



Time & Clocks, Primary-Backup

CS 675: *Distributed Systems* (Spring 2020)
Lecture 4

Yue Cheng

Some material taken/derived from:

- Princeton COS-418 materials created by Michael Freedman and Wyatt Lloyd.
- MIT 6.824 by Robert Morris, Frans Kaashoek, and Nickolai Zeldovich.
- Utah CS6450 by Ryan Stutsman.

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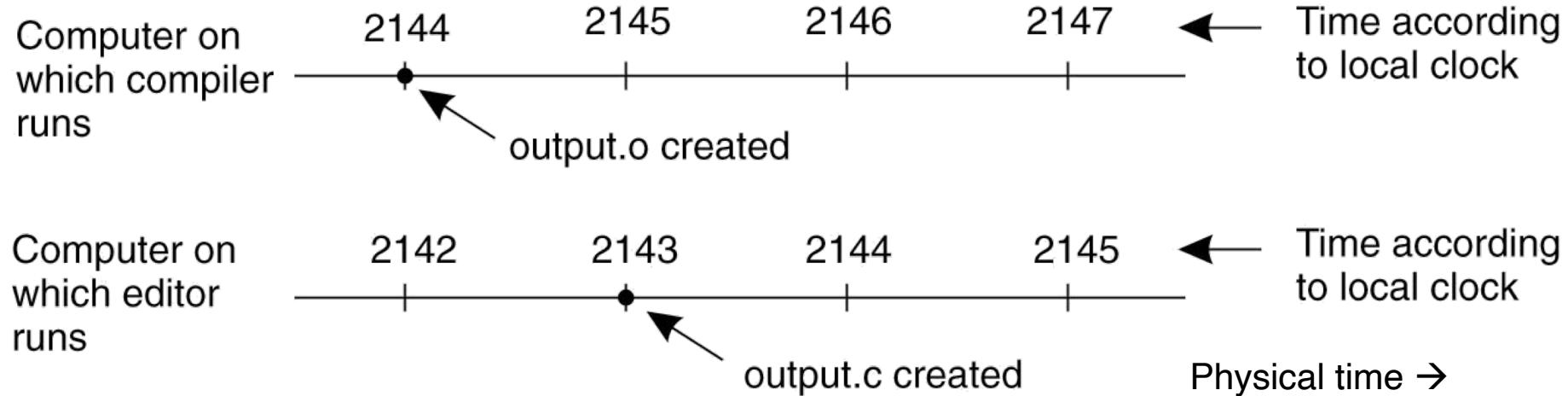
Today's outline

1. Time and clocks

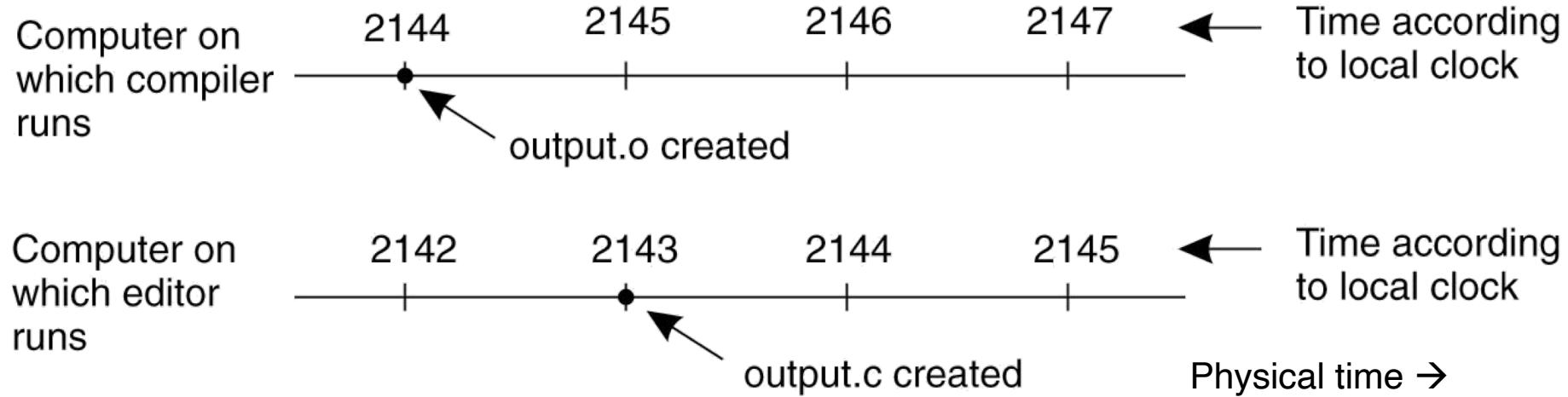
- The need for time synchronization
- “Wall clock time” synchronization
- Logical Time: Lamport Clocks
- Vector clocks

2. Primary-Back (P-B)

A distributed edit-compile workflow

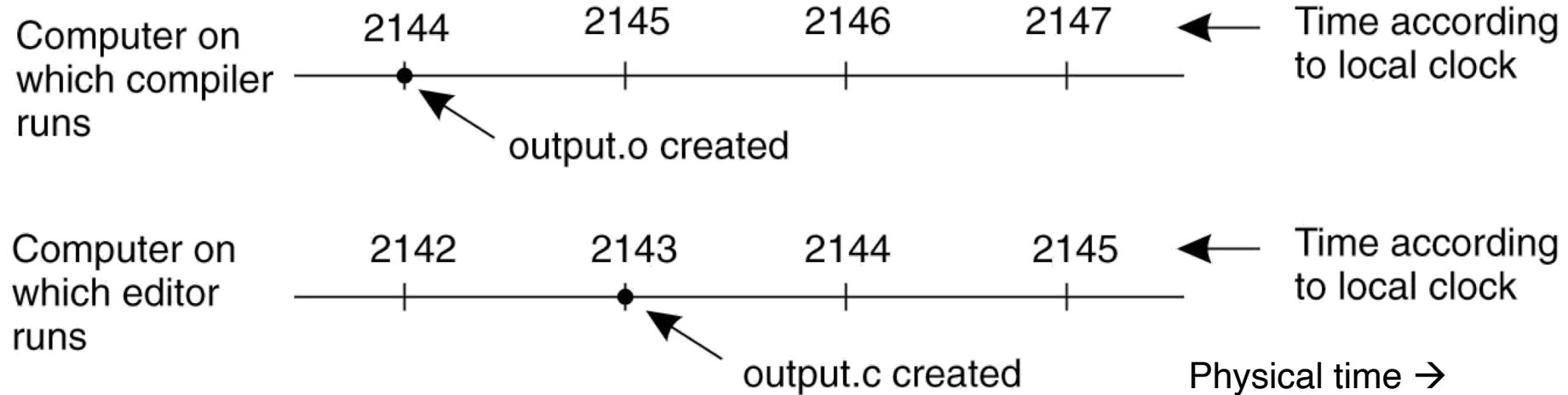


A distributed edit-compile workflow



- $2143 < 2144 \rightarrow$ make **doesn't call compiler**

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Lack of time synchronization result – a
possible object file mismatch

What makes time synchronization hard?

1. Quartz oscillator sensitive to temperature, age, vibration, radiation
 - Accuracy ~one part per million
 - (one second of clock drift over 12 days)
2. The internet is:
 - **Asynchronous:** arbitrary message **delays**
 - **Best-effort:** messages **don't always arrive**

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- “Wall clock time” synchronization
 - Cristian’s algorithm, NTP
- Logical Time: Lamport Clocks
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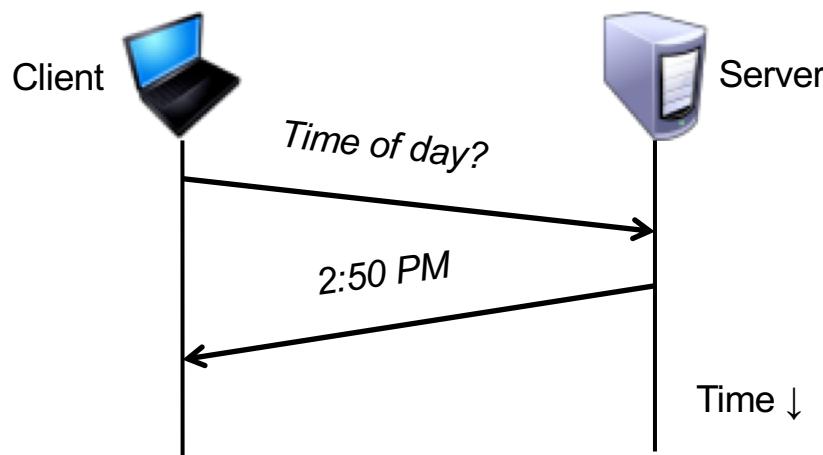
2. Primary-Back (P-B)

Just use Coordinated Universal Time?

- UTC is broadcast from radio stations on land and satellite (e.g., the Global Positioning System)
 - Computers with receivers can synchronize their clocks with these timing signals
- Signals from land-based stations are accurate to about 0.1–10 milliseconds
- Signals from GPS are accurate to about one microsecond
 - *Why can't we put GPS receivers on all our computers?*

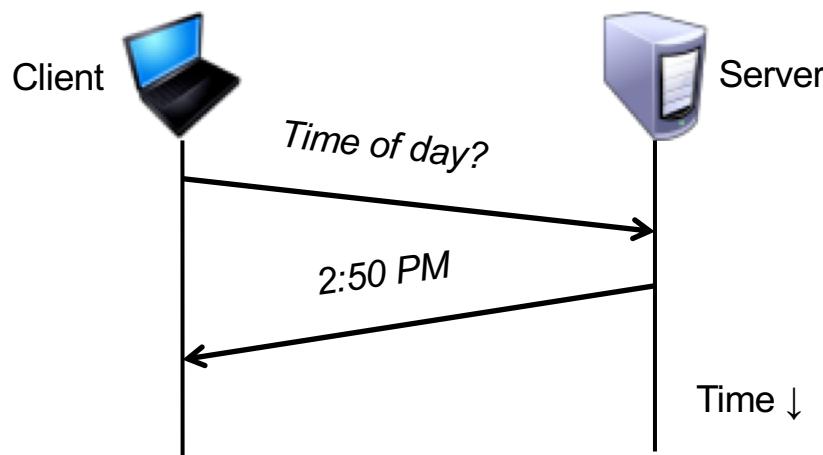
Synchronization to a time server

- Suppose a server with an accurate clock (e.g., GPS-receiver)
 - Could simply issue an RPC to obtain the time:



Synchronization to a time server

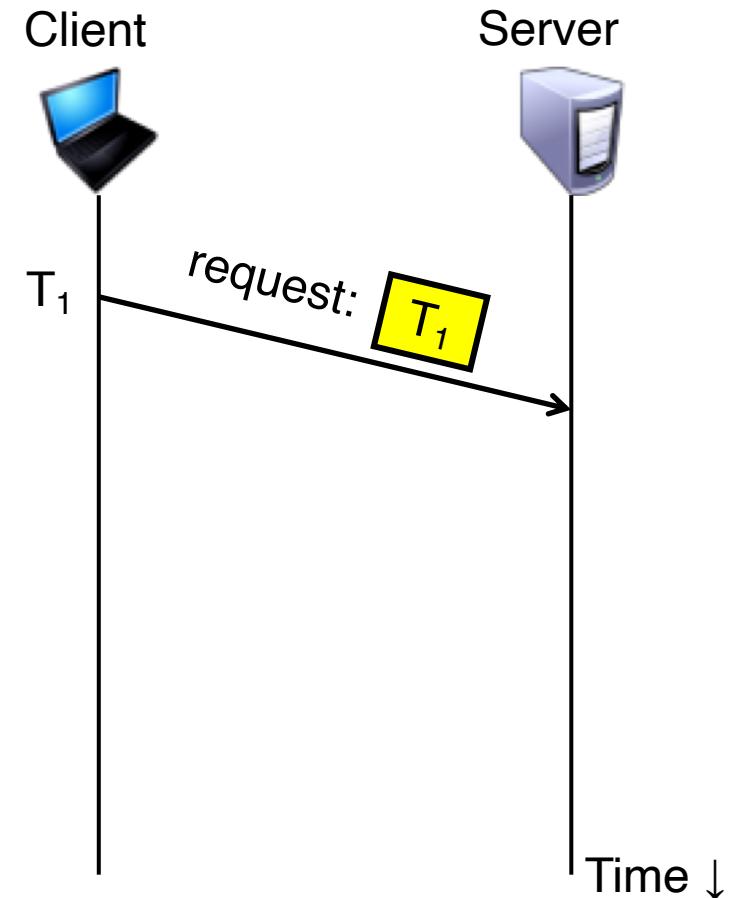
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 - Could simply issue an RPC to obtain the time:



- But this doesn't account for network latency
 - Message delays will have **outdated** server's answer

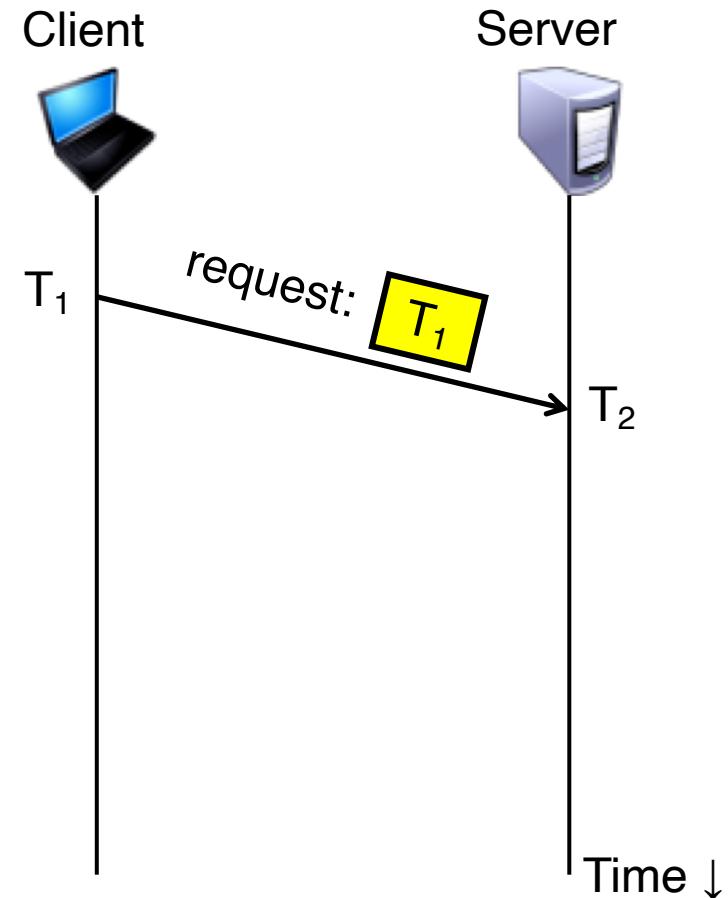
Cristian's algorithm: Outline

1. Client sends a **request** packet, timestamped with its local clock T_1



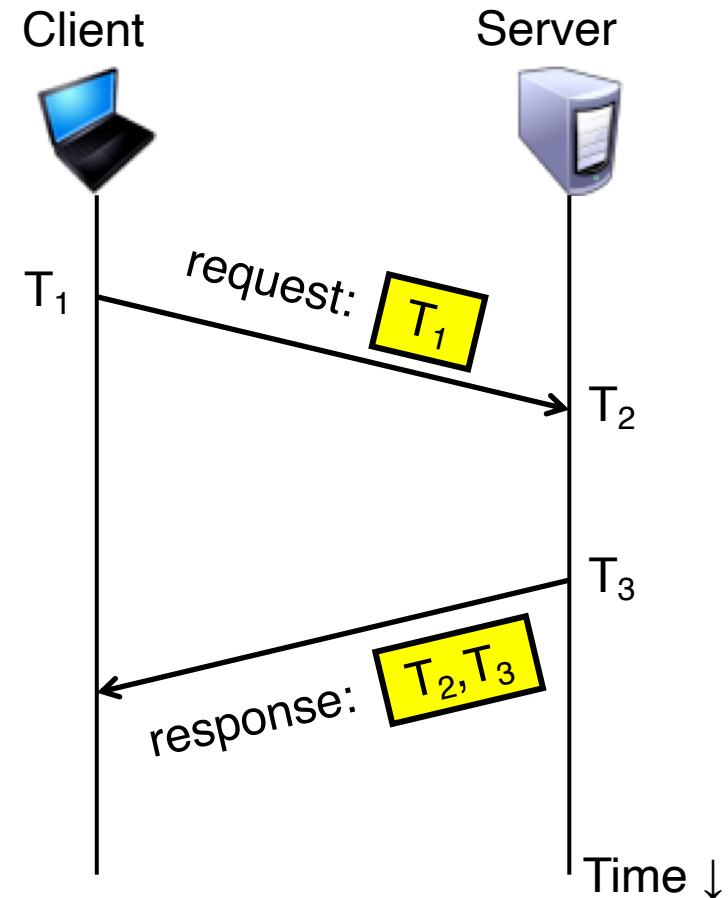
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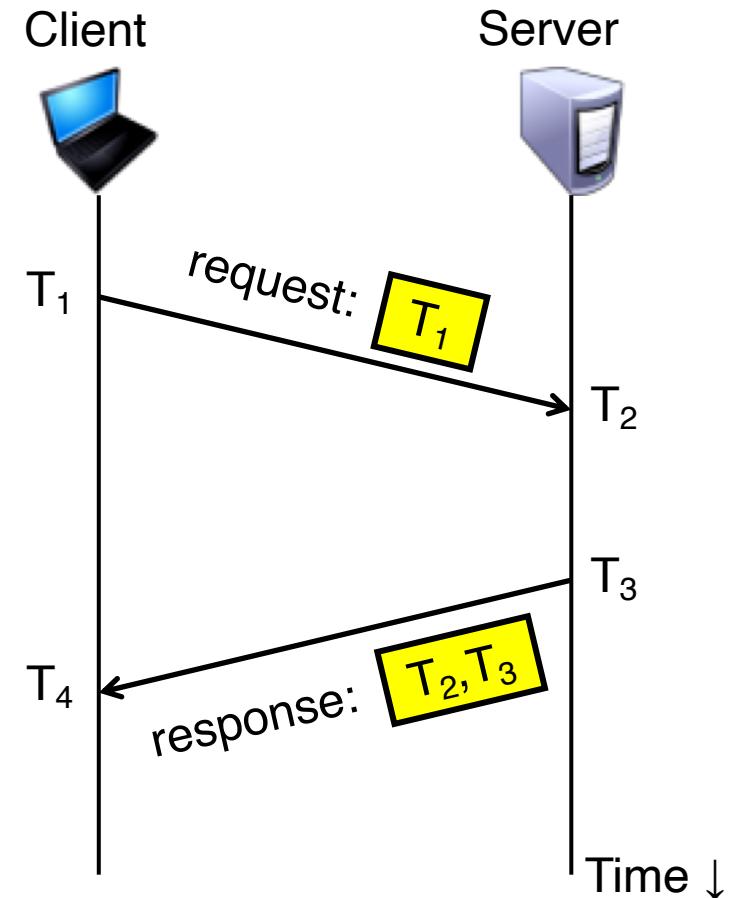
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1. Client sends a request packet, timestamped with its local clock T_1
2. Server timestamps its receipt of the request T_2 with its local clock
3. Server sends a **response** packet with its local clock T_3 and T_2



