

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	IA Capital Structures (Ireland) Plc Series 2015-24
Account	U1505814
Master Name	GWM Ltd.
Account Type	Broker Client
Customer Type	Corporate
Account Capabilities	Portfolio Margin
Trading Permissions	Stocks,Options,Warrants,Bonds,Forex,Stock Loan,Stock Borrow,Mutual Funds
Base Currency	USD

Net Asset Value

	April 30, 2016	May 31, 2016			Change	Change in NAV		Total
	Total	Long	Short	Total				
Cash	-165,388.95	0.00	-154,457.34	-154,457.34	10,931.61	Starting Value		1,979,179.71
Stock	2,144,867.16	1,901,707.72	0.00	1,901,707.72	-243,159.44	Mark-to-Market		-226,173.35
Interest Accruals	-298.50	0.00	-373.18	-373.18	-74.68	Deposits & Withdrawals		-5,520.48
Total	1,979,179.71	1,901,707.72	-154,830.52	1,746,877.20	-232,302.51	Interest		-319.36
Time Weighted Rate of Return					-11.47%	Change in Interest Accruals		-74.68
						Commissions		-214.64
						Ending Value		1,746,877.20

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L					Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total	
Stocks										
AG	2,165	2,165	10.6400	10.4200	-476.30	0.00	0.00	0.00	-476.30	
FAZ	6,414	6,414	38.6300	35.7200	-18,664.74	0.00	0.00	0.00	-18,664.74	
GDXJ	0	18,036	--	33.8000	-74,669.04	0.00	0.00	0.00	-74,669.04	
GDXJ	18,036	0	38.5200	--	-10,460.88	0.00	0.00	0.00	-10,460.88	
IAG	58,634	58,634	3.4000	3.2600	-8,208.76	0.00	0.00	0.00	-8,208.76	
JNUG	300	500	178.9100	107.8500	-30,882.00	438.00	-14.52	0.00	-30,458.52	
MUX	84,313	84,313	2.6000	2.2300	-31,195.81	0.00	0.00	0.00	-31,195.81	
PHYS	19,337	17,837	10.7500	10.0600	-12,472.53	-40.00	-54.01	0.00	-12,566.54	
PSLV	27,007	22,007	6.8500	6.0900	-19,225.32	12.09	-146.11	0.00	-19,359.34	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L					Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total	
SPXU	9,332	9,332	28.5100	26.9800	-14,277.96	0.00	0.00	0.00	-14,277.96	
SQQQ	2,345	2,345	20.1500	17.5700	-6,050.10	0.00	0.00	0.00	-6,050.10	
SVCMF	1,667	1,667	0.5350	0.5350	0.00	0.00	0.00	0.00	0.00	
Total					-226,583.44	410.09	-214.64	0.00	-226,387.99	
Forex										
USD	-165,388.95	-154,457.34	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					-226,583.44	410.09	-214.64	0.00	-226,387.99	
Broker Interest Paid and Received									-319.36	
Total P/L for Statement Period									-226,707.35	

Realized & Unrealized Performance Summary

Symbol		Cost Adj.	Realized				Unrealized				Total	Code	
			S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit			L/T Loss
Stocks													
AG	0.00	0.00	0.00	0.00	0.00	0.00	15,573.16	0.00	0.00	0.00	15,573.16	15,573.16	
FAZ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-91,997.90	0.00	0.00	-91,997.90	-91,997.90	
GDXJ	0.00	0.00	0.00	0.00	0.00	0.00	142,639.42	0.00	0.00	0.00	142,639.42	142,639.42	
IAG	0.00	0.00	0.00	0.00	0.00	0.00	60,390.36	0.00	767.19	0.00	61,157.55	61,157.55	
JNUG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-26,367.51	0.00	0.00	-26,367.51	-26,367.51	
MUX	0.00	0.00	0.00	0.00	0.00	0.00	77,236.91	0.00	0.00	0.00	77,236.91	77,236.91	
PHYS	0.00	1,413.49	0.00	0.00	0.00	1,413.49	3,408.72	-1,971.86	0.00	0.00	1,436.86	2,850.35	
PSLV	0.00	647.47	-332.00	0.00	0.00	315.47	0.00	-3,213.03	0.00	0.00	-3,213.03	-2,897.55	
SPXU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-73,916.75	0.00	0.00	-73,916.75	-73,916.75	
SQQQ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-18,990.95	0.00	0.00	-18,990.95	-18,990.95	
SVCMF	0.00	0.00	0.00	0.00	0.00	0.00	891.71	0.00	0.00	0.00	891.71	891.71	
Total	0.00	2,060.96	-332.00	0.00	0.00	1,728.96	300,140.28	-216,457.99	767.19	0.00	84,449.48	86,178.45	
Total (All Assets)	0.00	2,060.96	-332.00	0.00	0.00	1,728.96	300,140.28	-216,457.99	767.19	0.00	84,449.48	86,178.45	

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Cash Detail			
Starting Cash	-165,388.95	-165,388.95	0.00
Commissions	-214.64	-214.64	0.00
Withdrawals	-5,520.48	-5,520.48	0.00
Broker Interest Paid and Received	-319.36	-319.36	0.00
Net Trades (Sales)	47,682.09	47,682.09	0.00
Net Trades (Purchase)	-30,696.00	-30,696.00	0.00
Ending Cash	-154,457.34	-154,457.34	0.00
Ending Settled Cash	-154,457.34	-154,457.34	0.00

Open Positions

Symbol	Open	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks									
USD									
AG	-	2,165	1	3.2268533	6,986.14	10.4200	22,559.30	15,573.16	SY
AG	2015-09-28, 11:54:19	55.38		3.2288706	178.81	10.4200	577.06	398.24	
AG	2015-09-28, 11:54:19	775.32		3.2304501	2,504.63	10.4200	8,078.83	5,574.20	
AG	2015-09-28, 11:54:19	276.9		3.2288706	894.07	10.4200	2,885.30	1,991.22	
AG	2015-09-28, 11:54:19	55.38		3.2209735	178.38	10.4200	577.06	398.68	
AG	2015-09-28, 11:54:19	249.21		3.2209735	802.70	10.4200	2,596.77	1,794.07	
AG	2015-09-28, 11:54:19	553.8		3.2304501	1,789.02	10.4200	5,770.60	3,981.57	
AG	2015-09-28, 11:54:19	55.38		3.2209735	178.38	10.4200	577.06	398.68	
AG	2015-09-28, 11:54:17	27.69		3.203639	88.71	10.4200	288.53	199.82	
AG	2015-09-28, 11:54:17	27.69		3.203639	88.71	10.4200	288.53	199.82	
AG	2015-09-28, 11:54:09	88.25		3.203639	282.72	10.4200	919.56	636.84	
FAZ	-	6,414	1	50.063296	321,105.98	35.7200	229,108.08	-91,997.90	SY
FAZ	2016-03-10, 15:05:42	500		48.4915026	24,245.75	35.7200	17,860.00	-6,385.75	
FAZ	2016-03-04, 15:25:36	400		45.6517026	18,260.68	35.7200	14,288.00	-3,972.68	
FAZ	2016-03-04, 15:25:36	200		45.6223026	9,124.46	35.7200	7,144.00	-1,980.46	
FAZ	2016-03-04, 15:25:36	100		45.6222026	4,562.22	35.7200	3,572.00	-990.22	
FAZ	2016-03-04, 15:25:36	100		45.6267026	4,562.67	35.7200	3,572.00	-990.67	
FAZ	2016-03-04, 15:25:36	100		45.6267026	4,562.67	35.7200	3,572.00	-990.67	
FAZ	2016-03-04, 15:25:36	100		45.6267026	4,562.67	35.7200	3,572.00	-990.67	
FAZ	2016-03-04, 15:25:36	100		45.6267026	4,562.67	35.7200	3,572.00	-990.67	
FAZ	2016-03-04, 15:25:36	100		45.6267026	4,562.67	35.7200	3,572.00	-990.67	
FAZ	2016-03-04, 15:25:36	100		45.6267026	4,562.67	35.7200	3,572.00	-990.67	
FAZ	2016-03-01, 10:12:42	200		51.6166026	10,323.32	35.7200	7,144.00	-3,179.32	
FAZ	2016-03-01, 10:12:42	59		51.6167026	3,045.39	35.7200	2,107.48	-937.91	
FAZ	2016-03-01, 10:12:42	10		51.6167026	516.17	35.7200	357.20	-158.97	
FAZ	2016-03-01, 10:12:41	100		51.6067026	5,160.67	35.7200	3,572.00	-1,588.67	
FAZ	2016-03-01, 10:12:41	100		51.6117026	5,161.17	35.7200	3,572.00	-1,589.17	
FAZ	2016-03-01, 10:12:41	100		51.6067026	5,160.67	35.7200	3,572.00	-1,588.67	
FAZ	2016-03-01, 10:12:41	100		51.6067026	5,160.67	35.7200	3,572.00	-1,588.67	
FAZ	2016-03-01, 10:12:40	100		51.6116026	5,161.16	35.7200	3,572.00	-1,589.16	
FAZ	2016-03-01, 10:12:40	100		51.6117026	5,161.17	35.7200	3,572.00	-1,589.17	
FAZ	2016-03-01, 10:12:40	50		51.6117026	2,580.59	35.7200	1,786.00	-794.59	
FAZ	2016-03-01, 10:12:40	100		51.6067026	5,160.67	35.7200	3,572.00	-1,588.67	
FAZ	2016-03-01, 10:12:40	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	
FAZ	2016-03-01, 10:12:39	100		51.7167026	5,171.67	35.7200	3,572.00	-1,599.67	
FAZ	2016-03-01, 10:12:39	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	
FAZ	2016-03-01, 10:12:39	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	
FAZ	2016-03-01, 10:12:39	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	
FAZ	2016-03-01, 10:12:39	100		51.6267026	5,162.67	35.7200	3,572.00	-1,590.67	
FAZ	2016-03-01, 10:12:39	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	
FAZ	2016-03-01, 10:12:39	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	
FAZ	2016-03-01, 10:12:39	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	

