

# ALTY

## SuperDividend® Alternatives ETF

### KEY FEATURES



#### High Income Potential

ALTY invests in among the highest yielding securities across a variety of alternative asset classes, potentially increasing a portfolio's yield.



#### Monthly Distributions

ALTY makes distributions on a monthly basis, providing a regular source of income for a portfolio.



#### Alternative Solution

ALTY invests in four different alternative income segments, including real estate, MLPs and infrastructure, private equity and BDCs, and fixed income and derivative strategies, potentially serving as a portfolio's entire alternatives allocation.

ETF Category: Income - Dividend  
As of 06/30/2020



### FUND DETAILS

Inception Date	07/13/2015
Underlying Index	Indxx SuperDividend® Alternatives Index
Number of Holdings	45
Assets Under Management	\$16.12 mil
Management Fee	0.75%
Acquired Fund Fees & Expenses <sup>1</sup>	2.20%
Total Expense Ratio	2.95%
30-Day SEC Yield	10.33%
12-month Dividend Yield	12.62%
Distribution Frequency	Monthly

### TRADING DETAILS

Ticker	ALTY
CUSIP	37954Y806
Exchange	NASDAQ
Bloomberg IOPV Ticker	ALTYIV
Index Ticker	IALTYT

### PERFORMANCE (%)

	Current Quarter	Year to Date	One Year	Three Year	Since Inception
ALTY at NAV	25.60%	-23.99%	-20.60%	-3.66%	1.47%
ALTY at Market Price	26.35%	-23.81%	-20.36%	-3.57%	1.53%
Indxx SuperDividend® Alternatives Index	25.56%	-24.68%	-21.35%	-3.44%	1.94%

The performance data quoted represents past performance and does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than their original cost. Current performance may be higher or lower than the performance quoted. High short term performance of the fund is unusual and investors should not expect such performance to be repeated. Returns for periods greater than one year are annualized. Market price returns are based upon the midpoint of the bid/ask spread at the close of the exchange and do not represent the returns you would receive if you traded shares at other times. For performance data current to the most recent month end, please call 1-888-493-8631, or visit [www.globalxetfs.com](http://www.globalxetfs.com).

### TOP 10 HOLDINGS (%)

Holdings Subject to Change

Global X SuperDividend REIT ETF	18.75%	Eaton Vance Tax-Mngd Buy-Wrt Fd	2.46%
Nuveen Mortgage and Income Fund	2.49%	Brookfield Real Assets Income	2.44%
Eaton Vance Tax-Managed Fund	2.47%	Eaton Vance Risk-Managed Fund	2.43%
AllianzGI Div Int Premium Strgy Fd	2.46%	Western Asset Mrtg Opp Fund Inc	2.42%
Western Asset Emg Markets Fund	2.46%	Templeton Emerging Markets Inc	2.39%

### INDUSTRY BREAKDOWN (%)



REITs	21.19%
BDCs and Private Equity	20.90%
MLPs	14.92%
Covered Call Strategies	12.21%
Infrastructure	12.20%
Carry Trade and EM Debt	9.58%
Mortgage and Asset Backed Securities	7.25%
Energy Infrastructure Corporations	1.75%

### RISK CHARACTERISTICS SINCE ETF INCEPTION

	Indxx SuperDividend® Alternatives Index	MSCI ACWI Index
Annualized Volatility	21.26%	15.61%
Beta	1.06	1.00
Sharpe Ratio	0.09	0.27

