

Research Statement: Tejas Gokhale

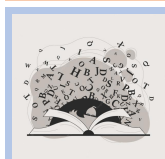
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My mission is to research and develop robust and reliable AI systems by leveraging the complex interactions between vision and language. My work is in “semantic vision” – i.e. the wonderful intersection of machine learning, computer vision, and natural language processing. My research has two central goals: (a) to *design algorithms that improve robustness*, interpretability, and reliability of AI systems, powered by semantic data engineering, and (b) to develop benchmarks and evaluation protocols to *discover, quantify, and mitigate failure modes* of models. This mission is directly aligned with the clarion call for safe and robust AI systems from government agencies (DARPA¹, White House OSTP²), and academia (ACL³, AAAI⁴)



Investigate Failure Modes

- New types of distribution shift
- Test-time perturbations and attacks
- Grounded Reasoning Abilities



Leverage Complex Relations between Vision and Language

- Utilize semantic and linguistic variations of image descriptions for robust optimization
- Build benchmarks using semantic knowledge



Discover Transformations for Boosting Robustness

- Use information from models to discover optimal data augmentation functions.
- Data engineering cannot be static –develop model-in-the-loop augmentations

In the past decade, we have witnessed a paradigm shift in computer vision – the connection between vision and language (V+L) is now an integral part of AI, with deep impact on NLP, vision, robotics, graphics, and direct industrial implications for software, arts, media, and journalism. However, recent studies in ML have revealed alarming failures due to distribution shift, dataset biases, threats of adversarial attacks; fairness concerns and undesirable societal implications have also emerged. As V+L models become widely adopted, new types of challenges and failure modes will emerge (as I have shown through my research). This means that we will need to simultaneously (1) discover failure by rigorous testing and benchmarking and (2) develop methods to improve performance and explore exciting new functionalities and capabilities. My aim therefore, is to be at the forefront of enhancing the

reliability, usability, and functionality of these high-impact systems.

My work has addressed robustness and generalization of image classification [AAAI'21, ACL'22, WACV'23], visual question answering [ECCV'20, EMNLP'20, ACL'21, ICCV'21, EMNLP'22], vision-language alignment [ACL'22], captioning [EMNLP'20], and natural language understanding [NAACL'21, ACL'22, AAAI'22]. These publications have served as foundations of successful grant proposals that I helped write; (for eg. a funded NSF Robust Intelligence grant⁵). I am part of several collaborative projects with ASU, Lawrence Livermore National Laboratory, Microsoft Research, Carnegie Mellon, and Adobe Research.

Selected work is described below. Section (A) describes work in multimodal (V+L) understanding, while Section (B) addresses image classification. The underlying common theme is the combination of active design and discovery of data transformations and adversarial training algorithms for improving robustness.

(A) Robust Multimodal (Vision+Language) Perception

► Robustness to Logical Transformations in Visual Question Answering [ECCV'20] [1]

Multi-modal tasks involving both vision and language (V&L) inputs, such as visual question answering (VQA), open up intriguing domain discrepancies that can affect model performance of test time. For the VQA task, models are trained to predict the answers to questions about images. My first paper, VQA-LOL [1], discovered that existing VQA models fail when logical transformations such as negation, conjunction, and disjunction are introduced in the questions. I built on this surprising finding to develop a data augmentation tool that produces logical combinations of multiple questions in the source dataset. I also designed a logic-

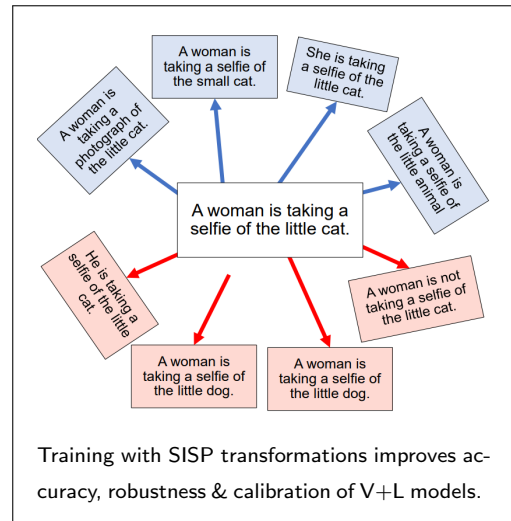
Image	Question	Predicted Answer	Accuracy
	Q_1 : Is there beer?	YES (0.96)	SOTA 88.20
	Q_2 : Is the man wearing shoes?	NO (0.90)	
	$\neg Q_2$: Is the man not wearing shoes?	NO (0.80)	VQA 50.69
	$\neg Q_2 \wedge Q_1$: Is the man not wearing shoes and is there beer?	NO (0.62)	
	$Q_1 \wedge C$: Is there beer and does this seem like a man bending over to look inside of a fridge?	NO (1.00)	VQA-Compos 50.61
	$\neg Q_2 \vee B$: Is the man not wearing shoes or is there a clock?	NO (1.00)	
	$Q_1 \wedge B$: Is there beer and is there a wine glass?	YES (0.84)	VQA-Supplement 87.80
			LOL 86.55