Open Positions

FAZ	2016-03-01, 10:12:39	90		51.6167026	4,645.50	35.7200	3,214.80	-1,430.70	
FAZ	2016-03-01, 10:12:39	10		51.6067026	516.07	35.7200	357.20	-158.87	
FAZ	2016-03-01, 10:12:39	200		51.6166026	10,323.32	35.7200	7,144.00	-3,179.32	
FAZ	2016-03-01, 10:12:39	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	
FAZ	2016-03-01, 10:12:39	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	
FAZ	2016-03-01, 10:12:39	100		51.6267026	5,162.67	35.7200	3,572.00	-1,590.67	
FAZ	2016-03-01, 10:12:39	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	
FAZ	2016-03-01, 10:12:39	100		51.6167026	5,161.67	35.7200	3,572.00	-1,589.67	
FAZ	2016-03-01, 09:53:07	200		51.9967026	10,399.34	35.7200	7,144.00	-3,255.34	
FAZ	2016-03-01, 09:53:07	100		51.9466026	5,194.66	35.7200	3,572.00	-1,622.66	
FAZ	2016-03-01, 09:53:07	100		51.9467026	5,194.67	35.7200	3,572.00	-1,622.67	
FAZ	2016-03-01, 09:53:07	200		51.9466026	10,389.32	35.7200	7,144.00	-3,245.32	
FAZ	2016-03-01, 09:53:07	195		51.9467026	10,129.61	35.7200	6,965.40	-3,164.21	
FAZ	2016-03-01, 09:53:07	100		51.9467026	5,194.67	35.7200	3,572.00	-1,622.67	
FAZ	2016-03-01, 09:53:07	200		51.9467026	10,389.34	35.7200	7,144.00	-3,245.34	
FAZ	2016-03-01, 09:53:07	200		51.9467026	10,389.34	35.7200	7,144.00	-3,245.34	
FAZ	2016-03-01, 09:53:07	200		51.9465026	10,389.30	35.7200	7,144.00	-3,245.30	
FAZ	2016-03-01, 09:53:07	100		51.9467026	5,194.67	35.7200	3,572.00	-1,622.67	
FAZ	2016-03-01, 09:53:07	100		51.9467026	5,194.67	35.7200	3,572.00	-1,622.67	
GDXJ	-	18,036	1	25.891405	466,977.38	33.8000	609,616.80	142,639.42	SY
GDXJ	2016-03-04, 09:46:24	300		28.7358359	8,620.75	33.8000	10,140.00	1,519.25	
GDXJ	2016-03-04, 09:46:24	200		28.7067026	5,741.34	33.8000	6,760.00	1,018.66	
GDXJ	2016-03-04, 09:46:24	100		28.7167026	2,871.67	33.8000	3,380.00	508.33	
GDXJ	2016-03-04, 09:46:24	200		28.7167026	5,743.34	33.8000	6,760.00	1,016.66	
GDXJ	2016-03-04, 09:46:24	100		28.7167026	2,871.67	33.8000	3,380.00	508.33	
GDXJ	2016-03-04, 09:46:24	900		28.7016026	25,831.44	33.8000	30,420.00	4,588.56	
GDXJ	2016-03-04, 09:46:24	100		28.7067026	2,870.67	33.8000	3,380.00	509.33	
GDXJ	2016-03-04, 09:46:24	200		28.7067026	5,741.34	33.8000	6,760.00	1,018.66	
GDXJ	2016-03-04, 09:46:24	200		28.7167026	5,743.34	33.8000	6,760.00	1,016.66	
GDXJ	2016-03-04, 09:46:24	100		28.7167026	2,871.67	33.8000	3,380.00	508.33	
GDXJ	2016-03-04, 09:46:24	100		28.7167026	2,871.67	33.8000	3,380.00	508.33	
GDXJ	2016-03-04, 09:46:24	100		28.7026026	2,870.26	33.8000	3,380.00	509.74	
GDXJ	2016-03-04, 09:46:24	100		28.7167026	2,871.67	33.8000	3,380.00	508.33	
GDXJ	2016-03-04, 09:46:24	100		28.7167026	2,871.67	33.8000	3,380.00	508.33	
GDXJ	2016-03-04, 09:46:24	200		28.7117026	5,742.34	33.8000	6,760.00	1,017.66	
GDXJ	2016-03-04, 09:46:24	100		28.7167026	2,871.67	33.8000	3,380.00	508.33	
GDXJ	2016-03-04, 09:46:24	100		28.7167026	2,871.67	33.8000	3,380.00	508.33	
GDXJ	2016-03-04, 09:46:24	100		28.7067026	2,870.67	33.8000	3,380.00	509.33	
GDXJ	2016-03-04, 09:46:24	200		28.7016026	5,740.32	33.8000	6,760.00	1,019.68	
GDXJ	2016-03-03, 15:09:44	100		27.4122026	2,741.22	33.8000	3,380.00	638.78	
GDXJ	2016-03-03, 15:09:44	100		27.3137026	2,731.37	33.8000	3,380.00	648.63	
GDXJ	2016-03-03, 15:09:44	100		27.3137026	2,731.37	33.8000	3,380.00	648.63	
GDXJ	2016-03-03, 15:09:44	100		27.3122026	2,731.22	33.8000	3,380.00	648.78	
GDXJ	2016-03-03, 15:09:44	1,400		27.3115026	38,236.10	33.8000	47,320.00	9,083.90	
GDXJ	2016-03-03, 15:09:44	100		27.3122026	2,731.22	33.8000	3,380.00	648.78	
GDXJ	2016-03-03, 15:09:44	100		27.3137026	2,731.37	33.8000	3,380.00	648.63	
GDXJ	2016-03-03, 12:44:48	1,900		26.9667657	51,236.85	33.8000	64,220.00	12,983.15	

