

# Lecture 1

## PART I Introduction to nonabelian Hodge

### § 1. 0<sup>th</sup> Motivation (Example: m-flds with 2 diff. alg. structures)

- ✓ X smooth proj. curve /  $\mathbb{C}$  genus  $g$  ✓
- cpt. Riemann surface

$$g=1 \quad E = \mathbb{C}/\mathbb{Z} \oplus \mathbb{Z}\tau \quad \text{elliptic curve}$$

$$\begin{array}{c} T^*E \cong E \times \mathbb{C} \\ \Downarrow (\mathbb{C}^*)^2 \cong \text{Hom}(\pi_1(E), \mathbb{C}) =: M_B(E, 1) \\ \text{Model}(X, 1) \quad \mathbb{C}_2 \oplus \mathbb{C}_2 \quad \mathbb{R}^2 \\ \Downarrow (\mathbb{C}^*)^2 \quad \text{affine variety} \\ \text{fibers proj} \\ \mathbb{C} \end{array}$$

$$g \geq 2 \quad K_X = -S_X^{-1} \quad \text{canonical line bundle}$$

$$\begin{array}{c} \text{Pic}^0(X) \times \underbrace{H^0(X, K_X)}_{\mathbb{C}^g \cong \mathbb{R}^{2g}} \cong (\mathbb{C}^*)^{2g} \cong \text{Hom}(\pi_1(X), \mathbb{C}^*) = M_B(X, 1) \\ \text{Model}(X, 1) \quad \text{affine} \\ \text{quasi-proj} \quad \text{Pic}^0(X) \quad \text{iso classes of line bundles over } X \text{ of deg } 0 \\ \cong H^1(X, \mathbb{Q}) / H^1(X, \mathbb{Z}) \\ \cong H^1(X, \mathbb{R}) / H^1(X, \mathbb{Z}) \\ \cong (S^1)^{2g} \\ \text{"Higgs bundles"} \quad \longleftrightarrow \quad \text{"local systems"} \end{array}$$

### § 2. 1<sup>st</sup> Motivation: nonabelian analogue of Hodge theory

- X smooth comp. mfd.  $\dim_{\mathbb{C}} X = m$

$\rightsquigarrow$  3 information:

(1) topological world:

$X^{\text{top}}$ : underlying top. space by forgetting all extra str.

(2) differential world:

$X_{\mathbb{R}}$ : forgetting complex str. looking as a real mfd of dim 2n

(3) holo./analytic world:

$X$ , picking up all str.

$\Rightarrow$  3 kinds of coh. gps.

(1) Betti coh.

$$H_B^k(X, \mathbb{Z}) := H^k(X^{\text{top}}, \mathbb{Z})$$

$\xrightarrow{k\text{-th singular coh. gp.}}$

(2) de Rham coh.

$$H_{dR}^k(X, \mathbb{R}) := H^k(X_{\mathbb{R}}, \mathbb{R})$$

$$:= H^k(C_{\text{dR}})$$

$$C_{\text{dR}}: 0 \rightarrow A^0(X, \mathbb{R}) \xrightarrow{d} A^1(X, \mathbb{R}) \xrightarrow{d} A^2(X, \mathbb{R}) \rightarrow \dots$$

(3) Dolbeault coh.

$$H_{\text{Dol}}^k(X, \mathbb{C}) := \bigoplus_{p+q=k} H^{p,q}(X)$$

$$:= \bigoplus_{p+q=k} H^q(C_{\text{dR}}^{p,\cdot})$$

$$C_{\text{dR}}^{p,\cdot}: 0 \rightarrow A^{p,0}(X) \xrightarrow{\bar{\partial}} A^{p,1} \rightarrow \dots$$

Thm (Main thms in Hodge theory)

(1)  $\forall \mathbb{R}$

$$H_B^k(X, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{R} \cong H_{dR}^k(X, \mathbb{R})$$

$$(2) \quad \forall p, q \quad H^{p,q}(X) \cong H^q(X, \Omega_X^p)$$

$\uparrow$   
sheaf of holomorphic  $p$ -forms on  $X$

(3)  $X$  cpt. kähler  $\forall \mathbb{R}$

$$\begin{aligned} H_{dR}^k(X, \mathbb{R}) \otimes_{\mathbb{R}} \mathbb{C} &\cong H_{dR}^k(X, \mathbb{C}) \\ &= \bigoplus_{p+q=k} H^{p,q}(X) \end{aligned}$$

Taking  $\mathbb{R} = 1$ :

$$H_{dR}^1(X, \mathbb{C}) = H^1(X, \Omega_X) \oplus H^0(X, \Omega_X^1)$$

is

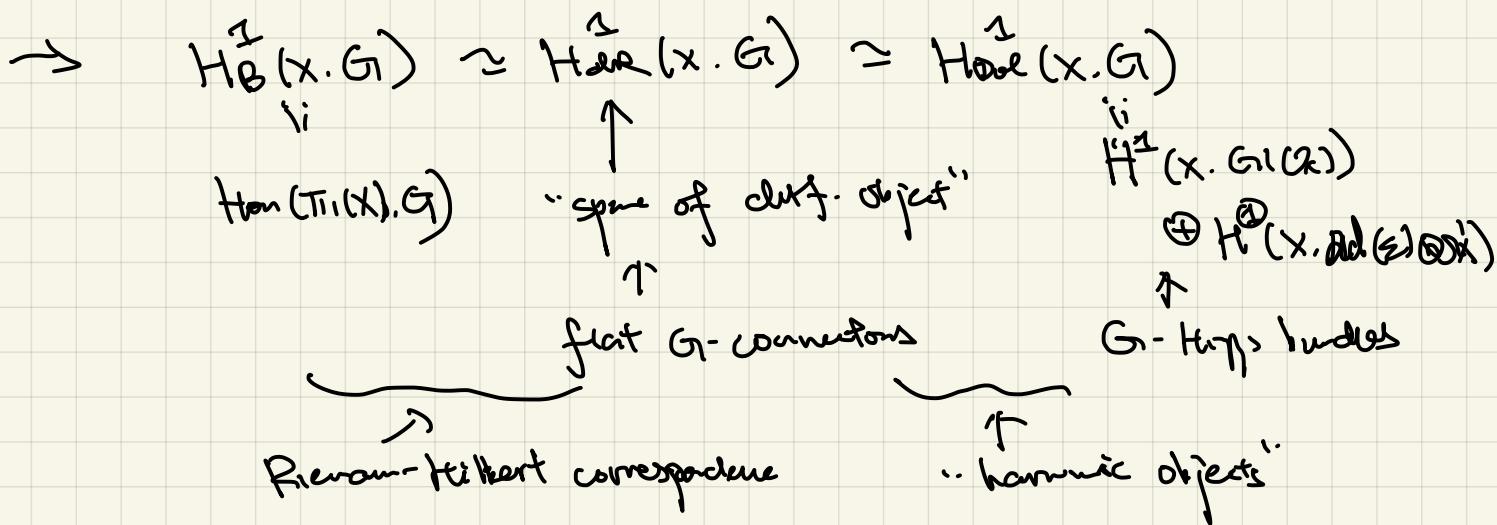
$$\begin{aligned} H_{dR}^1(X, \mathbb{C}) &\cong H_B^1(X, \mathbb{C}) \cong \text{Hom}(H_1(X, \mathbb{Z}), \mathbb{C}) \\ &\cong \text{Hom}(\pi_1(X)^{\text{ab}}, \mathbb{C}) \\ &= \text{Hom}(\pi_1(X), \mathbb{C}) \end{aligned}$$

$\Rightarrow$

$$\begin{array}{ccc} H^1(X, \Omega_X) \oplus H^0(X, \Omega_X^1) & \xrightarrow{\sim} & \text{Hom}(\pi_1(X), \mathbb{C}) \\ (\text{e. f.}) & \leftrightarrow & \overbrace{\text{Hom}(\pi_1(X), \mathbb{C})}^P \\ \downarrow & & \downarrow \\ \mathcal{J}^1(X, \mathbb{C}) & \xrightarrow{\sim} & \text{Hom}(\pi_1(X), \mathbb{C}) \\ \parallel & & \downarrow \\ \mathcal{J}^{0,1}(X) \oplus \mathcal{J}^0(X) & & \text{Hom}(\pi_1(X), \mathbb{C}) \\ \text{space of hermitian} & & \text{Hom}(\pi_1(X), \mathbb{C}) \\ \text{"2-forms"} & & \end{array}$$

monodromy analogue:

$\mathbb{C} \hookrightarrow G$  general reductive gp. ( $G = GL(n, \mathbb{C})$   
 $SL(n, \mathbb{C})$ )



§3. 2<sup>nd</sup> motivation: generalization of Narasimhan-Seshadri correspondence

Thm 1 (Narasimhan-Seshadri 1965)

$X$  cpt. R. S.  $g \geq 2$

holomorphic vector bundle over  $X$  is stable  $\Leftrightarrow$  comes from irreducible  
proj. unitary representation of  $T_{\text{dR}}(X)$

In particular hol. v.b. of deg 0 is stable  $\Leftrightarrow$  comes from irreducible  
unitary representation of  $T_{\text{dR}}(X)$

- $\overset{s}{\mathcal{C}\text{Vect}}(X, n)$  (resp  $\overset{s}{\mathcal{C}\text{Vect}}(X, n, 0)$ ): category of stable v.b. of  $\mathbb{R}^n$   
(resp.  $\mathbb{R}^n + \text{deg } 0$ )

- $\overset{\text{irr}}{\mathcal{C}\text{Rep}}(X, \text{PU}(n))$  (resp.  $\overset{\text{irr}}{\mathcal{C}\text{Rep}}(X, \text{U}(n))$ ): category of irreducible  
reps  $T_{\text{dR}}(X) \rightarrow \text{PU}(n)$   
(resp.  $T_{\text{dR}}(X) \rightarrow \text{U}(n)$ )

$$\overset{s}{\mathcal{C}\text{Vect}}(X, n) \simeq \overset{\text{irr}}{\mathcal{C}\text{Rep}}(X, \text{PU}(n))$$

$$\overset{s}{\mathcal{C}\text{Vect}}(X, n, 0) \simeq \overset{\text{irr}}{\mathcal{C}\text{Rep}}(X, \text{U}(n))$$

higher dim. generalization:

Theorem 2 (Donaldson, Uhlenbeck-Yau)

$(X, \omega)$  cpt. Kähler mfd.

$$C^S_{\text{Vect}}(X, n) \simeq C^{\text{irr}}_{\text{Rep}}(X, \mathrm{PU}(n))$$

$$C^S_{\text{Vect}}(X, n, c_1 = \dots = 0) \simeq C^{\text{irr}}_{\text{Rep}}(X, \mathrm{U}(n))$$

Q: What objects correspond to  $C^{\text{irr}}_{\text{Rep}}(X, \mathrm{GL}(n, \mathbb{C}))$ ?

Theorem 3 (Donaldson, Corlette, Hitchin, Simpson)

$$\begin{aligned} C^S_{\text{Higgs}}(X, n, c_1 = \dots = 0) &\simeq C^{\text{irr}}_{\text{Rep}}(X, \mathrm{GL}(n, \mathbb{C})) \\ &\quad \vdots \\ C^S_{\text{hol}}(X, n) &\quad C^{\text{irr}}_{\mathcal{B}}(X, n) \end{aligned}$$

§4. key point: Donaldson's diff-geom reproof of NS correspondence.

Theorem 1 (Donaldson 1983)  $\times$   $\overset{\text{CP}^+}{\times}$  R.S.  $\otimes \mathbb{Z}_2 \Sigma$  indecomposable v.b.

$\Sigma$  is stable  $\Leftrightarrow \Sigma$  is projectively unitary flat bundle

In particular,  $\Sigma$  is stable of deg 0  $\Leftrightarrow \Sigma$  is unitary flat bundle.

where • proj. unitary flat bundle :=  $\exists$  unitary connection  
 $\nabla$  s.t.

$$\text{Ric} \tilde{F} = -2\pi \sqrt{-1} \underbrace{\mu(\Sigma)}_{\text{curvature.}} \text{Id}$$

$$\mu(\Sigma) := \frac{\int_X c_1(\Sigma)}{\text{rk}(\Sigma)}$$

$\Leftrightarrow \exists$  hermitian metric  $h$  s.t.

$$\text{HWF}_{\text{ch}} = -2\pi \text{F}(\text{M}(z)) \text{Id}$$

$\text{F}_h$ : Chern connection.

$\Sigma$  is varying flat  $\Leftrightarrow \int_1^2 \text{HWF} = 0$

:

$$\boxed{\begin{aligned} \mathcal{E}_{\text{ext}}^S(x, n) &\simeq \mathcal{E}_{\text{PUF}}^{rr}(x, n) \\ \mathcal{E}_{\text{ext}}^S(x, n, 0) &\simeq \mathcal{E}_{\text{UF}}^{rr}(x, n) \end{aligned}}$$

"stability" ~ "special metric"  $\leftarrow$  called Kobayashi-Hitchin correspondence

Goal of this short course:

explain explicitly the idea or show Thm<sup>3</sup>:

$$\mathcal{E}_{\text{ad}}^S(x, n) \simeq \mathcal{E}_{\text{B}}^{rr}(x, n)$$

$\xrightarrow{\text{KHC}}$

key!

$$\mathcal{E}_{\text{adR}}^{rr}(x, n)$$

↑ Riemann-Hilbert correspond.

