

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

**Account Information**

Name	IA Capital Structures Plc. Series 2013-7
Account	U1223575
Master Name	GWM Ltd.
Account Type	Broker Client
Customer Type	Corporate
Account Capabilities	Portfolio Margin
Trading Permissions	Stocks,Options,Warrants,Forex
Base Currency	USD

**Net Asset Value**

	January 28, 2016		January 29, 2016			Change	Change in NAV		Total
	Total		Long	Short	Total				
Cash	1,918,603.46		1,919,249.92	0.00	<b>1,919,249.92</b>	646.47	Starting Value		1,918,353.04
Interest Accruals	-250.42		0.00	-252.03	<b>-252.03</b>	-1.61	Mark-to-Market		646.47
<b>Total</b>	<b>1,918,353.04</b>		<b>1,919,249.92</b>	<b>-252.03</b>	<b>1,918,997.90</b>	<b>644.86</b>	Change in Interest Accruals		-2.73
							Other FX Translations		1.12
							Ending Value		1,918,997.90
<b>Time Weighted Rate of Return</b>							<b>0.03%</b>		

**Mark-to-Market Performance Summary**

Symbol	Quantity		Price		Mark-to-Market P/L						Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total		
Forex											
EUR	-60,417.35	-60,417.35	1.0940	1.0833	646.47	0.00	0.00	0.00	646.47		
USD	1,984,700.04	1,984,700.04	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00		
Total					646.47	0.00	0.00	0.00	646.47		
Total (All Assets)					646.47	0.00	0.00	0.00	646.47		

**Realized & Unrealized Performance Summary**

		Realized					Unrealized						
Symbol	Cost Adj.	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	Total	Code
Forex													

## Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
EUR	0.00	0.00	0.00	0.00	0.00	0.00	12,038.22	-51.63	0.00	0.00	11,986.58	11,986.58	
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>12,038.22</b>	<b>-51.63</b>	<b>0.00</b>	<b>0.00</b>	<b>11,986.58</b>	<b>11,986.58</b>	
<b>Total (All Assets)</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>12,038.22</b>	<b>-51.63</b>	<b>0.00</b>	<b>0.00</b>	<b>11,986.58</b>	<b>11,986.58</b>	

## Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
Stocks							
FAS	DIREXION DAILY FIN BULL 3X	-9,219.03	-9,219.03	-15,595.07	-15,595.07	0.00	0.00
NUGT	DIREXION DAILY GOLD MINERS I	6,224.14	6,224.14	-362.04	-362.04	0.00	0.00
QLD	PROSHARES ULTRA QQQ	-90,164.52	-90,164.52	-147,946.36	-147,946.36	0.00	0.00
TNA	DIREXION DLY SM CAP BULL 3X	-29,389.18	-29,389.18	-41,664.58	-41,664.58	0.00	0.00
TQQQ	PROSHARES ULTRAPRO QQQ	-1,339,045.93	-1,339,045.93	-1,176,935.58	-1,176,935.58	0.00	0.00
TVIX	VELOCITYSHARES 2X VIX SH-TRM	-63,602.48	-63,602.48	-88,946.22	-88,946.22	0.00	0.00
XIV	VELOCITYSHARES INV VIX SH-TM	-105,781.13	-105,781.13	-116,131.10	-116,131.10	0.00	0.00
Total		-1,630,978.14	-1,630,978.14	-1,587,580.96	-1,587,580.96	0.00	0.00
Equity and Index Options							
BAC 151231C00017500	BAC 31DEC15 17.5 C	0.00	0.00	-9,649.88	-9,649.88	0.00	0.00
NUGT 160108P00024500	NUGT 08JAN16 24.5 P	8,959.23	8,959.23	11,740.18	11,740.18	0.00	0.00
NUGT 160122P00024000	NUGT 22JAN16 24.0 P	-10,149.38	-10,149.38	-10,149.38	-10,149.38	0.00	0.00
SVXY 160115C00043500	SVXY 15JAN16 43.5 C	-31,789.26	-31,789.26	-31,789.26	-31,789.26	0.00	0.00
TQQQ 151231C00119000	TQQQ 31DEC15 119.0 C	0.00	0.00	1,731.05	1,731.05	0.00	0.00
TQQQ 151231P00117000	TQQQ 31DEC15 117.0 P	55,400.00	55,400.00	0.00	0.00	0.00	0.00
TQQQ 160108P00119500	TQQQ 08JAN16 119.5 P	188,616.00	188,616.00	0.00	0.00	0.00	0.00
TQQQ 160108P00121000	TQQQ 08JAN16 121.0 P	-202,978.08	-202,978.08	-215,509.39	-215,509.39	0.00	0.00
Total		8,058.51	8,058.51	-253,626.67	-253,626.67	0.00	0.00
Total (All Assets)		-1,622,919.62	-1,622,919.62	-1,841,207.63	-1,841,207.63	0.00	0.00

## Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
<b>Base Currency Summary</b>					
Starting Cash	1,918,603.46	1,918,603.46	0.00		
Commissions	0.00	0.00	0.00	-4,473.98	-4,473.98
Withdrawals	0.00	0.00	0.00	-1,565,202.74	-1,565,202.74
Dividends	0.00	0.00	0.00	689.39	689.39
Broker Interest Paid and Received	0.00	0.00	0.00	-343.33	-343.33
Net Trades (Sales)	0.00	0.00	0.00	7,745,994.75	7,745,994.75
Net Trades (Purchase)	0.00	0.00	0.00	-7,958,042.37	-7,958,042.37
Other Fees	0.00	0.00	0.00	-154.20	-154.20
Withholding Tax	0.00	0.00	0.00	-103.41	-103.41
Cash FX Translation Gain/Loss	646.47	646.47	0.00		

## Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Ending Cash	1,919,249.92	1,919,249.92	0.00		
Ending Settled Cash	1,919,249.92	1,919,249.92	0.00		
<b>EUR</b>					
Starting Cash	-60,417.35	-60,417.35	0.00		
Broker Interest Paid and Received	0.00	0.00	0.00	-129.58	-129.58
Ending Cash	-60,417.35	-60,417.35	0.00		
Ending Settled Cash	-60,417.35	-60,417.35	0.00		
<b>USD</b>					
Starting Cash	1,984,700.04	1,984,700.04	0.00		
Commissions	0.00	0.00	0.00	-4,473.98	-4,473.98
Withdrawals	0.00	0.00	0.00	-1,565,202.74	-1,565,202.74
Dividends	0.00	0.00	0.00	689.39	689.39
Broker Interest Paid and Received	0.00	0.00	0.00	-203.63	-203.63
Net Trades (Sales)	0.00	0.00	0.00	7,745,994.75	7,745,994.75
Net Trades (Purchase)	0.00	0.00	0.00	-7,958,042.37	-7,958,042.37
Other Fees	0.00	0.00	0.00	-154.20	-154.20
Withholding Tax	0.00	0.00	0.00	-103.41	-103.41
Ending Cash	1,984,700.04	1,984,700.04	0.00		
Ending Settled Cash	1,984,700.04	1,984,700.04	0.00		

## Forex Balances

Description	Open	Quantity	Cost Price	Cost Basis in USD	Close Price	Value in USD	Unrealized P/L in USD	Code
<b>Forex</b>								
<b>USD</b>								
EUR	-	-60,417.35	1.2816964	77,436.70	1.0833	-65,450.12	11,986.58	
EUR Debit Interest for Dec-2015	2016-01-06	-129.58	1.0781	139.70	1.0833	-140.37	-0.67	
EUR Debit Interest for Nov-2015	2015-12-03	-125.37	1.0940	137.15	1.0833	-135.81	1.34	
EUR Debit Interest for Oct-2015	2015-11-04	-129.23	1.0867	140.43	1.0833	-139.99	0.44	
EUR Debit Interest for Sep-2015	2015-10-05	-124.78	1.1189	139.62	1.0833	-135.17	4.44	
EUR Debit Interest for Aug-2015	2015-09-03	-128.65	1.1125	143.12	1.0833	-139.37	3.76	
EUR Debit Interest for Jul-2015	2015-08-05	-128.55	1.0907	140.21	1.0833	-139.26	0.95	
EUR Debit Interest for Jun-2015	2015-07-06	-123.9	1.1057	137.00	1.0833	-134.22	2.78	
EUR Debit Interest for May-2015	2015-06-03	-126.52	1.1276	142.66	1.0833	-137.06	5.60	
Disbursement Initiated by jose..	2015-05-07, 12:45:06	-3,005.76	1.1267	3,386.59	1.0833	-3,256.14	130.45	
EUR Debit Interest for Apr-2015	2015-05-05	-111.68	1.1186	124.93	1.0833	-120.98	3.94	
Disbursement Initiated by jose..	2015-04-08, 12:16:45	-9,991.96	1.0782	10,773.33	1.0833	-10,824.29	-50.96	
EUR Debit Interest for Mar-2015	2015-04-06	-99.35	1.0923	108.52	1.0833	-107.63	0.89	
EUR Debit Interest for Feb-2015	2015-03-04	-89.87	1.1079	99.57	1.0833	-97.36	2.21	
EUR Debit Interest for Jan-2015	2015-02-04	-99.56	1.1344	112.94	1.0833	-107.85	5.09	
EUR Debit Interest for Dec-2014	2015-01-06	-99.06	1.1890	117.78	1.0833	-107.31	10.47	
EUR Debit Interest for Nov-2014	2014-12-03	-96.18	1.2311	118.41	1.0833	-104.19	14.22	
EUR Debit Interest for Oct-2014	2014-11-05	-98.6	1.2486	123.11	1.0833	-106.81	16.30	
EUR Debit Interest for Sep-2014	2014-10-03	-95.44	1.2514	119.43	1.0833	-103.39	16.04	
EUR Debit Interest for Aug-2014	2014-09-04	-86.15	1.2944	111.51	1.0833	-93.33	18.19	

