

"Nonabelian analogue": Hodge Thm.

$$X : \text{cpt. Kä. / Sm. proj} \quad (H_{\text{sing}}^k(X, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{R}) \otimes_{\mathbb{R}} \mathbb{C} \xrightarrow{\text{de Rham}} H_{\text{DR}}^k(X, \mathbb{R}) \otimes_{\mathbb{R}} \mathbb{C} \\ \cong H_{\text{harm}}^k(X, g)_{\mathbb{C}} \\ \cong H_{\text{Dol}}^k(X, \mathbb{C})$$

Particularly  $k = 1$

$$H^1(X, \mathbb{C}) \xrightarrow{\text{Hurewicz}} \text{Hom}(\tilde{\pi}_1(X), \mathbb{C})$$

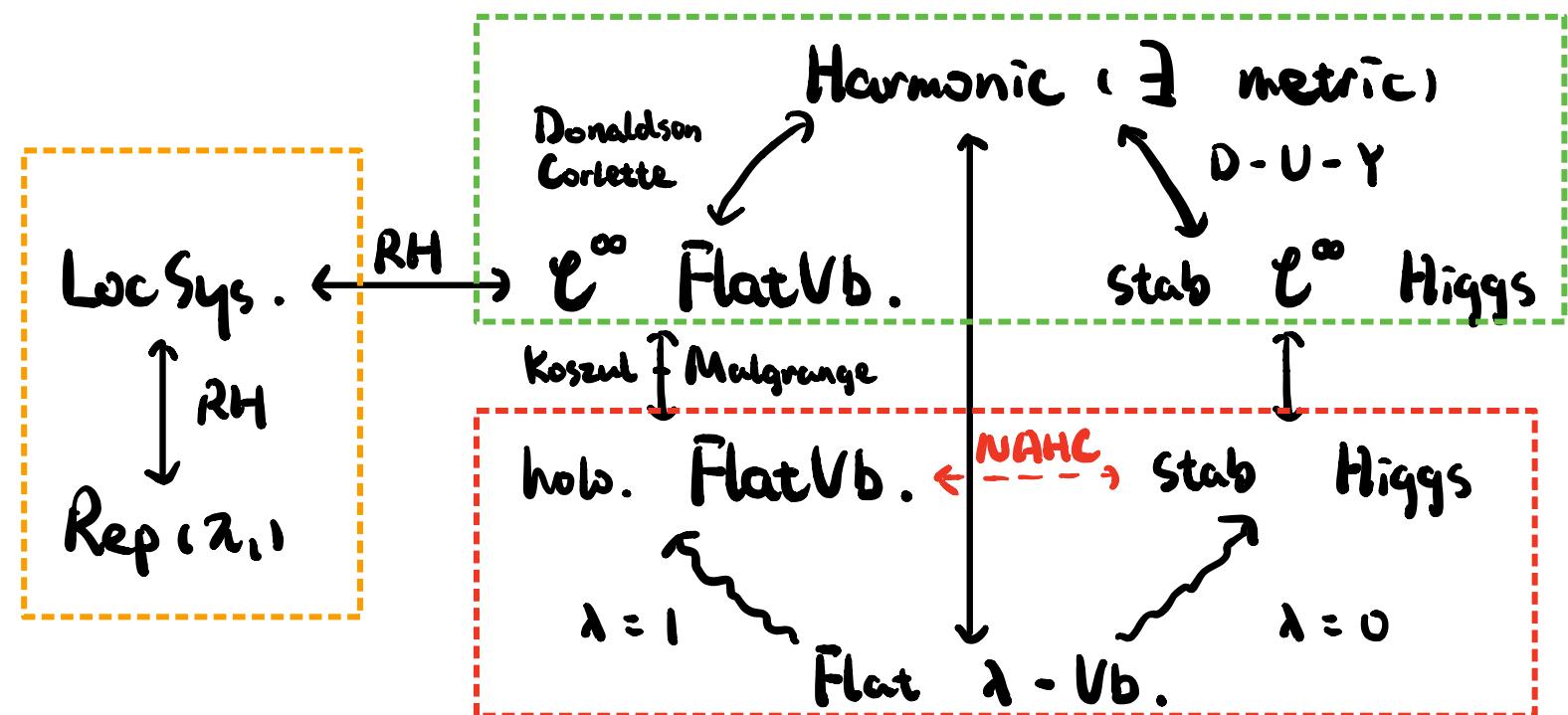
$$= \text{Hom}(\tilde{\pi}_1(X), \text{GL}(1, \mathbb{C}))$$

$$\cong H_{\text{Dol}}^1 = H^1(\Omega_X) \oplus H^0(\Omega_X)$$

$$\text{flat } \mathcal{L}^\infty(V, \nabla) \leftrightarrow (\text{E-holo}, \theta)$$

## General Picture

$H^1_b(X, G)$ $\cong$ $\text{Hom}(\tilde{\pi}_1(X), G)$ $\uparrow$ $G\text{-rep.}$	$H^1_{\text{dr}}(X, G)$ $\cong$ $\text{flat } G\text{-conn.}$	$H^1_{\text{Harm}}(X, G)$ $\cong$ $T$ $G\text{-Higgs bd.}$
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Cpt. case  $G = U(n)$

Thm.

M. S. NARASIMHAN and C. S. SESHADEVI, Stable and unitary bundles on a compact Riemann surface, *Ann. of Math.*, **82** (1965), 540-564.

Seshadri, C.S.: Space of unitary vector bundles on a compact Riemann surface. *Ann. Math.* (2) **85**, 303-336 (1967)

holo.  $E \rightarrow \mathbb{P}_{\mathbb{C}^2, 2} = X$

$\text{Vect}_X^S(r, \deg = 0) \cong \text{Hom}^{\text{irr}}(\lambda_2(X), U(r))$

Mumford : GIT "stable"

Higher dim. via "Diff. geo."

cpt. Kä.

K. Uhlenbeck & S. T. Yau, *On the existence of Hermitian-Yang-Mills connections in stable vector bundles*, Comm. Pure Appl. Math. **34** (1986) 257-293.

Thm. (proj. var.)

S. K. Donaldson, *A new proof of a theorem of Narasimhan and Seshadri*, J. Differential Geometry **18** (1983) 269-277.

S. K. DONALDSON, Anti self dual Yang-Mills connections over complex algebraic surfaces and stable vector bundles, *Proc. London Math. Soc.* (3), **50** (1985), 1-26.

S. K. DONALDSON, Infinite determinants, stable bundles, and curvature, *Duke Math. J.*, **54** (1987), 231-247.

indecomposable  $E$  "stable" iff

" $\exists$ " Hé. metric  $h$ : Chern  $D_h$   
s.t.  $\Lambda_h F_{D_h} = 2\pi i \lambda \cdot \text{Id}_E$ .  $\lambda \in \mathbb{R}$

i.e.