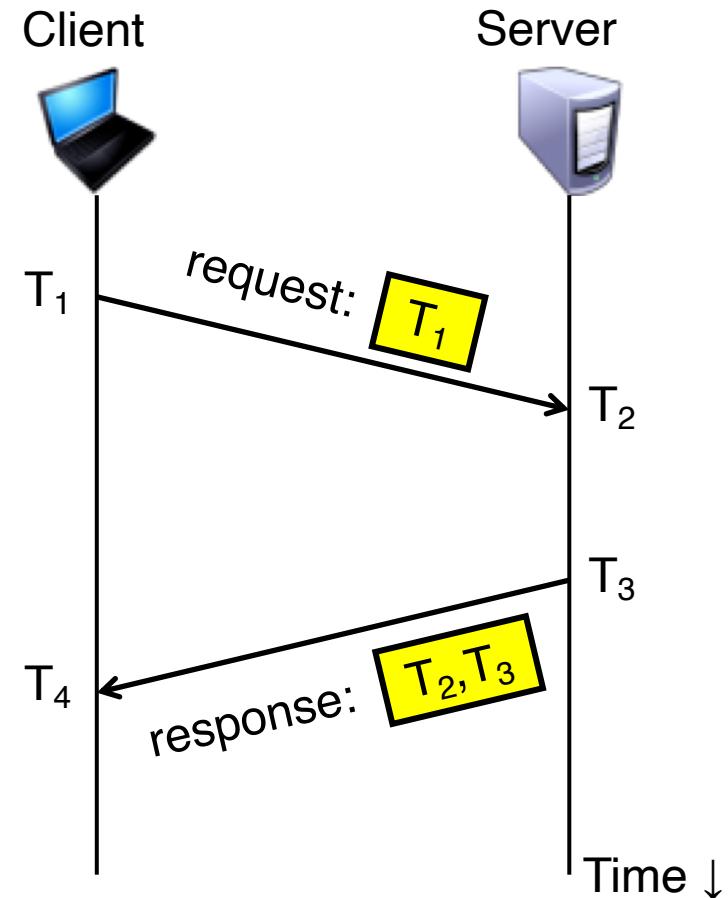
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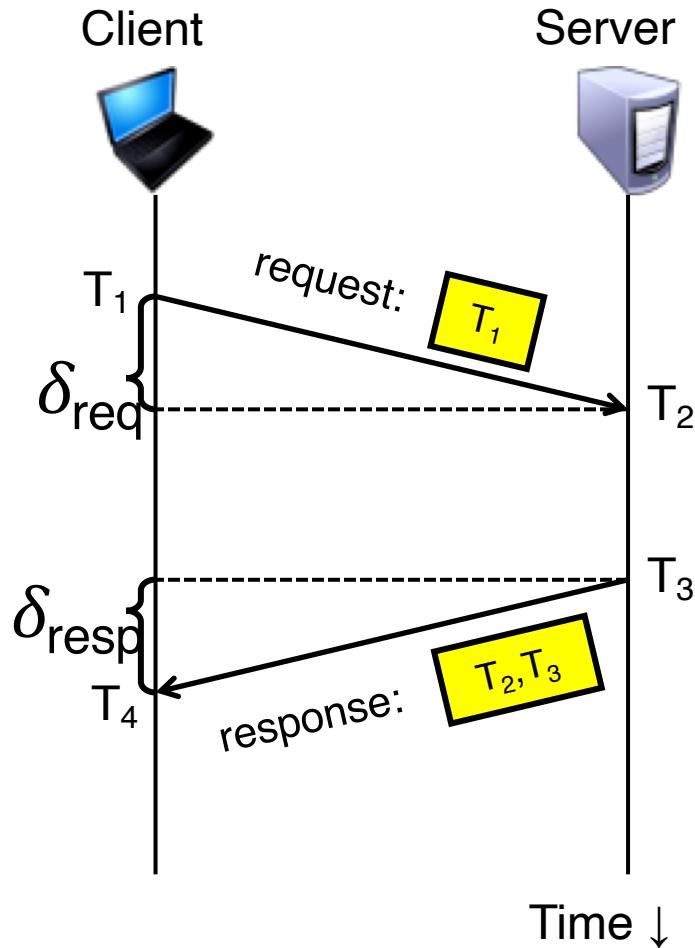


How can the client use these timestamps to synchronize its local clock to the server's local clock?

Cristian's algorithm: Offset sample calculation

Goal: Client sets clock $\leftarrow T_3 + \delta_{\text{resp}}$

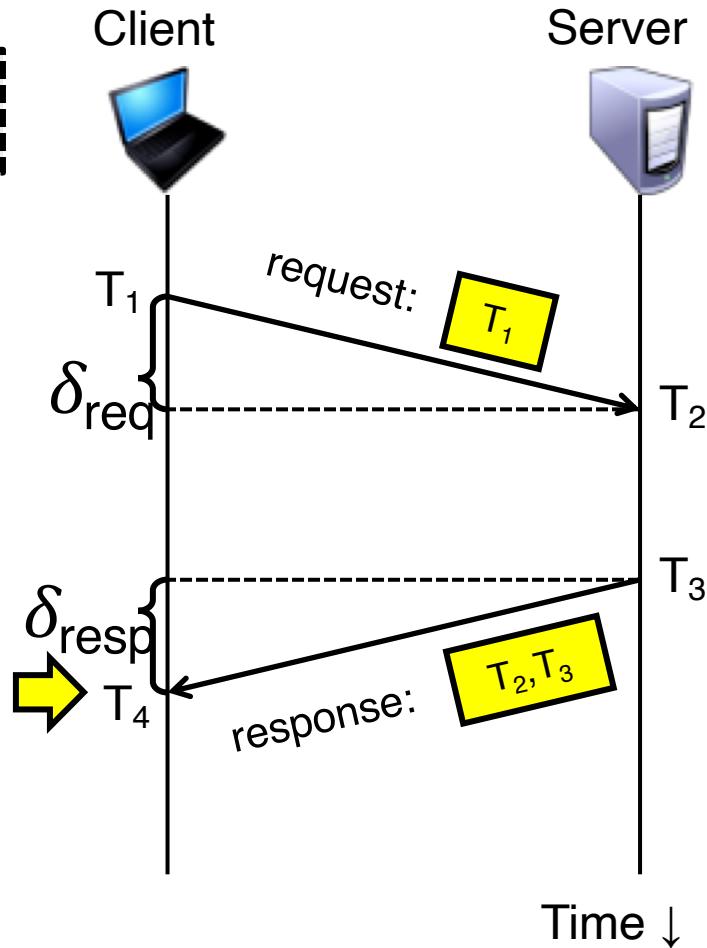
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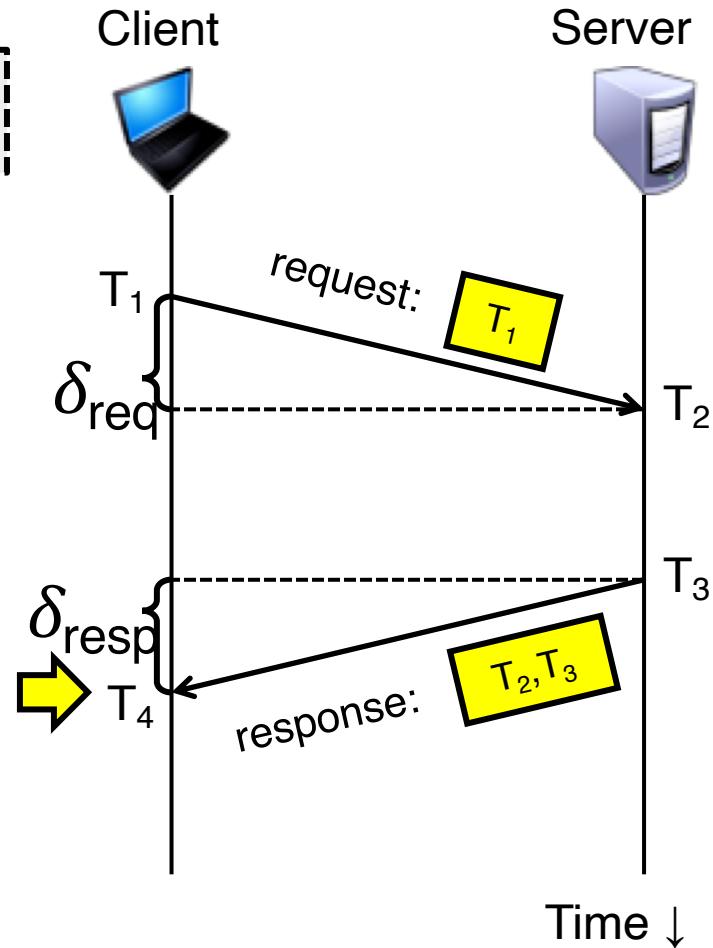
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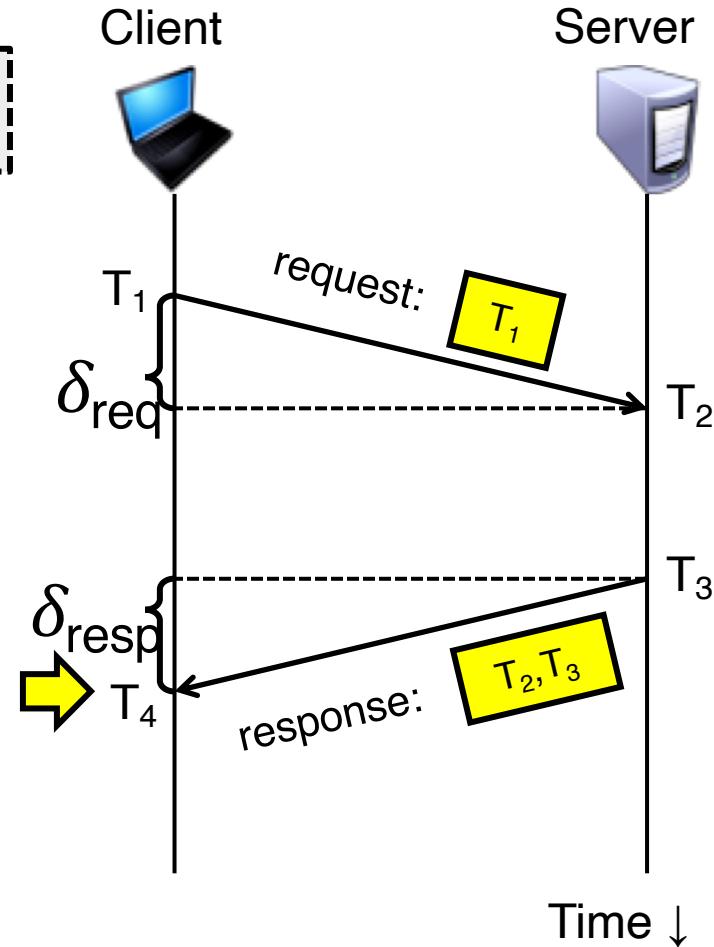


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Assume: $\delta_{\text{req}} \approx \delta_{\text{resp}}$



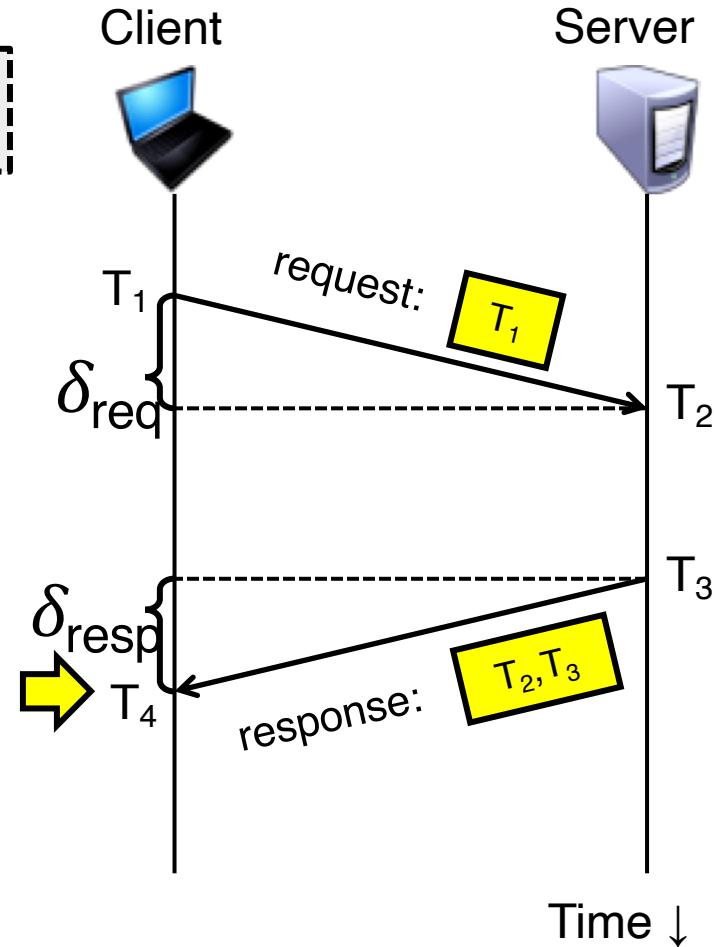
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Assume: $\delta_{\text{req}} \approx \delta_{\text{resp}}$

Client sets clock $\leftarrow T_3 + \frac{1}{2}\delta$



Clock synchronization: Takeaway points

- Clocks on different systems will always behave differently
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- NTP clock synchronization
 - Rely on timestamps to estimate network delays
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Clock synchronization: Takeaway points

- Clocks on different systems will always behave differently
 - Disagreement between machines can result in undesirable behavior
- NTP clock synchronization
 - Rely on timestamps to estimate network delays
 - 100s μ s–ms accuracy
 - Clocks never exactly synchronized
- Often **inadequate** for distributed systems
 - Often need to reason about the order of events
 - Might need precision on the order of ns

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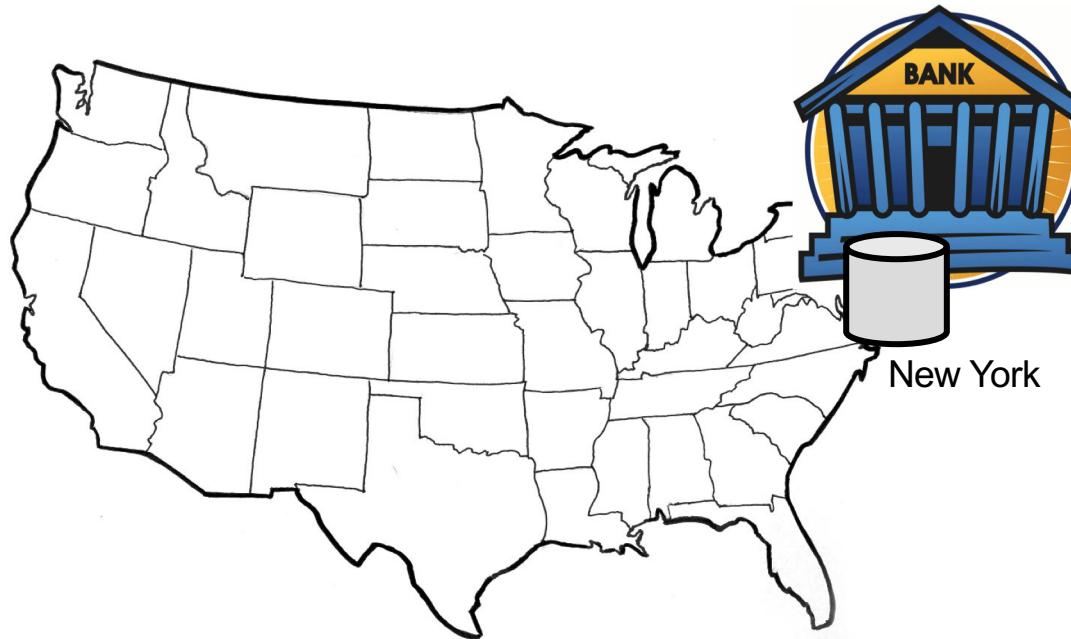
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- The need for time synchronization
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- **Logical Time: Lamport Clocks**
- Vector clocks

