

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	IA Capital Structures (Ireland) Plc S.64
Account	U1790306
Master Name	GWM Ltd.
Account Type	Broker Client
Customer Type	Corporate
Account Capabilities	Portfolio Margin
Trading Permissions	SSF,Stocks,Warrants,Forex
Base Currency	USD

Net Asset Value

	June 30, 2016	July 31, 2016			Change	Change in NAV		Total
	Total	Long	Short	Total				
Cash	80,000.00	0.00	-173,100.92	-173,100.92	-253,100.92	Starting Value		80,000.00
Stock	0.00	287,106.60	0.00	287,106.60	287,106.60	Mark-to-Market		7,524.38
Interest Accruals	0.00	0.00	-90.05	-90.05	-90.05	Deposits & Withdrawals		26,972.36
Total	80,000.00	287,106.60	-173,190.97	113,915.63	33,915.63	Change in Interest Accruals		-90.05
						Commissions		-491.06
						Ending Value		113,915.63
Time Weighted Rate of Return					6.49%			

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L						Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total		
Stocks											
AAPL	0	250	--	104.2100	1,167.25	-43.64	-36.38	0.00	1,087.23		
AGN	0	220	--	252.9500	1,874.40	197.60	-45.60	0.00	2,026.40		
AMZN	0	40	--	758.8100	909.60	55.50	-31.82	0.00	933.28		
CIM	0	1,000	--	16.7800	646.00	82.02	-73.35	0.00	654.67		
DX	0	2,000	--	7.0200	526.00	62.19	-84.42	0.00	503.77		
FB	0	200	--	123.9400	914.00	281.02	-24.52	0.00	1,170.50		
GOOG	0	30	--	768.7900	1,050.30	77.80	-21.28	0.00	1,106.82		
MSFT	0	400	--	56.6800	811.00	-12.89	-29.07	0.00	769.04		
NLY	0	1,400	--	10.9800	432.00	43.61	-62.34	0.00	413.27		
SWKS	0	600	--	66.0200	-1,232.00	69.00	-44.38	0.00	-1,207.38		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L					Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total	
TEVA	0	350	--	53.5000	-233.00	-153.38	-37.90	0.00	-424.28	
Total					6,865.55	658.83	-491.06	0.00	7,033.32	
Forex										
USD	80,000.00	-173,100.92	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					6,865.55	658.83	-491.06	0.00	7,033.32	

Realized & Unrealized Performance Summary

Symbol		Cost Adj.	Realized					Unrealized					Total	Code
			S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks														
AAPL	0.00	0.00	0.00	0.00	0.00	0.00	1,087.23	0.00	0.00	0.00	1,087.23	1,087.23		
AGN	0.00	0.00	0.00	0.00	0.00	0.00	2,026.40	0.00	0.00	0.00	2,026.40	2,026.40		
AMZN	0.00	0.00	0.00	0.00	0.00	0.00	933.28	0.00	0.00	0.00	933.28	933.28		
CIM	0.00	0.00	0.00	0.00	0.00	0.00	654.67	0.00	0.00	0.00	654.67	654.67		
DX	0.00	0.00	0.00	0.00	0.00	0.00	503.77	0.00	0.00	0.00	503.77	503.77		
FB	0.00	0.00	0.00	0.00	0.00	0.00	1,170.50	0.00	0.00	0.00	1,170.50	1,170.50		
GOOG	0.00	0.00	0.00	0.00	0.00	0.00	1,106.82	0.00	0.00	0.00	1,106.82	1,106.82		
MSFT	0.00	0.00	0.00	0.00	0.00	0.00	769.04	0.00	0.00	0.00	769.04	769.04		
NLY	0.00	0.00	0.00	0.00	0.00	0.00	413.27	0.00	0.00	0.00	413.27	413.27		
SWKS	0.00	0.00	0.00	0.00	0.00	0.00	80.33	-1,287.71	0.00	0.00	-1,207.38	-1,207.38		
TEVA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-424.28	0.00	0.00	-424.28	-424.28		
Total	0.00	0.00	0.00	0.00	0.00	0.00	8,745.31	-1,711.99	0.00	0.00	7,033.32	7,033.32		
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	8,745.31	-1,711.99	0.00	0.00	7,033.32	7,033.32		