$\text{Vect}_X^S(r, c_{i>1} = 0) \cong \text{UFlat}_X^{\text{irr}}(r) \cong \text{Hom}^{\text{irr}}(\lambda_2(X), U(r))$

General  $G$ ?  $\left\{ \begin{array}{l} \text{Ans: D. C., Hitchin, Simpson ...} \\ \downarrow \end{array} \right.$

Higgs $_X^S(G, c_i = 0) \cong \text{Harm}(G) \cong \text{Hom}^{\text{irr}}(\lambda_2(X), G)$

If  $X$ : nonsing. conn. curve  $g \geq 2$

Construction from GIT:

alg. var.  $N_{r,d} := \{ \text{semistable}^{\text{ss}} \mid \text{rk} = r, \deg = d \}$

Fact "coprime"  $\Rightarrow N_{r,d} \cong N_{YM}$

i.e.  $\gcd(r, d) = 1 \Rightarrow$  "smooth" proj.  $\dim_{\mathbb{C}} = r^2(g-1)+1$

[Atiyah & Bott (1981) Yang-Mills over Rie.]

$$\begin{array}{c}
 N_{YM} = N_{r,d} \xrightarrow[\sim]{e^{\omega}} \{ (A, B_{1 \rightarrow g}) \mid [A_i, B_i] = e^{\frac{2\pi i \sqrt{-1} d}{r} id} \} / U_r \text{ conj.} \\
 \downarrow \\
 \text{hyperK\"ahler} \quad \text{IR-mfd. "complexification"} \\
 M_{Higgs} \cong M_{Dol} := \{ S\text{-equi. ss. Higgs} \} \cong \dots \cong GL_r \\
 \text{Zar. open } U \quad \text{irr. d. coprime} \quad \text{isproj. sch.} \\
 T^* N_{r,d} \quad \text{"smooth" } \dim_{\mathbb{C}} = 2r^2(g-1)+2
 \end{array}$$

Fact (N. Nitsure, Moduli spaces of semistable pairs on a curve, Proc. Lon. Math. Soc. 62 (1991), 275–300)

"since"

$$\begin{aligned}
 T^*_{[E]} N_{r,d}^g &= H^1(\bar{\mathcal{L}}_g, \text{End } E) \\
 &\stackrel{\text{Serre}}{\cong} H^0(\bar{\mathcal{L}}_g, K \otimes (\text{End } E)^*),
 \end{aligned}$$

Generally

$$M_B(GL_n) \xrightarrow{\text{biholo.}} M_{DR}(GL_n) \xrightarrow{\text{homeo.}} M_{Dol}(GL_n)$$

# "Abelian Prototype"

Classical Abel - Jacobi:

$$H_1(X, \mathbb{Z}) \hookrightarrow H^0(X, \Omega_X^1)^* \cong \mathbb{C}^g$$

$$[\gamma] \mapsto (\omega \mapsto \int_{\gamma_i} \omega)$$

$$\Rightarrow \text{Jac}(X) := \frac{H^0(X, \Omega_X^1)^*}{H_1(X, \mathbb{Z})} = \mathbb{C}^g / \mathbb{Z}^{2g}$$

$$\text{Vect}_X(1, \deg = 0) \cong \text{Hom}(\lambda_2(X), \underline{\mathbb{U}(1)})$$

$$\overset{\text{''}}{\text{Pic}}^0(X) \xrightarrow{\text{A.J.}} \text{Jac}(X) = (\overset{\text{''}}{\mathbb{U}(1)})^{2g}$$

**Eq.** (rk = 1)

$$M_B(\bar{\Sigma}_g, 1) = \text{Hom}(\lambda_1(\bar{\Sigma}_g), \mathbb{C}^*) \cong (\mathbb{C}^*)^{2g}$$

$$= (\mathbb{S}^1)^{2g} \times (\mathbb{R}_+^*)^{2g} \text{ affine}$$

*S $\uparrow$  "homeo."*

$$M_{\text{Dol}}(\bar{\Sigma}_g, 1) = \text{Jac}(\bar{\Sigma}_g) \times H^0(\bar{\Sigma}_g, K) \cong T^* \text{Jac}(\bar{\Sigma}_g)$$

$$\downarrow$$

$$H^0(\bar{\Sigma}_g, K) = \mathbb{C}^g$$

$$\text{by } \theta \mapsto (\dots, \exp(-\int_{\gamma_i} (\theta + \bar{\theta})), \dots)$$

**Remark.**

$$M_B(\bar{\Sigma}_g, 1) \underset{T}{\cong} M_{\text{Dol}}(\bar{\Sigma}_g, 1)$$

**not** biholo. / alg. since  $M_B$  affine

## Remark 1. Why "stable"

"jumping" phenomenon

$$\text{Ext}^1(\mathcal{O}_{\mathbb{P}^1}, \mathcal{O}_{(-1)}) = H^1(\mathbb{P}^1, \mathcal{O}_{(-2)}) = \mathbb{C}$$

$$\Rightarrow 0 \rightarrow \mathcal{O}_{\mathbb{P}^1}(-1) \rightarrow V_t \rightarrow \mathcal{O}_{\mathbb{P}^1}(1) \rightarrow 0$$

$$\begin{cases} \mathcal{O} \oplus \mathcal{O} & , t \neq 0 \\ (\mathcal{O}_{(-1)} \oplus \mathcal{O}_{(1)}) & , t = 0 \end{cases}$$

Also "unbounded" ...

Def.  $\bar{E}$  **semistable**

$$\forall E' \subsetneq \bar{E} \quad \mu(E') := \frac{\deg \bar{E}'}{\text{rk } E'} \leq \mu(\bar{E})$$

[Via D.G.]  $\bar{E} \rightarrow X$  with  $V$  1-d-conn.  $\square$

$$\deg E = \int_X [\frac{i}{2\pi} T \cdot \nabla^2] \wedge \omega^{\dim X - 1}$$

$\text{ch}_*(\mathcal{E}) = c_*(E)$

Remark 2. Why  $g \geq 2$

( $g=0$ ) Grothendieck

$$V = \bigoplus \mathcal{O}(a_i), \quad a_1 > a_2 > \dots$$

$\exists \mathcal{O}(a_i) \subseteq V$

$$\text{s.t. } \frac{\deg \mathcal{O}(a_i)}{\text{rk } = 1} = a_i \geq \frac{\deg V}{\text{rk } V} = \frac{\sum a_i}{n} \quad \times$$

