

# A fast recursive algorithm for computing cyclotomic polynomials

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# Organization of talk

- ▶ An introduction to cyclotomic polynomials and the sparse power series (SPS) algorithm
- ▶ Improving the SPS algorithm
- ▶ A challenge problem: computing giant cyclotomic polynomials
- ▶ A look at cyclotomic coefficients

# What are cyclotomic polynomials?

## Definition

The  $n_{th}$  **cyclotomic polynomial**,  $\Phi_n(z)$ , is the monic polynomial whose  $\phi(n)$  distinct roots are the  $n_{th}$  primitive roots of unity.

$$\Phi_n(z) = \prod_{\substack{0 \leq j < n \\ \gcd(j,n)=1}} \left( z - e^{\frac{2\pi ij}{n}} \right).$$

We let the **order** of  $\Phi_n(z)$  denote the number of distinct odd primes dividing its index  $n$ .

## Definition

The  $n_{th}$  **inverse cyclotomic polynomial**,  $\Psi_n(z)$ , is defined as

$$\Psi_n(z) = (z^n - 1) / \Phi_n(z).$$

## A motivating problem

We let  $A(n)$  denote the **height** of  $\Phi_n(z)$ , that is, the absolute value of the largest coefficient of  $\Phi_n(z)$ .

Theorem (Erdős, 1946)

*Fix  $c > 0$ , then there exists infinitely many  $n$  such that  $A(n) > n^c$ .*

Question

Given  $c$ , what is the least  $n$  such that  $A(n) > n^c$ ?

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### Question

Given  $c$ , what is the least  $n$  such that  $A(n) > n^c$ ?

**Table:** The least  $n$  such that  $A(n) > n^c$ , for  $c = 1, 2, 3, 4$

$c$	$n$	$A(n)$
1	1181895	14102773
2	43730115	862550638890874931
3	416690995	80103182105128365570406901971
4	1880394945	64540997036010911566826446181523888971563

# Some basic identities of cyclotomic polynomials

## Lemma

*Let  $p \mid m$ , then  $\Phi_{mp}(z) = \Phi_m(z^p)$ .*

## Lemma

*Let  $m$  be odd, then  $\Phi_{2m}(z) = \Phi_m(-z)$ .*

These two lemmas give an easy means of computing  $\Phi_n(z)$  from  $\Phi_m(z)$ , where  $m$  is the largest squarefree odd divisor of  $n$ .

## Lemma

*Let  $p \nmid m$ , then*

$$\Phi_{mp}(z) = \Phi_m(z^p) / \Phi_m(z) = \Phi_m(z^p) \Psi_m(z) / (z^m - 1).$$

This lemma outlines a method of computing  $\Phi_n(z)$  for odd, squarefree  $n$  by way of a series of polynomial divisions.

# The sparse power series (SPS) algorithm

For  $n > 1$ , we compute  $\Phi_n(z)$  as

$$\Phi_n(z) = \prod_{d|n} (1 - z^d)^{\mu(n/d)}.$$

We call the  $(1 - z^d)^{\pm 1}$  (alternatively  $(z^d - 1)^{\pm 1}$ ) comprising  $\Phi_n(z)$  the **subterms** of  $\Phi_n(z)$ .

## Example

For  $n = 105 = 3 \cdot 5 \cdot 7$ :

$$\Phi_{105}(z) = \frac{(1 - z^{105})(1 - z^7)(1 - z^5)(1 - z^3)}{(1 - z^{15})(1 - z^{21})(1 - z^{35})(1 - z)}$$

We can compute the truncated power series of this product.

Multiplying a truncated power series of degree  $D$  by either  $(1 - z^d)$  or  $(1 - z^d)^{-1} = (1 + z^d + z^{2d} + \dots)$  requires  $\mathcal{O}(D)$  arithmetic operations in the coefficient domain.