## Forex Balances

Disbursement Initiated by jose..	2014-08-05, 08:35:39	-35,810.05	1.3376	47,899.52	1.0833	-38,793.03	9,106.50
EUR Debit Interest for Jun-2014	2014-07-03	-20.81	1.3611	28.32	1.0833	-22.54	5.78
EUR Debit Interest for May-2014	2014-06-04	-22.98	1.3599	31.25	1.0833	-24.89	6.36
EUR Debit Interest for Apr-2014	2014-05-05	-22.17	1.3876	30.76	1.0833	-24.02	6.75
EUR Debit Interest for Mar-2014	2014-04-03	-22.38	1.3720	30.71	1.0833	-24.24	6.46
EUR Debit Interest for Feb-2014	2014-03-05	-20	1.3733	27.47	1.0833	-21.67	5.80
EUR Debit Interest for Jan-2014	2014-02-05	-11.77	1.3533	15.93	1.0833	-12.75	3.18
Disbursement Initiated by Rory..	2014-01-15, 19:26:48	-9,597	1.3605	13,056.72	1.0833	-10,396.43	2,660.29
USD		1,984,700.0387	0.0000	0.00	1.0000	1,984,700.04	0.00
<b>Total</b>				<b>77,436.70</b>		<b>1,919,249.92</b>	<b>11,986.58</b>

## Interest Accruals

### Base Currency Summary

Starting Accrual Balance	-250.42
Interest Accrued	-2.73
Accrual Reversal	0.00
FX Translation	1.12
Ending Accrual Balance	-252.03

### EUR

Starting Accrual Balance	-104.90
Interest Accrued	-2.52
Accrual Reversal	0.00
Ending Accrual Balance	-107.42
Ending Accrual Balance in USD	-116.37

### USD

Starting Accrual Balance	-135.66
Interest Accrued	0.00
Accrual Reversal	0.00
Ending Accrual Balance	-135.66

## Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
AUD	0.708440	INR	0.014732
BRL	0.250080	JPY	0.008261
CAD	0.715900	KRW	0.000828
CHF	0.977330	MXN	0.055218
CNH	0.151650	NOK	0.115220
CNY	0.152070	NZD	0.648450
CZK	0.040090	PLN	0.245100
DKK	0.145170	RUB	0.013241
EUR	1.083300	SEK	0.116530
GBP	1.424500	SGD	0.702140
HKD	0.128530	THB	0.027996

## Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
HUF	0.003480	ZAR	0.062929
ILS	0.252470		

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
Adj	Adjustment	Lo	Direct Loan
Al	Allocation	M	Entered manually by IB
Aw	Away Trade	MEx	Manual exercise for dividend-related recommendation.
B	Automatic Buy-in	ML	Maximize Losses tax basis election
Bo	Direct Borrow	MLG	Maximize Long Term Gain tax basis election
C	Closing Trade	MLL	Maximize Long Term Loss tax basis election
CD	Cash Delivery	MSG	Maximize Short Term Gain tax basis election
CP	Complex Position	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
HC	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursement
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	This transaction was executed against an IB affiliate	SO	This order was marked as solicited by your Introducing Broker
INV	Investment Transfer from Investor	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
L	Ordered by IB (Margin Violation)	ST	Short Term P/L
LD	Adjusted by Loss Disallowed from Wash Sale	T	Transfer

## Notes/Legal Notes

### Notes

- Most stock exchange transactions settle on the trade date plus three business days. Options, futures, and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods. For instance, stock transactions on XETRA settle on trade date plus two business days.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.

## Notes/Legal Notes

- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at [www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php](http://www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php).
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources.

### Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: [help@interactivebrokers.com](mailto:help@interactivebrokers.com). If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances.

### Legal Notes

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- All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
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- Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with GWM Ltd..
- A financial statement of Interactive Brokers LLC is available for your personal inspection at [www.interactivebrokers.com](http://www.interactivebrokers.com) or at its offices, or a copy of it will be mailed upon your written request.
- For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
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