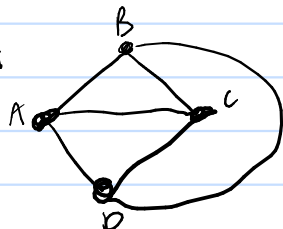


## 18.7 Planar Graphs

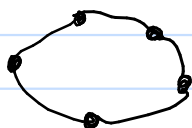
**Def** A graph is planar if it can be drawn on the plane without any edges crossing.

**Ex**  $G = K_4$  is planar



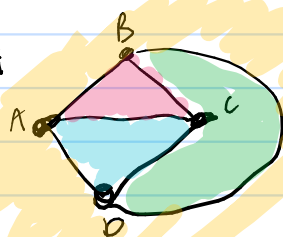
**Def** The cycle graph  $C_n$  has  $|V(C_n)| = n$  is the simple, connected graph consisting of a single cycle where each vertex has degree 2.

**Ex**  $C_5$  is planar



**Def** For  $G$  a connected, planar graph, the edges  $E$  divide the plane into regions called faces. Each face is defined by the cycle that forms its boundary.

**Ex**  $G = K_4$



$F_1$  has boundary  $(A, B, C, A)$   
 $F_2$  has boundary  $(B, C, D, B)$   
 $F_3$  has boundary  $(A, C, D, A)$   
 $F_4$  has boundary  $(A, C, D, A)$

Then  $K_4$  has  $f=4$  faces,  $v=4$  vertices, &  $e=6$  edges.

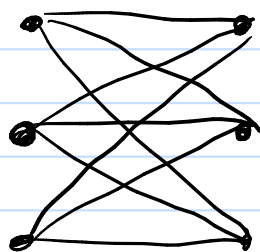
We see  $f = e - v + 2$

**Theorem** (Euler) For  $G$  connected, planar graph with  $f$  faces,  $|V(G)| = v$ , and  $|E(G)| = e$ ,  
$$f = e - v + 2.$$

Therefore if  $G$  does not have  $f, e, v$  satisfying  $f = e - v + 2$ , we know  $G$  is not planar.

Ex)  $K_{3,3}$

is clearly  
connected



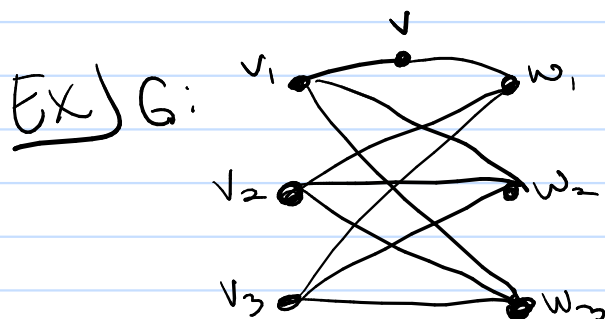
we know  $e=9, v=6$ .

How many faces? We know each cycle has at least 4 edges since  $K_{3,3}$  has no cycles of length 3 (why?). ( $\therefore \# \text{ edges } \geq 4f$ )  
Each edge is a part of  $\leq 2$  faces  
 $\Rightarrow 2e \geq 4f$

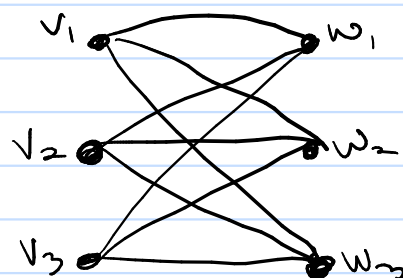
If  $K_{3,3}$  were planar,  $2e \geq 4f = 4(e-v+2)$   
 $\Rightarrow 18 \geq 20 \rightarrow \leftarrow$

Ex) We can show  $K_5$  is not planar through a similar argument.

Def Suppose  $G$  has  $v \in V(G)$  where  $\deg(v) = 2$  with  $v_1 \neq v_2$  vertices in  $V(G)$  such that  $(v_1, v), (v_2, v) \in E(G)$ . Then we say the edges  $(v_1, v), (v_2, v)$  are in series. A series reduction forms a graph  $G'$ , where  
 $V(G') = V(G) - \{v\}$   
 $E(G') = E(G) - \{(v_1, v), (v_2, v)\} \cup \{(v_1, v_2)\}$ .  
We say  $G'$  is obtained from  $G$  by a series reduction.

