



## Activity Statement

August 1, 2016 – August 31, 2016

Interactive Brokers (U.K.) Ltd.  
Level 20 Heron Tower, 110 Bishopsgate, London EC2N 4AY, UK. Regulated by  
FCA

## Account Information

Name	IA Capital Structures Plc Series 2014-12
Account	U1315149
Investment Advisor	IA Capital Structures (Ireland) Plc
Account Type	Advisor Client
Customer Type	Corporate
Account Capabilities	Portfolio Margin
Trading Permissions	SSF,Stocks,Options,Warrants,Bonds,Cash Forex,Futures,Futures Options,Stock Loan,Stock Borrow
Base Currency	EUR

## Net Asset Value

	July 31, 2016 Total	August 31, 2016			Change	Change in NAV	Total
		Long	Short	Total		Starting Value	
Cash	572,832.87	647,408.45	0.00	647,408.45	74,575.57	Mark-to-Market	1,192,181.72
Stock	615,377.29	994,304.91	-143,069.27	851,235.65	235,858.36	Deposits & Withdrawals	57,788.64
Options	4,336.15	7,149.25	-1,179.41	5,969.83	1,633.69	Dividends	254,089.44
Interest Accruals	-613.16	0.00	-705.51	-705.51	-92.35	Withholding Tax	1,578.79
Dividend Accruals	248.57	537.72	0.00	537.72	289.15	Change in Dividend Accruals	-473.64
Total	1,192,181.72	1,649,400.32	-144,954.19	1,504,446.13	312,264.42	Interest	290.40
Time Weighted Rate of Return					4.48%	Change in Interest Accruals	-680.67
						Commissions	-89.77
						Transaction Fees	-133.10
						Other FX Translations	-101.84
						Ending Value	-3.83
							1,504,446.13

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L					Total	Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other			
Warrants											
GM WS B	3,843	3,843	13.6800	13.9300	901.18	0.00	0.00	0.00	0.00	901.18	
Total					901.18	0.00	0.00	0.00	0.00	901.18	
Stocks											
AC	10,010	10,010	8.9900	8.9600	-205.95	0.00	0.00	0.00	0.00	-205.95	
ACAS	3,645	4,635	16.4700	16.8900	1,602.71	-26.27	-1.49	0.00	0.00	1,574.95	
BCS	8,405	11,105	8.2400	9.1100	7,390.95	-239.11	-6.34	0.00	0.00	7,145.51	
BRS	2,550	3,159	10.8100	11.4100	1,143.62	-367.97	-1.42	0.00	0.00	774.23	
C	2,480	2,480	43.8100	47.7400	8,761.11	0.00	0.00	248.07	0.00	9,009.18	
CLRO	2,760	2,760	12.3600	10.5100	-4,557.18	0.00	0.00	86.57	0.00	-4,470.61	
COH	-787	-1,137	43.1100	38.1800	4,189.90	-12.38	-1.02	0.00	0.00	4,176.50	
FGPI	64,300	80,075	1.0030	1.0990	6,988.43	201.75	-119.60	0.00	0.00	7,070.58	
FIVE	-450	-595	51.0100	44.5600	3,049.86	-10.26	-0.37	0.00	0.00	3,039.23	
FSC	20,470	20,470	5.6000	6.2700	12,267.86	0.00	0.00	770.51	0.00	13,038.36	
GM	450	450	31.5400	31.9200	159.61	0.00	0.00	0.00	0.00	159.61	
GNW	11,440	14,140	2.8600	4.7300	20,267.54	167.79	-5.52	0.00	0.00	20,429.81	
H	-391	-481	50.4400	53.4800	-979.97	11.15	-0.26	0.00	0.00	-969.08	

IM	1,570	2,136	34.2400	34.9600	1,034.84	-95.12	-1.15	0.00	938.57	
IOC	0	2,400	--	49.0800	21.34	63.79	-4.90	0.00	80.23	
MVC	7,850	9,699	8.0800	8.3200	2,210.35	0.00	-2.72	0.00	2,207.63	
PAH3	0	517	--	45.4250	28.44	-118.91	-20.29	0.00	-110.76	
TRIP	-300	-431	69.9700	61.0000	2,610.16	27.81	-0.84	0.00	2,637.14	
VWA	0	-259	--	130.4500	-207.20	142.90	-28.99	0.00	-93.29	
Total					65,776.43	-254.84	-194.90	1,105.15	66,431.84	
Equity and Index Options										
BCS 16DEC16 8.0 P	0	84	--	0.2967	-4,490.09	-50.89	1.37	0.00	-4,539.61	
BRS 19AUG16 10.0 P	0	0	--	--	-1,842.62	-523.14	-35.16	0.00	-2,400.91	
SPY 16DEC16 180.0 P	-14	-14	1.3650	0.9400	535.46	0.00	0.00	0.00	535.46	CP
SPY 16DEC16 207.0 P	14	14	4.8260	3.9178	-1,149.81	0.00	0.00	0.00	-1,149.81	
Total					-6,947.06	-574.03	-33.79	0.00	-7,554.88	
Futures										
ECU6	5	5	1.12015	1.11665	-2,069.13	0.00	0.00	0.00	-2,069.13	
Total					-2,069.13	0.00	0.00	0.00	-2,069.13	
Forex										
CAD	-112,068.39	-10.80	0.68622	0.68388	-86.46	-101.73	-1.79	0.00	-189.98	
EUR	682,738.35	645,746.02	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
GBP	-70,210.86	-0.23	1.1839	1.1775	430.55	-24.35	-2.06	0.00	404.14	
USD	56,007.08	1,863.51	0.8949	0.89621	746.51	-8.44	-2.41	0.00	735.67	
Total					1,090.61	-134.53	-6.25	0.00	949.83	
Total (All Assets)					58,752.03	-963.39	-234.94	1,105.15	58,658.85	
Broker Interest Paid and Received										-680.67
Total P/L for Statement Period										57,978.18

