

# LECTURE 8

## DISTANCE MEASURES FOR QUANTUM STATES AND QUANTUM CRYPTOGRAPHY

INF587 Quantum computer science and applications

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## Introduction to quantum cryptography!

**Security** relies on:

- ▶ No-cloning theorem
- ▶ Measuring modifies quantum states
- ▶ Incapacity to distinguish non-orthogonal quantum states

**Distance between quantum states:** essential tool **for ensuring the security** of quantum cryptography (what is possible or not, what can be done at best to distinguish, etc..)

→ As usual: we need first to understand where these concepts come from: classical world!

1. Distances over distributions
2. Distance between quantum states
3. Bit commitment
4. Quantum Key Distribution

**Information theory:** modelize an **information source** as a random variable

→ **Our aim:** meaning of “two information sources are similar to one another, or not”  
similar  $\approx$  undistinguishable ; not-similar  $\approx$  distinguishable

## English and French texts

May be modelling as a sequence of random variables over the Roman alphabet:

- ▶ English: “th” most frequent pair of letters
- ▶ French: “es” most frequent pair of letters

→ To distinguish English and French: look the output distribution of letters

How to “quantify” that they are different? Are they as different as French and Hungarian?

→ Define a distance between sources of information/distributions

Distance between **distributions/random variables**:

- ▶ Quantifying the minimum amount of operations to distinguish them
- ▶ Difference of behaviours of an algorithm when changing some internal distribution

Extremely useful tool for cryptography, study of algorithms, etc...

Application case:  $f$  depends of some secret and  $g$  not but  $\text{distance}(f, g) = \varepsilon$

→ Owning  $f$  does not help to recover the secret...

Distance between quantum states:  
enough to look at the distance between measurement outputs?

→ **No!** But let us see first the classical case...

# DISTANCES OVER DISTRIBUTIONS

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$\mathcal{X}$  be a finite set

- $f: \mathcal{X} \rightarrow \mathbb{R}$  such that  $\begin{cases} f \geq 0 \\ \sum_{x \in \mathcal{X}} f(x) = 1 \end{cases}$  is called a **distribution**
- A **random variable**  $X$  taking its values in  $\mathcal{X}$  is defined via  $\mathbb{P}(X = x)$  for all  $x \in \mathcal{X}$

Distributions  $\iff$  Random variables

- From  $f$ :  $X$  be such that  $\mathbb{P}(X = x) \stackrel{\text{def}}{=} f(x)$
- From  $X$ :  $f$  be such that  $f(x) \stackrel{\text{def}}{=} \mathbb{P}(X = x)$

$\longrightarrow$  In what follows: we identify random variables and their associated distributions

Many “distances” ( $\alpha$ -divergences) between distributions  $f$  and  $g$ :

- ▶ Statistical/Total-Variational/Trance distance:

$$\Delta(f, g) \stackrel{\text{def}}{=} \frac{1}{2} \sum_{x \in \mathcal{X}} |f(x) - g(x)|$$

- ▶ Hellinger distance:

$$H(f, g) \stackrel{\text{def}}{=} \sqrt{1 - \sum_{x \in \mathcal{X}} \sqrt{f(x)} \sqrt{g(x)}}$$

- ▶ Kullback–Leibler divergence:

$$D_{\text{KL}}(f||g) \stackrel{\text{def}}{=} - \sum_{x \in \mathcal{X}} f(x) \log_2 \left( \frac{g(x)}{f(x)} \right)$$

- ▶ etc...

In what follows

Focus on statistical distance



## Statistical distance

The statistical distance between two distributions  $f, g$  over a finite set  $\mathcal{X}$ :

$$\Delta(f, g) \stackrel{\text{def}}{=} \frac{1}{2} \sum_{x \in \mathcal{X}} |f(x) - g(x)|$$

- The factor  $1/2$  ensures that  $\Delta(f, g) \in [0, 1]$
- $\Delta(f, g) = 0 \iff f = g$
- $\Delta(\cdot, \cdot)$  defines a metric for distributions

Given  $S \subseteq \mathcal{X}$ :

$\sum_{x \in S} f(x)$  is the probability that an event  $S$  occurs when picking  $x$  according to  $f$

An important property

$$\Delta(f, g) = \max_{S \text{ event}} |f(S) - g(S)| = \max_{S \text{ event}} \left| \sum_{x \in S} f(x) - \sum_{x \in S} g(x) \right|$$

Consequence

Let  $S_0$  be the event reaching the maximum. This event  $S_0$  is optimal to distinguish  $f$  and  $g$

→  $\Delta(f, g)$  is the quantity giving **how well** it is possible (using  $S_0$ ) to distinguish  $f$  and  $g$ ...

(in practice  $S_0$  is hard to compute)

To take away: (proof in exercise session)

Given  $f$  or  $g$  but you don't know which one:

**at least**  $\frac{1}{\Delta(f, g)}$  **calls** to the given random variable to take the good decision with probability  $\approx 1$ .

One could imagine: applying a physical process, algorithm to the random variables  $X_f$  given by  $f$  and  $X_g$  given by  $g$  could help to distinguish them?

## PROPERTY(II)

One could imagine: applying a physical process, algorithm to the random variables  $X_f$  given by  $f$  and  $X_g$  given by  $g$  could help to distinguish them?

