Abstract

Notes based on a talk on the relationship between Hopf algebra's, category theory and Knot theory.

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1 Introduction

We try to keep this self-contained, but realistically a small amount of understanding of tensor products, dual spaces and basic category theory is required. Plan:

- Tensor products \rightarrow monoidal categories. Examples: **Vect**, Rep(G).
- Dual vector spaces \rightarrow rigid monoidal categories. Examples: $\mathbf{Vect}^{\mathrm{fd}}$, $\mathrm{Rep}(\mathrm{G})^{\mathrm{fd}}$.
- Definition of Hopf algebras (Examples: Group algebras, tensor/symmetric algebras, universal enveloping algebra)
 - H-Mod is monoidal and H-Mod^{fd} is rigid monoidal.
 - H-Mod generalises Rep(G)
 - Define quasitriangular Hopf algebras.
- Define braided monoidal category. Examples: **Vect**, *H*-Mod for quasitriangular *H*.
- Application: Knot theory.

2 Monoidal categories

Tensor products are essential to defining Hopf algebras, and we will see that a monoidal structure is the categorical generalisation of a tensor product. In the following all structures are taken to be linear over a field k of characteristic 0, usually just assume $k = \mathbb{C}$.

Given two k-vector spaces V, W, the **tensor product** $V \otimes W$ is a vector space made up k-linear combinations of symbols called "pure tensors" $v \otimes w$ for $v \in V, w \in W$ satisfying:

- $(v_1 + v_2) \otimes w = v_1 \otimes w + v_2 \otimes w$ and $v \otimes (w_1 + w_2) = v \otimes w_1 + v \otimes w_2$
- $\lambda(v \otimes w) = (\lambda v) \otimes w = v \otimes (\lambda w)$ for $\lambda \in k$

First note that $k \otimes V \cong V$, which we see via the linear map $\lambda \otimes v \mapsto \lambda v$ with inverse $v \mapsto 1_k \otimes v$. Secondly, given linear maps $\phi: V \to W, \phi': V' \to W'$ we can define the tensor product linear map as $\phi \otimes \phi': V \otimes V' \to W \otimes W'$, $v \otimes v' \mapsto \phi(v) \otimes \phi(v')$. Note we only defined $\phi \otimes \phi'$ on pure tensors, however the assumption that $\phi \otimes \phi'$ is a linear map is enough to deduce its action on the rest of $V \otimes V'$.

The reason why tensor products are so useful is that they satisfy the following universal property: for every bilinear map $f: V \times W \to Z$ there exists a unique <u>linear</u> map $\bar{f}: V \otimes W \to Z$ such that $\bar{f}(v \otimes w) = f(v, w)$. So, in effect, we can turn bilinear maps into linear map. We put this to use with an alternative definition for an algebra:

Definition 2.1. We define an **algebra** in two equivalent ways:

- Definition 1: A vector space A with an associative and bilinear map $m: A \times A \to A$ (the product) and unit element $1_A \in A$ s.t. $a \cdot 1_A = a = 1_A \cdot a \ \forall a \in A$.
- Definition 2: A vector space A with linear map $m: A \otimes A \to A$ satisfying the "associativity axiom", which is the condition that the first diagram below commutes, and a "unit map" $\eta: k \to A, \lambda \mapsto \lambda 1_A$ such that the second diagram commutes:

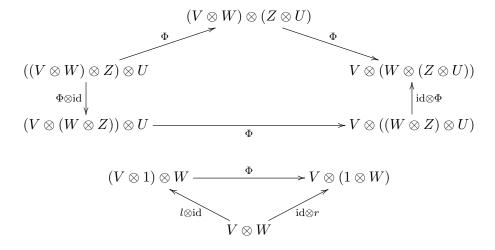
Note id: $A \to A$ is the identity linear map. There are several advantages to Definition 2, the first being that the axioms that define an algebra get reformulated as commuting diagrams. We will also express the axioms for a Hopf algebra as commuting diagrams and this helps a lot to simplify its definition. The second advantage is that by replacing bilinear maps with linear maps, we allow for a more categorical definition of an algebra. In particular, we can view an algebra as an object A in **Vect**, the category of k-vector spaces, with morphisms