## Realized &amp; Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Warrants													
GM WS B	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-16,316.41	-16,316.41	-16,316.41	
Total	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-16,316.41	-16,316.41	-16,316.41	
Stocks													
AC	0.00	0.00	0.00	0.00	0.00	0.00	181.25	-1,286.66	0.00	-12,800.28	-13,905.70	-13,905.70	
ACAS	0.00	0.00	0.00	0.00	0.00	0.00	6,497.94	0.00	551.80	0.00	7,049.74	7,049.74	
BCS	0.00	0.00	0.00	0.00	0.00	0.00	1,144.80	-2,066.77	0.00	-21,230.87	-22,152.83	-22,152.83	
BRS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-10,345.02	0.00	-18,728.89	-29,073.90	-29,073.90	
C	0.00	0.00	0.00	0.00	0.00	0.00	6,223.05	-3,907.26	0.00	-1,922.36	393.44	393.44	
CLRO	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,205.45	-2,205.45	-2,205.45	
COH	0.00	0.00	0.00	0.00	0.00	0.00	1,562.90	0.00	0.00	0.00	1,562.90	1,562.90	
FGPI	0.00	0.00	0.00	0.00	0.00	0.00	5,227.04	-121.98	2,298.77	0.00	7,403.84	7,403.84	
FIVE	0.00	0.00	0.00	0.00	0.00	0.00	2,757.39	0.00	0.00	0.00	2,757.39	2,757.39	
FSC	0.00	0.00	0.00	0.00	0.00	0.00	12,400.28	0.00	0.00	-1,560.87	10,839.41	10,839.41	
GM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,424.56	0.00	0.00	-1,424.56	-1,424.56	
GNW	0.00	0.00	0.00	0.00	0.00	0.00	8,093.59	0.00	0.00	-8,863.01	-769.42	-769.42	
H	0.00	0.00	0.00	0.00	0.00	0.00	94.10	-1,718.27	0.00	0.00	-1,624.16	-1,624.16	
IM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-77.26	13,961.01	0.00	13,883.76	13,883.76	
IOC	0.00	0.00	0.00	0.00	0.00	0.00	81.08	0.00	0.00	0.00	81.08	81.08	
MVC	0.00	0.00	0.00	0.00	0.00	0.00	3,232.56	0.00	0.00	-5,880.05	-2,647.49	-2,647.49	
PAH3	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-110.76	0.00	0.00	-110.76	-110.76	
TRIP	0.00	0.00	0.00	0.00	0.00	0.00	1,122.38	0.00	0.00	0.00	1,122.38	1,122.38	
VWA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-93.29	0.00	0.00	-93.29	-93.29	
Total	0.00	0.00	0.00	0.00	0.00	0.00	48,618.38	-21,151.82	16,811.58	-73,191.77	-28,913.62	-28,913.62	
Equity and Index Options													
BCS 16DEC16 8.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,540.36	0.00	0.00	-4,540.36	-4,540.36	

BRS 19AUG16 10.0 P	0.00	0.00	-2,396.35	0.00	0.00	-2,396.35	0.00	0.00	0.00	0.00	0.00	-2,396.35	
SPY 16DEC16 180.0 P	0.00	0.00	0.00	0.00	0.00	0.00	4,343.84	0.00	0.00	0.00	4,343.84	4,343.84	CP
SPY 16DEC16 207.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-10,112.85	0.00	0.00	-10,112.85	-10,112.85	
Total	0.00	0.00	-2,396.35	0.00	0.00	-2,396.35	4,343.84	-14,653.21	0.00	0.00	-10,309.38	-12,705.72	
Futures													
ECU6	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-8,104.92	0.00	0.00	-8,104.92	-8,104.92	
Total	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-8,104.92	0.00	0.00	-8,104.92	-8,104.92	
Forex													
CAD	0.00	3,808.64	-295.35	0.00	0.00	3,513.29	0.03	0.00	0.00	0.00	0.03	3,513.32	
GBP	0.00	9,185.10	-1.05	0.00	0.00	9,184.05	0.00	0.00	0.00	0.00	0.00	9,184.05	
USD	0.00	37.91	-1,928.38	0.00	0.00	-1,890.47	159.99	-218.49	0.00	0.00	-58.51	-1,948.98	
Total	0.00	13,031.65	-2,224.78	0.00	0.00	10,806.87	160.01	-218.50	0.00	0.00	-58.48	10,748.39	
Total (All Assets)	0.00	13,031.65	-4,621.13	0.00	0.00	8,410.52	53,122.23	-44,128.45	16,811.58	-89,508.18	-63,702.81	-55,292.29	

