

TABLE OF CONTENTS

1		Table of Contents	i
2		List of Figures	ii
3		Abstract of the Dissertation	iii
4	Chapter 1	Introduction	1
5		1.1 The Need for Autonomy	1
6		1.2 Thesis Outline & Contributions	3
7	Chapter 2	Background	4
8		2.1 PackBot	4
9		2.2 Talon	4
10		2.3 Urbot	4
11		2.4 MOCU & JAUS	4
12		2.5 The Duals: Estimation & Controls	4
13	Chapter 3	State Estimation	6
14		3.1 State Space Models	7
15		3.2 The Kalman Filter	8
16		3.3 Adaptive Extended Kalman Filter	9
17		3.4 Discriminative Training of Kalman Filter Parameters . .	10
18		3.5 Identify IMU Parameters	11
19	Chapter 4	Controls	12
20		4.1 PID	12
21		4.2 Lyapunov	12
22	Chapter 5	Results	13
23	Chapter 6	Future Work	14
24	Chapter 7	Conclusion	15
25	Appendix A	Source Code	16
26	Bibliography	17

LIST OF FIGURES

27	Figure 3.1: Different topographies for the left track and the right track	
28	when the ground is smooth on the left side and bumpy on the	
29	right side. The top line is for the left track and the bottom line	
30	is for the right track.	7
31	Figure 3.2: The Kalman filter algorithm.	9

32

ABSTRACT OF THE DISSERTATION

33

Robot Traffic School

34

by

35

Thomas Denewiler

36

Master's of Science in Mechanical and Aerospace Engineering

37

University of California San Diego, 2010

38

Professor Thomas Bewley, Chair

39 This dissertation will be abstract.

Chapter 1

Introduction

In this chapter we give a brief overview of autonomous navigation and the small unmanned ground vehicles (UGVs) that will be considered in this thesis. We also describe some of the issues in state estimation and controls that were considered during research in improving the autonomous driving behaviors of the UGVs.

1.1 The Need for Autonomy

Robots have been developed to assist humans in tasks that are generally considered dirty, dangerous or boring. Recently robots have found a useful niche as a tool to help Explosives Ordinance Disposal (EOD) teams to assess and eliminate threats due to improvised explosive devices (IEDs), commonly referred to as roadside bombs, by allowing humans to maintain a safe stand-off distance while investigating a scene. Clearly this falls under the dangerous category. The current method that EOD teams use involves teleoperation of the robot to get from the base to the object of interest. In the process of teleoperating the robot the operator is exposed and vulnerable to other external threats in the area. As technologies mature to provide humans with better tools shortcomings are discovered, such as the vulnerability due to teleoperation, and that opens up avenues for improvement in the development of these tools. For robots one approach to reducing the amount of work humans are required to perform is to give the robots more intelligence via autonomous behaviors using additional sensors, more specialized actuators and

61 software to automate the largest amount of routine tasks as possible.

62 When adding autonomy to robots nearly all of the tasks can be summarized by
63 the following questions:

- 64 • Where am I?
- 65 • What's around me?
- 66 • Where do I want to go?
- 67 • How do I get there?

68 Other tasks for small UGVs include sending them into buildings that are
69 dangerous due to structural damage or unknown, possibly hostile elements inside so
70 that the robots can map the interior and additionally to provide human operators
71 with images to assess the danger prior to any humans entering the buildings [Con 06].
72 After the attacks on the World Trade Center on September 11, 2001 several small
73 UGV systems were used to look for survivors in the rubble and to help assess
74 structural damage to nearby buildings [Everett 02].

75 The initial attempt at adding autonomy to the EOD robots resulted in somewhat
76 erratic driving behavior, especially near obstacles, as the robot trajectory would
77 not be smooth as it changed speed and attempted to make small corrections to its
78 original path to move around the obstacle. In this thesis we will look at smoothing
79 out the trajectories taken by the robot by looking for improvements in the state
80 estimation (Where am I?) and controls (How do I get there?) algorithms. This
81 work ignores actual obstacle detection (What's around me?) and will be using a
82 simple planning algorithm (Where do I want to go?) to simulate obstacles in the
83 robot path which will force the robot to change direction and speed multiple times.