$\uparrow$

category of irreducible flat bundle of rank  $n$

## PART II A crash course course on Hodge theory (1)

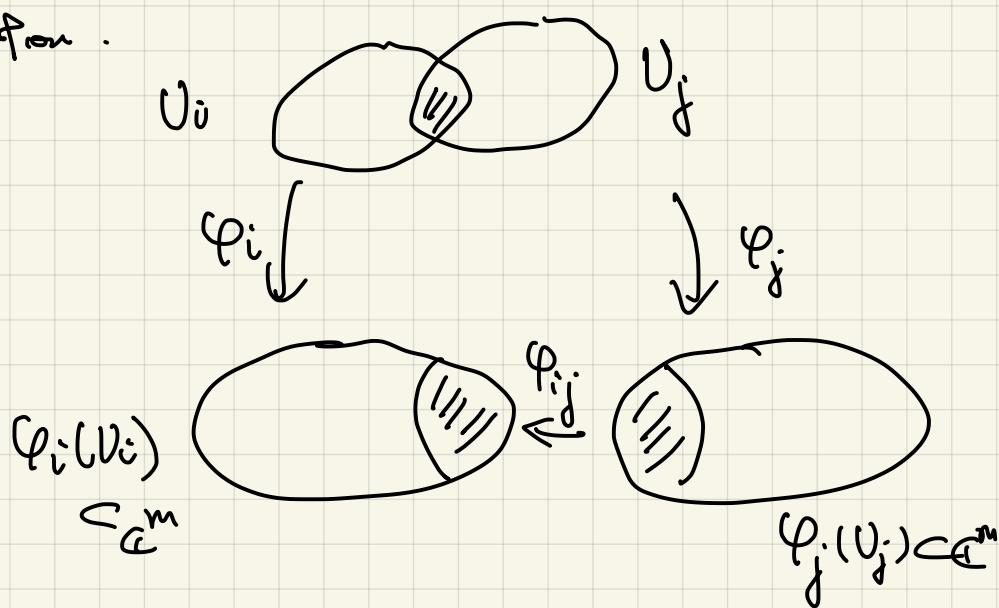
### §1. Complex manifolds ( $\mathbb{C}$ -equiv. descriptions)

Def 1.1 A complex manifold of dim  $m$  is top. space  $X$  together with a complex structure (complex atlases)  $\{\langle U_i, \varphi_i \rangle\}_{i \in I}$  where:

- $\mathcal{U} = \{U_i\}_{i \in I}$  open covering of  $X$
- $\forall i \quad \varphi_i : U_i \rightarrow \varphi_i(U_i) \subset \mathbb{C}^m$  homeomorphism
- whenever  $U_i \cap U_j \neq \emptyset$ , the transition function

$$\varphi_{0j} := \varphi_i \circ \varphi_j^{-1} : \varphi_j(U_i \cap U_j) \xrightarrow{\subset \mathbb{C}^m} \varphi_i(U_i \cap U_j) \xrightarrow{\subset \mathbb{C}^m}$$

is holo. function.



- $(U_i, \varphi_i)$  holo. chart
- $\varphi_i : U_i \rightarrow \varphi_i(U_i) \subset \mathbb{C}^m$  coordinate function  
 $x \mapsto (z_1, \dots, z_m)$

Ex (complex proj. space)

$$\mathbb{CP}^m := (\mathbb{C}^{m+1} - \{0\}) / \sim$$

$(z_0, \dots, z_m) \sim (z'_0, \dots, z'_m) \Leftrightarrow (z_0, \dots, z_m) = \lambda (z'_0, \dots, z'_m)$

for some  $\lambda \in \mathbb{C}^*$ .

$[z_0 : \dots : z_m]$

$\{(U_i, \varphi_i)\}_{0 \leq i \leq m}$

•  $U_i := \{[z_0 : \dots : z_m] : z_i \neq 0\}$

•  $\varphi_i : U_i \rightarrow \varphi_i(W_i) \subset \mathbb{C}^m$

$$[z_0 : \dots : z_m] \mapsto \left( \frac{z_0}{z_i}, \dots, \frac{z_{i-1}}{z_i}, \frac{z_{i+1}}{z_i}, \dots, \frac{z_m}{z_i} \right)$$

•  $\varphi_{ij} = \varphi_i \circ \varphi_j^{-1} : \varphi_j(U_i \cap U_j) \rightarrow \varphi_i(U_i \cap U_j) \quad (i < j)$

$$(w_1, \dots, w_m) \mapsto \left( \frac{w_0}{w_i}, \dots, \frac{w_{i-1}}{w_i}, \frac{w_{i+1}}{w_i}, \dots, \frac{w_{j-1}}{w_i}, \frac{1}{w_i}, \frac{w_{j+1}}{w_i}, \dots, \frac{w_m}{w_i} \right)$$

Def 1.2 An almost complex mfld is a diff. mfld  $X$  together with an almost complex str.  $J$ , i.e.  $J : T_X \rightarrow T_X$  s.t.  $J^2 = -\text{Id}$ .

Prop 1.3 (1) Any almost complex mfld has even real dim.

(2) complex mfld  $\Rightarrow$  almost complex mfld.

PF

(1)  $\forall x \in X \quad \dim_{\mathbb{R}} T_x X = \dim_{\mathbb{R}} X$

$\forall v \in T_x X \quad \forall Jv \quad \mathbb{R}\text{-linear independent}$

$V := \text{Span}_{\mathbb{R}}\{v, Jv\} \quad J\text{-inv.} \quad V^\perp \subset T_x X \text{ is } J\text{-inv.}$

induction on  $\dim$  gives  $2 | \dim_{\mathbb{R}} T_x X$

(2)  $X$  cplex of  $\dim_{\mathbb{C}} X = m \Rightarrow$  real mfld. of  $\dim 2m$ .

$$\forall x \in X \quad (U, \varphi_i; z_1, \dots, z_m)$$

$$z_j = x_j + \sqrt{-1}y_j, \quad 1 \leq j \leq m.$$

$\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_m}, \frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial y_m}$  local basis of  $T_x U$

define  $J_x: T_x U \rightarrow T_x U$

$$\frac{\partial}{\partial x_j} \mapsto \frac{\partial}{\partial y_j} \quad \sim J_x^2 = -\text{Id}$$

$$\frac{\partial}{\partial y_j} \mapsto -\frac{\partial}{\partial x_j}$$

transition factors  
see below.

$\rightsquigarrow$  globally defined  $J: TX \rightarrow TX$  s.t.  $J^2 = -\text{Id}$ .

↗

Def. W. R.v.s.  $J: W \rightarrow W$   $J^2 = -\text{Id}$

$\Rightarrow W$  is  $\mathbb{C}$ -v.s. via

$$(a + \sqrt{-1}b) \cdot w := a \cdot w + b J(w)$$

But. almost cplex mfd's are not necessarily cplex mfd's.

$(X, J)$  almost cplex mfd.  $\dim_{\mathbb{R}} X = 2m$

$J: T_x X \rightarrow T_x X$   $J^2 = -\text{Id} \rightsquigarrow J: T^* X \rightarrow T^* X$  via

$$\text{Hom}_{\mathbb{R}}(T_x X, \mathbb{R})$$

$$(J\theta)(v) := \theta(J(v))$$

$$\forall \theta \in T^* X, \quad v \in T_x X$$

let  $T_{\mathbb{C}} X := T X \otimes_{\mathbb{R}} \mathbb{C}$

complexified bundle.

$T_{\mathbb{C}}^* X := T^* X \otimes_{\mathbb{R}} \mathbb{C}$

$J: T_x X \rightarrow T_x X$  &  $J: T_x^* X \rightarrow T_x^* X$  extend  $\mathbb{C}$ -linearly

- $J: T_{\mathbb{C}} X \rightarrow T_{\mathbb{C}} X$

$v \in T_x X, \lambda \in \mathbb{C}$

$$v \otimes \lambda \mapsto J(v) \otimes \lambda$$

- $\circ \quad J : T_{\mathbb{C}}^* X \rightarrow T_{\mathbb{C}}^* X \quad J^2 = -Id$

$$0 \otimes v \mapsto J(0) \otimes v$$

$\rightsquigarrow \pm i$ -eigenbundles

- $T_{\mathbb{C}} X = T_{1,0} X \oplus T_{0,1} X$

$$\left\{ \begin{array}{l} T_{1,0} X = \{ v - i J v : v \in T X \} \\ T_{0,1} X = \{ v + i J v : v \in T X \} \end{array} \right.$$

- $T_{\mathbb{C}}^* X = T_{1,0}^* X \oplus T_{0,1}^* X$

$$\left\{ \begin{array}{l} T_{1,0}^* X = \{ \theta - i J \theta : \theta \in T^* X \} \\ T_{0,1}^* X = \{ \theta + i J \theta : \theta \in T^* X \} \end{array} \right.$$

define conjugation:

$$- : T_{\mathbb{C}} X \rightarrow T_{\mathbb{C}} X$$

$$v \otimes \lambda \mapsto v \otimes \bar{\lambda}$$

$$- : T_{\mathbb{C}}^* X \rightarrow T_{\mathbb{C}}^* X$$

$$\theta \otimes \lambda \mapsto \theta \otimes \bar{\lambda}$$

$$\rightsquigarrow \overline{T_{1,0} X} = T_{0,1} X$$

$$\overline{T_{1,0}^* X} = T_{0,1}^* X$$

Thm 1.4 (Newlander-Nirenberg)  $(X, J)$  almost complex str. TFAE:

(1)  $X$  cplex mfld

(2) The Nijenhuis tensor  $N^J = 0$

$$\text{where } N^J(v, w) := J([Jv, w] + [v, Jw]) + [v, w] - [Jv, Jw]$$

(3)  $[\cdot, \cdot]$  is closed under  $T_{0,1}X$  i.e.  $[v, w] \in T_{0,1}X$  for  $v, w \in T_{0,1}X$

## § 2. Vector bundles and sheaves

$\mathbb{R} = \mathbb{C}$  or  $i\mathbb{R}$

Def 2.1 A diff. surj map of diff. mflds  $\pi: E \rightarrow X$  is  $\mathbb{R}$ -vector bundle of  $\mathbb{R}^n$  if  $\forall x \in X$ .  $\exists$  open neigb  $U \subseteq X$  & homeomorphism  $\psi_U: \pi^{-1}(U) \rightarrow U \times \mathbb{R}^n$  local trivialization

s.t.

$$(1) \text{pr}_1 \circ \psi_U = \pi$$

$$\begin{array}{ccc} U \times \mathbb{R}^n & & \\ \downarrow \psi_U & \swarrow & \downarrow \text{pr}_1 \\ \pi^{-1}(U) & \xrightarrow{\pi} & U \end{array}$$

(2) each fiber  $E_x := \pi^{-1}(x)$  has a str. of  $\mathbb{R}$ -v.s. s.t.

$$E_x \xrightarrow{\sim} \mathbb{R}^n \quad \mathbb{R}\text{-linear iso.}$$

A section of  $\pi: E \rightarrow X$  is a  $C^\infty$  map  $s: X \rightarrow E$  s.t.  $\pi \circ s = \text{id}_X$

- $\Lambda^0(X, E)$ : space of sections of  $E$

$\uparrow$  transition functions:

$$\forall 2 \text{ local trivializations } \psi_i: \pi^{-1}(U_i) \xrightarrow{\cong} U_i \times \mathbb{R}^n$$

$$\psi_j: \pi^{-1}(U_j) \xrightarrow{\cong} U_j \times \mathbb{R}^n$$

with  $U_i \cap U_j \neq \emptyset$

$$\Rightarrow \psi_{ij} := \psi_i \circ \psi_j^{-1}: (U_i \cap U_j) \times \mathbb{R}^n \rightarrow (U_i \cap U_j) \times \mathbb{R}^n$$

$$(x, v) \mapsto (x, g_{ij}(x)v)$$

determines  $g_{ij}: U_i \cap U_j \rightarrow \text{GL}(n, \mathbb{R})$  transition functions

$$x \mapsto g_{ij}(x)$$

g.t. •  $g_{ii} = \text{Id}$  on  $U_i$

•  $g_{ij} g_{jk} g_{ki} = \text{Id}$  on  $U_i \cap U_j \cap U_k \neq \emptyset$

Def 2.2  $X$  cplex nfd. A holomorphic vector bundle over  $X$  is a C-v.b. over  $X$  s.t. the transition functions  $\{g_{ij}\}_{ij}$  are holomorphic.

Def 2.3  $X$  top. space. A presheaf  $\mathcal{F}$  of abelian grp (resp.  $\mathbb{R}$ -v.s., rings. modules, ... ) over  $X$  is

$\leftarrow$  space of sections on  $U$

(1)  $\forall U \subset X$  open.  $\mathcal{F}(U)$  is abelian gp (resp.  $\mathbb{R}$ -v.s., ...)

(2)  $\forall U, V \subset X$  open. with  $U \subset V$ . the restriction map

$$\begin{aligned} r_{V,U} : \mathcal{F}(V) &\rightarrow \mathcal{F}(U) \\ s &\mapsto s|_U \end{aligned}$$

is gp. homomorphism. (resp. linear map of  $\mathbb{R}$ -v.s. ...)

g.t.

$$(a) r_{U,U} = \text{id}_{\mathcal{F}(U)}$$

$$(b) \forall U, V, W \subset X \text{ open. } U \subset V \subset W$$

$$r_{V,U} \circ r_{W,V} = r_{W,U}$$

$$s \in \mathcal{F}(W)$$

$$(s|_V)|_U = s|_U$$

It is a sheaf if moreover,

$$(c) \forall U = \bigcup_i U_i \subset X \text{ open. } \forall s_1, s_2 \in \mathcal{F}(U) \text{ with } s_1|_{U_i} = s_2|_{U_i}$$

$$\Rightarrow s_1 = s_2$$

(uniqueness)

H:

$$(d) s_i \in \mathcal{F}(U_i) \text{ s.t. whenever } U_i \cap U_j \neq \emptyset$$

$$s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j} \quad \forall i, j$$

$\Rightarrow s \in \mathcal{F}(U)$  s.t.

$$s|_{U_i} = s_i \quad \forall i$$

gluing

Ex  $X$  complex mfd.

(1)  $\mathcal{O}_X^{\infty}$ : sheaf of  $\mathbb{C}^{\infty}$ -valued functions on  $X$

(2)  $\mathcal{O}_X$ : sheaf of hol. functions on  $X$

(3)  $E \rightarrow X$  c.v.b.  $\rightsquigarrow \Sigma$  sheaf of sections

i.e.  $\forall U \subset X$  open.

$$\mathcal{S}(U) := \{s : U \rightarrow E \text{ section}\}$$

(4)  $\Omega_X^p$ : sheaf of hol.  $p$ -forms on  $X$

$$\Omega_X^p(U) := \{ \underline{\omega} \in \mathcal{A}^{p,0}(U) : \bar{\partial} \underline{\omega} = 0 \}$$

•  $\mathcal{F}$ : sheaf of  $\mathcal{O}_X$ -modules over  $X$

$$\mathcal{O}_X(U) : \text{ring}$$

(1)  $\mathcal{F}$  is coherent if  $\forall x \in X \exists x \in U \subseteq X$  open s.t.

$$\mathcal{O}_U^q \rightarrow \mathcal{O}_U^p \rightarrow \mathcal{F}_U \rightarrow 0 \quad \text{exact for some } p, q \in \mathbb{Z}_{\geq 0}$$

$\Leftrightarrow \mathcal{F}$  locally of finite type

&  $\ker(\mathcal{O}_U^p \rightarrow \mathcal{F}_U)$  finite.