→ **No!** Statistical distance can only decrease

An important property: **data processing inequality**

Given any function/algorithm  $F$ , then  $F(X_f)$  and  $F(X_g)$  are still random variables and

$$\Delta(F(X_f), F(X_g)) \leq \Delta(X_f, X_g)$$

$F$  can be randomized, but its internal randomness has to be independent from  $X_f$  and  $X_g$ .

**Concrete consequence:**

$\mathcal{A}$  be an algorithm such that

$$\varepsilon \stackrel{\text{def}}{=} \mathbb{P}(\mathcal{A}(X) = \text{"success"})$$

where "success" could mean: find the secret key from a public key output by  $X$ , factorise a number output by  $X$ , etc...

Then,

$$\varepsilon - \Delta(X, Y) \leq \mathbb{P}(\mathcal{A}(Y) = \text{"success"}) \leq \varepsilon + \Delta(X, Y)$$

→ Extremely useful in cryptography...

The statistical distance between two distributions:

- ▶ cannot increase after applying an algorithm, physical process (**data processing inequality**),
- ▶ minimum amount of resources to distinguish distributions: **at least**  $\frac{1}{\Delta(f,g)}$  queries to distinguish  $f$  and  $g$

In many scenarios this lower-bound is optimistic...

→ Sometimes necessarily:  $\frac{1}{\Delta(f,g)^2} \gg \frac{1}{\Delta(f,g)}$  calls to be able to distinguish

Statistical distance: quantify how close are distributions

*But how to quantify how close are quantum states?*

# DISTANCE BETWEEN QUANTUM STATES

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Define a distance between quantum states why verify:

- ▶ Cannot increase after “quantum” operations (data processing inequality)
- ▶ Quantify the “minimum amount of resources” to distinguish

More about the distances can be found in (particularly proofs omitted here):  
Nielsen and Chuang, *Quantum computation and quantum information*, Chapter 9

### Trace distance

Let  $\rho, \sigma$  be two density operators, their trace distance is defined as

$$\Delta(\rho, \sigma) = |\rho - \sigma|_{\text{tr}} \quad \text{where} \quad |M|_{\text{tr}} \stackrel{\text{def}}{=} \text{tr} \left( \sqrt{M^\dagger M} \right)$$

**Be careful:**  $\Delta(\rho, \sigma) \neq \text{tr}(\rho - \sigma)$

$\Delta(\cdot, \cdot)$  is a metric over density operators

- $\Delta(\rho, \sigma) = 0 \iff \rho = \sigma$
- $\Delta(\rho, \sigma) \in [0, 1]$
- $\Delta(\rho, \sigma) = \Delta(\sigma, \rho)$  (symmetry)
- $\Delta(\rho, \tau) \leq \Delta(\rho, \sigma) + \Delta(\sigma, \tau)$  (triangle inequality)



## EXAMPLE OF TRACE DISTANCES

- If  $\rho$  and  $\sigma$  are co-diagonalizable ( $\iff \rho\sigma = \sigma\rho$ ), in an orthonormal basis ( $|e_i\rangle$ ):

$$\rho = \sum_i p_i |e_i\rangle\langle e_i| \quad \text{and} \quad \sigma = \sum_i q_i |e_i\rangle\langle e_i|$$

where  $p \stackrel{\text{def}}{=} (p_i)_i$  and  $q \stackrel{\text{def}}{=} (q_i)_i$  are distributions.

$$\Delta(\rho, \sigma) = \frac{1}{2} \sum_i |p_i - q_i| = \Delta(p, q)$$

→ We recover the classical statistical distance!

- If  $\rho$  and  $\sigma$  are pure states,  $\rho = |\psi\rangle\langle\psi|$  and  $\sigma = |\varphi\rangle\langle\varphi|$ , then:

$$\Delta(\rho, \sigma) = \sqrt{1 - |\langle\psi|\varphi\rangle|^2}$$

→ If quantum states are orthogonal, their trace distance is maximal!

Is it intuitive?

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→ If quantum states are orthogonal, their trace distance is maximal!

Is it intuitive?

→ **Yes!** Orthogonal pure states are perfectly distinguishable...  
(see Lecture 2)

# AN INTERPRETATION OF THE TRACE DISTANCE

Let  $\rho_0$  and  $\rho_1$  be two **known** density operators

- Alice has a bit  $b \in \{0, 1\}$  **unknown to Bob**
- Suppose that Alice send  $\rho_b$  to Bob

What is the best probability **for Bob to guess  $b$** ?

**Proposition (see Exercise Session)**

$$\max_{\{\text{strategy}\}} \mathbb{P}(\text{Bob guesses } b) = \frac{1}{2} + \frac{\Delta(\rho_0, \rho_1)}{2}$$

→ The trace distance gives how well quantum states can be distinguished

**Be careful:** we know the strategy which reaches the maximum, but in most cases it is **non-effective** and it modifies the given state

One could imagine: applying a unitary evolution to quantum states help to distinguish?  
*i.e., increase  $\Delta(\rho, \sigma)$*

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*i.e., increase  $\Delta(\rho, \sigma)$*

→ **No!**

Invariance under unitary evolutions

$$\Delta(U\rho U^\dagger, U\sigma U^\dagger) = \Delta(\rho, \sigma), \quad \text{for any unitary } U$$

Given  $\rho$  and  $\sigma$ : can we detect a difference when measuring? How to quantify it?