84 *** Talk about how speed and efficiency are important characteristics of robots
85 in their typical operating environments and that improved navigation would improve
86 the speed and efficiency. An example is less time waiting to get robot close to IED
87 or to clear a building means less time for humans in hostile environment. In search
88 and rescue situations this would lead to less time for searching and more time for
89 rescuing. ***

90 1.2 Thesis Outline & Contributions

91 The problem of autonomous navigation is not isolated to any one technical area
92 but is instead a combination of sensor integration to allow the robot position and
93 obstacles to be observable, noise filtering, state estimation and control algorithms.
94 As much as possible this research has attempted to isolate the effects of each of
95 those areas to enable a quantitative analysis to determine which parts of the system
96 contribute the largest effect on overall robot behavior.

97 Chapter 2 gives some background on the types of robots used, the sensors on
98 the robots and the operator control unit (OCU). Chapter 3 presents the state
99 estimation algorithm that is used on the robots. Chapter 4 discusses the original
100 control algorithm and a new control algorithm applied as part of this research.
101 Chapter 5 gives the results of experiments run with the robots and looks at the
102 main contributing factors for smooth autonomous navigation. Chapter 6 suggests
103 future avenues of research to pursue. The conclusion is found in Chapter 7.

104 The main contribution of this research is that the small UGVs investigated
105 here have greater autonomy which will allow for less human oversight of basic
106 functionality. This is especially important for the dirty, dangerous and boring tasks
107 that robots where robots are most useful because it allows the humans to focus
108 their concentration, time and effort on clean, safe and efficient.

109 **Chapter 2**

110 **Background**

111 *** Put in a description of the PackBot, Talon and Urbot along with a description
112 of the algorithms originally used and the results obtained using those algorithms.
113 Talk about JAUS and MOCU a little bit. Discuss more about how the robots are
114 used by EOD. Include that for robots to drive around on their own all they require
115 is an estimate of where they are, a path to follow, a controller to determine the
116 actuator outputs and motor controllers to perform the controller outputs. ***

117 **2.1 PackBot**

118 **2.2 Talon**

119 **2.3 Urbot**

120 **2.4 MOCU & JAUS**

121 **2.5 The Duals: Estimation & Controls**

122 It is very difficult to simply work on either state estimation or controls indi-
123 vidually as there is a large amount of coupling between the two areas. Although
124 the main goal is to make the robots drive more smoothly and that the actuator

125 and motor outputs are ultimately generated by the control system it is still the
126 case that the role of state estimation is equally important. If there exists large
127 measurement errors, drift or bias in the sensor readings then the robot will not have
128 a very good idea of where it is located and there will not be a controller that can
129 stabilize the system. *** Talk about observability and controllability. Mention
130 theory that shows link between estimation and control. ***

131 An example would be when the only sensor available for measurements is an
132 IMU which suffers from drift and bias, where both effects are exaggerated by
133 temperature. There have been situations in which an IMU was in a robot with the
134 motors turned off so that the robot is not moving. However, due to excessive heat
135 in the electronics box the IMU measurements report that the heading of the robot
136 keeps moving in circles at a rate of $\frac{\pi}{5}rad/s$. With a controller that was known to
137 keep the robot stable when the IMU was working properly started forcing the robot
138 to turn in circles when the motors were turned on even though the command was
139 to stay in one place. This shows the importance of state estimation on overall robot
140 performance – it is not enough to only have a good controller.