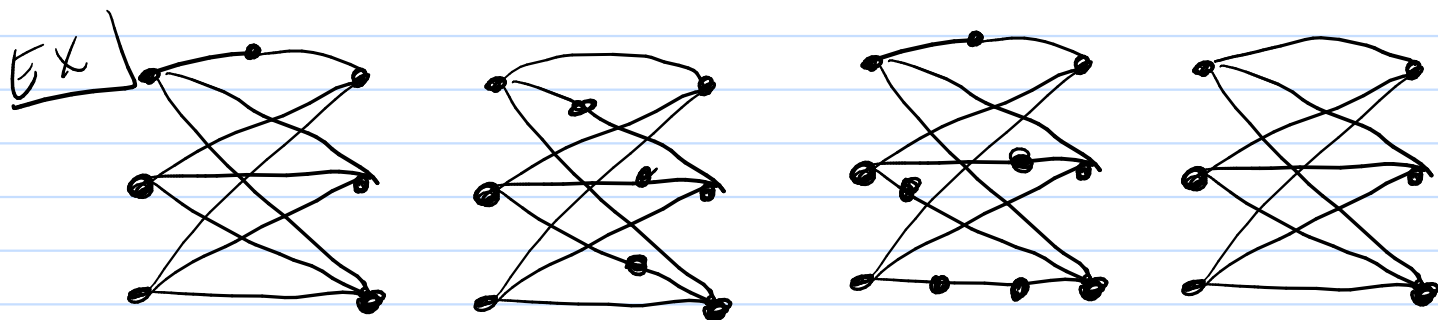


series  
reduction



$\Rightarrow K_{3,3}$  is obtained from  $G$  by series reduction

**Def** Graphs  $G_1, G_2$  are homeomorphic if  $G_1 + G_2$  can be reduced to isomorphic graphs by applying a sequence of series reductions.

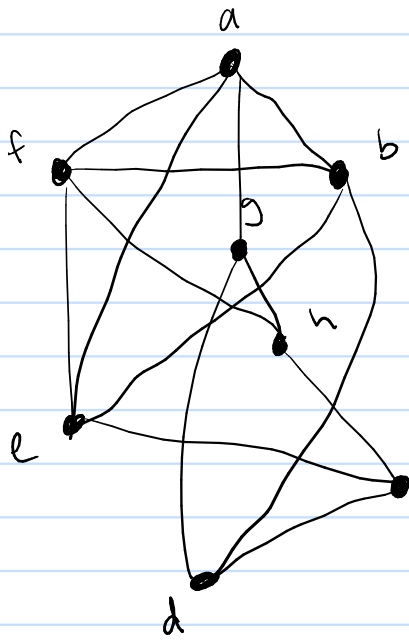


these graphs above are all homeomorphic to  $K_{3,3}$ .

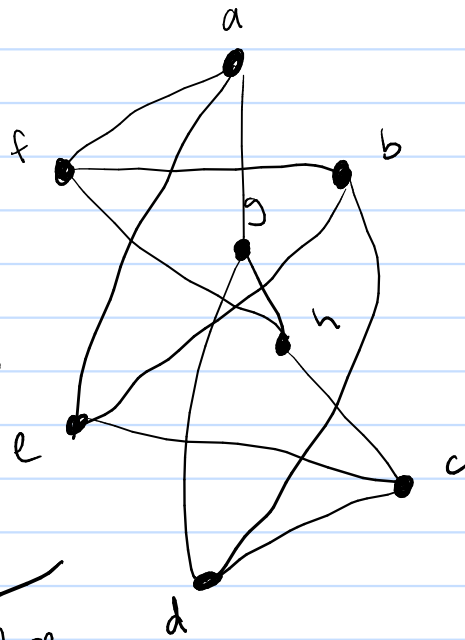
**Ex** we can define a relation  $R$  on the set of graphs where  $G_1 R G_2$  when  $G_1 + G_2$  are homeomorphic. Then  $R$  is an equivalence relation.

**Theorem** (Kuratowski) A graph  $G$  is planar  $\Leftrightarrow$   $G$  does not contain a subgraph homeomorphic to  $K_5$  or  $K_{3,3}$ .

