

7.2

When we have a recurrence relation that expresses a_n in terms of a_0, a_1, \dots , we want to find a closed formula for a_n .

We can do this using

- 1) Iteration, and
- 2) (in certain cases) linear homogeneous rec. rel with constant coefficients.

We have seen option 1 before:

Ex) Let $a_n = a_{n-1} + 3$ where $a_1 = 2$.
Find a closed formula for $a_n, n \geq 1$

$$\begin{aligned} a_n &= a_{n-1} + 3 = (a_{n-2} + 3) + 3 = a_{n-2} + 2 \cdot 3 \\ &= (a_{n-3} + 3) + 2 \cdot 3 = a_{n-3} + 3 \cdot 3 \\ &\vdots \\ &= a_{n-k} + 3k \end{aligned}$$

For $k = n-1$,
 $a_n = a_1 + 3(n-1)$ where $n \geq 1$
is our closed formula

Ex) Tower of Hanoi

We found $c_n = 2c_{n-1} + 1$ where $c_1 = 1$.

$$\begin{aligned} \text{Then } c_n &= 2c_{n-1} + 1 \\ &= 2(2c_{n-2} + 1) + 1 = 2^2 c_{n-2} + 2^1 + 1 \\ &= 2^2(2c_{n-3} + 1) + 2^1 + 1 = 2^3 c_{n-3} + 2^2 + 2^1 + 1 \\ &\vdots \\ &= 2^k c_{n-k} + 2^{k-1} + \dots + 2^1 + 1 \end{aligned}$$

\Rightarrow for $k = n-1$,

$$\begin{aligned} c_n &= 2^{n-1} c_1 + 2^{n-2} + \dots + 2^1 + 1 \\ &= 2^{n-1} + 2^{n-2} + \dots + 2^1 + 1 = \sum_{k=0}^{n-1} 2^k \\ &= \frac{2^n - 1}{2 - 1} = 2^n - 1 \text{ by geometric sum formula} \end{aligned}$$

Def A linear homogeneous rec. rel. of order k (LHRC) with constant coefficients is a recurrence relation of the form

$$a_n = C_1 a_{n-1} + C_2 a_{n-2} + \dots + C_k a_{n-k} \text{ where } C_k \neq 0.$$

Note: If we know $\{a_{n-1}, a_{n-2}, \dots, a_{n-k}\}$ this defines the sequence

Ex ① Fibonacci numbers $f_n = f_{n-1} + f_{n-2}$ is a linear homog. rec. rel. of order 2.

② The recurrence $S_n = 2S_{n-1}$ is a LHRC of order 1.

(non)Ex ① The recurrence relation $a_n = 3a_{n-1} a_{n-2}$ is not a LHRC since there can be no terms $a_i a_j$ in the recurrence. If a recurrence has such terms, we say it is nonlinear.

② The rec. rel. $a_n = 3n a_{n-1}$ does not have constant coeff. so is not a LHRC

How to solve LHRC?

We will only discuss the solutions for LHRC of order ≤ 2 .

Theorem Let $a_n = C_1 a_{n-1} + C_2 a_{n-2}$ be LHRC where $n \geq 2$
 $a_0 = C_0, a_1 = C_1$.

Let r_1, r_2 be the roots of the equation $t^2 - C_1 t - C_2 = 0$.

Then there exist constants b, d such that

where $a_n = b r_1^n + d r_2^n$ $n \geq 0$,
 $b + d = C_0$ and $b r_1 + d r_2 = C_1$.

EX) Suppose $d_n = 3d_{n-1} - 2d_{n-2}$ $n \geq 2$
where $d_0 = 200, d_1 = 220$.

