

LECTURE 8

DISTANCE MEASURES FOR QUANTUM STATES AND QUANTUM CRYPTOGRAPHY

INF587 Quantum computer science and applications

Thomas Debris-Alazard

Inria, École Polytechnique

Introduction to quantum cryptography!

Security relies on:

- ▶ No-cloning theorem
- ▶ Measuring modifies quantum states
- ▶ Incapacity to distinguish non-orthogonal quantum states

Distance between quantum states: essential tool **for ensuring the security** of quantum cryptography (what is possible or not, what can be done at best to distinguish, etc. . .)

→ We need first (as usual) to understand where these concepts come from: classical world!

1. Distances Over Distributions
2. Distance Between Quantum States
3. Bit Commitment
4. Quantum Key Distribution

Information theory modelizes an information source as a random variable

→ Our aim: meaning of “two information sources are similar to one another, or not”
similar \approx undistinguishable ; not-similar \approx distinguishable

English and French texts:

May be modelling as a sequence of random variables over the Roman alphabet:

- ▶ English: “th” most frequent pair of letters
- ▶ French: “es” most frequent pair of letters

→ To distinguish English and French: look the output distribution of letters

How to “quantify” that they are different? Are they as different as French and Hungarian?

→ Define a distance between sources of information/distributions

Distance between **distributions/random variables**:

- ▶ Quantifying the minimum amount of operations to distinguish them
- ▶ Difference of behaviours of an algorithm when changing some internal distribution

Extremely useful tool for cryptography, study of algorithms, etc. . .

Application case: f depends of some secret and g not but $\text{distance}(f, g) = \varepsilon$

→ Owning f does not help to recover the secret. . .

Distance between quantum states:
enough to look at the distance between measurement outputs?

→ **No!** But let us see first the classical case!

DISTANCES OVER DISTRIBUTIONS

\mathcal{X} be a finite set

- $f: \mathcal{X} \rightarrow \mathbb{R}$ such that $\begin{cases} f \geq 0 \\ \sum_{x \in \mathcal{X}} f(x) = 1 \end{cases}$ is called a **distribution**
- A **random variable** X taking its values in \mathcal{X} is defined via the distribution $\mathbb{P}(X = x)$ for $x \in \mathcal{X}$

Distributions \iff Random Variables

- From f : X be such that $\mathbb{P}(X = x) \stackrel{\text{def}}{=} f(x)$
- From X : f be such that $f(x) \stackrel{\text{def}}{=} \mathbb{P}(X = x)$

\longrightarrow In what follows: we identify random variables and their associated distributions

Many “distances” (α -divergences) between distributions f and g :

- ▶ Statistical/Total-Variational/Trance distance:

$$\Delta(f, g) \stackrel{\text{def}}{=} \frac{1}{2} \sum_{x \in \mathcal{X}} |f(x) - g(x)|$$

- ▶ Hellinger distance:

$$H(f, g) \stackrel{\text{def}}{=} \sqrt{1 - \sum_{x \in \mathcal{X}} \sqrt{f(x)} \sqrt{g(x)}}$$

- ▶ Kullback–Leibler divergence:

$$D_{\text{KL}}(f||g) \stackrel{\text{def}}{=} \sum_{x \in \mathcal{X}} f(x) \log_2 \left(\frac{f(x)}{g(x)} \right)$$

- ▶ etc. . .

In what follows:

Focus on statistical distance

Statistical distance:

The statistical distance between two distributions f, g over a finite set \mathcal{X} :

$$\Delta(f, g) \stackrel{\text{def}}{=} \frac{1}{2} \sum_{x \in \mathcal{X}} |f(x) - g(x)|$$

- The factor $1/2$ ensures that $\Delta(f, g) \in [0, 1]$
- $\Delta(f, g) = 0 \iff f = g$
- $\Delta(\cdot, \cdot)$ defines a metric for distributions

Given $S \subseteq \mathcal{X}$

$\sum_{x \in S} f(x)$ is the probability that an event S occurs when picking x according to f

An important property:

$$\Delta(f, g) = \max_{S \text{ event}} |f(S) - g(S)| = \max_{S \text{ event}} \left| \sum_{x \in S} f(x) - \sum_{x \in S} g(x) \right|$$

Consequence:

Let S_0 be the event reaching the maximum. This event S_0 is optimal to distinguish f and g

→ $\Delta(f, g)$ is quantifying **how well** it is possible (using S_0) to distinguish f and $g \dots$

(in practice S_0 is hard to compute)

A DISTINGUISHING GAME

Let f_0 and f_1 be two distributions

- Alice chooses a bit $b \in \{0, 1\}$ **unknown to Bob**
- Suppose that Alice gives to Bob **one** x picked according to f_b

What is the best probability **for Bob to guess b ?**

Proposition (see Exercise Session):

$$\max_{\{\text{strategy}\}} \mathbb{P}(\text{Bob guesses } b) = \frac{1}{2} + \frac{\Delta(f_0, f_1)}{2}$$

→ The trace distance gives how well distributions can be distinguished

But do many samples could help Bob? **Yes!** But how much?