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Motivation: Multi-site database replication

- A New York-based bank wants to make its transaction ledger database resilient to whole-site failures



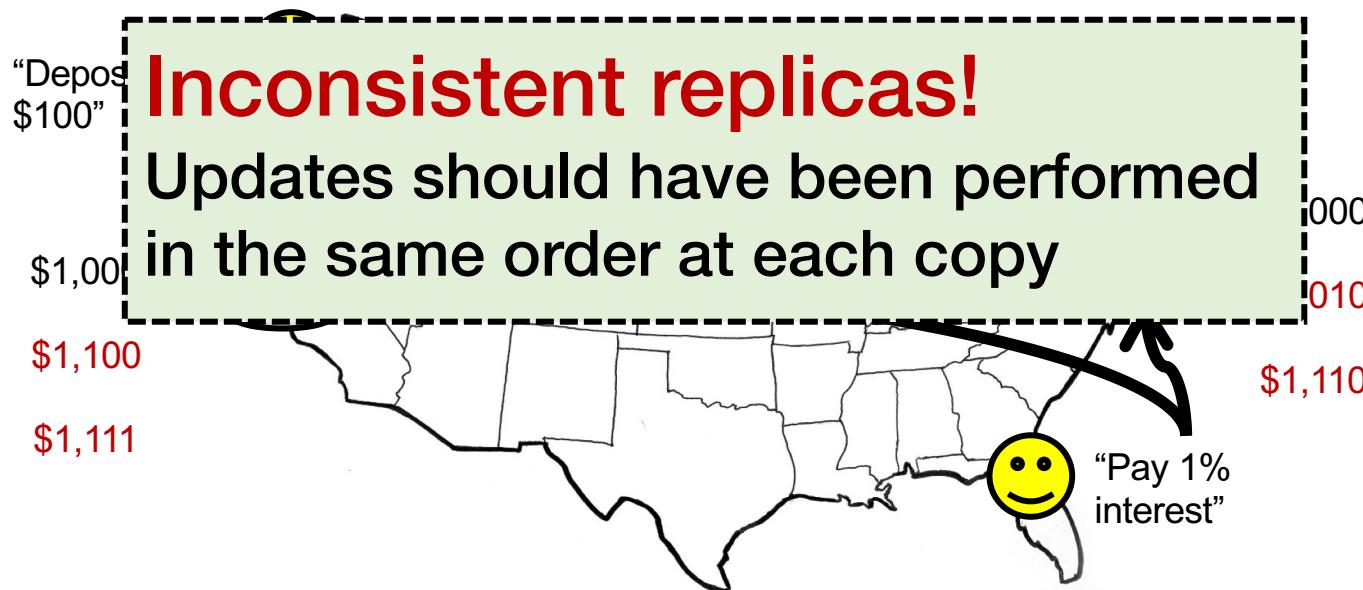
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- A New York-based bank wants to make its transaction ledger database resilient to whole-site failures
- Replicate the database, keep one copy in SF, one in NYC



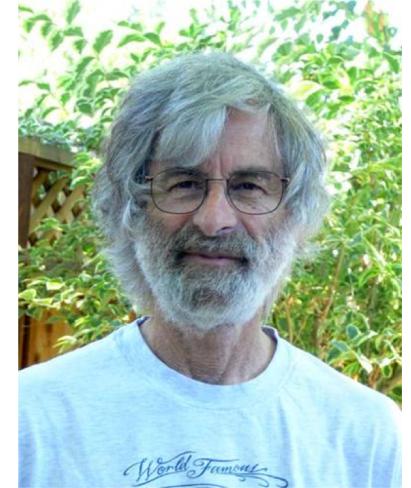
The consequences of concurrent updates

- Replicate the database, keep one copy in SF, one in NYC
 - Client sends reads to the nearest copy
 - Client sends update to both copies



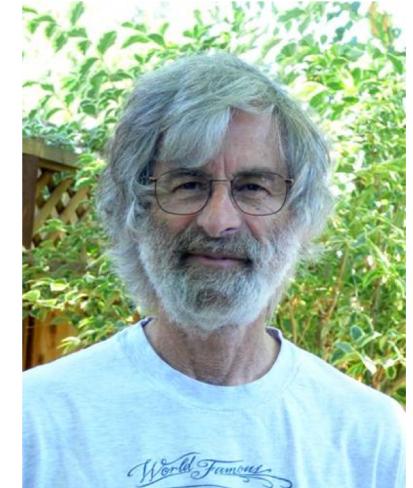
Idea: Logical clocks

- Landmark 1978 paper by Leslie Lamport



Idea: Logical clocks

- Landmark 1978 paper by Leslie Lamport
- Insights: only the **events themselves** matter

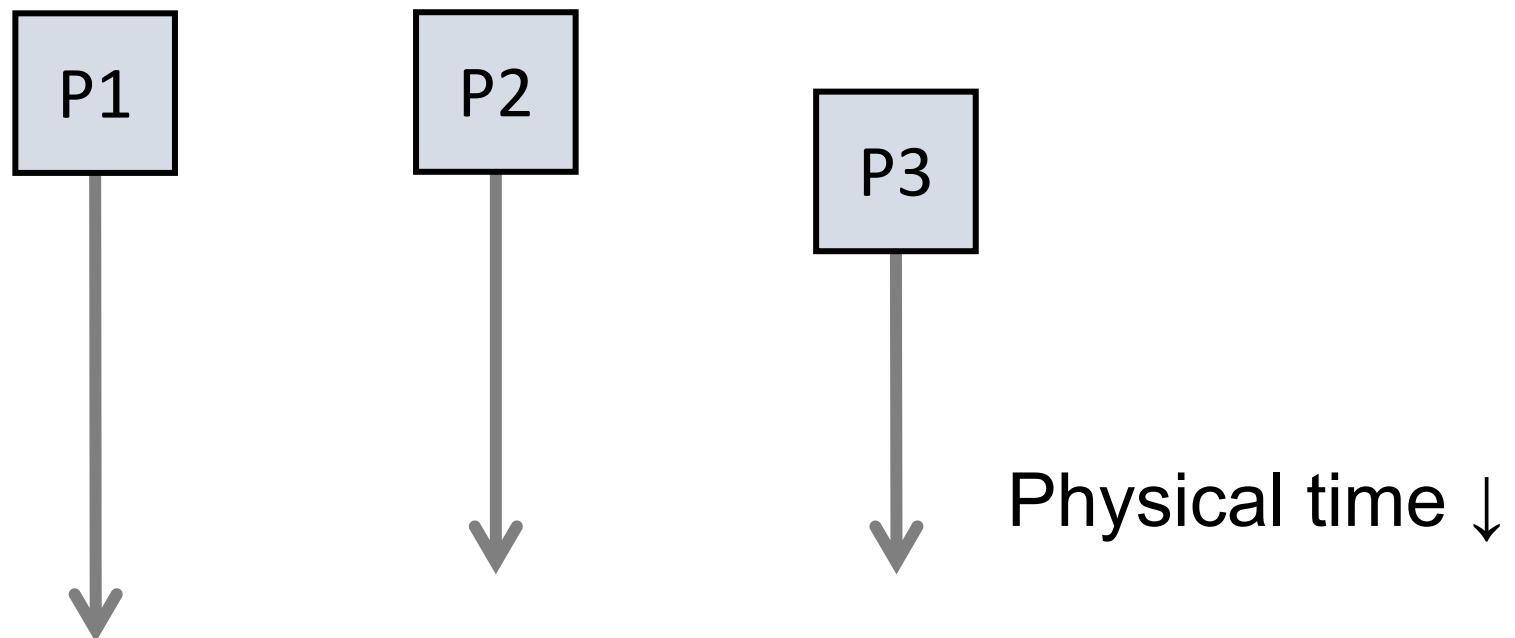


Idea: Disregard the precise clock time

Instead, capture **just** a “happens before” relationship between a pair of events

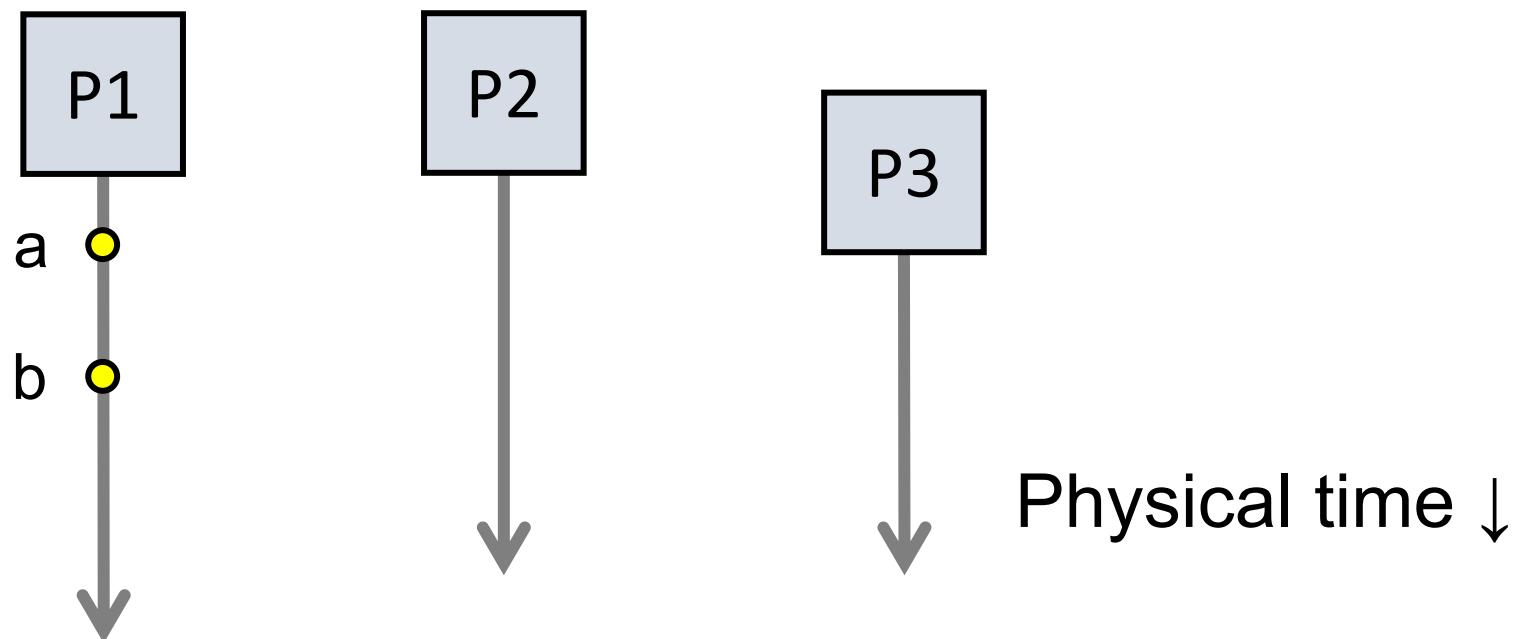
Defining “happens-before” (\rightarrow)

- Consider three processes: P1, P2, and P3
- Notation: Event a **happens before** event b ($a \rightarrow b$)



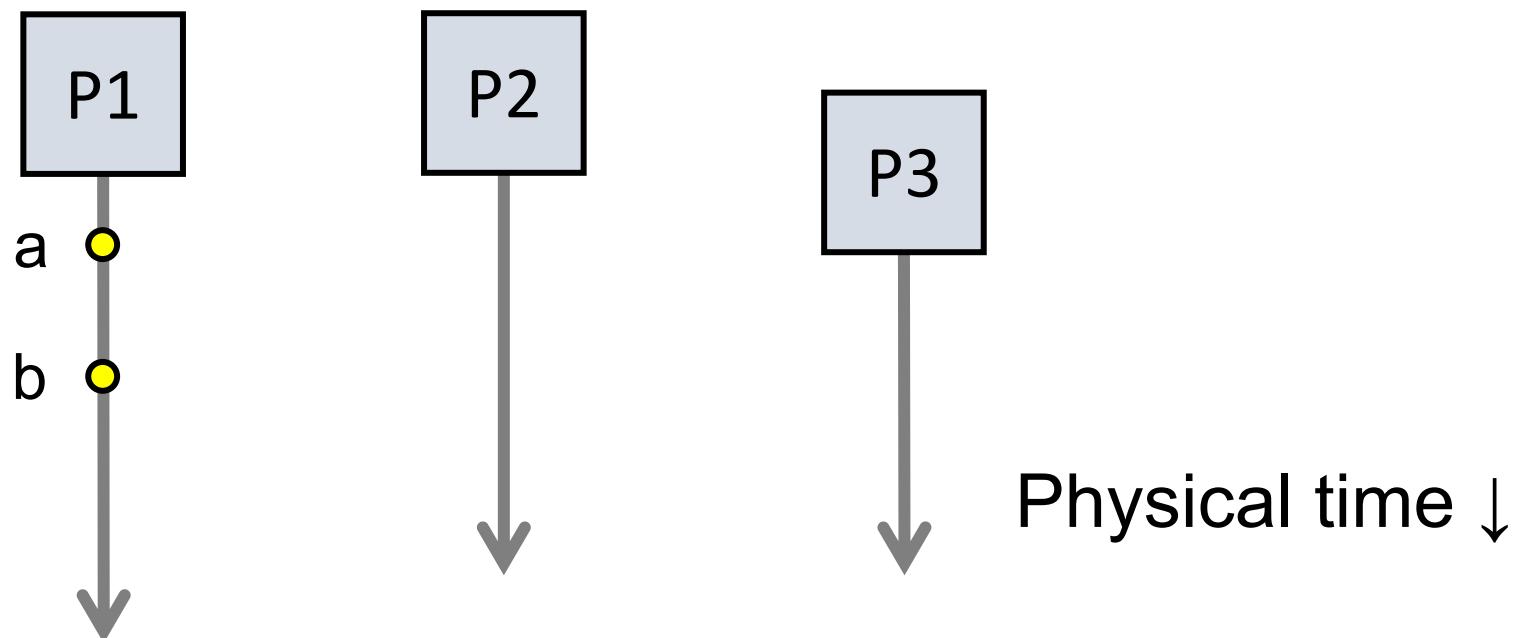
Defining “happens-before” (\rightarrow)

- Can observe event order at a single process



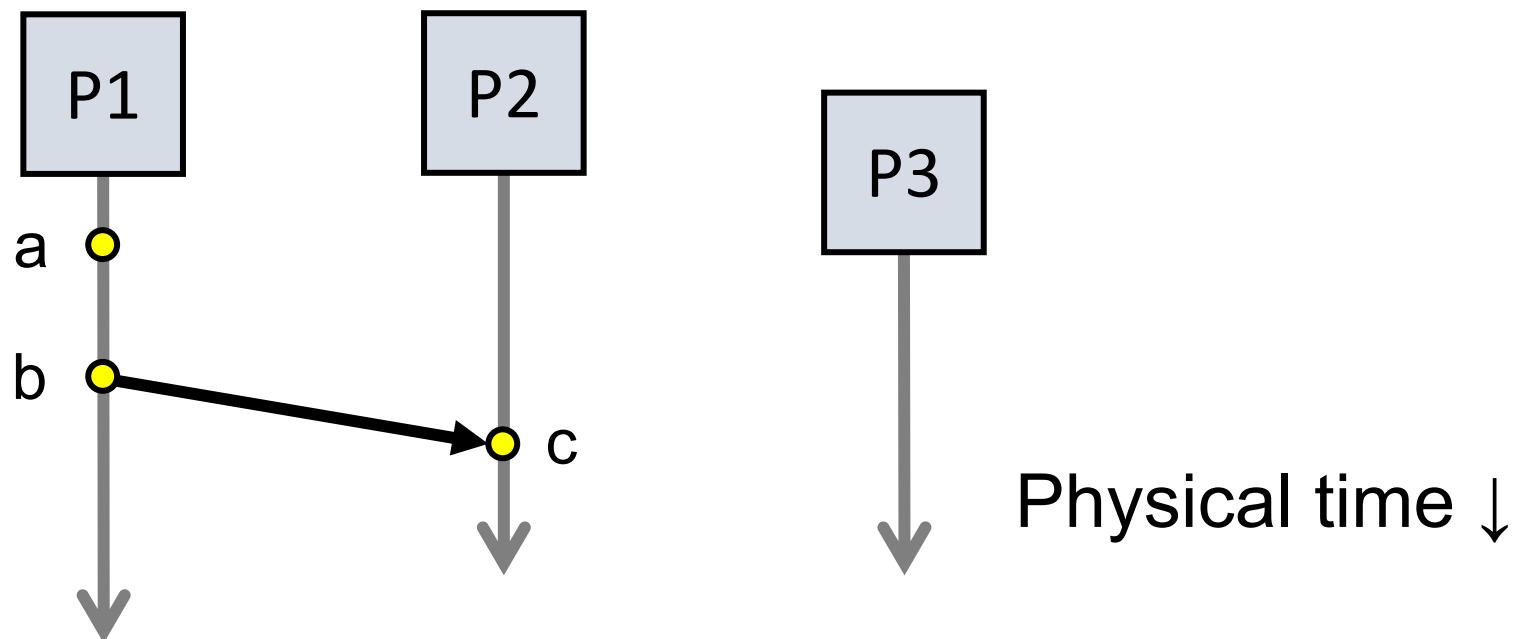
Defining “happens-before” (\rightarrow)