First we find r_1, r_2 :

\Rightarrow need to solve

$$t^2 - 3t + 2 = 0 \Rightarrow r_1 = 1, r_2 = 2$$

$$\Rightarrow d_n = b \cdot 1^n + c \cdot 2^n = b + c \cdot 2^n$$

$$\Rightarrow d_0 = b + c \cdot 2^0 = 200 \text{ and } d_1 = b + c \cdot 2^1 = 220$$

$$\Rightarrow b = 200 - c$$

$$\Rightarrow c = \frac{220 - b}{2}$$

$$\Rightarrow b = 200 - 20$$
$$= 180$$

$$\Rightarrow 2c = 220 - (200 - c)$$
$$\Rightarrow c = 20$$

$$\Rightarrow d_n = 180 + 20 \cdot 2^n \text{ for } n \geq 0.$$

EX) For Fibonacci, $f_n = f_{n-1} + f_{n-2}$ $n \geq 3, f_1 = f_2 = 1$.

To find r_1, r_2 : solve $t^2 - t - 1 = 0$

by quadratic

formula $\Rightarrow r_1, r_2 = \frac{1 \pm \sqrt{5}}{2}$

$$\Rightarrow f_n = b \left(\frac{1 + \sqrt{5}}{2} \right)^n + d \left(\frac{1 - \sqrt{5}}{2} \right)^n$$

then we solve

$$b \left(\frac{1 + \sqrt{5}}{2} \right) + d \left(\frac{1 - \sqrt{5}}{2} \right) = 1$$

$$\text{and } b \left(\frac{1 + \sqrt{5}}{2} \right)^2 + d \left(\frac{1 - \sqrt{5}}{2} \right)^2 = 1$$

$$\text{to find } b = \frac{1}{\sqrt{5}}, d = -\frac{1}{\sqrt{5}}$$

$$\Rightarrow f_n = \frac{1}{\sqrt{5}} \left(\frac{1 + \sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left(\frac{1 - \sqrt{5}}{2} \right)^n \quad n \geq 1.$$

Theorem Let $a_n = C_1 a_{n-1} + C_2 a_{n-2}$ be LHRG where $a_0 = C_0$, $a_1 = C_1$.

Let r be a repeated root of the equation $t^2 - C_1 t - C_2$.

Then there exist constants b, d such that $a_n = b r^n + d n r^n$ $n \geq 0$.

Ex) let $d_n = 4d_{n-1} - 4d_{n-2}$ $n \geq 2$ where $d_0 = d_1 = 1$.
Then we solve

$t^2 - 4t + 4 = 0 \Rightarrow r_1 = r_2 = 2$ is a repeated root
 $\Rightarrow d_n = b 2^n + d n 2^n$

Then we solve

$$d_0 = 1 = b 2^0 + d \cdot 0 = b$$

$$d_1 = 1 = b 2^1 + d \cdot 1 \cdot 2 = 1 \cdot 2 + 2d$$

$$\Rightarrow d_n = 2^n - \frac{n}{2} 2^n \quad \text{for } n \geq 0.$$
$$= 2^n - n 2^{n-1}$$

Extra (7.2 - Exercises 42-48)

How to solve second order, linear inhomogeneous recurrence relation with constant coeff:

(*) $a_n = c_1 a_{n-1} + c_2 a_{n-2} + f(n)$, where $f(n)$ is not identically 0.
 $p(t) = t^2 - c_1 t - c_2$ is the auxiliary polynomial.

We say $g(n)$ is a solution of (*) if $\forall n \geq 2$,

$$g(n) = c_1 g(n-1) + c_2 g(n-2) + f(n)$$

How to find a solution based on roots of $t^2 - c_1 t - c_2 = 0$ + $f(n)$
homogeneous eq.

$f(n)$	1 not a root	1 is single root	1 is double root
C	A	A^n	$A^n n^2$
Cn	$An + B$	$An^2 + Bn$	$An^3 + Bn^2$
Cn^2	$An^2 + Bn + C$	$An^3 + Bn^2 + Cn$	$An^4 + Bn^3 + Cn^2$

$f(n)$	C not a root	C is single root	C is double root
C^n	AC^n	AnC^n	An^2C^n

We use this to find the particular solution $g(n)$

Thm Let $a_n = c_1 a_{n-1} + c_2 a_{n-2} + f(n)$ be SOLIRCC + $g(n)$ be a particular solution. Then any solution of (*) is of the form
 $u_n = v_n + g(n)$, where

$$v_n = \begin{cases} br_1^n + dr_2^n & \text{if } p(t) \text{ has roots } r_1 \neq r_2 \\ br^n + dnr^n & \text{if } p(t) \text{ has double root } r \end{cases}$$