MULTIPLE SAMPLES

Let f_0 and f_1 be two distributions

- Alice chooses a bit $b \in \{0, 1\}$ **unknown to Bob**
- Suppose that Alice gives to Bob n samples x_1, \dots, x_n each picked according to f_b

Proposition:

Given distributions f_1, \dots, f_n and g_1, \dots, g_n we have

$$\Delta\left((f_1, \dots, f_n), (g_1, \dots, g_n)\right) \leq \sum_{i=1}^n \Delta(f_i, g_i)$$

$$\max_{\{\text{strategy}\}} \mathbb{P}(\text{Bob guesses } b) = \frac{1}{2} + \frac{\Delta\left(\overbrace{(f_0, \dots, f_0)}^{n \text{ times}}, \overbrace{(f_1, \dots, f_1)}^{n \text{ times}}\right)}{2} \leq \frac{1}{2} + \frac{n}{2} \Delta(f_0, f_1)$$

→ Bob needs at least $n = \frac{1}{\Delta(f_0, f_1)}$ samples to make the correct guess with probability 1

To take away:

Given f or g but you don't know which one:

at least $\frac{1}{\Delta(f,g)}$ calls to the given random variable to take the good decision with probability 1

One could imagine: applying a physical process, algorithm to the random variables X_f given by f and X_g given by g could help to distinguish them?

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→ **No!** Statistical distance can only decrease

An important property: **data processing inequality**

Given any function/algorithm F , then $F(X_f)$ and $F(X_g)$ are still random variables and

$$\Delta(F(X_f), F(X_g)) \leq \Delta(X_f, X_g)$$

F can be randomized, but its internal randomness has to be independent from X_f and X_g .

Concrete consequence:

\mathcal{A} be an algorithm such that

$$\epsilon \stackrel{\text{def}}{=} \mathbb{P}(\mathcal{A}(X) = \text{"success"})$$

where “success” could mean: find the secret key from a public key output by X , factorise a number output by X , etc. . .

Then,

$$\epsilon - \Delta(X, Y) \leq \mathbb{P}(\mathcal{A}(Y) = \text{"success"}) \leq \epsilon + \Delta(X, Y)$$

→ Extremely useful in cryptography!

The statistical distance between two distributions:

- ▶ Cannot increase after applying an algorithm, physical process (**data processing inequality**)
- ▶ Minimum amount of resources to distinguish distributions: **at least** $\frac{1}{\Delta(f,g)}$ queries to distinguish f and g

In many scenarios this lower-bound is optimistic. . .

→ Sometimes necessarily: $\frac{1}{\Delta(f,g)^2} \gg \frac{1}{\Delta(f,g)}$ calls to be able to distinguish

(Statistical distance is a brutal tool)

Statistical distance: quantify how close are distributions

But how to quantify how close are quantum states?

DISTANCE BETWEEN QUANTUM STATES

Define a distance between quantum states why verifies:

- ▶ Cannot increase after “quantum” operations (data processing inequality)
- ▶ Quantify the “minimum amount of resources” to distinguish

More about the distances can be found in (particularly proofs omitted here):
Quantum computation and quantum information, Chapter 9, Nielsen and Chuang

Trace distance:

Let ρ, σ be two density operators, their trace distance is defined as

$$\Delta(\rho, \sigma) = \frac{1}{2} \|\rho - \sigma\|_{\text{tr}} \quad \text{where} \quad \|\mathbf{M}\|_{\text{tr}} \stackrel{\text{def}}{=} \text{tr} \left(\sqrt{\mathbf{M}^\dagger \mathbf{M}} \right)$$

Be careful: $\Delta(\rho, \sigma) \neq \text{tr}(\rho - \sigma)$

$\Delta(\cdot, \cdot)$ is a metric over density operators:

- $\Delta(\rho, \sigma) = 0 \iff \rho = \sigma$
- $\Delta(\rho, \sigma) \in [0, 1]$
- $\Delta(\rho, \sigma) = \Delta(\sigma, \rho)$ (symmetry)
- $\Delta(\rho, \tau) \leq \Delta(\rho, \sigma) + \Delta(\sigma, \tau)$ (triangle inequality)

EXAMPLE OF TRACE DISTANCES

- If ρ and σ are co-diagonalizable ($\iff \rho\sigma = \sigma\rho$), in an orthonormal basis ($|e_i\rangle$):

$$\rho = \sum_i p_i |e_i\rangle\langle e_i| \quad \text{and} \quad \sigma = \sum_i q_i |e_i\rangle\langle e_i|$$

where $p \stackrel{\text{def}}{=} (p_i)_i$ and $q \stackrel{\text{def}}{=} (q_i)_i$ are distributions

$$\Delta(\rho, \sigma) = \frac{1}{2} \sum_i |p_i - q_i| = \Delta(p, q)$$

→ We recover the classical statistical distance!

- If ρ and σ are pure states, $\rho = |\psi\rangle\langle\psi|$ and $\sigma = |\varphi\rangle\langle\varphi|$, then:

$$\Delta(\rho, \sigma) = \sqrt{1 - |\langle\psi|\varphi\rangle|^2}$$

→ If quantum states are orthogonal, their trace distance is maximal!

Is it intuitive?

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→ If quantum states are orthogonal, their trace distance is maximal!

Is it intuitive?

→ **Yes!** Orthogonal pure states are perfectly distinguishable. . .

(see Lecture 2)

AN INTERPRETATION OF THE TRACE DISTANCE

Let ρ_0 and ρ_1 be two **known** density operators

- Alice has a bit $b \in \{0, 1\}$ **unknown to Bob**
- Suppose that Alice send ρ_b to Bob

What is the best probability **for Bob to guess b** ?