 $m:A\otimes A\to A$ and $\eta:k\to A$ satisfying the commuting diagrams above. A crucial part of the category **Vect** that allows for the construction of algebras inside it is that is has notion of tensor product. Therefore we might guess that algebras could be defined in other categories so long as they have something that plays the role of the tensor product:

Definition 2.2. A monoidal category is a category C with the following:

- a functor $\otimes: C \times C \to C$ where $C \times C$ is the product category
- a natural isomorphism $\Phi: (\cdot \otimes \cdot) \otimes \cdot \to \cdot \otimes (\cdot \otimes \cdot)$ called the "associator" (i.e. for all $V, W, Z \in \text{ob}(C), \Phi_{V,W,Z}: (V \otimes W) \otimes Z \xrightarrow{\sim} V \otimes (W \otimes Z)$ is an isomorphism).
- an object $1 \in ob(C)$ called the "unit" with natural isomorphisms $l : \cdot \otimes 1 \to \cdot$, and $r : 1 \otimes \cdot \to \cdot$ (i.e. $V \cong V \otimes 1 \cong 1 \otimes V$)

where Φ, l, r satisfying the following commuting diagrams $\forall V, W, Z, U$:



2.1 A detour into the Coherence theorem

It is not obvious why we should want the first diagram above to commute (known as the pentagon condition). Note that given an ordered sequence of objects X_1, \ldots, X_n in C we can take their tensor product, but depending on the order in which take tensor products we get different bracketings on $X_1 \otimes \cdots \otimes X_n$, giving distinct objects of C. We wish to identify the different bracketings so that we can speak of $X_1 \otimes \cdots \otimes X_n$ unambiguously.

When n=3, we know by Φ the two ways of bracketing give isomorphic objects $X_1 \otimes (X_2 \otimes X_3) \cong (X_1 \otimes X_2) \otimes X_3$. For general $n \geq 3$ it can then shown there is an isomorphism between any two bracketings of $X_1 \otimes \cdots \otimes X_n$ by repeated applications of Φ . There is a beautiful way to see this using graphs theory. We identifying each bracketing of $X_1 \otimes \cdots \otimes X_n$ with a vertex, and connect vertices by an edge if they are related by an application of Φ . The result

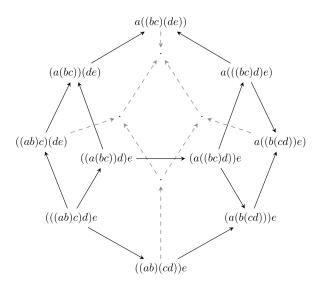


Figure 1: Associahedron at n=5

is a convex polytope known as an "associahedron". The associahedron in the case n=4 is actually depicted in the pentagon diagram above, i.e. it is a pentagon. The case n=5 is depicted below.

We see that any two bracketings can be related by applications of Φ as a result of the fact that there is a path between each pair of vertices on the associahedron, i.e. it is a connected graph.

So we have that the different bracketings give isomorphic objects, however to unambiguously identify them we need to ensure they are related by <u>unique</u> isomorphisms. Looking at the associahedron we see there can be several different paths between any two vertices, corresponding to different isomorphisms between two bracketings of $X_1 \otimes \cdots \otimes X_n$. On the pentagon diagram (i.e. when n=4) we essentially have two possible routes between any pair of vertices. The pentagon condition equates the two routes between $((V \otimes W) \otimes Z) \otimes U$ and $V \otimes (W \otimes (Z \otimes U))$, but with a little thought one can see that this means the routes between any pair of vertices on the pentagon diagram are equal. The content of the "Coherence theorem" is that this condition is sufficient to deduce that all bracketings of $X_1 \otimes \cdots \otimes X_n$ are related by a unique isomorphism for all $n \geq 3$, and so they can be canonically identified with each other.

2.2 Examples

The obvious example of a monoidal category is **Vect**, the category of k-vector spaces. It can be checked that the tensor product as defined at the start satisfies all the conditions to make **Vect** a monoidal category, where we take the unit to be the field, i.e. 1 := k. However a

more interesting example comes from representation theory of groups.