141 Chapter 3

142 State Estimation

143 *** Talk about quantifying the performance of the ACS Kalman filter [Sights 06].
144 Discuss training of the covariance matrices. Show the position estimation using the
145 original covariance matrices and the ones found from training. If I get to identifying
146 bias and/or drift in the IMU put that here as well. ***

147 The Space and Naval Warfare Systems Center, San Diego (SSC-SD) robotics
148 group has developed the Autonomous Capabilities Suite (ACS) which incorporates
149 many different technologies into a single software package that can be run on a
150 wide variety of different robots and is able to easily accomodate different payload
151 and sensor suites [Sights 06]. One of the ACS libraries is the adaptive extended
152 Kalman filter which is used on the EOD robots for state estimation and is the
153 main method used for answering the question “Where am I?”. The idea behind
154 the Kalman filter is relatively straightforward. The robot has some basic idea of
155 where it is in the world but there is some uncertainty involved in that estimate due
156 to different measurement accuracies from multiple sensors that measure the same
157 state, noise in the individual sensor measurements and an imperfect model of how
158 the robot moves through the world. Some of the uncertainty of the model can be
159 explained by the fact that not all of the necessary measurements are being carried
160 out and the states can be unobservable. *** Say more here about noise/uncertainty.
161 ***

162 An example is a robot driving in a straight line where the left track may be
163 moving on a flat surface while the right track is moving on an uneven surface as

164 in Figure 3.1. The wheel encoders that measure how far each track is moving will
 165 report that the right track is traveling a greater distance than the left track which
 166 could mean that the robot is turning counter-clockwise or that the robot tracks are
 167 moving over different surface types. At the same time the robot will be getting
 168 measurements about its heading from both the IMU and GPS sensors that will
 169 have some noise as well. In this example both the IMU and GPS sensors would
 170 likely say that the robot is traveling in a straight line on average (as long as the
 171 controller is performing adequately). The job of the Kalman filter is to determine
 172 how much each sensor should be trusted when trying to determine where the robot
 173 really is in the world and how fast it is moving. This is accomplished by looking at
 174 each of the noise parameters for both the system model and the measurements as
 175 being zero mean, white noise, uncorrelated, Gaussian variables ... *** Clean up
 176 this language. Consider putting it in a different section. ***



Figure 3.1: Different topographies for the left track and the right track when the ground is smooth on the left side and bumpy on the right side. The top line is for the left track and the bottom line is for the right track.

177 3.1 State Space Models

178 Kalman filters and control systems (see Chapter 4) use the idea of a multi-
 179 dimensional state space to encapsulate all of the relevant information that is known
 180 about a system. In the case of robots the dynamics are typically captured by
 181 position, orientation, linear and angular velocities, acceleration and sometimes jerk.
 182 The standard equations to describe the state space of a system are

$$\begin{aligned}\dot{x} &= f(x, u, t) \\ \dot{y} &= h(x, t)\end{aligned}\tag{3.1}$$

183 The state variables are given in vector form by x and the sensor measurements
 184 are contained in the vector y . The state space equations are a means of representing
 185 how the state and measurements of a system change through time based on the
 186 initial state of the system and the inputs, u , to the system which allows the trajectory
 187 (or motion through time) and the effect of the trajectory on the measurements
 188 to be calculated using compact notation. The inputs are assumed to include any
 189 external forces applied to the system as well as actuation provided by the system
 190 itself.

191 3.2 The Kalman Filter

192 The ACS Kalman filter is typical of all Kalman filters in that it consists of
 193 a prediction update step and a measurement update step where the prediction
 194 update is run as fast as possible and the measurement update is run whenever
 195 new sensor data becomes available as in Figure 3.2. The prediction update step
 196 uses the model of the dynamics of the system and a measurement of elapsed time
 197 to determine where the system is in the world. The measurement update step is
 198 basically a feedback step to help correct for errors in the system model [Kelly 94].
 199 From [Kelly 94], [Simon 06] the prediction update step marches the system
 200 dynamics forward in time using the equations

$$\begin{aligned}\hat{x}_{k+1}^- &= \Phi_k \hat{x}_k \\ P_{k+1}^- &= \Phi_k P_k \Phi_k^T + \Gamma_k Q_k \Gamma_k^T\end{aligned}\tag{3.2}$$

201 and the measurement update step provides feedback from sensor data using the
 202 equations