Proposition (see Exercise Session):

$$\max_{\{\text{strategy}\}} \mathbb{P}(\text{Bob guesses } b) = \frac{1}{2} + \frac{\Delta(\rho_0, \rho_1)}{2}$$

→ The trace distance gives how well quantum states can be distinguished

Be careful: we know the strategy which reaches the maximum, but in most cases it is **non-effective** and it modifies the given state

One could imagine: applying a unitary evolution to quantum states help to distinguish?

i.e., increase $\Delta(\rho, \sigma)$

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→ No!

Invariance under unitary evolutions:

$$\Delta(U\rho U^\dagger, U\sigma U^\dagger) = \Delta(\rho, \sigma), \quad \text{for any unitary } U$$

Given ρ and σ : can we detect a difference when measuring? How to quantify it?

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$$\Delta(\rho, \sigma) = \max_{P \text{ projector}} \text{tr}(P(\rho - \sigma))$$

Theorem (admitted):

Let $\{E_m\}$ be a POVM with $p \stackrel{\text{def}}{=} (\text{tr}(E_m \rho))_m$ and $q \stackrel{\text{def}}{=} (\text{tr}(E_m \sigma))_m$ be the distributions of outcomes m . Then,

$$\Delta(\rho, \sigma) = \max_{\{E_m\}} \Delta(p, q)$$

In particular, **whatever is the measurement**

$$\Delta(p, q) \leq \Delta(\rho, \sigma)$$

Concrete consequence:

One needs at least $\geq \frac{1}{\Delta(\rho, \sigma)}$ measures to distinguish ρ and σ with probability 1

And what about more general “quantum operations” like the depolarizing channel?

Definition:

A quantum operation Φ is defined from a collection of matrices A_1, \dots, A_k such that

$$\sum_{i=1}^k A_i A_i^\dagger = I \quad \text{and} \quad \Phi(\rho) = \sum_{i=1}^k A_i \rho A_i^\dagger$$

→ Most general “quantum operation”

It captures: measurements, unitary, tracing out, noisy channel, etc. . .

Example: depolarizing channel

Quantum operation defined from $(1 - p)I$, $\frac{p}{3}X$, $\frac{p}{3}Y$ and $\frac{p}{3}Z$.

Quantum data processing inequality:

For any quantum operation Φ ,

$$\Delta(\Phi(\rho), \Phi(\sigma)) \leq \Delta(\rho, \sigma)$$

Another important “distance” in the quantum world:

Fidelity:

Let ρ, σ be two density operators, their fidelity is defined as

$$F(\rho, \sigma) = \text{Tr} \sqrt{\sqrt{\rho} \sigma \sqrt{\rho}}$$

Following properties:

- $F(\sigma, \rho) = 1 \iff \sigma = \rho$
- $F(\sigma, \rho) \in [0, 1]$
- $F(\sigma, \rho) = F(\rho, \sigma)$ (symmetry)

Be careful: fidelity not a metric (triangular inequality not verified)

- If ρ and σ are co-diagonalizable ($\iff \rho\sigma = \sigma\rho$), in an orthonormal basis ($|e_i\rangle$):

$$\rho = \sum_i p_i |e_i\rangle\langle e_i| \quad \text{and} \quad \sigma = \sum_i q_i |e_i\rangle\langle e_i|$$

where $p \stackrel{\text{def}}{=} (p_i)_i$ and $q \stackrel{\text{def}}{=} (q_i)_i$ are distributions

$$F(\rho, \sigma) = \sum_i \sqrt{p_i} \sqrt{q_i} = 1 - H(p, q)^2 \quad (H(\cdot, \cdot) \text{ Hellinger distance})$$

→ We recover $1 - H(p, q)^2$ known classically as the fidelity/Bhattacharyya coefficient!

- If ρ and σ are pure states, $\rho = |\psi\rangle\langle\psi|$ and $\sigma = |\varphi\rangle\langle\varphi|$, then:

$$F(\rho, \sigma) = |\langle\psi|\varphi\rangle|$$

In particular: $F(\rho, \sigma) = 0$ when ρ, σ are orthogonal pure states

Invariance under unitary evolutions:

$$F(U\rho U^\dagger, U\sigma U^\dagger) = F(\rho, \sigma), \quad \text{for any unitary } U$$

PURIFICATIONS AND UHLMANN'S THEOREM

Recall: trace distance is “invariant” by projection

$$\Delta(\rho, \sigma) = \max_{\mathbf{P} \text{ projector}} \text{tr}(\mathbf{P}(\rho - \sigma))$$

→ “Dual” operation for the fidelity: **purification**

Uhlmann's theorem (admitted):

For any two density operators ρ, σ ,

$$F(\rho, \sigma) = \max_{|\psi\rangle} |\langle\psi|\varphi\rangle|$$

where the maximum is taken over purifications $|\psi\rangle$ of ρ , and a fixed purification $|\varphi\rangle$ of σ

→ Useful characterization involved in many proofs concerning the fidelity

Example:

Let $\rho \stackrel{\text{def}}{=} \frac{1}{2} |0\rangle\langle 0| + \frac{1}{2} |1\rangle\langle 1|$ and $\sigma \stackrel{\text{def}}{=} \frac{3}{4} |0\rangle\langle 0| + \frac{1}{4} |1\rangle\langle 1|$: diagonalizable in the same basis

$$F(\rho, \sigma) = \sqrt{\frac{1}{2}} \sqrt{\frac{3}{4}} + \sqrt{\frac{1}{2}} \sqrt{\frac{1}{4}} = \sqrt{\frac{3}{8}} + \sqrt{\frac{1}{8}}$$

$|\psi\rangle \stackrel{\text{def}}{=} \frac{|00\rangle}{\sqrt{2}} + \frac{|11\rangle}{\sqrt{2}}$ and $|\varphi\rangle \stackrel{\text{def}}{=} \sqrt{\frac{3}{4}} |00\rangle + \sqrt{\frac{1}{4}} |11\rangle$ are purifications which are optimal with regards to Uhlmann's theorem.