1. If same process and a occurs before b, then $a \rightarrow b$



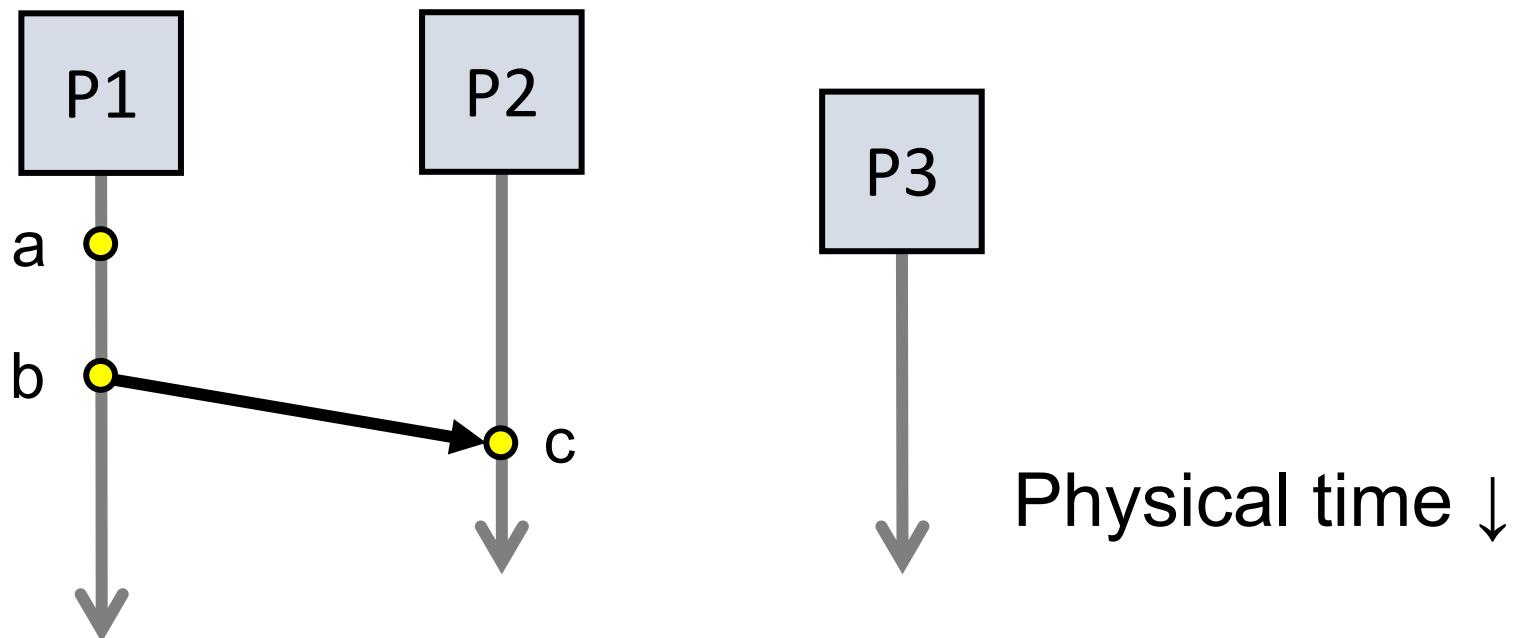
Defining “happens-before” (\rightarrow)

1. If same process and a occurs before b, then $a \rightarrow b$
2. Can observe ordering when processes communicate



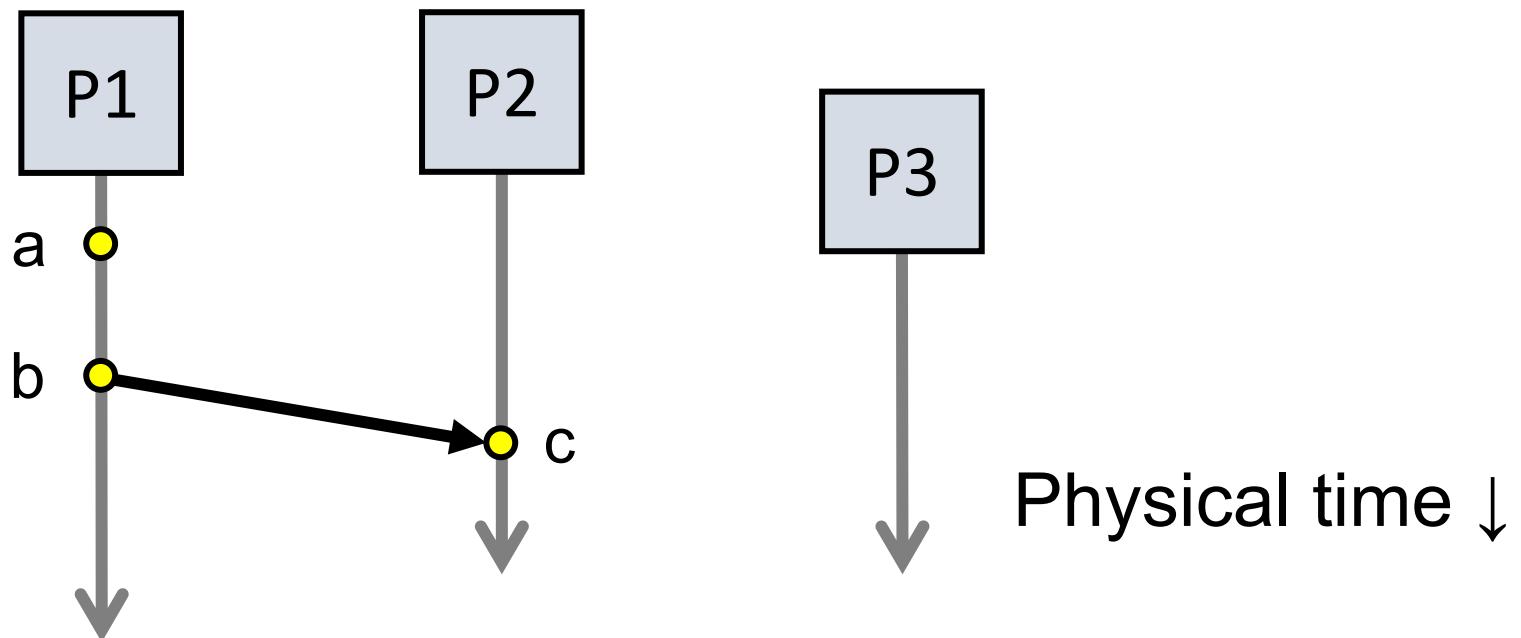
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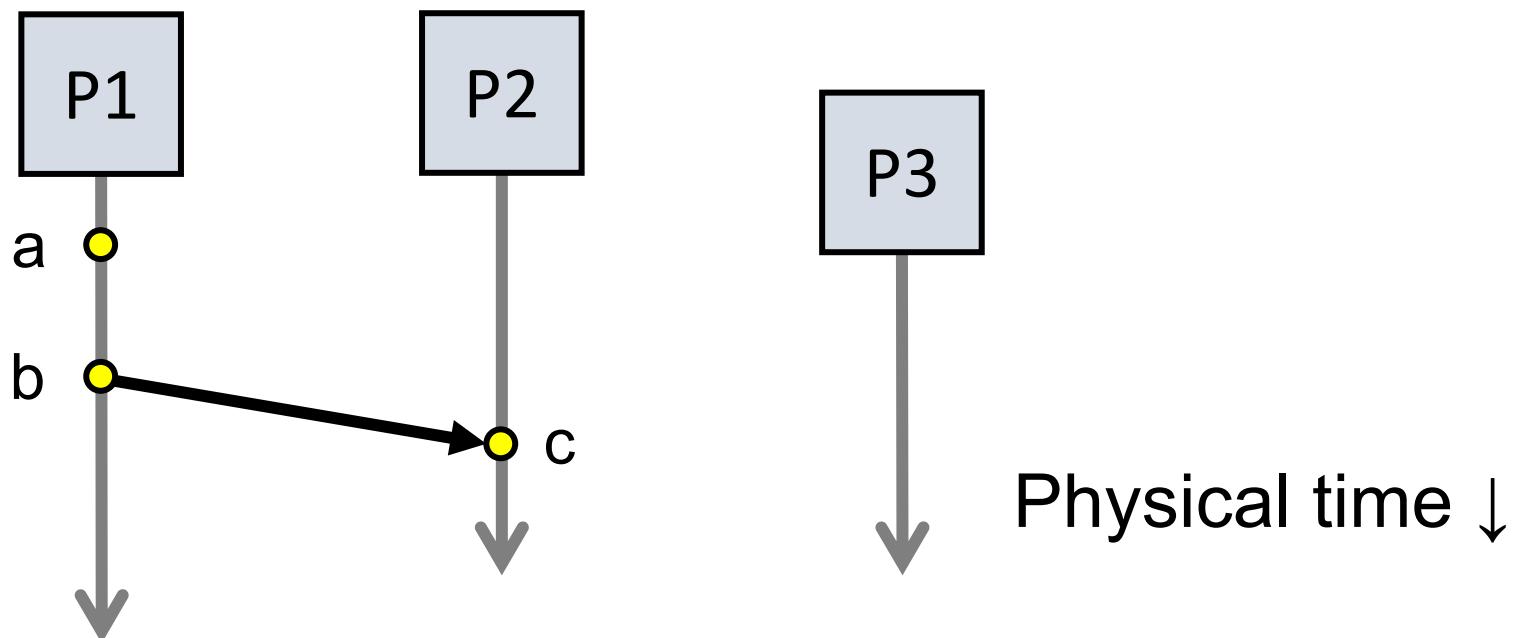
Defining “happens-before” (\rightarrow)

1. If same process and a occurs before b, then $a \rightarrow b$
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3. Can observe ordering transitively



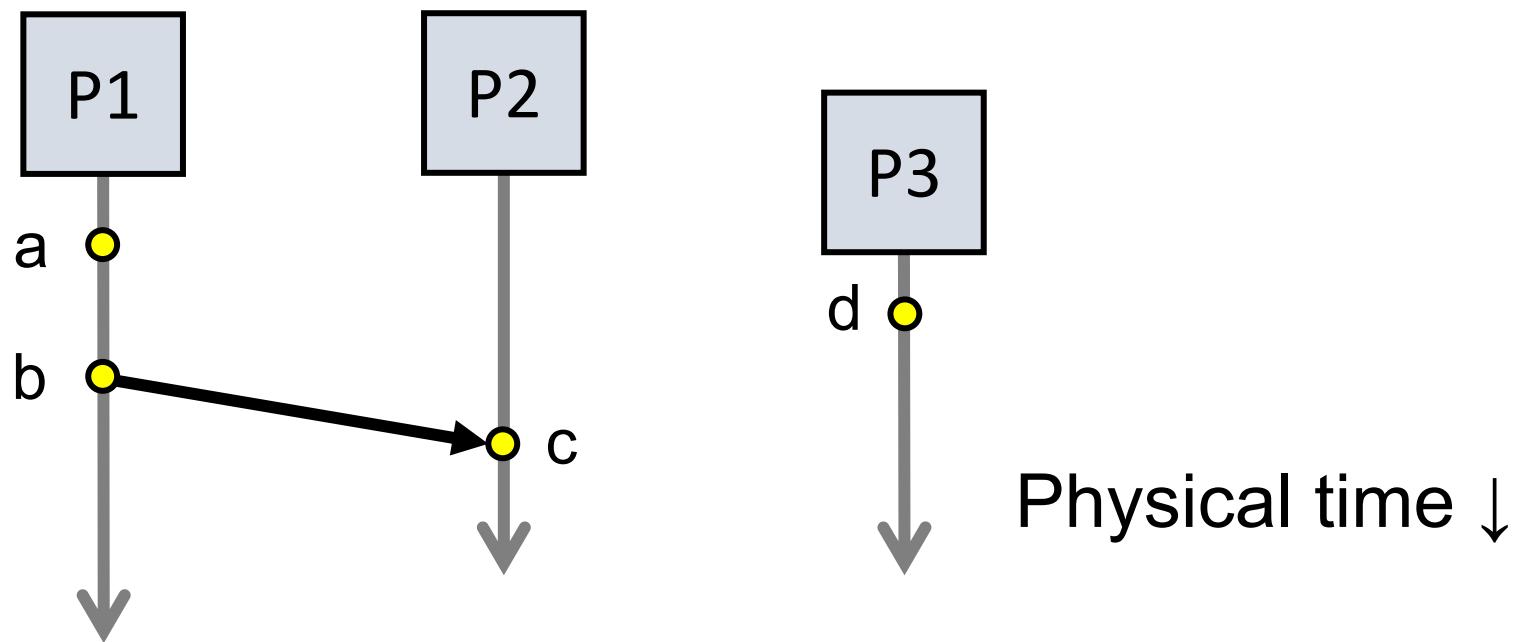
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1. If same process and a occurs before b, then $a \rightarrow b$
2. If c is a message receipt of b, then $b \rightarrow c$
3. If $a \rightarrow b$ and $b \rightarrow c$, then $a \rightarrow c$



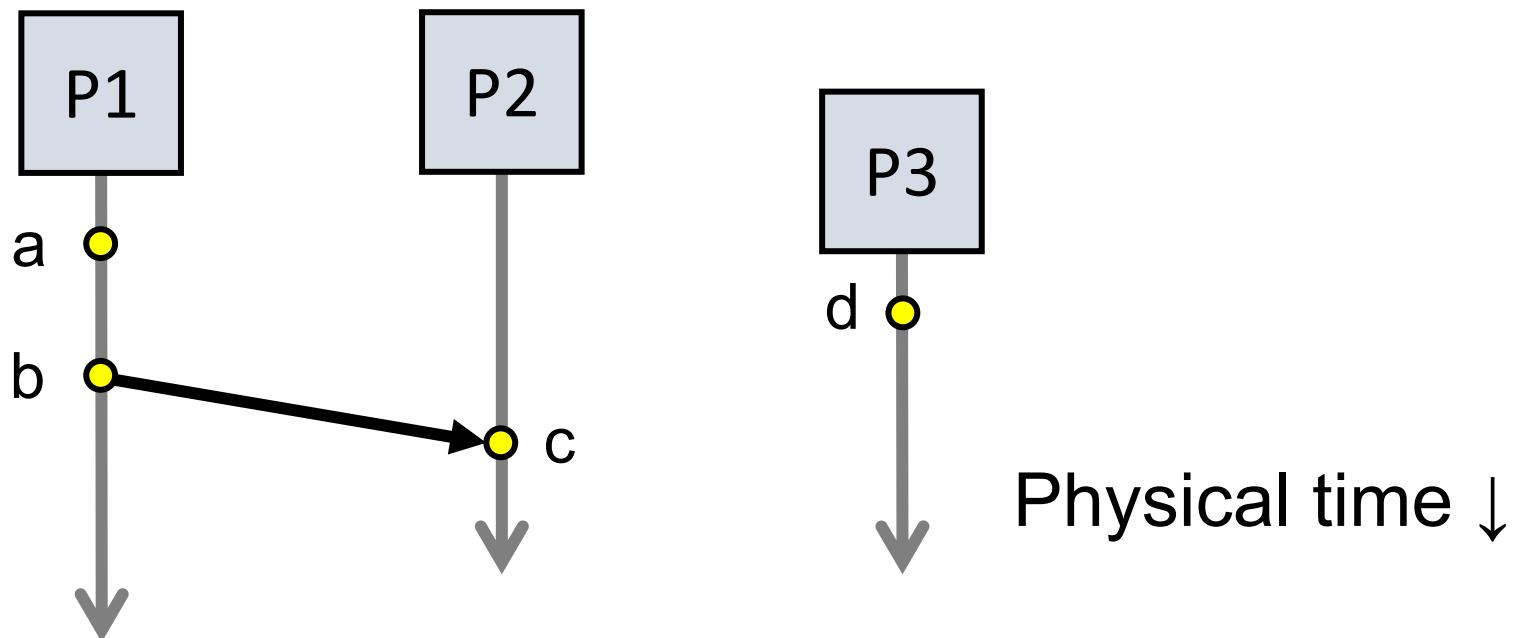
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1. Not all events are related by \rightarrow



Defining “happens-before” (\rightarrow)

1. Not all events are related by \rightarrow
2. a, d not related by \rightarrow so concurrent, written as $a \parallel d$



