



Activity Statement

August 1, 2016 – August 31, 2016

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	IA Capital Structures (Ireland) Plc S.64
Account	U1790306
Master Name	GWM Ltd.
Account Type	Broker Client
Customer Type	Corporate
Account Capabilities	Portfolio Margin
Trading Permissions	SSF, Stocks, Warrants, Forex
Base Currency	USD

Net Asset Value

	July 31, 2016	August 31, 2016			Change	Change in NAV	
	Total	Long	Short	Total		Total	
Cash	-173,100.92	0.00	-159,295.73	-159,295.73	13,805.19	Starting Value	113,915.63
Stock	287,106.60	269,616.20	0.00	269,616.20	-17,490.40	Mark-to-Market	-999.62
Interest Accruals	-90.05	0.00	-385.73	-385.73	-295.68	Deposits & Withdrawals	-2,615.66
Dividend Accruals	0.00	201.95	0.00	201.95	201.95	Dividends	310.51
Total	113,915.63	269,818.15	-159,681.46	110,136.69	-3,778.94	Withholding Tax	-93.15
Time Weighted Rate of Return					-1.04%	Change in Dividend Accruals	201.95
						Interest	-127.40
						Change in Interest Accruals	-295.68
						Other Fees	-1.00
						Commissions	-158.90
						Ending Value	110,136.69

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L					Total	Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other			
Stocks											
AAPL	250	50	104.2100	106.1000	472.50	-86.00	-15.22	99.76	471.04		
AGN	220	260	252.9500	234.5400	-4,148.20	-42.19	-21.46	0.00	-4,211.85		
AMZN	40	50	758.8100	769.1600	420.70	-10.70	-10.58	0.00	399.42		
CIM	1,000	1,000	16.7800	16.4900	-290.00	0.00	0.00	0.00	-290.00		
DX	2,000	2,000	7.0200	7.3500	660.00	0.00	0.00	0.00	660.00		
FB	200	200	123.9400	126.1200	436.00	0.00	0.00	0.00	436.00		
GOOG	30	40	768.7900	767.0500	-103.20	10.10	-10.55	0.00	-103.65		
MSFT	400	400	56.6800	57.4600	312.00	0.00	0.00	0.00	312.00		
NLY	1,400	1,500	10.9800	10.7100	-401.00	-3.00	-12.22	0.00	-416.22		
SWKS	600	100	66.0200	74.8600	3,472.00	-105.84	-42.35	117.60	3,441.41		

TEVA	350	620	53.5000	50.3900	-1,572.40	-20.39	-46.50	0.00	-1,639.29
Total					-741.60	-258.02	-158.90	217.36	-941.15
Forex									
USD	-173,100.92	-159,295.73	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00
Total					0.00	0.00	0.00	0.00	0.00
Total (All Assets)					-741.60	-258.02	-158.90	217.36	-941.15
Broker Interest Paid and Received									-127.40
Other Fees									-1.00
Total P/L for Statement Period									-1,069.55

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
AAPL	0.00	1,116.36	0.00	0.00	0.00	1,116.36	342.14	0.00	0.00	0.00	342.14	1,458.51	
AGN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,185.45	0.00	0.00	-2,185.45	-2,185.45	
AMZN	0.00	0.00	0.00	0.00	0.00	0.00	1,347.28	-14.58	0.00	0.00	1,332.70	1,332.70	
CIM	0.00	0.00	0.00	0.00	0.00	0.00	364.67	0.00	0.00	0.00	364.67	364.67	
DX	0.00	0.00	0.00	0.00	0.00	0.00	1,163.77	0.00	0.00	0.00	1,163.77	1,163.77	
FB	0.00	0.00	0.00	0.00	0.00	0.00	1,606.50	0.00	0.00	0.00	1,606.50	1,606.50	
GOOG	0.00	0.00	0.00	0.00	0.00	0.00	1,054.62	-51.45	0.00	0.00	1,003.16	1,003.16	
MSFT	0.00	0.00	0.00	0.00	0.00	0.00	1,081.04	0.00	0.00	0.00	1,081.04	1,081.04	
NLY	0.00	0.00	0.00	0.00	0.00	0.00	63.93	-66.88	0.00	0.00	-2.95	-2.95	
SWKS	0.00	1,152.09	0.00	0.00	0.00	1,152.09	964.33	0.00	0.00	0.00	964.33	2,116.42	
TEVA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,063.56	0.00	0.00	-2,063.56	-2,063.56	
Total	0.00	2,268.46	0.00	0.00	0.00	2,268.46	7,988.27	-4,381.93	0.00	0.00	3,606.35	5,874.81	
Total (All Assets)	0.00	2,268.46	0.00	0.00	0.00	2,268.46	7,988.27	-4,381.93	0.00	0.00	3,606.35	5,874.81	