# The sparse power series algorithm

**Input:**  $n = p_1 p_2 \cdots p_k$ , a product of  $k$  distinct primes

**Output:** the coefficients of  $\Phi_n(z) = \sum_{i=0}^{\phi(n)} a(i)z^i$

$D \leftarrow \phi(n),$  // truncate to degree  $\phi(n)$

$a(0), a(1), a(2), \dots, a(D) \leftarrow 1, 0, 0, \dots, 0$

**for**  $d|n$  such that  $d > 0$  **do**

**if**  $\mu(\frac{n}{d}) = 1$  **then** // multiply by  $1 - z^d$

**for**  $i = D$  **down to**  $d$  **by**  $-1$  **do**  $a(i) \leftarrow a(i) - a(i - d)$

**else** // divide by  $1 - z^d$

**for**  $i = d$  **to**  $D$  **do**  $a(i) \leftarrow a(i) + a(i - d)$

**end**

**end**

**return**  $a(0), a(1), \dots, a(D)$

# of operations in  $\mathbb{Z}$ :  $\mathcal{O}(2^k \cdot \phi(n))$



# Improving the sparse power series algorithm

Let  $d_1, d_2, \dots, d_{2^k}$  be the divisors of  $n$  in the order the SPS algorithm iterates through them all. The SPS algorithm computes  $2^k$  truncated power series,

$$f_s(z) = \prod_{i=1}^s (1 - z^{d_i})^{\mu(n/d_i)} \bmod z^{\phi(n)+1}, \text{ for } 1 \leq s \leq 2^k.$$

If, however,  $f_t(z)$  is a polynomial of degree  $D_t < \phi(n)$ , then for  $s < t$ , we need only compute the terms of  $f_s(z)$  to degree  $D_t$ .

## Aim

Order the divisors of  $n$  in an order which minimizes the degree bound at every stage of the computation of  $\Phi_n(z)$ .

# The palindromic property of cyclotomic coefficients

## Lemma

Let

$$f(z) = \sum_{k=0}^D a(k)z^k = \Phi_{n_1}(z) \cdots \Phi_{n_r}(z)$$

be a degree- $D$  product of cyclotomic polynomials such that  $n_1, \dots, n_r$  are all odd, then

$$a(k) = (-1)^D a(D - k).$$

- For odd  $n$  if  $f_t = \prod_{j=0}^t (1 - z^{d_j})^{n/d_j}$  is a polynomial of degree  $D_t$ , then it is exactly a product of cyclotomic polynomials of the form in lemma above. Thus for  $s \leq t$ , we actually only have to truncate to degree  $\lfloor D_t/2 \rfloor$ . If the degree bound increases from  $f_t$  to  $f_{t+1}$ , we can apply this lemma to trivially obtain the higher-degree terms of  $f_t(z)$ .

## SPS2: A first improvement

For a prime  $n = mp$ ,

$$\Phi_n(z) = \Psi_m(z)\Phi_m(z^p)(z^m - 1)^{-1}.$$

We can reexpress this equation in terms of the subterms of  $\Phi_n(z)$ :

$$\Phi_n(z) = \left( \prod_{d|m, d < m} (z^d - 1)^{\mu(n/d)} \right) \left( \prod_{d|n, p|m} (z^d - 1)^{\mu(n/d)} \right) (z^m - 1)^{-1}$$

- ▶ We multiply by the  $2^{k-1} - 1$  subterms appearing in the left product first, truncating to degree  $\lfloor (m - \phi(m))/2 \rfloor$ . This produces the first half of the terms of  $\Psi_m(z)$ .
- ▶ We then apply the palindromic property to yield the higher-degree terms of  $\Psi_m(z)$  (up to degree at most  $\phi(n)/2$ ), and multiply by the remaining subterms, truncating to degree  $\phi(n)/2$ .

## SPS2: A first improvement

### Example

For  $n = 3 \cdot 5 \cdot 7$ ,

$$\Phi_{105}(z) = \Psi_{15}(z)\Phi_{15}(z^7)(z^{15} - 1)^{-1}$$

Table: A comparison of the degree bound using SPS and SPS2

method	$d n$							
	1	3	5	7	15	21	35	105
SPS1	24	24	24	24	24	24	24	24
SPS2	3	3	3	24	24	24	24	24

- This change does not improve the complexity of the algorithm; however, it saves us a factor of 2 in practice.