Steps:

- ① Find $p(t)$ & compute its roots
- ② Find / Guess particular solution $g(n)$
- ③ Write out a_n in terms of roots & particular solution
- ④ Use initial conditions to determine constants in $g(n)$

Ex) $a_n = 6a_{n-1} - 9a_{n-2} + n \quad n \geq 2$ (*) where $a_0 = a_1 = 1$

Step 1: Find Homog. eq + roots

Compute roots of $p(t) = t^2 - 6t + 9 = 0 \Rightarrow t = -3$ double root

Step 2: make guess for $g(n)$

We'll guess $g(n) = An + B$ + substitute to compute constants

$$\Rightarrow \begin{aligned} g(n-1) &= A(n-1) + B \\ g(n-2) &= A(n-2) + B \end{aligned}$$

\Rightarrow plugging into (*), we get

$$An + B = 6(A(n-1) + B) - 9(A(n-2) + B) + n$$

$$\Rightarrow \underline{4An} + \underline{(4B - 12A)} = \underline{n} + \underline{0}$$

$$\Rightarrow 4A = 1, \quad 4B - 12A = 0$$

$$\Rightarrow A = \frac{1}{4}, \quad B = \frac{3}{4}$$

$$\Rightarrow g(n) = An + B = \frac{n+3}{4}$$

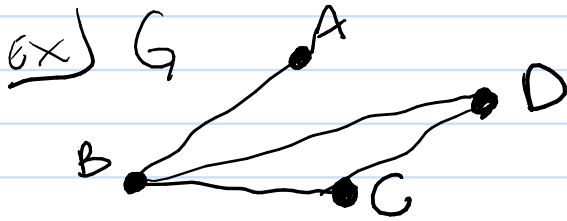
$$\begin{aligned} \text{By Thm, } u_n &= v_n + g(n) = (br^n + dr^n) + \frac{n+3}{4} \\ &= br^n + dr^n + \frac{n+3}{4} \end{aligned}$$

$$\text{So } a_0 = 1 = b, \quad a_1 = br + dr + \frac{1+3}{4} = b + d + 1 \Rightarrow d = -1$$

$$\Rightarrow a_n = r^n - nr^n + \frac{n+3}{4}$$

8.1 Graphs

Def A graph G is a set of vertices $V(G)$ and edges $E(G) = \{(u, v) \mid u, v \in V\}$. Here $(u, v) = e \in E(G)$ is **not** an ordered pair, so we view $(u, v) = (v, u)$.

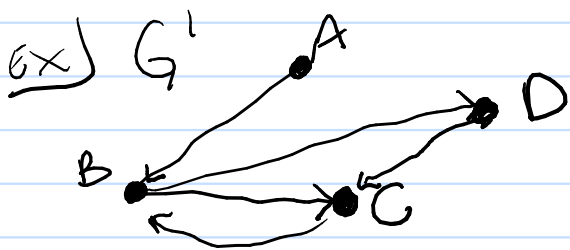


$$V(G) = \{A, B, C, D\}$$
$$E(G) = \{(A, B), (B, D), (C, D), (B, C)\}$$

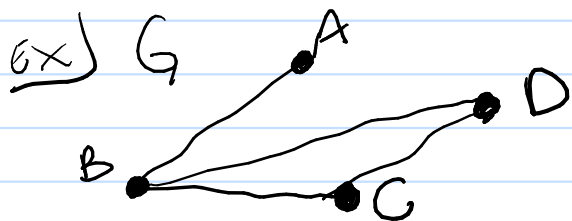
Def A directed graph G is a set of vertices $V(G)$ and edges $E(G) = \{(u, v) \mid u, v \in V\} \subseteq V \times V$. Here $(u, v) = e \in E(G)$ **is** an ordered pair.

Def An edge $e \in E(G)$ is incident to vertices $v + w$ if $e = (v, w)$. In this case we call v, w adjacent vertices.