Quantum trace distance could be related to the classical trace distance via measurements

→ The same holds for the fidelity

Theorem (admitted):

Let $\{E_m\}$ be a POVM with $p \stackrel{\text{def}}{=} (\text{tr}(E_m \rho))_m$ and $q \stackrel{\text{def}}{=} (\text{tr}(E_m \sigma))_m$ be the distributions of outcomes m . Then,

$$F(\rho, \sigma) = \min_{\{E_m\}} F(p, q) \quad \text{where} \quad F(p, q) = \sum_m \sqrt{p_m} \sqrt{q_m} \quad (\text{classical fidelity})$$

In particular, **whatever is the measurement**

$$F(\rho, \sigma) \leq F(p, q)$$

Trace distance: cannot increase after a quantum operation

→ Fidelity cannot decrease

Quantum data processing inequality:

For any quantum operation Φ ,

$$F(\rho, \sigma) \leq F(\Phi(\rho), \Phi(\sigma))$$

Uhlmann's theorem: fidelity is equal to the maximum inner product between two quantum states

(purification)

It suggests: angle between states (density operators) ρ and σ as

$$A(\rho, \sigma) \stackrel{\text{def}}{=} \arccos F(\rho, \sigma)$$

Proposition (admitted, but proof uses Uhlmann's theorem):

$A(\cdot, \cdot)$ is a metric for density operators

A priori: only quantum trace distance matters, why did we introduce the quantum fidelity?

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→ We can relate them

Fuchs - Van de Graaf inequalities:

$$1 - F(\rho, \sigma) \leq \Delta(\rho, \sigma) \leq \sqrt{1 - F(\rho, \sigma)^2}, \text{ or conversely } 1 - \Delta(\rho, \sigma) \leq F(\rho, \sigma) \leq \sqrt{1 - \Delta(\rho, \sigma)^2}$$

But is the fidelity useful?

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Fuchs - Van de Graaf inequalities:

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But is the fidelity useful? **Yes!**

Proposition (admitted):

$$\Delta(\rho^{\otimes k}, \sigma^{\otimes k}) \leq k \Delta(\rho, \sigma) \quad \text{and} \quad F(\rho^{\otimes k}, \sigma^{\otimes k}) = F(\rho, \sigma)^k$$

→ The strength of the fidelity **comes from the above equality**

Let's play the following game: if you ask, Alice gives to you

$$\rho_0 \stackrel{\text{def}}{=} \left(\frac{1}{2} - \varepsilon\right) |0\rangle\langle 0| + \left(\frac{1}{2} + \varepsilon\right) |1\rangle\langle 1| \quad \text{or} \quad \rho_1 \stackrel{\text{def}}{=} \left(\frac{1}{2} + \varepsilon\right) |0\rangle\langle 0| + \left(\frac{1}{2} - \varepsilon\right) |1\rangle\langle 1|$$

→ But once Alice made a first random choice, she will always make the same choice!

Your aim: find with probability 1 if Alice chose ρ_0 or ρ_1

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Your aim: find with probability 1 if Alice chose ρ_0 or ρ_1

How to proceed:

Make k queries to Alice, measure each time in the $(|0\rangle, |1\rangle)$ basis

- With one query,

$$\max_{\{\text{strategy}\}} \mathbb{P}(\text{We guess the correct } b) = \frac{1}{2} + \frac{\Delta(\rho_0, \rho_1)}{2}$$

- With k queries,

$$\max_{\{\text{strategy}\}} \mathbb{P}(\text{We guess the correct } b) = \frac{1}{2} + \frac{\Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k})}{2}$$

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But how many queries k are needed to make the good decision (with high probability)?

$$\Delta(\rho_0, \rho_1) = \varepsilon$$

- Upper-bound on the trace distance:

$$\Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \leq k\varepsilon \implies \text{Necessarily: } k \geq \frac{1}{\varepsilon} \text{ to ensure } \Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \text{ not too small}$$

Is it optimal?

