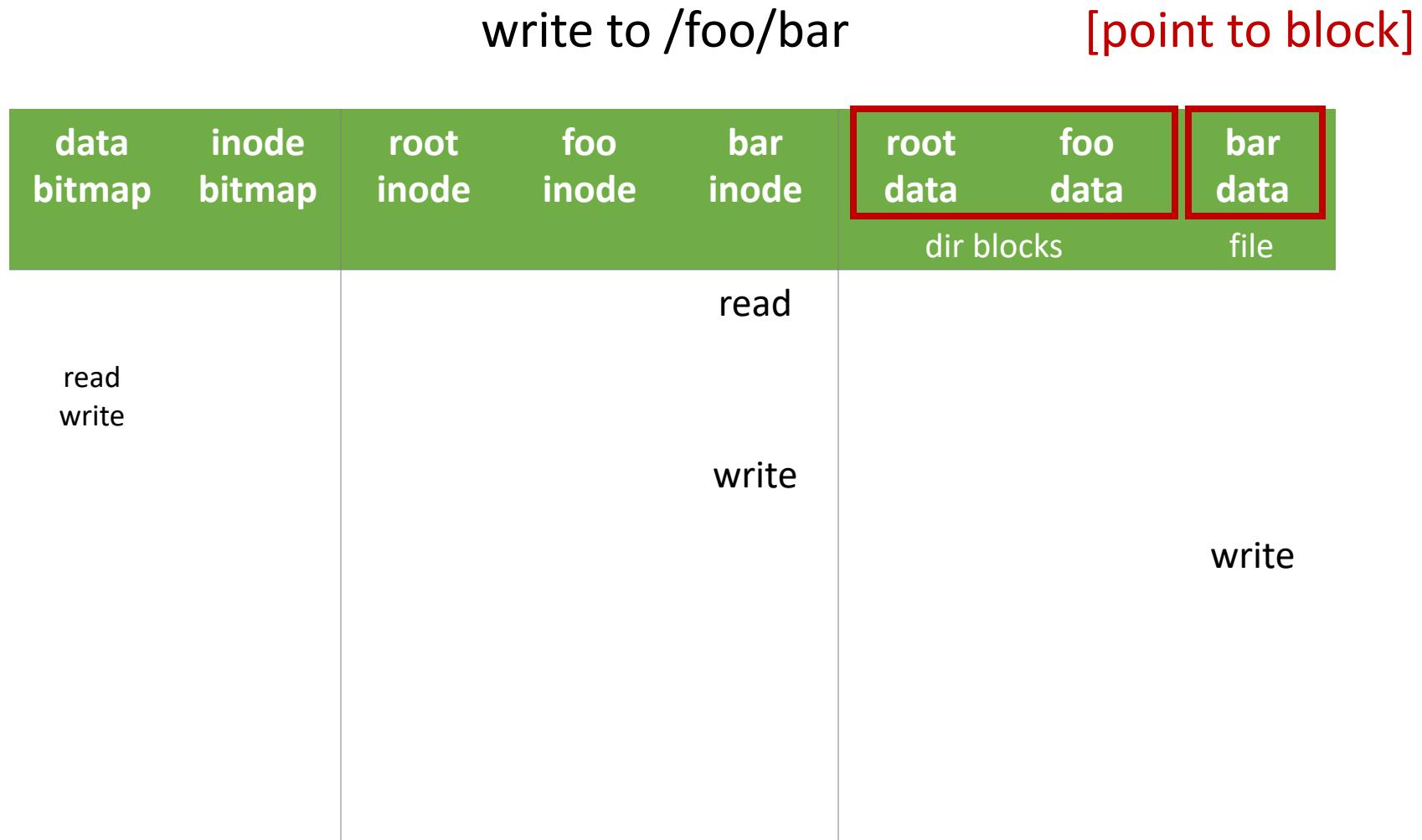
Basic File System Operations

write to /foo/bar

[point to block]