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$$\Delta(\rho, \sigma) = \max_{P \leq I \text{ projector}} \text{tr}(P(\rho - \sigma))$$

#### Theorem (admitted)

Let  $\{E_m\}$  be a POVM with  $p \stackrel{\text{def}}{=} (\text{tr}(E_m \rho))_m$  and  $q \stackrel{\text{def}}{=} (\text{tr}(E_m \sigma))_m$  be the distributions of outcomes  $m$ . Then,

$$\Delta(\rho, \sigma) = \max_{\{E_m\}} \Delta(p, q)$$

In particular, **whatever is the measurement**

$$\Delta(p, q) \leq \Delta(\rho, \sigma)$$

#### Concrete consequence

One needs at least  $\geq \frac{1}{\Delta(\rho, \sigma)}$  measures to distinguish  $\rho$  and  $\sigma$  with probability  $\approx 1$ .

And what about more general “quantum operations” like the depolarizing channel?

## Definition

A quantum operation  $\Phi$  is defined from a collection of matrices  $A_1, \dots, A_k$  such that

$$\sum_{i=1}^k A_i A_i^\dagger = I \quad \text{and} \quad \Phi(\rho) = \sum_{i=1}^k A_i \rho A_i^\dagger$$

→ Most general “quantum operation”

It captures: measurements, unitary, tracing out, noisy channel, etc...

## Example: depolarizing channel

Quantum operation defined from  $(1 - p)I$ ,  $\frac{p}{3}X$ ,  $\frac{p}{3}Y$  and  $\frac{p}{3}Z$ .

## Quantum data processing inequality

For any quantum operation  $\Phi$ ,

$$\Delta(\Phi(\rho), \Phi(\sigma)) \leq \Delta(\rho, \sigma)$$



Another important “distance” in the quantum world:

### Fidelity

Let  $\rho, \sigma$  be two density operators, their fidelity is defined as

$$F(\rho, \sigma) = \text{Tr} \sqrt{\sqrt{\rho} \sigma \sqrt{\rho}}$$

### Following properties

- $F(\sigma, \rho) = 1 \iff \sigma = \rho$
- $F(\sigma, \rho) \in [0, 1]$
- $F(\sigma, \rho) = F(\rho, \sigma)$  (symmetry)

**Be careful:** fidelity not a metric (triangular inequality not verified)

- If  $\rho$  and  $\sigma$  are co-diagonalizable ( $\iff \rho\sigma = \sigma\rho$ ), in an orthonormal basis  $(|e_i\rangle)_i$ :

$$\rho = \sum_i p_i |e_i\rangle\langle e_i| \quad \text{and} \quad \sigma = \sum_i q_i |e_i\rangle\langle e_i|$$

where  $p \stackrel{\text{def}}{=} (p_i)_i$  and  $q \stackrel{\text{def}}{=} (q_i)_i$  are distributions.

$$F(\rho, \sigma) = \sum_i \sqrt{p_i} \sqrt{q_i} = 1 - H(p, q)^2 \quad (H(\cdot, \cdot) \text{ Hellinger distance})$$

→ We recover  $1 - H(p, q)^2$  known classically as the fidelity/Bhattacharyya coefficient.

- If  $\rho$  and  $\sigma$  are pure states,  $\rho = |\psi\rangle\langle\psi|$  and  $\sigma = |\varphi\rangle\langle\varphi|$ , then:

$$F(\rho, \sigma) = |\langle\psi|\varphi\rangle|$$

In particular:  $F(\rho, \sigma) = 0$  when  $\rho, \sigma$  are orthogonal pure states

Invariance under unitary evolutions

$$F(U\rho U^\dagger, U\sigma U^\dagger) = F(\rho, \sigma), \quad \text{for any unitary } U$$

# PURIFICATIONS AND UHLMANN'S THEOREM

Recall: trace distance is “invariant” by projection

$$\Delta(\rho, \sigma) = \max_{P \leq I \text{ projector}} \text{tr}(P(\rho - \sigma))$$

→ “Dual” operation for the fidelity: **purification**

## Uhlmann's theorem (admitted)

For any two density operators  $\rho, \sigma$ ,

$$F(\rho, \sigma) = \max_{|\psi\rangle} |\langle\psi|\varphi\rangle|$$

where the maximum is taken over purifications  $|\psi\rangle$  of  $\rho$ , and a fixed purification  $|\varphi\rangle$  of  $\sigma$ .

→ Useful characterization involved in many proofs concerning the fidelity

## Example

Let  $\rho \stackrel{\text{def}}{=} \frac{1}{2} |0\rangle\langle 0| + \frac{1}{2} |1\rangle\langle 1|$  and  $\sigma \stackrel{\text{def}}{=} \frac{3}{4} |0\rangle\langle 0| + \frac{1}{4} |1\rangle\langle 1|$ : diagonalizable in the same basis

$$F(\rho, \sigma) = \sqrt{\frac{1}{2}} \sqrt{\frac{3}{4}} + \sqrt{\frac{1}{2}} \sqrt{\frac{1}{4}} = \sqrt{\frac{3}{8}} + \sqrt{\frac{1}{8}}$$

$|\psi\rangle \stackrel{\text{def}}{=} \frac{|00\rangle}{\sqrt{2}} + \frac{|11\rangle}{\sqrt{2}}$  and  $|\varphi\rangle \stackrel{\text{def}}{=} \sqrt{\frac{3}{4}} |00\rangle + \sqrt{\frac{1}{4}} |11\rangle$  are purifications which are optimal with regards to Uhlmann's theorem.