( $g=1$ ) Atiyah

indecompo.  $V \rightsquigarrow$  semistable

$\Rightarrow$  stable iff  $(r, d) = 1$

$$N_{n,d}^s = \begin{cases} \bar{E}, & (n, d) = 1 \\ \emptyset, & (n, d) \neq 1 \end{cases}$$

Loring W. Tu  $\rightsquigarrow$

$$N_{n,d}^{ss} = S^h E, \quad h := \gcd(n, d)$$

## Step 1 Riemann - Hilbert

Thm. (Classical RH)

$$\{\text{Rep. } \lambda_1\} \xleftrightarrow{\sim} \{\text{Loc}\} \xleftrightarrow{\sim} \{\text{Flat bd.}\}$$

irreducible

no "p-inv."  $W \subset \mathbb{C}^n$  other than  $\{0\}$  &  $\mathbb{C}^n$

where  $\rho(\gamma)(W) \subset W$ ,  $\forall \gamma \in \pi_1(X)$   
 ↓s

no proper holo. flat subbd.  $(\tilde{E}, D|_{\tilde{E}}) \subset (\mathcal{E}, D)$

Remark.

"smooth"  $A^k(E) := I(X, \Lambda^k T_C^* X, \otimes_C E) \cong \bigoplus_{p+q=k} A^{p,q}(E)$   
 $D$ : flat 2-d-conn. =  $D' + D''$



exists "Dolbeault operator"  $\bar{\partial}_E$ : flat 2- $\bar{\partial}$ -conn.  
 [extension  $A^{p,q}(E) \xrightarrow{\bar{\partial}_E} A^{p,q+2}(E)$  by  $\bar{\partial}a \otimes s + (-1)^{p+q} da \wedge \bar{\partial}_E(s)$ ]

"holo."

$\Omega^k(\mathcal{E}) = \ker(\bar{\partial}_E : A^{k,0}(E) \rightarrow A^{k,1}(E))$

$D$ : flat 2- $d_h$ -conn. ( $D$  - lin.)

where "holo. de Rham"  $0 \rightarrow \mathcal{O}_X \xrightarrow{d_h} \Omega^1 \xrightarrow{d_h} \dots$

Hence

$$\{\text{holo. flat}\} \xleftrightarrow{\sim} \{\text{holo. flat}\}$$

$$(E, \bar{\partial}_E, D := D' + \bar{\partial}_E) \hookleftarrow (\mathcal{E}, D)$$

$$(\bar{E}, \bar{\partial}_E = D'', D = D' + D'') \mapsto (\mathcal{E}, D = D')$$

**Step 2** Harmonic World [  $\partial_x \xrightarrow{d_h} \Omega_x^1 \xrightarrow{\text{"holo. diff."}} \dots$  ]  
**Higgs bundle** "field"  $\in H^0(X, \text{End } \Sigma \otimes \Omega_X^1)$

"holo." description ( $\Sigma, \phi$ : flat  $0-d_h$ -conn.)

$\Sigma \xrightarrow{\phi} \Sigma \otimes_{\mathcal{O}_X} \Omega_X^1 \xrightarrow{\phi \otimes \text{id}} \Sigma \otimes_{\mathcal{O}_X} \Omega_X^1 \otimes_{\mathcal{O}_X} \Omega_X^1 \xrightarrow{\text{id} \otimes (- \wedge -)} \Sigma \otimes_{\mathcal{O}_X} \Omega_X^1$

$\underline{\phi \wedge \phi = 0 \in H^0(X, \text{End } \Sigma \otimes \Omega_X^1)}$

"Smooth" description ( $E, \bar{\partial}_E, \theta$ : flat  $0-\bar{\partial}$ -conn.)

s.t.  $(\bar{\partial})^2 = \bar{\partial}_E + \theta)^2 = 0$

i.e.  $\begin{cases} (\bar{\partial}_E)^2 = 0 \\ \bar{\partial}_E \theta = -\theta \bar{\partial}_E \stackrel{\text{on } \Sigma}{\equiv} 0 \end{cases} \Rightarrow (\theta, E) \rightarrow (\phi, \Sigma)$

Eg. (dim = 1)

$$K_X := T_{1,0}^* X = \Omega_X^1$$

Hence

$$\Sigma := K_X^{2/2} \oplus K_X^{-2/2}, \quad \theta := \begin{pmatrix} q_2 \\ 1 \end{pmatrix}$$

$$\Sigma := K_X^2 \oplus \mathcal{O}_X \oplus K_X^{-2}, \quad \theta := \begin{pmatrix} 2 & q_3 \\ 1 & 1 \end{pmatrix}$$

where

$$q_1 : K_X^{2/2} \rightarrow K_X^{-2/2} \otimes K_X = K_X^{2/2}$$

$$q_2 : K_X^{-2/2} \rightarrow K_X^{2/2} \otimes K_X \in H^0(X, K_X^2)$$

$$q_3 \in H^0(X, K_X^3)$$

In general

$$\Sigma = \text{Sym}^{n-1}(K_X^{2/2} \oplus K_X^{-2/2}) = K_X^{n-2/2} \oplus K_X^{n-3/2} \oplus \dots \oplus K_X^{-n+2/2}$$

$$\theta = \begin{pmatrix} 0 & q_2 & q_3 & \dots & q_n \\ 1 & 0 & q_2 & \dots & q_{n-1} \\ 0 & 1 & 0 & \dots & \vdots \end{pmatrix}$$

$$q_i : K_X^{n-2i+2/2} \rightarrow K_X^{n-2/2} \otimes K_X$$

$\forall \mathcal{C}^\infty : \bar{E} \rightarrow X$ ,  $\exists$  "hermitian metric"  $h$

$$A^0(X, E) \times A^0(X, E) \rightarrow A_c^0(X, \wedge^{p+q})$$

extend  $A^p(X, E) \times A^q(X, E) \rightarrow A_c^{p+q}(X)$

$$(\alpha \otimes u, \beta \otimes v) \mapsto \alpha \wedge \bar{\beta} \otimes h(u, v)$$

"Chern connection" s.t.  $\exists!$   $D_h := \partial_h + \bar{\partial}_E$

$$d(h(u, v)) = h(D_h(u), v) + h(u, D_h(v))$$

with  $\exists!$   $\partial_h$ : 2-d-conn. of type  $(1, 0)$

2.1 For Higgs  $(\bar{E}, \bar{\partial}_E, \theta, h : \text{Herm.})$

Defining "adjoint" of type  $(0, 1)$   
i.e.  $h(\theta(u), v) = h(u, \theta^*(v))$

pluri-harmonic metric "h"