We often write $G = (V, E)$.



is a directed graph (digraph)
Here $V(G) = V(G')$
and
 $E(G) = \{(A, B), (B, D), (D, C), (C, B)\}$



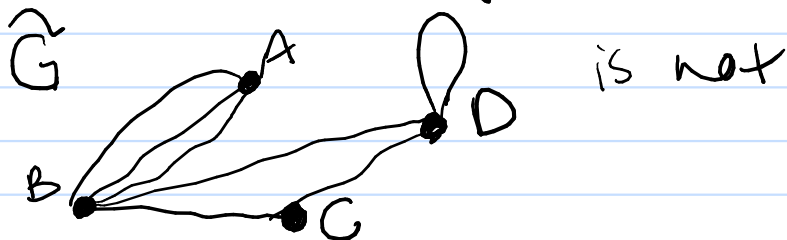
A is adjacent to B

A is not adjacent to D

Def Multiple edges associated to the pair $\{v_1, v_2\} \subseteq V$ are called parallel. An edge (v, v) is called a loop, where $v \in V$. If v_1 is not adjacent to any $v_2 \in V$, we say v_1 is isolated.

If G has no loops or parallel edges, we say G is a simple graph.

EX) G above is simple

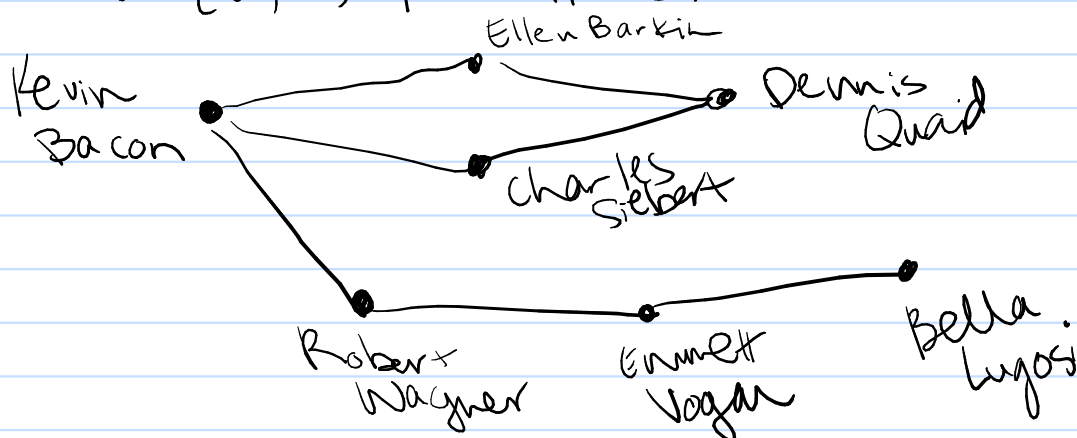


Def A graph with numbers on the edges is a weighted graph. If $e \in E(G)$ has label k , we say the weight of edge e is k . For a path P in a weighted graph, we say the length of P is the sum of weights of the edges in the path.

EX) Bacon numbers

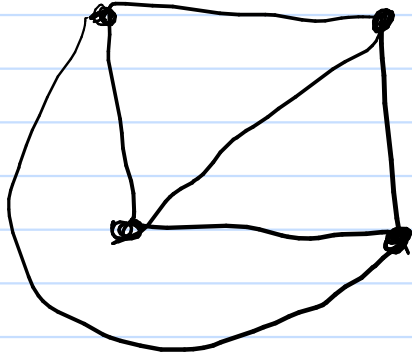
Let $V = \{\text{Hollywood actors}\}$

$E = \{(v, w) \mid v \text{ appears in } \geq 1 \text{ movie with } w\}$

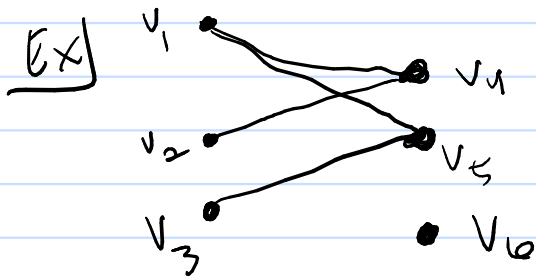


Def The complete graph on n vertices, denoted K_n , is the simple graph with $|V(K_n)| = n$ and an edge between each pair of vertices.

