

# Fall 2019 Manifolds and Topology Preliminary Exam

University of Minnesota

## Part A

1. Give a precise definition of the product  $\alpha * \beta$  of two paths in a space  $X$ , including the conditions under which it is defined.

**Definition.** Let  $\alpha, \beta : [0, 1] \rightarrow X$  be continuous functions into  $X$ . If  $\alpha(1) = \beta(0)$ , then the product  $\alpha * \beta : [0, 1] \rightarrow X$  is defined to be

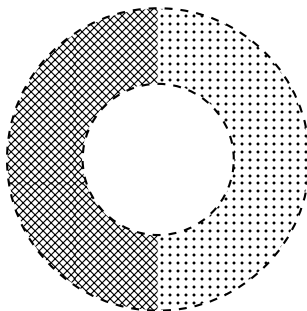
$$(\alpha * \beta)(t) = \begin{cases} \alpha(2t) & t \in [0, 1/2] \\ \beta(2t - 1) & t \in (1/2, 1] \end{cases}$$

2. Suppose that  $X$  is a path connected, semilocally 1-connected space whose fundamental group is  $\mathbb{Z}/2 \times \mathbb{Z}/2$ . How many isomorphism classes of connected covering spaces does  $X$  have?

*Proof.* The isomorphism classes of covering spaces correspond to conjugacy classes of the fundamental group. Thus, this question is equivalent to counting the conjugacy classes of  $\mathbb{Z}/2 \times \mathbb{Z}/2$ . Note that  $\mathbb{Z}/2 \times \mathbb{Z}$  is abelian and abelian groups have exactly one conjugacy class for each group element (since  $hgh^{-1} = hh^{-1}g = g$  for any  $g, h$ ). Thus, there are  $|\mathbb{Z}/2 \times \mathbb{Z}/2| = 4$  conjugacy classes, and hence there are 4 isomorphism classes of covering spaces of  $X$ .  $\square$

3. Give an example of a space  $X$  with open subsets  $U, V$  such that  $X = U \cup V$ ,  $U$  is simply connected,  $V$  is simply connected, but where  $X$  is not simply connected. Then explain why this does not violate the Siefert-van Kampen theorem.

*Proof.* Let  $X$  be the annulus of inner radius 1 and outer radius 2, shown below,:



and let  $U$  be the left half of the annulus (shown crosshatched) with  $\epsilon$  extra and let  $V$  be the right half of the annulus (shown filled with dots) also with  $\epsilon$  extra, where both  $U, V$  intersect in  $\epsilon$ -fattenings of the line segments  $\ell_+ = [(0, 1), (0, 2)]$  and  $\ell_- = [(0, -1), (0, -2)]$ .

Then  $\pi_1(U) = \pi_1(V) = 0$  since both are contractible. Additionally,  $\pi_1(U \cap V) = 0$  since both the  $\epsilon$ -fattenings of line segments  $\ell_+, \ell_-$  are contractible so regardless of choice of basepoint we are in a contractible connected component.  $U$  and  $V$  do not violate the hypothesis of the Siefert-van Kampen

theorem, because the intersection  $U \cap V$  is not path-connected. However if we were to ignore the requirement of path-connectedness, we might conclude that the theorem says  $\pi_1(X) = 0/0 \cong 0$ .

But we know that  $\pi_1(U \cup V) \cong \mathbb{Z}$  because the generators are the trivial loop and the loop which contains the hole in its interior.

Thus, we cannot choose  $U, V$  as in this example if we wish to use the Siefert-van Kampen theorem.  $\square$

4. Explain why the inclusion  $\mathbb{R}^2 \setminus \{0\} \rightarrow \mathbb{R}$  is not a covering map.

*Proof.* Suppose  $\iota : \mathbb{R}^2 \setminus \{0\} \rightarrow \mathbb{R}$  were a covering map.

Then it is a theorem that the induced map on fundamental groups  $\iota_* : \pi_1(\mathbb{R}^2 \setminus \{0\}) \rightarrow \pi_1(\mathbb{R})$  is an injection.