## Cash Report

	Total	Securities	Futures
Base Currency Summary			
Cash Detail			
Starting Cash	572,832.87	498,234.47	74,598.40
Commissions	-133.10	-133.10	0.00
Deposits	256,652.00	256,652.00	0.00
Withdrawals	-2,562.56	-2,562.56	0.00
Internal Transfers	0.00	11,594.39	-11,594.39
Dividends	1,578.79	1,578.79	0.00
Broker Interest Paid and Received	-680.67	-680.67	0.00
Cash Settling MTM	-2,069.13	0.00	-2,069.13
Net Trades (Sales)	365,238.99	365,238.99	0.00
Net Trades (Purchase)	-541,986.15	-541,986.15	0.00
Transaction Fees	-101.84	-101.84	0.00
Withholding Tax	-473.64	-473.64	0.00
Cash FX Translation Gain/Loss	-887.12	-947.79	60.67
Ending Cash	647,408.45	586,412.90	60,995.55
Ending Settled Cash	646,575.52	586,532.20	60,043.32
CAD			
Cash Detail			
Starting Cash	-112,068.39	-112,068.39	0.00
Broker Interest Paid and Received	-190.29	-190.29	0.00
Net Trades (Sales)	112,247.88	112,247.88	0.00
Ending Cash	-10.80	-10.80	0.00
Ending Settled Cash	-112,258.68	-112,258.68	0.00
EUR			
Cash Detail			
Starting Cash	682,738.35	642,621.67	40,116.68
Commissions	-55.53	-55.53	0.00
Deposits	256,652.00	256,652.00	0.00
Withdrawals	-2,562.56	-2,562.56	0.00
Broker Interest Paid and Received	-290.29	-290.29	0.00
Net Trades (Sales)	33,722.25	33,722.25	0.00
Net Trades (Purchase)	-324,458.20	-324,458.20	0.00
Ending Cash	645,746.02	605,629.34	40,116.68

Ending Settled Cash	946,629.02	906,512.34	40,116.68
GBP			
Cash Detail			
Starting Cash	-70,210.86	-70,210.86	0.00
Commissions	-15.12	-15.12	0.00
Broker Interest Paid and Received	-117.73	-117.73	0.00
Net Trades (Sales)	87,768.67	87,768.67	0.00
Net Trades (Purchase)	-17,338.50	-17,338.50	0.00
Transaction Fees	-86.69	-86.69	0.00
Ending Cash	-0.23	-0.23	0.00
Ending Settled Cash	-87,768.91	-87,768.91	0.00
USD			
Cash Detail			
Starting Cash	56,007.08	17,475.71	38,531.37
Commissions	-66.96	-66.96	0.00
Internal Transfers	0.00	13,047.02	-13,047.02
Dividends	1,763.00	1,763.00	0.00
Broker Interest Paid and Received	-132.76	-132.76	0.00
Cash Settling MTM	-2,187.50	0.00	-2,187.50
Net Trades (Sales)	169,401.14	169,401.14	0.00
Net Trades (Purchase)	-222,391.60	-222,391.60	0.00
Withholding Tax	-528.90	-528.90	0.00
Ending Cash	1,863.51	-21,433.35	23,296.85
Ending Settled Cash	-133,823.70	-156,058.05	22,234.35

## Open Positions

Symbol	Open	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Warrants									
USD									
+GM WS B	-	3,843	1	18.6674478	71,739.00	13.9300	53,532.99	-18,206.01	SY
Total					71,739.00		53,532.99	-18,206.01	
Total in EUR					64,293.21		47,976.80	-16,316.41	
Symbol	Open	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks									
CAD									
+AC	-	10,010	1	10.991322	110,023.13	8.9600	89,689.60	-20,333.53	
Total					110,023.13		89,689.60	-20,333.53	
Total in EUR					75,242.62		61,336.92	-13,905.70	
EUR									
+PAH3	-	517	1	45.6392409	23,595.49	45.4250	23,484.73	-110.76	
+VWA	-	-259	1	130.0898036	-33,693.26	130.4500	-33,786.55	-93.29	
Total					-10,097.77		-10,301.82	-204.05	
GBP									
+FGPI	-	80,075	1	1.0204767	81,714.67	1.0990	88,002.43	6,287.76	
Total					81,714.67		88,002.43	6,287.76	
Total in EUR					96,219.02		103,622.86	7,403.84	
USD									
+ACAS	-	4,635	1	15.1928754	70,418.98	16.8900	78,285.15	7,866.17	
+BCS	-	11,105	1	11.3358759	125,884.90	9.1100	101,166.55	-24,718.35	SY
+BRS	-	3,159	1	21.6793734	68,485.14	11.4100	36,044.19	-32,440.95	SY
+C	-	2,480	1	47.5629838	117,956.20	47.7400	118,395.20	439.00	
+CLRO	-	2,760	1	11.401617	31,468.46	10.5100	29,007.60	-2,460.86	
+COH	-	-1,137	1	39.713775	-45,154.56	38.1800	-43,410.66	1,743.90	
+FIVE	-	-595	1	49.7309598	-29,589.92	44.5600	-26,513.20	3,076.72	
+FSC	-	20,470	1	5.679149	116,252.18	6.2700	128,346.90	12,094.72	SY
+GM	-	450	1	35.4523137	15,953.54	31.9200	14,364.00	-1,589.54	

