



## Activity Statement

August 1, 2016 – August 31, 2016

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

## Account Information

Name	IA Capital Structues (Ireland) Plc S 59
Account	U1809856
Master Name	GWM Ltd.
Account Type	Broker Client
Customer Type	Corporate
Account Capabilities	Portfolio Margin
Trading Permissions	Stocks,Options,Warrants,Bonds,Forex,Futures,Futures Options
Base Currency	USD

## Net Asset Value

	July 31, 2016	August 31, 2016			Change	Change in NAV	
	Total	Long	Short	Total		Starting Value	Total
Cash	414,580.56	432,163.30	0.00	432,163.30	17,582.74	398,155.79	
Options	-16,424.77	0.00	-27,044.36	-27,044.36	-10,619.59	Mark-to-Market	7,221.41
Total	398,155.79	432,163.30	-27,044.36	405,118.94	6,963.15	Other Fees	-30.00
Time Weighted Rate of Return					1.75%	Commissions	-228.26
						Ending Value	405,118.94

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L					Total	Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other			
Equity and Index Options											
SPX 05AUG16 2175.0 P	0	0	--	--	0.00	15.00	-2.28	0.00	12.72		
SPX 05AUG16 2185.0 P	0	0	--	--	-213.00	116.00	-2.37	0.00	-99.37		
SPX 12AUG16 2160.0 P	0	0	--	--	109.96	89.04	-7.93	0.00	191.07		
SPX 12AUG16 2165.0 P	0	0	--	--	-160.28	-98.72	-7.93	0.00	-266.93		
SPX 12AUG16 2170.0 P	0	0	--	--	110.36	69.64	-3.96	0.00	176.04		
SPX 12AUG16 2175.0 P	0	0	--	--	-180.00	-110.00	-4.14	0.00	-294.14		
SPX 17AUG16 2155.0 P	0	0	--	--	100.08	39.92	-8.23	0.00	131.77		
SPX 17AUG16 2165.0 P	0	0	--	--	-139.98	-40.02	-8.32	0.00	-188.32		
SPX 17AUG16 2170.0 P	0	0	--	--	-110.52	145.52	-8.89	0.00	26.11		
SPX 17AUG16 2180.0 P	0	0	--	--	437.12	-222.12	-8.89	0.00	206.11		
SPX 17AUG16 2185.0 P	0	0	--	--	-750.86	93.86	-8.89	0.00	-665.89		
SPX 17AUG16 2200.0 P	0	0	--	--	1,597.72	189.28	-8.89	0.00	1,778.11		
SPX 19AUG16 2010.0	-1	0	164.2477	--	888.70	351.07	-6.82	0.00	1,232.95		

SPX 22AUG16 2165.0 P	0	0	--	--	210.36	-195.36	-12.19	0.00	2.81	
SPX 22AUG16 2175.0 P	0	0	--	--	-405.12	479.12	-28.49	0.00	45.51	
SPX 22AUG16 2180.0 P	0	0	--	--	0.00	471.00	-20.87	0.00	450.13	
SPX 24AUG16 2165.0 P	0	0	--	--	138.18	41.82	-8.11	0.00	171.89	
SPX 24AUG16 2175.0 P	0	0	--	--	-258.66	-31.34	-8.11	0.00	-298.11	
SPX 24AUG16 2185.0 P	0	0	--	--	0.00	90.00	-8.29	0.00	81.71	
SPX 29AUG16 2180.0 P	0	0	--	--	0.00	70.00	-7.93	0.00	62.07	
SPX 29AUG16 2185.0 P	0	0	--	--	0.00	110.00	-8.29	0.00	101.71	
SPX 16SEP16 2010.0 C	0	-1	--	160.5232	2,153.93	228.75	-6.82	0.00	2,375.86	CP
SPX 16SEP16 2050.0 P	0	0	--	--	688.42	271.58	-4.54	0.00	955.46	
SPX 16SEP16 2150.0 C	0	-1	--	31.4963	787.78	92.59	-2.27	0.00	878.10	CP
SPX 16SEP16 2150.0 P	0	-1	--	12.1001	-211.91	51.90	-2.27	0.00	-162.28	CP
SPX 16SEP16 2175.0 P	0	-1	--	20.4992	-409.89	39.97	-2.27	0.00	-372.19	CP
SPX 16SEP16 2200.0 C	0	0	--	--	267.77	-57.77	-4.54	0.00	205.46	
SPX 21OCT16 2070.0 P	0	-1	--	15.0509	0.00	134.91	-2.27	0.00	132.64	CP
SPX 21OCT16 2225.0 C	0	-1	--	9.4133	0.00	-41.33	-2.27	0.00	-43.60	CP
SPY 21OCT16 216.0 P	0	-3	--	3.5701	94.83	123.14	-5.61	0.00	212.36	CP
SPY 21OCT16 217.0 C	0	-3	--	3.5501	37.83	-78.86	-5.60	0.00	-46.63	CP
Total					4,782.82	2,438.59	-228.26	0.00	6,993.15	
Forex										
USD	414,580.56	432,163.30	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					4,782.82	2,438.59	-228.26	0.00	6,993.15	
Other Fees										-30.00
Total P/L for Statement Period										6,963.15

### Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Equity and Index Options													
SPX 05AUG16 2175.0 P	0.00	12.72	0.00	0.00	0.00	12.72	0.00	0.00	0.00	0.00	0.00	12.72	
SPX 05AUG16 2185.0 P	0.00	0.00	-99.37	0.00	0.00	-99.37	0.00	0.00	0.00	0.00	0.00	-99.37	
SPX 12AUG16 2160.0 P	0.00	191.07	0.00	0.00	0.00	191.07	0.00	0.00	0.00	0.00	0.00	191.07	
SPX 12AUG16 2165.0 P	0.00	0.00	-266.93	0.00	0.00	-266.93	0.00	0.00	0.00	0.00	0.00	-266.93	
SPX 12AUG16 2170.0 P	0.00	176.04	0.00	0.00	0.00	176.04	0.00	0.00	0.00	0.00	0.00	176.04	
SPX 12AUG16 2175.0 P	0.00	0.00	-294.14	0.00	0.00	-294.14	0.00	0.00	0.00	0.00	0.00	-294.14	
SPX 17AUG16 2155.0 P	0.00	131.77	0.00	0.00	0.00	131.77	0.00	0.00	0.00	0.00	0.00	131.77	
SPX 17AUG16	0.00	5.65	-193.96	0.00	0.00	-188.32	0.00	0.00	0.00	0.00	0.00	-188.32	

2165.0 P SPX 17AUG16 2170.0 P	0.00	30.56	-4.44	0.00	0.00	26.11	0.00	0.00	0.00	0.00	0.00	26.11	
SPX 17AUG16 2180.0 P	0.00	206.11	0.00	0.00	0.00	206.11	0.00	0.00	0.00	0.00	0.00	206.11	
SPX 17AUG16 2185.0 P	0.00	0.00	-665.89	0.00	0.00	-665.89	0.00	0.00	0.00	0.00	0.00	-665.89	
SPX 17AUG16 2200.0 P	0.00	1,778.11	0.00	0.00	0.00	1,778.11	0.00	0.00	0.00	0.00	0.00	1,778.11	
SPX 19AUG16 2010.0 C	0.00	1,661.13	-1,309.54	0.00	0.00	351.59	0.00	0.00	0.00	0.00	0.00	351.59	
SPX 22AUG16 2165.0 P	0.00	50.74	-47.93	0.00	0.00	2.81	0.00	0.00	0.00	0.00	0.00	2.81	
SPX 22AUG16 2175.0 P	0.00	291.71	-246.21	0.00	0.00	45.51	0.00	0.00	0.00	0.00	0.00	45.51	
SPX 22AUG16 2180.0 P	0.00	450.13	0.00	0.00	0.00	450.13	0.00	0.00	0.00	0.00	0.00	450.13	
SPX 24AUG16 2165.0 P	0.00	171.89	0.00	0.00	0.00	171.89	0.00	0.00	0.00	0.00	0.00	171.89	
SPX 24AUG16 2175.0 P	0.00	0.00	-298.11	0.00	0.00	-298.11	0.00	0.00	0.00	0.00	0.00	-298.11	
SPX 24AUG16 2185.0 P	0.00	81.71	0.00	0.00	0.00	81.71	0.00	0.00	0.00	0.00	0.00	81.71	
SPX 29AUG16 2180.0 P	0.00	62.07	0.00	0.00	0.00	62.07	0.00	0.00	0.00	0.00	0.00	62.07	
SPX 29AUG16 2185.0 P	0.00	101.71	0.00	0.00	0.00	101.71	0.00	0.00	0.00	0.00	0.00	101.71	
SPX 16SEP16 2010.0 C	0.00	1,320.46	0.00	0.00	0.00	1,320.46	1,055.41	0.00	0.00	0.00	1,055.41	2,375.86	CP
SPX 16SEP16 2050.0 P	0.00	955.46	0.00	0.00	0.00	955.46	0.00	0.00	0.00	0.00	0.00	955.46	
SPX 16SEP16 2150.0 C	0.00	0.00	0.00	0.00	0.00	0.00	878.10	0.00	0.00	0.00	878.10	878.10	CP
SPX 16SEP16 2150.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-162.28	0.00	0.00	-162.28	-162.28	CP
SPX 16SEP16 2175.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-372.19	0.00	0.00	-372.19	-372.19	CP
SPX 16SEP16 2200.0 C	0.00	205.46	0.00	0.00	0.00	205.46	0.00	0.00	0.00	0.00	0.00	205.46	
SPX 21OCT16 2070.0 P	0.00	0.00	0.00	0.00	0.00	0.00	132.64	0.00	0.00	0.00	132.64	132.64	CP
SPX 21OCT16 2225.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-43.60	0.00	0.00	-43.60	-43.60	CP
SPY 21OCT16 216.0 P	0.00	0.00	0.00	0.00	0.00	0.00	212.36	0.00	0.00	0.00	212.36	212.36	CP
SPY 21OCT16 217.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-46.63	0.00	0.00	-46.63	-46.63	CP
Total	0.00	7,884.51	-3,426.52	0.00	0.00	4,457.99	2,278.51	-624.71	0.00	0.00	1,653.80	6,111.79	
Total (All Assets)	0.00	7,884.51	-3,426.52	0.00	0.00	4,457.99	2,278.51	-624.71	0.00	0.00	1,653.80	6,111.79	