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	80,000.00	80,000.00	0.00
Commissions	-491.06	-491.06	0.00
Deposits	29,175.00	29,175.00	0.00
Withdrawals	-2,202.64	-2,202.64	0.00
Net Trades (Purchase)	-279,582.22	-279,582.22	0.00
Ending Cash	-173,100.92	-173,100.92	0.00
Ending Settled Cash	-173,100.92	-173,100.92	0.00

Open Positions

Symbol	Open	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks									

Open Positions

USD

AAPL	-	250	1	99.8610641	24,965.27	104.2100	26,052.50	1,087.23
AAPL	2016-07-25, 10:44:02	25		98.4271103	2,460.68	104.2100	2,605.25	144.57
AAPL	2016-07-21, 13:34:40	25		100.0871103	2,502.18	104.2100	2,605.25	103.07
AAPL	2016-07-18, 10:41:47	100		100.0615026	10,006.15	104.2100	10,421.00	414.85
AAPL	2016-07-18, 10:41:47	100		99.9626026	9,996.26	104.2100	10,421.00	424.74
AGN	-	220	1	243.7390683	53,622.60	252.9500	55,649.00	2,026.40
AGN	2016-07-18, 10:45:59	20		244.3962128	4,887.92	252.9500	5,059.00	171.08
AGN	2016-07-18, 10:37:49	80		243.6884782	19,495.08	252.9500	20,236.00	740.92
AGN	2016-07-18, 10:24:35	20		244.2166128	4,884.33	252.9500	5,059.00	174.67
AGN	2016-07-18, 10:19:54	100		243.5526026	24,355.26	252.9500	25,295.00	939.74
AMZN	-	40	1	735.4780068	29,419.12	758.8100	30,352.40	933.28
AMZN	2016-07-18, 10:46:38	5		737.2702514	3,686.35	758.8100	3,794.05	107.70
AMZN	2016-07-18, 10:43:58	10		736.7941257	7,367.94	758.8100	7,588.10	220.16
AMZN	2016-07-18, 10:21:00	25		734.5931103	18,364.83	758.8100	18,970.25	605.42
CIM	-	1,000	1	16.1253326	16,125.33	16.7800	16,780.00	654.67
CIM	2016-07-21, 13:34:12	100		16.3831026	1,638.31	16.7800	1,678.00	39.69
CIM	2016-07-21, 12:59:14	100		16.3922026	1,639.22	16.7800	1,678.00	38.78
CIM	2016-07-18, 10:37:10	100		16.1223026	1,612.23	16.7800	1,678.00	65.77
CIM	2016-07-18, 10:24:08	100		16.1215026	1,612.15	16.7800	1,678.00	65.85
CIM	2016-07-18, 10:24:08	100		16.0264526	1,602.65	16.7800	1,678.00	75.35
CIM	2016-07-18, 10:23:42	100		16.1218026	1,612.18	16.7800	1,678.00	65.82
CIM	2016-07-18, 10:23:42	100		16.0215026	1,602.15	16.7800	1,678.00	75.85
CIM	2016-07-18, 10:23:42	200		16.0215026	3,204.30	16.7800	3,356.00	151.70
CIM	2016-07-18, 10:23:42	100		16.0214526	1,602.15	16.7800	1,678.00	75.85
DX	-	2,000	1	6.7681173	13,536.23	7.0200	14,040.00	503.77
DX	2016-07-25, 10:30:28	200		6.8504015	1,370.08	7.0200	1,404.00	33.92
DX	2016-07-25, 10:30:28	100		6.8007015	680.07	7.0200	702.00	21.93
DX	2016-07-21, 12:59:42	100		6.8931026	689.31	7.0200	702.00	12.69
DX	2016-07-21, 12:59:42	100		6.7892004	678.92	7.0200	702.00	23.08
DX	2016-07-18, 10:32:28	400		6.7569026	2,702.76	7.0200	2,808.00	105.24
DX	2016-07-18, 10:32:28	100		6.7319026	673.19	7.0200	702.00	28.81
DX	2016-07-18, 10:32:07	1,000		6.7419026	6,741.90	7.0200	7,020.00	278.10
FB	-	200	1	118.0875026	23,617.50	123.9400	24,788.00	1,170.50
FB	2016-07-18, 10:36:15	100		118.1525026	11,815.25	123.9400	12,394.00	578.75
FB	2016-07-18, 10:29:46	100		118.0225026	11,802.25	123.9400	12,394.00	591.75
GOOG	-	30	1	731.8961505	21,956.88	768.7900	23,063.70	1,106.82
GOOG	2016-07-18, 10:36:44	10		732.0152257	7,320.15	768.7900	7,687.90	367.75
GOOG	2016-07-18, 10:35:04	20		731.8366128	14,636.73	768.7900	15,375.80	739.07
MSFT	-	400	1	54.7574026	21,902.96	56.6800	22,672.00	769.04
MSFT	2016-07-25, 10:19:31	60		56.6012043	3,396.07	56.6800	3,400.80	4.73
MSFT	2016-07-25, 10:19:31	40		56.4287	2,257.15	56.6800	2,267.20	10.05
MSFT	2016-07-18, 10:31:13	89		54.244995	4,827.80	56.6800	5,044.52	216.72
MSFT	2016-07-18, 10:31:13	100		54.1311173	5,413.11	56.6800	5,668.00	254.89
MSFT	2016-07-18, 10:31:13	11		54.1322025	595.45	56.6800	623.48	28.03
MSFT	2016-07-18, 10:31:13	100		54.1337026	5,413.37	56.6800	5,668.00	254.63
NLY	-	1,400	1	10.6848049	14,958.73	10.9800	15,372.00	413.27