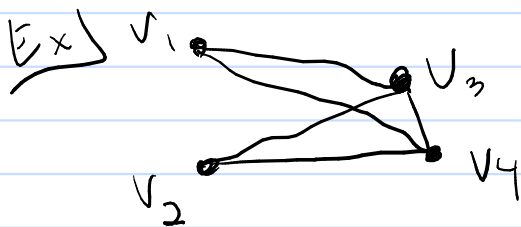
Ex K_4



Def $G = (V, E)$ is bipartite if there exist $V_1, V_2 \subseteq V$ (may be empty) where $V_1 \cap V_2 = \emptyset$, $V_1 \cup V_2 = V$ and each $e \in E$ is such that $e = (v_1, v_2)$ where $v_1 \in V_1$, $v_2 \in V_2$.

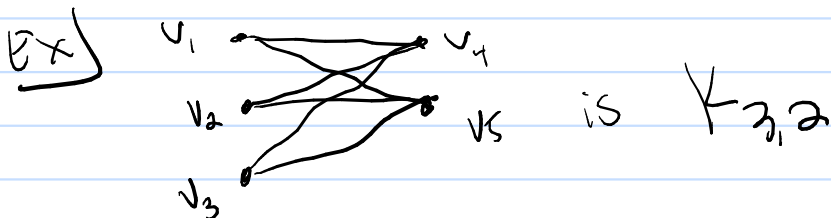


is bipartite. We could take
 $V_1 = \{v_1, v_2, v_3\}$
 $V_2 = \{v_4, v_5, v_6\}$



is not bipartite

Def The complete bipartite graph on m and n vertices, denoted $K_{m,n}$ is the simple graph whose vertex set is partitioned by V_1, V_2 where $|V_1| = m$, $|V_2| = n$ and $E(K_{m,n}) = \{(v_1, v_2) \mid v_1 \in V_1, v_2 \in V_2\}$

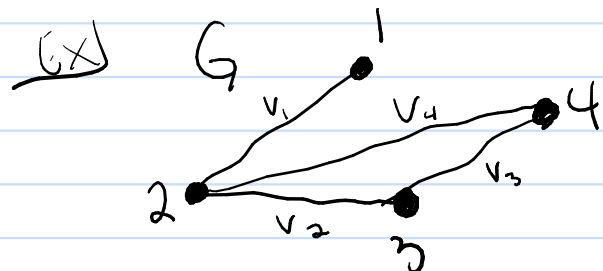


8.2 Paths + Cycles

Def let $v_0, v_n \in V(G)$. A path from v_0 to v_n of length n is an alternating sequence of $n+1$ vertices + n edges, beginning w/ v_0 + ending w/ v_n ,

$(v_0, e_1, v_1, e_2, v_2, \dots, v_{n-1}, e_n, v_n)$
 where each $e_i = (v_{i-1}, v_i) \in E(G)$
 for $i \in [1, n]$.

(for simple graphs, we just list vertices)

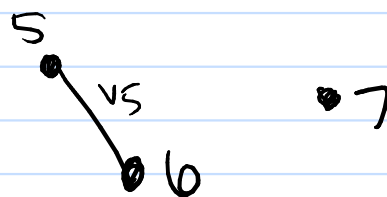
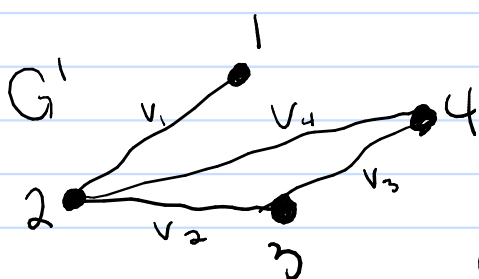


$(1, v_1, 2, v_4, 4, v_3, 3)$ is a path of length 3.

(3) is a path of length 0

Def A graph G is connected if for any $v, w \in V(G)$ there exists a path starting at v and ending at w in G .