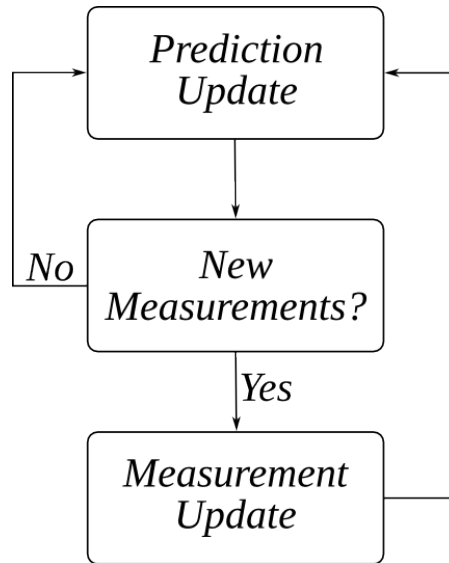


Figure 3.2: The Kalman filter algorithm.

$$\begin{aligned}
 K_k &= P_k^- H_k^T [H_k P_k^- H_k^T + R_k]^{-1} \\
 \hat{x}_k &= \hat{x}_k^- + K_k [z_k - H_k \hat{x}_k^-] \\
 P_k &= [I - K_k H_k] P_k^-
 \end{aligned} \tag{3.3}$$

203 The state space equations for the robot are

$$\begin{aligned}
 x_{k+1} &= \Phi_k x_k + \Gamma_k w_k \\
 z_k &= H_k x_k + v_k
 \end{aligned} \tag{3.4}$$

204 3.3 Adaptive Extended Kalman Filter

205 *** I really need to clean up the language here. [Busse 03] looks like a great
 206 source. Even the notation as far as *a priori* and *a posteriori* needs fixing. ***
 207 Attempting to determine the proper values for the covariance matrices Q in (3.2)
 208 and R in (3.3) can be a laborious process and is often considered more of an
 209 art than a science with engineer experience being a critical factor. *** Discuss
 210 why Q and R are important and what function they serve in the Kalman filter.

211 *** The ACS Kalman filter has been implemented with an adaptive scheme to
 212 update the covariance matrices in real time as the robot moves around and sensor
 213 measurements are taken into account [Sights 06], [Mehra 72], [Busse 03]. Q and R
 214 are updated at alternating time steps in the EKF.

215 The first step is to calculate Q^* using

$$Q^* = (x - x_{k+1}^-) (x - x_{k+1}^-)^T + P_{k+1}^- - P - Q \quad (3.5)$$

216 Then Q can be updated using

$$Q = Q + \frac{1}{L_Q} (Q^* - Q) \quad (3.6)$$

217 Next R^* is calculated using

$$R^* = (y - Hx) (y - Hx)^T - HP_{k+1}^- H^T \quad (3.7)$$

218 and R can be updated using

$$R = R + \frac{1}{L_R} (R^* - R) \quad (3.8)$$

219 *** Discuss the implications of the adaptive EKF. ***

220 **3.4 Discriminative Training of Kalman Filter Pa-** 221 **rameters**

222 *** Investigate the difference between adaptive filtering and training. It seems
 223 like they accomplish the same thing, namely, convergence to some values for the
 224 covariance matrices. Do they use the same metrics? Do they converge to the

225 same covariance matrices? Is it just online vs. offline training? *** [Abbeel 05]
226 describes a method to automatically learn what the covariance matrices should
227 be. When used in conjunction with the adaptive EKF scheme this could allow for
228 faster convergence times when the robots are started and for smaller ranges for the
229 adaptation coefficients L_Q and L_R in (3.6) and (3.8).