On the other hand,  $\pi_1(\mathbb{R}^2 \setminus \{0\}) \cong \mathbb{Z}$  and  $\pi_1(\mathbb{R}) = 0$  and so no injection from  $\mathbb{Z} \rightarrow 0$  exists, contradicting that  $\iota$  were a covering map.  $\square$

5. Define the *degree* of a map  $f : S^n \rightarrow S^n$  for  $n > 0$ . Explain why  $n = 0$  is a special case.

**Definition.** Let  $n > 0$  and  $f : S^n \rightarrow S^n$  be a map. Then there is an induced homomorphism on homology  $f_* : H_n(S^n) \rightarrow H_n(S^n)$ . Since  $H_n(S^n) \cong \mathbb{Z}$ , then  $f_*$  must be multiplication by an integer (otherwise it would not be a homomorphism). The integer  $k$  such that  $f_*(x) = kx$  is called the *degree* of the map.

Note that  $n = 0$  is a special case, since  $H_0(S^0) \cong \mathbb{Z}^2$ , and so “multiplication by an integer” must be more carefully defined.

6. Suppose  $X, Y$  are spaces that are both abstractly homeomorphic to  $S^7$ . Show that the “degree” of the map  $f : X \rightarrow Y$  is only well-defined up to sign.

*Proof.* Let  $\phi_X$  be the isomorphism  $X \rightarrow S^7$  and  $\phi_Y$  be the isomorphism  $Y \rightarrow S^7$ . Note that  $S^7$  is homeomorphic to itself via both the identity map  $id$  and the antipodal map  $a$ .

Suppose we consider the homeomorphisms

$$g : X \xrightarrow{\phi_X} S^7 \xrightarrow{id} S^7 \xrightarrow{\phi_Y^{-1}} Y$$

and

$$h : X \xrightarrow{\phi_X} S^7 \xrightarrow{a} S^7 \xrightarrow{\phi_Y^{-1}} Y.$$

The antipodal map has degree  $(-1)^7$ , while the identity has degree 1. Now if we consider the map  $f$  given in the statement of the problem, then if we consider the homeomorphism going through  $id$ , we could get a positive sign, while if we go through  $a$ , we get a negative sign, hence the degree is only well defined up to sign.  $\square$

7. Let  $X$  be the space of upper triangular invertible  $2 \times 2$  matrices:

$$X = \left\{ \begin{bmatrix} a & b \\ 0 & d \end{bmatrix} \in M_2(\mathbb{R}) : a \neq 0, d \neq 0 \right\} \subseteq \mathbb{R}^3$$

Determine the homology groups  $H_*(X)$ .

*Proof.* First, note that  $X \cong (\mathbb{R} \setminus \{0\}) \times (\mathbb{R}) \times (\mathbb{R} \setminus \{0\}) \subseteq \mathbb{R}^3$  by the map taking  $\begin{bmatrix} a & b \\ 0 & d \end{bmatrix} \mapsto (a, b, d)$ .

Thus, we compute the homology groups  $H_*(\mathbb{R} \setminus \{0\})$  and  $H_*(\mathbb{R})$ .

Since  $X_1 := \mathbb{R} \setminus \{0\}$  is the disjoint union of the strictly positive real axis  $\mathbb{R}_{>0}$  and the strictly negative real axis  $\mathbb{R}_{<0}$ , which are homeomorphic via the map  $x \mapsto -x$ , we have

$$H_n(\mathbb{R}_{>0}) = H_n(\mathbb{R}_{<0}) = \begin{cases} \mathbb{Z} & n = 0 \\ 0 & \text{else} \end{cases}$$

Which means that

$$H_n(\mathbb{R} \setminus 0) = H_n(\mathbb{R}_{<0} \sqcup \mathbb{R}_{>0}) = H_n(\mathbb{R}_{<0}) \oplus H_n(\mathbb{R}_{>0}) = \begin{cases} \mathbb{Z}^2 & n = 0 \\ 0 & \text{else} \end{cases}$$