Quantum trace distance could be related to the classical trace distance via measurements

→ The same holds for the fidelity

## Theorem (admitted)

Let  $\{\mathbf{E}_m\}$  be a POVM with  $p \stackrel{\text{def}}{=} (\text{tr}(\mathbf{E}_m \rho))_m$  and  $q \stackrel{\text{def}}{=} (\text{tr}(\mathbf{E}_m \sigma))_m$  be the distributions of outcomes  $m$ . Then,

$$F(\rho, \sigma) = \min_{\{\mathbf{E}_m\}} F(p, q) \quad \text{where} \quad F(p, q) = \sum_m \sqrt{p_m} \sqrt{q_m} \quad (\text{classical fidelity})$$

In particular, **whatever is the measurement**

$$F(\rho, \sigma) \leq F(p, q)$$

Trace distance: cannot increase after a quantum operation

→ Fidelity cannot decrease

### Quantum data processing inequality

For any quantum operation  $\Phi$ ,

$$F(\rho, \sigma) \leq F(\Phi(\rho), \Phi(\sigma))$$

Uhlmann's theorem: fidelity is equal to the maximum inner product between two quantum states (purification)

It suggests: angle between states (density operators)  $\rho$  and  $\sigma$  as

$$A(\rho, \sigma) \stackrel{\text{def}}{=} \arccos F(\rho, \sigma)$$

**Proposition (admitted, but proof uses Uhlmann's theorem)**

$A(\cdot, \cdot)$  is a metric for density operators.

*A priori*: only quantum trace distance matters, why did we introduce the quantum fidelity?



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→ We can relate them

#### Fuchs - Van de Graaf inequalities

$$1 - F(\rho, \sigma) \leq \Delta(\rho, \sigma) \leq \sqrt{1 - F(\rho, \sigma)^2}, \text{ or conversely } 1 - \Delta(\rho, \sigma) \leq F(\rho, \sigma) \leq \sqrt{1 - \Delta(\rho, \sigma)^2}$$

But is the fidelity useful?

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But is the fidelity useful? **Yes!**

#### Proposition (admitted)

$$\Delta(\rho^{\otimes k}, \sigma^{\otimes k}) \leq k \Delta(\rho, \sigma) \quad \text{and} \quad F(\rho^{\otimes k}, \sigma^{\otimes k}) = F(\rho, \sigma)^k$$

→ The strength of the fidelity **comes from the above equality**

Let's play the following game: if you ask, Alice gives to you

$$\rho_0 \stackrel{\text{def}}{=} \left(\frac{1}{2} - \varepsilon\right) |0\rangle\langle 0| + \left(\frac{1}{2} + \varepsilon\right) |1\rangle\langle 1| \quad \text{or} \quad \rho_1 \stackrel{\text{def}}{=} \left(\frac{1}{2} + \varepsilon\right) |0\rangle\langle 0| + \left(\frac{1}{2} - \varepsilon\right) |1\rangle\langle 1|$$

→ But once Alice made a first random choice, she will always make the same choice!

**Your aim:** find with probability  $\approx 1$  if Alice choose  $\rho_0$  or  $\rho_1$

## USEFULNESS OF THE FIDELITY

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**Your aim:** find with probability  $\approx 1$  if Alice choose  $\rho_0$  or  $\rho_1$

### How to proceed

Make  $k$  queries to Alice, measure each time in the  $(|0\rangle, |1\rangle)$  basis

*But how many queries  $k$  are needed to make the good decision (with high probability)?*

- $\Delta(\rho_0, \rho_1) = \frac{\varepsilon}{2}$ , therefore with  $k$  queries:

$$\Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \leq k \frac{\varepsilon}{2} \implies \text{Necessarily: } k \geq \frac{2}{\varepsilon} \text{ to ensure } \Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \text{ not too small}$$

Is it optimal?

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Is it optimal? **No!** It turns out that  $\Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \leq k \frac{\varepsilon}{2}$  is **not-tight**.

- $F(\rho_0, \rho_1) = 2\sqrt{\frac{1}{4} - \varepsilon^2} \approx 1 - 2\varepsilon^2$  and  $F(\rho_1^{\otimes k}, \rho_2^{\otimes k}) = F(\rho_1, \rho_2)^k \approx 1 - 2k\varepsilon^2$

$$2k\varepsilon^2 \approx 1 - F(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \leq \Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \implies \text{Choose: } k \geq \frac{2}{\varepsilon^2} \text{ to ensure } \Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \text{ not small}$$

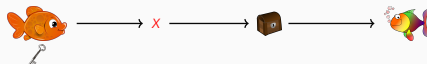
→  $k \approx \frac{1}{\varepsilon^2}$  is the optimal number of queries to make the good decision (with high probability)