(2)  $\mathcal{F}$  is torsion free, if  $\forall x \in X \mathcal{F}_x$  is torsion-free  $\mathcal{O}_{X,x}$ -module

(3)  $\mathcal{F}$  is locally free if  $\forall x \in X \exists x \in U \subseteq X$  s.t.

$$\mathcal{F}_U \cong \mathcal{O}_U^p \quad \text{for some } p \in \mathbb{Z}_{\geq 0}$$

if & of  $\mathcal{F}$

iso. of sheaves as  $\mathcal{O}_U$ -modules.

for us.  $X$  cplex mfd

$\mathcal{O}_X$ : sheaf of hol. functions on  $X$

$(X, \mathcal{O}_X)$

Prop 2.5 We have the following equiv. of categories (analytic version)

$\left\{ \text{holo. v. b. of } \mathbb{R}^n \right\}$  over  $X$   $\xrightarrow{\sim}$   $\left\{ \text{Locally free sheaves of } (\mathcal{O}_X\text{-modules}) \text{ of } \mathbb{R}^n \right\}$

$E$   $\longrightarrow$   $\Sigma$   
↑  
associated sheaf of holo sections

$\xrightarrow{\text{pf}}$  fully faithful:  $\text{Hom}(E, f)$   $\longrightarrow$   $\text{Hom}_{\mathcal{O}_X}(\Sigma, f)$   
 $\exists \psi_f$   $\downarrow$   $\downarrow f$

\* essentially surj.  $\Sigma$  on right side  $\longrightarrow$  find  $E = \coprod_{x \in X} \underline{\mathcal{E}_x \otimes_{\mathcal{O}_{X,x}} \mathbb{C}}$



Prop 2.6

(1)  $(X, J)$  almost cplex  $\Rightarrow T_X$  (and  $T^*X$ ) is  $C$ -v.b.

(2)  $X$  cplex mfd.  $\Rightarrow T_{1,0}X$  (and  $T_{1,0}^*X$ ) is a holo. v.b.

↑  
holo. tangent  
↑  
holo. cotangent.

2. Hodge theory (left)

3. affine GIT

4. Betti spaces

5. de Rham spaces }

6. Dolbeault spaces

## F. Proof of KHC

### 8. Other topics

X complex nrgd X analytic space (allow singularity)

$\mathcal{O}_X$  sheaf of  $\mathcal{O}_X$ -modules

$E$  v.b.  $\textcircled{F} \oplus E$  torsion-free sheaf

$E/F$  may have torsion

$$0 \rightarrow F \rightarrow E \rightarrow \textcircled{E/F} \rightarrow 0$$

## Lecture 2

## A crash course on Hodge theory ②

### §3. Differential forms on complex manifolds

$X$  complex manifold,  $\dim_{\mathbb{C}} X = m$

$0 \leq k \leq 2m$ . introduce

(1)  $k$ -th exterior cotangent bundle

$$\Lambda^k X := \Lambda^k(T^*X)$$

(2)  $k$ -th exterior complexified cotangent bundle

$$\Lambda^k_{\mathbb{C}} X := \Lambda^k(T^*_{\mathbb{C}} X)$$

$$= \Lambda^k(T^*_{1,0} X \oplus T^*_{0,1} X)$$

$$\begin{aligned} & \Lambda^k(E \oplus F) \\ &= \bigoplus_{p+q=k} \Lambda^p E \otimes \Lambda^q F \quad \xrightarrow{\quad} \bigoplus_{p+q=k} (\Lambda^p(T^*_{1,0} X) \otimes \Lambda^q(T^*_{0,1} X)) \\ &= \bigoplus_{p+q=k} \Lambda^{p,q} X \end{aligned}$$

- $\Lambda^k(X, \mathbb{R}) := I(X, \Lambda^k X)$  space of  $C^\infty$  real  $k$ -forms on  $X$
- $\Lambda^k(X, \mathbb{C}) := I(X, \Lambda^k_{\mathbb{C}} X)$  ... .. complex ..
- $\Lambda^{p,q}(X) := I(X, \Lambda^{p,q} X)$  ... ..  $(p,q)$ -forms ..

$$\Rightarrow \Lambda^k_{\mathbb{C}} X = \Lambda^k X \otimes_{\mathbb{R}} \mathbb{C}$$

$$\Lambda^k_{\mathbb{C}} X = \Lambda^k(T^*_{\mathbb{C}} X) = \Lambda^k(T^* X \otimes_{\mathbb{R}} \mathbb{C}) = \Lambda^k(T^* X) \otimes_{\mathbb{R}} \mathbb{C}$$

$$\Lambda^k(X, \mathbb{C}) = \Lambda^k(X, \mathbb{R}) \otimes_{\mathbb{R}} \mathbb{C}$$

$$\Lambda^k(X, \mathbb{C}) = \bigoplus_{p+q=k} \Lambda^{p,q}(X)$$

Taking local coordinate chart  $(U, \varphi: z_1, \dots, z_m)$ , then  $\omega \in \Lambda^k(X, \mathbb{C})$ . locally it looks like

$$\omega = \sum_{p+q=k} \underbrace{\lambda_{i_1, \dots, i_p, j_1, \dots, j_q}}_{\in C^\infty(U, \mathbb{C})} dz_{i_1} \wedge \dots \wedge dz_{i_p} \wedge d\bar{z}_{j_1} \wedge \dots \wedge d\bar{z}_{j_q} \quad (*)$$

Def 3.1 The exterior derivative  $d: A^k(x, \mathbb{R}) \rightarrow A^{k+1}(x, \mathbb{R})$  extends  $\mathbb{C}$  linearly to

$$d: A^k(x, \mathbb{C}) \rightarrow A^{k+1}(x, \mathbb{C})$$

define

$$\partial := \pi_{p+1, q} \circ d: A^{p, q}(x) \rightarrow A^{p+1, q}(x)$$

$$\bar{\partial} := \pi_{p, q+1} \circ d: A^{p, q}(x) \rightarrow A^{p, q+1}(x)$$

where  $\pi_{p, q}: A^{p+1}(x, \mathbb{C}) \rightarrow A^{p, q}(x)$

Prop 3.2

$$X \text{ cplex mfld} \stackrel{(*)}{\Rightarrow} d = \partial + \bar{\partial}$$

$$\text{i.e. } d: A^{p, q}(x) \rightarrow A^{p+1, q}(x) \oplus A^{p, q+1}(x)$$

$$\text{In particular, } d^2 = 0 \Leftrightarrow \partial^2 = 0 = \bar{\partial}^2$$

$$\partial \bar{\partial} = - \bar{\partial} \partial$$

Remark If  $X$  <sup>is</sup> merely an almost cplex mfld. then  $d = \partial + \bar{\partial}$  not true!

$$d: A^{p, q}(x) \rightarrow A^{p+1, q}(x) + A^{p, q+1}(x) + \underline{A^{p-1, q+2}(x) + A^{p+2, q-1}(x)}$$

## § 4. Kähler mfds

$(X, J)$  cplex mfld,  $\dim X = m$ .  $g$  a Riemannian metric

Def 4.1  $g$  is Hermitian if  $J$  is an isometry w.r.t.  $g$ .

i.e.

$$g(J \cdot, J \cdot) = g(\cdot, \cdot)$$

$\rightsquigarrow (X, J, g)$  is called a Hermitian mfld.

Remark Hermitian metrics always exist on cplex mflds!

$g$  a Riemannian metric

$$\tilde{g}(\cdot, \cdot) := g(J \cdot, J \cdot) + g(\cdot, \cdot)$$

$\rightsquigarrow \tilde{g}$  is Hermitian.

$(X, J, g)$  Hermitian mfd. define the following 2-tensor

$$\omega(\cdot, \cdot) := g(J\cdot, \cdot) \quad (\in \Lambda^2(X, \mathbb{R}))$$

called the fundamental form.

Rmk (1)  $g(JV, JW) = g(V, W) \Rightarrow \omega(V, W) = -\omega(W, V)$

$\rightarrow \omega$  is 2-form. ( $\in \Lambda^2(X, \mathbb{R})$ )

(2)  $J$  is an isometry w.r.t.  $\omega$ .

i.e.  $\omega(JV, JW) = \omega(V, W)$

(3)  $g \circ J \Rightarrow \omega$ ;  $\omega \circ J \Rightarrow g$   $\left( g(\cdot, \cdot) = \omega(\cdot, J\cdot) \right)$

Def 4.2  $(X, J, g)$  is called Kähler if  $\omega$  is closed, i.e.  $d\omega = 0$ .

- $g: TX \times TX \rightarrow \mathbb{R}$  extends  $\mathbb{C}$ -linearly to  $T_{\mathbb{C}}X$

$$g: T_{\mathbb{C}}X \times T_{\mathbb{C}}X \rightarrow \mathbb{C}$$

$$(V \otimes \lambda, W \otimes \mu) \mapsto g(V, W)\lambda\mu \quad \lambda, \mu \in \mathbb{C}$$

$$\Rightarrow (a) g(\bar{V}, \bar{W}) = \overline{g(V, W)}$$

$$(b) g(V, \bar{V}) \geq 0 \text{ & } "=0" \iff V=0$$

$$(c) g(V, W) = 0 \quad \forall V, W \in T_x X$$

$$(d) g(V, W) = 0 \quad \forall V, W \in T_{x_0} X$$

Rmk (1) we say  $g$  Hermitian metric, because

$$g_{\mathbb{C}}(V, W) := g(V, \bar{W})$$

defines a Hermitian metric on the  $\mathbb{C}$ -v.b.  $T_{\mathbb{C}}X$ .

$T_C X = T_{1,0} X \oplus T_{0,1} X$  is orthogonal w.r.t.  $g_C$

(2)  $\omega \in \Lambda^2(X, \mathbb{R}) \cap \Lambda^{2,1}(X)$  from (c) & (d)

(3) local coord. chart  $(U, \varphi; z_1, \dots, z_m)$   $z_j = x_j + i y_j$

$$\text{Vol}_g = \overline{\sqrt{\det(g)}} dx_1 \wedge dy_1 \wedge dx_2 \wedge dy_2 \wedge \dots \wedge dx_m \wedge dy_m$$

$$= \frac{\omega^m}{m!}$$

## § 5. Hodge theory.

$(X, J, g)$  cplex mfd. for  $g$  a Riemannian metric.  $\dim X = m$

$g$  inner product on  $TX$

→ induces  $g$  on  $T^*X = \text{Hom}_{\mathbb{R}}(TX, \mathbb{R})$

→ induces  $g$  on  $\Lambda^k X = \Lambda^k(T^*X)$   $1 \leq k \leq 2m$

Locally, choose orthogonal normal basis  $\{e^1, \dots, e^{2m}\}$  for  $T^*X$

then  $\{e^{j_1} \wedge \dots \wedge e^{j_k}, 1 \leq j_1 < j_2 < \dots < j_k \leq 2m\}$  is an orthogonal normal basis for  $\Lambda^k X$ .

Def 5.1 ∀  $0 \leq k \leq 2m$ , on  $\Lambda^k(X, \mathbb{R})$  we define

(1) global  $L^2$ -norm:

$$\forall \theta, \eta \in \Lambda^k(X, \mathbb{R})$$

$$(\theta, \eta)_{L^2} := \int_X g(\theta, \eta) \text{Vol}_g$$

In particular,  $\eta = \theta$ ,  $(\theta, \theta)_{L^2}^2 =: \|\theta\|_{L^2}^2$

(2) Hodge  $*$ :

$$*: A^k(x, \mathbb{R}) \rightarrow A^{2m-k}(x, \mathbb{R})$$

via

$$\theta \wedge * \eta = g(\theta, \eta) \text{ Volg.}$$

Well-defined since  $\wedge: A^k(x, \mathbb{R}) \times A^{2m-k}(x, \mathbb{R}) \rightarrow A^{2m}(x, \mathbb{R})$   
 $(\theta, \eta) \mapsto \theta \wedge \eta$

is non-degenerate.

(3) adjoint exterior derivative

$$d^*: A^k(x, \mathbb{R}) \rightarrow A^{k+1}(x, \mathbb{R})$$

via

$$d^* = - * d *$$

(4) Hodge Laplacian

$$\Delta := dd^* + d^* d : A^k(x, \mathbb{R}) \rightarrow A^k(x, \mathbb{R})$$

(5) harmonic forms.

$$\mathcal{H}_g^k(x, \mathbb{R}) := \{ \theta \in A^k(x, \mathbb{R}) : \Delta(\theta) = 0 \}$$

space of "harmonic  $k$ -forms".

From now on.  $g$  is Hermitian metric.  $\sim \omega$  fundamental 2-form

(6) Lefschetz operator:

$$L_\omega: A^k(x, \mathbb{R}) \rightarrow A^{k+2}(x, \mathbb{R})$$

$$\theta \mapsto \theta \wedge \omega$$

(7) dual Lefschetz operator:

$$\Lambda_\omega: A^k(x, \mathbb{R}) \rightarrow A^{k-2}(x, \mathbb{R})$$

via

$$g(L_\omega(\theta), \eta) = g(\theta, \Lambda_\omega(\eta))$$

$$\forall \theta \in A^k(x, \mathbb{R})$$

$$\eta \in A^{k-2}(x, \mathbb{R})$$

$\mathbb{R} \rightsquigarrow \mathbb{C}$

\*. Lw.  $\Lambda$  extend  $\mathbb{C}$ -linearly to  $A^k(x, \mathbb{C}) = A^k(x, \mathbb{R}) \otimes_{\mathbb{R}} \mathbb{C}$ .  $\rightsquigarrow d^*$ ,  $\Delta$

real in the following sense:

$$\bar{*}(\theta) = *(\bar{\theta}). \quad \overline{Lw(\theta)} = Lw(\bar{\theta}), \quad \overline{\Lambda w(\theta)} = \Lambda w(\bar{\theta})$$

$g$  on  $Tx \rightsquigarrow g_C$  Hermitian metric on  $T_C x$

on  $\Lambda^k X = \Lambda^k(T^*x)$   $\rightsquigarrow g_C$  Hermitian metric  $\Lambda^k x$

$$g_C(\theta, \eta) := g(\theta, \bar{\eta}) \quad \forall \theta, \eta \in A^k(x, \mathbb{C})$$

$\rightsquigarrow$  global  $L^2$ -norm on  $A^k(x, \mathbb{C})$ :

$$(\theta, \eta)_{L^2} := \int_X g_C(\theta, \bar{\eta}) \nu dg$$

Rmk.:

$$(1) * : A^{p,q}(x) \rightarrow A^{m-q, m-p}(x)$$

$$(2) *^2 = (-1)^{p(2m-k)} \text{Id} \quad \text{on } A^k(x, \mathbb{C})$$

$$(3) g(*\theta, *\eta) = g(\theta, \eta) \quad \rightsquigarrow \underline{g_C(*\theta, *\eta)} = g(x\theta, \bar{x}\eta) \\ = g(x\theta, \bar{x}\bar{\eta}) \\ = g(\theta, \bar{\eta}) \\ = \underline{g_C(\theta, \eta)}$$

$$(4) A^k(x, \mathbb{C}) = \bigoplus_{p+q=k} A^{p,q}(x) \quad \text{orthogonal decom. w.r.t. } g_C$$

$$\text{Def 5.2} \quad \partial^* := -*\bar{\partial}* : A^{p,q}(x) \rightarrow A^{p-1, q}(x) \quad \text{adjoint}$$

$$\bar{\partial}^* := -*\bar{\partial}* : A^{p,q}(x) \rightarrow A^{p, q-1}(x) \quad \text{adjoint}$$

$$\Delta_{\bar{\partial}} := \bar{\partial} \partial^* + \bar{\partial}^* \bar{\partial} : A^{p,q}(x) \rightarrow A^{p,q}(x)$$

$$\Delta_{\bar{\partial}} := \bar{\partial} \bar{\partial}^* + \bar{\partial}^* \bar{\partial} : A^{p,q}(x) \rightarrow A^{p,q}(x)$$

$$\text{Prop 5.3} \quad (1) \quad \Lambda_w(\theta) = (-)^k * L_w * \theta \quad \forall \theta \in A^k$$