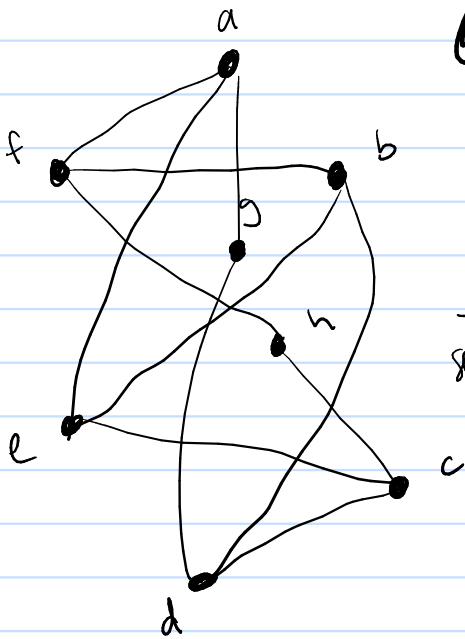
Ex) let's apply this theorem to prove  $G$  below is not planar. (we'll try to find  $K_{3,3}$ )



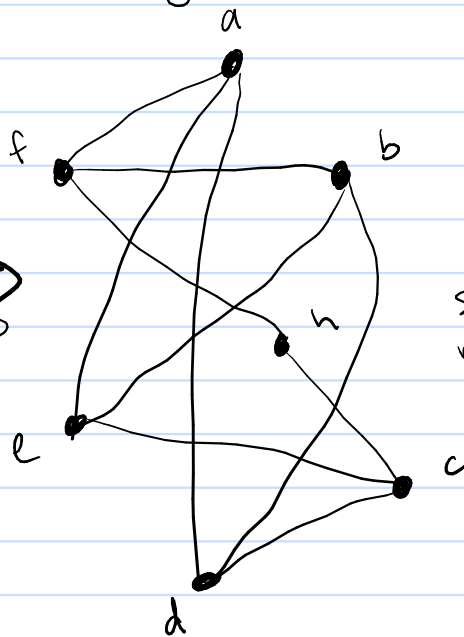
delete edges  
(a,b)  
(f,e)



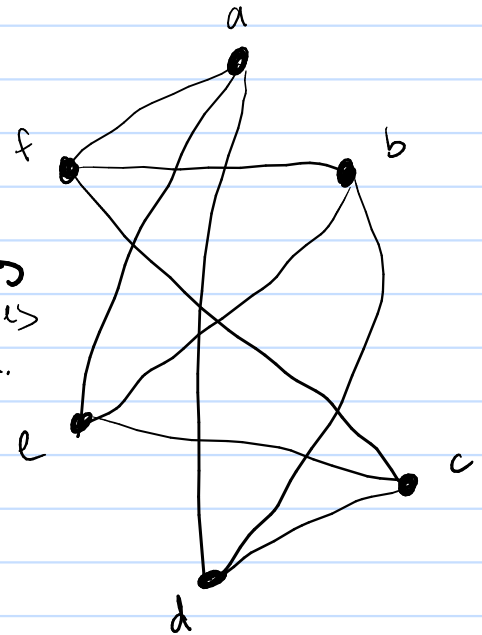
delete edge  
(g,h)



series red.



series red.

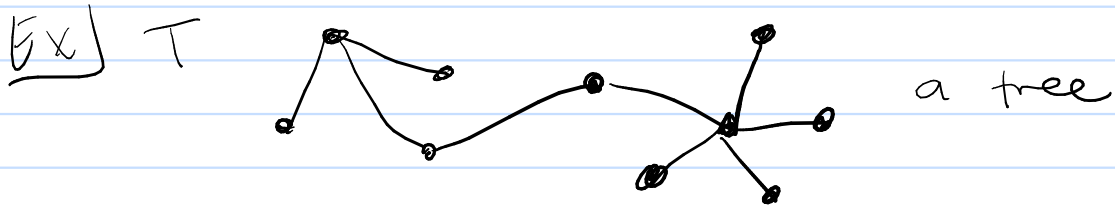


$K_{3,3}$

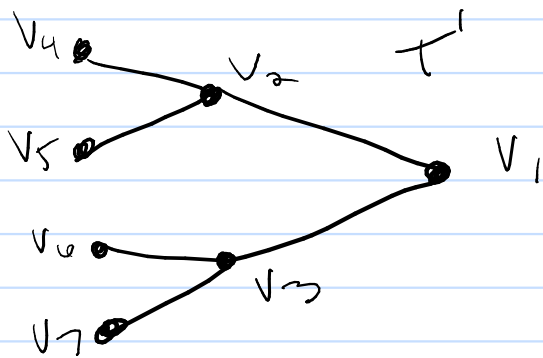
## 9.1 Trees

**Def** A tree  $T$  is a simple graph with the property that if  $v, w \in V(T)$ , there is a unique simple path from  $v$  to  $w$ .