USEFULNESS OF THE FIDELITY (II)

$$\max_{\{\text{strategy}\}} \mathbb{P}(\text{We guess the correct } b) = \frac{1}{2} + \frac{\Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k})}{2}$$

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Is it optimal? **No!** It turns out that $\Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \leq k\varepsilon$ is **not-tight**

- $F(\rho_0, \rho_1) = 2\sqrt{\frac{1}{4} - \frac{\varepsilon^2}{4}} \approx 1 - \varepsilon^2/2$ and $F(\rho_1^{\otimes k}, \rho_2^{\otimes k}) = F(\rho_1, \rho_2)^k \approx 1 - k\varepsilon^2/2$

$$k \frac{\varepsilon^2}{2} \approx 1 - F(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \leq \Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \implies \text{Choose: } k \geq \frac{2}{\varepsilon^2} \text{ to ensure } \Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \text{ not small}$$

$\longrightarrow k \approx \frac{1}{\varepsilon^2}$ is the optimal number of queries to make the good decision (with high probability)

$$\Delta(\rho_0, \rho_1) = \varepsilon$$

- Upper-bound on the trace distance

$$\Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k}) \leq k\varepsilon$$

- Lower-bound on the trace distance (by using Fidelity and Fuchs - Van de Graaf inequalities)

$$k\varepsilon^2/2 \leq \Delta(\rho_0^{\otimes k}, \rho_1^{\otimes k})$$

Compare to the trace distance, the fidelity turns out to be in many situations a finer tool to analyze the “distance” between quantum states

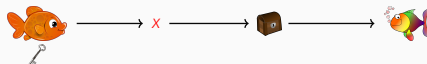
→ It gives in many scenarios the tight number of necessary samples to perform a correct distinguishing!

BIT COMMITMENT

COMMITMENT WITH A SAFE

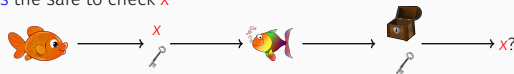
► Commit phase:

- Alice **writes** **x** on a piece of paper
- Alice **puts** the paper in a safe. **She is the only one to have the key of the safe**
- Alice **sends** the safe to Bob



► Reveal phase:

- Alice **reveals** **x** and the key to unlock the safe
- Bob **opens** the safe to check **x**



Our aim:

Use “quantum computation” to build a commitment scheme

→ Is the quantum world will offer to us an unconditionally secure commitment? (Spoil: **no**. . .)

$$S_0 \stackrel{\text{def}}{=} \{|0\rangle, |1\rangle\} \quad \text{and} \quad S_1 \stackrel{\text{def}}{=} \{|+\rangle, |-\rangle\}$$

→ Alice wants to commit a bit $b \in \{0, 1\}$ to Bob!

Exercise:

Describe a commitment protocol using S_0 and S_1 enabling Alice to commit her bit

(**Hint:** we don't want Bob "to have any information about the committed bit")

$$S_0 \stackrel{\text{def}}{=} \{|0\rangle, |1\rangle\} \quad \text{and} \quad S_1 \stackrel{\text{def}}{=} \{|+\rangle, |-\rangle\}$$

Alice wants to commit b :

1. **Commit phase:** Alice chooses $|\psi\rangle \in S_b$ uniformly at random and send $|\psi\rangle$ to Bob
2. **Reveal phase:** Alice reveals $ab \in \{0, 1\}^2$ to Bob where ab description of $|\psi\rangle$
 $00 \leftrightarrow |0\rangle, \quad 10 \leftrightarrow |1\rangle, \quad 01 \leftrightarrow |+\rangle \quad \text{and} \quad 11 \leftrightarrow |-\rangle$
3. **Verification phase:** Bob measures $|\psi\rangle$ in the basis S_b (b known from ab)

Exercise:

Is Bob can guess the committed bit?

Bob can only guess the committed bit with probability $1/2 \dots$

- If Alice committed 0, Bob has

$$\rho_0 = \frac{1}{2} |0\rangle\langle 0| + \frac{1}{2} |1\rangle\langle 1|$$

- If Alice committed 1, Bob has

$$\rho_1 = \frac{1}{2} |+\rangle\langle +| + \frac{1}{2} |-\rangle\langle -|$$

→ But: $\rho_0 = \rho_1 = \frac{1}{2}$: they are **indistinguishable** (in particular, $\Delta(\rho_0, \rho_1) = 0$)

But, is the commitment scheme secure?

Exercise:

Give a cheating strategy for Alice: she chooses the committed bit **after the commit phase**...

Alice chooses her committed value after the commit phase. . .

1. Alice starts with an EPR-pair $\frac{|00\rangle + |11\rangle}{\sqrt{2}}$
2. Alice gives the second qubit to Bob and pretends this is her commitment (up to now Alice did not make a choice)
3. If ultimately Alice wants to reveal $b = 0$: Alice measures her qubit $|x\rangle$ and gives to Bob x_0
4. If ultimately Alice wants to reveal $b = 1$: Alice first performs an Hadamard gate on her qubit, the state becomes

$$\frac{|+0\rangle + |-1\rangle}{\sqrt{2}} = \frac{|0+\rangle + |1-\rangle}{\sqrt{2}}$$

Alice measures her qubit and she reveals 01 if she measured $|0\rangle$, otherwise she reveals 11

When Bob measures, everything is fine for him while **Alice has chosen her commit after the commit phase. . .**

IS A SAFE COMMITMENT SCHEME ACHIEVABLE?

One may wonder: maybe our approach with S_0 and S_1 is flawed?

→ **No!** But to understand this let us being more “generic”. . .