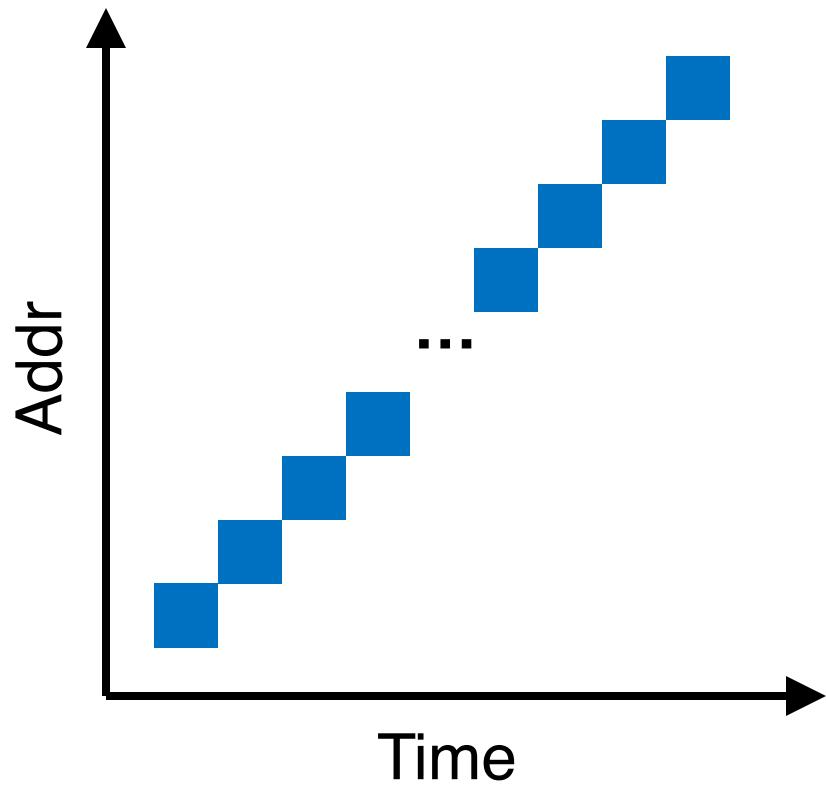
Basic File System Operations



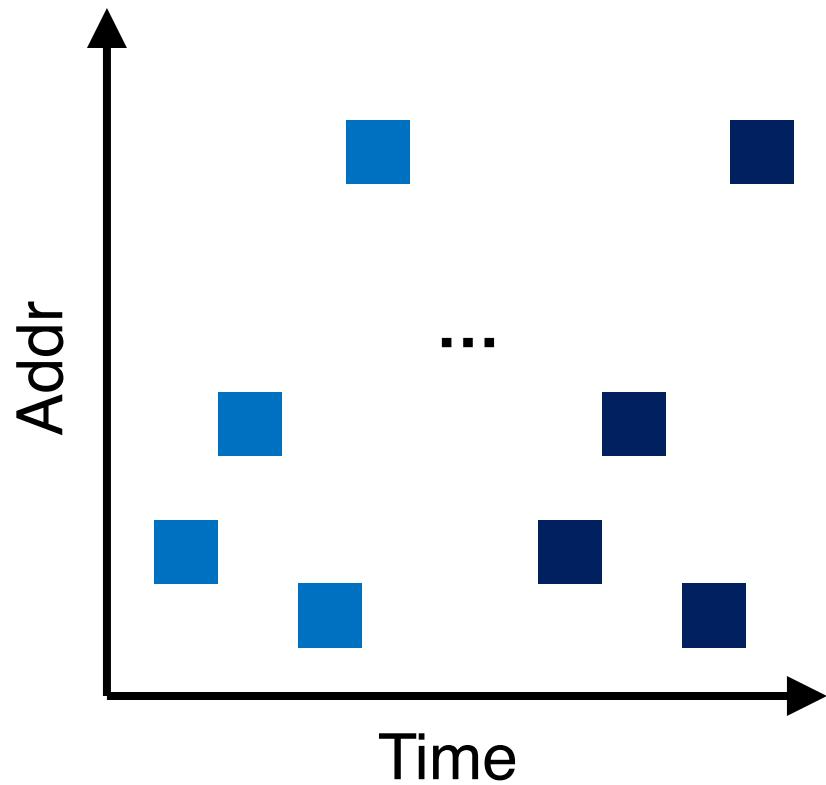
Locality & Data Layout

Review: Locality Types

Workload A

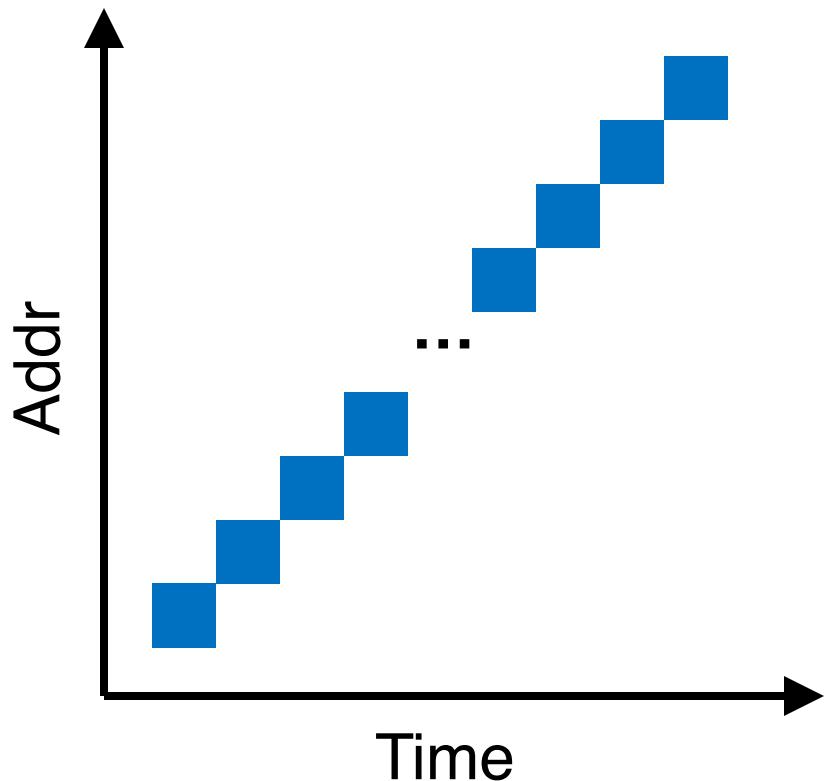


Workload B



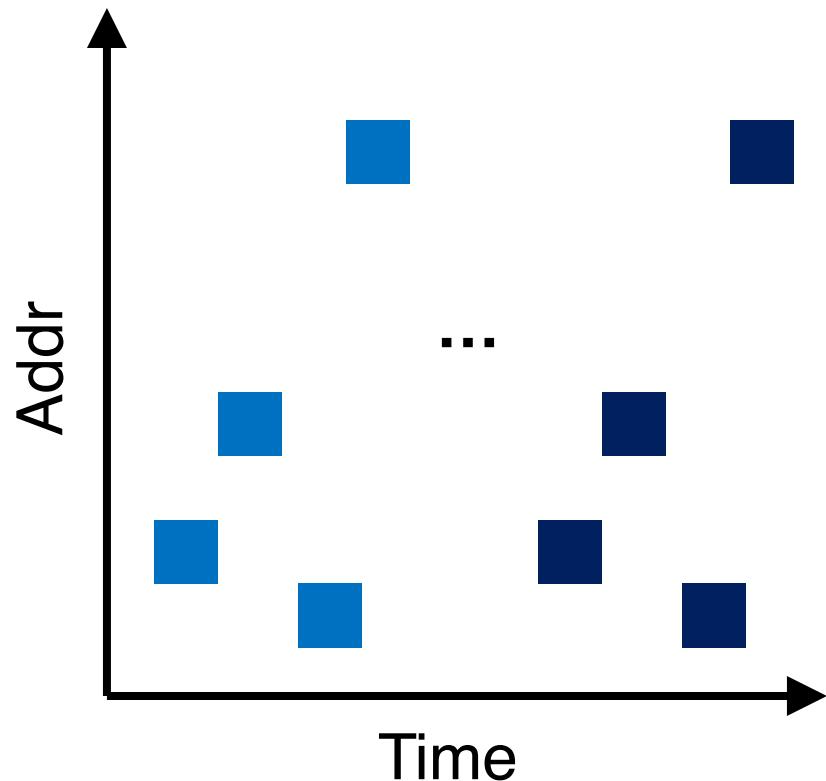
Review: Locality Types

Workload A



Spatial Locality

Workload B



Temporal Locality

Locality Usefulness in the Context of Disk-based File Systems

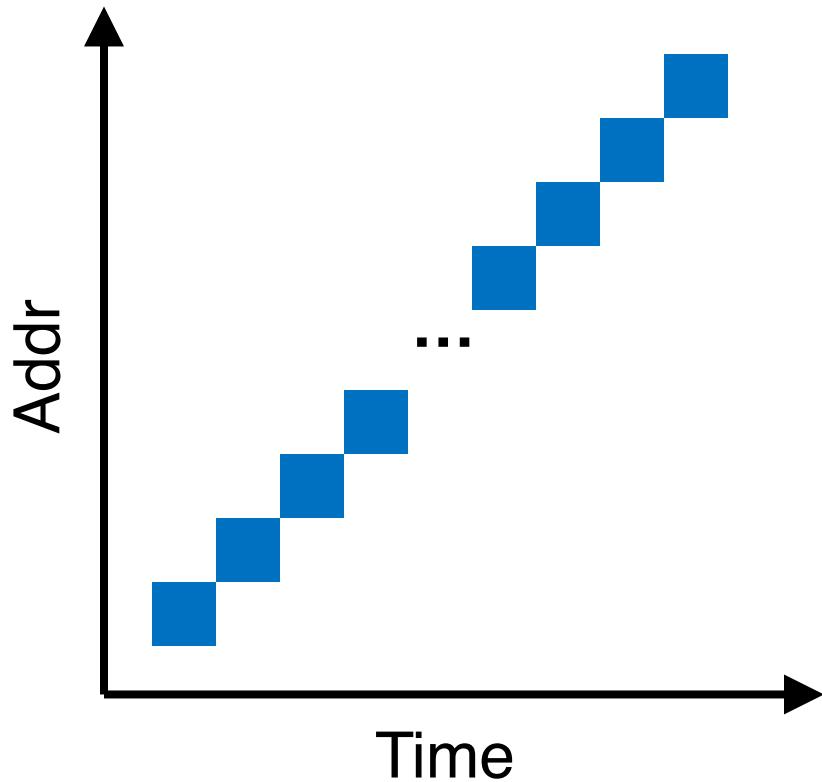
- What types of locality are useful for a *cache*?
- What types of locality are useful for a disk?

Locality Usefulness in the Context of Disk-based File Systems

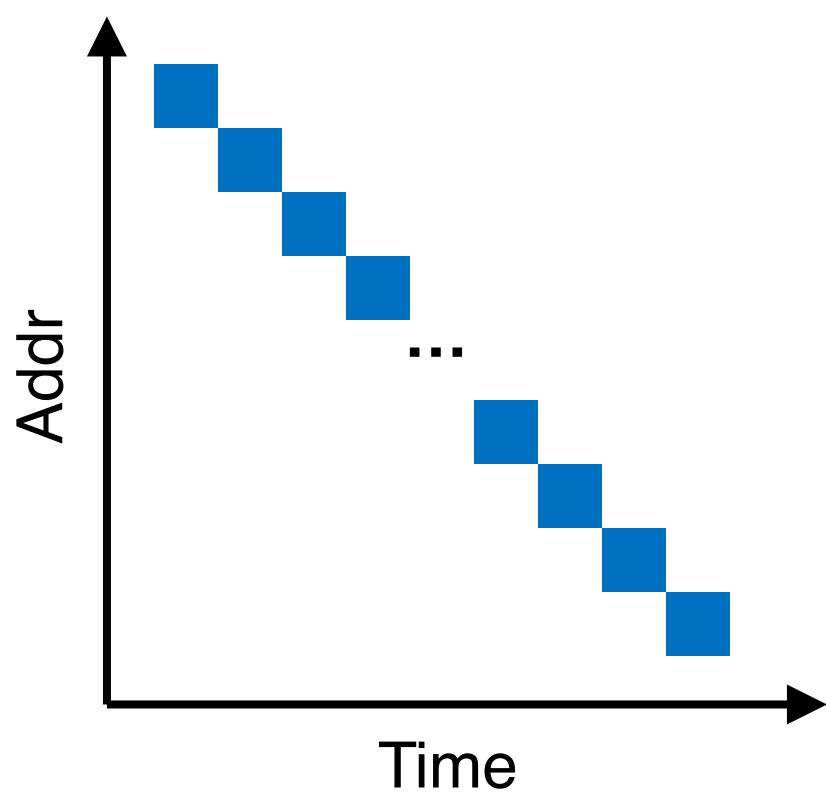
- What types of locality are useful for a **cache**?
 - Possibly, both spatial & temporal locality
- What types of locality are useful for a **disk**?
 - Spatial locality, since a disk sucks in random I/Os but can provide reasonably good sequential performance