+GNW	-	14,140	1	4.7907159	67,740.72	4.7300	66,882.20	-858.52	
+H	-	-481	1	49.7123116	-23,911.62	53.4800	-25,723.88	-1,812.26	
+IM	-	2,136	1	27.707362	59,182.93	34.9600	74,674.56	15,491.63	
+IOC	-	2,400	1	49.0423026	117,701.53	49.0800	117,792.00	90.47	SY
+MVC	-	9,699	1	8.624577	83,649.77	8.3200	80,695.68	-2,954.09	SY
+TRIP	-	-431	1	63.9057228	-27,543.37	61.0000	-26,291.00	1,252.37	
Total					748,494.88		723,715.29	-24,779.59	
Total in EUR					670,808.60		648,600.88	-22,207.72	
Total Stocks in EUR					832,172.47		803,258.85	-28,913.62	
Symbol	Open	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Equity and Index Options									
USD									
+BCS 16DEC16 8.0 P	-	84	100	0.899817	7,558.46	0.2967	2,492.28	-5,066.18	
+SPY 16DEC16 180.0 P	-	-14	100	4.4020699	-6,162.90	0.9400	-1,316.00	4,846.90	CP
+SPY 16DEC16 207.0 P	-	14	100	11.977814	16,768.94	3.9178	5,484.92	-11,284.02	CP
Total					18,164.50		6,661.20	-11,503.30	
Total in EUR					16,279.21		5,969.83	-10,309.38	
Symbol	Open	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Futures									
USD									
+ECU6	-	5	125,000	1.1311197	706,949.80	1.11665	697,906.25	-9,043.55	
Total					706,949.80		697,906.25	-9,043.55	
Total in EUR					633,575.48		625,470.56	-8,104.92	

## Complex Positions Summary

Description	Symbol	Quantity	Multiplier	Close Price	Value	MTM P/L
USD						
+SPY Equity and Index Options Combo		-14	-	-	-1,316.00	595.00
Total					-1,316.00	595.00
Total in EUR					-1,179.41	533.24

## Forex Balances

Description	Open	Quantity	Cost Price	Cost Basis in EUR	Close Price	Value in EUR	Unrealized P/L in EUR	Code
Forex								
EUR								
+CAD	-	-10.80	0.68642	7.42	0.68388	-7.39	0.03	
EUR	-	645,746.02	0.0000	0.00	1.0000	645,746.02	0.00	
+GBP	-	-0.23	1.1747	0.28	1.1775	-0.28	0.00	
+USD	-	1,863.51	0.9276063	-1,728.60	0.89621	1,670.09	-58.51	
Total				-1,720.91		647,408.45	-58.48	

## Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
Stocks					
CAD					
AC	AIR CANADA	10,010	0	0	10,010
EUR					
PAH3	PORSCHE AUTOMOBIL HLDG-PRF	517	0	0	517
VWA	VOLKSWAGEN AG	-259	0	0	-259
GBP					
FGPI	FIRSTGROUP PLC	80,075	0	0	80,075
USD					
ACAS	AMERICAN CAPITAL LTD	4,635	0	0	4,635
BCS	BARCLAYS PLC-SPONS ADR	11,105	0	0	11,105
BRS	BRISTOW GROUP INC	3,159	0	0	3,159

C	CITIGROUP INC	2,480	0	0	2,480
CLRO	CLEARONE INC	2,760	0	0	2,760
COH	COACH INC	-1,137	0	0	-1,137
FIVE	FIVE BELOW	-595	0	0	-595
FSC	FIFTH STREET FINANCE CORP	20,470	0	0	20,470
GM	GENERAL MOTORS CO	450	0	0	450
GNW	GENWORTH FINANCIAL INC-CL A	14,140	0	0	14,140
H	HYATT HOTELS CORP - CL A	-481	0	0	-481
IM	INGRAM MICRO INC-CL A	2,136	0	0	2,136
IOC	INTEROIL CORPORATION	2,400	0	0	2,400
MVC	MVC CAPITAL INC	9,699	0	0	9,699
TRIP	TRIPADVISOR INC	-431	0	0	-431