For CW-complexes  $A, B$  a Künneth formula tells us that the following is a short exact sequence:

$$0 \rightarrow \bigoplus_i (H_i(A) \otimes H_{n-i}(B)) \rightarrow H_n(A \times B) \rightarrow \bigoplus_i \text{Tor}(H_i(A), H_{n-i-1}(B)) \rightarrow 0 \quad (\text{i})$$

We will first compute  $H_\bullet(\mathbb{R} \times \mathbb{R} \setminus 0)$ , taking  $\mathbb{R} = A$  and  $\mathbb{R} \setminus 0 = B$ .

Rewriting the above sequence for our case, we see

$$0 \rightarrow \bigoplus_i (H_i(\mathbb{R}) \otimes H_{n-i}(\mathbb{R} \setminus 0)) \rightarrow H_n(\mathbb{R} \times \mathbb{R} \setminus 0) \rightarrow \bigoplus_i \text{Tor}(H_i(\mathbb{R}), H_{n-i-1}(\mathbb{R} \setminus 0)) \rightarrow 0$$

First, note that for every  $i$  we have  $H_i(\mathbb{R})$  is torsion-free, so  $\text{Tor}(H_i(\mathbb{R}), H_{n-i-1}(\mathbb{R} \setminus 0)) = 0$ , hence

$$\bigoplus_i (H_i(\mathbb{R}) \otimes H_{n-i}(\mathbb{R} \setminus 0)) \cong H_n(\mathbb{R} \times \mathbb{R} \setminus 0)$$

When  $n = 0$  we have

$$H_0(\mathbb{R}) \otimes H_0(\mathbb{R} \setminus 0) = \mathbb{Z} \otimes \mathbb{Z}^2 \cong \mathbb{Z}^2$$

When  $n > 0$  we have

$$H_i(\mathbb{R}) \otimes H_{n-i}(\mathbb{R} \setminus 0) = \begin{pmatrix} \begin{cases} \mathbb{Z} \otimes 0 & i = 0 \\ 0 \otimes 0 & i \neq 0, n \\ 0 \otimes \mathbb{Z}^2 & i = n \end{cases} \end{pmatrix} = 0,$$

and so

$$H_n(\mathbb{R} \times \mathbb{R} \setminus 0) = \begin{cases} \mathbb{Z}^2 & n = 0 \\ 0 & \text{else} \end{cases}.$$

We now use (i) with  $A = \mathbb{R} \setminus 0$  and  $B = \mathbb{R} \times \mathbb{R} \setminus 0$  and the fact that every homology group of  $A$  is torsion-free to see

$$\bigoplus_i (H_i(\mathbb{R} \setminus 0) \otimes H_{n-i}(\mathbb{R} \times \mathbb{R} \setminus 0)) \cong H_n(\mathbb{R} \setminus 0 \times \mathbb{R} \times \mathbb{R} \setminus 0) \cong H_n(X).$$

Arguing as above we see when  $n = 0$  that

$$H_0(\mathbb{R} \setminus 0) \otimes H_0(\mathbb{R} \times \mathbb{R} \setminus 0) = \mathbb{Z}^2 \otimes \mathbb{Z}^2 \cong \mathbb{Z}^4,$$

and for  $n > 0$

$$H_i(\mathbb{R} \setminus 0) \otimes H_{n-i}(\mathbb{R} \times \mathbb{R} \setminus 0) = \begin{pmatrix} \begin{cases} \mathbb{Z}^2 \otimes 0 & i = 0 \\ 0 \otimes 0 & i \neq 0, n \\ 0 \otimes \mathbb{Z}^2 & i = n \end{cases} \end{pmatrix} = 0,$$

and so

$$H_n(X) = \begin{cases} \mathbb{Z}^4 & n = 0 \\ 0 & \text{else} \end{cases}$$

□

8. Suppose  $X$  is a space with open subsets  $U, V$  such that  $X = U \cup V$  and both  $U$  and  $V$  are contractible. What is the relationship between the homology groups of  $X$  and  $U \cap V$

