Complaint Data to be displayed by RAs

Formats for investors complaints data to be disclosed monthly by RAs on their website/mobile application:

Data for the month ending – September, 2024

Sr. No	Received from	Pending at the end of last month	Receive d	Resolv ed*	Total Pending #	Pending complain ts > 3months	Average Resolutio n time^ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	3	0	0	0
3.	Other Sources (if any)	0	0	0	0	0	0
	Grant Total	0	0	3	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1.	April, 2024	0	0	0	0
2.	May, 2024	0	1	0	1
3.	June, 2024	1	0	1	0
4.	July, 2024	0	3	0	3
5.	August, 2024	3	0	0	3
6.	September	3	0	3	0
	Grand Total	0	4*	4	0

^{*}Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1.	2018-19	0	0	0	0

2.	2019-20	0	0	0	0
3.	2020-21	0	0	0	0
4.	2021-22	0	0	0	0
5.	2022-23	0	0	0	0
6	2023-24	0	1	1	0
7.	2024-2025	0	4*	4	0
	Grant Total	0	5	5	0

^{*}Repetitive complaint filed by the same complainant

SEBI Scores Link

New: https://scores.sebi.gov.in/scores-home

Old: https://scores.gov.in/scores/Welcome.html

Filing of complaints on SCORES – Easy & quick

- a. Register on SCORES portal
- b. Mandatory details for filing complaints on SCORES: Name, PAN, Address, Mobile Number, Email ID
- c. Benefits
 - 1. Effective communication
 - 2. Speedy redressal of the grievances SCORES