Open Positions

GDXJ	2016-03-03, 12:44:48	100		26.9637026	2,696.37	33.8000	3,380.00	683.63
GDXJ	2016-03-03, 12:44:48	100		26.9646026	2,696.46	33.8000	3,380.00	683.54
GDXJ	2016-03-03, 12:44:48	100		26.9637026	2,696.37	33.8000	3,380.00	683.63
GDXJ	2016-03-03, 12:44:48	100		26.9626026	2,696.26	33.8000	3,380.00	683.74
GDXJ	2016-03-03, 12:44:48	200		26.9646026	5,392.92	33.8000	6,760.00	1,367.08
GDXJ	2016-03-03, 12:44:48	200		26.9625026	5,392.50	33.8000	6,760.00	1,367.50
GDXJ	2016-03-03, 12:44:48	100		26.9622026	2,696.22	33.8000	3,380.00	683.78
GDXJ	2016-03-03, 12:44:48	1,000		26.9626026	26,962.60	33.8000	33,800.00	6,837.40
GDXJ	2016-03-03, 12:44:48	100		26.9637026	2,696.37	33.8000	3,380.00	683.63
GDXJ	2016-03-03, 12:44:48	100		26.9626026	2,696.26	33.8000	3,380.00	683.74
GDXJ	2016-03-03, 09:40:08	2,000		26.0065026	52,013.01	33.8000	67,600.00	15,586.99
GDXJ	2016-02-29, 15:46:40	400		25.9002015	10,360.08	33.8000	13,520.00	3,159.92
GDXJ	2016-02-29, 15:46:40	100		25.8750015	2,587.50	33.8000	3,380.00	792.50
GDXJ	2016-02-29, 15:46:40	100		25.8752015	2,587.52	33.8000	3,380.00	792.48
GDXJ	2016-02-29, 15:46:40	100		25.8722015	2,587.22	33.8000	3,380.00	792.78
GDXJ	2016-02-29, 15:46:40	100		25.8752015	2,587.52	33.8000	3,380.00	792.48
GDXJ	2016-02-29, 15:46:40	100		25.8801015	2,588.01	33.8000	3,380.00	791.99
GDXJ	2016-02-29, 15:46:40	100		25.8801015	2,588.01	33.8000	3,380.00	791.99
GDXJ	2016-02-29, 15:46:40	3		25.8851013	77.66	33.8000	101.40	23.74
GDXJ	2016-02-29, 15:46:40	97		25.8851015	2,510.85	33.8000	3,278.60	767.75
GDXJ	2016-02-29, 15:46:40	100		25.8752015	2,587.52	33.8000	3,380.00	792.48
GDXJ	2016-02-29, 15:46:40	100		25.8752015	2,587.52	33.8000	3,380.00	792.48
GDXJ	2016-02-29, 15:46:40	100		25.8752015	2,587.52	33.8000	3,380.00	792.48
GDXJ	2016-02-29, 15:46:40	100		25.8752015	2,587.52	33.8000	3,380.00	792.48
GDXJ	2016-02-29, 15:46:40	97		25.8752015	2,509.89	33.8000	3,278.60	768.71
GDXJ	2016-02-29, 15:46:40	100		25.8752015	2,587.52	33.8000	3,380.00	792.48
GDXJ	2016-02-29, 15:46:40	100		25.8811015	2,588.11	33.8000	3,380.00	791.89
GDXJ	2016-02-29, 15:46:40	200		25.8807015	5,176.14	33.8000	6,760.00	1,583.86
GDXJ	2016-02-29, 15:46:40	100		25.8807015	2,588.07	33.8000	3,380.00	791.93
GDXJ	2016-02-29, 15:46:40	200		25.8807015	5,176.14	33.8000	6,760.00	1,583.86
GDXJ	2016-02-29, 15:46:40	100		25.8822015	2,588.22	33.8000	3,380.00	791.78
GDXJ	2016-02-29, 15:46:40	100		25.8851015	2,588.51	33.8000	3,380.00	791.49
GDXJ	2016-02-29, 15:46:40	100		25.8822015	2,588.22	33.8000	3,380.00	791.78
GDXJ	2016-02-29, 15:46:40	100		25.8807015	2,588.07	33.8000	3,380.00	791.93
GDXJ	2016-02-29, 15:46:40	100		25.8751015	2,587.51	33.8000	3,380.00	792.49
GDXJ	2016-02-29, 15:46:40	100		25.8751015	2,587.51	33.8000	3,380.00	792.49
GDXJ	2016-02-29, 15:46:40	100		25.8722015	2,587.22	33.8000	3,380.00	792.78
GDXJ	2016-02-29, 15:46:40	100		25.8752015	2,587.52	33.8000	3,380.00	792.48
GDXJ	2016-02-29, 15:46:40	100		25.8752015	2,587.52	33.8000	3,380.00	792.48
GDXJ	2016-02-29, 15:46:40	100		25.8811015	2,588.11	33.8000	3,380.00	791.89
GDXJ	2016-02-29, 15:46:40	100		25.8801015	2,588.01	33.8000	3,380.00	791.99
GDXJ	2016-02-29, 15:46:40	100		25.8851015	2,588.51	33.8000	3,380.00	791.49
GDXJ	2016-02-29, 15:46:40	100		25.8851015	2,588.51	33.8000	3,380.00	791.49
GDXJ	2016-02-29, 15:46:40	100		25.8822015	2,588.22	33.8000	3,380.00	791.78
GDXJ	2016-02-29, 15:46:40	100		25.8807015	2,588.07	33.8000	3,380.00	791.93
GDXJ	2016-02-29, 15:46:40	3		25.8851013	77.66	33.8000	101.40	23.74
GDXJ	2015-09-29, 09:48:26	200		19.6110015	3,922.20	33.8000	6,760.00	2,837.80

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GDXJ	2015-09-29, 09:48:26	100		19.5651015	1,956.51	33.8000	3,380.00	1,423.49	
GDXJ	2015-09-29, 09:48:26	200		19.5748015	3,914.96	33.8000	6,760.00	2,845.04	
GDXJ	2015-09-29, 09:48:26	200		19.5676015	3,913.52	33.8000	6,760.00	2,846.48	
GDXJ	2015-09-29, 09:48:26	100		19.5801015	1,958.01	33.8000	3,380.00	1,421.99	
GDXJ	2015-09-29, 09:48:26	100		19.5881015	1,958.81	33.8000	3,380.00	1,421.19	
GDXJ	2015-09-29, 09:48:26	100		19.5801015	1,958.01	33.8000	3,380.00	1,421.99	
GDXJ	2015-09-28, 12:00:25	36		19.5978442	705.52	33.8000	1,216.80	511.28	
GDXJ	2015-09-28, 12:00:25	100		19.6102015	1,961.02	33.8000	3,380.00	1,418.98	
GDXJ	2015-09-28, 12:00:25	200		19.6198015	3,923.96	33.8000	6,760.00	2,836.04	
GDXJ	2015-09-28, 12:00:25	100		19.6198015	1,961.98	33.8000	3,380.00	1,418.02	
GDXJ	2015-09-28, 12:00:25	100		19.6098015	1,960.98	33.8000	3,380.00	1,419.02	
GDXJ	2015-09-28, 12:00:25	100		19.6102015	1,961.02	33.8000	3,380.00	1,418.98	
GDXJ	2015-09-28, 12:00:25	100		19.6101015	1,961.01	33.8000	3,380.00	1,418.99	
GDXJ	2015-09-28, 12:00:25	100		19.6102015	1,961.02	33.8000	3,380.00	1,418.98	
GDXJ	2015-09-28, 12:00:25	100		19.6101015	1,961.01	33.8000	3,380.00	1,418.99	
GDXJ	2015-09-28, 12:00:25	100		19.6101015	1,961.01	33.8000	3,380.00	1,418.99	
GDXJ	2015-09-28, 12:00:25	100		19.6198015	1,961.98	33.8000	3,380.00	1,418.02	
GDXJ	2015-09-28, 12:00:25	200		19.6198015	3,923.96	33.8000	6,760.00	2,836.04	
GDXJ	2015-09-28, 12:00:25	400		19.6198015	7,847.92	33.8000	13,520.00	5,672.08	
IAG	-	58,634	1	2.216961	129,989.29	3.2600	191,146.84	61,157.55	SY
IAG	2016-03-04, 09:47:10	100		2.8631026	286.31	3.2600	326.00	39.69	
IAG	2016-03-04, 09:47:10	900		2.7666026	2,489.94	3.2600	2,934.00	444.06	
IAG	2016-03-04, 09:47:10	2,000		2.7666026	5,533.21	3.2600	6,520.00	986.79	
IAG	2016-03-04, 09:47:10	100		2.7666026	276.66	3.2600	326.00	49.34	
IAG	2016-03-04, 09:47:10	500		2.7666026	1,383.30	3.2600	1,630.00	246.70	
IAG	2016-03-04, 09:47:10	200		2.7664526	553.29	3.2600	652.00	98.71	
IAG	2016-03-04, 09:47:10	200		2.7664526	553.29	3.2600	652.00	98.71	
IAG	2016-03-04, 09:47:10	1,400		2.7666026	3,873.24	3.2600	4,564.00	690.76	
IAG	2016-03-04, 09:47:10	100		2.7622026	276.22	3.2600	326.00	49.78	
IAG	2016-03-04, 09:47:10	2,000		2.7667026	5,533.41	3.2600	6,520.00	986.59	
IAG	2016-03-04, 09:47:10	200		2.7666026	553.32	3.2600	652.00	98.68	
IAG	2016-03-04, 09:47:10	400		2.7637026	1,105.48	3.2600	1,304.00	198.52	
IAG	2016-03-04, 09:47:10	300		2.7664526	829.94	3.2600	978.00	148.06	
IAG	2016-03-04, 09:47:10	700		2.7664526	1,936.52	3.2600	2,282.00	345.48	
IAG	2016-03-04, 09:47:10	1,700		2.7616026	4,694.72	3.2600	5,542.00	847.28	
IAG	2016-03-04, 09:47:10	1,900		2.7626026	5,248.94	3.2600	6,194.00	945.06	
IAG	2016-03-04, 09:47:10	1,200		2.7626026	3,315.12	3.2600	3,912.00	596.88	
IAG	2016-03-04, 09:47:10	100		2.7664526	276.65	3.2600	326.00	49.35	
IAG	2016-03-04, 09:47:10	700		2.7664526	1,936.52	3.2600	2,282.00	345.48	
IAG	2016-03-04, 09:47:10	1,500		2.7667026	4,150.05	3.2600	4,890.00	739.95	
IAG	2016-03-04, 09:47:10	500		2.7664526	1,383.23	3.2600	1,630.00	246.77	
IAG	2016-03-04, 09:47:10	300		2.7664526	829.94	3.2600	978.00	148.06	
IAG	2016-03-04, 09:47:10	200		2.7664526	553.29	3.2600	652.00	98.71	
IAG	2016-03-04, 09:47:10	800		2.7666026	2,213.28	3.2600	2,608.00	394.72	
IAG	2016-03-04, 09:34:51	2,500		2.6566026	6,641.51	3.2600	8,150.00	1,508.49	
IAG	2016-03-04, 09:34:51	500		2.6515026	1,325.75	3.2600	1,630.00	304.25	
IAG	2016-03-04, 09:34:51	200		2.6564526	531.29	3.2600	652.00	120.71	