Remark:

In what follows: a particular (but general) generic approach cannot work

→ It turns out that any “non-interactive” bit commitment scheme can be written in the ongoing formalism

- Impossibility to build an unconditionally secure bit commitment from quantum computation:

<https://arxiv.org/pdf/quant-ph/9712023.pdf>

Definition: bit commitment scheme

Protocol between two parties Alice and Bob, denoted hereafter A and B. A bit commitment scheme consists of two phases: a commit phase (Alice commits a bit b) and a reveal phase (Alice reveals to Bob her bit)

- ▶ Alice's aim: Bob cannot gain any information on her committed bit b
- ▶ Bob's aim: once Alice has made her commit, she cannot change her mind

Security requirements:

- ▶ **Completeness:** If both players are honest, the protocol should succeed with probability 1
- ▶ **Hiding property:** If Alice is honest and Bob is dishonest, his optimal cheating probability is

$$P_B^* \stackrel{\text{def}}{=} \max_{\text{strategy}} \mathbb{P}(\text{Bob guesses } b \text{ before the reveal phase})$$

- ▶ **Binding property:** If Alice is dishonest and Bob is honest, her optimal cheating probability is

$$P_A^* = \max_{\text{strategy}} \frac{1}{2} \left(\mathbb{P}(\text{Alice successfully reveals } b = 0) + \mathbb{P}(\text{Alice successfully reveals } b = 1) \right)$$

→ Alice optimal possibility to reveal both $b = 0$ and $b = 1$ successfully random
(for a same commit)

$|\psi_{AB}^0\rangle$ and $|\psi_{AB}^1\rangle$ be two (publicly known) quantum bipartite states

- ▶ **Commit phase:** Alice wants to commit b . She creates $|\psi_{AB}^b\rangle$ and sends the B-part to Bob
→ After the commit phase, Bob has $\text{tr}_A(|\psi_{AB}^b\rangle)$
- ▶ **Reveal phase:** Alice sends the A part of the quantum state $|\psi_{AB}^b\rangle$ as well as b
→ Bob checks that he has $|\psi_{AB}^b\rangle$ by projecting his (joint) state to $|\psi_{AB}^b\rangle$

Sadly, this generic quantum bit commitment scheme **cannot be made secure-efficient**. . .

There is a strategy for Alice and Bob such that

$$P_A^* + P_B^* \geq \frac{3}{2} \quad \text{in particular, } \max(P_A^*, P_B^*) \geq \frac{3}{4}$$

In our instantiation:

We have described a bit commitment scheme where $P_A^* = 1$ and $P_B^* = \frac{1}{2}$

Bob has before the commit phase:

$$\rho_0 = \text{tr}_A \left(\left| \psi_{AB}^0 \right\rangle \right) \text{ or } \rho_1 = \text{tr}_A \left(\left| \psi_{AB}^1 \right\rangle \right)$$

Bob's optimal cheating probability:

The **optimal** probability of Bob to guess b is

$$P_B^* = \frac{1}{2} + \frac{\Delta(\rho_0, \rho_1)}{2}$$

→ Choose ρ_0 and ρ_1 such that $\Delta(\rho_0, \rho_1)$ is small

- Remark: the perfect secure situation is $P_B^* = \frac{1}{2}$, Bob has nothing to do better than choosing b randomly

But how is the optimal Alice's strategy to cheat?

Alice's optimal cheating probability:

The **optimal** cheating probability of Alice (revealing the commit of her choice after the commit phase) is

$$P_A^* = \frac{1}{2} + \frac{F(\rho_0, \rho_1)}{2}$$

Proof:

Fix a cheating strategy for Alice, σ be the state that Bob has after the commit phase.

During the reveal phase:

- $b = 0$: Alice sends qubits such that Bob has a pure state $|\varphi_0\rangle$.
- $b = 1$: Alice sends qubits such that Bob has a pure state $|\varphi_1\rangle$.

$$\mathbb{P}(\text{Bob accepts} \mid b = 0) = \left| \langle \varphi_0 | \psi_{AB}^0 \rangle \right|^2 \quad \text{and} \quad \mathbb{P}(\text{Bob accepts} \mid b = 1) = \left| \langle \varphi_1 | \psi_{AB}^1 \rangle \right|^2$$

By definition of the protocol: $\sigma = \text{tr}_A(|\varphi_0\rangle) = \text{tr}_A(|\varphi_1\rangle)$. **Therefore, by Uhlmann's theorem**

$$\max_{|\varphi_0\rangle} \left| \langle \varphi_0 | \psi_{AB}^0 \rangle \right|^2 = F(\sigma, \rho_0)^2 \quad \text{and} \quad \max_{|\varphi_1\rangle} \left| \langle \varphi_1 | \psi_{AB}^1 \rangle \right|^2 = F(\sigma, \rho_1)^2$$

Therefore, if Alice chooses correctly σ and its purifications $|\varphi_0\rangle$ and $|\varphi_1\rangle$, her probability of cheating becomes:

$$\frac{1}{2} \left(F(\sigma, \rho_0)^2 + F(\sigma, \rho_1)^2 \right)$$

To conclude: see exercise session

Bob has before the commit phase:

$$\rho_0 = \text{tr}_A \left(\left| \psi_{AB}^0 \right\rangle \right) \text{ or } \rho_1 = \text{tr}_A \left(\left| \psi_{AB}^1 \right\rangle \right)$$

$$P_A^* = \frac{1}{2} + \frac{F(\rho_0, \rho_1)}{2} \quad \text{and} \quad P_B^* = \frac{1}{2} + \frac{\Delta(\rho_0, \rho_1)}{2}$$

Fuchs-Van de Graaf inequalities: $F(\rho_0, \rho_1) \geq 1 - \Delta(\rho_0, \rho_1)$, therefore

$$P_A^* + P_B^* \geq \frac{3}{2} \quad \text{in particular, } \max(P_A^*, P_B^*) \geq \frac{3}{4}$$

There is **always** a strategy for Bob or Alice to cheat with probability $\geq \frac{3}{4} \dots$

→ The presented bit commitment scheme cannot be **unconditionally** secure. . .