Lamport clocks: Objective

- We seek a **clock time** $C(a)$ for every event a

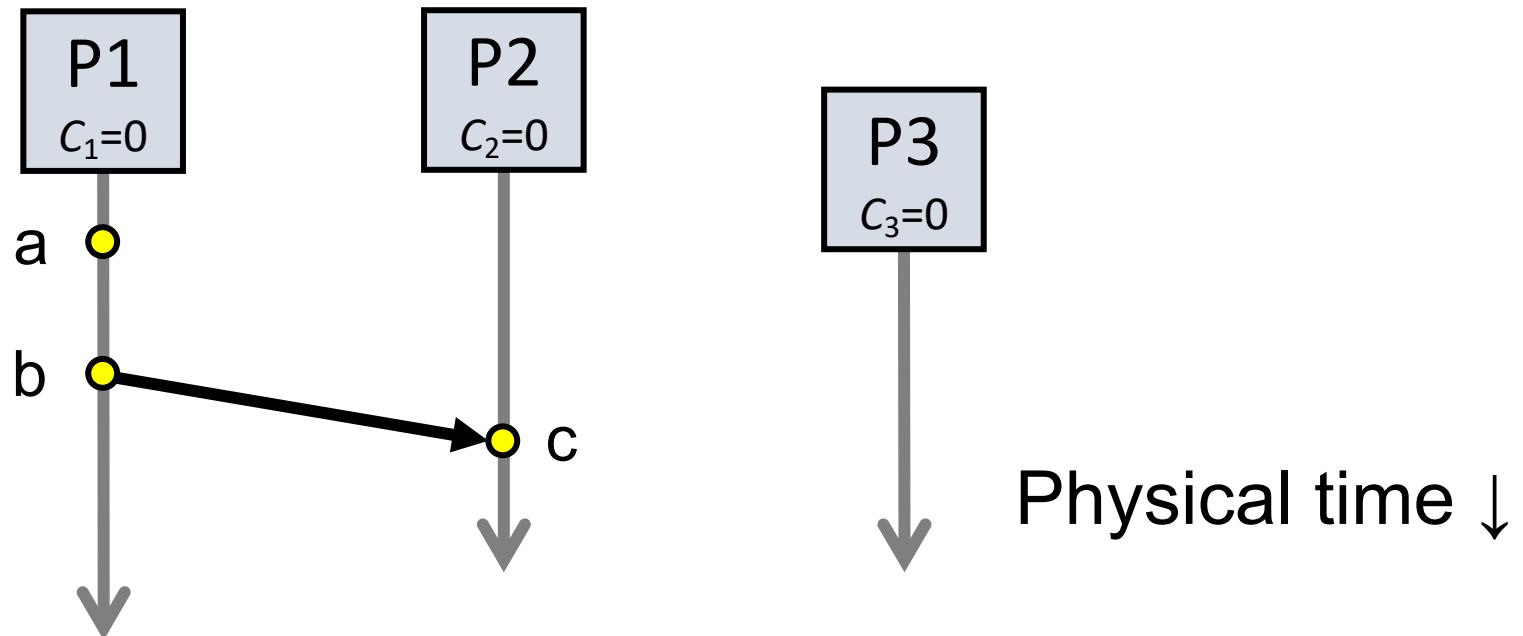
Plan: Tag events with clock times; use clock times to make distributed system correct

- Clock condition: If $a \rightarrow b$, then $C(a) < C(b)$

The Lamport Clock algorithm

- Each process P_i maintains a local clock C_i

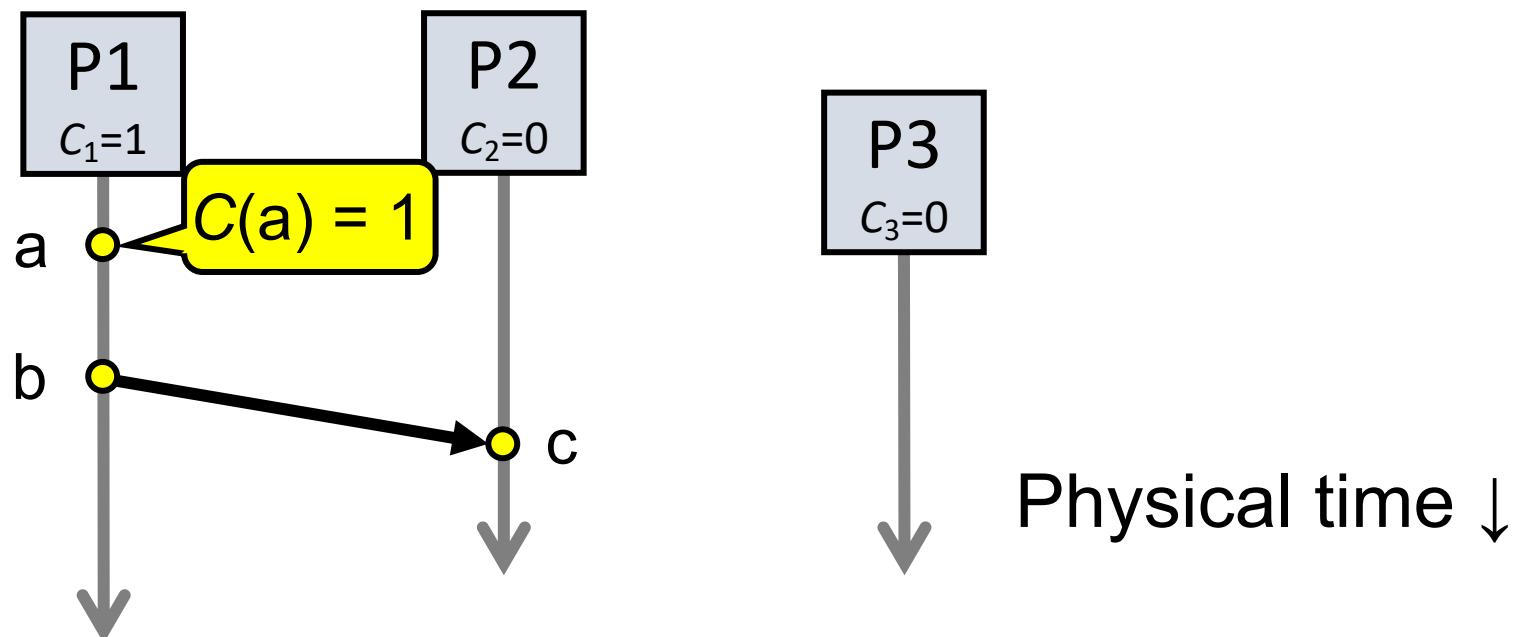
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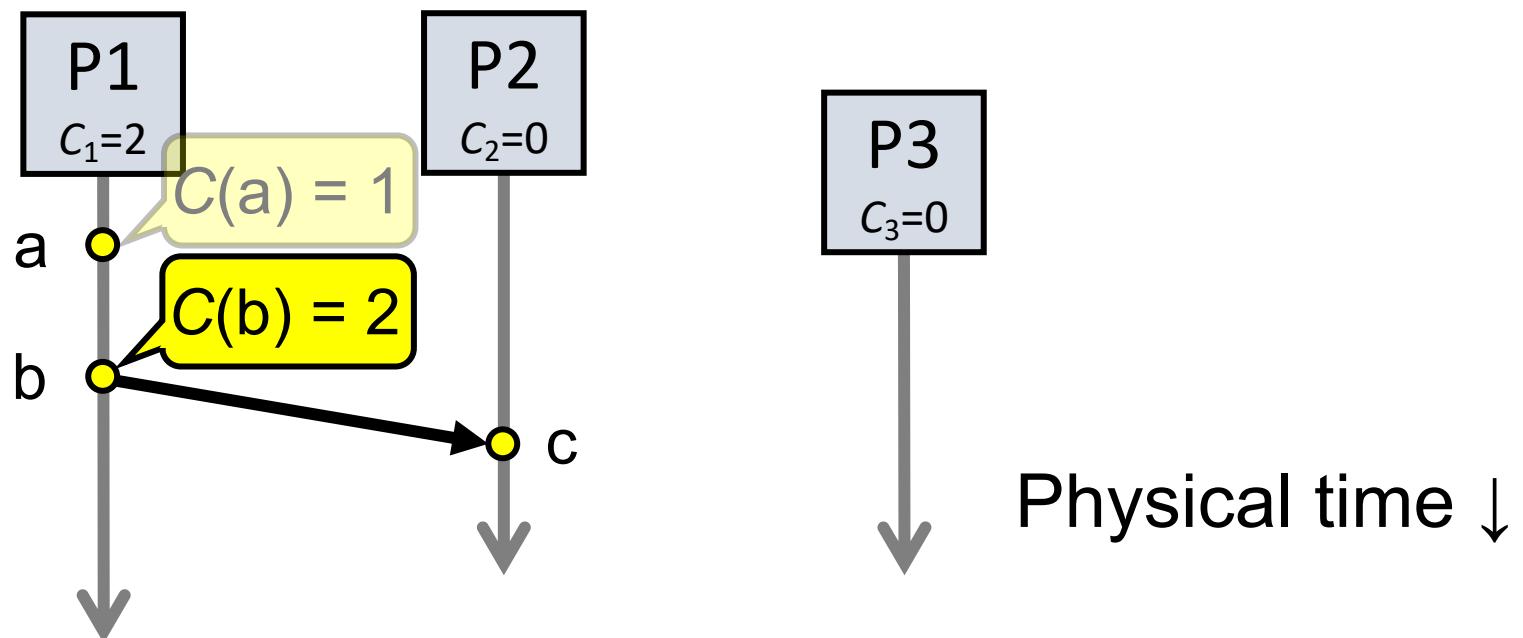
- Set event time $C(a) \leftarrow C_i$



The Lamport Clock algorithm

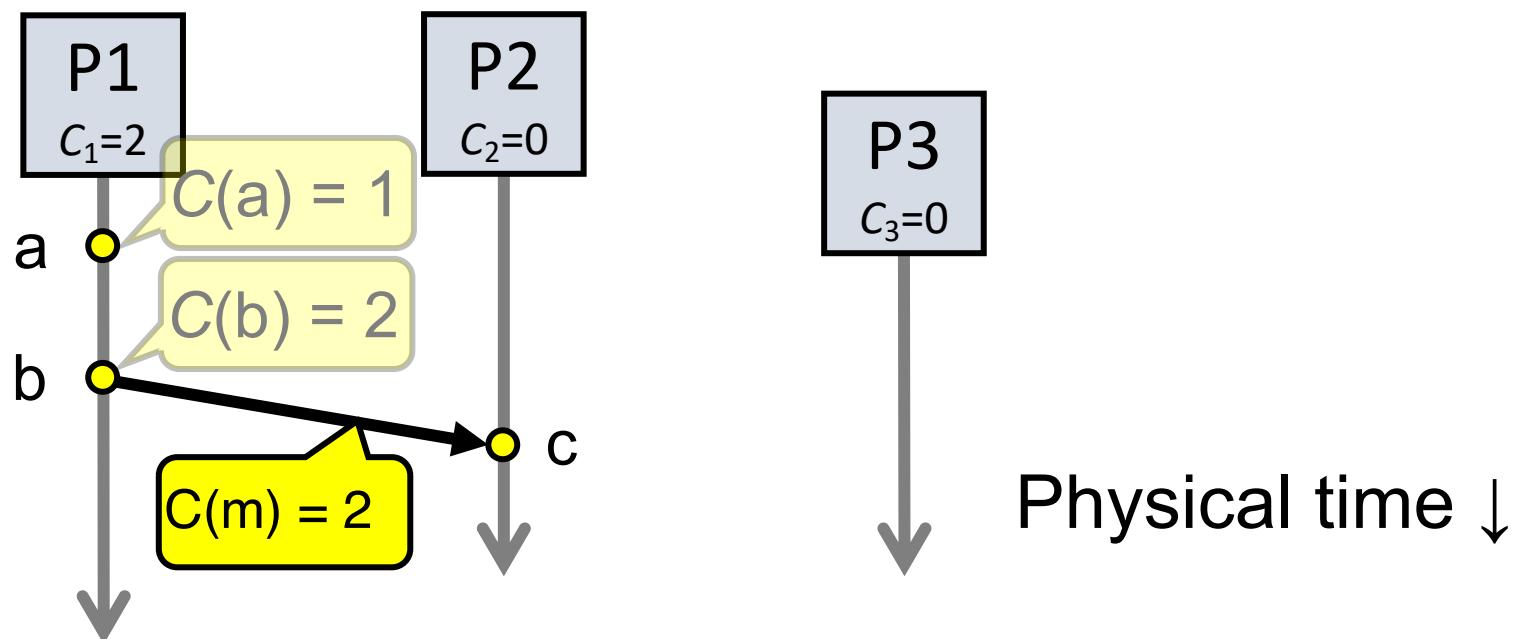
1. Before executing an event b, $C_i \leftarrow C_i + 1$:

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The Lamport Clock algorithm

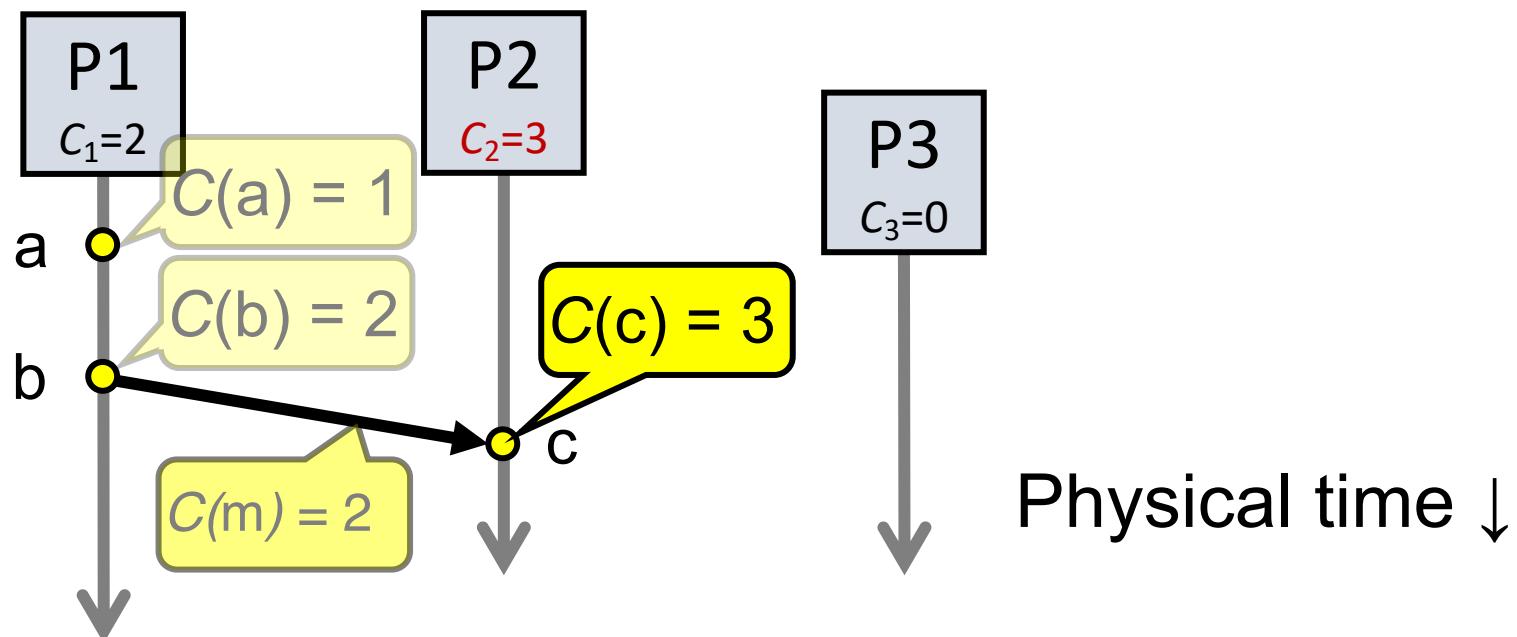
1. Before executing an event b , $C_i \leftarrow C_i + 1$
2. Send the local clock in the message m



The Lamport Clock algorithm

3. On process P_j receiving a message m :

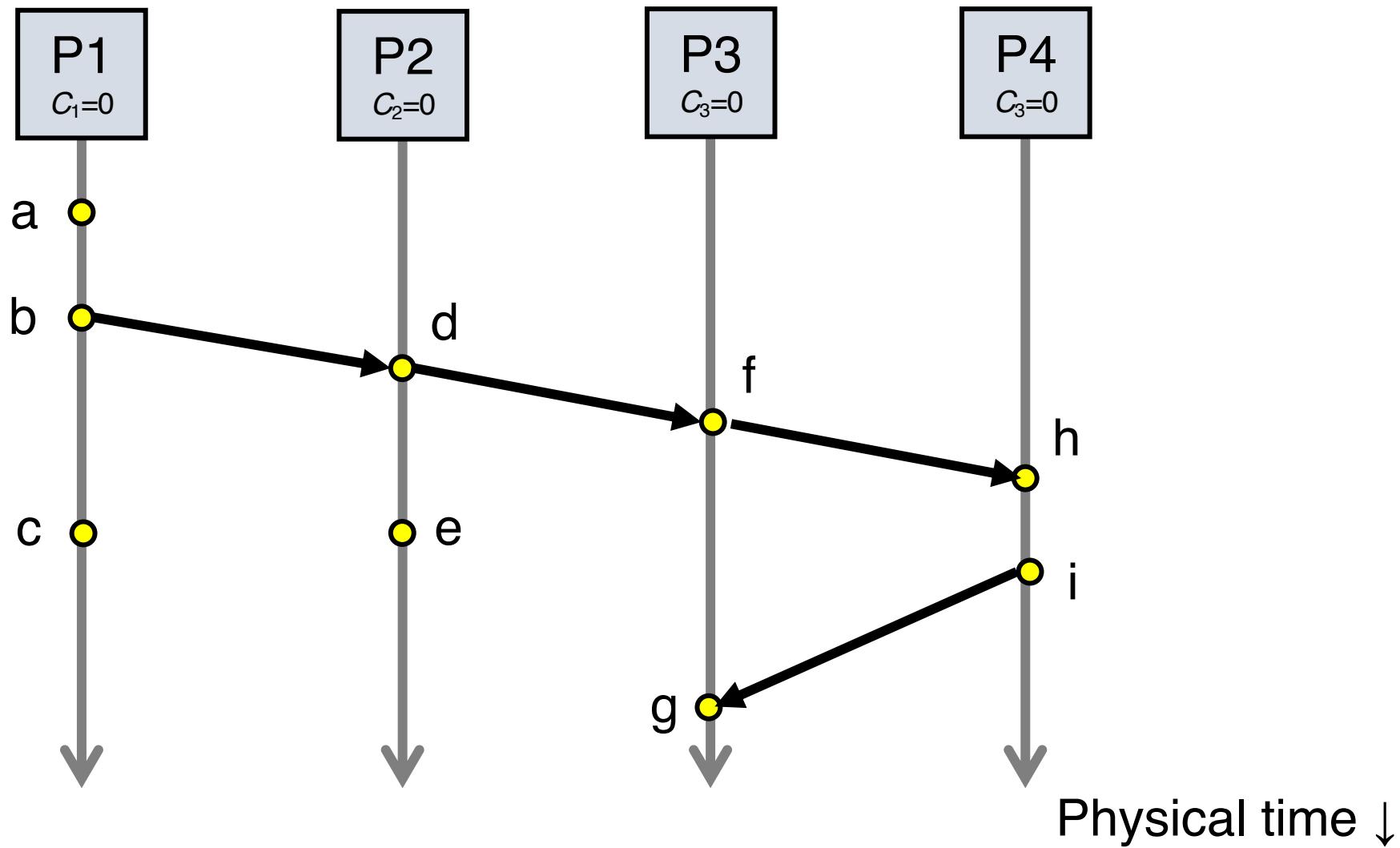
- Set C_j and receive event time $C(c) \leftarrow 1 + \max\{ C_j, C(m) \}$