(2)  $\times$  cpt (i.e. without boundary) - then

$$(\partial\theta, \eta)_{L^2} = (\theta, \bar{\partial}^*\eta)_{L^2} \quad \theta \in A^{p,q} \quad \eta \in A^{p+1,q}$$

$$(\bar{\partial}\theta, \eta)_{L^2} = (\theta, \bar{\partial}^*\eta)_{L^2} \quad \theta \in A^{p,q} \quad \eta \in A^{p,q+1}$$

$$(d\theta, \eta)_{L^2} = (\theta, d^*\eta)_{L^2} \quad \theta \in A^k \quad \eta \in A^{k+1}$$

pf (sketch)

$$(1) \quad g(\Lambda_w(\theta), \eta) = g(\theta, L_w(\eta)) \quad \forall \theta \in A^k, \eta \in A^{k-2}$$

$$\Rightarrow \eta \wedge * \Lambda_w(\theta) = L_w(\eta) \wedge * \theta$$

$$= \eta \wedge w \wedge * \theta$$

$$= \eta \wedge \theta \wedge w$$

$$= \eta \wedge L_w(*\theta)$$

$$\Rightarrow * \Lambda_w(\theta) = L_w * \theta$$

$$(2) \quad \text{show } (d\theta, \eta)_{L^2} = (\theta, d^*\eta)_{L^2} \quad \forall \theta \in A^k \quad \eta \in A^{k+1}$$

$$g(d\theta, \eta) \text{ via } g = d\theta \wedge * \eta = d(\theta \wedge * \eta) - (-)^k \theta \wedge \underbrace{d * \eta}_{}$$

$$= d(\theta \wedge * \eta) - (-)^k (-1)^{k(2m-k)} \theta \wedge * d * \eta$$

$$= d(\theta \wedge * \eta) - g(\theta, * d * \eta) \text{ via } g$$

$$= d(\theta \wedge * \eta) + g(\theta, d^*\eta) \text{ via } g$$

Prop 5.4 (Kähler identities)  $(X, J, g, \omega)$  Kähler mfld

$$(1) \quad [\bar{\partial}, L_w] = [\bar{\partial}, L_w] = [\bar{\partial}^*, \Lambda_w] = [\bar{\partial}^*, \Lambda_w] = 0$$

$$(2) \quad [\bar{\partial}^*, L_w] = i\bar{\partial}, \quad [\partial^*, L_w] = -i\bar{\partial}$$

□

$$[\Lambda\omega, \bar{\partial}] = -i\bar{\partial}^*, \quad [\Lambda\omega, \partial] = i\partial^*$$

$$(3) \quad \Delta = 2\Delta_{\partial} = 2\Delta_{\bar{\partial}}$$

$\Delta$  commutes with  $*$ ,  $\partial$ ,  $\bar{\partial}$ ,  $\partial^*$ ,  $\bar{\partial}^*$ ,  $L\omega$ , and  $\Lambda\omega$ .

Pf (scratch)

$$\begin{aligned} (1) \quad [\bar{\partial}, L\omega](\theta) &= \bar{\partial}(L\omega(\theta)) - L\omega(\bar{\partial}\theta) \\ &= \bar{\partial}(\theta \wedge \omega) - \bar{\partial}\theta \wedge \omega \\ &= \bar{\partial}\theta \wedge \omega + (-)^k \theta \wedge \bar{\partial}\omega - \bar{\partial}\theta \wedge \omega \\ &= 0 \end{aligned}$$

$$(2) \text{ define } H: A^*(X, \mathbb{C}) \rightarrow A^*(X, \mathbb{C}) \quad H = (\beta - m) \text{Id.}$$

$$\theta \mapsto (\beta - m)\theta$$

check:  $[\Lambda\omega, \Lambda\omega] = H$

$$-i\bar{\partial} = [\partial^*, L\omega]$$

$$\begin{aligned} [\Lambda\omega, \bar{\partial}] &= i[\Lambda\omega, [\bar{\partial}^*, L\omega]] \\ &= -i([\bar{\partial}^*, [\Lambda\omega, \Lambda\omega]] + [L\omega, [\Lambda\omega, \bar{\partial}^*]]) \\ &= -i([\bar{\partial}^*, H]) \\ &= -i\bar{\partial}^* \end{aligned}$$

$$(3) \quad \underline{\bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial}} = 0 \quad \text{because}$$

$$\begin{aligned} i(\bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial}) &= \bar{\partial}[\Lambda\omega, \bar{\partial}] + [\Lambda\omega, \bar{\partial}]\bar{\partial} \\ &= \bar{\partial}\Lambda\omega\bar{\partial} - \bar{\partial}^2\Lambda\omega + \Lambda\omega\bar{\partial}^2 - \bar{\partial}\Lambda\omega\bar{\partial} \\ &= 0 \end{aligned}$$

$$\underline{\bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial}} = 0$$

$$\Rightarrow \bullet \Delta_{\bar{\partial}} = \Delta_{\bar{\partial}}$$

$$\bullet \Delta = \Delta_\partial + \Delta_{\bar{\partial}}$$

"spaces of harmonic forms":  $(X, J, g)$  Hermitian mfd.



$$(1) \mathcal{H}^k(X, g) := \{ \Theta \in A^k(X, \mathbb{C}) : \Delta(\Theta) = 0 \}$$

$$(2) \mathcal{H}_\partial^k(X, g) := \{ \Theta \in A^k(X, \mathbb{C}) : \Delta_\partial(\Theta) = 0 \}$$

$$(3) \mathcal{H}_{\bar{\partial}}^k(X, g) := \{ \Theta \in A^k(X, \mathbb{C}) : \Delta_{\bar{\partial}}(\Theta) = 0 \}$$

$$(4) \mathcal{H}^{p,q}(X, g) := \{ \Theta \in A^{p,q}(X) : \Delta(\Theta) = 0 \}$$

$$(5) \mathcal{H}_\partial^{p,q}(X, g) := \{ \Theta \in A^{p,q}(X) : \Delta_\partial(\Theta) = 0 \}$$

$$(6) \mathcal{H}_{\bar{\partial}}^{p,q}(X, g) := \{ \Theta \in A^{p,q}(X) : \Delta_{\bar{\partial}}(\Theta) = 0 \}$$

$\rightsquigarrow$

$$\mathcal{H}^k(X, g) = \bigoplus_{p+q=k} \mathcal{H}^{p,q}(X, g) \quad \begin{matrix} \text{orthogonal decoupling} \\ \text{w.r.t. } g_C \end{matrix}$$

$$\mathcal{H}_\partial^k(X, g) = \bigoplus_{p+q=k} \mathcal{H}_\partial^{p,q}(X, g) \quad \nearrow$$

$$\mathcal{H}_{\bar{\partial}}^k(X, g) = \bigoplus_{p+q=k} \mathcal{H}_{\bar{\partial}}^{p,q}(X, g) \quad \searrow$$

In particular,  $X$  Kähler ( $\Rightarrow \Delta = 2\Delta_\partial = 2\Delta_{\bar{\partial}}$ )

$\Rightarrow$

$$\mathcal{H}^k(X, g) = \mathcal{H}_\partial^k(X, g) = \mathcal{H}_{\bar{\partial}}^k(X, g)$$

$$\mathcal{H}^{p,q}(X, g) = \mathcal{H}_\partial^{p,q}(X, g) = \mathcal{H}_{\bar{\partial}}^{p,q}(X, g)$$

Prop 5.5

(1) (Poincaré duality)

$$*: \mathcal{H}^k(x, g) \xrightarrow{\cong} \mathcal{H}^{2m-k}(x, g) \quad \left[ [\Delta, *] = 0 \right]$$

$$\Theta \quad \mapsto \quad * \Theta$$

(2) (Hodge duality)

$$*: \mathcal{H}_{\partial}^{p,q}(x, g) \xrightarrow{\cong} \mathcal{H}_{\bar{\partial}}^{m-q, m-p}(x, g) \quad \left[ [\Delta_{\partial}, *] = 0 \right]$$

$$\Theta \quad \mapsto \quad *\Theta$$

(3) (Serre duality)

$$\bar{*}: \mathcal{H}_{\bar{\partial}}^{p,q}(x, g) \xrightarrow{\cong} \mathcal{H}_{\bar{\partial}}^{m-p, m-q}(x, g)$$

$$\Theta \quad \mapsto \quad *\bar{\Theta}$$

Def 5.6  $(x, J)$  cplex mfld.  $\dim_{\mathbb{C}} X = m$ .  $\forall \alpha \in \mathbb{R} \subseteq 2m$

(1) Betti cohomology:

$$H_B^k(x, \mathbb{Z}) := H^k(C_{\text{sing}}, d)$$

where  $(C_{\text{sing}}, d)$ : singular cochain complex. Betti complex

(2) De Rham cohomology:

$$H_{dR}^k(x, \mathbb{C}) := H^k(C_{dR}, d)$$

$$= \frac{\ker(d: A^k(x, \mathbb{C}) \rightarrow A^{k+1}(x, \mathbb{C}))}{\text{Im}(d: A^{k+1}(x, \mathbb{C}) \rightarrow A^k(x, \mathbb{C}))}$$

$$(C_{dR}, d): 0 \rightarrow A^0(x, \mathbb{C}) \xrightarrow{d} A^1(x, \mathbb{C}) \xrightarrow{d} A^2(x, \mathbb{C}) \rightarrow \dots$$

$d$  de Rham complex

(3) Dolbeault cohomology:

$$\begin{aligned}
 H_{\text{Dol}}^k(X, \mathbb{C}) &:= \bigoplus_{p+q=k} H^{p,q}(X) \\
 &:= \bigoplus_{p+q=k} H^q(C_{\text{Dol}}^{p,\cdot}, \bar{\partial}) \\
 &= \bigoplus_{p+q=k} \frac{\ker(\bar{\partial}: A^{p,q}(X) \rightarrow A^{p,q+1}(X))}{\text{Im}(\bar{\partial}: A^{p,q-1}(X) \rightarrow A^{p,q}(X))}
 \end{aligned}$$

$(C_{\text{Dol}}^{p,\cdot}, \bar{\partial})$ :

$$0 \rightarrow A^{p,0}(X) \xrightarrow{\bar{\partial}} A^{p,1}(X) \xrightarrow{\bar{\partial}} \dots \rightarrow A^{p,m}(X) \rightarrow 0$$

Dolbeault complex

Remark (1) Betti cohomo is enough for  $X$  top space

(2) De Rham cohomo. is enough for  $X$  diff. mfld. ( $d^2 = 0$ )

(3) Dolbeault cohomo. need  $X$  cplex mfld ( $d^2 = 0 \Rightarrow \bar{\partial}^2 = 0$ )

### Thms. 7 (Main thms in classical Hodge theory)

(1) De Rham thm:

$$H_B^k(X, \mathbb{C}) := H_B^k(X, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{C} \cong H_{\text{dR}}^k(X, \mathbb{C})$$

(2) Dolbeault thm:

$$H^{p,q}(X) \cong H^q(X, \Omega_X^p)$$

where  $\Omega_X^p$ : sheaf of hol. p-forms.

$$\forall U \subset X \text{ open } \Omega_X^p(U) := \{ \omega \in A^{p,0}(U) : \bar{\partial}\omega = 0 \}$$

(3) Hodge decomposition thm:

$(X, J, g, \omega)$  compact Kähler mfld.

$$\begin{aligned}
 H_{\text{dR}}^k(X, \mathbb{C}) &\cong H_{\text{Dol}}^k(X, \mathbb{C}) \\
 &= \bigoplus_{p+q=k} H^{p,q}(X)
 \end{aligned}$$

$$\overline{H^{p,q}(X)} = H^{p,q}(X) \quad \leftarrow \text{conjugation.}$$

$$H^{p,q}(X) \simeq H^{m-p, m-q}(X) \quad \sim \text{Serre duality}$$

pf (sketch)

$$(1) H_B^k(X, \mathbb{C}) \simeq H^k(X, \mathbb{C})$$

local Poincaré lemma implies

$\mathcal{A}_C^k$ : sheaf of  $C^\infty$   $\mathbb{C}$ -valued  $k$ -forms

$$\text{In particular, } H_C^k(X) = A^k(X, \mathbb{C})$$

$$0 \rightarrow \underline{\mathbb{C}} \hookrightarrow \mathcal{A}_C^0 \rightarrow \mathcal{A}_C^1 \rightarrow \dots \quad \text{exact}$$

$$\Rightarrow H^k(X, \mathbb{C}) \simeq H^k(I(X, A_C)) = H_{\text{dR}}^k(X, \mathbb{C})$$

$$0 \rightarrow A_C^0(X, \mathbb{C}) \xrightarrow{d} A_C^1(X, \mathbb{C}) \rightarrow \dots$$

(2)  $\mathcal{A}_X^{p,q}$ : sheaf of  $C^\infty$   $(p,q)$ -forms

$$\text{In part. } \mathcal{A}_X^{p,q}(X) = A^{p,q}(X)$$

$$0 \rightarrow \mathcal{J}_X^0 \hookrightarrow \mathcal{A}_X^{p,0} \xrightarrow{\bar{\partial}} \mathcal{A}_X^{p,1} \xrightarrow{\bar{\partial}} \dots \quad \text{exact}$$

$$\rightsquigarrow H^q(X, \mathcal{J}_X^p) \simeq H^{p,q}(X)$$

$$(3) \text{ to show } H_{\text{dR}}^k(X, \mathbb{C}) \equiv \bigoplus_{p+q=k} H^{p,q}(X) \quad \begin{matrix} \xrightarrow{[\Theta]_k} \\ \Downarrow \\ \xleftarrow{[\Theta]_k} \end{matrix} \quad \begin{matrix} \xrightarrow{[\Theta^{p,q}]} \\ \Downarrow \\ \xleftarrow{[\Theta^{p,q}]} \end{matrix}$$

$$\mathcal{H}^k(X, g) = \bigoplus_{p+q=k} \mathcal{H}^p(X, g) = \bigoplus_{p+q=k} \mathcal{H}_{\bar{\partial}}^k(X, g)$$