# BIT COMMITMENT

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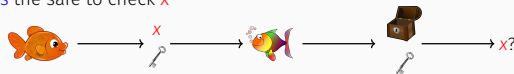
► Commit phase:

- Alice **writes** **x** on a piece of paper
- Alice **puts** the paper in a safe. **She is the only one to have the key of the safe**
- Alice **sends** the safe to Bob



► Reveal phase:

- Alice **reveals** **x** and the key to unlock the safe
- Bob **opens** the safe to check **x**



Our aim:

Use “quantum computation” to build a commitment scheme

→ Is the quantum world will offer to us an unconditionally secure commitment? (Spoiler: **no**...)

$$S_0 \stackrel{\text{def}}{=} \{|0\rangle, |1\rangle\} \quad \text{and} \quad S_1 \stackrel{\text{def}}{=} \{|+\rangle, |-\rangle\}$$

→ Alice wants to commit a bit  $b \in \{0, 1\}$  to Bob!

## Exercise

Describe a commitment protocol using  $S_0$  and  $S_1$  enabling Alice to commit her bit

( **Hint:** we don't want Bob "to have any information about the committed bit" )



$$S_0 \stackrel{\text{def}}{=} \{|0\rangle, |1\rangle\} \quad \text{and} \quad S_1 \stackrel{\text{def}}{=} \{|+\rangle, |-\rangle\}$$

Alice wants to commit  $b$ :

1. **Commit phase:** Alice chooses  $|\psi\rangle \in S_b$  uniformly at random and send  $|\psi\rangle$  to Bob
2. **Reveal phase:** Alice reveals  $ab \in \{0, 1\}^2$  to Bob where  $ab$  description of  $|\psi\rangle$   
 $00 \leftrightarrow |0\rangle, \quad 10 \leftrightarrow |1\rangle, \quad 01 \leftrightarrow |+\rangle \quad \text{and} \quad 11 \leftrightarrow |-\rangle$
3. **Verification phase:** Bob measures  $|\psi\rangle$  in the basis  $S_b$  ( $b$  known from  $ab$ )

## Exercise

Is Bob can guess the committed bit?

Bob can only guess the committed bit with probability  $1/2$ ...

- If Alice committed 0, Bob has

$$\rho_0 = \frac{1}{2} |0\rangle\langle 0| + \frac{1}{2} |1\rangle\langle 1|$$

- If Alice committed 1, Bob has

$$\rho_1 = \frac{1}{2} |+\rangle\langle +| + \frac{1}{2} |-\rangle\langle -|$$

→ But:  $\rho_0 = \rho_1 = \frac{1}{2}$ : they are **indistinguishable** (in particular,  $\Delta(\rho_0, \rho_1) = 0$ )

But, is the commitment scheme secure?

## Exercise

Give a cheating strategy for Alice: she chooses the committed bit **after the commit phase**...

Alice chooses her committed value after the commit phase...

1. Alice starts with an EPR-pair  $\frac{|00\rangle + |11\rangle}{\sqrt{2}}$
2. Alice gives the second qubit to Bob and pretends this is her commitment (up to now Alice did not make a choice)
3. If ultimately Alice wants to reveal  $b = 0$ : Alice measures her qubit  $|x\rangle$  and gives to Bob  $x0$ .
4. If ultimately Alice wants to reveal  $b = 1$ : Alice first performs an Hadamard gate on her qubit, the state becomes

$$\frac{|+0\rangle + |-1\rangle}{\sqrt{2}} = \frac{|0+\rangle + |1-\rangle}{\sqrt{2}}$$

Alice measures her qubit and she reveals 01 if she measured  $|0\rangle$ , otherwise she reveals 11.

When Bob measures, everything is fine for him while **Alice has chosen her commit after the commit phase...**

*One may wonder: maybe our approach with  $S_0$  and  $S_1$  is flawed?*

→ **No!** But to understand this let us being more “generic”...

### Remark

In what follows: a particular (but general) generic approach cannot work.

→ It turns out that any “non-interactive” bit commitment scheme can be written in the ongoing formalism

- Impossibility to build an unconditionally secure bit commitment from quantum computation:

<https://arxiv.org/pdf/quant-ph/9712023.pdf>

## Definition: bit commitment scheme

**Protocol** between two parties Alice and Bob, denoted hereafter A and B. A bit commitment scheme consists of two phases: a commit phase (Alice commits a bit  $b$ ) and a reveal phase (Alice reveals to Bob her bit).

- ▶ Alice's aim: Bob cannot gain any information on her committed bit  $b$
- ▶ Bob's aim: once Alice has made her commit, she cannot change her mind

## Security requirements:

- ▶ **Completeness:** If both players are honest, the protocol should succeed with probability 1.
- ▶ **Hiding property:** If Alice is honest and Bob is dishonest, his optimal cheating probability is

$$P_B^* \stackrel{\text{def}}{=} \max_{\text{strategy}} \mathbb{P}(\text{Bob guesses } b \text{ after the commit phase})$$

- ▶ **Binding property:** If Alice is dishonest and Bob is honest, her optimal cheating probability is

$$P_A^* = \max_{\text{strategy}} \frac{1}{2} \left( \mathbb{P}(\text{Alice successfully reveals } b = 0) + \mathbb{P}(\text{Alice successfully reveals } b = 1) \right)$$

→ Alice optimal possibility to reveal both  $b = 0$  and  $b = 1$  successfully (for a same random commit).