Open Positions

NLY	2016-07-21, 13:00:12	200		10.8372015	2,167.44	10.9800	2,196.00	28.56	
NLY	2016-07-18, 10:35:46	100		10.7422026	1,074.22	10.9800	1,098.00	23.78	
NLY	2016-07-18, 10:35:46	100		10.6387026	1,063.87	10.9800	1,098.00	34.13	
NLY	2016-07-18, 10:22:09	200		10.6922026	2,138.44	10.9800	2,196.00	57.56	
NLY	2016-07-18, 10:22:09	90		10.6431026	957.88	10.9800	988.20	30.32	
NLY	2016-07-18, 10:22:09	210		10.6422026	2,234.86	10.9800	2,305.80	70.94	
NLY	2016-07-18, 10:22:09	100		10.6431026	1,064.31	10.9800	1,098.00	33.69	
NLY	2016-07-18, 10:22:09	100		10.6464526	1,064.65	10.9800	1,098.00	33.35	
NLY	2016-07-18, 10:22:09	10		10.6464526	106.46	10.9800	109.80	3.34	
NLY	2016-07-18, 10:22:09	90		10.6422026	957.80	10.9800	988.20	30.40	
NLY	2016-07-18, 10:22:09	100		10.6415026	1,064.15	10.9800	1,098.00	33.85	
NLY	2016-07-18, 10:22:09	100		10.6464526	1,064.65	10.9800	1,098.00	33.35	
SWKS	-	600	1	68.0323026	40,819.38	66.0200	39,612.00	-1,207.38	
SWKS	2016-07-25, 10:21:17	100		65.2167026	6,521.67	66.0200	6,602.00	80.33	
SWKS	2016-07-18, 10:32:51	400		68.5726026	27,429.04	66.0200	26,408.00	-1,021.04	
SWKS	2016-07-18, 10:25:49	100		68.6867026	6,868.67	66.0200	6,602.00	-266.67	
TEVA	-	350	1	54.7122169	19,149.28	53.5000	18,725.00	-424.28	
TEVA	2016-07-25, 10:25:41	100		54.5025026	5,450.25	53.5000	5,350.00	-100.25	
TEVA	2016-07-18, 10:31:28	100		54.8815026	5,488.15	53.5000	5,350.00	-138.15	
TEVA	2016-07-18, 10:28:16	50		54.8766051	2,743.83	53.5000	2,675.00	-68.83	
TEVA	2016-07-18, 10:28:16	100		54.6704513	5,467.05	53.5000	5,350.00	-117.05	
Total					280,073.28		287,106.60	7,033.32	