Ex G above is connected



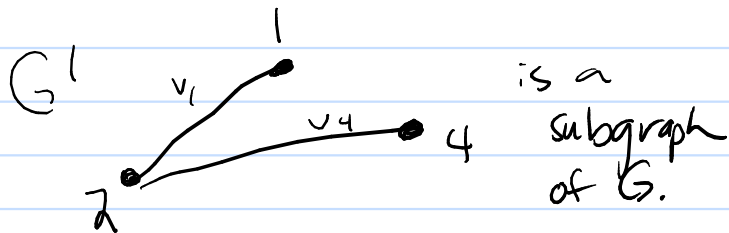
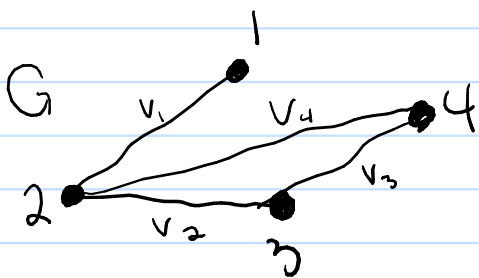
G' is not connected since there is no path between 1 and 5.

Def Let $G = (V, E)$ be a graph. $G' = (V', E')$ is a subgraph of G if

a) $V' \subseteq V$ and $E' \subseteq E$, and

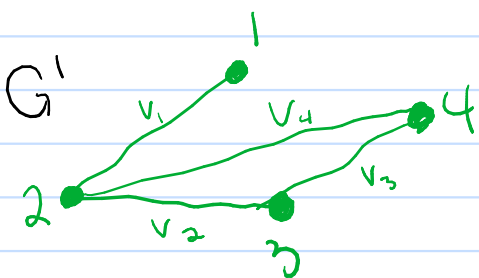
b) If $e = (v, w) \in E'$, then $v, w \in V'$.

Ex)

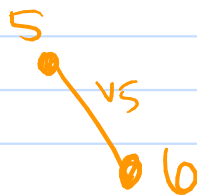


Def Let G be a graph and $v \in V(G)$. The subgraph G' of G containing all edges + vertices contained in some path beginning at v is called the component of G containing v .

Ex)



Component of G containing 2



Component of G containing 5

7

Component of G containing 7

Ex) G is connected if G has only one component

Def For $v, w \in V(G)$: a simple path ^{from v to w} is a path from v to w w/ no repeated vertices

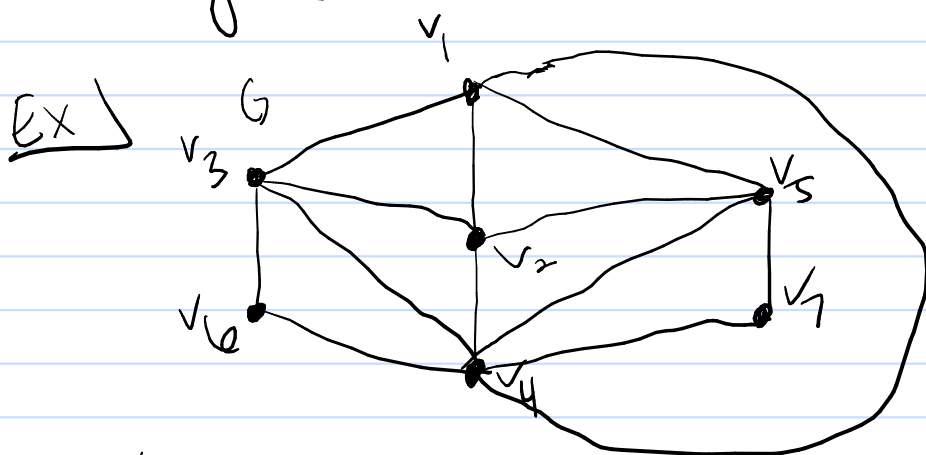
A cycle at v is a path of nonzero length from v to v w/ no repeated edges.

A simple cycle ^{at v} is a cycle from v to v in which there are no repeated vertices, other than the first + last.

Def A cycle in G that includes each edge + vertex in G is called an Eulerian cycle.