## Cash Report

Total Securities Futures

### Base Currency Summary

Starting Cash	414,580.56	414,580.56	0.00
Commissions	-228.26	-228.26	0.00
Cash Settling MTM	213.00	213.00	0.00
Net Trades (Sales)	74,881.00	74,881.00	0.00

Net Trades (Purchase)	-57,253.00	-57,253.00	0.00
Other Fees	-30.00	-30.00	0.00
Ending Cash	432,163.30	432,163.30	0.00
Ending Settled Cash	429,623.30	429,623.30	0.00

## Open Positions

Symbol	Open	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Equity and Index Options									
USD									
+SPX 16SEP16 2010.0 C	-	-1	100	171.077283	-17,107.73	160.5232	-16,052.32	1,055.41	CP
+SPX 16SEP16 2150.0 C	-	-1	100	40.277283	-4,027.73	31.4963	-3,149.63	878.10	CP
+SPX 16SEP16 2150.0 P	-	-1	100	10.477283	-1,047.73	12.1001	-1,210.01	-162.28	CP
+SPX 16SEP16 2175.0 P	-	-1	100	16.777283	-1,677.73	20.4992	-2,049.92	-372.19	CP
+SPX 21OCT16 2070.0 P	-	-1	100	16.377283	-1,637.73	15.0509	-1,505.09	132.64	CP
+SPX 21OCT16 2225.0 C	-	-1	100	8.977283	-897.73	9.4133	-941.33	-43.60	CP
+SPY 21OCT16 216.0 P	-	-3	100	4.2779693	-1,283.39	3.5701	-1,071.03	212.36	CP
+SPY 21OCT16 217.0 C	-	-3	100	3.3946553	-1,018.40	3.5501	-1,065.03	-46.63	CP
Total					-28,698.16		-27,044.36	1,653.80	

## Complex Positions Summary

Description	Symbol	Quantity	Multiplier	Close Price	Value	MTM P/L
USD						
+SPX Equity and Index Options Combo		-1	-	-	-4,359.64	715.82
+SPX Equity and Index Options Combo		-1	-	-	-18,102.24	683.22
+SPX Equity and Index Options Combo		-1	-	-	-2,446.42	89.04
+SPY Equity and Index Options Combo		-3	-	-	-2,136.06	164.23
Total					-27,044.36	1,652.30