$|\psi_{AB}^0\rangle$  and  $|\psi_{AB}^1\rangle$  be two (publicly known) quantum bipartite states

- **Commit phase:** Alice wants to commit  $b$ . She creates  $|\psi_{AB}^b\rangle$  and sends the B-part to Bob.

→ After the commit phase, Bob has  $\text{tr}_A(|\psi_{AB}^b\rangle)$

- **Reveal phase:** Alice sends the A part of the quantum state  $|\psi_{AB}^b\rangle$  as well as  $b$ .

→ Bob checks that he has  $|\psi_{AB}^b\rangle$  by projecting his (joint) state to  $|\psi_{AB}^b\rangle$

Sadly, this generic quantum bit commitment scheme **cannot be made secure-efficient...**

There is a strategy for Alice and Bob such that

$$P_A^* + P_B^* \geq \frac{3}{2} \quad \text{in particular, } \max(P_A^*, P_B^*) \geq \frac{3}{4}$$

### In our instantiation

We have described a bit commitment scheme where  $P_A^* = 1$  and  $P_B^* = \frac{1}{2}$ .

Bob has before the commit phase:

$$\rho_0 = \text{tr}_A \left( \left| \psi_{AB}^0 \right\rangle \right) \text{ or } \rho_1 = \text{tr}_A \left( \left| \psi_{AB}^1 \right\rangle \right)$$

### Bob's optimal cheating probability

The **optimal** probability of Bob to guess  $b$  is

$$P_B^* = \frac{1}{2} + \frac{\Delta(\rho_0, \rho_1)}{2}$$

→ Choose  $\rho_0$  and  $\rho_1$  such that  $\Delta(\rho_0, \rho_1)$  is small

- Remark: the perfect secure situation is  $P_B^* = \frac{1}{2}$ , Bob has nothing to do better than choosing  $b$  randomly.

*But how is the optimal Alice's strategy to cheat?*



## Alice's optimal cheating probability

The **optimal** cheating probability of Alice (revealing the commit of her choice after the commit phase) is

$$P_A^* = \frac{1}{2} + \frac{F(\rho_0, \rho_1)}{2}$$

## Proof

Fix a cheating strategy for Alice,  $\sigma$  be the state that Bob has after the commit phase.

During the reveal phase:

- $b = 0$ : Alice sends qubits such that Bob has a pure state  $|\varphi_0\rangle$ .
- $b = 1$ : Alice sends qubits such that Bob has a pure state  $|\varphi_1\rangle$ .

$$\mathbb{P}(\text{Bob accepts} \mid b = 0) = \left| \langle \varphi_0 | \psi_{AB}^0 \rangle \right|^2 \quad \text{and} \quad \mathbb{P}(\text{Bob accepts} \mid b = 1) = \left| \langle \varphi_1 | \psi_{AB}^1 \rangle \right|^2$$

By definition of the protocol:  $\sigma = \text{tr}_A(|\varphi_0\rangle) = \text{tr}_A(|\varphi_1\rangle)$ . **Therefore, by Uhlmann's theorem**

$$\max_{|\varphi_0\rangle} \left| \langle \varphi_0 | \psi_{AB}^0 \rangle \right|^2 = F(\sigma, \rho_0)^2 \quad \text{and} \quad \max_{|\varphi_1\rangle} \left| \langle \varphi_1 | \psi_{AB}^1 \rangle \right|^2 = F(\sigma, \rho_1)^2$$

Therefore, if Alice chooses correctly  $\sigma$  and its purifications  $|\varphi_0\rangle$  and  $|\varphi_1\rangle$ , her probability of cheating becomes:

$$\frac{1}{2} \left( F(\sigma, \rho_0)^2 + F(\sigma, \rho_1)^2 \right)$$

To conclude: see exercise session.

Bob has before the commit phase:

$$\rho_0 = \text{tr}_A \left( \left| \psi_{AB}^0 \right\rangle \right) \text{ or } \rho_1 = \text{tr}_A \left( \left| \psi_{AB}^1 \right\rangle \right)$$

$$P_A^* = \frac{1}{2} + \frac{F(\rho_0, \rho_1)}{2} \quad \text{and} \quad P_B^* = \frac{1}{2} + \frac{\Delta(\rho_0, \rho_1)}{2}$$

Fuchs-Van de Graaf inequalities:  $F(\rho_0, \rho_1) \geq 1 - \Delta(\rho_0, \rho_1)$ , therefore

$$P_A^* + P_B^* \geq \frac{3}{2} \quad \text{in particular, } \max(P_A^*, P_B^*) \geq \frac{3}{4}$$

There is **always** a strategy for Bob or Alice to cheat with probability  $\geq \frac{3}{4}$ ...

→ The presented bit commitment scheme cannot be **unconditionally** secure...

But can we build some unconditionally secure cryptography with quantum computation?

