

# KODAIRA-SPENCER CLASSES, $\tau$ -FORMS AND DERIVED CATEGORIES

JAMES FREITAG, TAYLOR DUPUY, AARON ROYER

In this note we sketch the construction of the Kodaira-Spencer morphism and the sheaf of  $\tau$ -forms in extreme generality using the cotangent complex formalism.

Suppose we are given a morphism  $f: X \rightarrow Y$  of schemes over a base  $S$ . Then each of the three morphisms  $f, \pi_X, \pi_Y$  has an associated cotangent complex  $\mathbb{L}_{Y/X}, \mathbb{L}_{X/S}, \mathbb{L}_{Y/S}$  [?, Tag 08P5]. Moreover, there is a canonical exact triangle

$$(0.1) \quad Lf^* \mathbb{L}_{X/S} \rightarrow \mathbb{L}_{Y/S} \rightarrow \mathbb{L}_{Y/X} \rightarrow Lf^* \mathbb{L}_{X/S}[1]$$

in the derived category  $D(Y)$ . Here,  $Lf^*$  is the left-derived pullback along  $f$ . The last arrow is called the Kodaira-Spencer morphism of  $f$ .

In general, the cotangent complex is a complex of sheaves with cohomology sheaves concentrated in nonpositive degrees. This implies, for instance, that there is a natural morphism of (complexes of) sheaves  $\mathbb{L}_{X/S} \rightarrow \Omega_{X/S}^1$ , where we view the latter as a complex of sheaves concentrated in degree zero. An  $S$ -derivation  $\delta$  on  $X$  determines and is determined by a morphism  $\Omega_{X/S}^1 \rightarrow \mathcal{O}_X$  of  $\mathcal{O}_X$ -modules, and thus also a composite

$$(0.2) \quad \mathbb{L}_{Y/X} \rightarrow Lf^* \mathbb{L}_{X/S}[1] \rightarrow f^* \Omega_{X/S}^1[1] \rightarrow f^* \mathcal{O}_X[1] \rightarrow \mathcal{O}_Y[1].$$

The sheaf of derived  $\tau$ -forms  $\mathbb{L}_{Y/S}^\tau$  is the desuspension of the cone of this composite, i.e. it is a complex of sheaves on  $Y$  fitting into an exact triangle

$$(0.3) \quad \mathbb{L}_{Y/X}^\tau \rightarrow \mathbb{L}_{Y/X} \rightarrow \mathcal{O}_Y[1].$$

Define  $\Omega_{Y/X}^\tau$  to be the zeroth cohomology sheaf of  $\mathbb{L}_{Y/X}^\tau$ . In the case where  $f$  is smooth,  $\mathbb{L}_{Y/X} \simeq \Omega_{Y/X}^1$  is locally free and we have a short exact sequence of vector bundles on  $Y$

$$(0.4) \quad 0 \rightarrow \mathcal{O}_Y \rightarrow \Omega_{Y/X}^\tau \rightarrow \Omega_{Y/X}^1 \rightarrow 0.$$

We now suppose we have three morphisms

$$(0.5) \quad X \rightarrow Y \rightarrow S \rightarrow T.$$

There are four nontrivial transitivity triangles associated to this situation. We are interested in the relationship between those associated to  $X \rightarrow S \rightarrow T$  and  $Y \rightarrow S \rightarrow T$ . The scheme morphism  $f: X \rightarrow Y$  provides a morphism of triangles

$$(0.6) \quad \begin{array}{ccccccc} L\pi_X^* \mathbb{L}_{S/T} & \longrightarrow & f^* \mathbb{L}_{Y/T} & \longrightarrow & f^* \mathbb{L}_{Y/S} & \longrightarrow & L\pi_X^* \mathbb{L}_{S/T}[1] \\ \parallel & & \downarrow & & \downarrow & & \parallel \\ L\pi_X^* \mathbb{L}_{S/T} & \longrightarrow & \mathbb{L}_{X/T} & \longrightarrow & \mathbb{L}_{X/S} & \longrightarrow & L\pi_X^* \mathbb{L}_{S/T}[1]. \end{array}$$

Again, all pullback functors are taken in the derived sense. A  $T$ -derivation  $\delta$  on  $S$  now gives a commuting square in  $D(X)$  of the form

$$(0.7) \quad \begin{array}{ccc} Lf^* \mathbb{L}_{Y/S} & \longrightarrow & f^* \mathcal{O}_Y[1] \\ \downarrow & & \downarrow \\ \mathbb{L}_{X/S} & \longrightarrow & \mathcal{O}_X[1]. \end{array}$$