$\mathcal{C}^\infty$  1-d-conn.  $D_h := \partial_h + \theta + \theta^*$   
 $= \partial_h + \bar{\partial}_E + \theta + \theta^*$  flat  
 Hitchin's SDE  $\left\{ \begin{array}{l} \bar{\partial}_h^2 = -[\theta, \theta^*] \\ \bar{\partial}_h \theta = 0 \end{array} \right. \quad (\bar{F}_h = 0)$

$\leadsto$  harmonic Higgs  $(\bar{E}, \bar{\partial}_E, \theta, h)$

Harmonic metric "h"

$$\bar{F}_h := (D_h)^2$$

$$\underline{\Delta_\omega}(\bar{F}_h) = 0 \quad \text{w.r.t. } \text{Kä } \omega$$

where "contraction" is dual of Lefschetz  
i.e.  $h(\Delta_\omega \alpha, \beta) := h(\alpha, \beta \wedge \omega)$

Herm - Yang - Mills (Herm - Einstein) metric

$$\Lambda_\omega(\bar{F}_h) = \frac{\lambda}{\zeta} \cdot \text{Id}_{\mathcal{E}}$$

$$\zeta \text{ "topo. const."} = -2\pi i \frac{\deg E}{\text{rk } E \cdot \text{Vol}_\omega(E)}$$

Since  $\det(i\text{id} + \frac{d-1}{2\lambda} \bar{F}) = 1 + C_1(\bar{E}) + C_2(\bar{E}) + \dots$

Hence

pluri-harmonic  $\Leftrightarrow$  harmonic  $\Leftrightarrow$  Hermitian-Einstein  
 $(\text{ch}_2(E) = 0)$        $(C_1(E) = \text{ch}_1(E) = 0)$

"sketch"

$$\int_X \text{ch}_2(\bar{E}) \wedge \frac{\omega^{\dim X = m-2}}{(m-2)!} = \frac{1}{8\pi^2} \int_X \text{Tr}(\bar{F}_h \wedge \bar{F}_h) \wedge \frac{\omega^{m-2}}{(m-2)!}$$

$$= \frac{1}{8\pi^2} (\|\bar{F}_h\|^2 - \|\Lambda_\omega(\bar{F}_h)\|^2)$$

Particularly

$$\dim = 1 \Rightarrow \text{pluri-harmonic} = \text{harmonic}$$

2.2 For Flat  $(\bar{E}, \bar{D} \stackrel{?}{=} D_h + \bar{\Phi}_h, h)$   
 unitary conn.  $\xrightarrow{\text{self-adj.}} A^2(X, \text{End}(E))$

with

$$h(\bar{\Phi}_h(u), v) := \frac{1}{2} (h(Du, v) + h(u, Dv) - dh(u, v))$$

Decompose by types :

$$D_h = \partial_h + \bar{\partial}_h, \quad \bar{\Phi}_h = \varphi_h + \varphi_h^*$$

Rearrange

$$D_h := \partial_h + \varphi_h^*, \quad D_h' := \bar{\partial}_h + \varphi_h; \quad \frac{1}{2} - \bar{\partial} - \text{conn.}$$

$\rightarrow$  pseudo-curvature

$$G_h := (D_h)^2$$

Similarly def.

pluri-harmonic metric  $C_h = 0$

$\Rightarrow$  Higgs  $(E, \bar{\partial}_h, \varphi_h)$  harmonic flat bd.

Moreover

harmonic metric  $\Lambda_w C_h = 0$

Claim X : cpt. Kähler

$h_{\text{DR}}$  "pluri-harmonic" iff  $h_{\text{DR}}$  "harmonic"

Pf.

$D = d' + d'' \stackrel{?}{=} d' + \delta_h'' \quad \& \quad d'' + \delta_h' \quad \text{unitary}$

put  $\partial_h := \frac{1}{2}(d' + \delta_h')$   $\bar{\partial}_h := \frac{1}{2}(d'' + \delta_h'')$   
 $\varphi_h := \frac{1}{2}(d' - \delta_h')$   $\varphi_h^* := \frac{1}{2}(d'' - \delta_h'')$

Then  $(D_h')^2 = -(D_h'')^2 \quad \nmid \Rightarrow D_h'' D_h' + D_h' D_h'' = 0$   
 $D^2 = (D_h' + D_h'')^2 = 0$

$$\Rightarrow (D_h^c := D_h'' - D_h')^2 = 0$$

$$\begin{aligned} \text{by } C_h &= (D_h'')^2 = \frac{1}{4}(D_h^c D + D D_h^c) \\ &= \frac{1}{2} D (\varphi_h - \varphi_h^*) \end{aligned}$$

$\Rightarrow$  Bianchi identities  $\left\{ \begin{array}{l} D_h^c C_h = [D_h^c, C_h] = 0 \\ D C_h = [D, C_h] = 0 \end{array} \right.$

Apply to Kä id.  $D^* C_h = \sqrt{-1} [\Lambda_w, D_h^c] C_h = 0$  (by  $\Lambda_w C_h = 0$ )

Consequently  $\|C_h\|_E^2 := \int_X \langle C_h, C_h \rangle \frac{\omega^n}{m!}$   
 $= \frac{1}{2} \int_X \langle \varphi_h - \varphi_h^*, D^* C_h \rangle \dots = 0$

i.e.  $\underline{C_h = 0}$

□

**Remark.**

flatness  $\nabla^2 = 0$  more "rigid" than  
Higgs  $(D_h'' = \bar{\partial}_E + \theta)^2 = 0$

**Thm 0.** ( $Dol^{Harm.} \cong De Rham$ )

{Harmonic Higgs}  $\xrightarrow{\sim}$  {Harmonic flat}

$$(\bar{E}, \bar{\partial}_E, \theta, h) \mapsto (\bar{E}, \bar{\partial}_E, D_h := (\bar{\partial}_E + \partial_h) + \theta + \theta^*, h)$$

$$(\bar{E}, \bar{\partial}_h, \varphi_h, h) \leftrightarrow (\bar{E}, \nabla = D_h + \bar{\Phi}_h, h), (D_h'')^2 = 0$$

Moreover preserve operation

$\oplus$ ,  $(\cdot)^\vee$ ,  $\otimes$  and functorial  $f^*$

**Pf.**

Obj. : ✓

Mor.: **Claim**  $H_{Dol}^0(E, D_h'') \cong H_{DR}^0(\bar{E}, D_h)$

i.e.  $D_h'' s = 0$  iff  $D_h s = 0$

$$\Rightarrow \|D_h'(s)\| = \int_x \langle (D_h')^* D_h' s, s \rangle \frac{\omega^n}{n!} \\ \stackrel{\text{def}}{=} \int \langle -i \Lambda_w D_h' D_h'' s, s \rangle \frac{\omega^n}{n!} = 0$$

hence  $\nabla s = (D_h + D_h'') s = 0$

$$\Leftarrow \|D_h^c\|^2 \stackrel{\text{def}}{=} \int_x \langle -i \Lambda_w D_h D_h^c s, s \rangle \frac{\omega^n}{n!} = 0 \\ \text{since } D_h^c D_h = -D_h D_h^c \\ \text{then } D_h'' s = \frac{1}{2} (D_h + D_h^c) s = 0$$