Order Matters Now for FS on Disk

Workload A

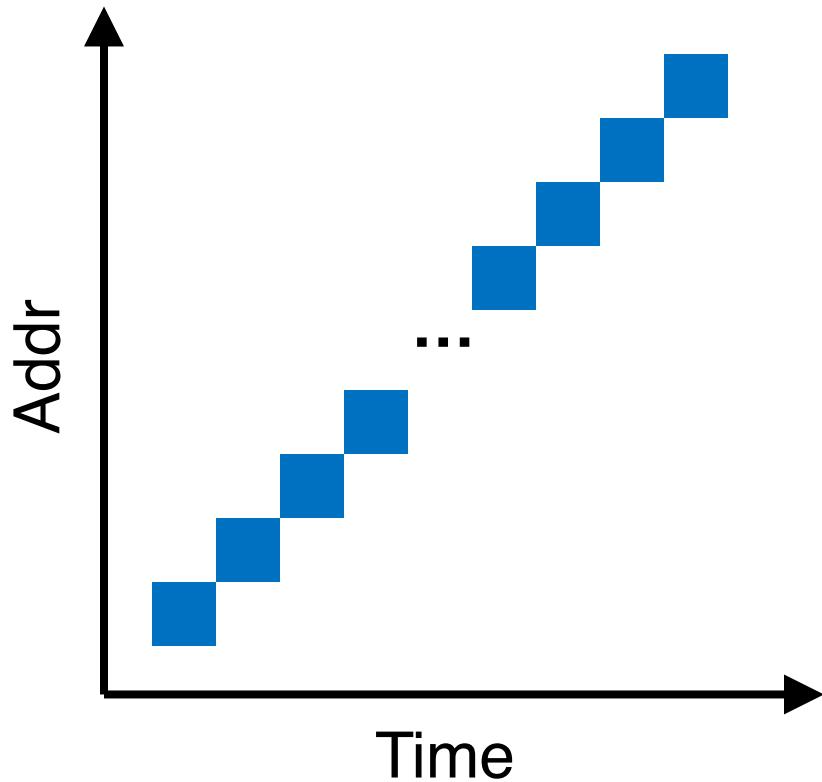


Workload B



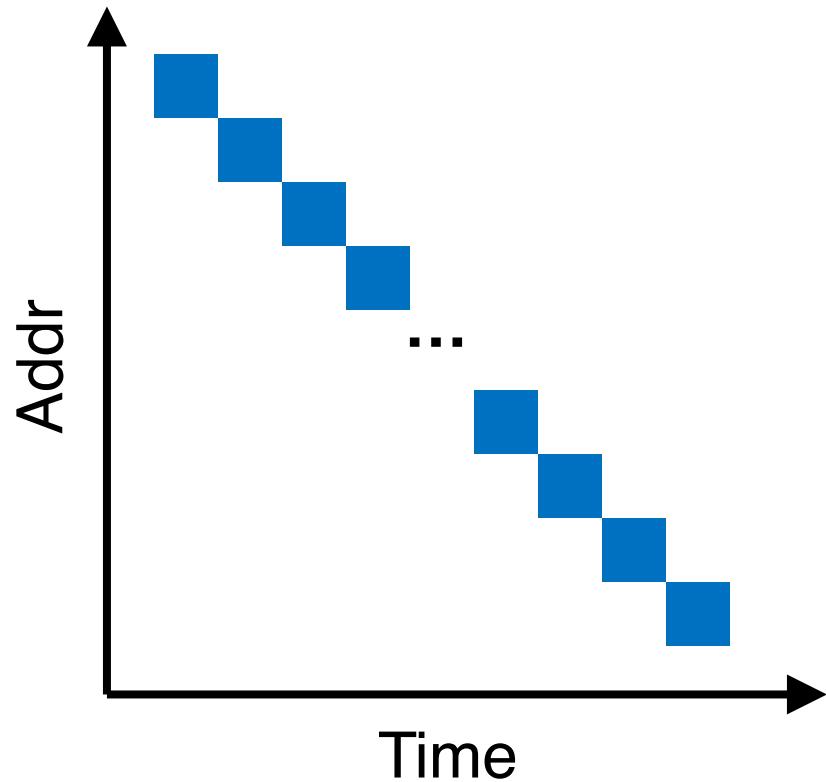
Order Matters Now for FS on Disk

Workload A



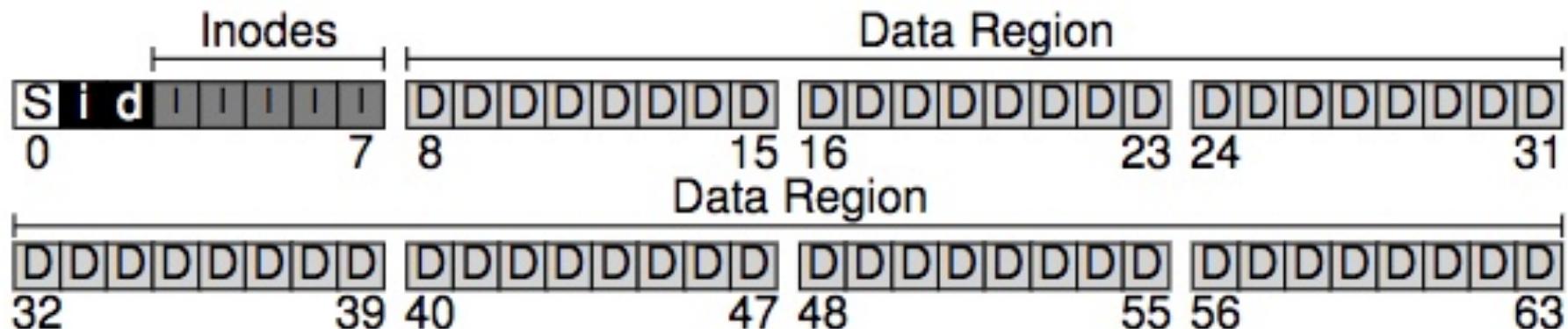
Fast

Workload B

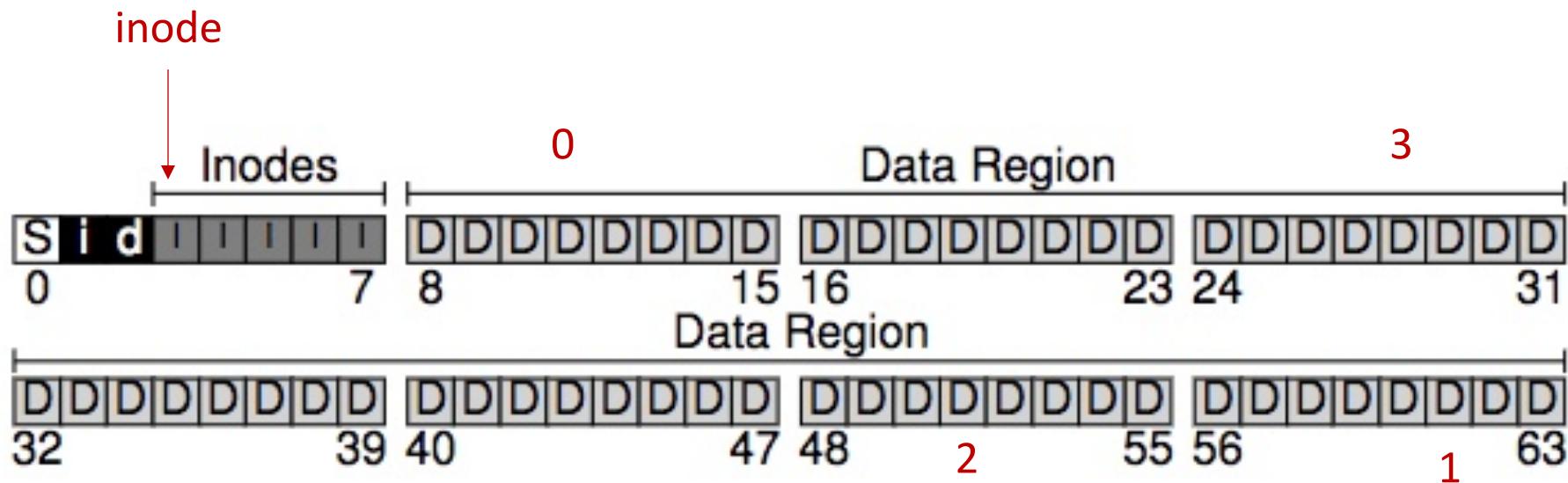


Slow

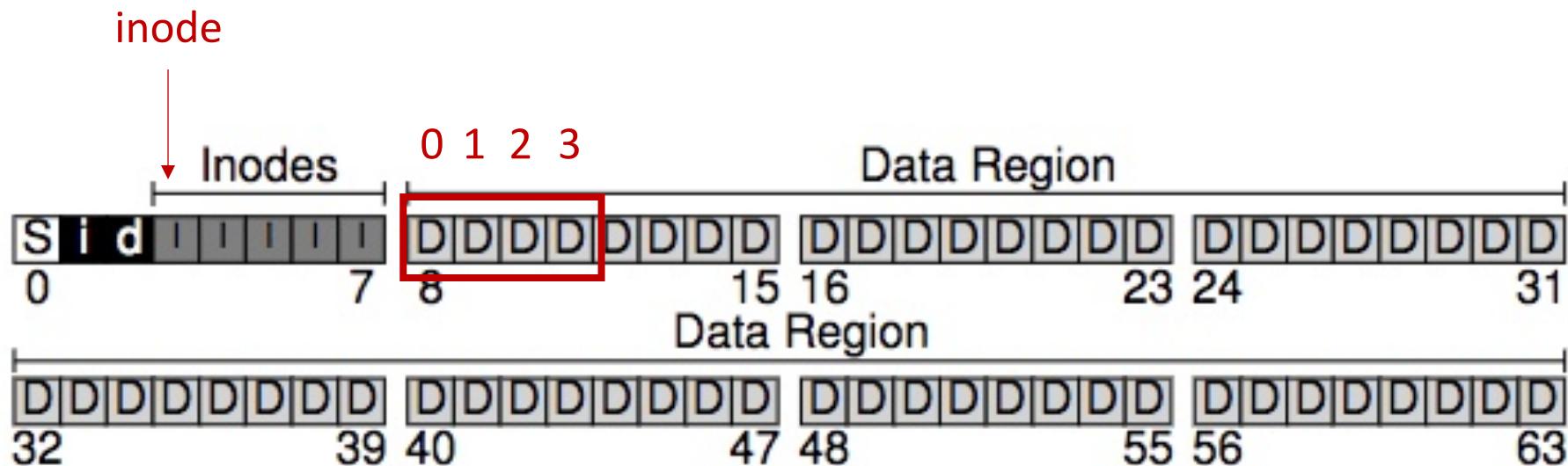
Policy: Choose Inode, Data Blocks



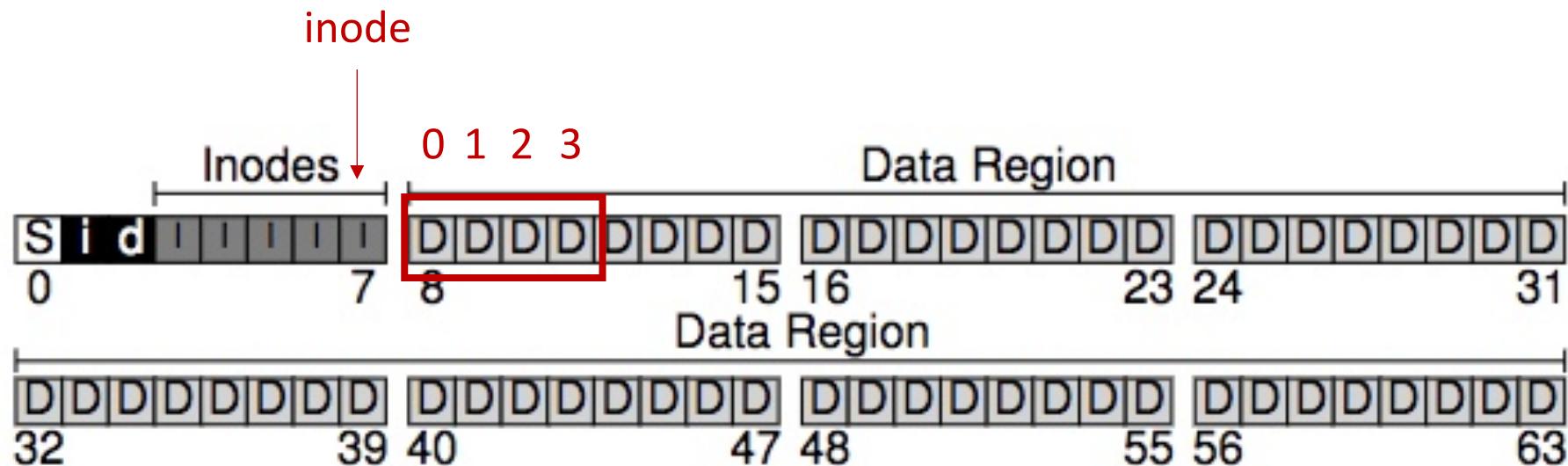
Bad File Layout



Better File Layout



Best File Layout



Recap on Disks

Properties of A Single Disk

- A single disk is slow
 - Kind of Okay sequential I/O performance
 - Really bad for random I/O