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	-173,100.92	-173,100.92	0.00
Commissions	-158.90	-158.90	0.00
Withdrawals	-2,615.66	-2,615.66	0.00
Dividends	294.83	294.83	0.00
Broker Interest Paid and Received	-127.40	-127.40	0.00
Net Trades (Sales)	56,626.16	56,626.16	0.00
Net Trades (Purchase)	-40,135.38	-40,135.38	0.00
Other Fees	-1.00	-1.00	0.00
Payment In Lieu of Dividends	15.68	15.68	0.00
Withholding Tax	-93.15	-93.15	0.00
Ending Cash	-159,295.73	-159,295.73	0.00
Ending Settled Cash	-172,249.74	-172,249.74	0.00

Open Positions

Symbol	Open	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks									
USD									

+AAPL	-	50	1	99.2571103	4,962.86	106.1000	5,305.00	342.14	
+AGN	-	260	1	242.9455752	63,165.85	234.5400	60,980.40	-2,185.45	
+AMZN	-	50	1	742.5060306	37,125.30	769.1600	38,458.00	1,332.70	
+CIM	-	1,000	1	16.1253326	16,125.33	16.4900	16,490.00	364.67	
+DX	-	2,000	1	6.7681173	13,536.23	7.3500	14,700.00	1,163.77	SY
+FB	-	200	1	118.0875026	23,617.50	126.1200	25,224.00	1,606.50	
+GOOG	-	40	1	741.9709193	29,678.84	767.0500	30,682.00	1,003.16	
+MSFT	-	400	1	54.7574026	21,902.96	57.4600	22,984.00	1,081.04	
+NLY	-	1,500	1	10.7119648	16,067.95	10.7100	16,065.00	-2.95	
+SWKS	-	100	1	65.2167026	6,521.67	74.8600	7,486.00	964.33	
+TEVA	-	620	1	53.7183257	33,305.36	50.3900	31,241.80	-2,063.56	
Total					266,009.85		269,616.20	3,606.35	

Trades

Symbol	Date/Time	Exchange	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks											
USD											
+AAPL	2016-08-31, 09:30:01	-	-200	105.6700	106.1000	21,134.00	-15.22	-20,002.41	1,116.36	-86.00	C
Total AAPL			-200			21,134.00	-15.22	-20,002.41	1,116.36	-86.00	
+AGN	2016-08-30, 11:26:25	-	30	238.1100	236.9900	-7,143.30	-10.92	7,154.22	0.00	-33.60	O
+AGN	2016-08-30, 11:35:55	-	10	237.8490	236.9900	-2,378.49	-10.54	2,389.03	0.00	-8.59	O
Total AGN			40			-9,521.79	-21.46	9,543.25	0.00	-42.19	
+AMZN	2016-08-15, 13:28:52	-	10	769.5600	768.4900	-7,695.60	-10.58	7,706.18	0.00	-10.70	O
Total AMZN			10			-7,695.60	-10.58	7,706.18	0.00	-10.70	
+GOOG	2016-08-22, 11:53:34	-	10	771.1400	772.1500	-7,711.40	-10.55	7,721.95	0.00	10.10	O
Total GOOG			10			-7,711.40	-10.55	7,721.95	0.00	10.10	
+NLY	2016-08-15, 13:57:39	-	100	10.9700	10.9400	-1,097.00	-12.22	1,109.22	0.00	-3.00	O
Total NLY			100			-1,097.00	-12.22	1,109.22	0.00	-3.00	
+SWKS	2016-08-15, 13:58:35	-	-300	69.5200667	69.5000	20,856.02	-17.23	-20,583.19	255.60	6.02	C;P
+SWKS	2016-08-22, 11:52:55	-	-100	72.2314	72.6200	7,223.14	-12.43	-6,857.26	353.45	-38.86	C
+SWKS	2016-08-31, 09:30:00	-	-100	74.1300	74.8600	7,413.00	-12.69	-6,857.26	543.05	-73.00	C
Total SWKS			-500			35,492.16	-42.35	-34,297.71	1,152.09	-105.84	
+TEVA	2016-08-22, 11:52:23	-	100	53.5789	53.4800	-5,357.89	-12.26	5,370.15	0.00	-9.89	O
+TEVA	2016-08-22,	-	50	53.6100	53.4800	-2,680.50	-11.29	2,691.79	0.00	-6.50	O

	12:07:34										
+TEVA	2016-08-30, 11:26:44	-	100	50.5900	50.5600	-5,059.00	-12.22	5,071.22	0.00	-3.00	O
+TEVA	2016-08-30, 11:44:58	-	20	50.6099	50.5600	-1,012.20	-10.73	1,022.93	0.00	-1.00	O
Total TEVA			270			-14,109.59	-46.50	14,156.09	0.00	-20.39	
Total						16,490.78	-158.90	-14,063.43	2,268.46	-258.02	