## Trades

Symbol	Date/Time	Exchange	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Equity and Index Options											
USD											
+SPX 19AUG16 2010.0 C	2016-08-02, 12:58:15	-	1	138.8000	146.4773	-13,880.00	-2.27	15,543.40	1,661.13	767.73	C
+SPX 19AUG16 2010.0 C	2016-08-05, 09:48:47	-	-1	165.1000	169.6957	16,510.00	-2.27	-16,507.73	0.00	-459.57	O
+SPX 19AUG16 2010.0 C	2016-08-15, 09:57:38	-	1	178.1500	178.5791	-17,815.00	-2.27	16,507.73	-1,309.54	42.91	C
Total SPX 160819C02010000			1			-15,185.00	-6.82	15,543.40	351.59	351.07	
+SPX 16SEP16 2010.0 C	2016-08-15, 09:57:38	-	-1	178.6500	178.8520	17,865.00	-2.27	-17,862.73	0.00	-20.20	CP;O
+SPX 16SEP16 2010.0 C	2016-08-24, 15:21:09	-	1	165.4000	167.3236	-16,540.00	-2.27	17,862.73	1,320.46	192.36	C
+SPX 16SEP16 2010.0 C	2016-08-29, 11:46:03	-	-1	171.1000	170.5341	17,110.00	-2.27	-17,107.73	0.00	56.59	O
Total SPX 160916C02010000			-1			18,435.00	-6.82	-17,107.73	1,320.46	228.75	
+SPX	2016-08-										

9/1/2016

U1809856 Activity Statement August 1, 2016 - August 31, 2016 - Interactive Brokers

16SEP16 2150.0 C	29, 11:46:03	-	-1	40.3000	39.3741	4,030.00	-2.27	-4,027.73	0.00	92.59	CP;O
Total SPX 160916C02150000			-1			4,030.00	-2.27	-4,027.73	0.00	92.59	
+SPX 16SEP16 2200.0 C	2016-08- 02, 13:50:28	-	-1	10.1000	11.5245	1,010.00	-2.27	-1,007.73	0.00	-142.45	O
+SPX 16SEP16 2200.0 C	2016-08- 24, 15:38:08	-	1	8.0000	8.8468	-800.00	-2.27	1,007.73	205.46	84.68	C
Total SPX 160916C02200000			0			210.00	-4.54	0.00	205.46	-57.77	
+SPX 16SEP16 2050.0 P	2016-08- 02, 14:00:14	-	-1	14.4000	12.0035	1,440.00	-2.27	-1,437.73	0.00	239.65	O
+SPX 16SEP16 2050.0 P	2016-08- 12, 11:03:13	-	1	4.8000	5.1193	-480.00	-2.27	1,437.73	955.46	31.93	C
Total SPX 160916P02050000			0			960.00	-4.54	0.00	955.46	271.58	
+SPX 16SEP16 2150.0 P	2016-08- 29, 11:46:03	-	-1	10.5000	9.9810	1,050.00	-2.27	-1,047.73	0.00	51.90	CP;O
Total SPX 160916P02150000			-1			1,050.00	-2.27	-1,047.73	0.00	51.90	
+SPX 16SEP16 2175.0 P	2016-08- 29, 11:46:03	-	-1	16.8000	16.4003	1,680.00	-2.27	-1,677.73	0.00	39.97	CP;O
Total SPX 160916P02175000			-1			1,680.00	-2.27	-1,677.73	0.00	39.97	
+SPX 21OCT16 2225.0 C	2016-08- 31, 11:05:58	-	-1	9.0000	9.4133	900.00	-2.27	-897.73	0.00	-41.33	CP;O
Total SPX 161021C02225000			-1			900.00	-2.27	-897.73	0.00	-41.33	
+SPX 21OCT16 2070.0 P	2016-08- 31, 11:05:58	-	-1	16.4000	15.0509	1,640.00	-2.27	-1,637.73	0.00	134.91	CP;O
Total SPX 161021P02070000			-1			1,640.00	-2.27	-1,637.73	0.00	134.91	
+SPX 05AUG16 2175.0 P	2016-08- 05, 15:06:39	-	-1	0.1500	0.0000	15.00	-2.28	-12.72	0.00	15.00	O
+SPX 05AUG16 2175.0 P	2016-08- 05, 16:20:00	-	1	0.0000	0.0000	0.00	0.00	12.72	12.72	0.00	C;Ep
Total SPXW 160805P02175000			0			15.00	-2.28	0.00	12.72	15.00	
+SPX 05AUG16 2185.0 P	2016-08- 05, 15:06:39	-	1	3.1000	2.1300	-310.00	-2.37	312.37	0.00	-97.00	O
+SPX 05AUG16 2185.0 P	2016-08- 05, 16:20:00	-	-1	0.0000	0.0000	0.00	0.00	-312.37	-99.37	0.00	C;Ex
Total SPXW 160805P02185000			0			-310.00	-2.37	0.00	-99.37	-97.00	
+SPX 12AUG16 2160.0 P	2016-08- 11, 11:02:14	-	-4	0.4975	0.2749	199.00	-7.93	-191.07	0.00	89.04	O;P
+SPX 12AUG16 2160.0 P	2016-08- 12, 16:20:00	-	4	0.0000	0.0000	0.00	0.00	191.07	191.07	0.00	C;Ep
Total SPXW 160812P02160000			0			199.00	-7.93	0.00	191.07	89.04	
+SPX 12AUG16 2165.0 P	2016-08- 11, 11:02:14	-	4	0.6475	0.4007	-259.00	-7.93	266.93	0.00	-98.72	O;P
+SPX 12AUG16 2165.0 P	2016-08- 12, 16:20:00	-	-4	0.0000	0.0000	0.00	0.00	-266.93	-266.93	0.00	C;Ep