Kähler

check independent of  $g$



Rmk

$$b_K := \dim_{\mathbb{C}} H^K_{\text{dR}}(X, \mathbb{C})$$

$$h_{p,q} = \dim_{\mathbb{C}} H^{p,q}(X)$$

$(X, J, g, \omega)$  compact Kähler

Betti number

Hodge number

$$\dim_{\mathbb{C}} X = n$$

$\Rightarrow$

- $b_K = b_{2n-K}$

(Poincaré duality)

- $h_{p,q} = h_{m-q, m-p}$

(Hodge duality) }  $\Rightarrow h_{p,q} = h_{m-p, m-q}$

- $h_{p,q} = h_{q,p}$

(conjugation)

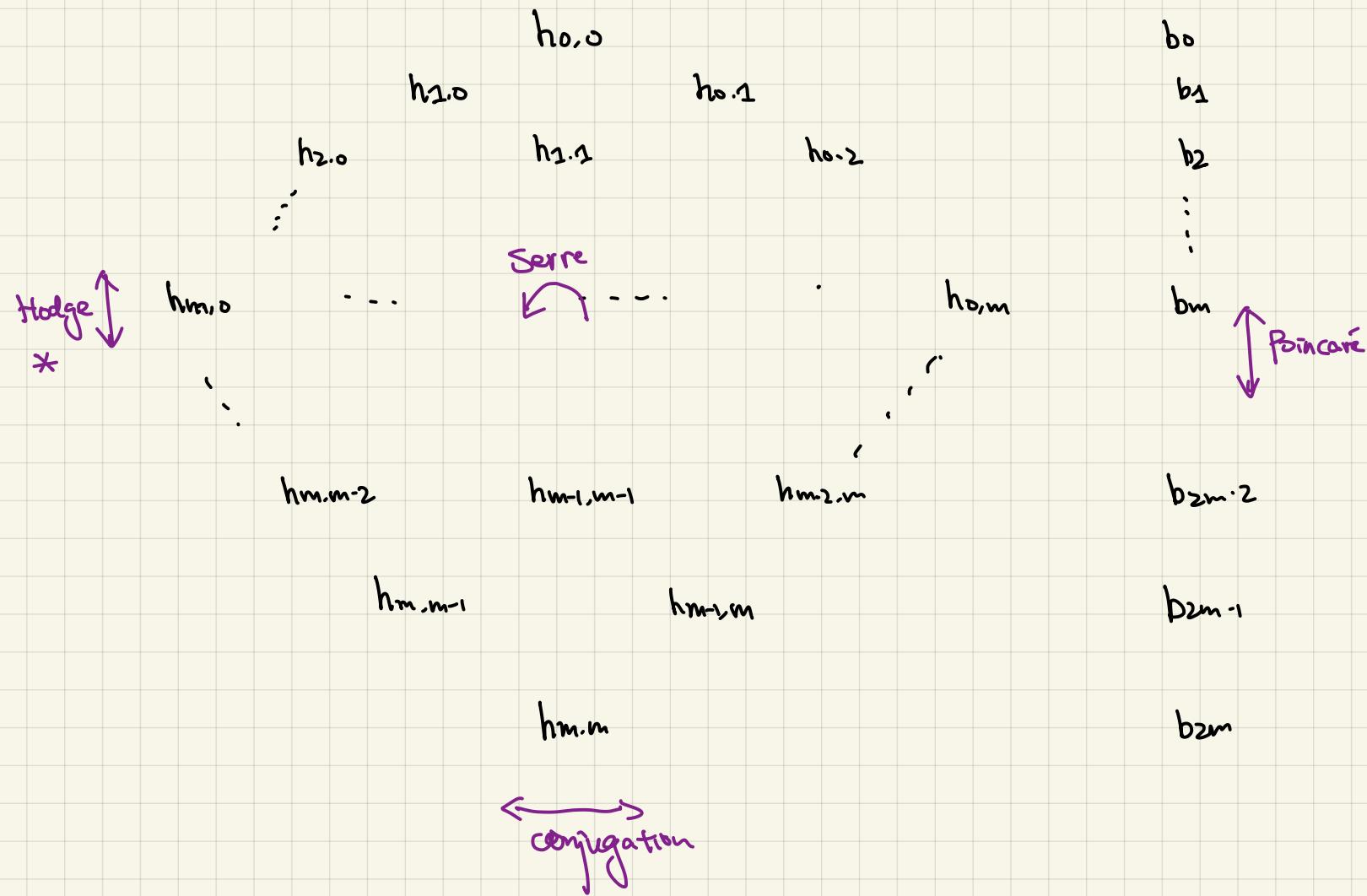
(Serre duality)

- $b_K = \bigoplus_{p+q=K} h_{p,q}$

(Hodge decomposition)

$\rightsquigarrow b_K$  is even if  $K$  odd.

$\rightsquigarrow$  Hodge diamond

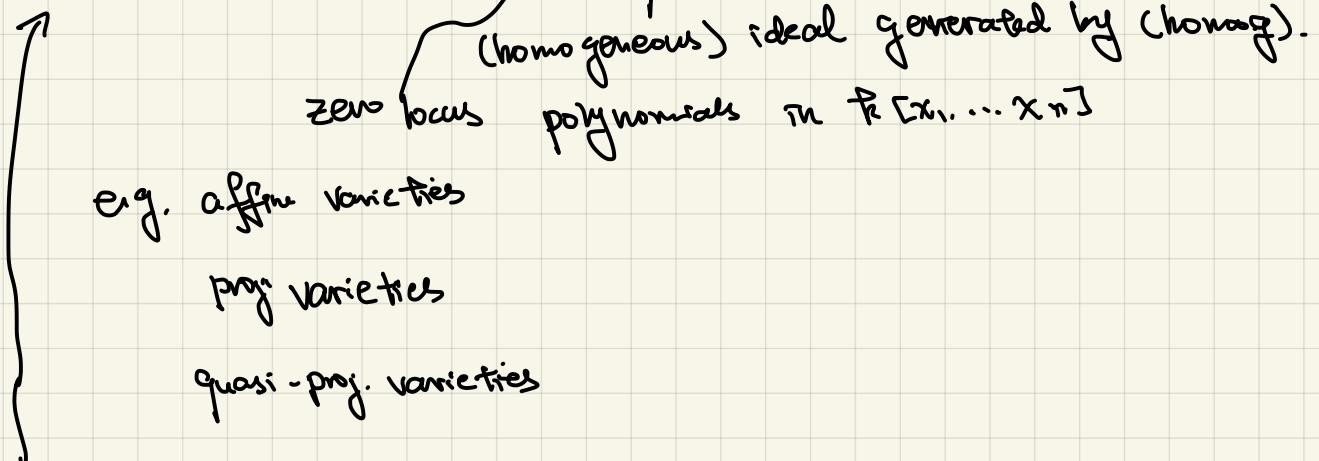


## Lecture 3

## Algebraic groups and affine GIT

Setting:  $\bar{\mathbb{F}} = \overline{\mathbb{F}}$ ,  $\text{char } \bar{\mathbb{F}} = 0$ . e.g.  $\bar{\mathbb{F}} = \mathbb{C}$

algebraic varieties: defined as  $Z(I)$ . + Zariski top.



integral separated scheme of finite type.

Q: Classification problems in algebraic geometry:

(A, ~) for A: collection of objects e.g. varieties. top. spaces  
bundles

• ~: equivalence relation e.g. homeomorphisms  
iso.  
...

find an algebraic variety  $M_{/\bar{\mathbb{F}}}$  to describe  $A/\sim$  so that

$$M(\bar{\mathbb{F}}) \sim A/\sim$$

$$\Downarrow \quad \longleftrightarrow \quad \Downarrow$$

$$X \qquad \qquad \qquad \Sigma I$$

via GIT (geometric invariant theory).

### §1. Linear algebraic groups

Def 1.1 An algebraic group  $G/\bar{\mathbb{F}}$  is a group that admits a structure of algebraic variety  $/\bar{\mathbb{F}}$  s.t.

(1) multiplication  $m: G_1 \times G_1 \rightarrow G_1$   
 $(g, h) \mapsto gh$

(2) inverse  $i: G_1 \rightarrow G_1$   
 $g \mapsto g^{-1}$

are morphisms of alg. varieties.

Def 1.2 A homomorphism of alg. gps  $f: G_1 \rightarrow G_1'$  is both a morphism of alg. varieties and a homomorphism of gps.

Prop 1.3 Every algebraic group is non-singular as an alg. variety.

- non-singular at some  $g \in G_1$ .
- $\forall h = hg^{-1} \cdot g \rightarrow$  non-singular at  $h$ .

$$\text{E.g. } GL(n, \mathbb{F}) := \{ X \in M_{nn}(\mathbb{F}) : \det X \neq 0 \}$$

$$\simeq \{(g, \lambda) \in M_{nn}(\mathbb{F}) \times \mathbb{F} : \det(g) \cdot \lambda = 1\} \subseteq \mathbb{A}_{\mathbb{F}}^{n^2+1}$$

closed subvariety. so affine

Def 1.4 A linear algebraic group is an algebraic group that can be identified with a closed subgroup of some  $GL(n, \mathbb{F})$ .

An affine algebraic group is an algebraic group that is also an affine variety.

Rmk over  $\mathbb{F} = \overline{\mathbb{F}}$ ,  $\text{char } \mathbb{F} = 0$

linear alg. gps = affine alg. groups.

Ex. (1)  $G_m := (\mathbb{F}^*, \times) \subseteq GL(1, \mathbb{F})$

(2)  $G_a := (\mathbb{F}, +)$

$$\text{as } G_0 \simeq \left\{ \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} : t \in \mathbb{R} \right\} \subset GL(2, \mathbb{R})$$

$$(3) SL(n, \mathbb{R}) \cong GL(n, \mathbb{R}) \cap Z(\det - 1)$$

$$(4) O(n, \mathbb{R})$$

$$SO(n, \mathbb{R})$$

$$PGL(n, \mathbb{R})$$

...

(5) (Non-linear alg. group) - elliptic curves.

· abelian varieties

Now,  $G_0$  is linear alg. group.  $\mathbb{R}$ .

$V$ . finite dimensional vector space.

$\rho : G_0 \rightarrow GL(V)$  representation

Def 1.5 (1)  $\rho$  is irreducible if there is no non-trivial  $\rho$ -invariant subspace of

$V$ .

· non-trivial: except  $\{0\}$ . and  $V$ .

·  $\rho$ -invariant subspace:  $W \subseteq V$  subspace s.t.  $\rho(g)(W) \subseteq W$

$$\forall g \in G$$

(2)  $\rho$  is semisimp / completely reducible if  $\forall$  non-trivial  $\rho$ -invariant subspace has  $\rho$ -invariant complement.

or equivalently.  $\rho = \bigoplus_{i=1}^l \rho_i$  for each  $\rho_i$  irreducible

$$\text{i.e., } \cdot V = \bigoplus_{i=1}^l V_i \quad V_i \subset V \text{ subspace, } \rho\text{-inv.}$$

$$\cdot \rho_i := \rho|_{V_i} : G \rightarrow GL(V_i)$$

(3)  $G_0$  is reductive if  $\forall$  finite dimensional representation of  $G_0$

check!

is completely reducible.

Weyl, Nagata, Mumford

$G$  is reductive if unipotent radical is trivial. i.e. the maximal connected unipotent closed subgroup is trivial.

e.g.  $GL(n, \mathbb{R})$ . the maximal <sup>normal</sup> connected closed subgp is

$T \subset GL(n, \mathbb{R})$  maximal torus. i.e.

$$\text{diag}(\lambda_1, \dots, \lambda_n)$$

$$\text{unipotent} : \text{diag}(1, \dots, 1)$$

$$SL(n, \mathbb{R}), PGL(n, \mathbb{R})$$

$$O(n, \mathbb{R}), SO(n, \mathbb{R})$$

But.  $G_a$  is not reductive!

$$G_a \approx \left\{ \begin{pmatrix} \lambda & \\ 0 & 1 \end{pmatrix} : \lambda \in \mathbb{R} \right\} \subset GL(2, \mathbb{R})$$

but the unipotent radical is itself. non-trivial.

or equiv.  $f: G_a \rightarrow GL(\mathbb{R}^2)$

$$\left\langle \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right\rangle \subset \mathbb{R}^2 \quad G_a\text{-inv.}$$

but it has no  $G_a$ -inv. complement!

§ 2. Algebraic group action.

Defn:  $G$ -variety is an alg. variety  $X/\mathbb{R}$  s.t.  $G \curvearrowright X$

i.e.  $\exists$  a group action  $G \times X \rightarrow X$  also morphism of varieties  
 $(g, x) \mapsto gx$

$$\cdot g.(hx) = (gh).x$$

$$\forall g, h \in G$$

$$\cdot e.x = x$$

$$\forall x \in X$$

Prop 2.2  $X$  is a Gr-variety. then for  $\forall x \in X$

- (1)  $G \cdot x \subset X$  is smooth locally closed. i.e.  $G \cdot x \subset \overline{G \cdot x}$  open
- (2)  $G \cdot x$  is equi-dimensional. of  $\dim(G \cdot x) = \dim(G) - \dim(G_x)$   
for  $G_x := \{g \in G : g \cdot x = x\}$

- (3)  $\overline{G \cdot x} \setminus G \cdot x$  is the union of some closed orbits of  $< \dim(G \cdot x)$

$\Rightarrow$  any orbit of minimal dimension is closed.

$\forall G$ -inv.  $\mathcal{Y} \subseteq X$  contains a closed orbit. (In particular,  $\overline{G \cdot x}$  contains a closed orbit.)

Pf. Lem 2.3 (Chevalley)  $\varphi: X \rightarrow Y$  regular map of varieties /R.

$\Rightarrow \forall U \subset X$  constructible, its image  $\varphi(U)$  is constructible.  
 $\uparrow$  finite union of locally closed subsets.

In particular,  $\varphi(X)$  is constructible

$$\begin{aligned} (1) \quad \sigma_x: G \rightarrow X &\Rightarrow G \cdot x = \text{Im}(\sigma_x) \Rightarrow \text{constructible} \\ g \mapsto g \cdot x &\Rightarrow U \subset G \cdot x \subset \overline{G \cdot x} \quad \text{for } U \subset \overline{G \cdot x} \text{ open.} \end{aligned}$$

$G \supseteq \overline{G \cdot x}$  transitively  $\forall g \in G \cdot x$  can be covered by moving  $U$ .