Properties of A Single Disk

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Properties of A Single Disk

- A single disk is slow
 - Kind of Okay sequential I/O performance
 - Really bad for random I/O
- The storage capacity of a single disk is limited
- A single disk is not reliable

RAID: Redundant Array of Inexpensive Disks

Wish List for a Disk

- Wish it to be **faster**
 - I/O is always the performance bottleneck

Wish List for a Disk

- Wish it to be **faster**
 - I/O is always the performance bottleneck
- Wish it to be **larger**
 - More and more data needs to be stored

Wish List for a Disk

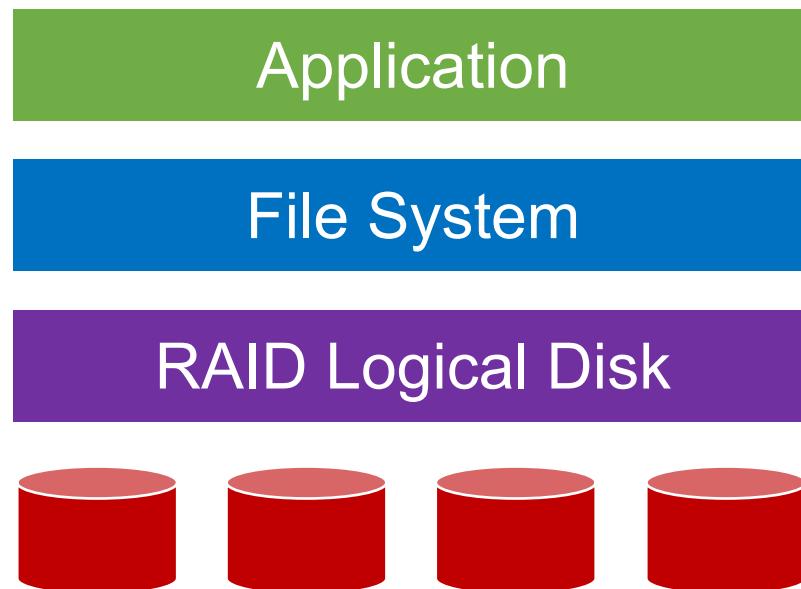
- Wish it to be **faster**
 - I/O is always the performance bottleneck
- Wish it to be **larger**
 - More and more data needs to be stored
- Wish it to be **more reliable**
 - We don't want our valuable data to be gone

Only One Disk?

- Sometimes we want many disks
 - For higher performance
 - For larger capacity
 - For better reliability
- **Challenge:** Most file systems work on only one disk

Solution: RAID

RAID: Redundant Array of Inexpensive Disks



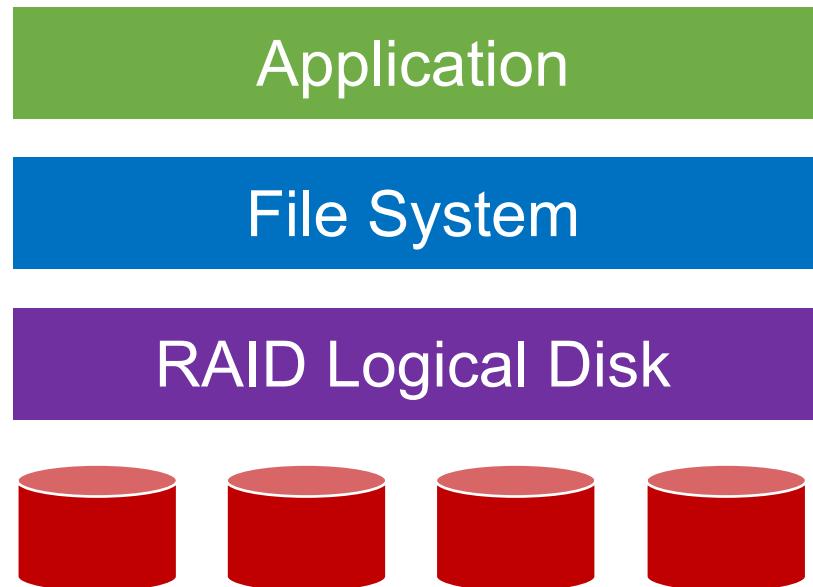
Build a logical disk from many physical disks

Solution: RAID

RAID: Redundant Array of Inexpensive Disks

RAID is

- Transparent
- Deployable



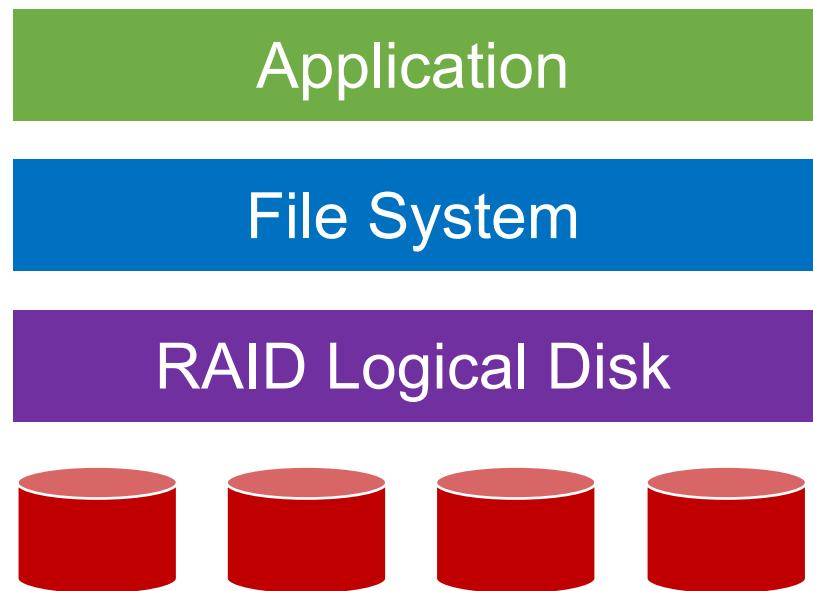
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RAID: Redundant Array of Inexpensive Disks

RAID is

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Logical disks gives

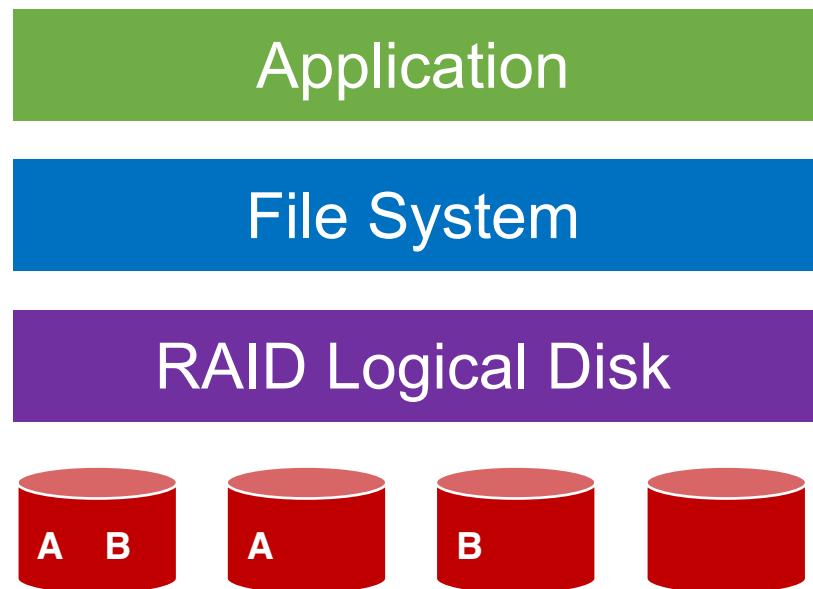
- Performance
- Capacity
- Reliability

Build a logical disk from many physical disks

Solution: RAID

RAID: Redundant Array of Inexpensive Disks

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- Logical disks gives
- Performance
 - Capacity
 - Reliability

Build a logical disk from many physical disks

Why Inexpensive Disks?

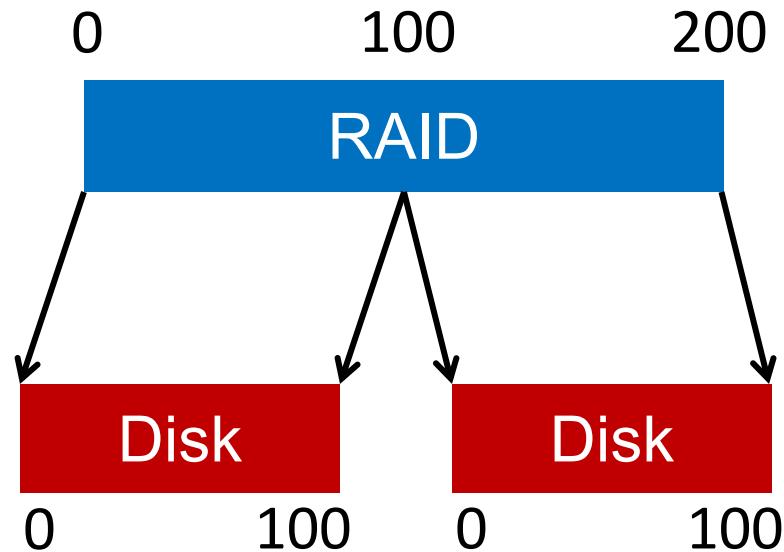
- Economies of scale! Cheap disks are popular
- You can often get **many commodity** hardware components for the same price as a **few expensive** components

Why Inexpensive Disks?