### Trades

Symbol	Date/Time	Exchange	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks											
EUR											
+PAH3	2016-08-24, 05:20:53	-	517	45.6000	45.3700	-23,575.20	-20.29	23,595.49	0.00	-118.91	O;P
Total PAH3			517			-23,575.20	-20.29	23,595.49	0.00	-118.91	
+VWA	2016-08-24, 05:22:55	-	-259	130.2017375	129.6500	33,722.25	-28.99	-33,693.26	0.00	142.90	O;P
Total VWA			-259			33,722.25	-28.99	-33,693.26	0.00	142.90	
Total						10,147.05	-49.28	-10,097.77	0.00	23.99	
GBP											
+FGPI	2016-08-24, 05:30:56	-	2,333	1.0940	1.1100	-2,552.30	-14.97	2,567.27	0.00	37.33	O
+FGPI	2016-08-24, 09:47:39	-	13,442	1.1000	1.1100	-14,786.20	-86.84	14,873.04	0.00	134.42	O;P
Total FGPI			15,775			-17,338.50	-101.81	17,440.31	0.00	171.75	
Total						-17,338.50	-101.81	17,440.31	0.00	171.75	
Total in EUR						-20,367.54	-119.60	20,487.14	0.00	201.75	
USD											
+ACAS	2016-08-23, 14:18:32	-	990	16.6500	16.6200	-16,483.50	-1.69	16,485.19	0.00	-29.70	O
Total ACAS			990			-16,483.50	-1.69	16,485.19	0.00	-29.70	
+BCS	2016-08-24, 10:04:28	-	2,700	8.8697556	8.7700	-23,948.34	-7.14	23,955.48	0.00	-269.34	O;P
Total BCS			2,700			-23,948.34	-7.14	23,955.48	0.00	-269.34	
+BRS	2016-08-24, 10:04:57	-	609	12.5706061	11.8900	-7,655.50	-1.59	7,657.09	0.00	-414.49	O;P
Total BRS			609			-7,655.50	-1.59	7,657.09	0.00	-414.49	
+COH	2016-08-23, 13:26:19	-	-350	40.4300	40.4700	14,150.50	-1.16	-14,149.34	0.00	-14.00	O
Total COH			-350			14,150.50	-1.16	-14,149.34	0.00	-14.00	
+FIVE	2016-08-23, 13:14:47	-	-145	48.0700	48.1500	6,970.15	-0.42	-6,969.73	0.00	-11.60	O
Total FIVE			-145			6,970.15	-0.42	-6,969.73	0.00	-11.60	
+GNW	2016-08-24, 10:59:36	-	2,700	4.1700	4.2400	-11,259.00	-6.22	11,265.22	0.00	189.00	O
Total GNW			2,700			-11,259.00	-6.22	11,265.22	0.00	189.00	
+H	2016-08-23, 13:08:31	-	-90	54.6500	54.5100	4,918.50	-0.30	-4,918.20	0.00	12.60	O
Total H			-90			4,918.50	-0.30	-4,918.20	0.00	12.60	
+IM	2016-08-23, 14:59:15	-	566	35.1100	34.9200	-19,872.26	-1.30	19,873.56	0.00	-107.54	O
Total IM			566			-19,872.26	-1.30	19,873.56	0.00	-107.54	
+IOC	2016-08-25,	-	2,400	49.0400	49.0700	-117,696.00	-5.53	117,701.53	0.00	72.00	O

Total IOC	11:34:59		2,400			-117,696.00	-5.53	117,701.53	0.00	72.00	
+MVC	2016-08-24, 10:04:20	-	1,849	8.0000	8.0000	-14,792.00	-3.07	14,795.07	0.00	0.00	O:P
Total MVC			1,849			-14,792.00	-3.07	14,795.07	0.00	0.00	
+TRIP	2016-08-23, 13:07:37	-	-131	62.8800	62.6400	8,237.28	-0.95	-8,236.33	0.00	31.44	O:P
Total TRIP			-131			8,237.28	-0.95	-8,236.33	0.00	31.44	
Total						-177,430.17	-29.35	177,459.52	0.00	-541.63	
Total in EUR						-157,303.90	-26.02	157,329.92	0.00	-480.58	
Symbol	Date/Time	Exchange	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Equity and Index Options											
USD											
+BCS 16DEC16 8.0 P	2016-08-02, 11:15:17	-	84	0.9000	0.8932	-7,560.00	1.54	7,558.46	0.00	-57.12	O:P
Total BCS 161216P00008000			84			-7,560.00	1.54	7,558.46	0.00	-57.12	
+BRS 19AUG16 10.0 P	2016-08-03, 10:12:06	-	25	1.2500	1.0250	-3,125.00	-19.54	3,144.54	0.00	-562.50	O:P
+BRS 19AUG16 10.0 P	2016-08-09, 09:57:03	-	-25	0.2000	0.2083	500.00	-19.60	-3,144.54	-2,664.15	-20.75	C
Total BRS 160819P00010000			0			-2,625.00	-39.15	0.00	-2,664.15	-583.25	
Total						-10,185.00	-37.61	7,558.46	-2,664.15	-640.37	
Total in EUR						-9,088.24	-33.79	6,725.68	-2,396.35	-574.03	
Symbol	Date/Time	Exchange	Quantity	T. Price	C. Price	Proceeds	Comm in EUR			MTM in EUR	Code
Forex											
CAD											
+EUR.CAD	2016-08-30, 14:58:54	-	-77,005	1.45767	0.0000	112,247.88	-1.79			-101.73	
Total EUR.CAD			-77,005			112,247.88	-1.79			-101.73	
Total						112,247.88	-1.79			-101.73	
Total in EUR						76,903.27	-1.79			-101.73	
GBP											
+EUR.GBP	2016-08-30, 14:58:51	-	-103,056	0.85166	0.0000	87,768.67	-2.06			-24.35	
Total EUR.GBP			-103,056			87,768.67	-2.06			-24.35	
Total						87,768.67	-2.06			-24.35	
Total in EUR						103,031.65	-2.06			-24.35	
USD											
+EUR.USD	2016-08-30, 14:58:47	-	-120,822	1.11424	0.0000	134,624.71	-2.41			-8.44	
Total EUR.USD			-120,822			134,624.71	-2.41			-8.44	
Total						134,624.71	-2.41			-8.44	
Total in EUR						120,813.56	-2.41			-8.44	