Total SPXW 160812P02165000			0			-259.00	-7.93	0.00	-266.93	-98.72	
+SPX 12AUG16 2170.0 P	2016-08-11, 10:46:17	-	-2	0.9000	0.5518	180.00	-3.96	-176.04	0.00	69.64	O
+SPX 12AUG16 2170.0 P	2016-08-12, 16:20:00	-	2	0.0000	0.0000	0.00	0.00	176.04	176.04	0.00	C;Ep
Total SPXW 160812P02170000			0			180.00	-3.96	0.00	176.04	69.64	
+SPX 12AUG16 2175.0 P	2016-08-11, 10:46:17	-	2	1.4500	0.9000	-290.00	-4.14	294.14	0.00	-110.00	O
+SPX 12AUG16 2175.0 P	2016-08-12, 16:20:00	-	-2	0.0000	0.0000	0.00	0.00	-294.14	-294.14	0.00	C;Ep
Total SPXW 160812P02175000			0			-290.00	-4.14	0.00	-294.14	-110.00	
+SPX 17AUG16 2155.0 P	2016-08-15, 10:23:51	-	-3	0.6500	0.5252	195.00	-5.95	-189.05	0.00	37.44	O
+SPX 17AUG16 2155.0 P	2016-08-16, 14:15:45	-	1	0.5500	0.5748	-55.00	-2.28	63.02	5.74	2.48	C
+SPX 17AUG16 2155.0 P	2016-08-17, 16:20:00	-	2	0.0000	0.0000	0.00	0.00	126.04	126.04	0.00	C;Ep
Total SPXW 160817P02155000			0			140.00	-8.23	0.00	131.77	39.92	
+SPX 17AUG16 2165.0 P	2016-08-15, 10:23:51	-	3	0.9500	0.8004	-285.00	-5.95	290.95	0.00	-44.88	O
+SPX 17AUG16 2165.0 P	2016-08-16, 14:15:45	-	-1	1.0500	1.0014	105.00	-2.37	-96.98	5.65	4.86	C
+SPX 17AUG16 2165.0 P	2016-08-17, 16:20:00	-	-2	0.0000	0.0000	0.00	0.00	-193.96	-193.96	0.00	C;Ep
Total SPXW 160817P02165000			0			-180.00	-8.32	0.00	-188.32	-40.02	
+SPX 17AUG16 2170.0 P	2016-08-15, 09:43:57	-	-2	1.6000	1.0507	320.00	-4.14	-315.86	0.00	109.86	O
+SPX 17AUG16 2170.0 P	2016-08-16, 10:18:34	-	1	1.2500	1.6033	-125.00	-2.37	157.93	30.56	35.33	C
+SPX 17AUG16 2170.0 P	2016-08-16, 10:58:11	-	1	1.6000	1.6033	-160.00	-2.37	157.93	-4.44	0.33	C
Total SPXW 160817P02170000			0			35.00	-8.89	0.00	26.11	145.52	
+SPX 17AUG16 2180.0 P	2016-08-15, 09:43:57	-	2	2.8000	2.2456	-560.00	-4.14	564.14	0.00	-110.88	O
+SPX 17AUG16 2180.0 P	2016-08-16, 10:18:34	-	-1	3.3500	4.4312	335.00	-2.37	-282.07	50.56	-108.12	C
+SPX 17AUG16 2180.0 P	2016-08-16, 10:58:11	-	-1	4.4000	4.4312	440.00	-2.37	-282.07	155.56	-3.12	C
Total SPXW 160817P02180000			0			215.00	-8.89	0.00	206.11	-222.12	
+SPX 17AUG16 2185.0 P	2016-08-15, 11:26:30	-	-2	2.6900	3.5062	538.00	-4.14	-533.86	0.00	-163.24	O
+SPX 17AUG16 2185.0 P	2016-08-16, 10:18:50	-	1	5.7500	7.2605	-575.00	-2.37	266.93	-310.44	151.05	C