A rooted tree is a tree where a certain vertex is defined as the root.

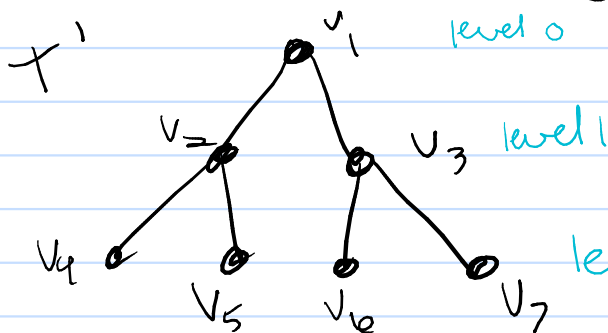


**Ex** Can visualize tournaments:



this is a rooted tree, where we will define  $v_1$  to be the root

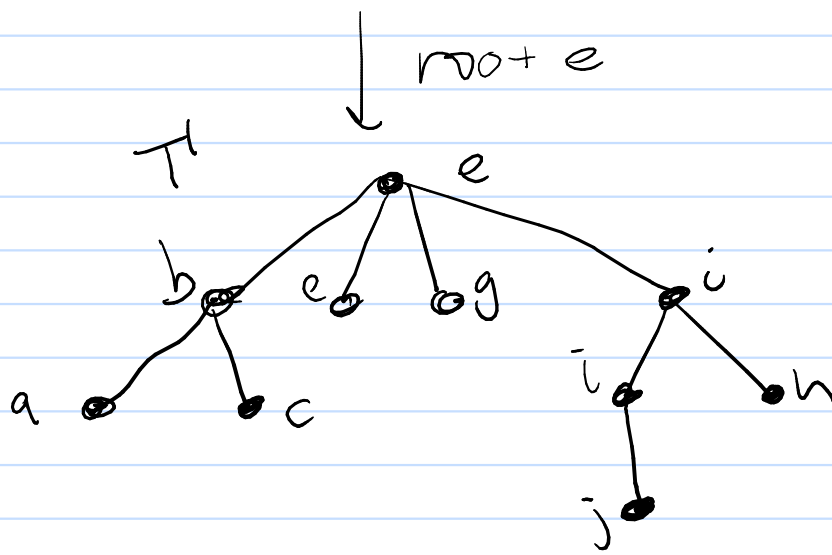
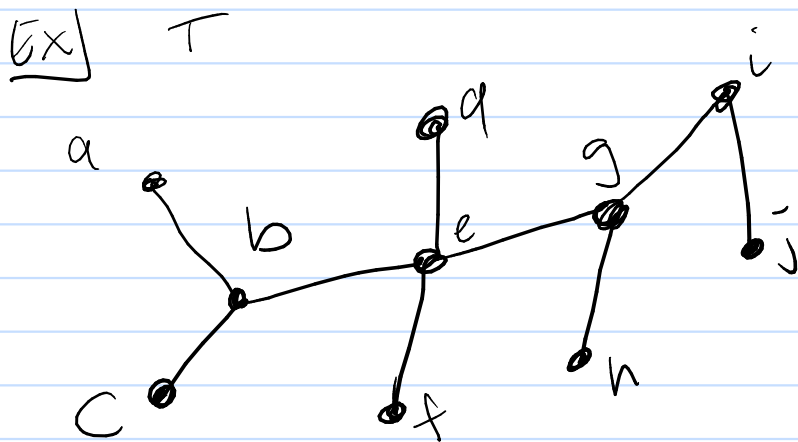
We will usually draw rooted trees w/ the root at the top where vertices that are paths of length  $l$  from the root are all drawn at level  $l$ .