□

### Step 3 DG : $\exists$ Metric = AG : Stability

Higgs Slope - (semi)stable

$\Theta$  ( $\phi$ -inv.)  $\bar{F} \not\cong E$  i.e.  $\underline{\Phi(F) \subset F \otimes \Omega_X^1}$

torsion free coh.  $\rightarrow$  with  $0 < \text{rk } \bar{F} < \text{rk } E$

s.t.  $\mu(F) \leq \mu(E)$

Slope - polystable

$F = \bigoplus \bar{F}_i$  : stable s.t.  $\mu(F_i) = \mu(F)$

Eg.

• ( $g=0$ )  $V = \bigoplus \mathcal{O}(a_i)$ ,  $a_1 > a_2 > \dots$

$\Phi = \begin{pmatrix} 0 & & & \\ \vdots & \ddots & & \\ & & 0 & \\ 0 & \dots & 0 & \end{pmatrix}$  since  $a_{i,j} = H^0(\mathcal{O}(a_i - a_j - 2)) = 0$

$\exists \Phi$ -inv. :  $(\mathcal{O}(a_i), 0)$

$\mu(\mathcal{O}(a_i)) = a_i > \mu(V)$

$\times$

• "Trivial"

previous  $(k^{\frac{1}{2}} \oplus k^{-\frac{1}{2}}, (L_1)^q)$  ...

•  $L g = 1 \Rightarrow K_X = \mathcal{O}_X : \text{rk} = 2$

"decomposable"  $V = L_1 \oplus L_2$ ,  $\Phi = \begin{pmatrix} a & b \in H^0(L_2^* L_1) \\ c & -a \end{pmatrix}$

assume  $\deg(L_2^* L_1 : \text{nontrivial}) \geq 0 \rightarrow c = 0$

but  $\deg L_1 \geq \frac{1}{2} \deg(L_1 L_2)$   $\times$

Else  $L_1 \cong L_2$  a. b. c const.

with  $\deg = 0$  eigenspace  $\times$

"indecomposable" Fix  $\deg = 1 : A \in \text{Pic}(X)$   
 $\Rightarrow \mathcal{E}_{(2,d)} \xrightarrow{\sim} \mathcal{E}_{(2,d+2)}$   
 $V \mapsto V \otimes A$

### i. $\mathcal{E}_{(2,0)}$

extension  $0 \rightarrow \Theta \hookrightarrow V \rightarrow \Theta \rightarrow 0$   
 $\Phi$  inv. s.t.  $\deg \Phi = 0 = \frac{1}{2} \deg(\Lambda^2 V)$   $\times$

### ii. $\mathcal{E}_{(2,1)}$

extension  $0 \rightarrow \Theta \hookrightarrow V \rightarrow \Theta_{(1)} \rightarrow 0$   
Only stable pair  $(V, \Phi)$   $\checkmark$

- $\text{rk } V = 2$ ,  $\Sigma_{g \geq 2}$ ,  $(V, \Phi)$  stable

Hitchin 86 prop. 3.3

- (i)  $V$  is stable;
- (ii)  $V$  is semi-stable and  $g > 2$ ;
- (iii) if  $V$  is semi-stable and  $g = 2$  then  $V \cong U \otimes L$  where  $U$  is either decomposable or an extension of the trivial bundle by itself;
- (iv)  $V$  is not semi-stable and  $\dim H^0(M ; L_V^{-2}K \otimes \Lambda^2 V)$  is greater than 1, where  $L_V$  is the canonical subbundle;
- (v)  $V$  is decomposable as

$$V = L_V \oplus (L_V^* \otimes \Lambda^2 V) \quad \text{and} \quad \dim H^0(M ; L_V^{-2}K \otimes \Lambda^2 V) = 1.$$

### Remark

"Betti" side

$$\pi_1(E) \cong \mathbb{Z} \times \mathbb{Z} \rightarrow GL_n$$

$$ab = ba \mapsto \begin{cases} \deg = 0 & AB = BA \\ \deg = 1 & AB = -BA \end{cases} \rightarrow \begin{array}{l} \text{shared eigenvect.} \\ \text{no "irr. rep."} \end{array}$$

**Thm 1. (DUY, KH corr.)**

Higgs  $(\bar{E}, \bar{\partial}_E, \theta)$   $\exists$  "pluri-harm."  $h$   
iff polystable +  $C_1 = c_{H_2} = 0$   
(Such "h" unique up to scalar mult.)

**Sketch**

$\Rightarrow$  Only check "saturated" subshf.  $\tilde{\mathcal{E}} \leq \mathcal{E}$

$$\text{i.e. } 0 \rightarrow \tilde{\mathcal{E}} \rightarrow \mathcal{E} \rightarrow \mathcal{E}/\tilde{\mathcal{E}} \rightarrow 0$$

$\mu(\mathcal{E}) \subset \mu(\mathcal{E}/\tilde{\mathcal{E}})$  "tor.-free"

Canonical  $\mathcal{L}^\infty$ -vectbd. splitting w.r.t.  $h$

outside codim  $\text{Sing}(\mathcal{E}/\tilde{\mathcal{E}}) \geq 2$

$$0 \rightarrow \tilde{\mathcal{E}} \xrightarrow{\pi} \mathcal{E} \cong \tilde{\mathcal{E}} \oplus Q \xrightarrow{\text{Id} - \lambda} Q := \mathcal{E}/\tilde{\mathcal{E}} \rightarrow 0$$

$\forall u = \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \in A^0(x, \mathcal{E})$

$$\text{write } D_h \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \left( \begin{array}{cc} \lambda \circ D_h & \beta \in \mathfrak{f}'(\text{Hom}(Q, \tilde{\mathcal{E}})) \\ \alpha \in \mathfrak{f}'(\text{Hom}(\tilde{\mathcal{E}}, Q)) & (\text{Id} - \lambda) \circ D_h \end{array} \right) \begin{pmatrix} u_1 \\ u_2 \end{pmatrix}$$

compute that  $\alpha = D'_h(x)$ ,  $\beta = -D''_h(x)$

put  $D_{\tilde{\mathcal{E}}} := \pi \circ D_h$

$$\Rightarrow x \circ \tilde{F}_h \circ x = F_{D_{\tilde{\mathcal{E}}}} - D''(x) \circ D'_h(x)$$