*Proof.* The Mayer-Vietoris sequence tells us that the following sequence is exact:

$$\cdots \longrightarrow \tilde{H}_n(U \cap V) \longrightarrow \tilde{H}_n(U) \oplus \tilde{H}_n(V) \longrightarrow \tilde{H}_n(U \cup V) \longrightarrow \tilde{H}_{n-1}(U \cap V) \longrightarrow \cdots$$

Since  $U, V$  are contractible,  $\tilde{H}_n(U) = \tilde{H}_n(V) = 0$  for all  $n$ . Thus, we have the exactness of the sequence

$$\cdots \longrightarrow 0 \longrightarrow \tilde{H}_n(U \cup V) \longrightarrow \tilde{H}_{n-1}(U \cap V) \longrightarrow 0 \longrightarrow \cdots$$

and so we have that  $\tilde{H}_n(X) \cong \tilde{H}_{n-1}(U \cap V)$  for all  $n$ . □

9. Suppose that  $X$  is a path connected space,  $A$  is a path connected subspace,  $p \in A$ , and  $C$  is the mapping cone

$$(X \times \{1\} \cup A \times [0, 1]) / \{(a, 0) \sim (a', 0)\}.$$

Use the Seifert-Van Kampen theorem to express the fundamental group  $\pi_1(C, [(p, 1/2)])$  in terms of the map  $\pi_1(A, p) \rightarrow \pi_1(X, p)$ .

*Proof.* The Seifert-Van Kampen theorem tells us the following commutative diagram:

$$\begin{array}{ccccc} & & \pi_1(X \times \{1\}) & & \\ & \nearrow & & \searrow \Phi & \\ \pi_1(A \times \{1\}) & & & & \pi_1(C) \\ & \searrow & & \nearrow & \\ & & \pi_1(A \times [0, 1] / \{(a, 0) \sim (a', 0)\}) & & \end{array}$$

and in particular that  $\Phi$  is surjective.

Noether's first isomorphism theorem tells us that  $\pi_1(C) = \text{im } \Phi \cong \pi_1(X) / \ker \Phi$ . Since  $A \times [0, 1] / \sim$  is contractible (e.g. onto the point  $(a, 0)$ ) we know that  $\pi_1(A \times \{1\})$  is contained in the kernel of  $\pi_1(X)$ . On the other hand, the surjection  $\Phi$  is constructed by a quotient of the free product  $\pi_1(X \times \{1\}) * 0$  by the normal subgroup generated by loops in  $\pi_1(A \times \{1\})$ , and so the kernel contains *only* points in  $\pi_1(A \times \{1\})$ . Thus,  $\pi_1(C) \cong \pi_1(X \times \{1\}) / \pi_1(A \times \{1\}) \cong \pi_1(X) / \pi_1(A)$ .

Strictly speaking, we have computed  $\pi_1(C, (p, 1))$ . However, since everything we have dealt with is path connected, we obtain the isomorphism  $\pi_1(C, (p, 1)) \cong \pi_1(C, (p, 1/2))$ . □

10. State the *unique path lifting* theorem for covering maps.<sup>1</sup>

**Theorem.** Let  $p : \tilde{X} \rightarrow X$  be a covering map, and let  $\gamma : [0, 1] \rightarrow X$  be a path in  $X$ . Let  $\gamma_1 : [0, 1] \rightarrow \tilde{X}$  and  $\gamma_2 : [0, 1] \rightarrow \tilde{X}$  be two lifts of  $\gamma$ . If there is any  $t_0 \in [0, 1]$  such that  $\gamma_1(t_0) = \gamma_2(t_0)$ , then  $\gamma_1(t) = \gamma_2(t)$  for all  $t \in [0, 1]$ .

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<sup>1</sup>I think they are asking for thm. 1.34 of Hatcher, but I am not 100% sure.