+SPX 17AUG16 2185.0 P	2016-08-16, 10:29:22	-	1	6.2000	7.2605	-620.00	-2.37	266.93	-355.44	106.05	C
Total SPXW 160817P02185000			0			-657.00	-8.89	0.00	-665.89	93.86	
+SPX 17AUG16 2200.0 P	2016-08-15, 11:26:30	-	2	9.0900	12.5495	-1,818.00	-4.14	1,822.14	0.00	691.90	O
+SPX 17AUG16 2200.0 P	2016-08-16, 10:18:50	-	-1	17.6500	20.5381	1,765.00	-2.37	-911.07	851.56	-288.81	C
+SPX 17AUG16 2200.0 P	2016-08-16, 10:29:22	-	-1	18.4000	20.5381	1,840.00	-2.37	-911.07	926.56	-213.81	C
Total SPXW 160817P02200000			0			1,787.00	-8.89	0.00	1,778.11	189.28	
+SPX 22AUG16 2165.0 P	2016-08-19, 16:09:25	-	-3	0.7000	0.7012	210.00	-5.95	-204.05	0.00	-0.36	O
+SPX 22AUG16 2165.0 P	2016-08-22, 09:35:42	-	2	0.9000	0.0000	-180.00	-3.96	136.04	-47.93	-180.00	C
+SPX 22AUG16 2165.0 P	2016-08-22, 11:19:49	-	1	0.1500	0.0000	-15.00	-2.28	68.02	50.74	-15.00	C
Total SPXW 160822P02165000			0			15.00	-12.19	0.00	2.81	-195.36	
+SPX 22AUG16 2175.0 P	2016-08-19, 16:09:25	-	3	1.3000	1.3504	-390.00	-6.22	396.22	0.00	15.12	O
+SPX 22AUG16 2175.0 P	2016-08-22, 09:35:42	-	-2	2.8000	0.0000	560.00	-4.14	-264.14	291.71	560.00	C
+SPX 22AUG16 2175.0 P	2016-08-22, 10:31:34	-	-2	0.2500	0.0000	50.00	-3.96	-132.07	-109.05	50.00	C;O
+SPX 22AUG16 2175.0 P	2016-08-22, 11:20:05	-	1	0.7100	0.0000	-71.00	-2.28	23.02	-50.26	-71.00	C
+SPX 22AUG16 2175.0 P	2016-08-22, 12:27:16	-	-3	0.1500	0.0000	45.00	-5.95	-39.05	0.00	45.00	O
+SPX 22AUG16 2175.0 P	2016-08-22, 13:23:05	-	3	0.4000	0.0000	-120.00	-5.95	39.05	-86.89	-120.00	C
Total SPXW 160822P02175000			0			74.00	-28.49	23.02	45.51	479.12	
+SPX 22AUG16 2180.0 P	2016-08-22, 10:31:34	-	2	0.7500	0.0000	-150.00	-3.96	153.96	0.00	-150.00	O
+SPX 22AUG16 2180.0 P	2016-08-22, 11:19:49	-	-1	1.5500	0.0000	155.00	-2.37	-76.98	75.65	155.00	C
+SPX 22AUG16 2180.0 P	2016-08-22, 11:20:05	-	-1	1.8100	0.0000	181.00	-2.37	-76.98	101.65	181.00	C
+SPX 22AUG16 2180.0 P	2016-08-22, 12:27:16	-	3	0.3500	0.0000	-105.00	-5.95	110.95	0.00	-105.00	O
+SPX 22AUG16 2180.0 P	2016-08-22, 13:23:05	-	-3	1.3000	0.0000	390.00	-6.22	-110.95	272.84	390.00	C
Total SPXW 160822P02180000			0			471.00	-20.87	0.00	450.13	471.00	
+SPX 24AUG16 2165.0 P	2016-08-22, 12:25:57	-	-2	1.2500	1.1250	250.00	-4.14	-245.86	0.00	25.00	O