But can we build some secure cryptography by using quantum computation?

→ **Yes!** Quantum Key Distribution (QKD) but under some computational assumption

QUANTUM KEY DISTRIBUTION

MOTIVATION: ONE-TIME-PAD AND SECRET KEY CRYPTOGRAPHY

Alice and Bob want to share privately a message. How to proceed?

One-time Pad:

- Alice and Bob share a secret key $K \in \{0, 1\}^n$ which has been chosen **uniformly at random**
- Alice wishes to send $M \in \{0, 1\}^n$ to Bob. She sends:

$$C(M) = M \oplus K$$

- Bob receives $C(M)$ and computes $C(M) \oplus K = M$

Security aim: anyone that intercepts $C(M)$ without knowing K “cannot recover” M

One-time pad: perfectly secure, even with unbounded computation impossibility to recover M

Given two possibly send messages (M_1, M_2) : $\mathbb{P}_K(C(M_1) = D) = \mathbb{P}_K(C(M_2) = D)$

→ Be careful: once a key is used, **don't use it again...** Otherwise:

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From : $C(M_1)$ and $C(M_2)$, compute $C(M_1) \oplus C(M_2) = M_1 \oplus M_2$ (information about M_1 and M_2)

Drawback of the one-time pad:

1. Message length \leq key length and one send message per key. . .
2. How Alice and Bob can privately share a secret key “the snake biting its tail. . .”

DRAWBACK OF THE ONE-TIME PAD

1. Message length \leq key length and one send message per key. . .
2. Alice and Bob need first to share a secret key

To overcome these issues:

1. Advanced Encryption Scheme (AES): Alice and Bob share a secret key of 128 bits (at least 2^{128} classical operations to recover the key, considered to be secure)

→ Many other encryption scheme with short keys: field known as **symmetric-key cryptography**

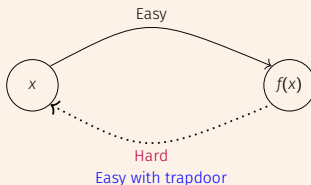
Security: the community tries to break (**cryptanalyse**) proposed schemes

But the problem remains, how to share privately secret keys?

2. Key-exchange protocol: use **public-key cryptography**, such as trapdoor one-way functions or Diffie-Hellman protocol (1976)

Public-key cryptography relies on the use of

Trapdoor one-way function:



- Alice publicly reveals f for which **she knows the trapdoor**
- Bob computes $f(K)$ and he sends it to Alice
- Alice receives $f(K)$ and computes $K = f^{-1}(f(K))$ with the trapdoor (f is supposed one-to-one)

→ Alice and Bob shared a secret key K under the assumption that Alice is the only one to be able to invert f efficiently

How to build trapdoor one-way functions?

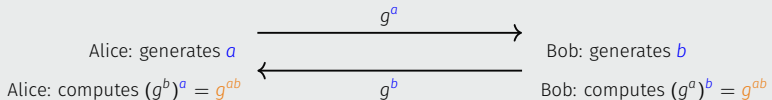
1. RSA: hardness to factorise an integer
2. Code and Lattice-based cryptography: hardness to decode a random code and a random lattice
3. etc. . .

Moral to build trapdoor one-way functions: find a mathematical hard problem **but for which there exists trapdoors**

→ Usually: difficult to find hard problems to solve such that with some quantity (the trapdoor) the problem becomes easy. . .

Diffie-Hellman protocol:

Public data: \mathbb{G} multiplicative group generated by g



- Alice and Bob shared g^{ab}
- Security: hard to compute g^{ab} from the knowledge of g^a and g^b (discrete logarithm problem)

Is there a key-exchange protocol using quantum computation?

→ Yes! Since the seminal work of BB84 (Bennett & Brassard, 1984)

(Quantum Key Distribution)

Key distribution:

- Alice and Bob communicate over a public and **authenticated** channel (Bob is convinced to speak to Alice and reciprocally)
- At the end of the scheme, they agree on a key $K \in \{0, 1\}^n$
- Any adversary eavesdropping and tampering the channel cannot gain, or vanishingly little, information about K (hard to define properly)

► **Quantum Key Distribution (QKD)**: public channels are quantum channels

Be careful (see Exercise Session):

If the public channel is not-authenticated, there is an attack (**man in the middle**)

—→ The channels have to be authenticated, **even in the quantum setting**. . .

But how to authenticate a channel?

Use for instance RSA-based cryptography. . . If you're unhappy (because broken in the quantum computing model), use **post-quantum cryptography**

Key distribution, quantum or not:

Still need: an authenticated channel

The only way: use a problem that is **computationally hard**.

→ Sentences like: “QKD is secure because laws of physic” **are false** . . .