Trades

Symbol	Date/Time	Exchange	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks											
USD											
AAPL	2016-07-18, 10:41:47	-	200	99.93945	99.8300	-19,987.89	-14.52	20,002.41	0.00	-21.89	O;P
AAPL	2016-07-18, 10:41:47	DARK	100	99.9389	99.8300	-9,993.89	-12.26	10,006.15	0.00	-10.89	O;P
AAPL	2016-07-18, 10:41:47	DARK	100	99.9400	99.8300	-9,994.00	-2.26	9,996.26	0.00	-11.00	O;P
AAPL	2016-07-21, 13:34:40	-	25	99.6500	99.4300	-2,491.25	-10.93	2,502.18	0.00	-5.50	O
AAPL	2016-07-21, 13:34:40	DRCTEDGE	25	99.6500	99.4300	-2,491.25	-10.93	2,502.18	0.00	-5.50	O
AAPL	2016-07-25, 10:44:02	-	25	97.9900	97.3400	-2,449.75	-10.93	2,460.68	0.00	-16.25	O
AAPL	2016-07-25, 10:44:02	DRCTEDGE	25	97.9900	97.3400	-2,449.75	-10.93	2,460.68	0.00	-16.25	O
Total AAPL			250			-24,928.89	-36.38	24,965.27	0.00	-43.64	
AGN	2016-07-18, 10:19:54	-	100	243.4300	244.4300	-24,343.00	-12.26	24,355.26	0.00	100.00	O
AGN	2016-07-18, 10:19:54	IBKRATS	100	243.4300	244.4300	-24,343.00	-12.26	24,355.26	0.00	100.00	O
AGN	2016-07-18, 10:24:35	-	20	243.6800	244.4300	-4,873.60	-10.73	4,884.33	0.00	15.00	O
AGN	2016-07-18, 10:24:35	IBKRATS	20	243.6800	244.4300	-4,873.60	-10.73	4,884.33	0.00	15.00	O
AGN	2016-07-18, 10:37:49	-	80	243.5400	244.4300	-19,483.20	-11.88	19,495.08	0.00	71.20	O
AGN	2016-07-18, 10:37:49	IBKRATS	80	243.5400	244.4300	-19,483.20	-11.88	19,495.08	0.00	71.20	O
AGN	2016-07-18, 10:45:59	-	20	243.8600	244.4300	-4,877.20	-10.72	4,887.92	0.00	11.40	O
AGN	2016-07-18, 10:45:59	BYX	20	243.8600	244.4300	-4,877.20	-10.72	4,887.92	0.00	11.40	O
Total AGN			220			-53,577.00	-45.60	53,622.60	0.00	197.60	
AMZN	2016-07-18, 10:21:00	-	25	734.1600	736.0700	-18,354.00	-10.83	18,364.83	0.00	47.75	O