→ **Yes!** Quantum Key Distribution (QKD) but under some computational assumption

# QUANTUM KEY DISTRIBUTION

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## MOTIVATION: ONE-TIME-PAD AND SECRET KEY CRYPTOGRAPHY

*Alice and Bob want to share privately a message. How to proceed?*

### One-time Pad

- Alice and Bob share a secret key  $K \in \{0, 1\}^n$  which has been chosen **uniformly at random**
- Alice wishes to send  $M \in \{0, 1\}^n$  to Bob. She sends:

$$C(M) = M \oplus K$$

- Bob receives  $C(M)$  and computes  $C(M) \oplus K = M$

**Security aim:** anyone that intercepts  $C(M)$  without knowing  $K$  “cannot recover”  $M$ .

**One-time pad: perfectly secure, even with unbounded computation impossibility to recover  $M$**

Given two possibly send messages  $(M_1, M_2)$ :  $\mathbb{P}_K(C(M_1) = D) = \mathbb{P}_K(C(M_2) = D)$

→ Be careful: once a key is used, **don't use it again...** Otherwise:

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From :  $C(M_1)$  and  $C(M_2)$ , compute  $C(M_1) \oplus C(M_2) = M_1 \oplus M_2$  (information about  $M_1$  and  $M_2$ )

## Drawback of the one-time pad

1. Message length  $\leq$  key length and one send message per key...
2. How Alice and Bob can privately share a secret key “the snake biting its tail...”

## DRAWBACK OF THE ONE-TIME PAD

1. Message length  $\leq$  key length and one send message per key...
2. Alice and Bob need first to share a secret key

To overcome these issues:

1. Advanced Encryption Scheme (**AES**): Alice and Bob share a secret key of 128 bits (at least  $2^{128}$  classical operations to recover the key, considered to be secure)

→ Many other encryption scheme with short keys: field known as **symmetric-key cryptography**

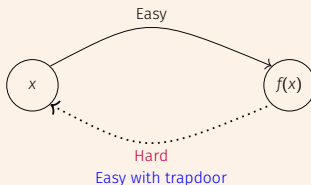
Security: the community tries to break (**cryptanalyse**) proposed schemes

But the problem remains, how to share privately secret keys?

2. Key-exchange protocol: use **public-key cryptography**, such as trapdoor one-way functions or Diffie-Hellman protocol (1976)

*Public-key cryptography relies on the use of*

## Trapdoor one-way function



- Alice publicly reveals  $f$  for which she knows the trapdoor
- Bob computes  $f(K)$  and he sends it to Alice
- Alice receives  $f(K)$  and computes  $K = f^{-1}(f(K))$  with the trapdoor ( $f$  is supposed injective).

→ Alice and Bob shared a secret key  $K$  under the assumption that Alice is the only one to be able to invert  $f$  efficiently

## How to build trapdoor one-way functions?

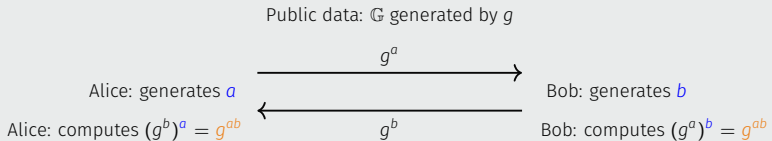
1. RSA: hardness to factorise an integer
2. Code and Lattice-based cryptography: hardness to decode a random code and a random lattice
3. etc...

Moral to build trapdoor one-way functions: find a mathematical hard problem **but for which there exists trapdoors**

→ Usually: difficult to find hard problems to solve such that with some quantity (the trapdoor) the problem becomes easy...



## Diffie-Hellman protocol



- Alice and Bob shared  $g^{ab}$
- Security: hard to compute  $g^{ab}$  from the knowledge of  $g^a$  and  $g^b$  (discrete logarithm problem)

Is there a key-exchange protocol using quantum computation?

→ Yes! Since the seminal work of BB84 (Bennett & Brassard, 1984)

( Quantum Key Distribution )

## Key distribution

- Alice and Bob communicate over a public and **authenticated** channel
- At the end of the scheme, they agree on a key  $K \in \{0, 1\}^n$ .
- Any adversary eavesdropping and tampering the channel cannot gain, or vanishingly little, information about  $K$  (hard to define properly).

► **Quantum Key Distribution (QKD)**: public channels are quantum channels

## Be careful (see Exercise Session)

If the public channel is not-authenticated, there is an attack (**man in the middle**)

—→ The channels have to be authenticated, **even in the quantum setting...**

## But how to authenticate a channel?

Use for instance RSA-based cryptography... If you're unhappy (because broken in the quantum computing model), use **post-quantum cryptography**

### Key distribution, quantum or not

Still need: an authenticated channel and

The only way: use a problem that is **computationally hard**.

→ Sentences like: “QKD is secure because laws of physic” **are false...**

True sentence: “QKD is secure because laws of physic **and** we know problems hard even in the quantum computing model”

### QKD security relies on

- Authenticated channel
- No-cloning theorem
- Measurements modify quantum states

Alice has a key string  $\mathbf{K} = k_1, \dots, k_n$  she would like to transmit to Bob  
 → Alice will first perform an encoding into **non-orthogonal quantum states**

## BB84 encoding of a bit $k_i$

Pick a random  $b_i \in \{0, 1\}$ , then

- If  $b_i = 0$ , build

$$|k_i\rangle^0 \stackrel{\text{def}}{=} |k_i\rangle$$

- If  $b_i = 1$ , build

$$|k_i\rangle^1 \stackrel{\text{def}}{=} \mathbf{H} |k_i\rangle = \frac{|0\rangle + (-1)^{k_i} |1\rangle}{\sqrt{2}}$$

$k_i$	$b_i$	$ k_i\rangle^{b_i}$
0	0	$ 0\rangle$
0	1	$ +\rangle$
1	0	$ 1\rangle$
1	1	$ -\rangle$

Why does it seems necessary to encode bits into **non-orthogonal quantum states**?