level 0  
level 1  
level 2 has height 2

**Def** The level of a vertex  $v$  is the length of the simple path from the root to  $v$  in a rooted tree.

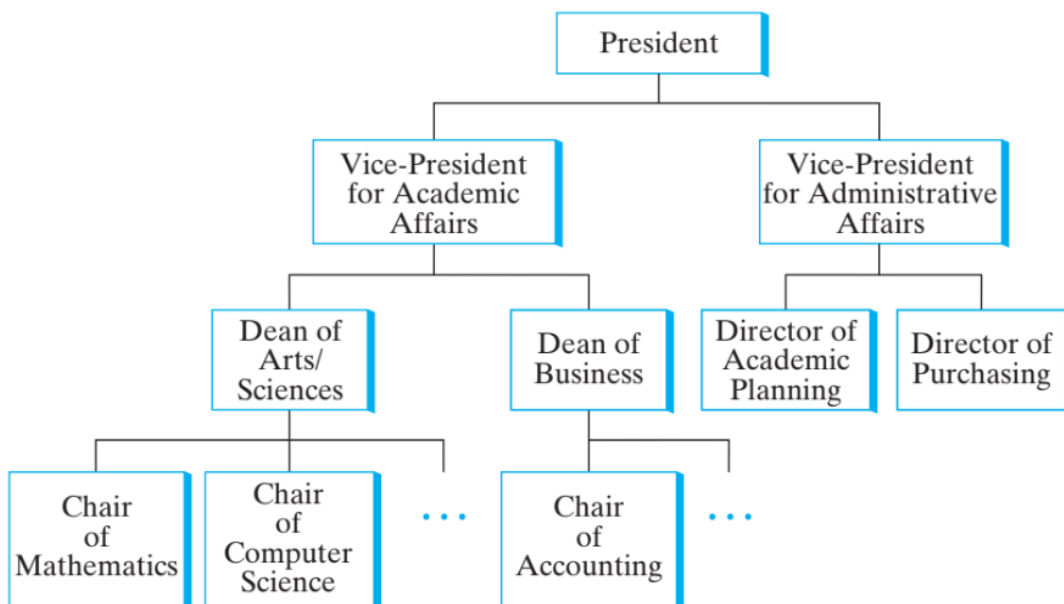
The height of a rooted tree  $T$  is the maximal level that can appear as the level of some  $v \in V(T)$ .



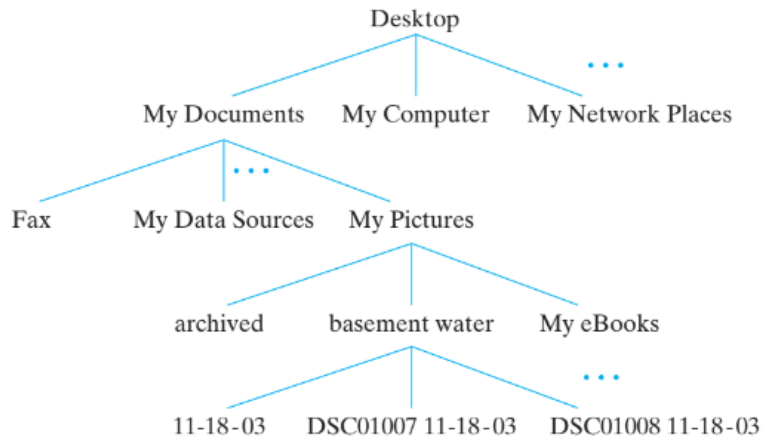
$\Rightarrow T'$  has height 3.

We see e is on level 0,  
h is on level 2,  
j on level 3.

Ex Rooted trees can also visualize chains of command



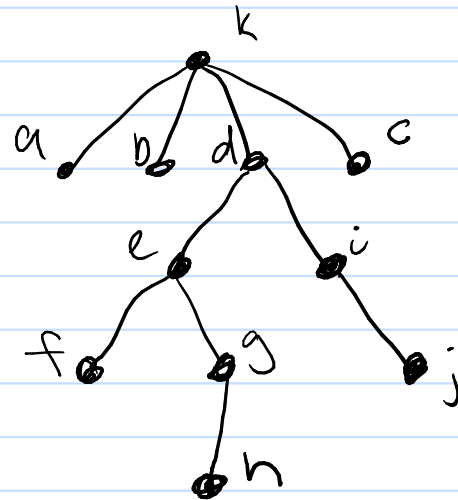
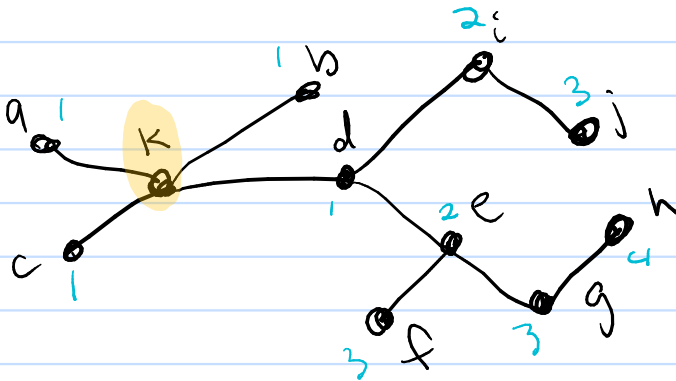
Ex Rooted trees also visualize organization of files/folders.