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IAG	2016-03-04, 09:34:51	400		2.6522026	1,060.88	3.2600	1,304.00	243.12
IAG	2016-03-04, 09:34:51	400		2.6522026	1,060.88	3.2600	1,304.00	243.12
IAG	2016-03-04, 09:34:51	100		2.6522026	265.22	3.2600	326.00	60.78
IAG	2016-03-04, 09:34:51	2,000		2.6516026	5,303.21	3.2600	6,520.00	1,216.79
IAG	2016-03-04, 09:34:51	600		2.6526026	1,591.56	3.2600	1,956.00	364.44
IAG	2016-03-04, 09:34:51	100		2.6564526	265.65	3.2600	326.00	60.35
IAG	2016-03-04, 09:34:51	200		2.6564526	531.29	3.2600	652.00	120.71
IAG	2016-03-04, 09:34:51	8,000		2.6515026	21,212.02	3.2600	26,080.00	4,867.98
IAG	2015-11-17, 12:11:22	300		1.4340348	430.21	3.2600	978.00	547.79
IAG	2015-11-17, 12:11:22	100		1.4007015	140.07	3.2600	326.00	185.93
IAG	2015-11-17, 12:11:22	200		1.4022015	280.44	3.2600	652.00	371.56
IAG	2015-11-17, 12:11:22	750		1.4152681	1,061.45	3.2600	2,445.00	1,383.55
IAG	2015-11-17, 12:11:22	100		1.4161015	141.61	3.2600	326.00	184.39
IAG	2015-11-17, 12:11:22	250		1.4157015	353.93	3.2600	815.00	461.07
IAG	2015-11-17, 12:11:22	1,500		1.4157015	2,123.55	3.2600	4,890.00	2,766.45
IAG	2015-11-17, 12:11:22	2,000		1.4151015	2,830.20	3.2600	6,520.00	3,689.80
IAG	2015-11-17, 12:11:22	600		1.4157015	849.42	3.2600	1,956.00	1,106.58
IAG	2015-11-17, 12:11:22	2,552		1.4157015	3,612.87	3.2600	8,319.52	4,706.65
IAG	2015-11-17, 12:11:22	900		1.4157015	1,274.13	3.2600	2,934.00	1,659.87
IAG	2015-11-17, 12:11:22	300		1.4150015	424.50	3.2600	978.00	553.50
IAG	2015-11-17, 12:11:22	100		1.4166015	141.66	3.2600	326.00	184.34
IAG	2015-11-17, 12:11:22	48		1.4157015	67.95	3.2600	156.48	88.53
IAG	2015-11-17, 12:11:22	100		1.4153015	141.53	3.2600	326.00	184.47
IAG	2015-11-17, 12:11:22	200		1.4161015	283.22	3.2600	652.00	368.78
IAG	2015-09-29, 09:43:46	200		1.6352015	327.04	3.2600	652.00	324.96
IAG	2015-09-29, 09:43:46	500		1.5841015	792.05	3.2600	1,630.00	837.95
IAG	2015-09-29, 09:43:46	1,300		1.5999015	2,079.87	3.2600	4,238.00	2,158.13
IAG	2015-09-29, 09:43:46	400		1.6002015	640.08	3.2600	1,304.00	663.92
IAG	2015-09-29, 09:43:46	400		1.6002015	640.08	3.2600	1,304.00	663.92
IAG	2015-09-29, 09:43:46	100		1.5999015	159.99	3.2600	326.00	166.01
IAG	2015-09-29, 09:43:46	1,700		1.5999015	2,719.83	3.2600	5,542.00	2,822.17
IAG	2015-09-29, 09:43:46	300		1.5961015	478.83	3.2600	978.00	499.17
IAG	2015-09-29, 09:43:46	100		1.5999015	159.99	3.2600	326.00	166.01
IAG	2015-09-18, 13:23:28	600		1.8077681	1,084.66	3.2600	1,956.00	871.34
IAG	2015-09-18, 13:23:28	100		1.7953015	179.53	3.2600	326.00	146.47
IAG	2015-09-18, 13:23:28	600		1.8052015	1,083.12	3.2600	1,956.00	872.88
IAG	2015-09-18, 13:23:28	100		1.8052015	180.52	3.2600	326.00	145.48
IAG	2015-09-18, 13:23:28	100		1.8052015	180.52	3.2600	326.00	145.48
IAG	2015-09-18, 13:23:28	300		1.8061015	541.83	3.2600	978.00	436.17
IAG	2015-09-18, 13:23:28	100		1.8053015	180.53	3.2600	326.00	145.47
IAG	2015-09-18, 13:23:28	100		1.8053015	180.53	3.2600	326.00	145.47
IAG	2015-09-18, 13:23:28	100		1.8052015	180.52	3.2600	326.00	145.48
IAG	2015-09-18, 13:23:28	500		1.8102015	905.10	3.2600	1,630.00	724.90
IAG	2015-09-18, 13:23:28	2,000		1.8061015	3,612.20	3.2600	6,520.00	2,907.80
IAG	2015-09-18, 13:23:28	100		1.8053015	180.53	3.2600	326.00	145.47
IAG	2015-09-18, 13:23:28	100		1.8052015	180.52	3.2600	326.00	145.48
IAG	2015-09-18, 13:23:28	200		1.8102015	362.04	3.2600	652.00	289.96