2.2.1 Representations of groups

Recall for a group G and vector space V, a **group representation** is a group homomorphism $\rho: G \to \operatorname{GL}(V)$. $\operatorname{GL}(V)$ is the set of invertible linear maps $V \to V$, and under a choice of basis $\{v_1, \ldots, v_n\}$ for V this set can be identified with $\operatorname{GL}_n(k)$, the $n \times n$ -invertible matrices over k. So the map ρ sends elements of our group G to linear maps (or matrices) on V in a way that respects the group structure.

Definition 2.3. The category Rep(G) has representations of G for objects and morphisms as equivariant maps. One should check this indeed satisfies the axioms of a category.

Proposition 2.4. Rep(G) is a monoidal category.

Proof. Indeed for representations $\rho_V: G \to \operatorname{GL}(V), \rho_W: G \to \operatorname{GL}(W)$ we define the tensor product representation as $\rho_{V \otimes W}: G \to \operatorname{GL}(V \otimes W), g \mapsto \rho_V(g) \otimes \rho_W(g)$. As a sanity check first note we do have $\rho_V(g) \otimes \rho_W(g) \in \operatorname{GL}(V \otimes W)$, since, after first recalling the definition of a tensor product of linear maps (see start of Section 2), we find $\rho_V(g): V \to V, \rho_W(g): W \to W$ so $\rho_V(g) \otimes \rho_W(g): V \otimes W \to V \otimes W$. The unit object of $\operatorname{Rep}(G)$ is the trivial representation, defined as $\rho_1: G \to \operatorname{GL}(k) \cong k, \ \rho_1(g) = 1_k \ \forall g \in G$.

Definition 2.5. For finite group G and field k (think $k = \mathbb{C}$) the **group algebra** kG is the k-vector space with basis given by $g \in G$. So a general element is given by expressions of the form $\sum_{g \in G} \lambda_g g$. We define the product of basis vectors simply as $g \cdot h = gh$, and extend the product linearly to the result of the vector space. More explicitly, the product rule is:

$$\left(\sum_{g \in G} \lambda_g g\right) \cdot \left(\sum_{g' \in G} \lambda_{g'} g'\right) = \sum_{h \in G} \left(\sum_g \lambda_g \lambda_{g^{-1} h}\right) h$$

Obviously the unit of the algebra is simply the element 1 of G regarded as a vector in kG.

Indeed it can be checked this forms an algebra, so in particular it is also a ring, so we can consider modules over kG. Recall a (left) R-module is an abelian group V (for instance a k-vector space) with an action $\triangleright : R \times V \to V$ satisfying $(r \cdot s) \triangleright v = r \triangleright (s \triangleright v)$ and $1_R \triangleright v = v$.

The following result is very important in that it shows representation theory is just a part of module theory. Let kG-Mod denote the category of left kG-modules with morphisms being kG-module homomorphisms.

Proposition 2.6. There is an isomorphism between the categories Rep(G) and kG-Mod.

Proof. For every group representation $\rho: G \to \mathrm{GL}(V)$ there is a left kG-module structure on V defined via the following action:

$$(\sum_{g} \lambda_g g) \rhd v := \sum_{g} \lambda_g \rho(g)(v)$$

It is very quick to check this satisfies axioms to be a module. Conversely, given a kG-module V, define $\rho: G \to \mathrm{GL}(V)$ as $\rho(g)(v) := g \rhd v$. And again the module axioms mean that this is indeed a representation. We have implicitly defined functors between these two categories (although not explained how the functors act on morphisms). It remains to check that the action under one of the functors and then the other leaves the object unchanged, making these functors mutually inverse.

We see subrepresentations are equivalent to kG-submodules, and irreducibility of a representation is equivalent to the corresponding kG-module being simple. For more this see Section 3.2 of Sengupta [1].

3 Rigid monoidal categories

We've seen that **Vect** and $\operatorname{Rep}(G)$ have monoidal structures, meaning they have unit objects and a notion of tensor product. Another feature of these categories is that they have dual objects. In particular for **Vect**, the dual of a vector space V is the "dual space" V^* , the vector space of linear maps $V \to k$. In $\operatorname{Rep}(G)$, for each object/representation $p: G \to GL(V)$ we also have dual group representations given by

$$p^*: G \to GL(V^*), \ p^*(g)(\phi)(v) := \phi(p(g^{-1})v)$$

i.e. this is a representation of G on the dual space V^* . These dual objects have a categorical equivalent inside monoidal categories:

Definition 3.1. • An object V in a monoidal category is **rigid** if there exists an object V^* called the (left) dual, and morphisms $\operatorname{ev}_V: V^* \otimes V \to 1$, $\operatorname{coev}_V: 1 \to V \otimes V^*$ satisfying

$$(\mathrm{id} \otimes \mathrm{ev}_V) \circ (\mathrm{coev}_V \otimes \mathrm{id}) = \mathrm{id}_V \quad (\mathrm{ev}_V \otimes \mathrm{id}) \circ (\mathrm{id} \otimes \mathrm{coev}_V) = \mathrm{id}_{V^*}$$

• A rigid monoidal category is a monoidal category in which every object is rigit.

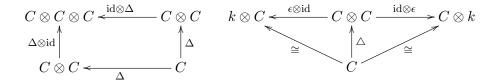
If you recall your linear algebra, for linear maps $\phi: V \to W$ there is dual map $\phi^*: W^* \to V^*$, and there is way to define similarly such dual morphisms between rigid objects.

We see that $\mathbf{Vect}^{\mathrm{fd}}$, the category of finite-dimensional vector spaces, is a rigid monoidal category, where $\mathrm{ev}: V^* \otimes V \to k$ is defined as you might expect, $\phi \otimes v \mapsto \phi(v) \in k$. Also, for basis v_1, \ldots, v_n for V, and its dual basis f_1, \ldots, f_n on V^* (i.e. $f_i(v_j) = \delta_{ij}$) then $\mathrm{coev}(\lambda) := \lambda \sum_i v_i \otimes f_i$.

 $\operatorname{Rep}(G)^{\operatorname{fd}}$, or alternatively $kg\operatorname{-Mod}^{\operatorname{fd}}$ the category of finite-dimensional $kG\operatorname{-modules}$, is rigid if we define ev_V , coev_V exactly as we did for **Vect** for each $kG\operatorname{-module} V$. Using the definition we gave above for the dual representation V^* , i.e. we put a $kG\operatorname{-module}$ structure on the dual space V^* , and it can be checked this is a rigid object for this choice of ev_V , coev_V .

4 Hopf algebras

Definition 4.1. A **coalgebra** is a vector space C with linear map $\Delta : C \to C \otimes C$ called the **coproduct**, and linear map $\epsilon : C \to k$ called the **counit**, such that both diagrams below commute.



The first diagram encodes the property called **coassociativity**. Notice that these diagrams are simply the dual diagrams (i.e. arrows reversed) to those used to define an algebra.

Definition 4.2. For algebras (A, m_1, η_1) , (B, m_2, η_2) , the tensor product $A \otimes B$ has an algebra structure given by a product: $(a \otimes c) \cdot (b \otimes d) = ab \otimes cd \ \forall a, b \in A, c, d \in B$, and unit $1_{A \otimes B} = 1_A \otimes 1_B$. Alternatively, the product and unit are defined as

$$m_{A\otimes B}: (A\otimes B)\otimes (A\otimes B)\to A\otimes B, \ m_{A\otimes B}:=(m_1\otimes m_2)\circ (\mathrm{id}\otimes \tau\otimes \mathrm{id})$$

$$\eta_{A\otimes B}: k \to A\otimes B, \ \eta_{A\otimes B}:=(\eta_1\otimes \eta_2)\circ \phi^{-1}$$

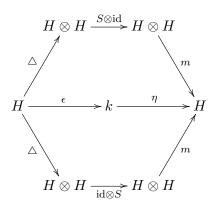
where $\phi: k \otimes k \to k, \lambda \otimes \mu \mapsto \lambda \mu$ is an isomorphism with inverse $\phi^{-1}(\lambda) = 1 \otimes \lambda$.

Definition 4.3. A bialgebra is $(B, m, \eta, \triangle, \epsilon)$ where (B, m, η) is an algebra, (B, \triangle, ϵ) is a coalgebra, and \triangle, ϵ are algebra homomorphisms.