Lamport Timestamps: Ordering all events

- Break ties by appending the process number to each event:
 1. Process P_i timestamps event e with $C_i(e).i$
 2. $C(a).i < C(b).j$ when:
 - $C(a) < C(b)$, or $C(a) = C(b)$ and $i < j$
- Now, for any two events a and b , $C(a) < C(b)$ or $C(b) < C(a)$
 - This is called a total ordering of events

Order all these events



Totally-Ordered Multicast

Goal: All sites apply updates in (same) Lamport clock order

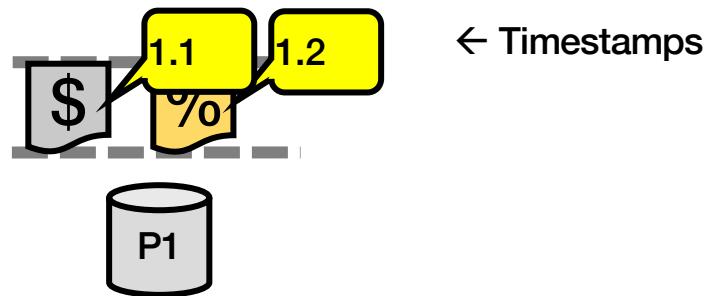
- Client sends update to one replica site j
 - Replica assigns it Lamport timestamp $C_j \cdot j$

Totally-Ordered Multicast

Goal: All sites apply updates in (same) Lamport clock order

- Client sends update to one replica site j
 - Replica assigns it Lamport timestamp $C_j \cdot j$
- Key idea: Place events into a sorted local queue
 - Sorted by increasing Lamport timestamps

Example: P1's
local queue:



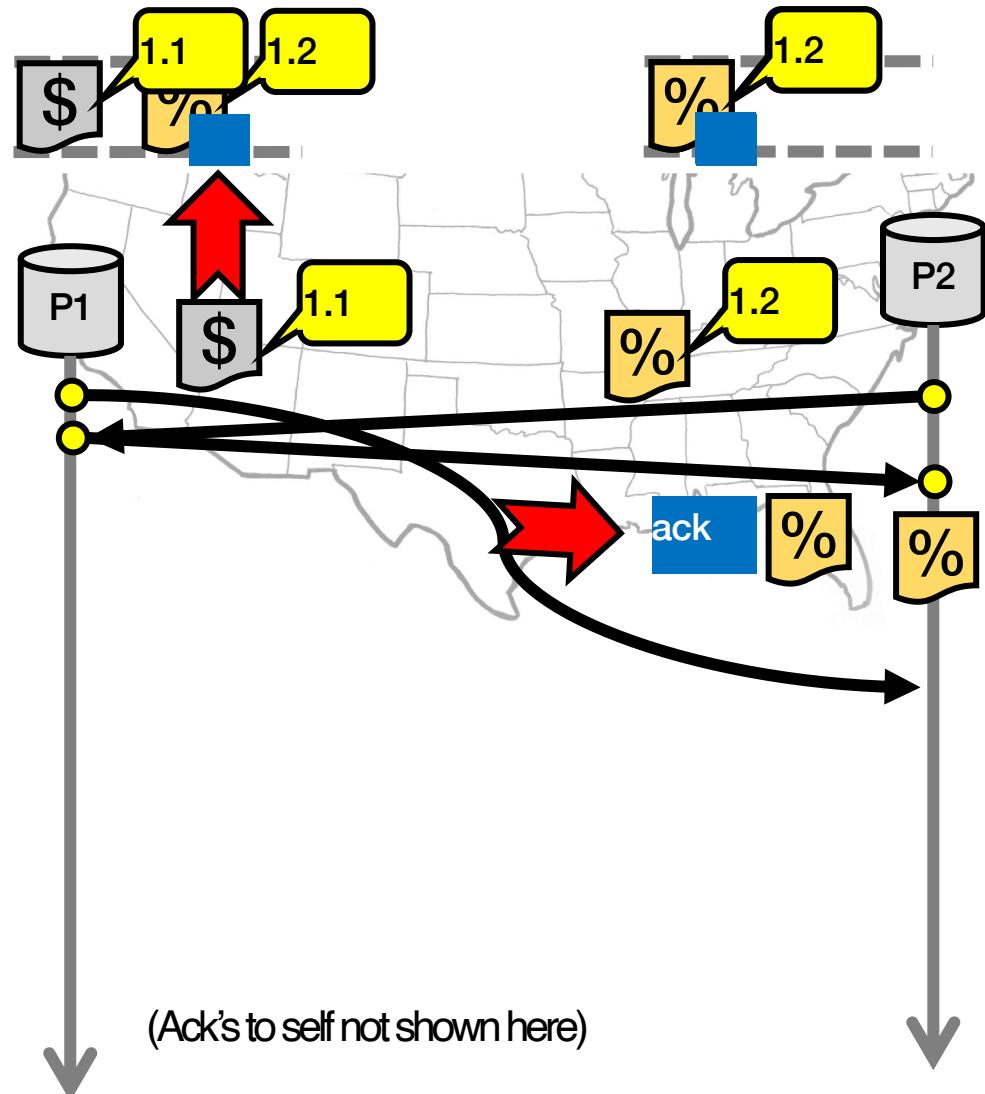
Totally-Ordered Multicast (Almost correct)

1. On receiving an update from client, broadcast to others (including yourself)
2. On receiving an update from replica:
 - a) Add it to your local queue
 - b) Broadcast an acknowledgement message to every replica (including yourself)
3. On receiving an acknowledgement:
 - Mark corresponding update acknowledged in your queue
4. Remove and process updates everyone has ack'ed from head of queue

Totally-Ordered Multicast (Almost correct)

- P1 queues \$, P2 queues %
- P1 queues and ack's %
 - P1 marks % fully ack'ed
- P2 marks % fully ack'ed

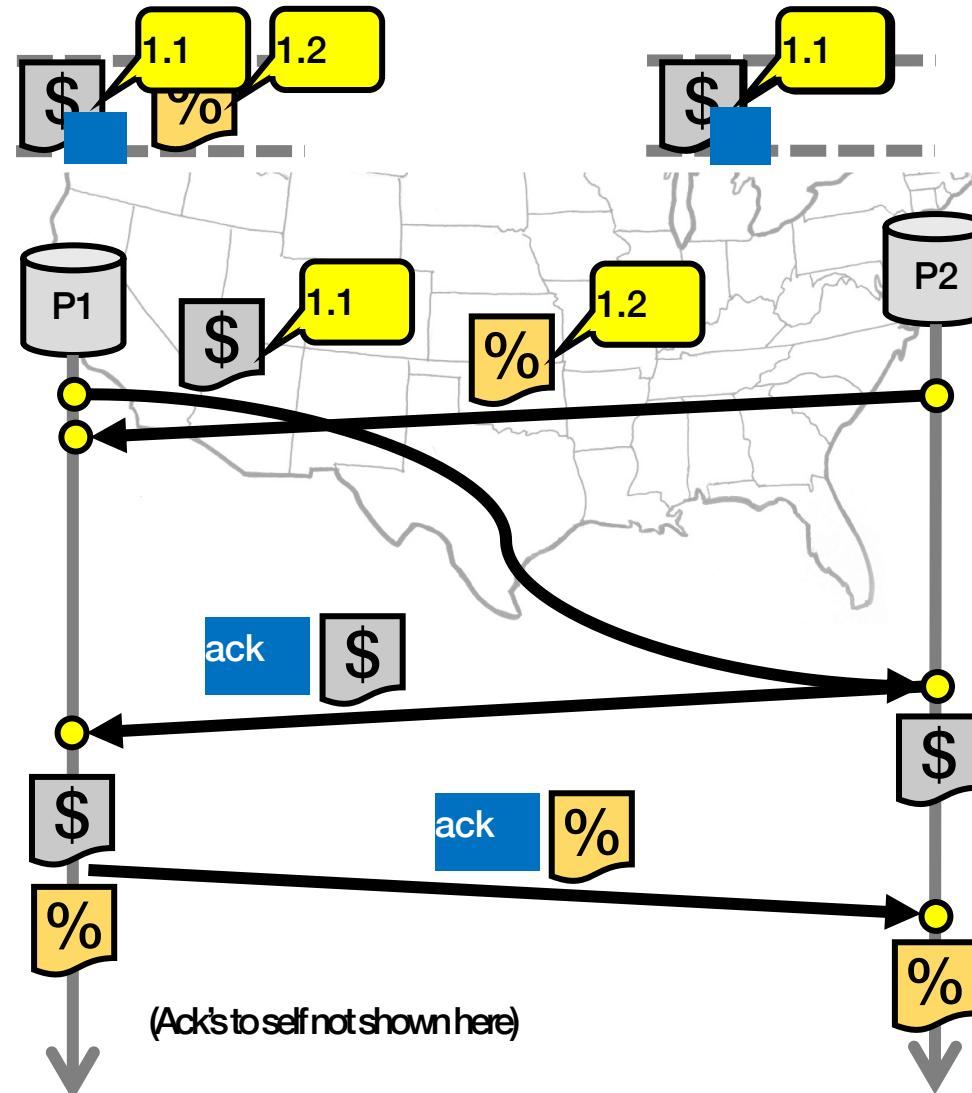
X P2 processes %



Totally-Ordered Multicast (Correct version)

1. On receiving an update from client, broadcast to others (including yourself)
2. On receiving or processing an update:
 - a) Add it to your local queue, if received update
 - b) Broadcast an acknowledgement message to every replica (including yourself) only from head of queue
3. On receiving an acknowledgement:
 - Mark corresponding update acknowledged in your queue
4. Remove and process updates everyone has ack'ed from head of queue

Totally-Ordered Multicast (Correct version)



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 1. Our protocol assumed:
 - No node failures
 - No message loss
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 2. All-to-all communication **does not scale**
 3. Waits forever for message delays (performance?)

Lamport Clocks: Takeaway points

- Can totally-order events in a distributed system: that's useful!
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Can't use Lamport timestamps to infer causal relationships between events

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- The need for time synchronization
- “Wall clock time” synchronization
 - Cristian’s algorithm, NTP
- Logical Time: Lamport Clocks
- **Vector clocks**

2. Primary-Back (P-B)

Lamport Clocks and causality

- Lamport clock timestamps do not capture causality
- Given two timestamps $C(a)$ and $C(z)$, want to know whether there's a chain of events linking them:

$$a \rightarrow b \rightarrow \dots \rightarrow y \rightarrow z$$

Vector clock: Introduction

- One integer can't order events in more than one process
- So, a **Vector Clock (VC)** is a vector of integers, one entry for each process in the entire distributed system
 - Label event e with $VC(e) = [c_1, c_2 \dots, c_n]$
 - Each entry c_k is a count of events in process k that causally precede e

Vector clock: Update rules

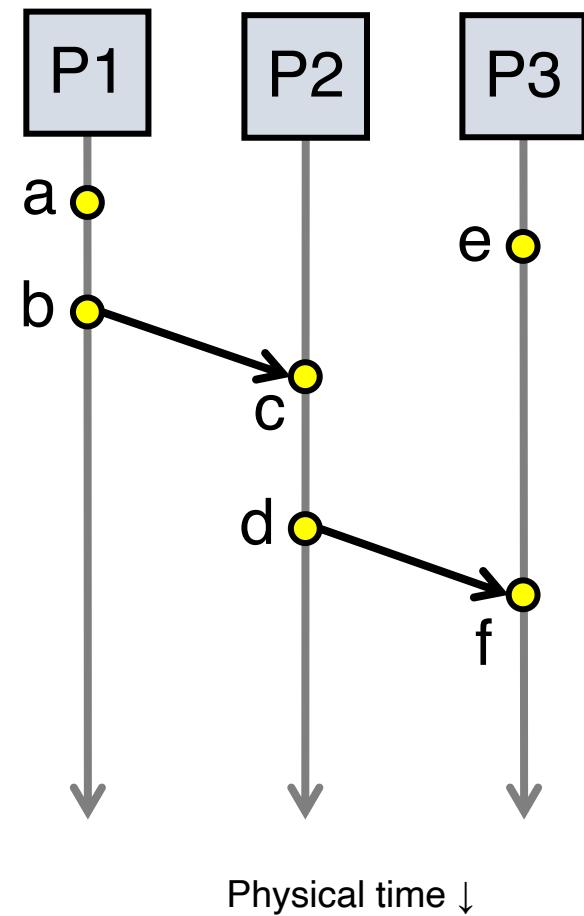
- Initially, all vectors are $[0, 0, \dots, 0]$
- Two update rules:
 1. For each local event on process i , increment local entry c_i

Vector clock: Update rules

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- Two update rules:
 1. For each local event on process i , increment local entry c_i
 2. If process j receives message with vector $[d_1, d_2, \dots, d_n]$:
 - Set each local entry $c_k = \max\{c_k, d_k\}$
 - Increment local entry c_j

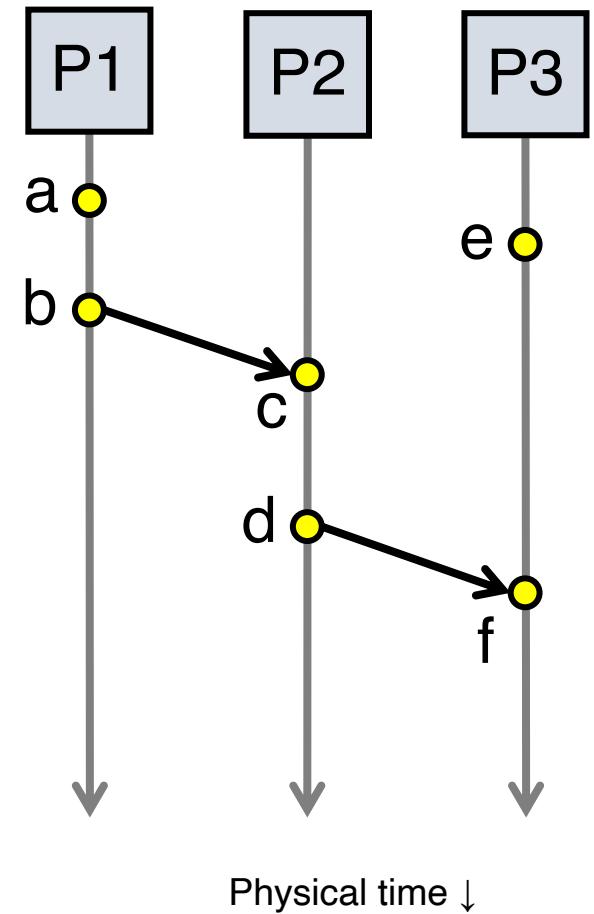
Vector clock: Example

- All processes' VCs start at [0, 0, 0]