## SPS3: The iterative SPS algorithm

We can apply the identity  $\Phi_{mp}(z) = \Psi_m(z)\Phi_m(z^p)(z^m - 1)^{-1}$  iteratively. Let  $n = p_1 p_2 \cdots p_k$ , a product of  $k$  distinct odd primes. For  $1 \leq i \leq k$ , let  $m_i = p_1 p_2 \cdots p_{i-1}$  and  $e_i = p_{i+1} \cdots p_k$ . We set  $m_1 = e_k = 1$ . Note that  $e_i p_i m_i = n$  for  $1 \leq i \leq k$ . By repeated application of the SPS2 identity, we can show that

$$\Phi_n(z) = \left( \prod_{j=2}^k \Psi_{m_j}(z^{e_j}) \right) \left( \prod_{j=1}^k (z^{n/p_j} - 1)^{-1} \right) (z^n - 1)$$

We compute  $\Phi_n(z)$  as

$$\Psi_{m_k}(z^{e_k}) \cdots \Psi_{m_2}(z^{e_2}) \cdot \left( \prod_{j=1}^k (z^{n/p_j} - 1)^{-1} \right) (z^n - 1)$$

from left to right.

## SPS3: The iterative SPS algorithm

### Example

$$\Phi_{105}(z) = \Psi_{15}(z)\Psi_3(z^7)(z^{15}-1)^{-1}(z^{21}-1)^{-1}(z^{35}-1)^{-1}(z^{105}-1)$$

Table: A comparison of the degree bound using SPS1-3

method	$d n$							
	1	3	5	7	15	21	35	105
SPS1	24	24	24	24	24	24	24	24
SPS2	3	3	3	24	24	24	24	24
SPS3	3	3	3	7	24	24	24	24

The speedup we see from SPS2-3 is small for  $\Phi_n(z)$  of low order; however, these are exactly the  $\Phi_n(z)$  that are easy to compute. For  $\Phi_n(z)$  of order  $k > 2$ , the degree bound lowers (over SPS2) for  $2^k - 2^{k-1} - k$  subterms of  $\Phi_n(z)$ . We see considerable speedup (3-5x) for  $\Phi_n(z)$  of order  $\geq 6$ .

## SPS4: The recursive SPS algorithm

For SPS3 with express  $\Phi_n(z)$  as a product of inverse cyclotomic polynomials (plus some additional subterms). We derive an analog for  $\Psi_n(z)$ . Given  $n = p_1 p_2 \cdots p_k$ , again let  $m_i = p_1 p_2 \cdots p_{i-1}$  and  $e_i = p_{i+1} \cdots p_k$ ,  $m_1 = e_k = 1$  then:

$$\Psi_n(z) = \Phi_{m_k}(z^{e_k}) \cdots \Phi_{m_1}(z^{e_1}).$$

Thus we can break  $\Phi_n(z)$  into products of  $\Psi_m(z)$  of smaller index, and in turn break  $\Psi_m(z)$  into products of cyclotomic polynomials of yet smaller index. We recurse until we have a cyclotomic or inverse cyclotomic polynomial of order 1.

## SPS4: An example

Consider the case of  $\Phi_{105}(z)$  again. SPS3 computes  $\Phi_n(z)$  as

$$\Phi_{105}(z) = \Psi_{15}(z)\Psi_3(z^7)(z^{15}-1)^{-1}(z^{21}-1)^{-1}(z^{35}-1)^{-1}(z^{105}-1)$$

However, in light of our new identity for  $\Psi_n(z)$ , we know SPS3 computes  $\Psi_{15}(z)$  in a wasteful manner.

$$= \left( \Phi_3(z)\Phi_1(z^5) \right) \Psi_3(z^7)(z^{15}-1)^{-1}(z^{21}-1)^{-1}(z^{35}-1)^{-1}(z^{105}-1)$$

- ▶ For the two subterms appearing in  $\Phi_3(z) = (z^3 - 1)/(z - 1)$ , we truncate to degree  $\phi(3)/2 = 1$ .
- ▶ Then for the remaining subterm in  $\Psi_{15}(z)$ ,  $\Phi_1(z^5) = z^5 - 1$ , we truncate to half the degree of  $\Psi_{15}(z)$ , as before with SPS3 and SPS2.