Elaborating on this a bit, since $\triangle: B \to B \otimes B$, we are implicitly giving $B \otimes B$ the algebra structure as described above. The algebra homomorphism property is then $\triangle(gh) = \triangle(g)\triangle(h)$, or yet more explicitly:

$$\triangle \circ m = (m \otimes m) \circ (\mathrm{id} \otimes \tau \otimes \mathrm{id}) \circ (\triangle \otimes \triangle)$$

Definition 4.4. A Hopf algebra H is a bialgebra $(H, m, \eta, \triangle, \epsilon)$ with linear map $S : H \to H$ called the **antipode**, satisfying the **antipode axiom** as the following commuting diagram:



4.1 Examples

Example 4.5. The group algebra! The group algebra kG is obviously an algebra, and we define Hopf algebra structure as follows:

- the coproduct $\triangle: kG \to kG \otimes kG$ where $g \mapsto g \otimes g$ and we extend linearly to kG.
- $\epsilon: kG \to k, q \mapsto 1_k$
- $S: kG \to kG, g \mapsto g^{-1}$

It remains to check that $(kG, m, \eta, \triangle, \epsilon, S)$ actually satisfy the axioms of a Hopf algebra. Since group algebras are just a special case of Hopf algebras, we can see that the whole of group representation theory is just a special case of the representation theory of Hopf algebras.

Example 4.6. For vector space V, let $T^n(V) := V \otimes \cdots \otimes V$, the n-fold tensor product of V. Then the **tensor algebra** of V is the vector space:

$$T(V) := \bigoplus_{n=0}^{\infty} T^n(V) = k \oplus V \oplus (V \otimes V) \oplus (V \otimes V \otimes V) \oplus \dots$$

with multiplication given by the tensor product:

$$T^k(V) \otimes T^l(V) \to T^{k+l}(V), \ (v \otimes \cdots \otimes w) \cdot (x \otimes \cdots \otimes y) := (v \otimes \cdots \otimes w \otimes x \otimes \cdots \otimes y)$$

which we can extend linearly to T(V). Then on the subspace V of T(V) define:

$$\triangle(v) := v \otimes 1 + 1 \otimes v, \ \epsilon(v) := 0, \ S(v) := -v$$

Also on the subspace k of T(V), let

$$\triangle(1_k) := 1_k \otimes 1_k, \ \epsilon(\lambda) := \lambda, \ S(\lambda) := 1 \ \forall \lambda \in k$$

 \triangle , ϵ extend to all of T(V) as algebra homomorphisms. In particular: $\epsilon(x) = 0 \ \forall x \in \bigoplus_{n=1} T^n(V)$. Whereas S extends as an anti-algebra homomorphism, so it can be shown: $S(v_1 \otimes \cdots \otimes v_n) = (-1)^n v_n \otimes \cdots \otimes v_1$.

The above example can be used to show that the symmetric and exterior algebras S(V) and $\wedge(V)$ are also Hopf algebras. The universal enveloping algebra $U(\mathfrak{g})$ of a Lie algebra \mathfrak{g} is a very important example of a Hopf algebra.

4.2 Representations of Hopf algebras

First of all, what a representation of an algebra? Just as group representations are group homomorphisms into the group GL(V), an algebra representation is an algebra homomorphism:

Definition 4.7. A **representation** of algebra A on vector space V is an algebra homomorphism $\rho: A \to \operatorname{End}(V)$, i.e. is a linear map that is also a ring homomorphism: $\rho(1) = 1$ and $\rho(ab) = \rho(a)\rho(b)$. Recall $\operatorname{End}(V)$ is the endomorphism ring, i.e. the ring of linear maps with addition given pointwise and multiplication given by composition.

Proposition 4.8. The representation category Rep(H), or equivalently the module category H-Mod, of Hopf algebra H is a rigid monoidal category.

Proof. By the definition of a bialgebra we know the counit $\epsilon: H \to k$ is an algebra homomorphism, so it actually defines a representation of H on k. We call it the trivial representation of H:

$$p: H \to \operatorname{End}(k) \cong k, \ h \cdot \lambda = \epsilon(h)\lambda \quad \forall \lambda \in k$$

As a module, we denote the action on k instead as $h > \lambda = \epsilon(h)\lambda$.