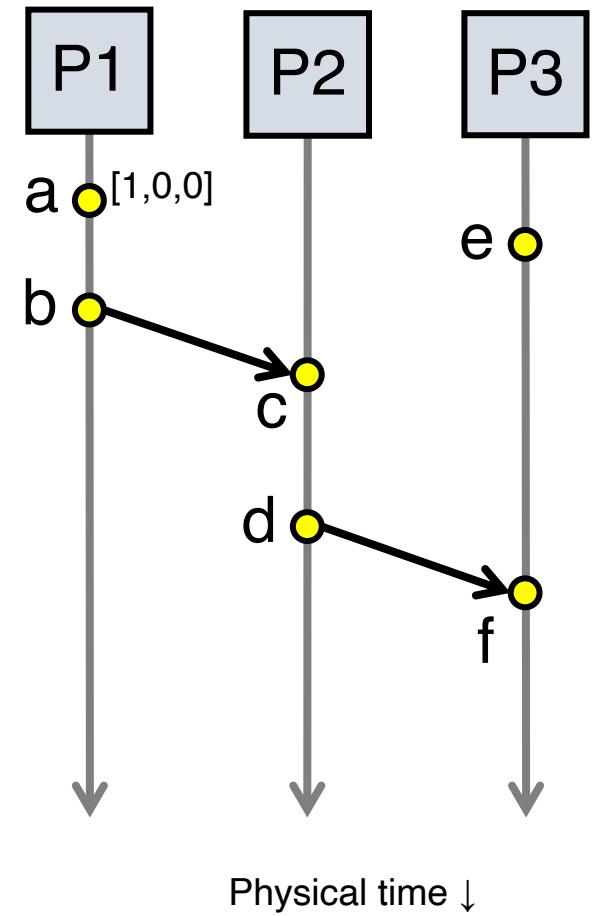
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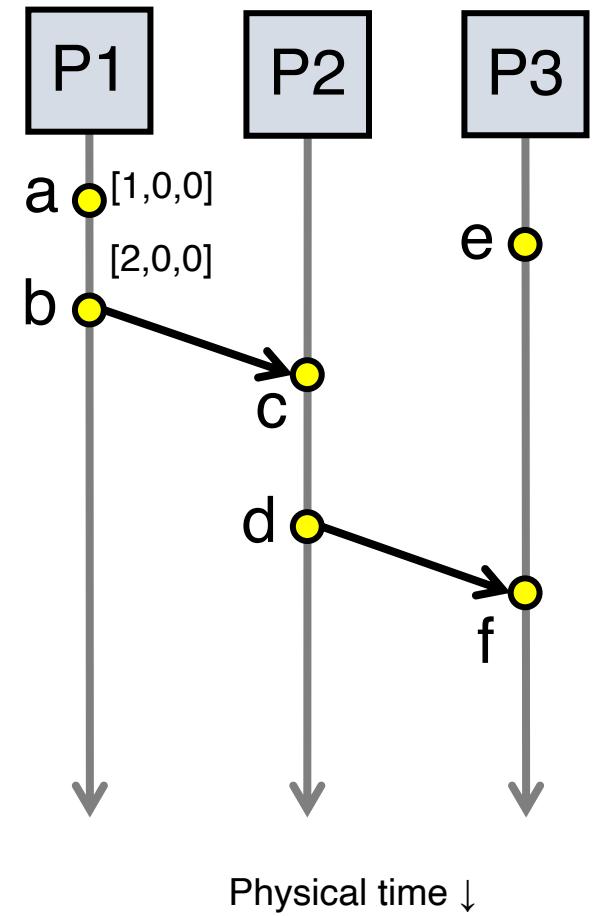
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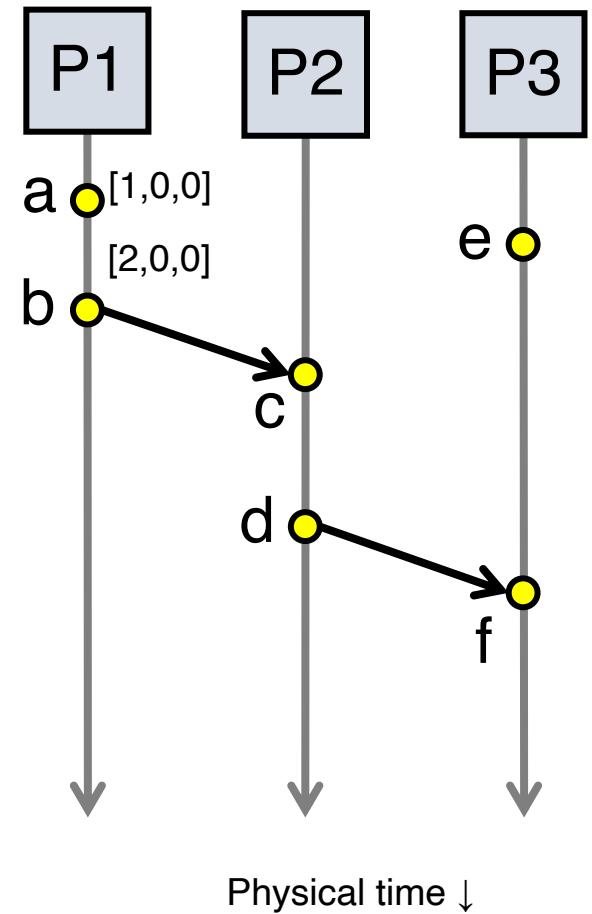
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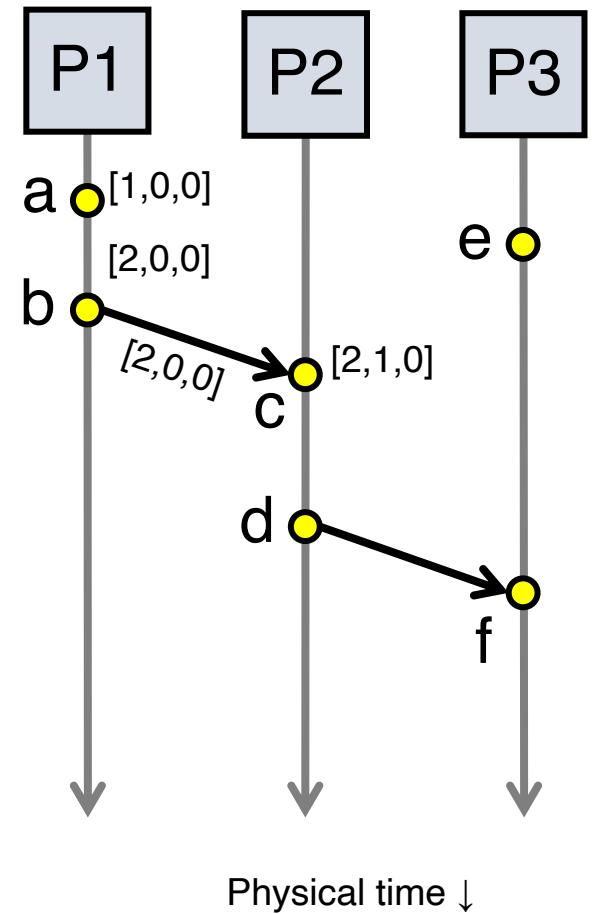
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- Applying local update rule
- Applying message rule
 - Local vector clock **piggybacks** on inter-process messages



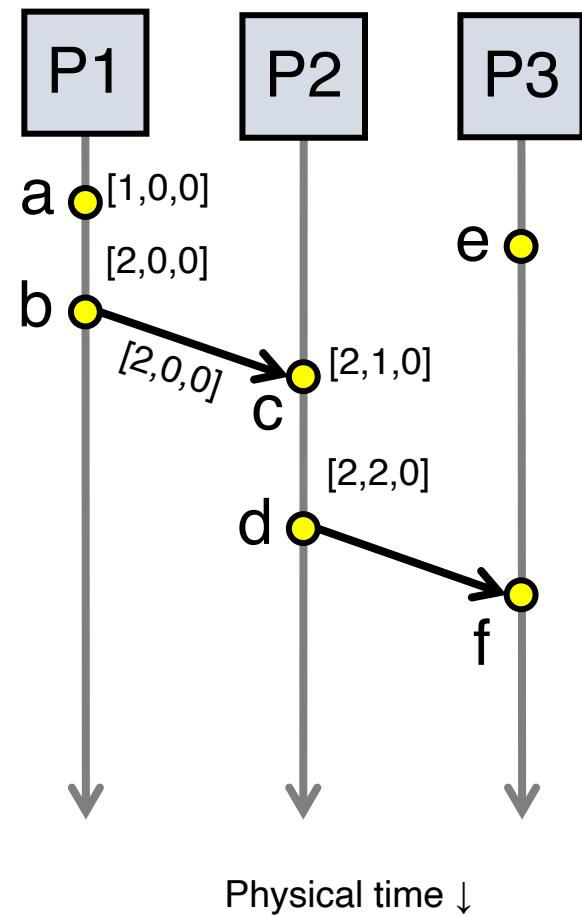
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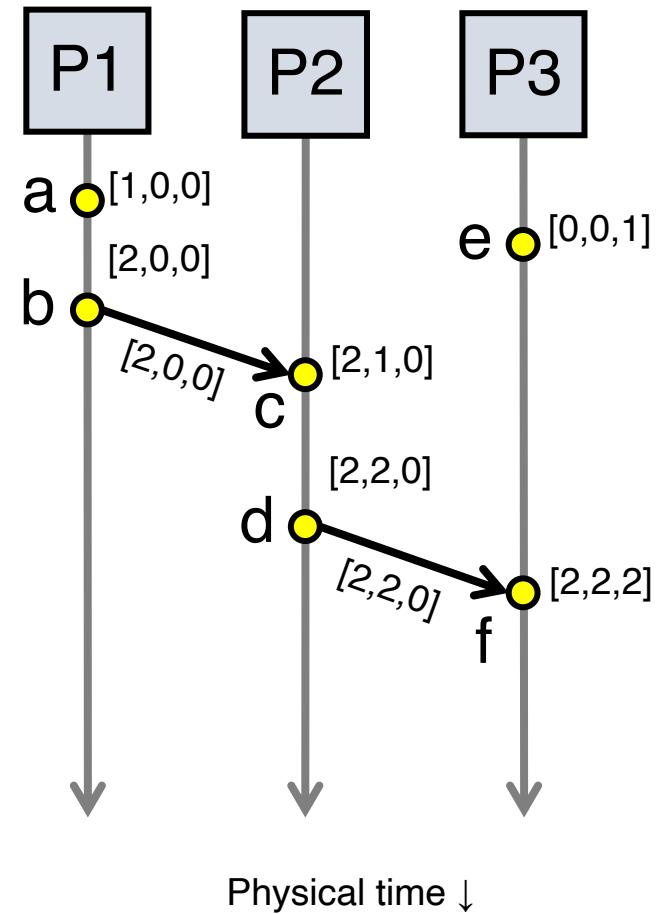
Vector clock: Example

- All processes' VCs start at $[0, 0, 0]$
- Applying local update rule
- Applying message rule
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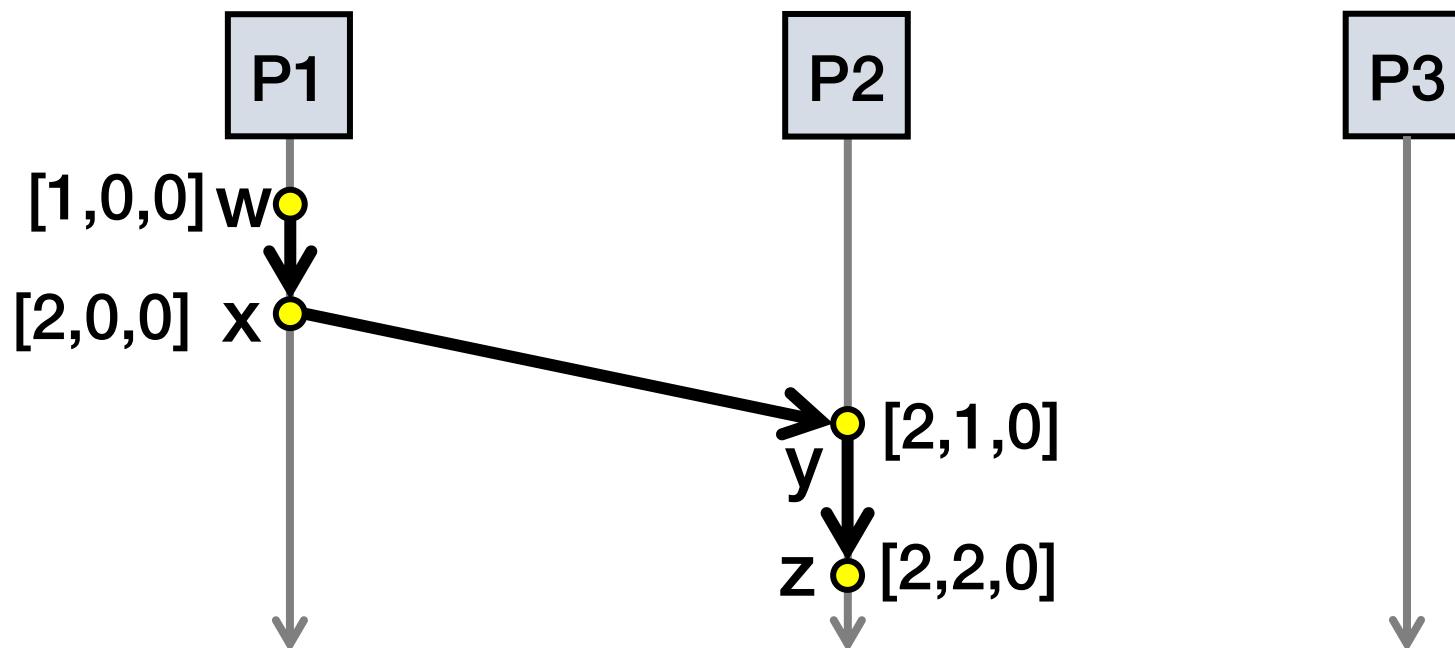


Comparing vector timestamps

- Rule for comparing vector timestamps:
 - $V(a) = V(b)$ when $a_k = b_k$ for all k
 - $V(a) < V(b)$ when $a_k \leq b_k$ for all k and $V(a) \neq V(b)$
- Concurrency:
 - $V(a) \parallel V(b)$ if $a_i < b_i$ and $a_j > b_j$, some i, j

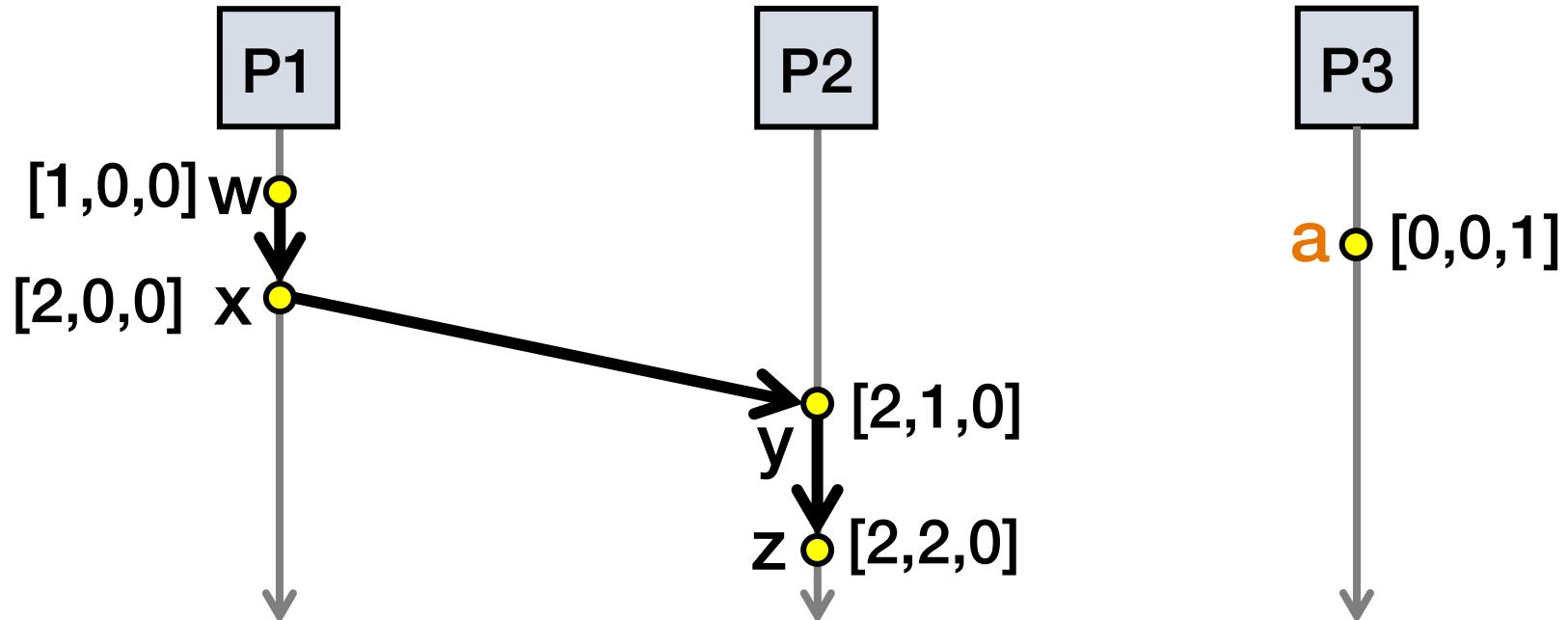
Vector clocks capture causality

- $V(w) < V(z)$ then there is a chain of events linked by Happens-Before (\rightarrow) between w and z



Vector clocks capture causality

- $V(w) < V(z)$ then there is a chain of events linked by Happens-Before (\rightarrow) between a and z
- $V(a) \parallel V(w)$ then there is no such chain of events between a and w



Comparing vector timestamps

- Rule for comparing vector timestamps:
 - $V(a) = V(b)$ when $a_k = b_k$ for all k
 - They are the same event
 - $V(a) < V(b)$ when $a_k \leq b_k$ for all k and $V(a) \neq V(b)$
 - $a \rightarrow b$
- Concurrency:
 - $V(a) \parallel V(b)$ if $a_i < b_i$ and $a_j > b_j$, some i, j
 - $a \parallel b$

Two events a, z

Lamport clocks: $C(a) < C(z)$

Conclusion: $z \rightarrow a$, i.e., either $a \rightarrow z$ or $a \parallel z$

Vector clocks: $V(a) < V(z)$

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Two events a, z

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Vector clocks: $V(a) < V(z)$

Conclusion: $a \rightarrow z$

Vector clock timestamps precisely capture happens-before relation (potential causality)

Today's outline

1. Time and clocks

- The need for time synchronization
- “Wall clock time” synchronization
 - Cristian’s algorithm, NTP
- Logical Time: Lamport Clocks
- Vector clocks

2. Primary-Back (P-B)

Limited fault tolerance in Totally-Ordered Multicast



- Stateful server replication for **fault tolerance...**

Limited fault tolerance in Totally-Ordered Multicast



- Stateful server replication for **fault tolerance...**
- But **no story** for server replacement upon a server failure → **no replication**

Limited fault tolerance in Totally-Ordered Multicast



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Goal: Make stateful servers **fault-tolerant?**

Primary-Backup: Goals

- Mechanism: Replicate and separate servers

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 - **Continue operation** after failure

Primary-Backup: Goals

- Mechanism: Replicate and separate servers
- Goal #1: Provide a highly reliable service
 - Despite some server and network failures
 - **Continue operation** after failure
- Goal #2: Servers should behave just like a single, more reliable server