+SPX 24AUG16 2165.0 P	2016-08-23, 12:54:18	-	2	0.3500	0.4341	-70.00	-3.96	245.86	171.89	16.82	C
Total SPXW 160824P02165000			0			180.00	-8.11	0.00	171.89	41.82	
+SPX 24AUG16 2175.0 P	2016-08-22, 12:25:57	-	2	2.2500	2.1927	-450.00	-4.14	454.14	0.00	-11.46	O
+SPX 24AUG16 2175.0 P	2016-08-23, 09:44:20	-	-2	0.8000	0.8994	160.00	-3.96	-454.14	-298.11	-19.88	C
Total SPXW 160824P02175000			0			-290.00	-8.11	0.00	-298.11	-31.34	
+SPX 24AUG16 2185.0 P	2016-08-23, 09:44:20	-	2	1.8000	2.4418	-360.00	-4.14	364.14	0.00	128.36	O
+SPX 24AUG16 2185.0 P	2016-08-23, 12:54:18	-	-2	2.2500	2.4418	450.00	-4.14	-364.14	81.71	-38.36	C
Total SPXW 160824P02185000			0			90.00	-8.29	0.00	81.71	90.00	
+SPX 29AUG16 2180.0 P	2016-08-29, 13:35:20	-	-2	0.9500	0.0000	190.00	-3.96	-186.04	0.00	190.00	O
+SPX 29AUG16 2180.0 P	2016-08-29, 15:24:08	-	2	0.6000	0.0000	-120.00	-3.96	186.04	62.07	-120.00	C
Total SPXW 160829P02180000			0			70.00	-7.93	0.00	62.07	70.00	
+SPX 29AUG16 2185.0 P	2016-08-29, 13:35:20	-	2	3.2500	4.6200	-650.00	-4.14	654.14	0.00	274.00	O
+SPX 29AUG16 2185.0 P	2016-08-29, 15:24:08	-	-2	3.8000	4.6200	760.00	-4.14	-654.14	101.71	-164.00	C
Total SPXW 160829P02185000			0			110.00	-8.29	0.00	101.71	110.00	
+SPY 21OCT16 217.0 C	2016-08-26, 13:55:58	-	-3	3.4133333	3.6762	1,024.00	-5.60	-1,018.40	0.00	-78.86	CP;O;P
Total SPY 161021C00217000			-3			1,024.00	-5.60	-1,018.40	0.00	-78.86	
+SPY 21OCT16 216.0 P	2016-08-26, 13:55:58	-	-3	4.2966667	3.8862	1,289.00	-5.61	-1,283.39	0.00	123.14	CP;O;P
Total SPY 161021P00216000			-3			1,289.00	-5.61	-1,283.39	0.00	123.14	
Total						17,628.00	-228.26	-13,131.74	4,457.99	2,225.59	

### Option Cash Settlements

Date	Description	Amount	MTM P/L	Realized P/L
USD				
2016-08-05, 16:20:00	Exercise ( SPX 05AUG16 2185.0 P )	213.00	213.00	0.00
Total		213.00	213.00	0.00

### Fees

Date	Description	Amount
Other Fees		
USD		
2016-08-03	US Value Bundle for Jul 2016	-10.00
2016-08-03	US Value Bundle for Jul 2016	10.00
2016-08-03	US Options Exchanges for Jul 2016	-30.00
Total		-30.00