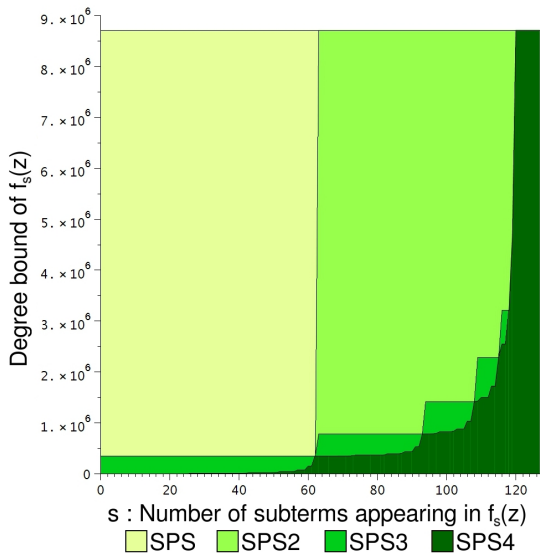


## Comparing SPS1-4 on $\Phi_{105}(z)$

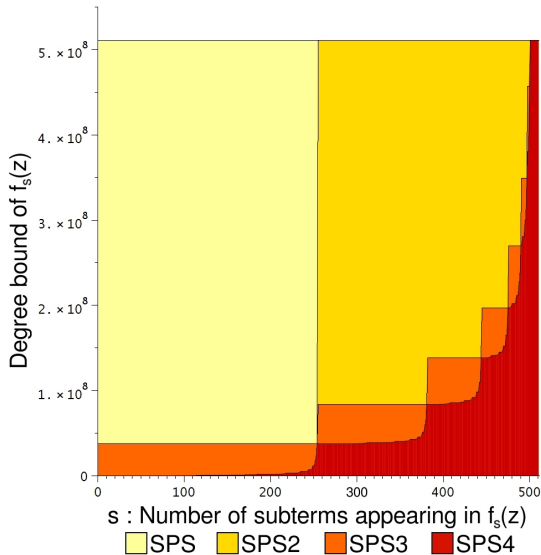
Table: degree bound using SPS1-4 on  $\Phi_{105}(z)$

method	$d n$							
	1	3	5	7	15	21	35	105
SPS1	24	24	24	24	24	24	24	24
SPS2	3	3	3	24	24	24	24	24
SPS3	3	3	3	7	24	24	24	24
SPS4	1	1	3	7	24	24	24	24

# Comparing SPS1-4 on $\Phi_{43730115}(z)$



# Comparing SPS1-4 on $\Phi_{3234846615}(z)$



# Timings

Table: Time to calculate  $\Phi_n(z)$  (in seconds\*) on a Intel 2.7 GHz Core i7

$n$	order of $\Phi_n(z)$	algorithm				
		FFT	SPS	SPS2	SPS3	SPS4
255255	6	0.40	0.00	0.00	0.00	0.00
1181895	6	1.76	0.01	0.00	0.00	0.00
4849845	7	7.74	0.12	0.06	0.02	0.01
37182145	7	142.37	1.75	0.95	0.23	0.19
43730115	7	140.62	1.69	0.93	0.23	0.19
111546435	8	295.19	6.94	3.88	1.45	0.94
1078282205	8	-	105.61	58.25	12.34	9.29
3234846615	9	-	432.28	244.44	81.32	49.18

\*times are rounded to the nearest hundredth of a second

## A challenge problem

T.D. Noe asked us to compute  $\Phi_n(z)$ , where

$$n = 99660932085 = 3 \cdot 5 \cdot 11 \cdot 13 \cdot 19 \cdot 29 \cdot 37 \cdot 43 \cdot 53.$$

- ▶ This is the lcm of the least two integers  $m$  satisfying  $A(m) > m^4$ .
- ▶ This polynomial requires a large amount of space; storing the coefficients of  $\Phi_n(z)$  as 320-bit integers requires 760 GB. Moreover, we didn't have an array of disks to expedite the computation.

## A first attempt

Our first attempt (before we thought of SPS2-4) was to compute  $\Phi_n(z)$  modulo 32-bit primes using SPS. Each image is roughly 76 GB. As such, our computation was disk-based. This technique unfortunately was not an effective approach to compute  $\Phi_n(z)$ . The computation was very slow. Each image of  $\Phi_n(z)$  took over two weeks to compute.