We then pass to cones and rotate back to obtain a morphism of triangles

$$(0.8) \quad \begin{array}{ccccccc} Lf^* \mathcal{O}_Y & \longrightarrow & Lf^* \mathbb{L}_{Y/S}^\tau & \longrightarrow & Lf^* \mathbb{L}_{Y/S} & \longrightarrow & Lf^* \mathcal{O}_Y[1] \\ \downarrow & & f^\tau \downarrow & & \downarrow & & \downarrow \\ \mathcal{O}_X & \longrightarrow & \mathbb{L}_{X/S}^\tau & \longrightarrow & \mathbb{L}_{X/S} & \longrightarrow & \mathcal{O}_X[1]. \end{array}$$

Here we use exactness of the left-derived functor  $Lf^*$  to obtain the equivalence

$$Lf^* \mathbb{L}_{Y/S}^\tau \simeq \text{Cone}(Lf^* \mathbb{L}_{Y/S} \rightarrow Lf^* \mathcal{O}_Y[1])[-1].$$

We will now specialize to our case of interest

$$(0.9) \quad C \rightarrow J \rightarrow \text{Spec } K \rightarrow \text{Spec } \mathbb{Z}.$$

Here  $C$  is a smooth projective curve over  $K$  of genus  $\geq 2$ ,  $J$  its Jacobian,  $j: C \rightarrow J$  an Abel-Jacobi map. This situation allows for various simplifications on the above setup. Most importantly,  $\pi_C$  and  $\pi_J$  are smooth morphisms, which yields two short exact sequences of vector bundles

$$(0.10) \quad 0 \rightarrow \mathcal{O}_C \rightarrow \Omega_{C/K}^\tau \rightarrow \Omega_{C/K}^1 \rightarrow 0$$

and

$$(0.11) \quad 0 \rightarrow \mathcal{O}_J \rightarrow \Omega_{J/K}^\tau \rightarrow \Omega_{J/K}^1 \rightarrow 0.$$

The local freeness of these sheaves on  $J$  implies that the pullback  $j^*$  preserves the exactness of (??), and we obtain a morphism of short exact sequences of  $\mathcal{O}_C$ -modules

$$(0.12) \quad \begin{array}{ccccccc} 0 & \longrightarrow & j^* \mathcal{O}_J & \longrightarrow & j^* \Omega_{J/K}^\tau & \longrightarrow & j^* \Omega_{J/K}^1 \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathcal{O}_C & \longrightarrow & \Omega_{C/K}^\tau & \longrightarrow & \Omega_{C/K}^1 \longrightarrow 0. \end{array}$$

We now take sheaf cohomology and obtain morphisms of triangles in  $D(K)$

$$\begin{array}{ccccccc}
 R\Gamma(J, \mathcal{O}_J) & \longrightarrow & R\Gamma(J, \Omega_{J/K}^\tau) & \longrightarrow & R\Gamma(J, \Omega_{J/K}^1) & \longrightarrow & R\Gamma(J, \mathcal{O}_J)[1] \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 (0.13) \quad R\Gamma(C, j^* \mathcal{O}_J) & \longrightarrow & R\Gamma(C, j^* \Omega_{J/K}^\tau) & \longrightarrow & R\Gamma(C, j^* \Omega_{J/K}^1) & \longrightarrow & R\Gamma(C, j^* \mathcal{O}_J)[1] \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 R\Gamma(C, \mathcal{O}_C) & \longrightarrow & R\Gamma(C, \Omega_{C/K}^\tau) & \longrightarrow & R\Gamma(C, \Omega_{C/K}^1) & \longrightarrow & R\Gamma(C, \mathcal{O}_C)[1].
 \end{array}$$

Passing to long exact sequences in cohomology and composing vertically we obtain a diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & H^0(J, \mathcal{O}_J) & \longrightarrow & H^0(J, \Omega_{J/K}^\tau) & \longrightarrow & H^0(J, \Omega_{J/K}^1) & \longrightarrow & H^1(J, \mathcal{O}_J) \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 (0.14) \quad 0 & \longrightarrow & H^0(C, \mathcal{O}_C) & \longrightarrow & H^0(C, \Omega_{C/K}^\tau) & \longrightarrow & H^0(C, \Omega_{C/K}^1) & \longrightarrow & H^1(C, \mathcal{O}_C)
 \end{array}$$

Usual properties of the Abel-Jacobi map imply that each map besides the middle is an isomorphism, and so the five-lemma implies

$$(0.15) \quad H^0(J, \Omega_{J/K}^\tau) \cong H^0(C, \Omega_{C/K}^\tau).$$

*E-mail address:* freitagj@gmail.com, taylor.dupuy@uvm.edu, aroyer@math.ucla.edu