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IAG	2015-09-16, 10:07:29	100		1.6731026	167.31	3.2600	326.00	158.69	
IAG	2015-09-16, 10:07:29	100		1.5701004	157.01	3.2600	326.00	168.99	
IAG	2015-09-16, 10:07:29	100		1.5707015	157.07	3.2600	326.00	168.93	
IAG	2015-09-16, 10:07:29	100		1.5707015	157.07	3.2600	326.00	168.93	
IAG	2015-09-16, 10:07:29	200		1.5707015	314.14	3.2600	652.00	337.86	
IAG	2015-09-16, 10:07:29	3,000		1.5853681	4,756.10	3.2600	9,780.00	5,023.90	
IAG	2015-09-16, 10:07:29	1,400		1.5851015	2,219.14	3.2600	4,564.00	2,344.86	
IAG	2015-05-29, 14:40:13	34		2.0502015	69.71	3.2600	110.84	41.13	
IAG	2015-05-29, 14:40:13	600		2.0499015	1,229.94	3.2600	1,956.00	726.06	
JNUG	-	500	1	160.5850226	80,292.51	107.8500	53,925.00	-26,367.51	SY
JNUG	2016-05-03, 11:07:31	200		153.5526026	30,710.52	107.8500	21,570.00	-9,140.52	
JNUG	2016-04-29, 09:35:01	50		168.6202051	8,431.01	107.8500	5,392.50	-3,038.51	
JNUG	2016-04-29, 09:35:01	100		168.4097513	16,840.98	107.8500	10,785.00	-6,055.98	
JNUG	2016-04-29, 09:30:34	50		162.2102051	8,110.51	107.8500	5,392.50	-2,718.01	
JNUG	2016-04-29, 09:30:34	100		161.9949513	16,199.50	107.8500	10,785.00	-5,414.50	
MUX	-	84,313	1	1.3139264	110,781.08	2.2300	188,017.99	77,236.91	SY
MUX	2016-03-04, 09:36:28	25,000		2.0570026	51,425.06	2.2300	55,750.00	4,324.94	
MUX	2016-01-20, 10:48:02	800		1.0307015	824.56	2.2300	1,784.00	959.44	
MUX	2016-01-20, 10:48:02	1,700		1.0300015	1,751.00	2.2300	3,791.00	2,040.00	
MUX	2016-01-20, 10:48:02	3,800		1.0300015	3,914.01	2.2300	8,474.00	4,559.99	
MUX	2016-01-20, 10:48:02	1,200		1.0307015	1,236.84	2.2300	2,676.00	1,439.16	
MUX	2016-01-20, 10:48:02	100		1.0307015	103.07	2.2300	223.00	119.93	
MUX	2016-01-20, 10:48:02	100		1.0316015	103.16	2.2300	223.00	119.84	
MUX	2016-01-20, 10:48:02	100		1.0316015	103.16	2.2300	223.00	119.84	
MUX	2016-01-20, 10:48:02	500		1.0307015	515.35	2.2300	1,115.00	599.65	
MUX	2016-01-20, 10:48:02	500		1.0307015	515.35	2.2300	1,115.00	599.65	
MUX	2016-01-20, 10:48:02	1,200		1.0316223	1,237.95	2.2300	2,676.00	1,438.05	
MUX	2016-01-13, 10:15:35	100		1.1872026	118.72	2.2300	223.00	104.28	
MUX	2016-01-13, 10:15:35	400		1.0853262	434.13	2.2300	892.00	457.87	
MUX	2016-01-13, 10:15:35	200		1.0882015	217.64	2.2300	446.00	228.36	
MUX	2016-01-13, 10:15:35	1,000		1.1022015	1,102.20	2.2300	2,230.00	1,127.80	
MUX	2016-01-13, 10:15:35	100		1.1016015	110.16	2.2300	223.00	112.84	
MUX	2016-01-13, 10:15:35	100		1.1049515	110.50	2.2300	223.00	112.50	
MUX	2016-01-13, 10:15:35	200		1.1049515	220.99	2.2300	446.00	225.01	
MUX	2016-01-13, 10:15:35	1,900		1.1001015	2,090.19	2.2300	4,237.00	2,146.81	
MUX	2016-01-13, 10:15:35	100		1.1016015	110.16	2.2300	223.00	112.84	
MUX	2016-01-13, 10:15:35	2,100		1.1000015	2,310.00	2.2300	4,683.00	2,373.00	
MUX	2016-01-13, 10:15:35	100		1.1049515	110.50	2.2300	223.00	112.50	
MUX	2016-01-13, 10:15:35	200		1.1049515	220.99	2.2300	446.00	225.01	
MUX	2016-01-13, 10:15:35	500		1.1007015	550.35	2.2300	1,115.00	564.65	
MUX	2016-01-13, 10:15:35	2,800		1.1000015	3,080.00	2.2300	6,244.00	3,164.00	
MUX	2016-01-13, 10:15:35	100		1.1016015	110.16	2.2300	223.00	112.84	
MUX	2016-01-13, 10:15:35	100		1.1049515	110.50	2.2300	223.00	112.50	
MUX	2016-01-04, 10:21:59	478		1.1585231	553.77	2.2300	1,065.94	512.17	
MUX	2016-01-04, 10:21:59	300		1.1427692	342.83	2.2300	669.00	326.17	
MUX	2016-01-04, 10:21:59	222		1.1526026	255.88	2.2300	495.06	239.18	
MUX	2016-01-04, 10:21:59	400		1.1487026	459.48	2.2300	892.00	432.52	

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MUX	2016-01-04, 10:21:59	100		1.1537026	115.37	2.2300	223.00	107.63
MUX	2016-01-04, 10:21:59	200		1.1526026	230.52	2.2300	446.00	215.48
MUX	2016-01-04, 10:21:59	1,700		1.1437026	1,944.29	2.2300	3,791.00	1,846.71
MUX	2016-01-04, 10:21:59	100		1.1564526	115.65	2.2300	223.00	107.35
MUX	2016-01-04, 10:21:59	100		1.1564526	115.65	2.2300	223.00	107.35
MUX	2016-01-04, 10:21:59	100		1.1564526	115.65	2.2300	223.00	107.35
MUX	2016-01-04, 10:21:59	1,400		1.1522026	1,613.08	2.2300	3,122.00	1,508.92
MUX	2016-01-04, 10:21:59	2,000		1.1526026	2,305.21	2.2300	4,460.00	2,154.79
MUX	2016-01-04, 10:21:59	300		1.1531026	345.93	2.2300	669.00	323.07
MUX	2016-01-04, 10:21:59	100		1.1531026	115.31	2.2300	223.00	107.69
MUX	2016-01-04, 10:21:59	100		1.1537026	115.37	2.2300	223.00	107.63
MUX	2016-01-04, 10:21:59	100		1.1537026	115.37	2.2300	223.00	107.63
MUX	2016-01-04, 10:21:59	600		1.1564526	693.87	2.2300	1,338.00	644.13
MUX	2016-01-04, 10:21:59	100		1.1564526	115.65	2.2300	223.00	107.35
MUX	2016-01-04, 10:21:59	100		1.1564526	115.65	2.2300	223.00	107.35
MUX	2016-01-04, 10:21:59	200		1.1537026	230.74	2.2300	446.00	215.26
MUX	2016-01-04, 10:21:59	100		1.1487026	114.87	2.2300	223.00	108.13
MUX	2016-01-04, 10:21:59	500		1.1564526	578.23	2.2300	1,115.00	536.77
MUX	2016-01-04, 10:21:59	500		1.1564526	578.23	2.2300	1,115.00	536.77
MUX	2016-01-04, 10:21:59	100		1.1564526	115.65	2.2300	223.00	107.35
MUX	2016-01-04, 10:21:59	100		1.1564526	115.65	2.2300	223.00	107.35
MUX	2015-08-28, 10:33:53	5,000		0.8446915	4,223.46	2.2300	11,150.00	6,926.54
MUX	2015-07-14, 12:19:06	100		0.7917675	79.18	2.2300	223.00	143.82
MUX	2015-07-14, 12:18:56	100		0.7902436	79.02	2.2300	223.00	143.98
MUX	2015-07-14, 12:18:56	200		0.7902436	158.05	2.2300	446.00	287.95
MUX	2015-07-14, 12:18:56	200		0.7902436	158.05	2.2300	446.00	287.95
MUX	2015-07-14, 12:18:56	200		0.7902436	158.05	2.2300	446.00	287.95
MUX	2015-07-14, 12:18:56	300		0.7902436	237.07	2.2300	669.00	431.93
MUX	2015-07-14, 12:18:46	100		0.8766444	87.66	2.2300	223.00	135.34
MUX	2015-07-14, 12:18:46	200		0.7736121	154.72	2.2300	446.00	291.28
MUX	2015-07-14, 12:18:46	100		0.7751433	77.51	2.2300	223.00	145.49
MUX	2015-07-14, 12:18:46	100		0.7743627	77.44	2.2300	223.00	145.56
MUX	2015-07-14, 12:18:46	200		0.7776433	155.53	2.2300	446.00	290.47
MUX	2015-07-14, 12:18:46	100		0.7893627	78.94	2.2300	223.00	144.06
MUX	2015-07-14, 12:18:46	100		0.7901433	79.01	2.2300	223.00	143.99
MUX	2015-07-14, 12:18:46	500		0.7878015	393.90	2.2300	1,115.00	721.10
MUX	2015-07-14, 12:18:46	100		0.7901433	79.01	2.2300	223.00	143.99
MUX	2015-07-14, 12:18:46	100		0.7901433	79.01	2.2300	223.00	143.99
MUX	2015-07-14, 12:18:46	200		0.7901433	158.03	2.2300	446.00	287.97
MUX	2015-07-14, 12:18:46	100		0.7901433	79.01	2.2300	223.00	143.99
MUX	2015-07-09, 15:58:46	400		0.8515972	340.64	2.2300	892.00	551.36
MUX	2015-07-09, 15:58:46	600		0.8349305	500.96	2.2300	1,338.00	837.04
MUX	2015-07-09, 15:58:46	500		0.8415972	420.80	2.2300	1,115.00	694.20
MUX	2015-07-07, 15:43:28	100		0.9081026	90.81	2.2300	223.00	132.19
MUX	2015-07-07, 15:43:28	100		0.9081026	90.81	2.2300	223.00	132.19
MUX	2015-07-07, 15:43:28	200		0.9081026	181.62	2.2300	446.00	264.38
MUX	2015-07-07, 15:43:15	400		0.9081026	363.24	2.2300	892.00	528.76