## Transaction Fees

Date/Time	Symbol	Description	Quantity	Trade Price	Amount	Code
Stocks						
GBP						
+2016-08-24, 05:30:56	FGPI	FIRSTGROUP PLC	2,333	1.0940	-12.76	
+2016-08-24, 09:47:39	FGPI	FIRSTGROUP PLC	1,739	1.1000	-9.56	
+2016-08-24, 09:47:39	FGPI	FIRSTGROUP PLC	582	1.1000	-3.20	
+2016-08-24, 09:47:39	FGPI	FIRSTGROUP PLC	800	1.1000	-4.40	
+2016-08-24, 09:47:39	FGPI	FIRSTGROUP PLC	85	1.1000	-0.47	

+2016-08-24, 09:47:39	FGPI	FIRSTGROUP PLC	1,916	1.1000	-10.54
+2016-08-24, 09:47:39	FGPI	FIRSTGROUP PLC	1,341	1.1000	-7.38
+2016-08-24, 09:47:39	FGPI	FIRSTGROUP PLC	755	1.1000	-4.15
+2016-08-24, 09:47:39	FGPI	FIRSTGROUP PLC	3,886	1.1000	-21.37
+2016-08-24, 09:47:39	FGPI	FIRSTGROUP PLC	2,338	1.1000	-12.86
Total					-86.69
Total in EUR					-101.84

## Deposits &amp; Withdrawals

Date	Description	Amount
EUR		
2016-08-04	Disbursement Initiated by Juan Carlos Altamirano	-2,562.56
2016-08-23	Electronic Fund Transfer	256,652.00
Total		254,089.44

## Dividends

Date	Description	Amount
USD		
2016-08-26	C (US1729674242) Cash Dividend USD 0.16000000 (Ordinary Dividend)	396.80
2016-08-31	CLRO(US18506U1043) Cash Dividend 0.05000000 USD per Share (Ordinary Dividend)	138.00
2016-08-31	FSC (US31678A1034) Cash Dividend USD 0.06000000 (Ordinary Dividend)	1,228.20
Total		1,763.00
Total in EUR		1,578.79

## Withholding Tax

Date	Description	Amount	Code
USD			
2016-08-26	C(US1729674242) Choice Dividend 0.16000000 USD Distribution Value - US Tax	-119.04	
2016-08-31	CLRO(US18506U1043) Cash Dividend 0.05000000 USD per Share - US Tax	-41.40	
2016-08-31	FSC(US31678A1034) Choice Dividend 0.06000000 USD Distribution Value - US Tax	-368.46	
Total		-528.90	
Total in EUR		-473.64	

## Interest

Date	Description	Amount
CAD		
2016-08-03	CAD Debit Interest for Jul-2016	-190.29
Total		-190.29
Total in EUR		-130.62
EUR		
2016-08-03	EUR Credit Interest for Jul-2016	-290.29
Total		-290.29
GBP		
2016-08-03	GBP Debit Interest for Jul-2016	-117.73
Total		-117.73
Total in EUR		-140.70
USD		
2016-08-03	USD Debit Interest for Jul-2016	-126.42
2016-08-03	USD Net Short Stock Interest for Jul-2016	-6.34
Total		-132.76
Total in EUR		-119.06
Total Interest in EUR		-680.67

## Interest Accruals

Base Currency Summary	
Starting Accrual Balance	-613.16
Interest Accrued	-770.44
Accrual Reversal	680.67
FX Translation	-2.58
Ending Accrual Balance	-705.51
CAD	
Starting Accrual Balance	-171.87
Interest Accrued	-202.90
Accrual Reversal	190.29
Ending Accrual Balance	-184.48
Ending Accrual Balance in EUR	-126.16
EUR	



Starting Accrual Balance	-262.57
Interest Accrued	-353.91
Accrual Reversal	290.29
Ending Accrual Balance	-326.19
GBP	
Starting Accrual Balance	-106.33
Interest Accrued	-115.98
Accrual Reversal	117.73
Ending Accrual Balance	-104.58
Ending Accrual Balance in EUR	-123.14
USD	
Starting Accrual Balance	-119.30
Interest Accrued	-158.53
Accrual Reversal	132.76
Ending Accrual Balance	-145.07
Ending Accrual Balance in EUR	-130.01

### Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amnt	Net Amnt	Code
Starting Dividend Accruals in EUR									248.57	
Stocks										
USD										
BCS	2016-08-09	2016-08-10	2016-09-19	8,405	0.00	0.00	0.05	445.20	445.20	Po
BRS	2016-08-29	2016-08-30	2016-09-15	3,159	66.34	0.00	0.07	221.13	154.79	Po
C	2016-08-26	2016-07-28	2016-08-26	2,480	-119.04	0.00	0.16	-396.80	-277.76	Re
CLRO	2016-08-12	2016-08-15	2016-08-31	2,760	41.40	0.00	0.05	138.00	96.60	Po
CLRO	2016-08-31	2016-08-15	2016-08-31	2,760	-41.40	0.00	0.05	-138.00	-96.60	Re
FSC	2016-08-10	2016-08-11	2016-08-31	20,470	368.46	0.00	0.06	1,228.20	859.74	Po
FSC	2016-08-31	2016-08-11	2016-08-31	20,470	-368.46	0.00	0.06	-1,228.20	-859.74	Re
Total					-52.70	0.00		269.53	322.23	
Total in EUR					-46.25	0.00		244.15	290.40	
Ending Dividend Accruals in EUR									537.72	