**Figure 9.1.8** The structure of Figure 9.1.7 shown as a rooted tree.

When specifying a path of a file, this is precisely specifying a path to a file from the root in this tree

Ex:- What is the level of each vertex if we take  $k$  as the root?



Thm Every tree with 2 or more vertices has a vertex of degree 1.

Pf Suppose  $T$  is a tree where  $|V(T)| \geq 2$ .

Pick some vertex  $v_1 \in V(T)$ . Since  $T$  is a tree +  $|V(T)| \geq 2$ , there is some  $v_2 \in V(T)$  such that  $(v_1, v_2) \in E(T)$ .

If  $\delta(v_1) = 1$  we are done.

Otherwise move to  $v_2$ . If  $\delta(v_2) > 1$ , there is some  $v_3 \in V(T)$  such that  $(v_2, v_3) \in E(T)$ . If  $\delta(v_2) = 2$  we are done.

Continue in this manner:

If  $\delta(v_i) = 1$  at any point, we are done.

Otherwise  $\delta(v_i) \geq 2$  so there is some  $v_{i+1} \in V(T)$  such that  $(v_i, v_{i+1}) \in E(T)$ .

Build a path as we go:

$(v_1, v_2, \dots, v_i, v_{i+1})$

We will never re-use a vertex  $v_i$  or else this would create a cycle.

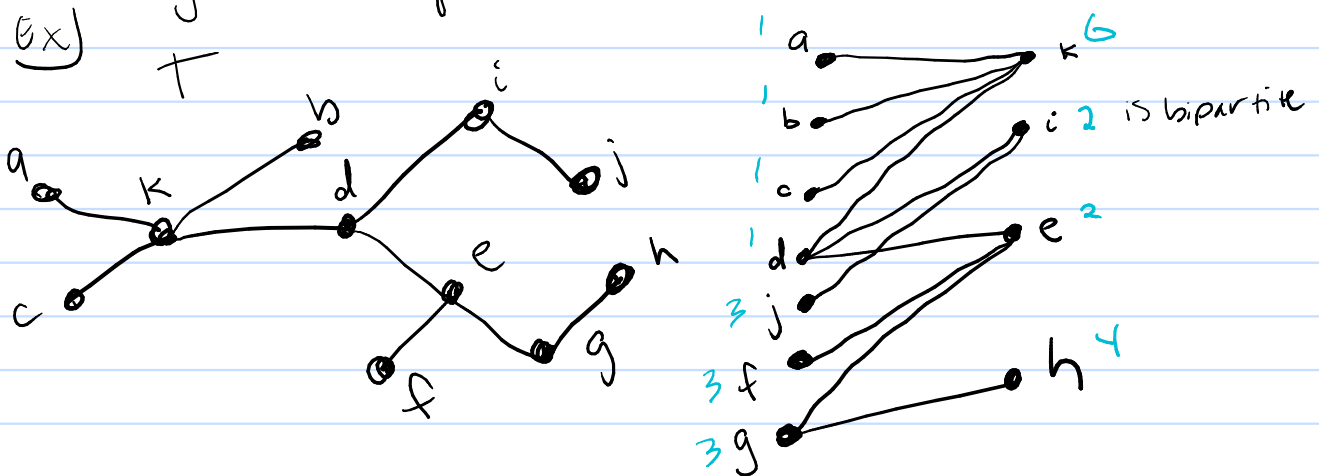
$T$  has only finitely many vertices, so this process must conclude.

$\therefore$  there is some  $v_j$  s.t.  $\delta(v_j) = 1$ .



Ex Claim: A tree  $T$  is a bipartite graph.

How to do this?  
try an example



How to generalize this?

We see that the partite sets of  $V(T)$  are exactly based on the parity of the level of  $v$  in the rooted tree

PF Pick any vertex  $v \in T$  to be the root.  
Compute the level of each vertex in the rooted tree  $T'$ .