Open Positions

MUX	2015-07-07, 15:43:15	500		0.9081026	454.05	2.2300	1,115.00	660.95	
MUX	2015-07-07, 15:42:58	100		0.9081026	90.81	2.2300	223.00	132.19	
MUX	2015-07-07, 15:42:12	400		0.9081026	363.24	2.2300	892.00	528.76	
MUX	2015-07-07, 15:30:15	200		0.9081026	181.62	2.2300	446.00	264.38	
MUX	2015-07-07, 15:29:32	3,000		0.9081026	2,724.31	2.2300	6,690.00	3,965.69	
MUX	2015-07-02, 10:15:55	100		1.0131211	101.31	2.2300	223.00	121.69	
MUX	2015-07-02, 10:15:55	100		0.9149581	91.50	2.2300	223.00	131.50	
MUX	2015-07-02, 10:15:55	100		0.9150584	91.51	2.2300	223.00	131.49	
MUX	2015-07-02, 10:15:55	300		0.9150584	274.52	2.2300	669.00	394.48	
MUX	2015-07-02, 10:15:55	300		0.9266247	277.99	2.2300	669.00	391.01	
MUX	2015-07-02, 10:15:55	300		0.9299581	278.99	2.2300	669.00	390.01	
MUX	2015-07-02, 10:15:55	800		0.9300584	744.05	2.2300	1,784.00	1,039.95	
MUX	2015-07-02, 10:15:55	300		0.9299581	278.99	2.2300	669.00	390.01	
MUX	2015-07-02, 10:15:55	300		0.9299581	278.99	2.2300	669.00	390.01	
MUX	2015-07-02, 10:15:55	100		0.9299581	93.00	2.2300	223.00	130.00	
MUX	2015-07-02, 10:15:55	300		0.9300584	279.02	2.2300	669.00	389.98	
MUX	2015-06-26, 09:50:32	11,813		0.9600515	11,341.09	2.2300	26,342.99	15,001.90	
PHYS	-	17,837	1	9.9794449	178,003.36	10.0600	179,440.22	1,436.86	SY
PHYS	2016-02-29, 15:53:54	100		10.3622026	1,036.22	10.0600	1,006.00	-30.22	
PHYS	2016-02-29, 15:53:54	1,600		10.2606077	16,416.97	10.0600	16,096.00	-320.97	
PHYS	2016-02-29, 15:53:54	900		10.2607015	9,234.63	10.0600	9,054.00	-180.63	
PHYS	2016-02-29, 15:53:54	1,200		10.2607015	12,312.84	10.0600	12,072.00	-240.84	
PHYS	2016-02-29, 15:53:54	1,000		10.2607015	10,260.70	10.0600	10,060.00	-200.70	
PHYS	2016-02-29, 15:53:54	700		10.2607015	7,182.49	10.0600	7,042.00	-140.49	
PHYS	2016-02-29, 15:53:54	1,600		10.2607015	16,417.12	10.0600	16,096.00	-321.12	
PHYS	2016-02-29, 15:53:54	1,300		10.2607015	13,338.91	10.0600	13,078.00	-260.91	
PHYS	2016-02-29, 15:53:54	100		10.2607015	1,026.07	10.0600	1,006.00	-20.07	
PHYS	2016-02-29, 15:53:54	1,275		10.2607015	13,082.39	10.0600	12,826.50	-255.89	
PHYS	2015-08-24, 09:35:14	4,462		9.6350015	42,991.38	10.0600	44,887.72	1,896.34	
PHYS	2015-08-24, 09:35:14	100		9.6353015	963.53	10.0600	1,006.00	42.47	
PHYS	2015-08-24, 09:35:14	100		9.6353015	963.53	10.0600	1,006.00	42.47	
PHYS	2015-08-24, 09:35:14	100		9.6402015	964.02	10.0600	1,006.00	41.98	
PHYS	2015-08-24, 09:35:14	100		9.6402015	964.02	10.0600	1,006.00	41.98	
PHYS	2015-08-24, 09:35:14	1,000		9.6402015	9,640.20	10.0600	10,060.00	419.80	
PHYS	2015-08-24, 09:35:14	1,000		9.6402015	9,640.20	10.0600	10,060.00	419.80	
PHYS	2015-08-24, 09:35:14	200		9.6401015	1,928.02	10.0600	2,012.00	83.98	
PHYS	2015-08-24, 09:35:14	1,000		9.6401015	9,640.10	10.0600	10,060.00	419.90	
PSLV	-	22,007	1	6.2360002	137,235.66	6.0900	134,022.63	-3,213.03	SY
PSLV	2016-04-18, 11:56:03	100		6.3222026	632.22	6.0900	609.00	-23.22	
PSLV	2016-04-18, 11:56:03	100		6.2222026	622.22	6.0900	609.00	-13.22	
PSLV	2016-04-18, 11:56:03	400		6.2231026	2,489.24	6.0900	2,436.00	-53.24	
PSLV	2016-04-18, 11:56:03	500		6.2218026	3,110.90	6.0900	3,045.00	-65.90	
PSLV	2016-04-18, 11:56:03	500		6.2218026	3,110.90	6.0900	3,045.00	-65.90	
PSLV	2016-04-18, 11:56:03	2,000		6.2231026	12,446.21	6.0900	12,180.00	-266.21	
PSLV	2016-04-18, 11:56:03	600		6.2231026	3,733.86	6.0900	3,654.00	-79.86	
PSLV	2016-04-18, 11:56:03	1,000		6.2222026	6,222.20	6.0900	6,090.00	-132.20	
PSLV	2016-04-18, 11:56:03	200		6.2237026	1,244.74	6.0900	1,218.00	-26.74	