It was, however, an effective means of destroying hard disks.

## A new approach

We computed  $\Psi_m(z)$ , where  $m = n/53 = 1880394945$ , modulo five 64 – *bit* primes. We then computed  $g_j(z)$ , where, given

$$\sum_{k=0}^{\phi(n)/2} c(k)z^k = \Psi_m(z) \cdot (z^m - 1)^{-1} \bmod z^{\phi(n)/2+1}.$$

we set  $g_j(z)$  as

$$g_j(z) = \sum_{0 \leq j+53k \leq \phi(n)/2} c(j+53k)z^k,$$

in which case,

$$\sum_{j=0}^{52} z^j g_j(z^{53}) = \Psi_m(z) \cdot (z^m - 1)^{-1} \bmod z^{\phi(n)/2+1}.$$

## A new approach (continued)

$$\sum_{j=0}^{52} z^j g_j(z^{53}) = \Psi_m(z) \cdot (z^m - 1)^{-1} \bmod z^{\phi(n)/2+1}.$$

Thus, as  $\Phi_n(z) = \Psi_m(z)(z^m - 1)^{-1}\Phi_m(z^{53})$ ,

$$\sum_{j=0}^{52} z^j g_j(z^{53})\Phi_m(z^{53}) \equiv \Phi_n(z) \pmod{z^{\phi(n)/2+1}}.$$

Thus to compute all the coefficients of  $\Phi_n(z)$ , we need only compute  $g_j(z)\Phi_m(z)$  for  $0 \leq j < 53$ . We can compute  $g_j(z)\Phi_m(z)$  modulo a 64-bit prime in memory with  $> 5GB$  of RAM. We can then reconstruct  $g_j(z)\Phi_m(z)$  by way of Chinese remaindering.



## A new approach (continued)

$$\sum_{j=0}^{52} z^j g_j(z^{53}) = \Psi_m(z) \cdot (z^m - 1)^{-1} \bmod z^{\phi(n)/2+1}.$$

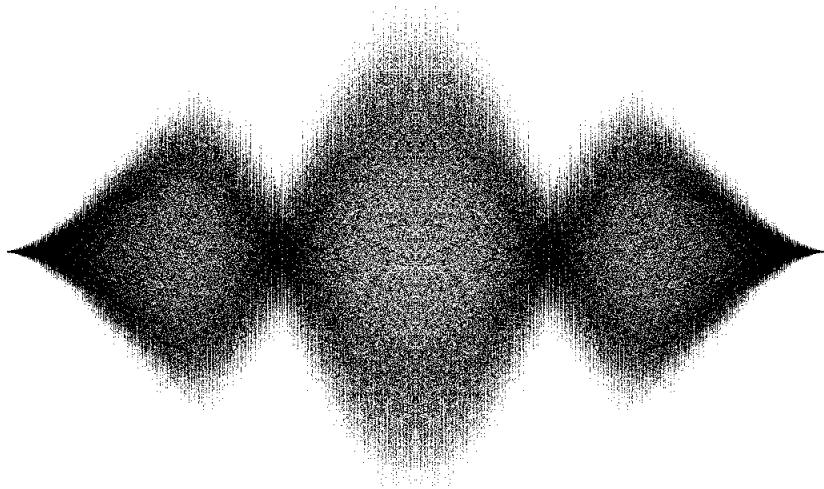
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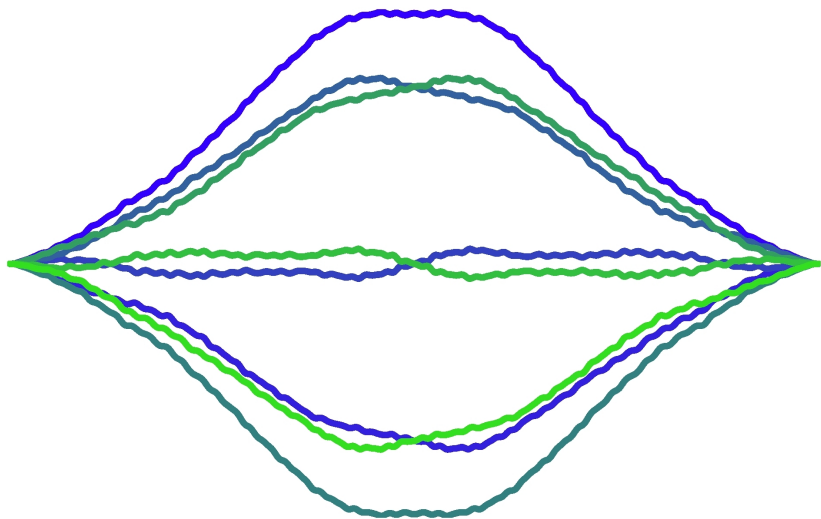
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$A(99660932085) = 61267208717407836670896202324395260$   
 $12472525473338153078678961755149378773915536447185370$ ,  
which is roughly  $2^{291.6}$  or  $n^{7.98}$ . The computation took roughly 2 days, distributed over 3 desktop computers.