Make  $V_1 = \{v' \in V(T') \mid v' \text{ has even level}\}$   
 $V_2 = \{v' \in V(T') \mid v' \text{ has odd level}\}.$

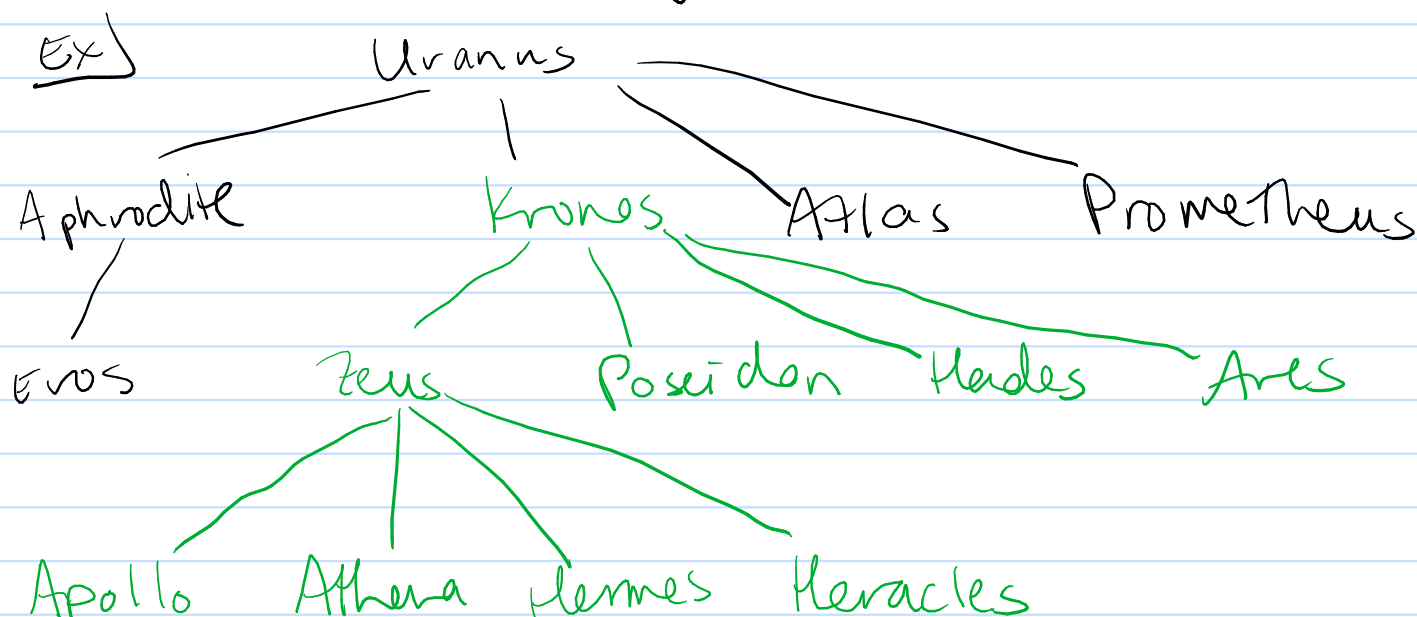
Clearly  $V_1, V_2$  partition  $V(T')$ .

To show: if  $v_1, v_2 \in V_1 \Rightarrow (v_1, v_2) \notin E(T)$  (same for  $V_2$ )

Suppose not. Then we have a path  $(v_1, \dots, v_i)$  in  $T'$  & path  $(v_1, \dots, v_j, v_2, v_1)$  in  $T'$  distinct paths, but this contradicts that  $T'$  is a tree  $\rightarrow \therefore (v_1, v_2) \notin E(T).$

## 9.2 More trees

We can view a family tree as a rooted tree:



**Def** Let  $T$  be a rooted tree with root  $v_0$ . Suppose  $x, y, z \in V(T)$  and  $(v_0, v_1, \dots, v_n)$  is a simple path in  $T$ . Then:

- a)  $v_{n-1}$  is the parent of  $v_n$
- b)  $v_0, \dots, v_{n-1}$  are the ancestors of  $v_n$
- c)  $v_n$  is a child of  $v_{n-1}$
- d) If  $x$  is an ancestor of  $y$ ,  $y$  is a descendant of  $x$ .
- e) If  $x+y$  are children of  $z$ ,  $x+y$  are siblings.
- f) If  $x$  has no children,  $x$  is a leaf
- g) If  $x$  is not a leaf,  $x$  is an internal vertex.
- h) A subtree of  $T$  rooted at  $x$  is the graph  $T'$  where  $V(T') = \{x\} \cup \{v \in V(T) \mid v \text{ a descendant of } x\}$  and  $E(T') = \{e \in E(T) \mid e \text{ is a simple path from } x \text{ to some } v \in V(T')\}$

Ex) The subtree rooted at Kronos is highlighted in green above

Def A graph with no cycles is called acyclic.