## Part B

1. Give an example of a compact 2-dimensional manifold  $M$  for which there exists an embedding of  $M \rightarrow \mathbb{R}^n$  into Euclidean space of strictly smaller dimension than that given by the Whitney embedding theorem.

*Proof.* The Whitney embedding theorem states that a  $d$ -dimensional manifold with or without boundary can be properly smoothly embedded in  $\mathbb{R}^{2d+1}$ . Here, we take  $d = 2$  and so the embedding certainly exists in  $\mathbb{R}^5$ .

Consider the subset of  $\mathbb{R}^2$  given by  $I^2 := [0, 1] \times [0, 1]$ . As a closed and bounded subset of  $\mathbb{R}^2$  the Heine-Borel theorem tells us this is certainly compact.

Now consider the map  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^3$  taking  $(x, y) \mapsto (x, y, 0)$ . This is a smooth, proper embedding of  $I^2$  into  $\mathbb{R}^3$  a Euclidean space of dimension strictly smaller than 5. To see that the map is smooth, note that  $\frac{\partial f}{\partial x} = \frac{\partial f}{\partial y} = 1$  and  $\frac{\partial f}{\partial z} = 0$ .  $\square$

2. The cylindrical coordinate change is given by

$$(x, y) = (r \cos(\theta), r \sin(\theta)).$$

Express the vector field

$$(x^2 + y^2)^{-2/3} \left( x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} \right)$$

in  $(r, \theta)$  coordinates.

*Proof.* Now recall that the change of coordinate map  $F$  which sends  $(x, y)$  coordinates to  $(r, \theta)$  coordinates are  $r = \sqrt{x^2 + y^2}$  and  $\theta = \arctan(\frac{y}{x})$ , and so

$$\begin{aligned} \frac{\partial r}{\partial x} &= \frac{1}{2\sqrt{x^2 + y^2}} \frac{\partial(x^2 + y^2)}{\partial x} \\ &= \frac{1}{2\sqrt{x^2 + y^2}} (2x) \\ &= \frac{x}{\sqrt{x^2 + y^2}} \end{aligned}$$

and by symmetry  $\frac{\partial r}{\partial y} = \frac{y}{\sqrt{x^2 + y^2}}$ . Now we compute  $\frac{\partial \theta}{\partial x}$  and  $\frac{\partial \theta}{\partial y}$ . First,

$$\begin{aligned} \frac{\partial \theta}{\partial x} &= \frac{1}{1 + (y/x)^2} \frac{\partial(y/x)}{\partial x} \\ &= \frac{1}{1 + (y/x)^2} \cdot \frac{-y}{x^2} \\ &= \frac{-y}{x^2 + y^2}. \end{aligned}$$

Next,

$$\begin{aligned} \frac{\partial \theta}{\partial y} &= \frac{1}{1 + (y/x)^2} \frac{\partial(y/x)}{\partial y} \\ &= \frac{1}{1 + (y/x)^2} \cdot \frac{1}{x} \\ &= \frac{1}{1 + (y/x)^2} \cdot \frac{x}{x^2} \\ &= \frac{x}{x^2 + y^2}. \end{aligned}$$

The chain rule tells us that

$$\begin{aligned}\frac{\partial}{\partial x} &= \frac{\partial}{\partial r} \frac{\partial r}{\partial x} + \frac{\partial}{\partial \theta} \frac{\partial \theta}{\partial x} \\ &= \frac{x}{\sqrt{x^2 + y^2}} \frac{\partial}{\partial r} - \frac{y}{x^2 + y^2} \frac{\partial}{\partial \theta} \\ &= \cos \theta \frac{\partial}{\partial r} - \frac{\sin \theta}{r} \frac{\partial}{\partial \theta}\end{aligned}$$

and

$$\begin{aligned}\frac{\partial}{\partial y} &= \frac{\partial}{\partial r} \frac{\partial r}{\partial y} + \frac{\partial}{\partial \theta} \frac{\partial \theta}{\partial y} \\ &= \frac{y}{\sqrt{x^2 + y^2}} \frac{\partial}{\partial r} + \frac{x}{x^2 + y^2} \frac{\partial}{\partial \theta} \\ &= \sin \theta \frac{\partial}{\partial r} + \frac{\cos \theta}{r} \frac{\partial}{\partial \theta}\end{aligned}$$