Hence

$$\begin{aligned} \deg \tilde{\mathcal{E}} &= \frac{i}{2\pi} \int_X \text{Tr}(F_{D_{\tilde{\mathcal{E}}}}) \wedge \frac{\omega^{m-1}}{(m-1)!} \\ &= \frac{i}{2\pi} \left( \int_X \text{Tr}(x \circ F_h) \wedge \bullet - \int_X \text{Tr}(D''(x) \circ D'_h(x)) \wedge \bullet \right) \\ &= - \|D''(x)\|^2 \leq 0 \end{aligned}$$

"=" iff  $D''(x) = (\bar{\partial}_E + \theta)(x) = 0$

i.e.  $\Theta$ -inu. hol. decom.  $\Sigma = \tilde{\tau} \oplus Q$

$\rightsquigarrow \Sigma = \bigoplus (E_i, \bar{\partial}_{E_i}, \theta_i)$  polystable

$\Leftarrow$  [Hard:]

fixed  $H^{(0)} := K \in \text{Herm}(\tilde{E})$

$H(t) := K \cdot h(t)$ ,  $h(t) \in \text{Aut}(E)$  s.t.  $h(t) = (h(t))^{*K}$

"Donaldson func."

$M : \text{Herm}(\tilde{E}_1) \times \text{Herm}(\tilde{E}_1) \rightarrow \mathbb{R}$

$$(H, K) \mapsto \int_0^1 \int_X \text{Tr}(\bar{d}-1 \Lambda_{\omega} \tilde{F}_H \cdot H^{-1} \frac{\partial H}{\partial t}) \frac{\omega^n}{n!} dt$$

Fact (path idp.)

$$\begin{cases} H_0(\omega) = H_1(\omega) = K \\ H_0(\cdot) = H_1(\cdot) \end{cases} \Rightarrow M(H_0, K) = M(H_1, K)$$

$$\det H_0 = \det H_1 = \det K$$

$\rightsquigarrow$  Choose explicit path  $H(t) = K e^{ts}$

$$\text{s.t. } \text{Tr}(s = H^{-1} \frac{\partial H}{\partial t}) = 0$$

Along "Donaldson heat flow"

$$H^{-1} \frac{\partial H}{\partial t} = -\bar{d}-1 \Lambda_{\omega} \tilde{F}_H$$

$$\begin{aligned} \frac{d}{dt} M(H, K) &= - \int_X \text{Tr}(\bar{d}-1 \Lambda_{\omega} \tilde{F}_H \cdot \bar{d}-1 \Lambda_{\omega} \tilde{F}_H) \frac{\omega^n}{n!} \\ &= - \| \Lambda_{\omega} \tilde{F}_H \|_{L^2}^2 < 0 \end{aligned}$$

Particularly

$$M(K, K) = 0$$

## Drop. (Simpson estimate)

(1988) Constructing VHS using Y-M theory  
and app. to uniformization prop. 5.3.)

For  $H = ke^s$  s.t.  $\text{Tr}(S_1) = 0$ ,  $\sup_{x_i} |S_1| < \infty$

stable  $(E, \bar{\partial}_E, \theta)$ ,  $\sup_{x_i} |\Lambda_w F_H| \leq C$

$$\Rightarrow \sup_{x_i} |S_1| \leq C_1 + C_2 M(H, K)$$

sketch

$$\|S_{ij}\| \geq C_i M(K, H_i), \quad C_i \rightarrow \infty$$

subseq.  $S_{ij} \rightarrow \lambda := S_\infty \in W^{1,2}(X, \text{End}(E))$

{1st deri  $L^2$ -integrable}

s.t.  $\begin{cases} \lambda^2 = \lambda^* = \lambda & \text{ortho. proj.} \\ (\bar{id} - \lambda) \circ \bar{\partial}_E(\lambda) = 0 & \text{holo.} \end{cases}$

$$\Downarrow U-Y$$

$\exists$  wh.  $\tilde{F} \subset E$  with  $\text{codim}_{\mathbb{C}}(S : \text{sing}) \geq 2$

s.t.  $\begin{cases} \lambda \in \mathcal{L}^\infty(X/S, \text{End}(\tilde{E})) \\ \lambda^2 = \lambda^* = \lambda \quad \& (\bar{id} - \lambda) \circ \bar{\partial}_E(\lambda) = 0 \text{ on } X/S \\ \tilde{F}|_{X/S} = \lambda_{X/S} (\tilde{E}|_{X/S}) \stackrel{\text{holo.}}{\leq} E|_{X/S} \end{cases}$

Apply in Higgs  $\bar{\partial}_E \rightarrow D'' = \bar{\partial}_E + \theta$

Check

$$\underline{\mu(\tilde{F})} \geq \mu(E) !$$

$\leftarrow$  "destabilize"

□

$$\frac{d}{dt} |\Delta_w \bar{F}_H|^2 = 2\delta^{-1} \text{Tr}(\Delta_w \cdot F_H) \cdot \Delta_w D'' D'_H (\Delta_w F_H)$$

$$\Delta'_w |\Delta_w \bar{F}_H|^2 = -\partial^* \partial \text{Tr}(\Delta_w \bar{F}_H \cdot \Delta_w \bar{F}_H)$$

↓

$$(\frac{d}{dt} + \Delta'_w) |\Delta_w \bar{F}_H|^2 = -2|D''(\Delta_w \bar{F}_H)|^2 \leq 0$$

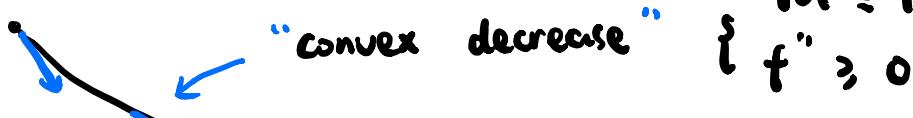
$\left\{ \begin{array}{l} \text{parabolic PDE} \\ \text{uniformly bd.} \end{array} \right.$

$$\sup_x |\underline{\Delta_w \bar{F}_H}| \leq M$$

Moreover

$$\begin{aligned} \frac{d^2}{dt^2} M(H, K) &= \frac{d}{dt} \int_X \text{Tr}(\Delta_w \bar{F}_H \cdot \Delta_w \bar{F}_H) \frac{\omega^m}{m!} \\ &= 2 \int_X |D''(\Delta_w \bar{F}_H)|^2 \frac{\omega^m}{m!} \geq 0 \end{aligned}$$

$$f(0) = M(H, K) = 0$$



$$\begin{cases} -M \leq f' \leq 0 \\ f'' \geq 0 \end{cases}$$

Simpson lower bd.