## Financial Instrument Information

Symbol	Description	Conid	Multiplier	Expiry	Delivery Month	Type	Strike	Code
Equity and Index Options								
SPX 160819C02010000	SPX 19AUG16 2010.0 C	232539134	100	2016-08-19	2016-08	C	2010	
SPX 160916C02010000	SPX 16SEP16 2010.0 C	235895539	100	2016-09-16	2016-09	C	2010	CP
SPX 160916C02150000	SPX 16SEP16 2150.0 C	207533933	100	2016-09-16	2016-09	C	2150	CP
SPX 160916C02200000	SPX 16SEP16 2200.0 C	207533942	100	2016-09-16	2016-09	C	2200	
SPX 160916P02050000	SPX 16SEP16 2050.0 P	207534211	100	2016-09-16	2016-09	P	2050	
SPX 160916P02150000	SPX 16SEP16 2150.0 P	207534226	100	2016-09-16	2016-09	P	2150	CP
SPX 160916P02175000	SPX 16SEP16 2175.0 P	207534231	100	2016-09-16	2016-09	P	2175	CP
SPX 161021C02225000	SPX 21OCT16 2225.0 C	237715114	100	2016-10-21	2016-10	C	2225	CP
SPX 161021P02070000	SPX 21OCT16 2070.0 P	239942145	100	2016-10-21	2016-10	P	2070	CP
SPXW 160805P02175000	SPX 05AUG16 2175.0 P	235852308	100	2016-08-05	2016-08	P	2175	
SPXW 160805P02185000	SPX 05AUG16 2185.0 P	236046676	100	2016-08-05	2016-08	P	2185	
SPXW 160812P02160000	SPX 12AUG16 2160.0 P	236487196	100	2016-08-12	2016-08	P	2160	
SPXW 160812P02165000	SPX 12AUG16 2165.0 P	236487200	100	2016-08-12	2016-08	P	2165	
SPXW 160812P02170000	SPX 12AUG16 2170.0 P	236487205	100	2016-08-12	2016-08	P	2170	
SPXW 160812P02175000	SPX 12AUG16 2175.0 P	236487210	100	2016-08-12	2016-08	P	2175	
SPXW 160817P02155000	SPX 17AUG16 2155.0 P	241518535	100	2016-08-17	2016-08	P	2155	
SPXW 160817P02165000	SPX 17AUG16 2165.0 P	241518541	100	2016-08-17	2016-08	P	2165	
SPXW 160817P02170000	SPX 17AUG16 2170.0 P	241518547	100	2016-08-17	2016-08	P	2170	
SPXW 160817P02180000	SPX 17AUG16 2180.0 P	241518553	100	2016-08-17	2016-08	P	2180	
SPXW 160817P02185000	SPX 17AUG16 2185.0 P	241518556	100	2016-08-17	2016-08	P	2185	
SPXW 160817P02200000	SPX 17AUG16 2200.0 P	241518570	100	2016-08-17	2016-08	P	2200	
SPXW 160822P02165000	SPX 22AUG16 2165.0 P	243803813	100	2016-08-22	2016-08	P	2165	
SPXW 160822P02175000	SPX 22AUG16 2175.0 P	243803823	100	2016-08-22	2016-08	P	2175	
SPXW 160822P02180000	SPX 22AUG16 2180.0 P	243803825	100	2016-08-22	2016-08	P	2180	
SPXW 160824P02165000	SPX 24AUG16 2165.0 P	241994406	100	2016-08-24	2016-08	P	2165	
SPXW 160824P02175000	SPX 24AUG16 2175.0 P	241994412	100	2016-08-24	2016-08	P	2175	
SPXW 160824P02185000	SPX 24AUG16 2185.0 P	241994423	100	2016-08-24	2016-08	P	2185	
SPXW 160829P02180000	SPX 29AUG16 2180.0 P	243804586	100	2016-08-29	2016-08	P	2180	
SPXW 160829P02185000	SPX 29AUG16 2185.0 P	243804591	100	2016-08-29	2016-08	P	2185	
SPY 161021C00217000	SPY 21OCT16 217.0 C	237606505	100	2016-10-21	2016-10	C	217	CP
SPY 161021P00216000	SPY 21OCT16 216.0 P	237607069	100	2016-10-21	2016-10	P	216	CP

## Codes

## Notes/Legal Notes

## Notes

- Most stock exchange transactions settle on the trade date plus three business days. Options, futures, and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods. For instance, stock transactions on XETRA settle on trade date plus two business days.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed

on the first partial execution only.

6. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
7. Trade execution times are displayed in Eastern Time.
8. Applicable commodity Regulatory Fees for your transactions are available on the IB website at [www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php](http://www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php).
9. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
10. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources.

#### Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: [help@interactivebrokers.com](mailto:help@interactivebrokers.com). If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances.

#### Legal Notes

1. Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to GWM Ltd., using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, [www.interactivebrokers.com](http://www.interactivebrokers.com), 1-877-442-2757 (U.S.)

GWM Ltd., , 9176738589

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; Timber Hill Europe AG; ABN Amro Clearing Singapore Pte. Ltd.; ABN Amro Clearing Sydney Pty Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank International plc; Euroclear Bank S.A./N.V.; Shinhan Securities Co., Ltd.; Skandinaviska Enskilda Banken AB.
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. To the extent permissible under exchange rules, IB may direct customer option orders for execution against its market making affiliate Timber Hill LLC, which acts as principal and may earn a profit or incur a loss. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email [help@interactivebrokers.com](mailto:help@interactivebrokers.com).
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise GWM Ltd. of any material change in Customer's investment objectives or financial situation.
9. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with GWM Ltd..
10. A financial statement of Interactive Brokers LLC is available for your personal inspection at [www.interactivebrokers.com](http://www.interactivebrokers.com) or at its offices, or a copy of it will be mailed upon your written request.
11. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market

Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.

12. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
13. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection.

SIPC Member

Generated: 2016-09-01, 11:34:59 EDT