Finally, we change coordinates on  $x, y$ , leaving their differentials unchanged, then we substitute using the expressions for  $\partial/\partial x$  and  $\partial/\partial y$  which we found above and reduce using the Pythagorean trigonometric identity to see that the vector field in terms of cylindrical coordinates is:

$$\begin{aligned}(x^2 + y^2)^{-2/3} \left( x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} \right) &= ((r \cos \theta)^2 + (r \sin \theta)^2)^{-2/3} \left( (r \cos \theta) \frac{\partial}{\partial x} + (r \sin \theta) \frac{\partial}{\partial y} \right) \\ &= r^{-4/3} \left( (r \cos \theta) \frac{\partial}{\partial x} + (r \sin \theta) \frac{\partial}{\partial y} \right) \\ &= r^{-4/3} \left( (r \cos \theta) \left( \cos \theta \frac{\partial}{\partial r} - \frac{\sin \theta}{r} \frac{\partial}{\partial \theta} \right) + (r \sin \theta) \left( \sin \theta \frac{\partial}{\partial r} + \frac{\cos \theta}{r} \frac{\partial}{\partial \theta} \right) \right) \\ &= r^{-4/3} \left( (r \cos^2 \theta + r \sin^2 \theta) \frac{\partial}{\partial r} + (-\sin \theta \cos \theta + \cos \theta \sin \theta) \frac{\partial}{\partial \theta} \right) \\ &= r^{-4/3} \left( r \frac{\partial}{\partial r} \right) \\ &= \frac{1}{\sqrt[3]{r}} \frac{\partial}{\partial r}\end{aligned}$$

□

3. Determine the Lie bracket of the vector fields  $x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y}$  and  $y \frac{\partial}{\partial x} - x \frac{\partial}{\partial y}$  on  $\mathbb{R}^2$ .

*Proof.* If  $M$  is a smooth manifold with or without boundary and  $X = X^i \frac{\partial}{\partial x^i}$  and  $Y = Y^j \frac{\partial}{\partial x^j}$  are vector fields, then the Lie bracket is

$$[X, Y] = \left( X^i \frac{\partial Y^j}{\partial x^i} - Y^i \frac{\partial X^j}{\partial x^i} \right) \frac{\partial}{\partial x^j}.$$

Since we are working in  $\mathbb{R}^2$ , then  $x^1 = x$  and  $x^2 = y$ . Define  $X := x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y}$  so  $X^1 = x$  and  $X^2 = y$ . Similarly  $Y := y \frac{\partial}{\partial x} - x \frac{\partial}{\partial y}$  has  $Y^1 = y$  and  $Y^2 = -x$ . So applying the formula for the Lie bracket, we get that the term in front of  $\partial/\partial x$  should be

$$\left( x \frac{\partial y}{\partial x} - y \frac{\partial x}{\partial x} \right) + \left( y \frac{\partial y}{\partial y} + x \frac{\partial x}{\partial y} \right)$$

which simplifies to

$$0 - y + y + 0 = 0$$

and the term in front of  $\partial/\partial y$  should be

$$\left(x \frac{\partial(-x)}{\partial x} - y \frac{\partial y}{\partial x}\right) + \left(y \frac{\partial(-x)}{\partial y} + x \frac{\partial y}{\partial y}\right)$$

which simplifies to

$$-x - 0 + 0 + x = 0.$$

Thus

$$[X, Y] = 0.$$

□

4. Give an example of a surjection  $f : M \rightarrow N$  of manifolds that is not a submersion.

*Proof.* Consider the projection map  $\pi_1 : \mathbb{R}^2 \rightarrow \mathbb{R}$  taking  $(x, y) \mapsto x$ . There is no right inverse to the projection map, since  $\pi$  is a many-to-one map. Hence it is not a submersion. □