VQA-LOL revealed that SOTA VQA models answer questions from the VQA dataset (Q_1, Q_2) correctly, but fail to answer logical compositions including negation, conjunction, disjunction.

inspired training objective that is based on Frechet inequalities to guide the predicted probabilities of answers to questions with logical connectives. VQA-LOL led to a series of papers [2, 3, 4] that adopted linguistic and semantic transformations; it also features as part of a compendium of datasets for testing VQA robustness [5]. With collaborators, I have also built weakly-supervised VQA models that learn with limited or synthetic data [6, 7], and video QA benchmarks for reasoning about implicit physical properties of objects [8].

► Semantically Distributed Robust Optimization Improves Vision–Language Inference [ACL'22] [3]

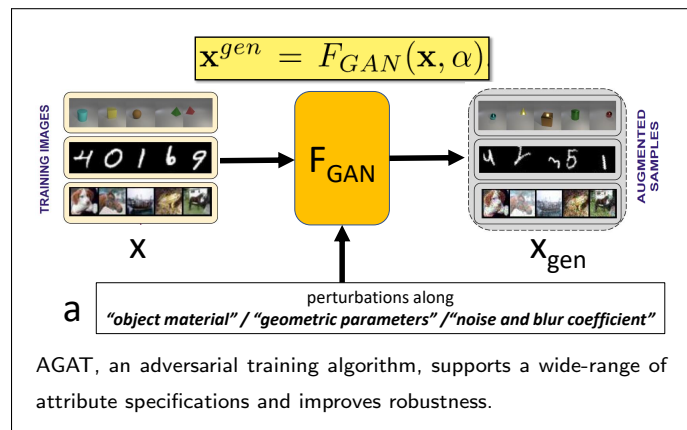
I identified that knowledge of linguistic transformations can inform the design of algorithms for improving performance on V+L tasks. A carefully designed set of probing experiments led me to develop the “SISP transformation” suite – a controlled method to semantically manipulate text to generate augmented data that is semantics-inverting (SI) or semantics-preserving (SP). I showed that these SISP transformations can be leveraged to train robust models by developing a new algorithm called *Semantically Distributed Robust Optimization (SDRO)*. The combination of SISP (data engineering) and SDRO (robust optimization) led to improvements on image-based reasoning, video-based reasoning, and visual question answering, along several dimensions of robustness – in-domain and out-of-domain accuracy, adversarial robustness, and calibration. Our method also improved performance on my previous VQA-LOL benchmark [1].



(B) Robust Image Classification and Domain Generalization

► Robustness under Attribute Shift [AAAI'21] [9]

Previous work on robust image classification focuses on pixel-level adversarial attacks. However, in real world scenarios, test examples can vary along known attributes such as size, shape, colors, and geometric transformation. Unfortunately, these cannot be covered by methods that utilize norm-bounded and additive pixel-level perturbations. We consider a setting where information about the target domain is available only in terms of a set of attributes that are known to differ at test time – there is no access to a target validation set, or knowledge about the magnitudes and combinations of attributes at test time. As such, standard data augmentation and pixel-level adversarial training is ineffective. I developed a new form of adversarial training: *Attribute-Guided Adversarial Training (AGAT)* that parameterizes the input space in terms of attributes, and adversarially perturbs image attributes to maximize exposure of the classifier to previously unobserved variations. AGAT supports a wide-range of attribute specifications, which we demonstrate with large gains in three different use-cases: (1) object-level attribute-shift (2) geometric transformations (3) common natural corruptions.



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► **Improving Diversity with Adversarially Learned Transformations [WACV'23] [10]** *Single source domain generalization (SSDG)* is a challenging setting, where the model has access only to a single training domain (eg. real photos), and is expected to generalize to multiple testing domains with domain shift (eg. sketches and cartoons). Unlike the setting for AGAT, there is no access to attributes or external knowledge about the nature or magnitude of domain shift. Success of SSDG depends on maximizing diversity of training data; this naturally implies that data augmentation is one of the main sources of diversity! But what augmentation method should we choose? We found that pre-specified augmentations [11, 12] cannot model large domain shift in SSDG effectively. This led to our novel framework that discovers adversarially learned transformations (ALT), by perturbing the parameter space of an “adversity” network to model plausible yet hard image transformations.