230 3.5 Identify IMU Parameters

231 **Chapter 4**

232 **Controls**

233 *** Talk about Lyapunov and PID controllers. ***

234 **4.1 PID**

235 *** Talk about how PID controllers work. Discuss the difficulties of tuning the
236 PID controllers. ***

237 **4.2 Lyapunov**

238 *** Talk about how Lyapunov controllers work [Khalil 02]. Show which control
239 Lyapunov function I chose [Rusu 05]. For a given path show the linear and angular
240 velocities that are output by each controller. ***

241 Chapter 5

242 Results

243 *** I want to show plots with the position estimate using GPS only, KF with
244 learned Q/R but no adapting, KF with no adapting or training, KF with adapting,
245 KF with learned and adaptive, KF with different encoder equations. Would be
246 cool to plot these on an overhead image of the test area. ***

247 *** I want to show plots of the variance of the KF position estimate and the
248 derivative of the control outputs of linear and angular velocity. The real goal is to
249 have smooth velocities which will show up as constant accelerations and I want to
250 see if there is any correlation between the variance of the position estimate and
251 the accelerations, especially when the variance of the position estimate has a large
252 amplitude. This would indicate that the controller is not necessarily doing a poor
253 job and I could relate this to the example of the robot controller causing the robot
254 to spin in circles when the IMU is giving faulty outputs. Note that this would not
255 be a sufficient condition to show that the controller is performing properly but
256 would only be an indication that the KF output needs improvement. There are
257 likely ways of assessing controller performance if the KF output variance is large
258 though. ***

259 **Chapter 6**

260 **Future Work**

261 *** Suggest avenues of study for future work. ***

262 Chapter 7

263 Conclusion

264 *** Summarize the results here. ***

265 **Appendix A**

266 **Source Code**

267 *** Put source code here if applicable. Consider putting a list of Acronyms in
268 an appendix. ***

269 Bibliography

- 270 [Abbeel 05] Pieter Abbeel, Adam Coates, Michael Montemerlo, Andrew Y. Ng
271 & Sebastian Thrun. *Discriminative Training of Kalman Filters*. In
272 Proceedings of Robotics: Science and Systems, Cambridge, USA, June
273 2005.
- 274 [Busse 03] Franz D. Busse, Jonathan P. How, James Simpson & Nasa Goddard.
275 *Demonstration of Adaptive Extended Kalman Filter for Low Earth*
276 *Orbit Formation Estimation Using CDGPS*. Journal of the Institute
277 of Navigation, vol. 50, pages 79–94, 2003.
- 278 [Con 06] *Report to Congress: Development and Utilization of Robotics and*
279 *Unmanned Ground Vehicles*. Technical report, Office of the Under
280 Secretary of Defense, Acquisition, Technology and Logistics, Portfolio
281 Systems Acquisition, Land Warfare and Munitions, Joint Ground
282 Robotics Enterprise, October 2006.
- 283 [Everett 02] H.R. Everett, R.T. Laird & M.R. Blackburn. *After Action Report*
284 *to the Joint Program Office: Center for the Robotic Assisted Search*
285 *and Rescue (CRASAR) Related Efforts at the World Trade Center*.
286 Technical report, Space and Naval Warfare Systems Center, San Diego,
287 August 2002.
- 288 [Kelly 94] Alonzo Kelly. *A 3D State Space Formulation of a Navigation Kalman*
289 *Filter for Autonomous Vehicles*. Technical Report CMU-RI-TR-94-19,
290 Robotics Institute, Pittsburgh, PA, May 1994.
- 291 [Khalil 02] Hassan K. Khalil. *Nonlinear Systems*. Prentice Hall, Inc., Third
292 edition, 2002.
- 293 [Mehra 72] Raman K. Mehra. *Approaches to Adaptive Filtering*. October 1972.
- 294 [Rusu 05] Radu Bogdan Rusu & Marius Borodi. *On Computing Robust Con-*
295 *trollers for Mobile Robot Trajectory Calculus: Lyapunov*. Unpublished
296 technical report, 2005.

- 297 [Sights 06] B. Sights, E.B. Pacis, G. Ahuja, G. Kogut & H.R. Everett. *An Adaptive*
298 *Localization System for Outdoor/Indoor Navigation for Autonomous*
299 *Robots*. In SPIE Proceedings 6230: Unmanned Systems Technology
300 VIII, Defense & Security Symposium, April 2006.
- 301 [Simon 06] Dan Simon. *Optimal State Estimation*. John Wiley & Sons, Inc., First
302 edition, 2006.