- Economies of scale! Cheap disks are popular
- You can often get **many commodity** hardware components for the same price as a **few expensive** components
- Strategy: Write software to **build high-quality logical devices from many cheap devices**
 - Tradeoff: To compensate poor properties of cheap devices

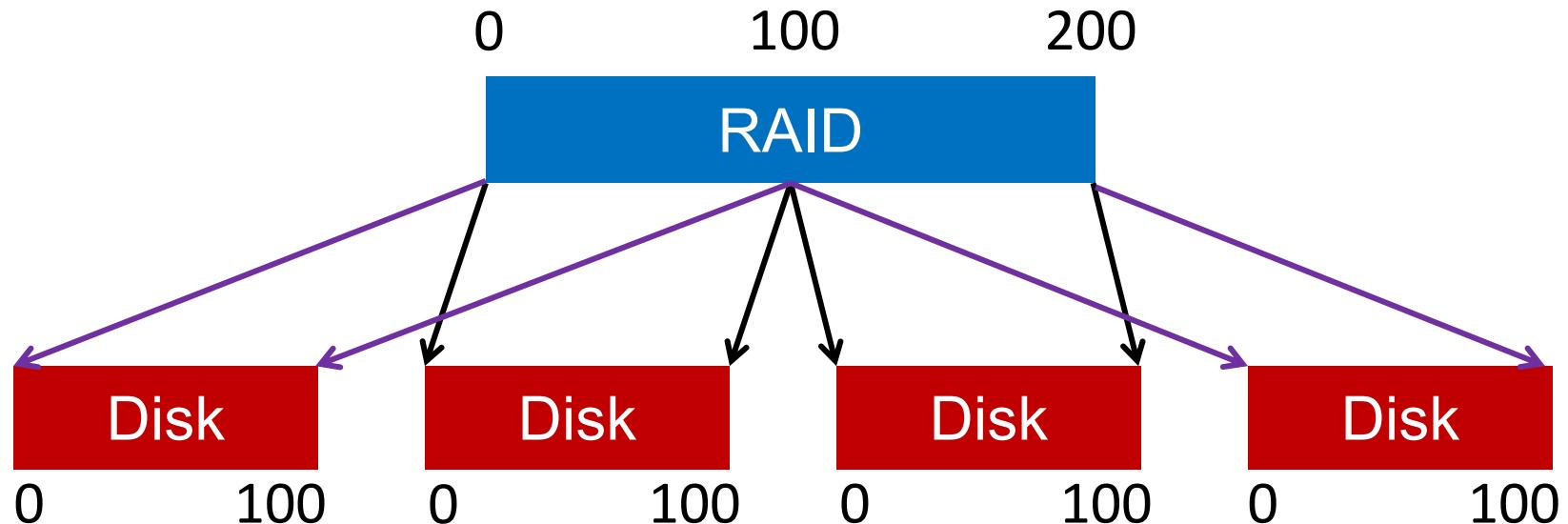
General Strategy

Build fast and large disks from smaller ones



General Strategy

Build fast and large disks from smaller ones
Add more disks for **reliability++!**



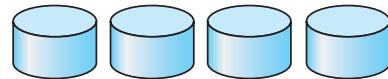
RAID Metrics

- Performance
 - How long does each workload take?
- Capacity
 - How much space can apps use?
- Reliability
 - How many disks can we safely lose?

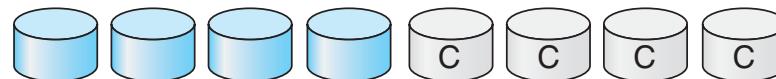
RAID Metrics

- Performance
 - How long does each workload take?
- Capacity
 - How much space can apps use?
- Reliability
 - How many disks can we safely lose?
 - Assume **fail-stop** model!

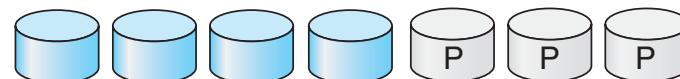
RAID Levels



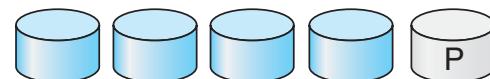
(a) RAID 0: non-redundant striping.



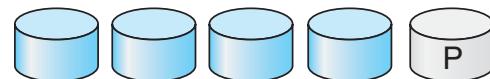
(b) RAID 1: mirrored disks.



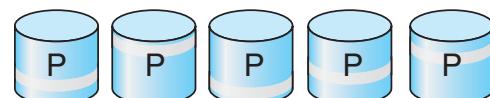
(c) RAID 2: memory-style error-correcting codes.



(d) RAID 3: bit-interleaved parity.

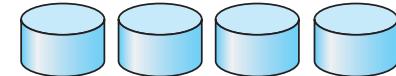


(e) RAID 4: block-interleaved parity.

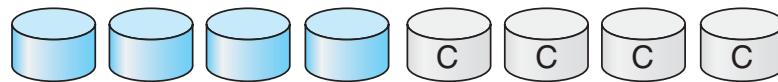


(f) RAID 5: block-interleaved distributed parity.

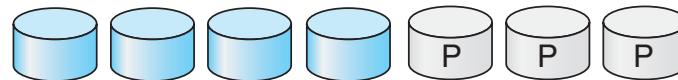
RAID Level 0



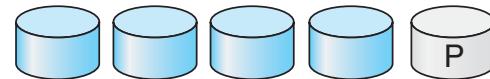
(a) RAID 0: non-redundant striping.



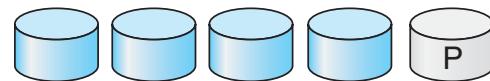
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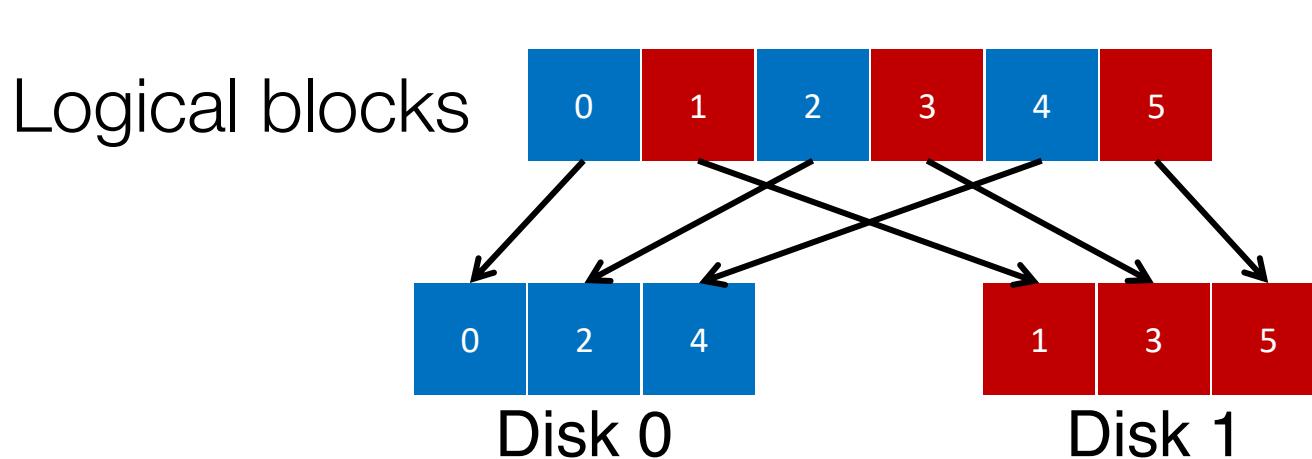
(e) RAID 4: block-interleaved parity.



(f) RAID 5: block-interleaved distributed parity.

RAID-0: Striping

- No redundancy
- Serves as **upper bound** for
 - Performance
 - Capacity



4 Disks

| Disk 0 | Disk 1 | Disk 2 | Disk 3 |
|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 |

4 Disks

| | Disk 0 | Disk 1 | Disk 2 | Disk 3 |
|---------|--------|--------|--------|--------|
| stripe: | 0 | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | |
| 8 | 9 | 10 | 11 | |
| 12 | 13 | 14 | 15 | |

How to Map?

- Given logical address A:
 - Disk = ...
 - Offset = ...

| Disk 0 | Disk 1 | Disk 2 | Disk 3 |
|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 |

How to Map?