$$t \rightarrow \infty, \quad \frac{d}{dt} M(H, K) = -\|\Delta_w \bar{F}_H\|_{L^2}^2 \rightarrow 0$$

$$\exists s_i \xrightarrow{\text{wk cov.}} s_\infty \in W^{2,p} \xrightarrow[\text{regularity}]{\text{elliptic}} \mathcal{C}^\infty \ni H_\infty = k \cdot e^{s_\infty}$$

**Supply Fact (Existence)**

$$K \in \text{Herm}(\bar{E}) \quad \text{s.t.} \quad |\Delta_w(F_K)| \leq C$$

$\Rightarrow \exists!$  sol.  $H(t)$  : Donaldson heat flow

$$\text{s.t.} \quad \det H = \det K, \quad H + t \in \mathbb{R}$$

□

Similarly

**Thm 2.**

flat  $(\bar{E}, \bar{\nabla})$  "pluri-harm." iff semisimple  
sketch ( $\Leftarrow$ )

$$M(H, K) := \int_0^1 \int_{\mathbb{R}^n} \text{Tr}(\bar{d}-1 \Delta_w C_K \cdot H) \frac{\partial H}{\partial t} \frac{w^n}{m!} dt$$

almost same

- $M(H, 0) = K, K_1 = 0$
- $\frac{d}{dt} M(H(t), K_1) = -2 \| \Delta_w(C_H) \|_{L^2}^2 < 0$
- $\sup_x \| \Delta_w(C_H) \| \leq C \quad \Rightarrow \sup_x |S| \leq C_1 + C_2 M(H, K_1)$
- Along flow  $\frac{d^2}{dt^2} \text{convex}$

$\Downarrow t \rightarrow \infty, \frac{d}{dt} M(H(t), K_1) \rightarrow 0$  up to subseq.

S.t.n) weakly cov. to  $s_\infty \in W^{1,p}$   
elliptic regularity  $h_\infty := e^{s_\infty} \in C^\infty$

$H_\infty := K \cdot h_\infty$  pluriharmonic

( $\Rightarrow$ )

$$(\bar{E}, \bar{\nabla} = \bar{\partial}_E + \partial_h + \theta + \theta^*, \xrightarrow{\text{Thm 1.}} \bigoplus (\bar{E}_i, \bar{\partial}_{E_i}, \theta_i))$$
$$\rightarrow (\bar{E}, \bar{\nabla}) = \bigoplus (\bar{E}_i, \bar{\nabla}_i := \bar{\partial}_{E_i} + \partial_{h_i} + \theta_i + \theta_i^*)$$

# Further Generalization

$\lambda$ -connection ( $\lambda \in \mathbb{C}$ )

• holo.  $(\Sigma, D^\lambda : \Sigma \rightarrow \Sigma \otimes \Omega_x)$  s.t.

" $\lambda$ -twisted Leibniz":

$$D^\lambda(fs) = f D^\lambda s + \underline{\lambda} s \otimes df$$

"flat"  $(D^\lambda + \bar{\partial}^{\lambda^2} = 0)$

•  $\mathcal{C}^\infty(\bar{E}, \bar{\partial}_E, D^\lambda : A(x, E) \rightarrow A'(x, E))$

s.t.  $D^\lambda(fs) = f D^\lambda s + \lambda s \otimes \bar{\partial}f + s \bar{\partial}_E f$

"flat"  $(D^{\lambda^2} = 0)$

Particularly

$$\lambda = \begin{cases} 0 & \leadsto \text{Higgs} \\ 1 & \leadsto \text{usual flat} \end{cases}$$

Mochizuki (2009)

K-H correspondence for tame harm. bd. II

**Thm 3.**

$\lambda$ -flat  $(\bar{E}, D_\lambda)$  ( $\lambda \neq 0$ ) pluri-harm. iff  
polystable +  $C_i = 0$

# Another Approach

( $m = 1$ ,  $rk = 2$ ) Donaldson (1987)

Twisted harmonic maps and self-duality eq.

(General) Corlette (1988)

Flat  $G$ -bd. with canonical metrics

sketch

Step 1.

monodromy  $\rho : \pi_1(X) \rightarrow GL_n(\mathbb{C})$

↓ RH

flat vb. ( $E := \tilde{X} \times_{\rho} \mathbb{C}^n$ ,  $\nabla := d$ ,

$h \in \text{Herm}(E, \nabla)$ , iff  $\rho$ -equi.  $h_p : \tilde{X} \rightarrow GL_n(\mathbb{C})$ ,

i.e.  $h_p(y) \cdot \tilde{x}_1 = \rho(y) \cdot h_p(\tilde{x}_1)$

$$= (\rho(y)^{-1})^* h_p(\tilde{x}_1) \rho(y)^{-1}$$

since

$P(X, E) = \{\mathcal{C}^\infty \text{ p-equi. } \tilde{X} \xrightarrow{\sim} \mathbb{C}^n\}$

Def.

$$h(u_1, u_2)(\tilde{x}_1) := \langle u_1(\tilde{x}_1), h_p(\tilde{x}_1) u_2(\tilde{x}_1) \rangle_{\mathbb{C}^n}$$

Recall  $(E, h, \nabla) \stackrel{?}{=} D_h + \Phi_h$

unitary conn.  $\stackrel{?}{=} A^2(X, \text{End}(E))$  self-adj.

Direct compute  $\Phi_h = -\frac{1}{2} h_p dh_p$

Hence  $\exists! h_p$  dp. on  $h$  only

Step 2.

$h_p : \tilde{X} \rightarrow GL, V$  harmonic  
iff  $h$  harmonic i.e.  $\Delta_w c_n = 0$

sketch

$h_p$  harmonic  $\Rightarrow E(h_p) = \int_X |dh_p|^2 dvol = E_{\min}$

Siu-Sampson  $E-L$  eq.  $D^{0,0}(dh_p) = 0$ ,  $D := h_p^* D_{T(GL, V)}^{0,0}$

perturb  $\tilde{h} := h \cdot e^{S(t)}$ ,  $e^{S(t)} \in \text{Aut}(E)$ ,  $S(0) = 0$

denote  $\begin{cases} \delta_{\tilde{h}}' = \delta_h' + \delta_h'(s) \\ \delta_{\tilde{h}}'' = \delta_h'' + \delta_h''(s) \end{cases}$

$$\Rightarrow \frac{1}{4} E_{\tilde{h}_p} = \int_X \langle \Psi_{\tilde{h}} + \Psi_{\tilde{h}}^*, \Psi_{\tilde{h}} + \Psi_{\tilde{h}}^* \rangle \frac{\omega^m}{m!}$$

$$= \int_X |\tilde{\Phi}_h| dvol - \int_X \langle (\delta_h')^*(\Psi_h) + (\delta_h'')^*(\Psi_h^*), s \rangle \\ + \frac{1}{4} \int_X |\delta_{\tilde{h}}'(s) + \delta_{\tilde{h}}''(s)|^2 dvol$$