### Non-Direct Hard to Borrow Details

Value Date	Symbol	Quantity	Price	Value	Fee Rate (%)	Borrow Fee	Code
EUR							
2016-08-26	VWA	259	133.9300	34,687.87	1.29	-1.24	Po
2016-08-27	VWA	259	133.9300	34,687.87	1.29	-1.24	Po
2016-08-28	VWA	259	133.9300	34,687.87	1.29	-1.24	Po
2016-08-29	VWA	259	136.8700	35,449.33	1.29	-1.27	Po
2016-08-30	VWA	259	134.8200	34,918.38	1.28	-1.24	Po
Total						-6.23	
USD							
2016-07-26	H	391	53.0000	20,723.00	0.39	-0.22	Po
2016-07-26	TRIP	300	72.0000	21,600.00	0.13	-0.08	Po
2016-07-27	H	391	53.0000	20,723.00	0.33	-0.19	Po
2016-07-27	TRIP	300	72.0000	21,600.00	0.19	-0.12	Po
2016-07-28	H	391	52.0000	20,332.00	0.30	-0.17	Po
2016-07-28	TRIP	300	72.0000	21,600.00	0.18	-0.11	Po
2016-07-29	H	391	52.0000	20,332.00	0.34	-0.19	Po
2016-07-29	TRIP	300	73.0000	21,900.00	0.18	-0.11	Po
2016-07-30	H	391	52.0000	20,332.00	0.34	-0.19	Po
2016-07-30	TRIP	300	73.0000	21,900.00	0.18	-0.11	Po
2016-07-31	H	391	52.0000	20,332.00	0.34	-0.19	Po
2016-07-31	TRIP	300	73.0000	21,900.00	0.18	-0.11	Po
2016-08-01	COH	787	44.0000	34,628.00	0.02	-0.02	Po

2016-08-01	H	391	52.0000	20,332.00	0.35	-0.20	Po
2016-08-01	TRIP	300	72.0000	21,600.00	0.22	-0.13	Po
2016-08-02	H	391	52.0000	20,332.00	0.30	-0.17	Po
2016-08-03	COH	787	43.0000	33,841.00	0.00	0.00	Po
2016-08-03	FIVE	450	50.0000	22,500.00	0.25	-0.16	Po
2016-08-03	H	391	52.0000	20,332.00	0.35	-0.20	Po
2016-08-04	COH	787	43.0000	33,841.00	0.00	-0.01	Po
2016-08-04	FIVE	450	50.0000	22,500.00	0.24	-0.15	Po
2016-08-04	H	391	53.0000	20,723.00	0.35	-0.20	Po
2016-08-05	FIVE	450	50.0000	22,500.00	0.23	-0.14	Po
2016-08-05	H	391	54.0000	21,114.00	0.34	-0.20	Po
2016-08-06	FIVE	450	50.0000	22,500.00	0.23	-0.14	Po
2016-08-06	H	391	54.0000	21,114.00	0.34	-0.20	Po
2016-08-07	FIVE	450	50.0000	22,500.00	0.23	-0.14	Po
2016-08-07	H	391	54.0000	21,114.00	0.34	-0.20	Po
2016-08-08	COH	787	43.0000	33,841.00	0.00	0.00	Po
2016-08-08	FIVE	450	52.0000	23,400.00	0.23	-0.15	Po
2016-08-08	H	391	54.0000	21,114.00	0.36	-0.21	Po
2016-08-09	FIVE	450	51.0000	22,950.00	0.24	-0.16	Po
2016-08-09	H	391	55.0000	21,505.00	0.35	-0.21	Po
2016-08-09	TRIP	300	64.0000	19,200.00	0.00	0.00	Po
2016-08-10	FIVE	450	51.0000	22,950.00	0.02	-0.01	Po
2016-08-10	H	391	54.0000	21,114.00	0.29	-0.17	Po
2016-08-10	TRIP	300	63.0000	18,900.00	0.00	0.00	Po
2016-08-11	COH	787	41.0000	32,267.00	0.00	0.00	Po
2016-08-11	FIVE	450	51.0000	22,950.00	0.02	-0.01	Po
2016-08-11	H	391	54.0000	21,114.00	0.29	-0.17	Po
2016-08-11	TRIP	300	63.0000	18,900.00	0.02	-0.01	Po
2016-08-12	FIVE	450	52.0000	23,400.00	0.02	-0.01	Po
2016-08-12	H	391	54.0000	21,114.00	0.30	-0.18	Po
2016-08-13	FIVE	450	52.0000	23,400.00	0.02	-0.01	Po
2016-08-13	H	391	54.0000	21,114.00	0.30	-0.18	Po
2016-08-14	FIVE	450	52.0000	23,400.00	0.02	-0.01	Po
2016-08-14	H	391	54.0000	21,114.00	0.30	-0.18	Po
2016-08-15	COH	787	41.0000	32,267.00	0.00	0.00	Po
2016-08-15	FIVE	450	52.0000	23,400.00	0.02	-0.01	Po
2016-08-15	H	391	54.0000	21,114.00	0.31	-0.18	Po
2016-08-16	COH	787	41.0000	32,267.00	0.00	-0.01	Po
2016-08-16	FIVE	450	50.0000	22,500.00	0.01	-0.01	Po
2016-08-16	H	391	55.0000	21,505.00	0.35	-0.21	Po
2016-08-17	FIVE	450	49.0000	22,050.00	0.00	0.00	Po
2016-08-17	H	391	55.0000	21,505.00	0.34	-0.20	Po
2016-08-17	TRIP	300	64.0000	19,200.00	0.00	0.00	Po
2016-08-18	FIVE	450	48.0000	21,600.00	0.00	0.00	Po
2016-08-18	H	391	55.0000	21,505.00	0.35	-0.21	Po
2016-08-19	H	391	55.0000	21,505.00	0.39	-0.24	Po
2016-08-20	H	391	55.0000	21,505.00	0.39	-0.24	Po
2016-08-21	H	391	55.0000	21,505.00	0.39	-0.24	Po
2016-08-22	H	391	56.0000	21,896.00	0.40	-0.24	Po
2016-08-23	H	391	55.0000	21,505.00	0.41	-0.25	Po
2016-08-23	TRIP	300	64.0000	19,200.00	0.00	0.00	Po
2016-08-24	H	391	56.0000	21,896.00	0.40	-0.25	Po
2016-08-24	TRIP	300	64.0000	19,200.00	0.00	0.00	Po
2016-08-25	H	391	56.0000	21,896.00	0.36	-0.22	Po
2016-08-25	TRIP	300	64.0000	19,200.00	0.00	0.00	Po
2016-08-26	H	481	56.0000	26,936.00	0.35	-0.26	Po
2016-08-27	H	481	56.0000	26,936.00	0.35	-0.26	Po