True sentence: “QKD is secure because laws of physic **and** we know problems hard even in the quantum computing model”

QKD security relies on:

- Authenticated channel
- No-cloning theorem
- Measurements modify quantum states

Alice has a key string $\mathbf{K} = k_1, \dots, k_n$ she would like to transmit to Bob

→ Alice will first perform an encoding into **non-orthogonal quantum states**

BB84 encoding of a bit k_i :

Pick a random $b_i \in \{0, 1\}$, then

- If $b_i = 0$, build

$$|k_i\rangle^0 \stackrel{\text{def}}{=} |k_i\rangle$$

- If $b_i = 1$, build

$$|k_i\rangle^1 \stackrel{\text{def}}{=} \mathbf{H} |k_i\rangle = \frac{|0\rangle + (-1)^{k_i} |1\rangle}{\sqrt{2}}$$

k_i	b_i	$ k_i\rangle^{b_i}$
0	0	$ 0\rangle$
0	1	$ +\rangle$
1	0	$ 1\rangle$
1	1	$ -\rangle$

Why does it seem necessary to encode bits into **non-orthogonal quantum states**?

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Why does it seem necessary to encode bits into **non-orthogonal quantum states**?

→ Non-orthogonal quantum states **cannot be perfectly distinguished**

- ▶ Alice picks a random initial raw key $\mathbf{K} = k_1, \dots, k_n$ uniformly at random.
- ▶ For each $i \in \{1, \dots, n\}$, Alice picks a random $b_i \in \{0, 1\}$, and sends $|k_i\rangle^{b_i}$ to Bob.
- ▶ Bob picks some random basis $b'_1, \dots, b'_n \in \{0, 1\}$ and measures each qubit $|k_i\rangle^{b_i}$ in the basis $\{|0\rangle, |1\rangle\}$ if $b'_i = 0$, otherwise in the basis $\{|+\rangle, |-\rangle\}$. Let c_i **measurement outcome**

- ▶ Alice picks a random initial raw key $\mathbf{K} = k_1, \dots, k_n$ uniformly at random.
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- ▶ Bob sends to Alice b'_1, \dots, b'_n he used for his measurements by using a public **authenticated** channel. Alice sends back the subset $\mathcal{I} = \{i : b_i = b'_i\}$ to Bob
- ▶ Alice picks a random $\mathcal{J} \subseteq \mathcal{I}$ of size $\frac{|\mathcal{I}|}{2}$ and sends $\mathcal{J}, \{k_j : j \in \mathcal{J}\}$ to Bob
- ▶ For each $j \in \mathcal{J}$, Bob checks that $k_j = c_j$. If one of these checks fail, he aborts
- ▶ $\mathcal{L} = \mathcal{I} \setminus \mathcal{J}$ be the subset of indices used for the final key: $\mathbf{K}_A = (k_\ell)_{\ell \in \mathcal{L}}$ and $\mathbf{K}_B = (c_\ell)_{\ell \in \mathcal{L}}$
- ▶ Alice and Bob perform key reconciliation to agree on a key \mathbf{K}_f
- ▶ They perform privacy amplification to ensure that anyone has no information about the key: shared key $h(\mathbf{K}_f)$ for some “cryptographic” hash function h

An eavesdropper has access to:

$$|k_i\rangle^0 \text{ or } |k_i\rangle^1 \text{ for } 1 \leq i \leq n$$

But what happens if an eavesdropper performs a measurement to guess k_i ?

→ It can modify $|k_i\rangle^b$!

For instance:

Suppose that Alice sent $|\psi\rangle = |0\rangle^1 = |+\rangle$ and an eavesdropper looks at it

1. If an attacker measures in the basis $\{|+\rangle, |-\rangle\}$ then the state is not modified
2. If an attacker measures in the basis $\{|0\rangle, |1\rangle\}$ then the state collapses to:

$|0\rangle$ with probability $1/2$ or $|1\rangle$ with probability $1/2$

In that case, if Bob measures the received quantum state in the basis $\{|+\rangle, |-\rangle\}$ (the same basis than Alice), he will measure $|+\rangle$ with probability $1/2$

→ The eavesdropper will be detected with probability $1/4$

But: $|k_i\rangle^0$ and $|k_i\rangle^1$ are non-orthogonal

→ They cannot be perfectly distinguished! At best with probability

$$\frac{1+\Delta(|+\rangle, |1\rangle)}{2} = \frac{1+\Delta(|-\rangle, |1\rangle)}{2} = \dots = \frac{1}{2} + \frac{1}{2\sqrt{2}} \approx 0.85$$

$\mathbf{K}_A = (k_\ell)_{\ell \in \mathcal{L}}$ and $\mathbf{K}_B = (c_\ell)_{\ell \in \mathcal{L}}$ may be different at the end of the protocol

- An eavesdropper only intercepted a small number of qubits (so is not caught with some constant probability)
- Hardware imperfection in the signal transmission or in the measurement create some inconsistency

Key reconciliation:

Alice chooses an error correcting code \mathcal{C} , such $\mathbf{K}_A \in \mathcal{C}$, and she publicly reveals \mathcal{C}

Hoping that not too much bits between \mathbf{K}_A and \mathbf{K}_B are different, Bob decodes \mathbf{K}_B in \mathcal{C} to recover \mathbf{K}_A

- ▶ Security proof of BB84 can be found here (it uses many tools of quantum information theory)

<https://arxiv.org/pdf/1506.08458.pdf>

- ▶ Many other QKD protocols exist, see for instance

Nielsen and Chuang, *Quantum computation and quantum information*, Chapter 12

Don't forget:

The QKD's also needs "classical cryptography" to be secure. . .

EXERCISE SESSION