- Given logical address A:
 - $\text{Disk} = A \% \text{ disk_count}$
 - $\text{Offset} = A / \text{ disk_count}$

| Disk 0 | Disk 1 | Disk 2 | Disk 3 |
|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 |

Mapping Example: Find Block 13

- Given logical address 13:

- $\text{Disk} = 13 \% 4 = 1$
- $\text{Offset} = 13 / 4 = 3$

| | Disk 0 | Disk 1 | Disk 2 | Disk 3 |
|----------|--------|--------|--------|--------|
| Offset 0 | 0 | 1 | 2 | 3 |
| 1 | 4 | 5 | 6 | 7 |
| 2 | 8 | 9 | 10 | 11 |
| 3 | 12 | 13 | 14 | 15 |

Chunk Size = 1

| Disk 0 | Disk 1 | Disk 2 | Disk 3 |
|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 |

Chunk Size = 1

| Disk 0 | Disk 1 | Disk 2 | Disk 3 |
|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 |

Chunk Size = 2

| Disk 0 | Disk 1 | Disk 2 | Disk 3 | chunk size: 2 blocks |
|--------|--------|--------|--------|-------------------------|
| 0 | 2 | 4 | 6 | |
| 1 | 3 | 5 | 7 | |
| 8 | 10 | 12 | 14 | |
| 9 | 11 | 13 | 15 | |

Chunk Size = 1

| Disk 0 | Disk 1 | Disk 2 | Disk 3 |
|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 |

In all following examples, we assume chunk size of 1

Chunk Size = 2

| Disk 0 | Disk 1 | Disk 2 | Disk 3 | chunk size: 2 blocks |
|--------|--------|--------|--------|-------------------------|
| 0 | 2 | 4 | 6 | |
| 1 | 3 | 5 | 7 | |
| 8 | 10 | 12 | 14 | |
| 9 | 11 | 13 | 15 | |

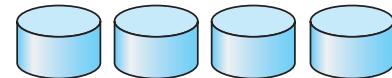
RAID-0 Analysis

1. What is capacity?
2. How many disks can fail?
3. Throughput?
4. Latency?

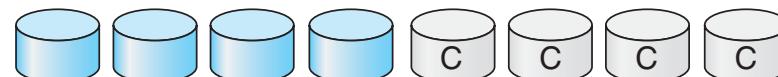
RAID-0 Analysis

1. What is capacity? $N * C$
2. How many disks can fail? 0
3. Throughput? $N * S$ and $N * R$
4. Latency? D

RAID Level 1



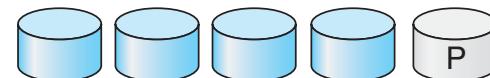
(a) RAID 0: non-redundant striping.



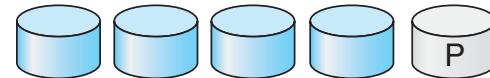
(b) RAID 1: mirrored disks.



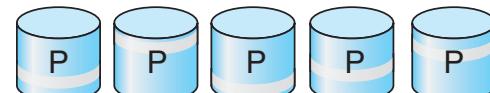
(c) RAID 2: memory-style error-correcting codes.



(d) RAID 3: bit-interleaved parity.



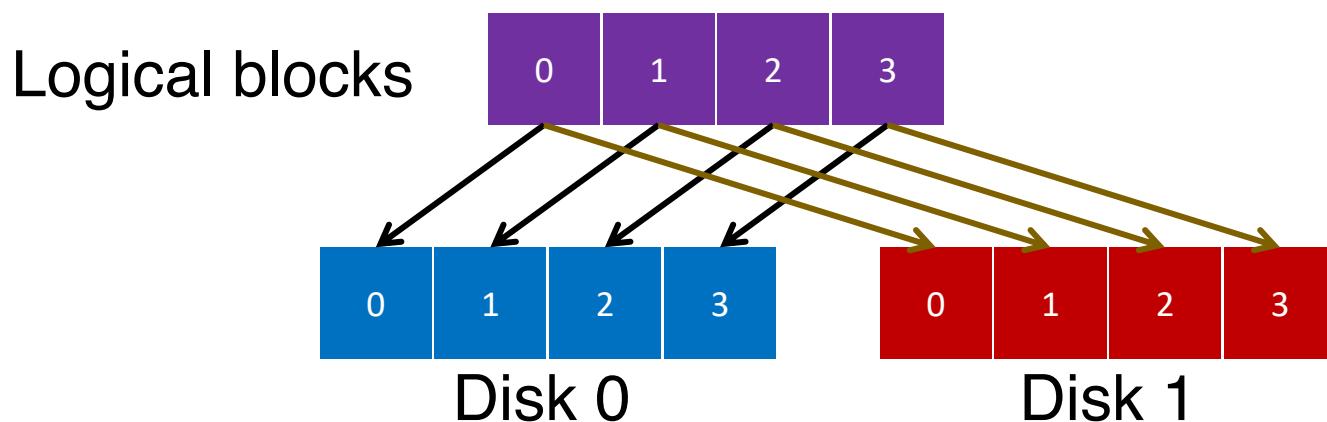
(e) RAID 4: block-interleaved parity.



(f) RAID 5: block-interleaved distributed parity.

RAID-1: Mirroring

- RAID-1 keeps two copies of each block



Assumption

- Assume disks are **fail-stop**
 - Two states
 - They work or they don't
 - We know when they don't work

4 Disks

| Disk 0 | Disk 1 | Disk 2 | Disk 3 |
|--------|--------|--------|--------|
| 0 | 0 | 1 | 1 |
| 2 | 2 | 3 | 3 |
| 4 | 4 | 5 | 5 |
| 6 | 6 | 7 | 7 |

4 Disks

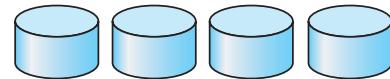
| Disk 0 | Disk 1 | Disk 2 | Disk 3 |
|--------|--------|--------|--------|
| 0 | 0 | 1 | 1 |
| 2 | 2 | 3 | 3 |
| 4 | 4 | 5 | 5 |
| 6 | 6 | 7 | 7 |

How many disks can fail?

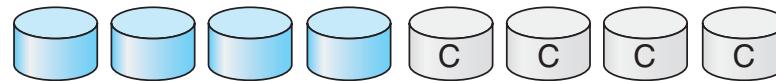
RAID-1 Analysis

1. What is capacity? $N/2 * C$
2. How many disks can fail? 1 or maybe $N / 2$
3. Throughput?
 - Seq read: $N/2 * S$
 - Seq write: $N/2 * S$
 - Rand read: $N * R$
 - Rand write: $N/2 * R$
4. Latency? D

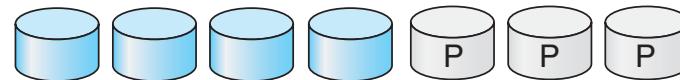
RAID Level 4



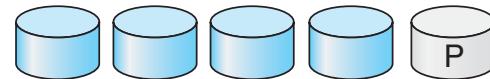
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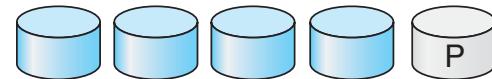
(b) RAID 1: mirrored disks.



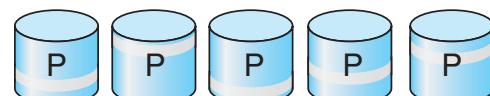
(c) RAID 2: memory-style error-correcting codes.



(d) RAID 3: bit-interleaved parity.

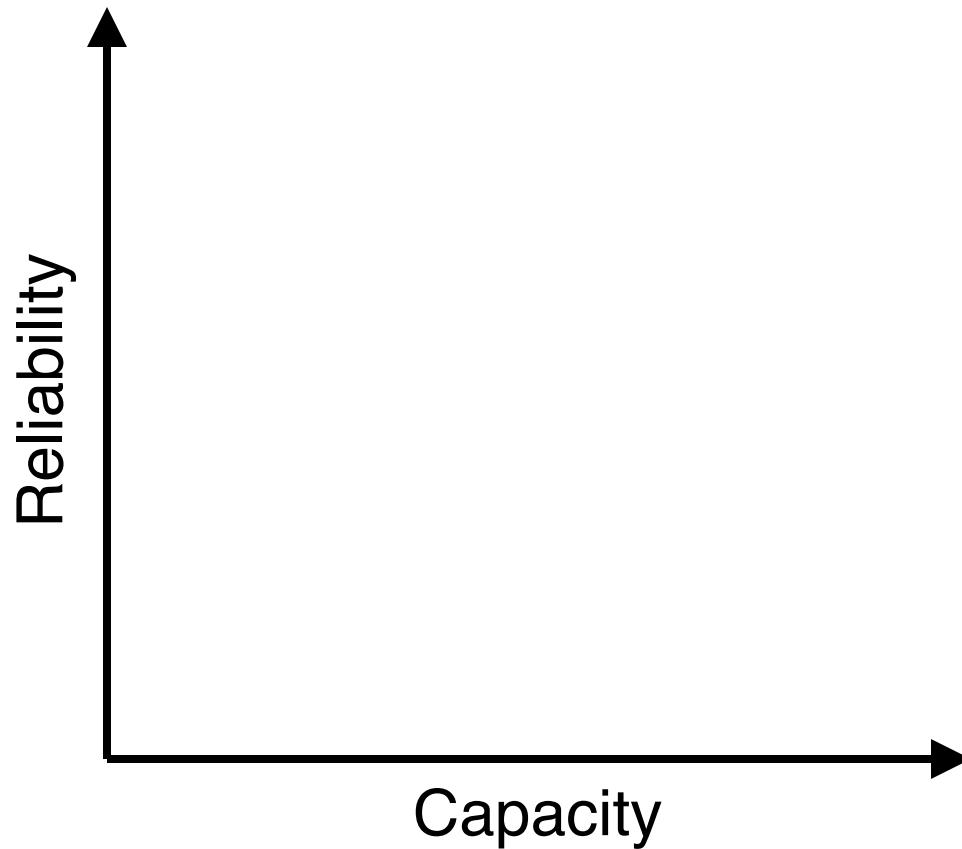


(e) RAID 4: block-interleaved parity.