Open Positions

PSLV	2016-04-18, 11:56:03	2,000		6.2231026	12,446.21	6.0900	12,180.00	-266.21	
PSLV	2016-04-18, 11:56:03	100		6.2226026	622.26	6.0900	609.00	-13.26	
PSLV	2016-04-18, 11:56:03	100		6.2218026	622.18	6.0900	609.00	-13.18	
PSLV	2016-04-18, 11:56:03	1,000		6.2222026	6,222.20	6.0900	6,090.00	-132.20	
PSLV	2016-04-18, 11:56:03	3,500		6.2215026	21,775.26	6.0900	21,315.00	-460.26	
PSLV	2016-04-18, 11:56:03	800		6.2222026	4,977.76	6.0900	4,872.00	-105.76	
PSLV	2016-04-18, 11:56:03	100		6.2222026	622.22	6.0900	609.00	-13.22	
PSLV	2016-04-18, 11:56:03	100		6.2218026	622.18	6.0900	609.00	-13.18	
PSLV	2016-04-18, 11:56:03	100		6.2218026	622.18	6.0900	609.00	-13.18	
PSLV	2016-04-18, 11:56:03	300		6.2237026	1,867.11	6.0900	1,827.00	-40.11	
PSLV	2016-04-18, 11:56:03	1,000		6.2222026	6,222.20	6.0900	6,090.00	-132.20	
PSLV	2015-10-07, 13:22:39	1,407		6.2602015	8,808.10	6.0900	8,568.63	-239.47	
PSLV	2015-10-07, 13:22:39	100		6.2602015	626.02	6.0900	609.00	-17.02	
PSLV	2015-10-07, 13:22:39	200		6.2702015	1,254.04	6.0900	1,218.00	-36.04	
PSLV	2015-10-07, 13:22:39	576		6.2766015	3,615.32	6.0900	3,507.84	-107.48	
PSLV	2015-10-07, 13:22:39	100		6.2557015	625.57	6.0900	609.00	-16.57	
PSLV	2015-10-07, 13:22:39	1,000		6.2522015	6,252.20	6.0900	6,090.00	-162.20	
PSLV	2015-10-07, 13:22:39	100		6.2566015	625.66	6.0900	609.00	-16.66	
PSLV	2015-10-07, 13:22:39	100		6.2550015	625.50	6.0900	609.00	-16.50	
PSLV	2015-10-07, 13:22:39	800		6.2602015	5,008.16	6.0900	4,872.00	-136.16	
PSLV	2015-10-07, 13:22:39	100		6.2601015	626.01	6.0900	609.00	-17.01	
PSLV	2015-10-07, 13:22:39	100		6.2598015	625.98	6.0900	609.00	-16.98	
PSLV	2015-10-07, 13:22:39	824		6.2602015	5,158.41	6.0900	5,018.16	-140.25	
PSLV	2015-10-07, 13:22:39	1,300		6.2602015	8,138.26	6.0900	7,917.00	-221.26	
PSLV	2015-10-07, 13:22:39	200		6.2602015	1,252.04	6.0900	1,218.00	-34.04	
PSLV	2015-10-07, 13:22:39	200		6.2602015	1,252.04	6.0900	1,218.00	-34.04	
PSLV	2015-10-07, 13:22:39	200		6.2702015	1,254.04	6.0900	1,218.00	-36.04	
PSLV	2015-10-07, 13:22:39	100		6.2702015	627.02	6.0900	609.00	-18.02	
PSLV	2015-10-07, 13:22:39	100		6.2702015	627.02	6.0900	609.00	-18.02	
SPXU	-	9,332	1	34.900783	325,694.11	26.9800	251,777.36	-73,916.75	SY
SPXU	2015-11-17, 15:13:18	100		32.4422026	3,244.22	26.9800	2,698.00	-546.22	
SPXU	2015-11-17, 15:13:18	1,000		32.3464514	32,346.45	26.9800	26,980.00	-5,366.45	
SPXU	2015-11-17, 15:13:18	1,000		32.3566015	32,356.60	26.9800	26,980.00	-5,376.60	
SPXU	2015-11-17, 15:13:18	900		32.3566015	29,120.94	26.9800	24,282.00	-4,838.94	
SPXU	2015-10-06, 11:30:02	200		36.2601015	7,252.02	26.9800	5,396.00	-1,856.02	
SPXU	2015-10-06, 11:30:02	300		36.2111015	10,863.33	26.9800	8,094.00	-2,769.33	
SPXU	2015-10-06, 11:30:02	1,000		36.2225015	36,222.50	26.9800	26,980.00	-9,242.50	
SPXU	2015-10-06, 11:30:02	1,200		36.2250015	43,470.00	26.9800	32,376.00	-11,094.00	
SPXU	2015-10-06, 09:56:54	700		35.9566015	25,169.62	26.9800	18,886.00	-6,283.62	
SPXU	2015-10-06, 09:56:54	2,000		35.9551015	71,910.20	26.9800	53,960.00	-17,950.20	
SPXU	2015-10-05, 15:27:19	732		36.1998015	26,498.25	26.9800	19,749.36	-6,748.89	
SPXU	2015-10-05, 15:27:19	100		36.1998015	3,619.98	26.9800	2,698.00	-921.98	
SPXU	2015-10-05, 15:27:19	100		36.1998015	3,619.98	26.9800	2,698.00	-921.98	
SQQQ	-	2,345	1	25.6684847	60,192.60	17.5700	41,201.65	-18,990.95	SY
SQQQ	2015-09-01, 12:12:06	200		26.6625026	5,332.50	17.5700	3,514.00	-1,818.50	
SQQQ	2015-09-01, 12:12:06	400		26.6167026	10,646.68	17.5700	7,028.00	-3,618.68	
SQQQ	2015-09-01, 12:12:06	200		26.6267026	5,325.34	17.5700	3,514.00	-1,811.34	

Open Positions

SQQQ	2015-09-01, 12:12:06	100		26.6266026	2,662.66	17.5700	1,757.00	-905.66	
SQQQ	2015-09-01, 12:12:06	100		26.6316026	2,663.16	17.5700	1,757.00	-906.16	
SQQQ	2015-08-31, 15:54:38	400		25.0757015	10,030.28	17.5700	7,028.00	-3,002.28	
SQQQ	2015-08-31, 15:54:38	200		25.0516015	5,010.32	17.5700	3,514.00	-1,496.32	
SQQQ	2015-08-31, 15:54:38	300		25.0632681	7,518.98	17.5700	5,271.00	-2,247.98	
SQQQ	2015-08-31, 15:54:38	100		25.0657015	2,506.57	17.5700	1,757.00	-749.57	
SQQQ	2015-08-31, 11:59:28	45		24.6176015	1,107.79	17.5700	790.65	-317.14	
SQQQ	2015-08-31, 11:59:28	100		24.6257015	2,462.57	17.5700	1,757.00	-705.57	
SQQQ	2015-08-31, 11:59:28	100		24.6272015	2,462.72	17.5700	1,757.00	-705.72	
SQQQ	2015-08-31, 11:59:28	100		24.6302015	2,463.02	17.5700	1,757.00	-706.02	
SVCMF	-	1,667	1	0.0000834	0.14	0.5350	891.85	891.71	SY
SVCMF	2015-09-28, 11:54:19	33.34		0.0000837	0.00	0.5350	17.84	17.83	
SVCMF	2015-09-28, 11:54:19	466.76		0.0000837	0.04	0.5350	249.72	249.68	
SVCMF	2015-09-28, 11:54:19	166.7		0.0000836	0.01	0.5350	89.18	89.17	
SVCMF	2015-09-28, 11:54:19	33.34		0.0000834	0.00	0.5350	17.84	17.83	
SVCMF	2015-09-28, 11:54:19	150.03		0.0000834	0.01	0.5350	80.27	80.25	
SVCMF	2015-09-28, 11:54:19	333.4		0.0000837	0.03	0.5350	178.37	178.34	
SVCMF	2015-09-28, 11:54:19	33.34		0.0000834	0.00	0.5350	17.84	17.83	
SVCMF	2015-09-28, 11:54:17	16.67		0.000083	0.00	0.5350	8.92	8.92	
SVCMF	2015-09-28, 11:54:17	16.67		0.000083	0.00	0.5350	8.92	8.92	
SVCMF	2015-09-28, 11:54:09	33.34		0.0000832	0.00	0.5350	17.84	17.83	
SVCMF	2015-09-28, 11:54:09	50.01		0.0000832	0.00	0.5350	26.76	26.75	
SVCMF	2015-09-28, 11:54:09	16.67		0.0000833	0.00	0.5350	8.92	8.92	
SVCMF	2015-09-28, 11:54:09	183.37		0.000083	0.02	0.5350	98.10	98.09	
SVCMF	2015-09-28, 11:53:59	16.67		0.0000904	0.00	0.5350	8.92	8.92	
SVCMF	2015-09-28, 11:53:59	83.35		0.0000805	0.00	0.5350	44.59	44.59	
SVCMF	2015-09-28, 11:53:59	33.34		0.0000818	0.00	0.5350	17.84	17.83	
Total					1,817,258.24		1,901,707.72	84,449.48	

Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
Stocks					
USD					
AG	FIRST MAJESTIC SILVER CORP	2,165	0	0	2,165
FAZ	DIREXION DAILY FINL BEAR 3X	6,414	0	0	6,414
GDXJ	VANECK VECTORS JUNIOR GOLD M	18,036	0	0	18,036
IAG	IAMGOLD CORPORATION	58,634	0	0	58,634
JNUG	DIRXN DAILY JR BULL GOLD 3X	500	0	0	500
MUX	MCEWEN MINING INC	84,313	0	0	84,313
PHYS	SPROTT PHYSICAL GOLD TRUST	17,837	0	0	17,837
PSLV	SPROTT PHYSICAL SILVER TRUST	22,007	0	0	22,007
SPXU	PROSH ULTRAPRO SHORT S&P 500	9,332	0	0	9,332
SQQQ	PROSHARES ULTRAPRO SHORT QQQ	2,345	0	0	2,345
SVCMF	SILVERCREST METALS INC	1,667	0	0	1,667