Hence  $E-L$  eq.  $\Leftrightarrow (\delta_h')^*(\Psi_h) + (\delta_h'')^*(\Psi_h^*) = 0$   
 $\Downarrow$  Kä id.

$$0 = \Delta_w (d'' \Psi_h - d' \Psi_h^*) = 2 \Delta_w c_n$$

□

### Step 3.

$h_p : \tilde{X} \rightarrow GL(V)$  harmonic

iff  $\rho : \pi_1(\tilde{X}) \rightarrow GL(n; \mathbb{C})$  semisimple

Remark. (General)

$\rho : \pi_1(\tilde{X}) = \text{cpt. Rie. 1} \xrightarrow{\text{"semisimple"}} G : \mathbb{C}\text{-reductive}$

i.e.  $H \dim < \infty$  rep.  $G \xrightarrow{\mathbb{C}} GL(V)$ ,

$C \circ \rho : \pi_1(\tilde{X}) \rightarrow GL(V)$ , ss.

iff  $\rho$ -equi.  $h_p : \tilde{X} \rightarrow G/K$ : max cpt. "harmonic"

### Sketch

Consider  $\rho$ -equi. family  $h_{p,t} : \tilde{X} \rightarrow GL(V)$   
 satisfy heat flow  $\begin{cases} \frac{dh_{p,t}}{dt} = - D^{0,1} dh_{p,t} \\ h_{p,0} = h_p \end{cases}$

Fact  "Elliptic-Sampson tech."

- $\exists$  long time sol.  $h_{p,t}$
- energy density uni. bd.  
i.e.  $\sup_{\tilde{x}, t} \|dh_{p,t}\|_{\tilde{x}}^2 \leq C$
- $\sup_{\tilde{x}} |h_{p,t}(\tilde{x})| \leq C$ ,
- $\lim_{t \rightarrow \infty} \|D^{0,1} dh_{p,t}\|_{L^2}^2 = 0$

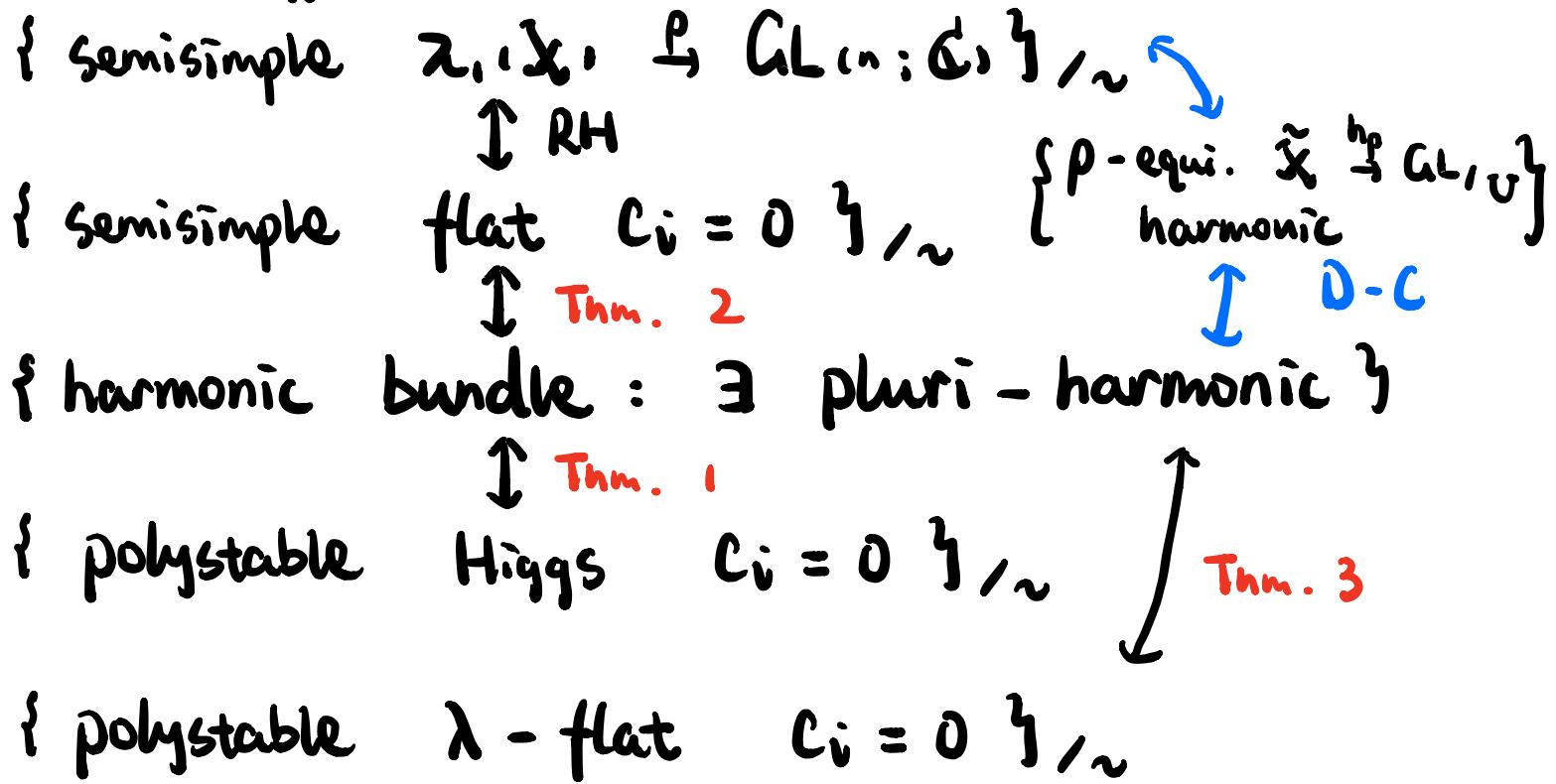
Applying Arzela - Ascoli thm.

$h_{p,t_n} \xrightarrow{\text{cov}} h_{p,\infty}$  s.t.  $D^{0,1} dh_{p,\infty} = 0$   
 $\underset{\substack{\text{elliptic} \\ \text{reg.}}}{h_{p,\infty}} \in \mathcal{E}^\infty$



To sum up

**Cor. (NAHC)**



**Remark.**

Simpson (proj.)  
Nie Yanci - Zhang Xi (Kä)  
 $\downarrow$  extend  
{ semistable Higgs  $c_i = 0 \}$   $\cong$  { flat Ub. }