State machine replication

- Any server is essentially a *state machine*
 - Set of (key, value) pairs is **state**
 - Operations **transition** between states
- Need an op to be executed on all replicas, or none at all
 - *i.e.*, we need **distributed all-or-nothing atomicity**
 - If op is deterministic, replicas will end in same state
- **Key assumption:** Operations are deterministic

Primary-Backup (P-B) approach

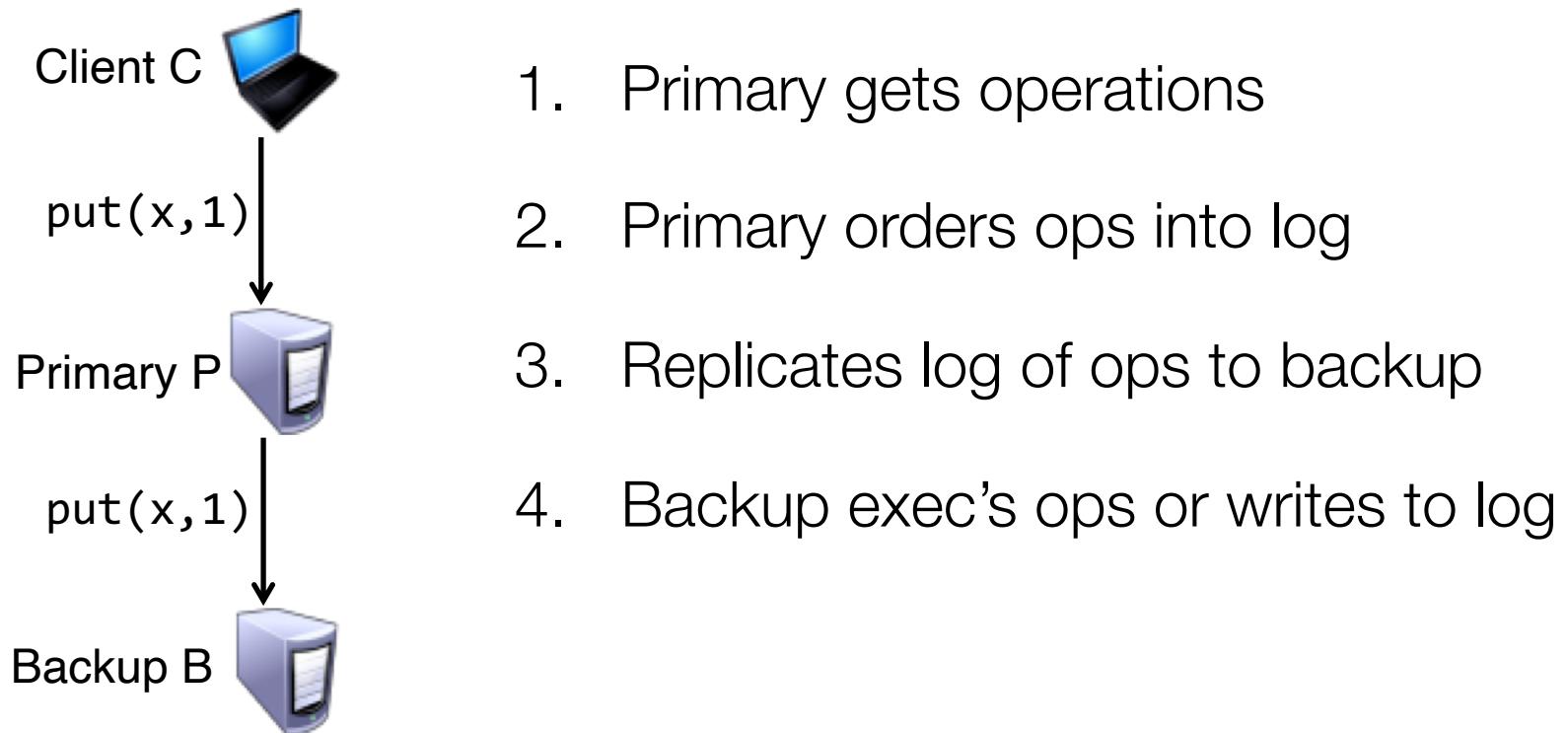
- Nominate one server the *primary*, call the other the *backup*
 - Clients send all operations (get, put) to current primary
 - The primary orders clients' operations
- Should be only one primary at a time

Primary-Backup (P-B) approach

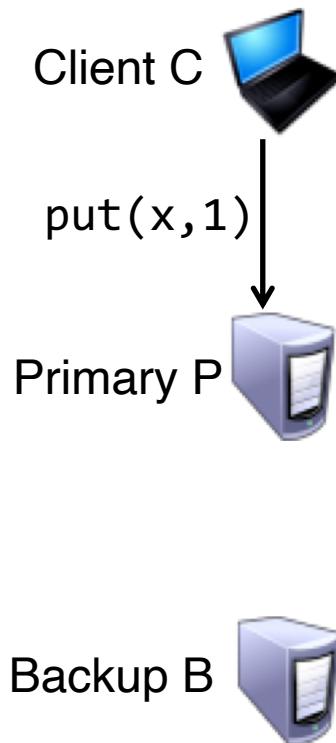
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Need to keep clients, primary, and backup in sync: **who is primary and who is backup**

Primary-Backup replication



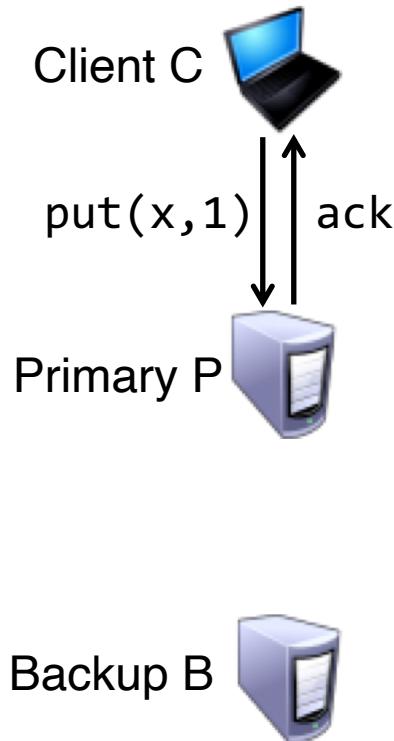
Primary-Backup replication



Asynchronous Replication

1. Primary gets operations
2. Primary exec's ops
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4. Replicates log of ops to backup
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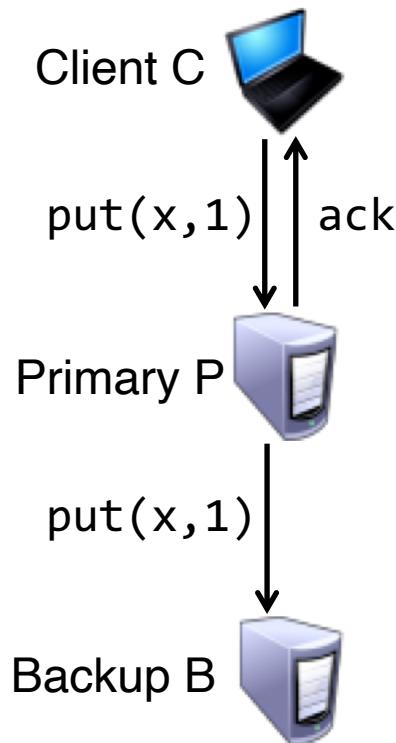
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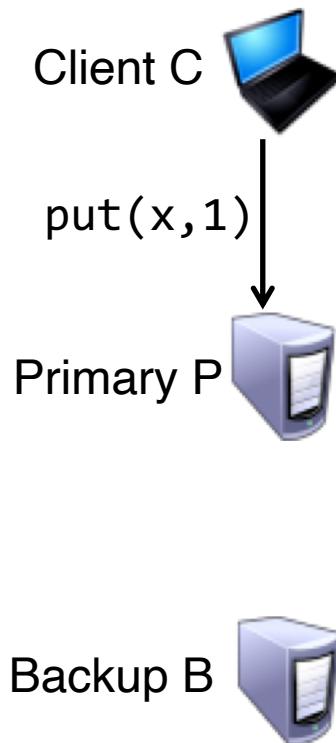
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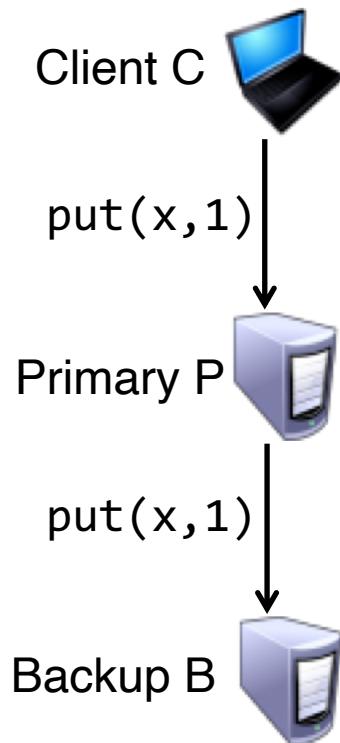
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Synchronous Replication

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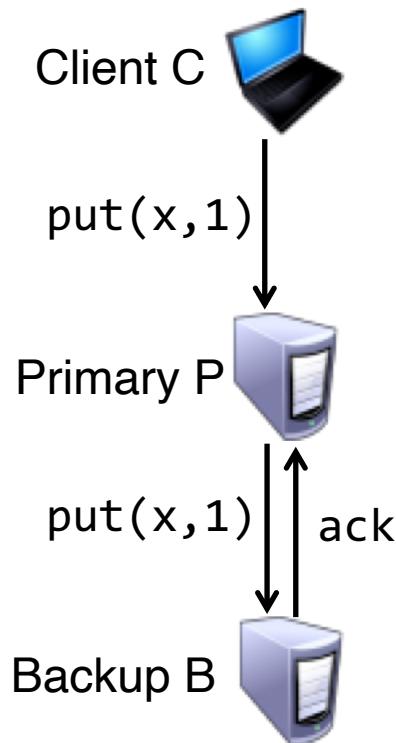
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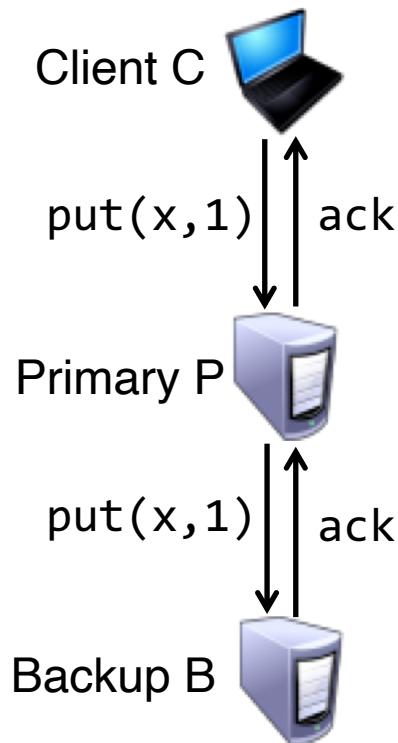
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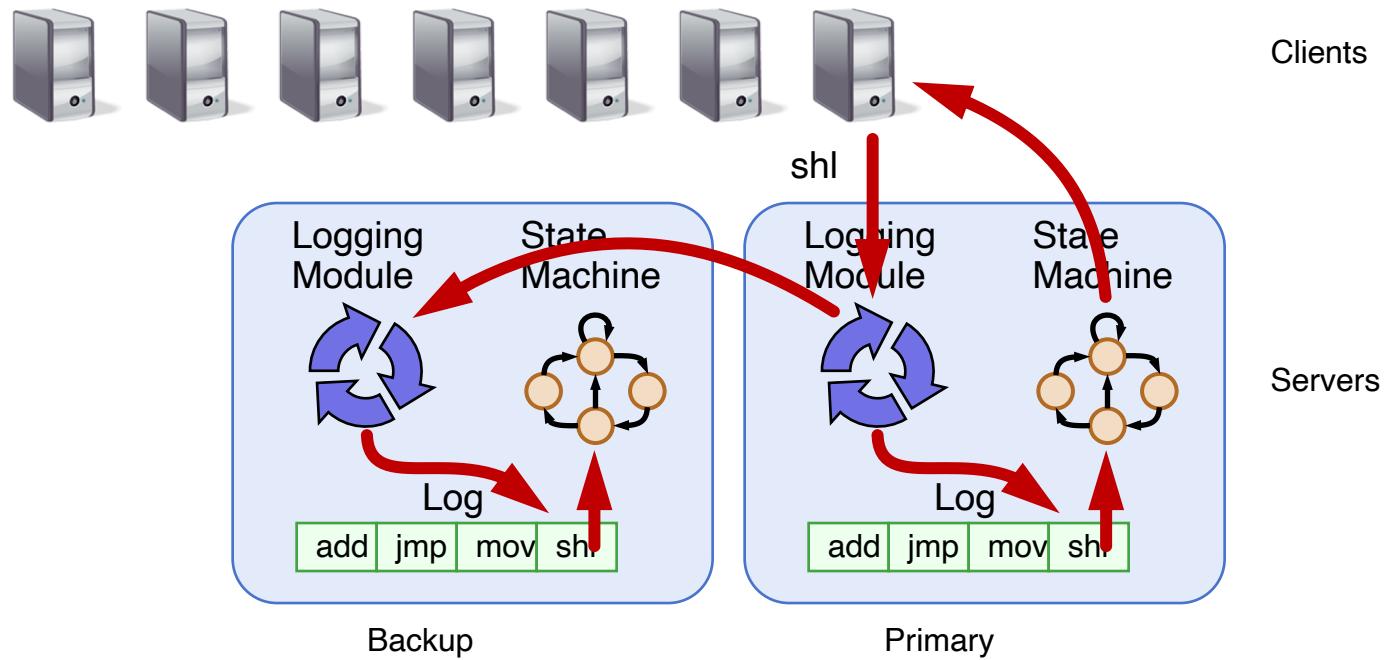
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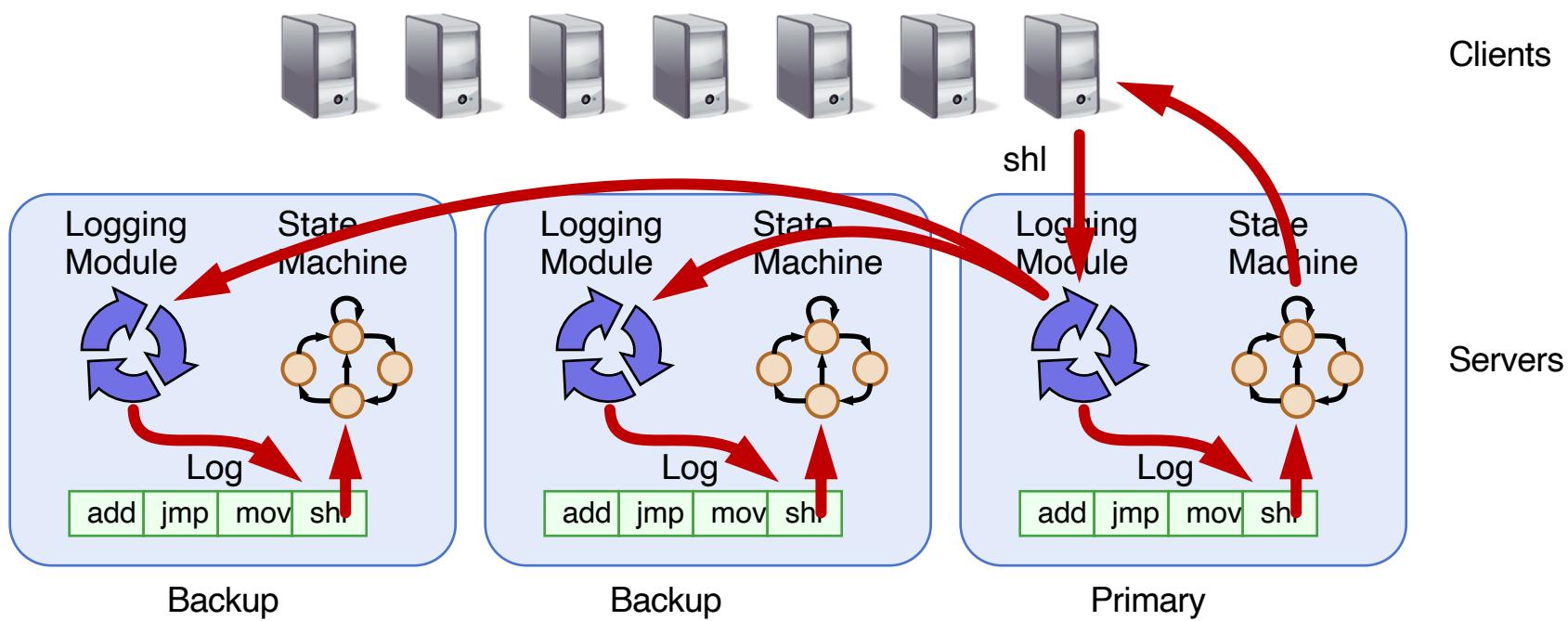
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Why does this work? Synchronous replication



- Replicated log => replicated state machine
 - All servers execute same commands in same order

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Need determinism? Make it so!

- Operations are deterministic
 - No events with ordering based on local clock
 - Convert timer, network, user into logged events
 - Nothing using random inputs
 - Execution order of ops is identical
 - Most RSMs are single threaded

Primary-Backup: Summary

- First step in our goal of making stateful replicas **fault-tolerant**
- Allows replicas to provide **continuous service** despite **persistent net and machine failures**
- Finds repeated application in **practical systems** (next lecture)