$\Rightarrow G \cdot x$  locally closed

$$\begin{aligned} (2) \quad \sigma_x: G \rightarrow G \cdot x &\text{ flat with the fiber at } x \text{ is } \sigma_x^{-1}(x) = G_x \\ &\Rightarrow \dim G = \dim(G \cdot x) + \dim(G_x) \end{aligned}$$

$$(3) \quad \overline{G \cdot x} \setminus G \cdot x \subset \overline{G \cdot x} \text{ closed by (1)}$$

$$G \curvearrowright \overline{G \cdot x} \setminus G \cdot x \Rightarrow \overline{G \cdot x} \setminus G \cdot x = \bigcup_{\substack{g \in U \\ \text{some } U \subset G}} G \cdot g$$

14

### § 3. Quotients and affine GIT.

$X$   $G$ -variety.  $G$  linear alg. group. /  $\mathbb{R}$

affine

$$G \times X \rightarrow X$$

$$(g, x) \mapsto g \cdot x$$

$\rightsquigarrow$  want "quotient".

(1) "stronger sense":  $X/G := \{G \cdot x : x \in X\}$  has the str. of alg. var.  
at. least if  $G \cdot x \subset G$  closed

(2) "weaker sense": allow  $\exists$  non-closed orbits..  $\Rightarrow$  we already know closed orbits always exist! Need a method to identify non-closed orbits.  
with non-empty intersection of their closures.

Def 3.1 A categorical quotient of  $G \curvearrowright X$  is  $(Y, \varphi)$  s.t.

$\varphi: X \rightarrow Y$   $G$ -invariant morphism of varieties, which is universal

i.e.  $\forall$   $G$ -invariant morphism  $f: X \rightarrow Z$  uniquely factors through  $\varphi$ .

$G \curvearrowright X$

$$\varphi(g \cdot x) = \varphi(x) \quad \forall g \in G$$

$$\begin{array}{ccc} X & \xrightarrow{\varphi} & Y \\ & \searrow f & \downarrow \exists ! g \\ & & Z \end{array}$$

Rmk 1) Not necessarily surjective (for general alg. var. see. arXiv: 9806049)

2) categorical quotient. if exist. is unique up to isomorphism  
(check!)

$$3). \overline{G \cdot x_1} \cap \overline{G \cdot x_2} \neq \emptyset \Rightarrow \varphi(G \cdot x_1) = \varphi(G \cdot x_2)$$

$$\begin{matrix} & & & & \parallel \\ & & & & \parallel \\ \varphi(x_1) & & & & \varphi(x_2) \\ & & & & \parallel \\ \varphi(\overline{G \cdot x_1}) & = & & & \varphi(\overline{G \cdot x_2}) \end{matrix}$$

$$\cdot G \cdot x_1, G \cdot x_2 \text{ closed } G \cdot x_1 \cap G \cdot x_2 = \emptyset \Rightarrow \varphi(G \cdot x_1) \neq \varphi(G \cdot x_2)$$

(check!)

$$\mathbb{F}[x] \text{ coordinate ring} = \mathbb{F}[x_1, \dots, x_n] / I(X) \cong \mathcal{O}_X(X)$$

finitely generated  $\mathbb{F}$ -alg.

$$G \curvearrowright X \rightsquigarrow G \curvearrowright \mathbb{F}[x] \text{ via}$$

$f \in \mathbb{F}[x]$ . define

$$g \cdot f := f(g^{-1} \cdot)$$

$$\therefore f^g$$

$$\text{define } \mathbb{F}[x]^G := \{ f \in \mathbb{F}[x] : f^g = f \quad \forall g \in G \}$$

of varieties

Def 3.2 A good quotient of  $G \curvearrowright X$  is  $G$ -invariant morphism  $\varphi: X \rightarrow Y$  s.t.

(1)  $\varphi$  surjective & affine

(2)  $\forall U \subset Y$  open. the pull-back

$$\varphi^*: \mathcal{O}_Y(U) \longrightarrow \mathcal{O}_X(\varphi^{-1}(U))$$

$$f \mapsto f \circ \varphi$$

$\varphi$  is  $G$ -inv.

$\Rightarrow f \circ \varphi$  is  $G$ -inv.

i.e.  $\text{Im}(\varphi^*) \subset \mathcal{O}_X(\varphi^{-1}(U))^G$

induces an isomorphism

$$\mathcal{O}_{Y(U)} \xrightarrow{\sim} \mathcal{O}_X(\varphi^{-1}(U))^G$$

(3)  $\forall W \subset X$   $G$ -invariant closed  $\Rightarrow \varphi(W) \subset Y$  closed.

(4)  $\forall W_1, W_2 \subset X$ .  $G$ -inv. & closed i.e.  $W_1 \cap W_2 = \emptyset$   
 $\Rightarrow \varphi(W_1) \cap \varphi(W_2) = \emptyset$ .

Def 3.3 A geometric quotient of  $G \backslash X$  is a good quotient  $\varphi: X \rightarrow Y$   
which is also an orbit space.

$\forall y \in Y \quad \varphi^{-1}(y)$  is a single closed orbit.

The following property says good / geom. quotients are local w.r.t. the base.

Prop 3.4 (1)  $\varphi: X \rightarrow Y$  good (resp. geometric) quotient of  $G \backslash X$   
 $\Rightarrow \forall U \subset Y$  open.  $\varphi|_{\varphi^{-1}(U)}: \varphi^{-1}(U) \rightarrow U$  is good (resp. geometric)

quotient for  $G \backslash \varphi^{-1}(U)$ .

(2)  $\varphi: X \rightarrow Y$   $G$ -inv. morphism. if  $\exists Y = \cup_i V_i$  open covering of  $Y$   
s.t.  $\varphi|_{\varphi^{-1}(V_i)}: \varphi^{-1}(V_i) \rightarrow V_i$  is good (resp. geom) quotient  $\forall i$   
 $\Rightarrow \varphi: X \rightarrow Y$  is a good (resp. geom.) quotient.

Prop 3.5 Geometric quotient  $\Rightarrow$  good quotient  $\Rightarrow$  categorical quotient  
 $\nwarrow$   $\nearrow$   
 $\uparrow$   
very hard to construct.

pf (sketch) good  $\Rightarrow$  categorical:

$\forall G$ -invariant morphism  $f: X \rightarrow Z$  uniquely factors through  $\varphi: X \rightarrow Y$

define set-theoretic map

$$\begin{aligned} g: Y &\rightarrow Z \\ y &\mapsto f(x) \end{aligned}$$

$$\begin{array}{c} \text{def } Y \\ \text{factors } g \\ f: X \rightarrow Z \end{array}$$

$x$  is any element in  $\varphi^*(y)$

Show: • well-defined.

•  $g$  is a morphism of varieties.:

• Continuous under Zariski top.

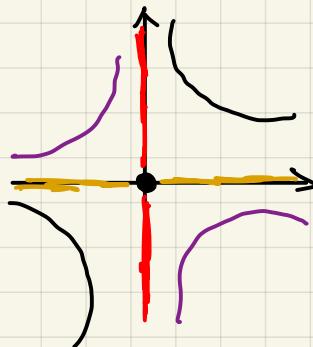
•  $\forall V \subset \mathbb{A}^2$  affine open.

$g|_{g^{-1}(V)} : g^{-1}(V) \rightarrow V$  is a morphism.

□

Ex 1)  $G_m \curvearrowright \mathbb{A}_k^2 = \text{Spec}(k[x, y])$

$$t \cdot (x, y) := (tx, ty)$$



closed      • closed

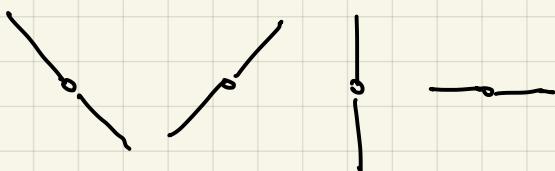
•  $\subseteq$        $\rightsquigarrow$  orbits      , ——, • will be identified.  
 $\subseteq$  ——

$\Rightarrow \varphi : \mathbb{A}_k^2 \rightarrow \mathbb{A}_k^1 (= \text{Spec}(k[x, y]))$  good quotient

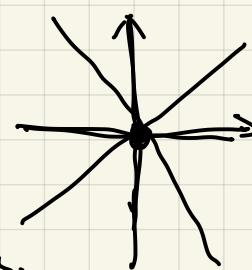
$$(x, y) \mapsto xy$$

not geometric quotient

2)  $G_m \curvearrowright \mathbb{A}_k^2$  via  $t \cdot (x, y) = (tx, ty)$



non-closed orbits.



• closed  $\subseteq$  closure of all orbits  $\Rightarrow$  all orbits will be identified.

$\Rightarrow \varphi : \mathbb{A}_k^2 \rightarrow \text{pt}$

3)  $G_m \curvearrowright \mathbb{A}_k^2 \setminus \{(0, 0)\}$ . via  $t \cdot (x, y) := (tx, ty)$

$\rightsquigarrow$  all orbits are closed

$\rightsquigarrow$  geom. quotient  $\varphi : \mathbb{A}_k^2 \setminus \{(0, 0)\} \rightarrow \mathbb{P}_k^1$ .

$$G \curvearrowright X \rightsquigarrow G \curvearrowright k[X] \rightsquigarrow k[X]^G$$

Q: Is  $k[X]^G$  finitely generated?

Thm 3.6 (Nagata)  $G$  reductive  $\Rightarrow k[X]^G$  is finitely generated  $k$ -alg.

Def 3.7 The affine GIT quotient is  $\varphi: X \rightarrow X//G := \text{Spec}(k[X]^G)$  induced from  $k[X]^G \hookrightarrow k[X]$

Thm 3.8  $\varphi: X \rightarrow X//G$  is good quotient. Hence categorical quotient.

Pf. Denote  $Y := X//G$

(1)  $\varphi: X \rightarrow Y$  is  $G$ -invariant:

if not.  $\exists g \in G$  s.t.  $\varphi(g \cdot x) \neq \varphi(x)$

$\Rightarrow \exists f \in \mathcal{O}_Y(Y) \text{ s.t. } f(\varphi(gx)) \neq f(\varphi(x))$

i.e.  $(\varphi^* f)(gx) \neq (\varphi^* f)(x)$ .

Contradiction to  $\varphi^*(\mathcal{O}_Y(Y)) \subset \mathcal{O}_X(X)^G$ .

(2)  $\forall W_1, W_2 \subset X$  closed disjoint  $G$ -inv. subsets.

then  $\overline{\varphi(W_1)} \cap \overline{\varphi(W_2)} = \emptyset$

closed disjoint  $G$ -invariant subsets are distinguished by  $G$ -invariant functions.

i.e.  $\exists f \in k[X]^G$ . s.t.  $f(W_1) = 1$ ,  $f(W_2) = 0$ .

$k[X]^G \cong k[Y]$  view.  $f \in k[Y] \Rightarrow f(\varphi(w_1)) = 1$

$f(\varphi(w_2)) = 0$

$\Rightarrow \varphi(W_1) \cap \varphi(W_2) = \emptyset$

(3)  $\forall W \subset X$  closed  $G$ -invariant.  $\Rightarrow \varphi(W) \subset Y$  closed.

if not.  $\exists y \in \overline{\varphi(W)} \setminus \varphi(W)$ .  $W_1 := W$   $W_2 := \varphi^{-1}(y)$

$\Rightarrow W_1, W_2$  are closed-disjoint G-invariant.

$$\stackrel{(2)}{\Rightarrow} \overline{\varphi(W_1)} \cap \overline{\varphi(W_2)} = \emptyset \quad \text{r.e. } \overline{\varphi(W)} \cap \{\mathbf{y}\} = \emptyset \quad \exists$$

□

$$G \curvearrowright X \rightsquigarrow \varphi: X \rightarrow X/G = \mathrm{Spec}(\mathbb{R}[X]^G).$$

Q: What do the  $\mathbb{R}$ -pts  $X/G(\mathbb{R})$  represent?

Def 3.9 (1)  $x \in X$  is polystable if  $G \cdot x \subset X$  is closed.

(2)  $x \in X$  is stable if  $\bullet G \cdot x \subset X$  closed

$$\bullet \dim(G_x) = 0$$

(3)  $x_1, x_2 \in X$  are called S-equivalent if  $\overline{G \cdot x_1} \cap \overline{G \cdot x_2} \neq \emptyset$

denoted as  $x_1 \sim_S x_2$ .

Seescherkri

$X^{ps}$  (resp.  $X^s$ )  $\subset X$ : sets of polystable (resp. stable) points.

Thm 3.10

(1)  $X^s \subset X$  open & G-invariant.

(2)  $\varphi(X^s) \subset X/G$  open &  $\varphi^+(\varphi(X^s)) = X^s$

(3)  $\varphi|_{X^s}: X^s \rightarrow \varphi(X^s)$  geometric quotient.

Thm 3.11 (Hilbert-Mumford criterion)

$x \in X$ , let  $O_x \subset \varphi^+(\varphi(x))$  the unique closed orbit.

$\Rightarrow \exists 1\text{-PS } \lambda: \mathbb{G}_m \rightarrow G$  s.t.

$\lim_{t \rightarrow 0} \lambda(t) \cdot x$  exists  $\in O_x$

1-PS: 1-parameter subgroup  
is a group homomorphism

$\lambda: \mathbb{G}_m \rightarrow G$

In particular.

$x \in X^s \Leftrightarrow \lim_{t \rightarrow 0} \lambda(t) \cdot x$  does not exist for  $\forall$  non-trivial 1-ps  $\lambda: G \rightarrow G$ .

Pf:

" $\Rightarrow$ ": if  $\exists$  non-trivial  $\lambda: G \rightarrow G$  s.t.  $\lim_{t \rightarrow 0} \lambda(t) \cdot x =: y$  exists.

$$\Rightarrow y \in \overline{G \cdot x} = G \cdot x \Rightarrow y \in X^s \text{ since } x \in X^s$$

but  $Gy$  contains  $\lambda(Gx) \rightsquigarrow \dim(Gy) > 0$

contradicts to  $y \in X^s$ .

" $\Leftarrow$ " if  $\lim_{t \rightarrow 0} \lambda(t) \cdot x$  does not exist for  $\forall$  non-trivial 1-ps.

$\Rightarrow G \cdot x$  closed.

Cof not.  $O_x \subset \overline{G \cdot x} \setminus G \cdot x$  by  $\lim_{t \rightarrow 0} \lambda(t) \cdot x \in O_x$  for trivial  $\lambda$   
 $\Rightarrow g \cdot x \in O_x \text{ for some } g \in G.$  )

$Gx$  finite: otherwise it contains a non-trivial 1-ps. impossible.



Cor 3.12 The following sets of  $\mathbb{R}$ -ps are 1:1 correspondence (bijective)

$$X/G(\mathbb{R}) \simeq X^{ps}(\mathbb{R})/G(\mathbb{R}) \simeq X(\mathbb{R})/\sim_S$$

Pf:

(1)  $\forall \overline{G \cdot x}$  contains a unique closed orbit.