Trades											
AMZN	2016-07-18, 10:21:00	IBKRATS	25	734.1600	736.0700	-18,354.00	-10.83	18,364.83	0.00	47.75	O
AMZN	2016-07-18, 10:43:58	-	10	735.7400	736.0700	-7,357.40	-10.54	7,367.94	0.00	3.30	O
AMZN	2016-07-18, 10:43:58	IBKRATS	10	735.7400	736.0700	-7,357.40	-10.54	7,367.94	0.00	3.30	O
AMZN	2016-07-18, 10:46:38	-	5	735.1800	736.0700	-3,675.90	-10.45	3,686.35	0.00	4.45	O
AMZN	2016-07-18, 10:46:38	DARK	5	735.1800	736.0700	-3,675.90	-10.45	3,686.35	0.00	4.45	O
Total AMZN			40			-29,387.30	-31.82	29,419.12	0.00	55.50	
CIM	2016-07-18, 10:23:42	-	500	15.99818	16.1000	-7,999.09	-21.69	8,020.78	0.00	50.91	O;P
CIM	2016-07-18, 10:23:42	DARK	100	15.9992	16.1000	-1,599.92	-12.26	1,612.18	0.00	10.08	O;P
CIM	2016-07-18, 10:23:42	DARK	100	15.9989	16.1000	-1,599.89	-2.26	1,602.15	0.00	10.11	O;P
CIM	2016-07-18, 10:23:42	DARK	200	15.9989	16.1000	-3,199.78	-4.52	3,204.30	0.00	20.22	O;P
CIM	2016-07-18, 10:23:42	NYSE	100	15.9950	16.1000	-1,599.50	-2.65	1,602.15	0.00	10.50	O;P
CIM	2016-07-18, 10:24:08	-	200	15.99945	16.1000	-3,199.89	-14.91	3,214.80	0.00	20.11	O;P
CIM	2016-07-18, 10:24:08	DARK	100	15.9989	16.1000	-1,599.89	-12.26	1,612.15	0.00	10.11	O;P
CIM	2016-07-18, 10:24:08	NYSE	100	16.0000	16.1000	-1,600.00	-2.65	1,602.65	0.00	10.00	O;P
CIM	2016-07-18, 10:37:10	-	100	16.0000	16.1000	-1,600.00	-12.23	1,612.23	0.00	10.00	O
CIM	2016-07-18, 10:37:10	IBKRATS	100	16.0000	16.1000	-1,600.00	-12.23	1,612.23	0.00	10.00	O
CIM	2016-07-21, 12:59:14	-	100	16.2700	16.2700	-1,627.00	-12.22	1,639.22	0.00	0.00	O
CIM	2016-07-21, 12:59:14	BYX	100	16.2700	16.2700	-1,627.00	-12.22	1,639.22	0.00	0.00	O
CIM	2016-07-21, 13:34:12	-	100	16.2600	16.2700	-1,626.00	-12.31	1,638.31	0.00	1.00	O
CIM	2016-07-21, 13:34:12	BEX	100	16.2600	16.2700	-1,626.00	-12.31	1,638.31	0.00	1.00	O
Total CIM			1,000			-16,051.98	-73.35	16,125.33	0.00	82.02	
DX	2016-07-18, 10:32:07	-	1,000	6.7093	6.7500	-6,709.30	-32.60	6,741.90	0.00	40.70	O
DX	2016-07-18, 10:32:07	IBKRATS	1,000	6.7093	6.7500	-6,709.30	-32.60	6,741.90	0.00	40.70	O
DX	2016-07-18, 10:32:28	-	500	6.7093	6.7500	-3,354.65	-21.30	3,375.95	0.00	20.35	O;P
DX	2016-07-18, 10:32:28	IBKRATS	400	6.7093	6.7500	-2,683.72	-19.04	2,702.76	0.00	16.28	O;P
DX	2016-07-18, 10:32:28	IBKRATS	100	6.7093	6.7500	-670.93	-2.26	673.19	0.00	4.07	O;P
DX	2016-07-21, 12:59:42	-	200	6.7700	6.7600	-1,354.00	-14.23	1,368.23	0.00	-2.00	O;P
DX	2016-07-21, 12:59:42	BEX	100	6.7700	6.7600	-677.00	-12.31	689.31	0.00	-1.00	O;P
DX	2016-07-21, 12:59:42	BYX	100	6.7700	6.7600	-677.00	-1.92	678.92	0.00	-1.00	O;P
DX	2016-07-25, 10:30:28	-	300	6.7795333	6.7900	-2,033.86	-16.29	2,050.15	0.00	3.14	O;P
DX	2016-07-25, 10:30:28	IBKRATS	200	6.7793	6.7900	-1,355.86	-14.22	1,370.08	0.00	2.14	O;P
DX	2016-07-25, 10:30:28	BYX	100	6.7800	6.7900	-678.00	-2.07	680.07	0.00	1.00	O;P
Total DX			2,000			-13,451.81	-84.42	13,536.23	0.00	62.19	
FB	2016-07-18, 10:29:46	-	100	117.8999	119.3700	-11,789.99	-12.26	11,802.25	0.00	147.01	O
FB	2016-07-18, 10:29:46	IBKRATS	100	117.8999	119.3700	-11,789.99	-12.26	11,802.25	0.00	147.01	O
FB	2016-07-18, 10:36:15	-	100	118.0299	119.3700	-11,802.99	-12.26	11,815.25	0.00	134.01	O
FB	2016-07-18, 10:36:15	IBKRATS	100	118.0299	119.3700	-11,802.99	-12.26	11,815.25	0.00	134.01	O
Total FB			200			-23,592.98	-24.52	23,617.50	0.00	281.02	
GOOG	2016-07-18, 10:35:04	-	20	731.3000	733.7800	-14,626.00	-10.73	14,636.73	0.00	49.60	O
GOOG	2016-07-18, 10:35:04	DARK	20	731.3000	733.7800	-14,626.00	-10.73	14,636.73	0.00	49.60	O
GOOG	2016-07-18, 10:36:44	-	10	730.9600	733.7800	-7,309.60	-10.55	7,320.15	0.00	28.20	O
GOOG	2016-07-18, 10:36:44	DARK	10	730.9600	733.7800	-7,309.60	-10.55	7,320.15	0.00	28.20	O
Total GOOG			30			-21,935.60	-21.28	21,956.88	0.00	77.80	
MSFT	2016-07-18, 10:31:13	-	300	54.1096333	53.9600	-16,232.89	-16.85	16,249.74	0.00	-44.89	O;P
MSFT	2016-07-18, 10:31:13	BYX	89	54.1100	53.9600	-4,815.79	-12.01	4,827.80	0.00	-13.35	O;P
MSFT	2016-07-18, 10:31:13	DARK	100	54.1089	53.9600	-5,410.89	-2.22	5,413.11	0.00	-14.89	O;P
MSFT	2016-07-18, 10:31:13	BYX	11	54.1100	53.9600	-595.21	-0.24	595.45	0.00	-1.65	O;P