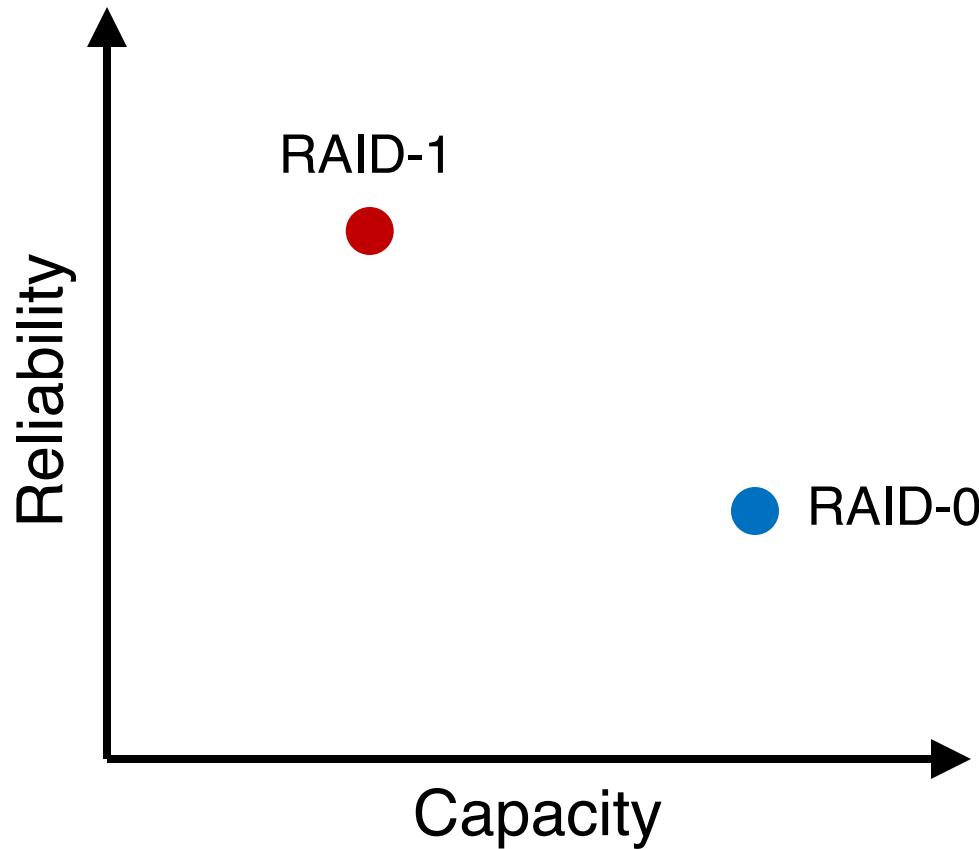


(f) RAID 5: block-interleaved distributed parity.

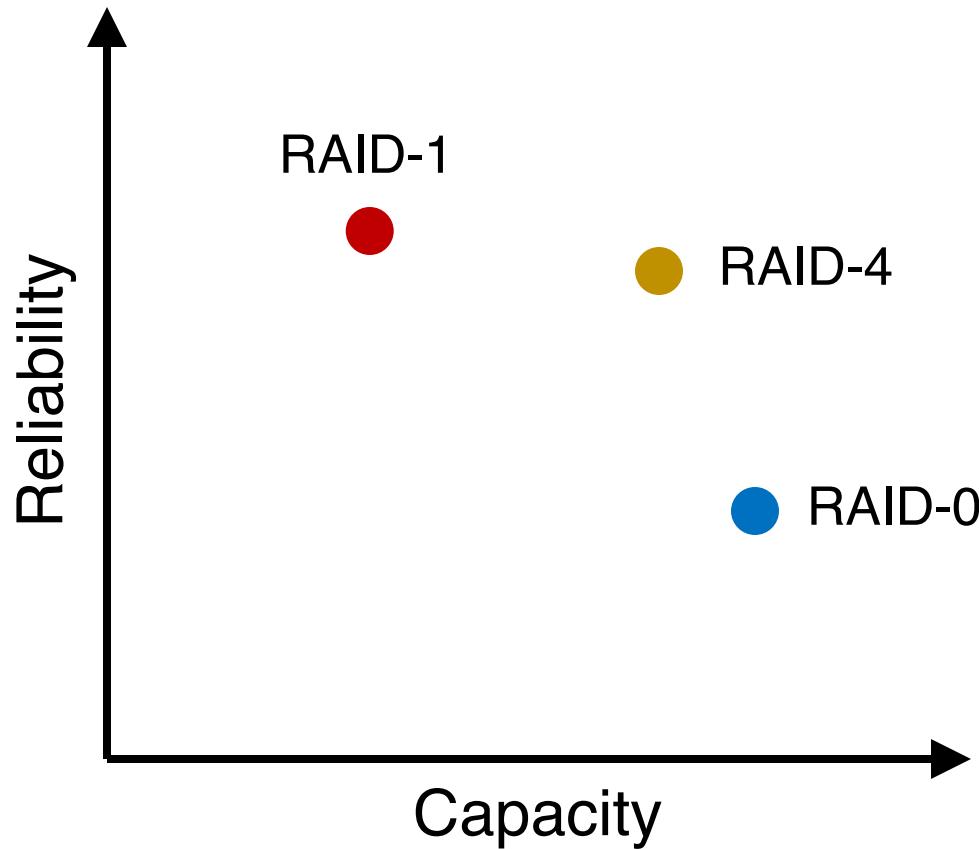
RAID-4



RAID-4



RAID-4



RAID-4: Strategy

- Use **parity** disk
- In algebra, if an **equation** has N variables, and $N-1$ are known, you can also solve for the unknown
- Treat the sectors/blocks across disks in a stripe as an equation

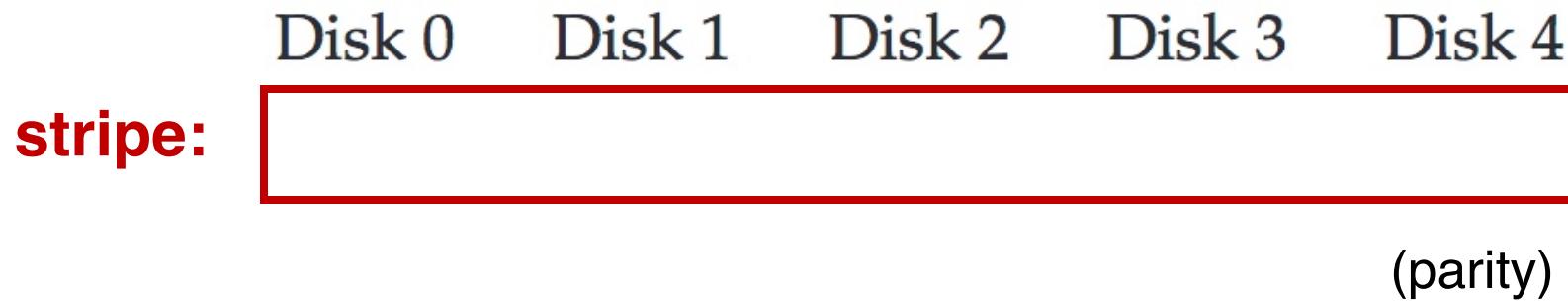
RAID-4: Strategy

- Use **parity** disk
- In algebra, if an **equation** has N variables, and $N-1$ are known, you can also solve for the unknown
- Treat the sectors/blocks across disks in a stripe as an equation
- A **failed disk** is like an unknown **in that equation**

5 Disks

| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
|--------|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 | P0 |
| 4 | 5 | 6 | 7 | P1 |
| 8 | 9 | 10 | 11 | P2 |
| 12 | 13 | 14 | 15 | P3 |

Example



Example

| | Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
|----------------|--------|--------|--------|--------|--------|
| stripe: | 4 | 3 | 0 | 2 | |

(parity)

Example

| | Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
|----------------|--------|--------|--------|--------|--------|
| stripe: | 4 | 3 | 0 | 2 | 9 |

(parity)

Example

| | Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
|---------|--------|--------|--------|--------|----------|
| stripe: | X | 3 | 0 | 2 | 9 |
| | | | | | (parity) |

Example

| | Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
|---------|--------|--------|--------|--------|----------|
| stripe: | 4 | 3 | 0 | 2 | 9 |
| | | | | | (parity) |

Parity Function: XOR Example

| C0 | C1 | C2 | C3 | P |
|----|----|----|----|---------------------------|
| 0 | 0 | 1 | 1 | $\text{XOR}(0,0,1,1) = 0$ |
| 0 | 1 | 0 | 0 | $\text{XOR}(0,1,0,0) = 1$ |

Parity Function: XOR Example

| C0 | C1 | C2 | C3 | P |
|----|----|----|----|---------------------------|
| 0 | 0 | 1 | 1 | $\text{XOR}(0,0,1,1) = 0$ |
| 0 | 1 | 0 | 0 | $\text{XOR}(0,1,0,0) = 1$ |

XOR function:

- $P = 0$: The number of 1 in a stripe must be an even number
- $P = 1$: The number of 1 in a stripe must be an odd number

Parity Function: XOR Example

| | Block0 | Block1 | Block2 | Block3 | Parity |
|---------|--------|--------|--------|--------|--------|
| stripe: | 00 | 10 | 11 | 10 | 11 |
| | 10 | 01 | 00 | 01 | 10 |

XOR function:

- $P = 0$: The number of 1 in a stripe must be an even number
- $P = 1$: The number of 1 in a stripe must be an odd number

Parity Function: XOR Example

| | Block0 | Block1 | Block2 | Block3 | Parity |
|---------|--------|--------|--------|--------|--------|
| stripe: | X | 10 | 11 | 10 | 11 |
| | 10 | 01 | 00 | 01 | 10 |

XOR function:

- $P = 0$: The number of 1 in a stripe must be an even number
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Parity Function: XOR Example

| | Block0 | Block1 | Block2 | Block3 | Parity |
|---------|--------|--------|--------|--------|--------|
| stripe: | X | 10 | 11 | 10 | 11 |
| | 10 | 01 | 00 | 01 | 10 |

$$\text{Block0} = \text{XOR}(10, 11, 10, 11) = 00$$

XOR function:

- $P = 0$: The number of 1 in a stripe must be an even number
- $P = 1$: The number of 1 in a stripe must be an odd number

Parity Function: XOR Example

| | Block0 | Block1 | Block2 | Block3 | Parity |
|---------|--------|--------|--------|--------|--------|
| stripe: | 00 | 10 | 11 | 10 | 11 |
| | 10 | 01 | 00 | 01 | 10 |

$$\text{Block0} = \text{XOR}(10, 11, 10, 11) = 00$$

XOR function:

- $P = 0$: The number of 1 in a stripe must be an even number
- $P = 1$: The number of 1 in a stripe must be an odd number

RAID-4 Analysis

1. What is capacity? $(N-1) * C$

2. How many disks can fail? 1

3. Throughput?

- Seq read: $(N-1) * S$
- Seq write: $(N-1) * S$
- Rand read: $(N-1) * R$
- Rand write: $R/2$

4. Latency? D, 2D

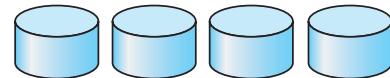
RAID-4 Analysis: Random Write

Random write to 4, 13, and respective parity blocks

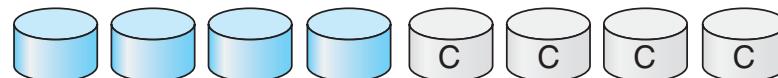
| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
|--------|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 | P0 |
| *4 | 5 | 6 | 7 | +P1 |
| 8 | 9 | 10 | 11 | P2 |
| 12 | *13 | 14 | 15 | +P3 |

Small write problem (for parity-based RAIDs):
Parity disk serializes all random writes; each **logical I/O** generates two **physical I/Os (one read and one write for parity P1)**

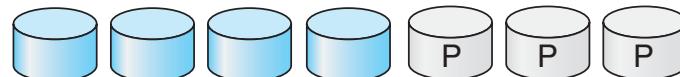
RAID Level 5



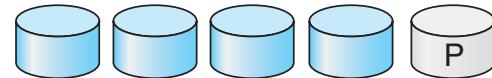
(a) RAID 0: non-redundant striping.



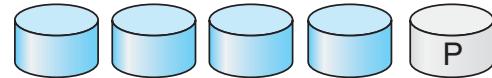
(b) RAID 1: mirrored disks.



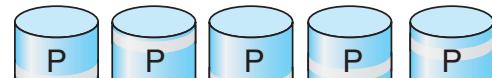
(c) RAID 2: memory-style error-correcting codes.



(d) RAID 3: bit-interleaved parity.



(e) RAID 4: block-interleaved parity.



(f) RAID 5: block-interleaved distributed parity.



RAID-5: Rotating Parity

| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
|--------|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 | P0 |
| 5 | 6 | 7 | P1 | 4 |
| 10 | 11 | P2 | 8 | 9 |
| 15 | P3 | 12 | 13 | 14 |
| P4 | 16 | 17 | 18 | 19 |

RAID-5 works almost identically to RAID-4, except that it rotates the parity block across drives

RAID-5 Analysis

1. What is capacity? $(N-1) * C$

2. How many disks can fail? 1

3. Throughput?

- Seq read: $(N-1) * S$
- Seq write: $(N-1) * S$
- Rand read: $N * R$
- Rand write: ???

4. Latency? D, 2D

RAID-5: Random Write

| Write | Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
|-------|--------|--------|--------|--------|--------|
| | 0 | 1 | 2 | 3 | P0 |
| | 5 | 6 | 7 | P1 | 4 |
| | 10 | 11 | P2 | 8 | 9 |
| | 15 | P3 | 12 | 13 | 14 |
| | P4 | 16 | 17 | 18 | 19 |

Random write to Block 10 on Disk 0

RAID-5: Random Write

1. Read

| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
|--------|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 | P0 |
| 5 | 6 | 7 | P1 | 4 |
| 10 | 11 | P2 | 8 | 9 |
| 15 | P3 | 12 | 13 | 14 |
| P4 | 16 | 17 | 18 | 19 |

Random write to Block 10 on Disk 0

1. Read Block 10

RAID-5: Random Write

| 1. Read | | 2. Read | | |
|---------|--------|---------|--------|--------|
| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
| 0 | 1 | 2 | 3 | P0 |
| 5 | 6 | 7 | P1 | 4 |
| 10 | 11 | P2 | 8 | 9 |
| 15 | P3 | 12 | 13 | 14 |
| P4 | 16 | 17 | 18 | 19 |

Random write to Block 10 on Disk 0

1. Read Block 10
2. Read the Parity P2

RAID-5: Random Write

| 1. Read | | 2. Read | | | |
|----------------|--------|---------|--------|--------|--|
| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 | |
| 0 | 1 | 2 | 3 | P0 | |
| 5 | 6 | 7 | P1 | 4 | |
| 3. Write 10 | 11 | P2 | 8 | 9 | |
| 15 | P3 | 12 | 13 | 14 | |
| P4 | 16 | 17 | 18 | 19 | |

Random write to Block 10 on Disk 0

1. Read Block 10
2. Read the Parity P2
3. Write new data in Block 10

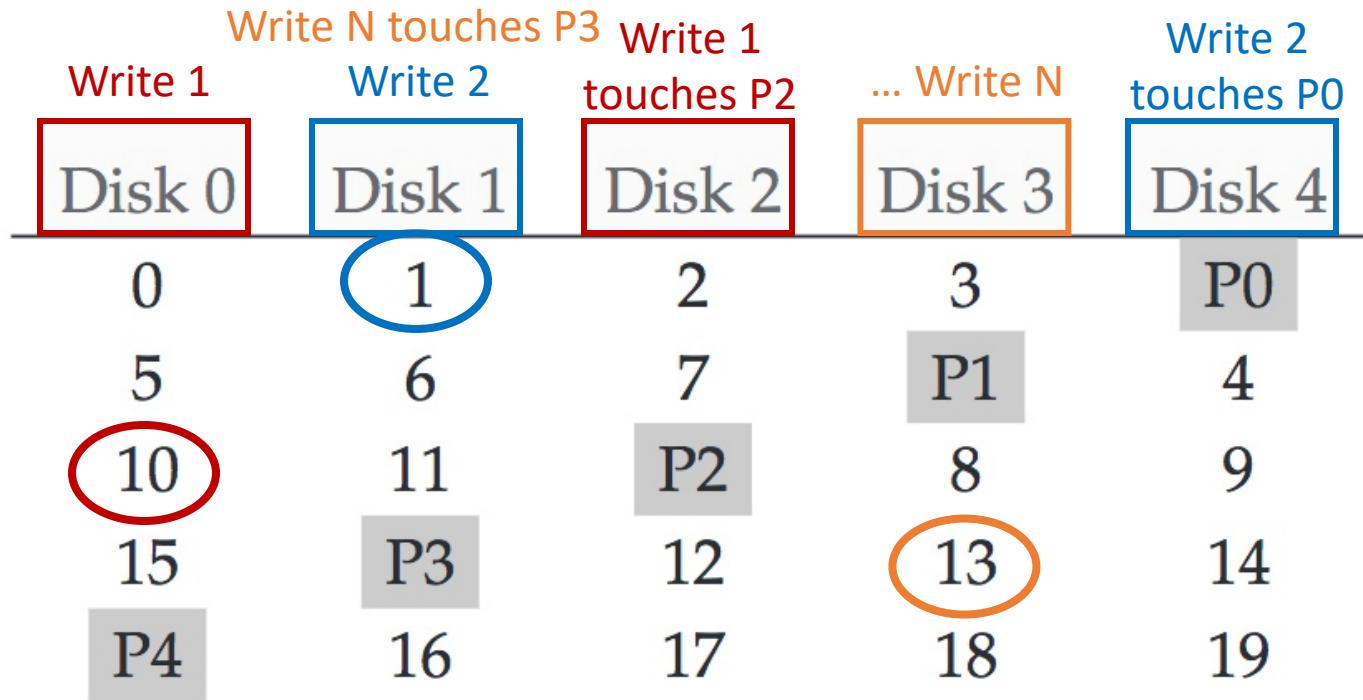
RAID-5: Random Write

| Disk 0 | Disk 1 | Disk 2 | Disk 3 | Disk 4 |
|--------|--------|--------|--------|--------|
| 0 | 1 | 2 | 3 | P0 |
| 5 | 6 | 7 | P1 | 4 |
| 10 | 11 | P2 | 8 | 9 |
| 15 | P3 | 12 | 13 | 14 |
| P4 | 16 | 17 | 18 | 19 |

Random write to Block 10 on Disk 0

1. Read Block 10
2. Read the Parity P2
3. Write new data in Block 10
4. Write new parity P2

RAID-5: Random Write



Performance reasoning

Generally, for a large number of random read/write requests, RAID-5 will be able to keep all disks busy: thus $N * R$



Each random (RAID-5) writes generates 4 physical I/O operations: thus $N * R / 4$

RAID-5 Analysis

1. What is capacity? $(N-1) * C$

2. How many disks can fail? 1

3. Throughput?

- Seq read: $(N-1) * S$
- Seq write: $(N-1) * S$
- Rand read: $N * R$
- Rand write: $N * R/4$

4. Latency? D, 2D

Summary: All RAID's

| | Reliability | Capacity |
|--------|-------------|-----------|
| RAID-0 | 0 | $C * N$ |
| RAID-1 | 1 or $N/2$ | $C * N/2$ |
| RAID-4 | 1 | $N-1$ |
| RAID-5 | 1 | $N-1$ |

Summary: All RAID's

| | Seq Read | Seq Write | Rand Read | Rand Write |
|--------|-------------|-------------|-------------|------------|
| RAID-0 | $N * S$ | $N * S$ | $N * R$ | $N * R$ |
| RAID-1 | $N/2 * S$ | $N/2 * S$ | $N * R$ | $N/2 * R$ |
| RAID-4 | $(N-1) * S$ | $(N-1) * S$ | $(N-1) * R$ | $R/2$ |
| RAID-5 | $(N-1) * S$ | $(N-1) * S$ | $N * R$ | $N/4 * R$ |

Please Read the Textbook!

Please do read the textbook chapter “RAID” to gain a deeper understanding of the various analyses covered in lecture.