(2)  $\forall x_1, x_2. \quad \varphi(x_1) = \varphi(x_2) \Leftrightarrow \overline{G \cdot x_1} \cap \overline{G \cdot x_2} \neq \emptyset$   
 $\Leftrightarrow x_1 \sim_S x_2$



## Lecture 4

### Betti spaces

Recall:

$$\bar{\mathbb{R}} = \overline{\mathbb{R}}, \text{ char } \bar{\mathbb{R}} = 0$$

$G$  reductive alg. group /  $\bar{\mathbb{R}}$

$X$ : affine  $G$ -variety

$$\rightsquigarrow \text{affine GIT quotient } \varphi: X \rightarrow X//G := \text{Spec}(\bar{\mathbb{R}}[X]^G)$$

Prop  $\varphi: X \rightarrow X//G$  is good quotient. in particular,  $\varphi$  is surjective.

Lemma:  $\forall$  ideal  $I \subset \bar{\mathbb{R}}[X]^G$

$$\bar{\mathbb{R}}[X]I \cap \bar{\mathbb{R}}[X]^G = I$$

PF of lemma.

$A$   $\bar{\mathbb{R}}$ -algebra.  $G \curvearrowright A$

$\Rightarrow \exists$  a linear map of  $\bar{\mathbb{R}}$ -algs  $R: A \rightarrow A^G$  s.t.

$$R(fg) = R(f) R(g) \quad \forall f \in A, g \in A^G$$

In particular,  $R(f) = f$  whenever  $f \in A^G$ .

This operator is called the Reynolds operator.

Applying  $R$  to  $A := \bar{\mathbb{R}}[X]$ .  $A^G := \bar{\mathbb{R}}[X]^G$ .

It suffices to show  $\bar{\mathbb{R}}[X]I \cap \bar{\mathbb{R}}[X]^G \subset I$ :

$$\forall f = \sum_i f_i h_i \in \bar{\mathbb{R}}[X]^G \quad f_i \in \bar{\mathbb{R}}[X], h_i \in I \subset \bar{\mathbb{R}}[X]^G$$

$$\Rightarrow f = R(f) = \sum_i R(f_i h_i) = \sum_i \underbrace{R(f_i)}_{\in \bar{\mathbb{R}}[X]^G} \underbrace{h_i}_{\in I} \subset \bar{\mathbb{R}}[X]^G I \subset I$$



Proof of proposition:

$$\forall y \in X//G \Leftrightarrow \text{maximal ideal } I_y \subset \bar{\mathbb{R}}[X]^G$$

Lemma  $\Rightarrow \mathbb{F}[x]Iy \subset \mathbb{F}[x]$  proper ideal

$\Rightarrow \mathbb{F}[x]Iy \subset I_x$ , for some  $I_x \subset \mathbb{F}[x]$  maximal ideal

by definition  $\varphi(x) = y$ .

□

$x \in X$  is

- polystable if  $Gx \subset X$  is closed
- stable if  $\bigcap G \cdot x \subset X$  is closed
  - $Gx$  finite i.e.  $\dim(Gx) = 0$

- $x_1, x_2 \in X$  are  $S$ -equivalent if  $\overline{G \cdot x_1} \cap \overline{G \cdot x_2} \neq \emptyset$   
denote  $x_1 \sim_S x_2$

$X^S \subset X$  subset of polystable points

$X^s \subset X$  subset of stable points

Thm (1)  $X^s \subset X$  open &  $G$ -invariant

(2)  $\varphi(X^s) \subset X/G$  open &  $\varphi^{-1}(\varphi(X^s)) = X^s$

(3)  $\varphi|_{X^s}: X^s \rightarrow \varphi(X^s)$  geometric quotient

proof

(1)  $X^s \subset X$  open:  $\forall x \in X^s$ .  $\exists$  open nbhd of  $x$  in  $X^s$

• define  $X_+ := \{x \in X: \dim(Gx) > 0\}$

then  $X_+ \subset X$  closed

•  $G \cdot x \subset X$  closed

$\Rightarrow X_+ \cap G \cdot x \neq \emptyset$ . so they can be distinguished by some  $G$ -invariant function  $f \in \mathbb{F}[x]^G$ .

i.e.  $f(x_+) = 0$ ,  $f(G \cdot x) = 1$

define

$$X_f := \{x \in X : f(x) \neq 0\} \subset X \text{ open.}$$

Claim:  $X_f$  is the desired neighborhood. i.e.,  $x \in X_f \subset X^s$

1<sup>st</sup>:  $\forall x' \in X_f$ .  $f(x') \neq 0 \Rightarrow x' \notin X_+ \Rightarrow \dim(G_{x'}) = 0$

2<sup>nd</sup>: suppose  $\exists x' \in X_f$  s.t.  $G \cdot x'$  not closed.

$\Rightarrow \overline{G \cdot x'} \setminus G \cdot x'$  contains a closed orbit. say  $G \cdot x''$  with

$$\dim(G \cdot x'') < \dim(G \cdot x') \leq \dim(G) \Rightarrow \dim(G_{x''}) > 0$$

but  $x'' \in \overline{G \cdot x'} \setminus G \cdot x' \Rightarrow f(x'') \neq 0 \Rightarrow x'' \notin X_+ \Rightarrow \dim(G_{x''}) = 0 \quad \square$

$$\Rightarrow X_f \subset X^s$$

(2)  $\varphi(X^s) \subset X/G$  open.

$$f \in \mathbb{R}[x]^G \cong \mathbb{R}[X/G] \rightsquigarrow \varphi(X_f) = \{y \in X/G : f(y) \neq 0\} \subset X/G \text{ open}$$

$$\Rightarrow \varphi(X^s) \subset X/G \text{ open}$$

$$X_f = \varphi^{-1}(\varphi(X_f)) :$$

if not, let  $x \in \varphi^{-1}(\varphi(X_f)) \setminus X_f \Rightarrow f(x) = 0$

$$f \in \mathbb{R}[X/G] \Rightarrow f(\varphi(x)) = 0 \quad \square$$

$$\Rightarrow X^s = \varphi^{-1}(\varphi(X^s))$$

□

§2. Local systems = fundamental group representations

$X$  connected, locally simply connected top. space.

Def 2.1 A  $\mathbb{R}$ -local system of rank  $n$  on  $X$  is a  $\mathbb{R}^n$  locally constant sheaf of  $\mathbb{R}$ -vector spaces. e.g. i.e.  $\forall x \in X \quad \exists$  open  $U \subset X$  s.t.

$$f|_U \cong \mathbb{R}^n \text{ constant sheaf on } U$$

$\mathcal{C}_{\text{Loc}}(X, \mathbb{R})$ : category of  $\mathbb{R}$ -local systems of repn on  $X$

objects: ...

morphisms: morphism of sheaves.

$\phi: \mathcal{F} \rightarrow \mathcal{F}'$  is morphism of sheaves is collection of  
morphism of  $\mathbb{R}$ -vector spaces.  $\phi_U: \mathcal{F}(U) \rightarrow \mathcal{F}'(U)$

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\phi_U} & \mathcal{F}'(U) \\ r_{UV}^{\mathcal{F}} \downarrow & \cong & \downarrow r_{UV}^{\mathcal{F}'} \\ \mathcal{F}(V) & \xrightarrow{\phi_V} & \mathcal{F}'(V) \end{array}$$

$\mathcal{C}_{\text{Rep}}(X, \mathbb{R})$ : category of fundamental group representations  $\rho: \pi_1(X, x) \rightarrow \text{GL}(n, \mathbb{R})$   
fix  $x \in X$  base point.

objects: ...

morphisms: A morphism of 2 representations  $\rho_1, \rho_2: \pi_1(X, x) \rightarrow \text{GL}(n, \mathbb{R})$

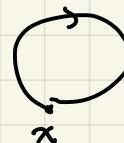
is a linear map  $g: \mathbb{R}^n \rightarrow \mathbb{R}^n$  s.t.

$$\rho_1(\gamma) \circ g = g \circ \rho_2(\gamma) \quad \forall \gamma \in \pi_1(X, x)$$

$$\text{i.e. } \rho_1(\gamma) = g \circ \rho_2(\gamma) \circ g^{-1}$$

Thm 2.2 The above 2-categories are equivalent:

$$\mathcal{C}_{\text{Loc}}(X, \mathbb{R}) \cong \mathcal{C}_{\text{Rep}}(X, \mathbb{R})$$



pf (sketch)

" $\Rightarrow$ "  $\mathcal{F} \in \mathcal{C}_{\text{Loc}}(X, \mathbb{R})$ .  $\forall$  loop  $\gamma: [0, 1] \rightarrow X$  based at  $x \in X$

$\gamma^* \mathcal{F}$  is a  $\mathbb{R}$ -local system on  $[0, 1]$

$[0, 1]$  simply-connected  $\Rightarrow \gamma^* \mathcal{F}$  constant sheaf

$$\Rightarrow (\gamma^* \mathcal{F})_0 \xleftarrow[r_{A0} \cong]{\sim} (\gamma^* \mathcal{F})_{[0, 1]} \xrightarrow[r_{A1}]{\sim} (\gamma^* \mathcal{F})_1$$

$$\Rightarrow \delta_*: \mathcal{F}_{\delta(0)} \xrightarrow{\sim} \mathcal{F}_{\delta(1)}$$

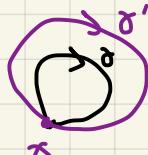
is                      is  
 $\mathbb{R}^n$                        $\mathbb{R}^n$

it suffices to show:

- (check)! •  $\delta_*$  is  $\mathbb{R}$ -linear:  $\delta_*(v + \lambda w) = \delta_*(v) + \lambda \delta_*(w)$      $v, w \in \mathcal{F}_{\delta(0)}$   
• homotopy invariant:  $\delta \sim \delta' \Rightarrow \delta_* \stackrel{\sim}{=} \delta'_*$ .

homotopy inv.:

$$\delta \sim \delta' \Rightarrow H: [0, 1] \times [0, 1] \rightarrow X \quad \Leftrightarrow \quad H(0, t) = \delta(t)$$



$$H(1-t) = \delta'(t)$$

$$\Rightarrow \mathcal{F}_{H(0,0)} \xrightarrow[\sim]{\delta_*} \mathcal{F}_{H(0,1)}$$

$$\parallel \qquad \qquad \parallel$$

$$\mathcal{F}_{H(1,0)} \xrightarrow[\sim]{\delta'_*} \mathcal{F}_{H(1,1)}$$

$$\Rightarrow \delta_* = \delta'_*$$

→ well-defined map  $\rho: \pi_1(X, x) \rightarrow GL(n, \mathbb{R})$ . called the  
 $[\delta] \mapsto \delta_*$

monodromy representation of the  $\mathbb{R}$ -local system  $\mathcal{F}$ .

" $\Leftarrow$ "  $\rho: \pi_1(X, x) \rightarrow GL(n, \mathbb{R})$ . let  $\pi: \tilde{X} \rightarrow X$  universal covering.

$$\mathcal{E}_\rho := \tilde{X} \times_{\rho} \mathbb{R}^n := \tilde{X} \times \frac{\mathbb{R}^n}{\pi_1}$$

$$\delta \cdot (\tilde{x}, v) := (\delta \tilde{x}, \rho(\delta)v)$$

$\Sigma_\rho$  the associated sheaf of sections of  $\mathcal{E}_\rho$ .

$A \cup C$  open

$$\mathcal{S}_P(U) := I(U, \mathcal{F}_P)$$

$$\simeq \{ s: \pi^{-1}(U) \rightarrow \mathbb{A}^n : s(\tilde{x}) = P(s) \tilde{x} \quad \forall \tilde{x} \in \tilde{X}. \quad \partial \in \pi_1(x, \tilde{x}) \}$$

check  $\mathcal{S}_P$  locally constant sheaf..  $\mathbb{A}^n$ -local system of  $\mathbb{A}^n$

Finally. show the functor  $\mathcal{F} \mapsto (\delta \mapsto \delta_x)$  is an equivalence of categories.

$$\Rightarrow \mathcal{C}_{Loc(X, n)} \simeq \mathcal{C}_{Rep(X, n)}$$

□

### §3. Betti spaces (abstract theory)

$X$  smooth irreducible projective variety  $\mathbb{P}^n$ . fix  $x \in X$

$\Rightarrow \pi_1(X, x)$  is finitely generated:

$$\pi_1(X, x) = \langle \gamma_1, \dots, \gamma_l : r_1(\gamma_1, \dots, \gamma_l) = \dots = r_m(\gamma_1, \dots, \gamma_l) = \text{Id} \rangle,$$

- $\gamma_1, \dots, \gamma_l$  generators
- $r_1, \dots, r_m$  relations on generators.

Ex  $\dim_{\mathbb{R}} X = 1 \quad g \geq 2$

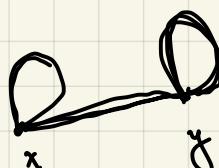
$$\pi_1(X, x) = \langle \gamma_1, \dots, \gamma_g, \gamma'_1, \dots, \gamma'_g : \prod_{i=1}^g [\underbrace{\gamma_i, \gamma'_i}_{\text{Id}}] = \text{Id} \rangle \\ \gamma_i \gamma'_i \gamma_i^{-1} \gamma'^{-1}_i.$$

Define

$$\mathcal{U}(X, x, n) := \text{Hom}(\pi_1(X, x), \text{GL}(n, \mathbb{R})) = \{ \rho: \pi_1(X, x) \rightarrow \text{GL}(n, \mathbb{R}) \}$$

space of fundamental group representations.

Choose  $y \in X$ .



$$\Rightarrow \pi_1(X, x) \cong \pi_1(X, y)$$

$$\Rightarrow \mathcal{U}(x, x, n) \cong \mathcal{U}(x, y, n)$$

We omit the base point, and denote it as  $\mathcal{U}(x, n)$

$\bullet P \in \mathcal{U}(x, n) \quad P: T_{\mathbb{H}^n}(x, x) \rightarrow GL(n, \mathbb{K})$  is fully determined by  $P(\alpha_1), \dots, P(\alpha_\ell)$   
 $\in GL(n, \mathbb{K})$

$\Rightarrow$  embedding  $i: \mathcal{U}(x, n) \hookrightarrow GL(n, \mathbb{K})^\ell$

$$P \mapsto (P(\alpha_1), \dots, P(\alpha_\ell))$$

with image

$$\mathcal{U}(x, n) \cong i(\mathcal{U}(x, n)) = \text{Rel}^\ell(\text{Id}, \dots, \text{Id})$$

$$\text{Rel} = (GL(n, \mathbb{K}))^\ell \rightarrow (GL(n, \mathbb{K}))^m$$

$$(A_1, \dots, A_\ell) \mapsto (r_1(A_1, \dots, A_\ell), \dots, r_m(A_1, \dots, A_\ell))$$

$\Rightarrow \mathcal{U}(x, n)$  can be thought as a closed subvariety of  $GL(n, \mathbb{K})^\ell$ .

so it is an affine variety.

