

## Cantor's Diagonal Lemma

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Let  $f$  be any function from  $\mathbb{N}$  to  $(0, 1)$ . Then there exists  $y \in (0, 1)$  such that  $y$  does not belong to the range of  $f$ .

Below is a key consequence of Cantor's diagonal lemma.

## Theorem 1 (Cantor, 1873)

$\mathbb{R}$  is not equinumerous to  $\mathbb{N}$ .

# Cantor's Diagonal Lemma: Idea of Proof

To prove Cantor's diagonal lemma, we need to find/construct  $y \in (0, 1)$  such that  $y \notin \text{Rng}(f) = \{f(n) : n \in \mathbb{N}\}$ .

## Decimal expansion of $f(n)$

For each  $n \in \mathbb{N}$ ,  $f(n) \in (0, 1)$  so it has the standard decimal expansion

$$f(n) = 0.x_{n1}x_{n2}x_{n3}x_{n4} \dots$$

That is,

$$f(1) = 0.\textcolor{red}{x}_{11}x_{12}x_{13}x_{14} \dots,$$

$$f(2) = 0.x_{21}\textcolor{red}{x}_{22}x_{23}x_{24} \dots,$$

$$f(3) = 0.x_{31}x_{32}\textcolor{red}{x}_{33}x_{34} \dots,$$

$$f(4) = 0.x_{41}x_{42}x_{43}\textcolor{red}{x}_{44} \dots,$$

and so on.

# Cantor's Diagonal Lemma: Idea of Proof (cont')

## Construction of $y$

For each  $n \in \mathbb{N}$ , let

$$y_n = \begin{cases} 5 & \text{if } x_{nn} \neq 5, \\ 4 & \text{if } x_{nn} = 5. \end{cases}$$

Then for each  $n \in \mathbb{N}$ ,  $y_n \neq x_{nn}$ . Now let  $y$  be the number whose standard decimal expansion is

$$y = 0.y_1y_2y_3y_4 \dots$$

## Observation

- $y \in (0, 1)$ ; in fact,  $0.444\dots \leq y \leq 0.555\dots$
- $y \notin \text{Rng}(f)$  because for each  $n \in \mathbb{N}$ ,  $y \neq f(n)$ .

# Higher Orders of Infinity

# Denumerable, Countable, and Uncountable

## Definition 2

Let  $A$  be a set.

- 1 To say that  $A$  is *denumerable* means that  $A$  is equinumerous to  $\mathbb{N}$ .
- 2 To say that  $A$  is *countable* means that  $A$  is finite or denumerable.
- 3 To say that  $A$  is *uncountable* means that  $A$  is not countable.

**Example.**

- Each of  $\mathbb{N}$ ,  $\mathbb{Z}$ ,  $\mathbb{N} \times \mathbb{N}$ , and  $\mathbb{Q}$  is denumerable.
- $\mathbb{R}$  is uncountable.

## Definition 3

Let  $A$  and  $B$  be sets.

- 1 To say that *the cardinality of  $A$  is less than or equal to the cardinality of  $B$*  (denoted  $\overline{\overline{A}} \leq \overline{\overline{B}}$ ) means that  $A$  is equinumerous to a subset of  $B$ .
- 2 To say that *the cardinality of  $A$  is strictly less than the cardinality of  $B$*  (denoted  $\overline{\overline{A}} < \overline{\overline{B}}$ ) means that  $A$  is equinumerous to a subset of  $B$  but  $A$  is not equinumerous to  $B$ .
- 3 To say that *the cardinality of  $A$  is equal to the cardinality of  $B$*  (denoted  $\overline{\overline{A}} = \overline{\overline{B}}$ ) means that  $A$  is equinumerous to  $B$ .

**Example.**  $\overline{\overline{\mathbb{N}}} < \overline{\overline{\mathbb{R}}}$ .



### Notes.

- Let  $A$  and  $B$  be sets. Then  $\overline{\overline{A}} \leq \overline{\overline{B}}$  iff there exists an injection from  $A$  to  $B$ .
- Let  $A$  be any set. Then  $\overline{\overline{A}} \leq \overline{\overline{\mathcal{P}(A)}}$ .

# Cantor's Generalized Diagonal Lemma

## Cantor's Generalized Diagonal Lemma

Let  $A$  be a set and let  $f$  be a function on  $A$  such that for each  $x \in A$ ,  $f(x)$  is a set. Then there exists a subset  $C \subseteq A$  such that  $C$  does not belong to the range of  $f$ .

Below is a key consequence of Cantor's generalized diagonal lemma.

## Theorem 4 (Cantor, 1891)

*Any set has strictly smaller cardinality than its power set.*