

Process Synchronization

(Operating System)



Deepika H V

C-DAC Bengaluru

(deepikahv@cdac.in)

- **Process**

- Independent Process : It cannot affect or be effected by the other processes executing in the system ;Does not share any data with any other processes
- Cooperating Process: It can affect or be affected by the other processes executing in the system.

- **Cooperating Process**

- Share variable, memory, buffer, code, resources

- **Synchronization**

- Several processes access and manipulate the same data **concurrently**
- Outcome of the execution depends on the particular **order** in which the access takes place
- **data consistency** is must in co-operating processes

- **What is Synchronization?**

- Process Synchronization means **sharing system resources by processes** in such a way that, Concurrent access to shared data is handled thereby minimizing the chance of inconsistent data.
- Maintaining **data consistency demands mechanisms** to ensure synchronized execution of cooperating processes.

Producer Consumer Problem

- We can do so by having an integer count that keeps track of the number of full buffers. Initially, count is set to 0.
- It is incremented by the producer after it produces a new buffer and is decremented by the consumer after it consumes a buffer.

Producer()

Consumer()

Producer

```
int count = 0;
Producer() {
while (TRUE)
    produce item();
    if (count == MAX_SIZE) sleep();
    enter item();
    count ++;
    if (count == 1) wakeup(Consumer);
}
```

```
register1 = count
register1 = register1 + 1
count = register1
```

Consumer

```
Consumer() {
while(TRUE)
    if (count == 0) sleep();
    remove item();
    count --;
    if (count == MAX_SIZE - 1) wakeup(Producer);
    consume item();
}
```

- **Race Condition**

- It is an undesirable situation which occurs when 2 computer program processes/threads attempt to access the same resource at the same time and cause problems in the system.
- A race condition can be difficult to reproduce and debug because the end result is nondeterministic and depends on the relative timing between interfering threads.

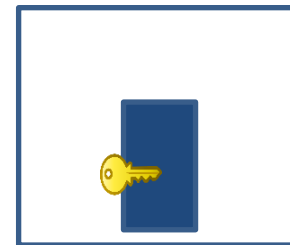
- **Critical Section**

- Critical Section is the part of a program which tries to access shared resources.
- Each process must ask permission to enter critical section in **entry section**, may follow critical section with **exit section**, then **remainder** section

- **The critical section problem is used to design a set of protocols which can ensure that the Race condition among the processes will never arise**
- In order to synchronize the cooperative processes, our main task is to solve the critical section problem. We need to provide a solution in such a way that the following conditions can be satisfied.
 - **Primary conditions :**
 - Mutual Exclusion : one process is executing inside critical section then the other process must not enter in the critical section
 - Progress : one process doesn't need to execute into critical section then it should not stop other processes to get into the critical section.
 - **Secondary conditions :**
 - Bounded Waiting : able to predict the waiting time for every process to get into the critical section.
 - Architectural Neutrality : our solution is working fine on one architecture then it should also run on the other ones as well.

CSP Methods - Mutex

- Simplest is mutex lock
- Product critical regions with it by first `acquire ()` a lock then `release ()` it
 - Boolean variable indicating if lock is available or not
- Calls to `acquire ()` and `release ()` must be atomic
 - Usually implemented via hardware atomic instructions
- But this solution requires busy waiting
 - This lock therefore called a **spinlock**




```
acquire() {  
    while (!available); /* busy wait */  
    available = false;;  
}
```

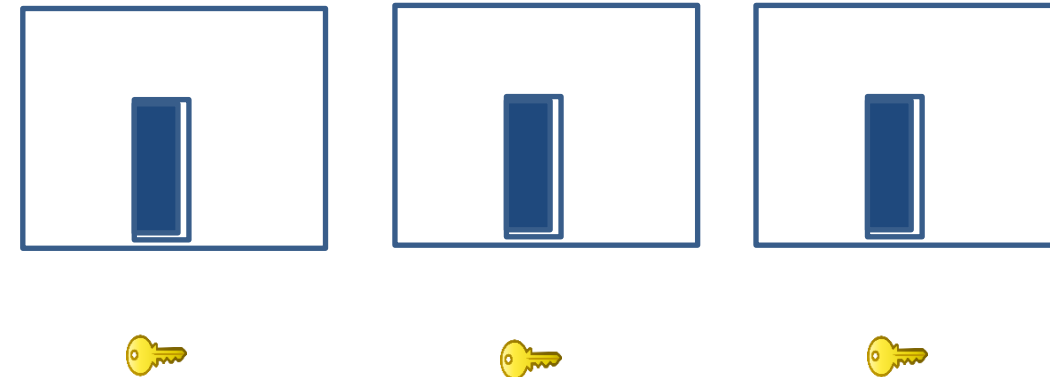
```
do{  
    acquire lock  
    critical section  
    release lock  
    remainder section  
} while (true);
```

```
release() {  
    available = true;  
}
```

CSP Methods - Semaphore

- Integer variable used in a mutual exclusive manner by various cooperating processes in order to achieve synchronization.
- tool that does not require busy waiting
- Semaphores are like integers, except NO negative values
- Accessed only through two standard operations **P()/acquire()/wait()/down()** and **V()/release()/ signal()/up()**.

```
Semaphore s; // initialized to no of instances of the CS
do {
    down(s);
    // Critical Section
    up(s);
    // remainder section
} while (TRUE);
```



- **Two Types**

- Counting Semaphores: value can range over an unrestricted domain; varies from $-\infty$ to $+\infty$. Initialize to number of CS.
- Binary Semaphores: integer value can range only between 0 and 1; also known as mutex locks. Initialize to 1

```
Semaphore s; // initialized to no of instances of the CS
do {
    down(s);
    // Critical Section
    up(s);
    // remainder section
} while (TRUE);
```

```
Down(Semaphore S){
    S.value= S.value -1;
    if(S.value<0){
        put process(PCB) in suspended list sleep();
    }
    else{
        return ;
    }
}
```

```
Up(Semaphore S){
    S.value = S.value +1;
    if(S.value <=0){
        select
        process from sleep list
        wakeup();
    }
}
```

Binary Semaphore

```
Down(Semaphore S){
    if(S.value ==1){
        S.value=0;
    }
    else{
        Block this process and put in suspended list
        sleep() ;
    }
}
```

```
Up(Semaphore S){
    if( suspended list is Empty){
        S.value=1;
    }else{
        select process from sleep list
        wakeup();
    }
}
```

```
Semaphore s; // initialized to 1
do {
    down(s);
    // Critical Section
    up(s);
    // remainder section
} while (TRUE);
```

Producer Consumer problem

DEADLOCK

A situation that occurs with a set of processes in which every process is waiting for an event that can only be caused by another process in the set

Occurs when each process is holding a resource and waits for a resource held by another process

All the related processes cannot proceed

STARVATION

A situation in which a process is perpetually denied necessary resources to process its work

Occurs when a process waits for a resource for a long period of time

Some processes wait for resources but others can proceed

Thank You