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Why does it seem necessary to encode bits into **non-orthogonal quantum states**?

→ Non-orthogonal quantum states **cannot be perfectly distinguished**

- ▶ Alice picks a random initial raw key  $\mathbf{K} = k_1, \dots, k_n$  uniformly at random.
- ▶ For each  $i \in \{1, \dots, n\}$ , Alice picks a random  $b_i \in \{0, 1\}$ , and sends  $|k_i\rangle^{b_i}$  to Bob.
- ▶ Bob picks some random basis  $b'_1, \dots, b'_n \in \{0, 1\}$  and measures each qubit  $|k_i\rangle^{b_i}$  in the basis  $\{|0\rangle, |1\rangle\}$  if  $b'_i = 0$ , otherwise in the basis  $\{|+\rangle, |-\rangle\}$ . Let  $c_i$  **measurement outcome**.

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- ▶ Bob sends to Alice  $b'_1, \dots, b'_n$  he used for his measurements by using a public **authenticated** channel. Alice sends back the subset  $\mathcal{I} = \{i : b_i = b'_i\}$  to Bob.
- ▶ Alice picks a random  $\mathcal{J} \subseteq \mathcal{I}$  of size  $\frac{|\mathcal{I}|}{2}$  and sends  $\mathcal{J}, \{k_j : j \in \mathcal{J}\}$  to Bob.
- ▶ For each  $j \in \mathcal{J}$ , Bob checks that  $k_j = c_j$ . If one of these checks fail, he aborts.
- ▶  $\mathcal{L} = \mathcal{I} \setminus \mathcal{J}$  be the subset of indices used for the final key:  $\mathbf{K}_A = (k_\ell)_{\ell \in \mathcal{L}}$  and  $\mathbf{K}_B = (c_\ell)_{\ell \in \mathcal{L}}$ .
- ▶ Alice and Bob perform key reconciliation to agree on a key  $\mathbf{K}_f$ .
- ▶ They perform privacy amplification to ensure that anyone has no information about the key: shared key  $h(\mathbf{K}_f)$  for some “cryptographic” hash function  $h$ .

An eavesdropper has access to:

$$|k_i\rangle^0 \text{ or } |k_i\rangle^1 \text{ for } 1 \leq i \leq n$$

But what happens if an eavesdropper performs a measurement to guess  $k_i$ ?

→ It can modify  $|k_i\rangle^b$ !

For instance:

Suppose that Alice sent  $|\psi\rangle = |0\rangle^1 = |+\rangle$  and an eavesdropper looks at it.

1. If an attacker measures in the basis  $\{|+\rangle, |-\rangle\}$  then the state is not modified
2. If an attacker measures in the basis  $\{|0\rangle, |1\rangle\}$  then the state collapses to:

$|0\rangle$  with probability  $1/2$  or  $|1\rangle$  with probability  $1/2$

In that case, if Bob measures the received quantum state in the basis  $\{|+\rangle, |-\rangle\}$  (the same basis than Alice), he will measure  $|+\rangle$  with probability  $1/2$

→ The eavesdropper will be detected with probability  $1/4$

But:  $|k_i\rangle^0$  and  $|k_i\rangle^1$  are non-orthogonal

→ They cannot be perfectly distinguished! At best with probability

$$\frac{1+\Delta(|+\rangle, |1\rangle)}{2} = \frac{1+\Delta(|-\rangle, |1\rangle)}{2} = \dots = \frac{1}{2} + \frac{1}{2\sqrt{2}} \approx 0.85$$



$\mathbf{K}_A = (k_\ell)_{\ell \in \mathcal{L}}$  and  $\mathbf{K}_B = (c_\ell)_{\ell \in \mathcal{L}}$  may be different at the end of the protocol

- An eavesdropper only intercepted a small number of qubits (so is not caught with some constant probability)
- Hardware imperfection in the signal transmission or in the measurement create some inconsistency.

### Key reconciliation

Alice chooses an error correcting code  $\mathcal{C}$ , such  $\mathbf{K}_A \in \mathcal{C}$ , and she publicly reveals  $\mathcal{C}$ .

Hoping that not too much bits between  $\mathbf{K}_A$  and  $\mathbf{K}_B$  are different, Bob decodes  $\mathbf{K}_B$  in  $\mathcal{C}$  to recover  $\mathbf{K}_A$ .

- ▶ Security proof of BB84 can be found here (it uses many tools of quantum information theory)  
<https://arxiv.org/pdf/1506.08458.pdf>
- ▶ Many other QKD protocols exist, see for instance

Nielsen and Chuang, *Quantum computation and quantum information*, Chapter 12

### Don't forget

The QKD's also need “classical cryptography” to be secure...

## EXERCISE SESSION

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