The degree of $v \in V(G)$, denoted $\delta(v)$, is the number of edges incident to v .
(Note: we say a loop^{at v} gives +2 to degree of v)

Thm G has an Eulerian cycle \Leftrightarrow
 G is connected + every vertex has even degree.



G is connected +
each vertex has
even degree
 $\Rightarrow G$ has
Euler cycle.

(6, 4, 1, 5, 1, 3, 4, 1, 2, 5, 4, 2, 3, 6)

Thm If G has m edges + $V(G) = \{v_1, \dots, v_n\}$
then $\sum_{i=1}^n \delta(v_i) = 2m$

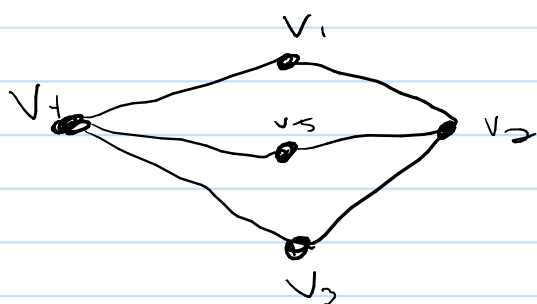
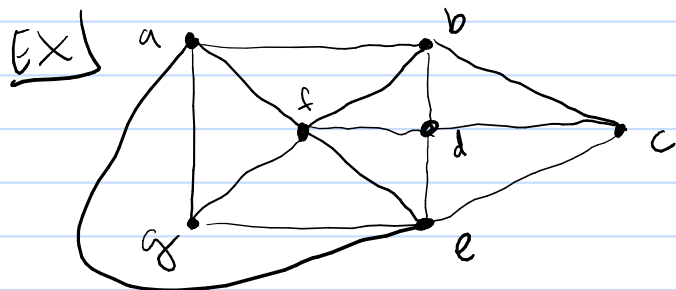
Cor For any G , there are always an even
number of $v \in V(G)$ such that $\delta(v)$ is odd

Thm G has a path w/ no repeated edges from v to $w \neq v$ containing all vertices + edges \Leftrightarrow
 G is connected + v, w are the only vertices
in G w/ odd degree

Thm If G contains a cycle from v to v , G contains a
simple cycle from v to v

8.3 Hamiltonian cycles

Def A cycle in G that contains each $v \in V(G)$ exactly once (except for the start + end) is a Hamiltonian cycle.



does not (see next page for argument why)

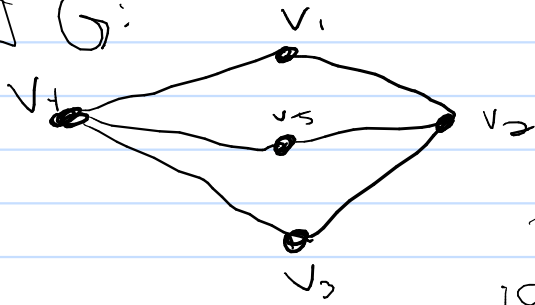
The traveling salesman problem is the following:

Given a weighted graph G , find a min length Hamiltonian cycle in G .

Q: How to determine G has no Hamiltonian cycle?
very hard in general.

In some cases, once we analyze G , we can reason why one will not exist.

Ex G :

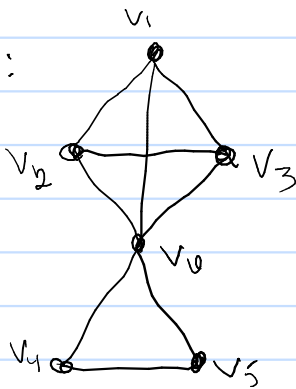


v_2, v_4 have no edges between them

To draw a Ham cycle, we would ignore all but 2 edges from each vertex. Then for G , we would delete 1 edge from v_2 & 1 from v_4 . But then this would leave 4 edgets total, but we need 5 for a Ham. cycle
 $\rightarrow \leftarrow$

\Rightarrow no Ham cycle

Ex G :



Any Ham cycle must contain $(v_1, v_5), (v_5, v_6), (v_4, v_6)$, but this is already a cycle that doesn't contain v_1, v_2, v_3
 $\rightarrow \leftarrow$
 \Rightarrow no Ham cycle.