ALT offers a synergy between diversity and adversity, exposing the model to increasingly unique, challenging, and semantically diverse examples – ideally suited for SSDG. ALT's ability of improving the training diversity resulted in performance gains over all existing techniques, including standard data augmentation and pixelwise adversarial training, on multiple domain generalization benchmarks.

► Future Research Agenda

Over the last decade, the AI community has barely scratched the surface when it comes to the leveraging the complex interactions between the visual world and the meaning humans assign to it via language. V+L research is like an iceberg – we have only scratched the surface; there are even more facets to robust V+L submerged under the water, waiting to be discovered. My future research agenda will run two parallel programs: (1) developing systems that combine explicit knowledge and data-driven learning to perform complex reasoning and interact with humans, and (2) through these interactions improving the reliability, transparency, and robustness of machine learning models. Target funding sources will include: NSF's Robust Intelligence (RI) and Human-Centered Computing (HCC) and SaTC, relevant DARPA programs such as ECOLE, IARPA's BETTER, HIATUS, TrojAI, and relevant future programs announced by DoE, NIH, ONR, etc.

Towards Reliable Visual Reasoning. The link between vision and language is much more complex than image-text similarity. Language is ideally suited for developing reasoning capabilities beyond the visible – physical and spatial reasoning, embodied reasoning, and commonsense reasoning (see my papers [EMNLP'20] [13] for commonsense video captioning, and [CVPRW'21] [14] for reasoning about object co-occurrence). My core research agenda is to develop robust methods for visual reasoning. I have taken concrete steps in this direction:

- Spatial reasoning is a fundamental aspect of computer vision. With collaborators from Microsoft Research, I have developed an evaluation framework called “VISOR” for quantifying the fidelity of text-to-image synthesis models in generating spatial relationships between objects. VISOR reveals the surprising finding that although recent SOTA models like DALL-E exhibit high photorealism, they are ineffective in composing images with two or more distinct objects. We curated a dataset to enable future research in this direction.
- I am investigating how V+L models like CLIP [15] can be used for reasoning about everyday actions and commonsense aspects (eg. people often kick footballs, but rarely kick brick walls). Crucial to this effort is counterfactual text-based image synthesis to reflect situations where objects are manipulated within the image.

Human-Computer Collaborative Reasoning. In the last five years, the nature of work in V+L has evolved from research prototypes to bringing about a paradigm shift in AI. I am convinced that the use of language has immense potential in changing the way we interact with AI and for democratizing and simplifying access to graphics and robotics. While we have begun to develop visual grounding and reasoning frameworks, how can we improve these abilities and embed dialog and cooperation with humans into the reasoning process? I am excited about starting this new research program of “Human-Computer Collaborative Reasoning”, a previously unexplored direction, which will be a joint effort with HCI and Human-Aware AI researchers, to improve visual reasoning capabilities of computer vision models. My previous experience in discovering and mitigating failure models will continue to be a core element of this research topic – human collaboration can be an effective way of avoiding such failures.

Connections between Adversarial and Distributional Robustness. In the long term, I am interested in understanding theoretical connections between adversarial and distributional robustness, especially when multiple modalities and data formats are involved. This will be a particularly challenging effort when we consider models that interact and continually learn and reason with human collaboration – under such situations, notions of distribution shift under static train-test splits will no longer hold. ML theory on distribution shift is limited to single modalities and static train-test splits, but the effect of complex interactions between different modalities remains unexplored. I recently pursued an empirical investigation [ACL'22] [16] which found that data filtering methods with good intentions of removing spurious correlations in training data, can end up hurting adversarial robustness. This finding has been recently corroborated by other researchers [17]. I plan to pursue this direction and expect it to lead to actionable design considerations for building robust V+L models.

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¹<https://www.darpa.mil/work-with-us/ai-next-campaign>

²<https://www.whitehouse.gov/ostp/ai-bill-of-rights/>

³https://2023.aclweb.org/calls/main_conference/#theme-track-reality-check

⁴<https://aaai.org/Conferences/AAAI-23/safeandrobustai/>

⁵https://nsf.gov/awardsearch/showAward?AWD_ID=2132724