Ex  $\dim_{\mathbb{K}} X = 1$ .

$$\mathcal{U}(x, n) \cong \left\{ (A_1, \dots, A_g, A_1', \dots, A_g') \in GL(n, \mathbb{K})^{2g} : \prod_{i=1}^g [A_i, A_i'] = \text{Id} \right\}$$

$$\subset GL(n, \mathbb{K})^{2g}$$

$GL(n, \mathbb{K}) \curvearrowright \mathcal{U}(x, n)$  by conjugation:

$$\sigma: GL(n, \mathbb{K}) \times \mathcal{U}(x, n) \rightarrow \mathcal{U}(x, n)$$

$$(g, P) \mapsto g \cdot P \cdot g^{-1}$$

$$(g \cdot g^{-1})(\sigma) := g \cdot P(g) \cdot g^{-1}$$

this is compatible with the identification

$$\mathcal{U}(x, x, n) \cong \mathcal{U}(x, y, n)$$

→ well-defined!

⇒ Affine GIT quotient

$$\varphi: \mathcal{U}(x, n) \rightarrow \mathcal{U}(x, n) //_{GL(n, \mathbb{R})} := \text{Spec}(\mathbb{R}[U(x, n)]^{GL(n, \mathbb{R})}) \\ =: M_B(x, n)$$

called the moduli space of fundamental group representations.

"Betti moduli space".

E.g.  $\dim_{\mathbb{R}} X = 1$

(1)  $g=0$   $M_B(x, n) = \begin{cases} \emptyset & n \geq 2 \\ \{\text{pt}\} & n=1 \end{cases}$

(2)  $g \geq 2$   $M_B(x, n) \cong (\mathbb{C}^*)^{2g}$

For  $P \in \mathcal{U}(x, n)$ , recall

Def 3.1 (1)  $P$  is called irreducible/simple if  $\nexists$  non-trivial  $P$ -invariant subspace  
 $W \subset \mathbb{R}^n \neq \{0\}, \mathbb{R}^n, P(\tau)(W) \subseteq W$   
 $\forall \tau \in \pi_1(x, x)$

(2)  $P$  is called completely reducible/semisimple if  $\forall P$ -invariant subspaces  
of  $\mathbb{R}^n$  has a  $P$ -invariant complement.  
or. direct sum of irreducible reps.

Also recall:

Def 3.2

- (1)  $P$  is polystable if the orbit  $GL(n, \mathbb{R}) \cdot P \subset \mathcal{U}(x, n)$  is closed.  
(2)  $P$  is stable if  $\bigcap_{\tau \in \pi_1(x, x)} GL(n, \mathbb{R}) \cdot P \subset \mathcal{U}(x, n)$  closed  
•  $\dim(PGL(n, \mathbb{R}))_P = 0$

(3)  $P_1 \sim_S P_2$  if  $\overline{GL(n, \mathbb{R}) \cdot P_1} \cap \overline{GL(n, \mathbb{R}) \cdot P_2} \neq \emptyset$

Lem 3.3: (1)  $P$  is stable  $\Leftrightarrow P$  is irreducible

(2)  $P$  is polystable  $\Leftrightarrow P$  is completely reducible.

pf

Recall Hilbert-Mumford criterion of stability:

For  $P \in \mathcal{U}(X, n)$ .  $\exists$  1-ps  $\lambda: G_m \rightarrow GL(n, \mathbb{R})$  s.t.  
 $\lim_{t \rightarrow 0} \lambda(t) \cdot P := \lim_{t \rightarrow 0} \lambda(t) P \lambda(t)^{-1}$  exists  $\in \mathcal{O}_P$   
 the unique closed orbit inside  
 $\overline{GL(n, \mathbb{R}) \cdot P}$

In particular,  $P$  stable  $\Leftrightarrow \lim_{t \rightarrow 0} \lambda(t) \cdot P$  doesn't exist for  $\forall$  non-trivial 1-ps  $\lambda: G_m \rightarrow GL(n, \mathbb{R})$

(1)  $P: T^*_X(x, x) \rightarrow GL(n, \mathbb{R})$  stable  $\Leftrightarrow \lim_{t \rightarrow 0} \lambda(t) \cdot P$  does not exist.

$\forall$  non-trivial 1-ps  $\lambda$

By Borel thm. up to conjugation.

$$\lambda(t) = \begin{pmatrix} t^{a_1} & & & \\ & \ddots & & \\ & & t^{a_n} & \end{pmatrix} \in GL(n, \mathbb{R})$$

$$a_1 \geq a_2 \geq \dots \geq a_n \in \mathbb{Z}$$

w.r.t.  $\{e_1, \dots, e_m\}$

write  $a_1 = a_2 = \dots = a_{n_1} > a_{n_1+1} = \dots = a_{n_1+n_2} > \dots = a_{n_1+\dots+n_m} = a_n$

write

$$P(x) = \begin{pmatrix} p_{11} & p_{12} & \dots & p_{1n} \\ p_{21} & p_{22} & \dots & p_{2n} \\ \vdots & \vdots & & \vdots \\ p_{n_1} & p_{n_2} & \dots & p_{nn} \end{pmatrix}$$

$$\Rightarrow \lambda(t) \cdot P(x) = \lambda(t) P(x) \lambda(t)^{-1}$$

$$= \begin{pmatrix} p_{11} & t^{\alpha_1 - \alpha_2} p_{12} & \dots & t^{\alpha_1 - \alpha_n} p_{1n} \\ t^{\alpha_2 - \alpha_1} p_{21} & p_{22} & \dots & t^{\alpha_2 - \alpha_n} p_{2n} \\ \vdots & \vdots & & \vdots \\ t^{\alpha_n - \alpha_1} p_{n1} & t^{\alpha_n - \alpha_2} p_{n2} & \dots & p_{nn} \end{pmatrix}$$

$\Rightarrow \lim_{t \rightarrow 0} \lambda(t) \cdot P$  exists  $\Leftrightarrow p_{ij} = 0$  whenever  $\alpha_i < \alpha_j$

$$\Leftrightarrow P(x) = \begin{pmatrix} p_{11} & p_{12} & \dots & p_{1n} \\ \vdots & \vdots & & \vdots \\ p_{n_1 n_1} & 0 & p_{n_2 n_2} & \vdots \\ 0 & \vdots & 0 & \ddots \\ \vdots & & \vdots & \end{pmatrix}$$

$$= \begin{pmatrix} \overline{0} & & & \\ & \overline{0} & & \\ & & \overline{0} & \\ & & & \ddots \\ & & & \overline{0} \end{pmatrix}$$

$\Leftrightarrow P$  preserves the flag

$$0 \subsetneq W_1 \subsetneq \dots \subsetneq W_m = \mathbb{F}^n$$

for  $W_i = \text{Span}\{e_1, \dots, e_{m+n-i}\}$

$\Rightarrow$

$P$  stable  $\Leftrightarrow P$  irreducible

(2)  $P$  polystable  $\Leftrightarrow GL(n, \mathbb{F}) \cdot P \subset \mathcal{U}(X, \mathbb{F})$  closed  $\Rightarrow P$  completely reducible

if not.  $\Rightarrow \exists 0 \subsetneq W \subsetneq \mathbb{F}^n$  s.t.  $P(t)(W) \subset W \quad \forall t \in T_0(X, \mathbb{F})$

but  $W$  has no  $P$ -invariant complement.

Take 1-ps  $\lambda: G_m \rightarrow GL(n, \mathbb{F})$  s.t.  $\lambda(t)w = tw \quad \forall w \in W$

$\Rightarrow \exists W'$  complement  $W' \quad$  s.t.  $\lambda(t)w' = w' \quad \forall w' \in W'$

$$\text{define } P' := \lim_{t \rightarrow 0} \lambda(t) \cdot P = \lim_{t \rightarrow 0} \lambda(t) P \lambda(t)^{-1}$$

$$\Rightarrow \forall w \in W, \gamma \in \pi_1(x, x) \quad P'(\gamma)w = P(\gamma)w \in W \quad \forall w \in W$$

$$\forall w' \in W' \quad \gamma \in \pi_1(x, x) \quad P'(\gamma)w' = 0 \quad \forall w' \in W'$$

$\Rightarrow$  both  $W$  &  $W'$  are  $P'$ -invariant

$$\Rightarrow P' \neq P$$

$\Rightarrow \text{GL}(n, \mathbb{R}) \cdot P$  not closed!

•  $P$  completely reducible  $\Rightarrow P$  polystable, i.e.  $\text{GL}(n, \mathbb{R}) \cdot P \subset \mathcal{U}$  closed:

$P$  c.r.  $\Rightarrow \exists$  flag  $0 \subsetneq W_1 \subsetneq \dots \subsetneq W_m = \mathbb{R}^n$  preserved by  $P$

$$P = \begin{pmatrix} P_1 \\ & P_2 \\ & & P_3 \\ & & & \ddots \\ & & & & P_m \end{pmatrix} *$$

$$P' = \lim_{t \rightarrow 0} \lambda(t) \cdot P = \begin{pmatrix} P_1 \\ & P_2 \\ & & P_3 \\ & & & \circ \\ & & & & \ddots \\ & & & & & P_m \end{pmatrix}$$

For simplicity, assume  $m=1$

$\Rightarrow \exists$  complement  $W_1^\perp$  of  $W_1$  in  $\mathbb{R}^n$  which is  $P$ -inv.

$$\mathbb{R}^n = W_1 \oplus \overbrace{W_1^\perp}^m$$

$P$  &  $P'$  act on  $W_1$  &  $W_1^\perp$  ~~the same way~~.

$$\Rightarrow P \sim P'$$

□

Cor 3.4

$\mathcal{U}^{\text{ss}}(x, n) \subset \mathcal{U}(x, n)$  semisimple reps.

$\mathcal{U}^{\text{irr}}(x, n) \subset \mathcal{U}(x, n)$  irreducible reps.

$$\Rightarrow \varphi : \mathcal{U}^{\text{irr}}(x, n) \rightarrow \varphi(\mathcal{U}^{\text{irr}}(x, n)) =: M_B^S(x, n)$$

geometric quotient.

In particular,  $M_B^S(x, n)$  is non-singular.

$\rightsquigarrow$   
need deformation

Cor 3.5 Bijections of sets:

$$M_B^S(x, n)(\mathbb{F}) \cong \mathcal{U}^{\text{ss}}(x, n)(\mathbb{F}) / \underbrace{GL(n, \mathbb{F})}_{\sim}$$

$$\cong \mathcal{U}(x, n)(\mathbb{F}) / \sim_S$$

Known: Each  $\overline{GL(n, \mathbb{F}) \cdot P} \supset \underbrace{GL(n, \mathbb{F}) \cdot P'}_{\text{closed}} \supset P'$   
 $\Rightarrow$  closed  $\Rightarrow P'$  polystable  
• unique (up to iso.)

$P'$  is the semisimple representative of  $P$ . called the semisimplification

Lem 3.6  $\forall P \in \mathcal{U}(x, n)$  admits a Jordan-Hölder filtration:

$$0 \subsetneq P_1 \subsetneq \dots \subsetneq P_r = P$$

s.t. each quotient  $gr_i = \frac{P_i}{P_{i-1}}$  is irreducible.

such filtration is unique up to iso. of  $gr(P) := \bigoplus_{i=1}^n gr_i$

- subrep:  $P' \subset P : \pi_{11}(x, x) \rightarrow GL(n, \mathbb{F})$  : if  $\exists 0 \subsetneq W \subsetneq \mathbb{F}^n$   $P$ -inv. s.t.  $P' = P|_W : \pi_{11}(x, x) \rightarrow GL(W)$ .
- quotient rep.:  $P/P'$  for  $P'$  subrep  
 $= \pi_{11}(x, x) \rightarrow GL(\mathbb{F}^n/W)$

$$P_{P'}(\gamma)(w+v) := P(\gamma)(w) + v.$$

$\gamma \in \Gamma_1$   
 $w \in W$   
 $v \in \mathbb{R}^n$ .

Lem 3  $\Rightarrow P_1 \sim_S P_2 \Leftrightarrow \text{gr}(P_1) \cong \text{gr}(P_2)$

$$\rightsquigarrow P' \cong \text{gr}(P)$$

In conclusion, we can write  $\varphi: \mathcal{U}(X, n) \rightarrow \mathcal{M}_B(X, n)$

$$P \mapsto [\text{gr}(P)]$$

#### §4. Twisted version

In this part,  $\dim X = 1$

Though  $\mathcal{M}_B(X, n)$  contains an open smooth subvar.  $\mathring{\mathcal{M}}_B(X, n)$

Problem:  $\mathcal{M}_B(X, n)$  is not smooth  $\Leftarrow$  non-closed orbits  
 infinite stabilizers.

How to solve this?

Taking a primitive  $n$ -th root of unity  $\zeta$ , i.e.  $\zeta = e^{\frac{2\pi i d}{n}}$   
 $\gcd(n, d) = 1$ .

Defined the space of twisted representations.

$$\mathcal{U}(X, n, d) := \text{Hom}\left( \mathbb{T}^{\text{tw}}_1(X, \mathbb{A}), \text{GL}(n, \mathbb{K}) \right)$$

$$\begin{aligned} & \uparrow \\ & \langle \gamma_1, \dots, \gamma_g, \gamma'_1, \dots, \gamma'_g : \prod_{i=1}^g [\gamma_i, \gamma'_i] = \zeta^d \text{Id} \rangle \end{aligned}$$

$$\cong \left\{ (A_1, \dots, A_g, A'_1, \dots, A'_g) \in (\text{GL}(n, \mathbb{K}))^{2g} : \prod_{i=1}^g [A_i, A'_i] = \zeta^d \text{Id} \right\}$$

$\subset \text{GL}(n, \mathbb{R})^{2g}$  closed subvariety

$\rightsquigarrow \mathcal{U}(x, n, d)$  affine variety.

similarly,  $\text{GL}(n, \mathbb{R}) \curvearrowright \mathcal{U}(x, n, d)$  by conjugation  $\Rightarrow$

$\rightsquigarrow$  affine GIT quotient

$$\varphi: \mathcal{U}(x, n, d) \rightarrow \mathcal{U}(x, n, d) // \text{GL}(n, \mathbb{R}) =: M_B(x, n, d)$$

"twisted moduli space"

$$M_B(x, n) \cong M_{\text{pol}}(x, n) \cong M_{\text{aff}}(x, n)$$

$$M_B(x, n, d) \cong M_{\text{pol}}(x, n, d) \cong M_{\text{aff}}(x, n, d)$$

#### Thm 4.1

(1)  $\mathcal{U}(x, n, d)$  and  $M_B(x, n, d)$  are connected.

(2)  $M_B(x, n, d)$  is non-singular

$$\dim \mathcal{U}(x, n, d) = n^2(2g-1) + 1$$

$$\dim M_B(x, n, d) = n^2(2g-2) + 2$$