

## AN OVERVIEW OF FINITE FIELDS

Here is a compendium of “everything you need to know about finite fields” purloined from my Simple Groups course lecture notes last semester. I give references (in brackets) to where these facts are proved in Dummit–Foote. I have also included my recap of an introduction to fields; and I’ll give a couple of examples too.

Recall that, in general, a *field* is any set  $F$  together with two commutative binary operations, always written as addition and multiplication with respective (distinct) identities 0, 1 such that you can do all the usual arithmetic involving  $+$ ,  $-$ ,  $\times$ ,  $\div$  in  $F$  (including the distributive laws) (Section 1.4.).

If  $p$  is a prime, then  $\mathbb{Z}/p\mathbb{Z}$  is a finite field of  $p$  elements, and is denoted by  $\mathbb{F}_p$ .

[Exercise: If  $n > 1$  is not a prime,  $\mathbb{Z}/n\mathbb{Z}$  cannot be a field because it contains nonzero elements whose product is 0 (called zero divisors)— check this; and show that this never happens in a field.]

For each  $n \in \mathbb{Z}^+$  let  $n$  denote  $1 + 1 + \cdots + 1$  ( $n$  times) in  $F$ . If no  $n$  is zero in  $F$ , we say  $F$  has *characteristic 0*; and if some  $n$  equals 0 in  $F$ , it is easy to see  $n$  must be a prime,  $n = p$ , and we then say  $F$  has *characteristic  $p$* . (This follows easily from the preceding exercise — see Section 13.1.) The familiar fields  $\mathbb{Q}$ ,  $\mathbb{R}$  and  $\mathbb{C}$  all have characteristic 0. It is an easy exercise to see that

*every finite field  $F$  must have characteristic  $p$ , for some prime  $p$ .*

Moreover, every field  $F$  contains a unique smallest subfield,  $F_0$ , which is the subfield of  $F$  generated by 1. It is easy to see that  $F_0$  is either  $\mathbb{Q}$  (when  $F$  has characteristic 0) or  $\mathbb{F}_p$  (when  $F$  has characteristic  $p$ ); we call  $F_0$  the *prime subfield of  $F$*  (Section 13.1).

The usual operations in  $F$  make  $F$  a vector space over any of its subfields  $K$ , and we call the dimension of the  $K$ -vector space  $F$  the *degree of the extension of  $F$  over  $K$* , and denote this by  $[F : K]$ . For example,  $[\mathbb{C} : \mathbb{R}] = 2$ , and indeed we talk about  $\mathbb{C}$  as being the complex plane (i.e., view  $\mathbb{C}$  as a 2-dimensional real vector space). If the degree of  $F$  over a subfield  $K$  is finite, say  $[F : K] = n$ , then by basic vector space theory (Section 11.1)  $F$  is isomorphic as a  $K$ -vector space to  $K^n = K \times K \times \cdots \times K$  ( $n$ -factors). (Note: this isomorphism is not “multiplicative” in the sense that if you multiply the  $n$ -tuples componentwise, then the product of copies of  $K$  always contains zero divisors when  $n \geq 2$ .)

When  $F$  is a finite field and  $K = F_0 = \mathbb{F}_p$ , then  $[F : F_0]$  must be finite (why?), and so

*every finite field is isomorphic as a vector space over  $\mathbb{F}_p$  to  $\mathbb{F}_p^n$ , for some  $n$ .*

This is the first result in the following Omnibus Theorem on Finite Fields. The results and proofs are the same, *mutatis mutandis*, for any finite field, not just  $\mathbb{F}_p$ , so we state them in generality. References to proofs are given; but most of these can be established by elementary means, independent of the “overhead” in the book.