Deposits & Withdrawals

Date	Description	Amount
USD		
2016-08-04	Disbursement Initiated by Rory Williams	-2,615.66
Total		-2,615.66

Fees

Date	Description	Amount
Other Fees		
USD		
2016-08-03	Bond Ratings for Jul 2016	-1.00
2016-08-03	US Value Bundle for Jul 2016	-10.00
2016-08-03	US Value Bundle for Jul 2016	10.00
Total		-1.00

Dividends

Date	Description	Amount
USD		
2016-08-11	AAPL(US0378331005) Cash Dividend 0.57000000 USD per Share (Ordinary Dividend)	142.51
2016-08-25	SWKS(US83088M1027) Cash Dividend 0.28000000 USD per Share (Ordinary Dividend)	152.32
2016-08-25	SWKS(US83088M1027) Payment in Lieu of Dividend (Ordinary Dividend)	15.68
Total		310.51

Withholding Tax

Date	Description	Amount	Code
USD			
2016-08-11	AAPL(US0378331005) Cash Dividend 0.57000000 USD per Share - US Tax	-21.38	
2016-08-11	AAPL(US0378331005) Cash Dividend 0.57000000 USD per Share - US Tax	21.38	
2016-08-11	AAPL(US0378331005) Cash Dividend 0.57000000 USD per Share - US Tax	-42.75	
2016-08-25	SWKS(US83088M1027) Cash Dividend 0.28000000 USD per Share - US Tax	-45.70	
2016-08-25	SWKS(US83088M1027) Payment in Lieu of Dividend - US Tax	-4.70	
Total		-93.15	

Interest

Date	Description	Amount
USD		
2016-08-03	USD Debit Interest for Jul-2016	-127.40
Total		-127.40

Interest Accruals

Base Currency Summary		
Starting Accrual Balance		-90.05
Interest Accrued		-423.08
Accrual Reversal		127.40
FX Translation		0.00
Ending Accrual Balance		-385.73

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amnt	Net Amnt	Code
Starting Dividend Accruals in USD									0.00	
Stocks										
USD										
AAPL	2016-08-03	2016-08-04	2016-08-11	250	21.38	0.00	0.57	142.50	121.12	Po
AAPL	2016-08-03	2016-08-04	2016-08-11	250	21.37	0.00	0.57	142.50	121.13	Po
AAPL	2016-08-03	2016-08-04	2016-08-11	250	-21.38	0.00	0.57	-142.50	-121.12	Re

AAPL	2016-08-11	2016-08-04	2016-08-11	250	-21.38	0.00	0.57	-142.51	-121.13	Re
MSFT	2016-08-15	2016-08-16	2016-09-08	400	21.60	0.00	0.36	144.00	122.40	Po
MSFT	2016-08-15	2016-08-16	2016-09-08	400	43.20	0.00	0.36	144.00	100.80	Po
MSFT	2016-08-15	2016-08-16	2016-09-08	400	-21.60	0.00	0.36	-144.00	-122.40	Re
SWKS	2016-08-01	2016-08-02	2016-08-25	600	25.20	0.00	0.28	168.00	142.80	Po
SWKS	2016-08-01	2016-08-02	2016-08-25	600	50.40	0.00	0.28	168.00	117.60	Po
SWKS	2016-08-01	2016-08-02	2016-08-25	600	-25.20	0.00	0.28	-168.00	-142.80	Re
SWKS	2016-08-25	2016-08-02	2016-08-25	600	-50.40	0.00	0.28	-168.00	-117.60	Re
TEVA	2016-08-17	2016-08-18	2016-09-08	350	17.85	0.00	0.34	119.00	101.15	Po
Total					61.04	0.00		262.99	201.95	
Ending Dividend Accruals in USD									201.95	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Multiplier	Code
Stocks					
AAPL	APPLE INC	265598		1	
AGN	ALLERGAN PLC	196610642	IE00BY9D5467	1	
AMZN	AMAZON.COM INC	3691937		1	
CIM	CHIMERA INVESTMENT CORP	187296614		1	
DX	DYNEX CAPITAL INC	2746182		1	
FB	FACEBOOK INC-A	107113386		1	
GOOG	ALPHABET INC-CL C	208813720		1	
MSFT	MICROSOFT CORP	272093		1	
NLY	ANNALY CAPITAL MANAGEMENT IN	6278576		1	
SWKS	SKYWORKS SOLUTIONS INC	4726021		1	
TEVA	TEVA PHARMACEUTICAL-SP ADR	275085		1	

Codes

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus three business days. Options, futures, and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods. For instance, stock transactions on XETRA settle on trade date plus two business days.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the

benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.

10. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances.

Legal Notes

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4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
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8. Customer is requested to promptly advise GWM Ltd. of any material change in Customer's investment objectives or financial situation.
9. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with GWM Ltd..
10. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
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13. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection.

SIPC Member

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