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Assignment 9.1 – Group 2

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Business Rules:

1. Willson Financial will keep a record of all clients, assets and transactions.
2. Client can have multiple financial accounts with the organization.
3. Every account has an account ID and a particular client attached to it.
4. Transactions are added to each account with transaction amount, date, and type.
5. The compliance manager makes sure that the company meets SEC requirements and these are reflected in the paperwork.
6. The billing is tied to the client accounts and is calculated based on a certain formula (e.g., percentage of assets or flat fee).
7. You will need the client list – such as name, contact details, assets owned.
8. Monthly and aggregate reports are generated on the client growth, average assets and transactions.
9. Phoenix Two Star - Manage client meetings and office tasks.
10. The company must record the compliance with SEC.

Assumptions:

1. Each client has different personal details (e.g., name, address).
2. Every payment is digital and time-tagged.
3. Billing cycles follow calendar months.
4. Clients can be classified according to their transaction frequency or assets volume.