**Theorem 0.1.** *Let  $F$  be any finite field.*

- (1)  $F$  has characteristic  $p$  for some prime  $p$ , and  $|F| = p^n$  for some  $n \in \mathbb{Z}^+$ , where  $n = [F : \mathbb{F}_p]$ .
- (2) For each prime  $p$  and positive integer  $n$  there is a unique (up to isomorphism) field of order  $p^n$ . (This field is denoted as  $\mathbb{F}_{p^n}$ .) Henceforth let  $q = p^n$ . Consequently, for every positive integer  $m$  there is a field  $\mathbb{F}_{q^m}$  containing  $\mathbb{F}_q$  of dimension (degree)  $m$  over  $\mathbb{F}_q$ .
- (3)  $\mathbb{F}_q$  is the set of all roots of the polynomial  $X^q - X$  in some algebraic closure of  $\mathbb{F}_p$ . In particular,  $a^q = a$  for all  $a \in \mathbb{F}_q$ .
- (4) As an additive group,  $\mathbb{F}_q$  is elementary abelian, so  $\mathbb{F}_q \cong E_{p^n}$ .
- (5) As a multiplicative group,  $\mathbb{F}_q^\times$ , of all nonzero elements of  $\mathbb{F}_q$  is cyclic, i.e.,  $\mathbb{F}_q^\times \cong Z_{q-1}$ .
- (6) We have the following containments of fields:  $\mathbb{F}_{q^b}$  is (isomorphic to) a subfield of  $\mathbb{F}_{q^a}$  if and only if  $b \mid a$ .
- (7) For any positive integer  $m$ , the lattice of all subfields of  $\mathbb{F}_{q^m}$  that contain  $\mathbb{F}_q$  is the same as the lattice of subgroups of the cyclic group  $Z_m$  (one subgroup for each divisor of  $m$ , with  $\langle a \rangle \leq \langle b \rangle \iff b \mid a$ ). In particular, this describes the lattice of all subfields of  $\mathbb{F}_{p^n}$ .
- (8) The group of all field automorphisms of  $\mathbb{F}_{q^m}$  that act as the identity on  $\mathbb{F}_q$  is a cyclic group of order  $m$  with generator  $\sigma$ , where  $\sigma(a) = a^q$  for every  $a \in \mathbb{F}_{q^m}$ . (This group is called the Galois group of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ , and  $\sigma$  is called the Frobenius automorphism.)
- (9) Every nonzero element of a finite field is a root of unity. Let  $k \in \mathbb{Z}^+$  and write  $k = p^\alpha m$  where  $(p, m) = 1$ . Then  $X^k - 1 = (X^m - 1)^{p^\alpha}$  in the polynomial ring  $\mathbb{F}_q[X]$ . The  $k^{\text{th}}$  roots of unity (in some algebraic closure) are therefore the same as the  $m^{\text{th}}$  roots of 1; and 1 is the only  $p$ -power root of 1. The smallest field containing  $\mathbb{F}_q$  and all  $k^{\text{th}}$  roots of unity is  $\mathbb{F}_{q^t}$  where  $t$  is the smallest positive integer such that  $m \mid (q^t - 1)$ , i.e.,  $t$  is the multiplicative order of  $q$  in  $(\mathbb{Z}/m\mathbb{Z})^\times$ .

*Proof.* (1) Section 13.1; (2) Section 13.5; (3) is a generalization of Fermat's Little Theorem (use Lagrange); (4) is an exercise; (5) Section 9.5; (6), (7) and (9) are exercises in Section 13.5; (8) Section 14.3.  $\square$

## Examples

We can explicitly construct the (unique) finite field of order  $q = p^n$  by finding an *irreducible* polynomial  $f(x)$  in  $\mathbb{F}_p[x]$  of degree  $n$  (one that does not factor), and then forming the quotient ring  $\mathbb{F}_p[x]/(f(x)) \cong \mathbb{F}_{p^n}$ . The irreducibility of  $f(x)$  is essential to ensuring that this quotient ring is a field (has no zero divisors), for the same reasons that  $\mathbb{Z}/N\mathbb{Z}$  is a field if and only if  $N$  is a prime number. There may be different irreducible polynomials of degree  $n$ , but all resulting quotient rings are isomorphic (although a specific isomorphism may not be evident).

Note that although  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ , for  $n \geq 2$  it is *not* true that  $\mathbb{F}_q = \mathbb{Z}/q\mathbb{Z}$  — the latter ring is never a field (it has zero divisors). So do not say “mod  $q$ ” when working in  $\mathbb{F}_q$  in general!

For example,  $x^2 + x + 1$  is irreducible in  $\mathbb{F}_2[x]$  because it has no linear factors: it has no roots in  $\mathbb{F}_2$  by simply plugging in 0 and 1 to see this! Thus  $\mathbb{F}_2[x]/(x^2 + x + 1)$  is the field  $\mathbb{F}_4$  of four elements. Let  $\alpha$  be the coset of  $x$  in this quotient ring. Just like in  $\mathbb{Z}/N\mathbb{Z}$  every element in this field has a “least residue” of the form  $a + b\alpha$ , where  $a, b \in \mathbb{F}_2$ . Addition is componentwise: add like powers of  $\alpha$  and reduce mod 2. Multiplication of polynomials in  $\alpha$  is as usual for polynomial (distributive law) multiplication of polynomials, followed by the “reduction rule” that  $\alpha^2 = \alpha + 1$  (because  $\alpha^2 + \alpha + 1 = 0$  in the quotient ring).

When  $p = 3$  we can similarly construct the field of order 9 as  $\mathbb{F}_3[x]/(x^2 + 1) = \mathbb{F}_9$ . Again  $x^2 + 1$  is irreducible because it has no linear factors (no roots) in  $\mathbb{F}_3[x]$ . With  $\alpha$  again denoting the coset of  $x$  in the quotient, we see that the elements of  $\mathbb{F}_9$  can all be (uniquely) written as  $a + b\alpha$  where now the “reduction rule” for multiplication is  $\alpha^2 = -1$ . Thus  $\mathbb{F}_9$  is analogous to constructing the complex numbers, starting from the base field of real numbers!

If one tried to mimic the same “complex numbers” construction starting instead from  $\mathbb{F}_5$  one would see that  $\mathbb{F}_5[x]/(x^2 + 1)$  is not a field: it has zero divisors! This is because  $x^2 + 1 = (x + 2)(x - 2)$  in  $\mathbb{F}_5[x]$ , that is,  $\mathbb{F}_5$  already contains all fourth roots of unity (note:  $4 \mid (5 - 1)$ ).

The general construction and arithmetic of field extensions (using the Euclidean Algorithm to find inverses) is described in Section 13.1 of Dummit–Foote, with many more explicit examples.