Trades

MSFT	2016-07-18, 10:31:13	DARK	100	54.1100	53.9600	-5,411.00	-2.37	5,413.37	0.00	-15.00	O;P
MSFT	2016-07-25, 10:19:31	-	100	56.4100	56.7300	-5,641.00	-12.22	5,653.22	0.00	32.00	O;P
MSFT	2016-07-25, 10:19:31	BYX	60	56.4100	56.7300	-3,384.60	-11.47	3,396.07	0.00	19.20	O;P
MSFT	2016-07-25, 10:19:31	BYX	40	56.4100	56.7300	-2,256.40	-0.75	2,257.15	0.00	12.80	O;P
Total MSFT			400			-21,873.89	-29.07	21,902.96	0.00	-12.89	
NLY	2016-07-18, 10:22:09	-	1,000	10.61989	10.6500	-10,619.89	-33.31	10,653.20	0.00	30.11	O;P
NLY	2016-07-18, 10:22:09	BYX	200	10.6200	10.6500	-2,124.00	-14.44	2,138.44	0.00	6.00	O;P
NLY	2016-07-18, 10:22:09	BEX	90	10.6200	10.6500	-955.80	-2.08	957.88	0.00	2.70	O;P
NLY	2016-07-18, 10:22:09	BYX	210	10.6200	10.6500	-2,230.20	-4.66	2,234.86	0.00	6.30	O;P
NLY	2016-07-18, 10:22:09	BEX	100	10.6200	10.6500	-1,062.00	-2.31	1,064.31	0.00	3.00	O;P
NLY	2016-07-18, 10:22:09	NYSE	100	10.6200	10.6500	-1,062.00	-2.65	1,064.65	0.00	3.00	O;P
NLY	2016-07-18, 10:22:09	NYSE	10	10.6200	10.6500	-106.20	-0.26	106.46	0.00	0.30	O;P
NLY	2016-07-18, 10:22:09	BYX	90	10.6200	10.6500	-955.80	-2.00	957.80	0.00	2.70	O;P
NLY	2016-07-18, 10:22:09	DARK	100	10.6189	10.6500	-1,061.89	-2.26	1,064.15	0.00	3.11	O;P
NLY	2016-07-18, 10:22:09	NYSE	100	10.6200	10.6500	-1,062.00	-2.65	1,064.65	0.00	3.00	O;P
NLY	2016-07-18, 10:35:46	-	200	10.6175	10.6500	-2,123.50	-14.59	2,138.09	0.00	6.50	O;P
NLY	2016-07-18, 10:35:46	BYX	100	10.6200	10.6500	-1,062.00	-12.22	1,074.22	0.00	3.00	O;P
NLY	2016-07-18, 10:35:46	BYX	100	10.6150	10.6500	-1,061.50	-2.37	1,063.87	0.00	3.50	O;P
NLY	2016-07-21, 13:00:12	-	200	10.7650	10.8000	-2,153.00	-14.44	2,167.44	0.00	7.00	O
NLY	2016-07-21, 13:00:12	BYX	200	10.7650	10.8000	-2,153.00	-14.44	2,167.44	0.00	7.00	O
Total NLY			1,400			-14,896.39	-62.34	14,958.73	0.00	43.61	
SWKS	2016-07-18, 10:25:49	-	100	68.5600	68.7000	-6,856.00	-12.67	6,868.67	0.00	14.00	O
SWKS	2016-07-18, 10:25:49	ISLAND	100	68.5600	68.7000	-6,856.00	-12.67	6,868.67	0.00	14.00	O
SWKS	2016-07-18, 10:32:51	-	400	68.5250	68.7000	-27,410.00	-19.04	27,429.04	0.00	70.00	O
SWKS	2016-07-18, 10:32:51	IBKRATS	400	68.5250	68.7000	-27,410.00	-19.04	27,429.04	0.00	70.00	O
SWKS	2016-07-25, 10:21:17	-	100	65.0900	64.9400	-6,509.00	-12.67	6,521.67	0.00	-15.00	O
SWKS	2016-07-25, 10:21:17	ISLAND	100	65.0900	64.9400	-6,509.00	-12.67	6,521.67	0.00	-15.00	O
Total SWKS			600			-40,775.00	-44.38	40,819.38	0.00	69.00	
TEVA	2016-07-18, 10:28:16	-	150	54.6500	54.1000	-8,197.50	-13.38	8,210.88	0.00	-82.50	O;P
TEVA	2016-07-18, 10:28:16	BEX	50	54.6500	54.1000	-2,732.50	-11.33	2,743.83	0.00	-27.50	O;P
TEVA	2016-07-18, 10:28:16	BYX	100	54.6500	54.1000	-5,465.00	-2.05	5,467.05	0.00	-55.00	O;P
TEVA	2016-07-18, 10:31:28	-	100	54.7589	54.1000	-5,475.89	-12.26	5,488.15	0.00	-65.89	O
TEVA	2016-07-18, 10:31:28	DARK	100	54.7589	54.1000	-5,475.89	-12.26	5,488.15	0.00	-65.89	O
TEVA	2016-07-25, 10:25:41	-	100	54.3799	54.3300	-5,437.99	-12.26	5,450.25	0.00	-4.99	O
TEVA	2016-07-25, 10:25:41	IBKRATS	100	54.3799	54.3300	-5,437.99	-12.26	5,450.25	0.00	-4.99	O
Total TEVA			350			-19,111.38	-37.90	19,149.28	0.00	-153.38	
Total						-279,582.22	-491.06	280,073.28	0.00	658.83	