Trades

Symbol	Date/Time	Exchange	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks											
USD											
JNUG	2016-05-03, 11:07:31	-	200	153.4800	155.6700	-30,696.00	-14.52	30,710.52	0.00	438.00	O
JNUG	2016-05-03, 11:07:31	IBKRATS	200	153.4800	155.6700	-30,696.00	-14.52	30,710.52	0.00	438.00	O
Total JNUG			200			-30,696.00	-14.52	30,710.52	0.00	438.00	
PHYS	2016-05-03, 11:10:56	-	-500	10.6800	10.7000	5,340.00	-21.28	-4,817.50	501.22	-10.00	C
PHYS	2016-05-03, 11:10:56	BYX	-500	10.6800	10.7000	5,340.00	-21.28	-4,817.50	501.22	-10.00	C
Closed Lot:	2015-08-24		500	9.6350015				4,817.50	501.22		ST
PHYS	2016-05-04, 14:04:30	-	-1,000	10.5800	10.6100	10,580.00	-32.73	-9,635.00	912.27	-30.00	C;P
PHYS	2016-05-04, 14:04:30	BYX	-300	10.5800	10.6100	3,174.00	-16.77	-2,890.50	266.73	-9.00	C;P
Closed Lot:	2015-08-24		300	9.6350015				2,890.50	266.73		ST
PHYS	2016-05-04, 14:04:30	BEX	-200	10.5800	10.6100	2,116.00	-4.69	-1,927.00	184.31	-6.00	C;P
Closed Lot:	2015-08-24		200	9.6350015				1,927.00	184.31		ST
PHYS	2016-05-04, 14:04:30	BYX	-500	10.5800	10.6100	5,290.00	-11.28	-4,817.50	461.22	-15.00	C;P
Closed Lot:	2015-08-24		500	9.6350015				4,817.50	461.22		ST
Total PHYS			-1,500			15,920.00	-54.01	-14,452.50	1,413.49	-40.00	
PSLV	2016-05-03, 11:10:05	-	-2,000	6.611045	6.6500	13,222.09	-55.69	-12,518.93	647.47	-77.91	C;P
PSLV	2016-05-03, 11:10:05	BYX	-100	6.6100	6.6500	661.00	-12.25	-626.01	22.74	-4.00	C;P
Closed Lot:	2015-10-07		100	6.2601015				626.01	22.74		ST
PSLV	2016-05-03, 11:10:05	DARK	-1,300	6.6111	6.6500	8,594.43	-29.73	-8,138.06	426.65	-50.57	C;P
Closed Lot:	2015-10-07		1,300	6.260043				8,138.06	426.65		ST
PSLV	2016-05-03, 11:10:05	DARK	-600	6.6111	6.6500	3,966.66	-13.72	-3,754.86	198.08	-23.34	C;P
Closed Lot:	2015-10-07		600	6.2581015				3,754.86	198.08		ST
PSLV	2016-05-24, 10:32:22	-	-3,000	6.1800	6.1500	18,540.00	-90.42	-18,781.58	-332.00	90.00	C;P
PSLV	2016-05-24, 10:32:22	DARK	-100	6.1800	6.1500	618.00	-12.29	-625.81	-20.10	3.00	C;P
Closed Lot:	2015-10-07		100	6.2581015				625.81	-20.10		ST
PSLV	2016-05-24, 10:32:22	ARCA	-1,500	6.1800	6.1500	9,270.00	-40.43	-9,390.88	-161.31	45.00	C;P
Closed Lot:	2015-10-07		1,500	6.260585				9,390.88	-161.31		ST
PSLV	2016-05-24, 10:32:22	ISLAND	-100	6.1800	6.1500	618.00	-2.70	-627.02	-11.72	3.00	C;P
Closed Lot:	2015-10-07		100	6.2702015				627.02	-11.72		ST
PSLV	2016-05-24, 10:32:22	DRCTEDGE	-300	6.1800	6.1500	1,854.00	-8.06	-1,877.75	-31.81	9.00	C;P
Closed Lot:	2015-10-07		300	6.2591705				1,877.75	-31.81		ST
PSLV	2016-05-24, 10:32:22	DRCTEDGE	-100	6.1800	6.1500	618.00	-2.69	-626.01	-10.70	3.00	C;P
Closed Lot:	2015-10-07		100	6.2601015				626.01	-10.70		ST
PSLV	2016-05-24, 10:32:22	ISLAND	-900	6.1800	6.1500	5,562.00	-24.26	-5,634.11	-96.37	27.00	C;P
Closed Lot:	2015-10-07		900	6.2601229				5,634.11	-96.37		ST
Total PSLV			-5,000			31,762.09	-146.11	-31,300.51	315.47	12.09	
Total						16,986.09	-214.64	-15,042.49	1,728.96	410.09	

Corporate Actions

Report Date	Date/Time	Description	Quantity	Proceeds	Value	Realized P/L	Code
Stocks							
USD							

Corporate Actions

Report Date	Date/Time	Description	Quantity	Proceeds	Value	Realized P/L	Code
2016-05-02	2016-04-29, 20:25:00	GDXJ(US57061R5440) CUSIP/ISIN Change to (US92189F7915) (GDXJ, VANECK VECTORS JUNIOR GOLD MIN, 92189F791)	18,036	0.00	0.00	0.00	
2016-05-02	2016-04-29, 20:25:00	GDXJ(US57061R5440) CUSIP/ISIN Change to (US92189F7915) (GDXJ.OLD, MARKET VECTORS JR GOLD MINER, 57061R544)	-18,036	0.00	0.00	0.00	
Total				0.00	0.00	0.00	

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-298.50
Interest Accrued	-394.04
Accrual Reversal	319.36
FX Translation	0.00
Ending Accrual Balance	-373.18

Interest

Date	Description	Amount
USD		
2016-05-04	USD Debit Interest for Apr-2016	-319.36
Total		-319.36

Deposits & Withdrawals

Date	Description	Amount
USD		
2016-05-05	Disbursement Initiated by Juan Carlos Altamirano	-5,520.48
Total		-5,520.48

Contract Information

Symbol	Description	Conid	Security ID	Multiplier	Code
Stocks					
AG	FIRST MAJESTIC SILVER CORP	41855679		1	
FAZ	DIREXION DAILY FINL BEAR 3X	202915596		1	
GDXJ	VANECK VECTORS JUNIOR GOLD M	229726197		1	
GDXJ.OLD	MARKET VECTORS JR GOLD MINER	130569175		1	
IAG	IAMGOLD CORPORATION	16671499		1	
JNUG	DIRXN DAILY JR BULL GOLD 3X	202915602		1	
MUX	MCEWEN MINING INC	101321040		1	
PHYS	SPROTT PHYSICAL GOLD TRUST	73062564		1	
PSLV	SPROTT PHYSICAL SILVER TRUST	80425147		1	
SPXU	PROSH ULTRAPRO SHORT S&P 500	141973346		1	
SQQQ	PROSHARES ULTRAPRO SHORT QQQ	143041088		1	
SVCMF	SILVERCREST METALS INC	210456821		1	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
L	Ordered by IB (Margin Violation)	SY	Positions that may be eligible for Stock Yield. Potential for additional annualized income of 7,372.80 USD
LD	Adjusted by Loss Disallowed from Wash Sale	T	Transfer
LI	Last In, First Out (LIFO) tax basis election		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus three business days. Options, futures, and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods. For instance, stock transactions on XETRA settle on trade date plus two business days.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources.

Fixed Income Notes

Notes/Legal Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to GWM Ltd., using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

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GWM Ltd., , 9176738589

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; Timber Hill Europe AG; ABN Amro Clearing Singapore Pte. Ltd.; ABN Amro Clearing Sydney Pty Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank International plc; Euroclear Bank S.A./N.V.; Shinhan Securities Co., Ltd.; Skandinaviska Enskilda Banken AB.
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. To the extent permissible under exchange rules, IB may direct customer option orders for execution against its market making affiliate Timber Hill LLC, which acts as principal and may earn a profit or incur a loss. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise GWM Ltd. of any material change in Customer's investment objectives or financial situation.
9. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with GWM Ltd..
10. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
11. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
12. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
13. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection.

SIPC Member