Proposition If  $T$  is a tree,  $T$  is acyclic + connected.

Pf

By definition of  $T$ , there is exactly one simple path between  $v, w \in V(T)$   
 $\Rightarrow T$  is connected.

Suppose there is a cycle  $(v_1, v_2, \dots, v_k, v_1)$  in  $T$ . Then the path  $(v_1, v_2)$  is one path from  $v_1$  to  $v_2$  &  
 $(v_1, v_k, v_{k-1}, \dots, v_2)$  is another  $\rightarrow$   
 $\therefore T$  is acyclic

Theorem Let  $T$  be a graph where  $|V(T)| = n$ .  
The following are equivalent:

- a)  $T$  is a tree
- b)  $T$  is connected + acyclic
- c)  $T$  is connected +  $|E(T)| = n-1$
- d)  $T$  is acyclic + has  $|E(T)| = n-1$

Pf

We will show  $a \Rightarrow b$ ,  $b \Rightarrow c$ ,  $c \Rightarrow d$ , +  $d \Rightarrow a$ .  
Then we will be done

$(a \Rightarrow b)$  This is given by the proposition above.

$(b \Rightarrow c)$  Assume  $T$  is connected + acyclic  
want to show:  $|E(T)| = n-1$

We proceed by induction on  $n$ .

Base case:  $n=1 \Rightarrow T$  is  $\bullet \Rightarrow$  result holds

(b  $\Rightarrow$  c ctd)

Ind Assump: Suppose  $b \Rightarrow c$  for the  $n$  case.

Let  $T$  be connected + acyclic where  $|V(T)| = n$ .  
Then it follows there must be some  $v \in V(T)$   
where  $\delta(v) = 1$ . (otherwise either  $T$  is disconn  
or there is a cycle)

Then let  $T'$  be  $T$  w/  $v$  and the edge  
incident to  $v$  removed.

$\Rightarrow$  by Ind. Assump  $|E(T')| = n-1$   
since  $|V(T')| = n$  &

$T'$  is connected, acyclic  
 $\Rightarrow |E(T)| = |E(T')| + 1 = n \Rightarrow$  result  
follows

(c  $\Rightarrow$  d) Suppose  $T$  is connected +  $|E(T)| = n-1$ .

Claim:  $T$  is acyclic.

Suppose not. Then  $T$  has some cycle.  
Obtain  $T'$  from  $T$  by deleting as many  
edges as possible, while retaining  
connectivity.

Then  $T'$  is connected + acyclic,  
so by prev. proof  $\Rightarrow |E(T')| = n-1$   
but  $|E(T')| < |E(T)| \Rightarrow$   
 $\therefore$  there must be no cycles in  $T$ .

(d  $\Rightarrow$  a) Suppose  $T$  acyclic +  $|E(T)| = n-1$ .

Claim:  $T$  is a tree

( $\Rightarrow$  need to show  $T$  is simple, where there  
is a unique path between each  $u, v$ )  
If  $T$  had any loops, or multi-edges, these  
would form a cycle  $\Rightarrow T$  is simple.

Suppose  $T$  were not connected

$\Rightarrow T$  has connected components  $T_1, \dots, T_k$ ,  
where  $|V(T_i)| = n_i$  and  $k > 1$

$\Rightarrow$  by (b  $\Rightarrow$  c) for each connected component,  
 $n-1 = (n_1-1) + (n_2-1) + \dots + (n_k-1)$   
 $= (\sum n_i) - k = n - k < n-1 \Rightarrow$

$\therefore T$  is connected

(d  $\Rightarrow$  a ctd) Suppose  $T$  had distinct simple paths  $P_1, P_2$  from  $v$  to  $w$ .

Then let  $a$  be the 1<sup>st</sup> vertex on  $P_1$  that is not on  $P_2$ . Let  $b$  be the vertex immed. before  $a$  on  $P_1$ .

Let  $c$  be the next vertex after  $b$  that is on  $P_1 + P_2$ . Then

$$P_1 = (v, \dots, a, b, v_1, v_2, \dots, v_k, c, \dots, w)$$

$$P_2 = (v, \dots, a, b', w_1, w_2, \dots, w_\ell, c, \dots, w)$$

Then  $(a, b, v_1, \dots, v_k, c, w_\ell, \dots, w_2, w, b', a)$   
is a cycle in  $T \rightarrow \leftarrow$

This is simple by our definition of  $c$  as being the earliest choice.

$\therefore T$  is a tree