Next we use the coproduct to define tensor products of the representations $p_{V_1}: H \to \operatorname{End}(V_1), \ p_{V_2}: H \to \operatorname{End}(V_2)$ as $p: H \to \operatorname{End}(V_1 \otimes V_2)$ s.t.

$$p(h) := (p_{V_1} \otimes p_{V_2}) \circ \triangle$$

Since \triangle is an algebra homomorphism, and the tensor product of algebra homomorphisms is an algebra homomorphism, this composition is indeed an algebra homomorphism as required. Again in module language we can define the action of H on $V \otimes W$ as

$$h \rhd v \otimes w = \sum (h_{(1)} \rhd v) \otimes (h_{(2)} \rhd w)$$

for
$$\triangle(h) = \sum h_{(1)} \otimes h_{(2)}$$
.

Finally we have dual representations. For $p: H \to \operatorname{End}(V)$, then define $p^*: H \to \operatorname{End}(V^*)$ such that

$$p^*(h)(\phi)(v) := \phi(p(S(h))v)$$

In the special case that H = kG a group algebra, where $\epsilon(g) = 1, \triangle(g) = g \otimes g$, and $S(g) = g^{-1}$, we see that the trivial, tensor product and dual representations for kG as a Hopf algebra coincide with those of the group G.

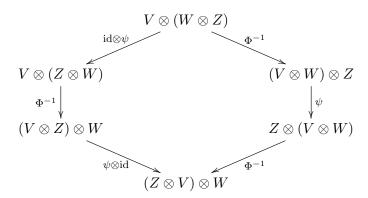
4.3 Quasitriangular structures

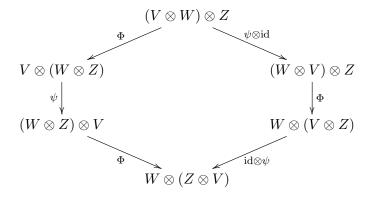
Not finished.

5 Braided categories and Knots

For monoidal category $(C, \otimes, 1, \Phi)$ (recall Φ is the associator), define the functor $\cdot \otimes^{\mathrm{op}} \cdot : C \times C \to C$, $(V, W) \mapsto W \otimes V$.

Definition 5.1. A braided monoidal category is a monoidal category $(C, \otimes, 1, \Phi)$ with a natural isomorphism $\psi : \cdot \otimes \cdot \to \cdot \otimes^{op} \cdot (\text{i.e. } \psi_{V,W} : V \otimes W \xrightarrow{\sim} W \otimes V \ \forall V, W)$ satisfying the "hexagon conditions":





The category is **symmetric monoidal** if the braiding satisfies $\psi_{V,W} = \psi_{W,V}^{-1}$.

Theorem 5.2. The module category H-Mod of a quasitriangular Hopf algebra (H, R) is a braided monoidal category. When R is triangular (i.e. $\tau(R) = R^{-1}$) then H-Mod is symmetric monoidal.

Proof. We have already seen it is a monoidal category. Now using the quasitriangular structure $R = \sum R^{(1)} \otimes R^{(2)}$, we define braiding on H-Mod as $\psi_{V,W} : V \otimes W \to W \otimes V$,

$$v \otimes w \mapsto \tau(R \rhd v \otimes w) = \sum (R^{(2)} \rhd w) \otimes (R^{(1)} \rhd v)$$

Note that if H is cocomutative then $R := 1 \otimes 1$ is a quasitriangular structure on H, and for

Claim: there is a bijection between quasitriangular structures on H and braidings on the category H-Mod.

this choice of R the braiding $\psi_{V,W}$ is just the flip map τ .

Recall we defined an algebra in Section 2, and suggested this could be generalised to monoidal categories. We return finally to this point:

- **Definition 5.3.** An algebra (A, m, u) in a monoidal category $(\mathcal{C}, \otimes, 1)$ is an object A in \mathcal{C} with morphisms $m: A \otimes A \to A$ and $u: 1 \to A$, called product and unit respectively, such that the diagrams we gave above commute. Such objects form a subcategory $Alg(\mathcal{C})$ of \mathcal{C} .
 - If (A, m, u) is an algebra in a braided monoidal category D with braiding ψ , then A is **commutative** if $m \circ \psi_{A,A} = m$. Commutative algebras form a full subcategory of $Alg(\mathcal{D})$ denoted $ComAlg(\mathcal{D})$.

6 References

[1] A. N. Sengupta. Representing finite groups: A semisimple introduction. Springer New York, New York, c2012.