2016-08-28	H	481	56.0000	26,936.00	0.35	-0.26	Po
Total						-9.01	
Total in EUR						-8.05	

## Financial Instrument Information

Symbol	Description	Conid	Security ID	Multiplier	Expiry	Strike	Code
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## Warrants

GM WS B	GM 10JUL19 18.33 C	87423470		1	2019-07-10	18.33	
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Symbol	Description	Conid	Security ID	Multiplier			Code
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## Stocks

AC	AIR CANADA	172526919	CA0089118776	1			
ACAS	AMERICAN CAPITAL LTD	52413734		1			
BCS	BARCLAYS PLC-SPONS ADR	4854		1			
BRS	BRISTOW GROUP INC	37887597		1			
C	CITIGROUP INC	87335484		1			
CLRO	CLEARONE INC	118804555		1			
COH	COACH INC	10413985		1			
FGPI	FIRSTGROUP PLC	12171721	GB0003452173	1			
FIVE	FIVE BELOW	110650558		1			
FSC	FIFTH STREET FINANCE CORP	51752387		1			
GM	GENERAL MOTORS CO	80986742		1			
GNW	GENWORTH FINANCIAL INC-CL A	29110391		1			
H	HYATT HOTELS CORP - CL A	70084337		1			
IM	INGRAM MICRO INC-CL A	2585636		1			
IOC	INTEROIL CORPORATION	30866509		1			
MVC	MVC CAPITAL INC	9653787		1			
PAH3	PORSCHE AUTOMOBIL HLDG-PRF	53610946	DE000PAH0038	1			
TRIP	TRIPADVISOR INC	98542026		1			
VWA	VOLKSWAGEN AG	14232	DE0007664005	1			

Symbol	Description	Conid	Multiplier	Expiry	Delivery Month	Type	Strike	Code
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## Equity and Index Options

BCS 161216P00008000	BCS 16DEC16 8.0 P	229864559	100	2016-12-16	2016-12	P	8	
BRS 160819P00010000	BRS 19AUG16 10.0 P	237261937	100	2016-08-19	2016-08	P	10	
SPY 161216P00180000	SPY 16DEC16 180.0 P	141058705	100	2016-12-16	2016-12	P	180	CP
SPY 161216P00207000	SPY 16DEC16 207.0 P	228725172	100	2016-12-16	2016-12	P	207	

Symbol	Description	Conid	Multiplier	Expiry	Delivery Month		Code
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## Futures

ECU6	EUR 19SEP16	132757562	125,000	2016-09-19	2016-09		
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## Codes

## Notes/Legal Notes

## Notes

- Most stock exchange transactions settle on the trade date plus three business days. Options, futures, and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods. For instance, stock transactions on XETRA settle on trade date plus two business days.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.

5. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
6. Trade execution times are displayed in Eastern Time.
7. Applicable commodity Regulatory Fees for your transactions are available on the IB website at [www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php](http://www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php).
8. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
9. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources.
10. For clients whose accounts are being managed by an advisor, trades shown may be part of a larger trade that was allocated across multiple client accounts, based on an allocation algorithm specified by the advisor prior to execution of the trade. Times shown are times that trades were allocated to your account. Execution time on the relevant market center is available upon your request.
11. If you specified that your advisor is to receive a per-trade advisory fee, please note that the figures in the "Commiss" column in the transaction listings are gross amounts that include both IB's brokerage commission for the trade as well as the advisor's per-trade fee. IB receives its standard published brokerage commissions on your trades ( see [www.interactivebrokers.com](http://www.interactivebrokers.com) ). To determine the advisor's per-trade fee for any trade, subtract IB's standard commission from the amount shown.

#### Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: [help@interactivebrokers.com](mailto:help@interactivebrokers.com). If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances.

#### Legal Notes

1. Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

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2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; Timber Hill Europe AG; ABN Amro Clearing Singapore Pte. Ltd.; ABN Amro Clearing Sydney Pty Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank International plc; Euroclear Bank S.A./N.V.; Shinhan Securities Co., Ltd.; Skandinaviska Enskilda Banken AB.
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4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
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7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
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