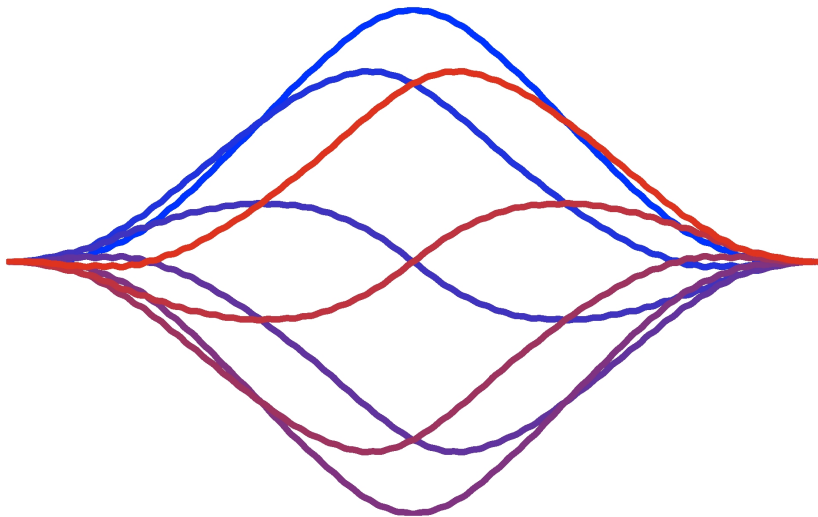
The coefficients of  $\Phi_{4849845}(z)$



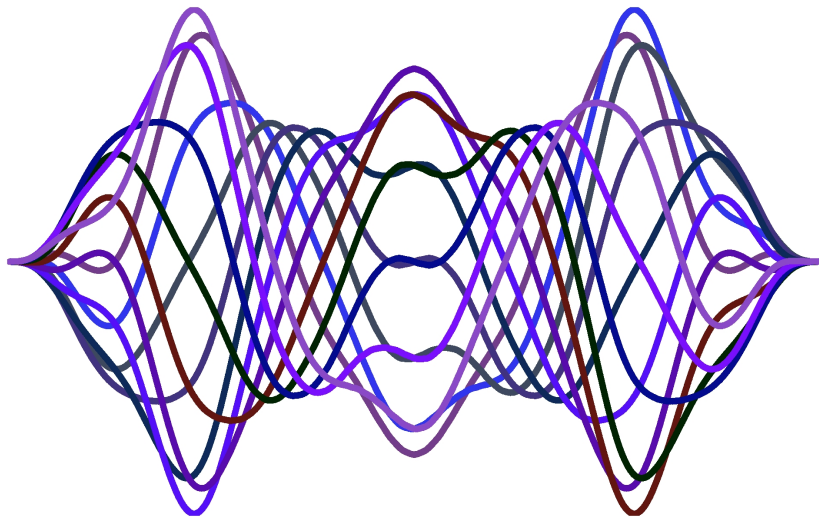
Plots of  $\Phi_{1181895}(z)$



Plots of  $\Phi_{43730115}(z)$



The coefficients of  $\Phi_{40324935}(z)$



Questions?