Interest Accruals

Base Currency Summary

Starting Accrual Balance	0.00
Interest Accrued	-90.05
Accrual Reversal	0.00
Ending Accrual Balance	-90.05

Deposits & Withdrawals

Date	Description	Amount
USD		
2016-07-08	Disbursement Initiated by Rory Williams	-2,202.64
2016-07-11	Electronic Fund Transfer	29,175.00
Total		26,972.36

Contract Information

Symbol	Description	Conid	Security ID	Multiplier	Code
Stocks					
AAPL	APPLE INC	265598		1	
AGN	ALLERGAN PLC	196610642	IE00BY9D5467	1	
AMZN	AMAZON.COM INC	3691937		1	
CIM	CHIMERA INVESTMENT CORP	187296614		1	
DX	DYNEX CAPITAL INC	2746182		1	
FB	FACEBOOK INC-A	107113386		1	
GOOG	ALPHABET INC-CL C	208813720		1	
MSFT	MICROSOFT CORP	272093		1	
NLY	ANNALY CAPITAL MANAGEMENT IN	6278576		1	
SWKS	SKYWORKS SOLUTIONS INC	4726021		1	
TEVA	TEVA PHARMACEUTICAL-SP ADR	275085		1	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
Adj	Adjustment	Lo	Direct Loan
Al	Allocation	M	Entered manually by IB
Aw	Away Trade	MEx	Manual exercise for dividend-related recommendation.
B	Automatic Buy-in	ML	Maximize Losses tax basis election
Bo	Direct Borrow	MLG	Maximize Long Term Gain tax basis election
C	Closing Trade	MLL	Maximize Long Term Loss tax basis election
CD	Cash Delivery	MSG	Maximize Short Term Gain tax basis election
CP	Complex Position	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
HC	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursement

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	This transaction was executed against an IB affiliate	SO	This order was marked as solicited by your Introducing Broker
INV	Investment Transfer from Investor	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
L	Ordered by IB (Margin Violation)	ST	Short Term P/L
LD	Adjusted by Loss Disallowed from Wash Sale	T	Transfer

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus three business days. Options, futures, and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods. For instance, stock transactions on XETRA settle on trade date plus two business days.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to GWM Ltd., using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

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GWM Ltd., , 9176738589
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- Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
- All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
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Notes/Legal Notes

7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
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9. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with GWM Ltd..
10. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
11. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
12. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
13. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection.

SIPC Member