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## DEFINITIONS

Indxx SuperDividend® Alternatives Index	The Indxx SuperDividend® Alternatives Index tracks the performance of among the highest dividend yielding securities in each category of alternative investments, as defined by the Index Sponsor. The underlying Index may include exposure to the following classes of alternative investments: MLPs, infrastructure companies, REITs, mortgage REITs, emerging market debt and currency trading, business development companies ("BDCs"), private equity, asset and mortgage backed securities, and option-writing strategies. The Underlying Index may include ETFs and closed-end funds ("CEFs") that provide access to one or more of these categories of alternative investments.
MSCI ACWI Index	MSCI ACWI Index captures large and mid cap representation across 23 Developed Markets (DM) and 24 Emerging Markets (EM) countries. The index covers approximately 85% of the global investable equity opportunity set. DM countries include: Australia, Austria, Belgium, Canada, Denmark, Finland, France, Germany, Hong Kong, Ireland, Israel, Italy, Japan, Netherlands, New Zealand, Norway, Portugal, Singapore, Spain, Sweden, Switzerland, the UK and the US. EM countries include: Brazil, Chile, China, Colombia, Czech Republic, Egypt, Greece, Hungary, India, Indonesia, Korea, Malaysia, Mexico, Pakistan, Peru, Philippines, Poland, Russia, Qatar, South Africa, Taiwan, Thailand, Turkey and United Arab Emirates.
12-month Dividend Yield	The yield an investor would have received if they had held the fund over the last twelve months, assuming most recent NAV. The 12-month yield is calculated by summing any income distributions over the past twelve months and dividing by the sum of the most recent NAV.
Annualized Volatility	The annualized standard deviation of the daily returns of the security using the closing levels of the index during the 22 index-day period preceding that day.
Beta	Measures the volatility of the Fund price relative to the volatility in the market index and can also be defined as the percent change in the price of the Fund given a 1% change in the market index. A beta below one suggests that the fund was less volatile than the market benchmark.
Sharpe Ratio	Measures the return for each unit of risk. The risk free rate is subtracted from the mean return and is divided by the standard deviation of returns.

(1) Acquired Fund Fees and Expenses sets forth the Fund's pro rata portion of the cumulative expenses charged by the exchange-traded funds, closed-end funds, business development companies and other investment companies in which the Fund invests. These expenses are calculated based on the Fund's portfolio holdings during the prior fiscal period. The actual Acquired Fund Fees and Expenses will vary with changes in the allocations of the Fund's assets.

Global X Management Company, LLC serves as an advisor to the Global X Funds. The Funds are distributed by SEI Investments Distribution Co. (SIDCO, 1 Freedom Valley Drive, Oaks, PA, 19456), which is not affiliated with Global X Management Company, LLC or Mirae Asset Global Investments.

Investing involves risk, including the possible loss of principal. International investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles, or from economic or political instability in other nations. Emerging markets involve heightened risks related to the same factors as well as increased volatility and lower trading volume. The Fund may invest in derivatives, which are often more volatile than other investments and may magnify the Fund's gains or losses. The information provided is not intended for trading purposes, and should not be considered investment advice.

ALTY may invest in MLPs, infrastructure investments, REITs, mortgage REITs, convertibles, preferred stocks, senior loans, currency trading, long/short credit, business development companies ("BDCs"), private equity, alternative strategy managed portfolios- and option-writing and therefore may be subject to all underlying risks. ALTY is non-diversified. Narrowly focused investments may be subject to higher volatility. High yielding stocks are often speculative, high-risk investments. These companies can be paying out more than they can support and may reduce their dividends or stop paying dividends at any time, which could have a material adverse effect on the stock price of these companies and the Fund's performance. Diversification does not prevent all investment loss. REIT investments are subject to changes in economic conditions, credit risk and interest rate fluctuations. Investments in securities of MLPs involve risks that differ from investments in common stock including risks related to limited control and limited rights to vote on matters affecting the MLP. MLP common units and other equity securities can be affected by economic and other factors affecting the stock market in general, expectations of interest rates, investor sentiment towards MLPs or the energy sector, changes in a particular issuer's financial condition, or unfavorable or unanticipated poor performance of a particular issuer. MLP investments in the energy industry entail significant risk and volatility. BDCs may carry risks similar to those of a private equity or venture capital fund. BDC company securities are not redeemable at the option of the shareholder and they may trade in the market at a discount to their net asset value. BDCs usually trade at a discount to their net asset value because they invest in unlisted securities and have limited access to capital markets. The Fund will indirectly bear its pro rata share of the fees and expenses incurred by a BDC it invests in, including advisory fees. Bonds and bond funds generally decrease in value as interest rates rise. Mortgage-backed securities are subject to prepayment and extension risk and therefore react differently to changes in interest rates than other bonds. Small movements in interest rates may quickly and significantly reduce the value of certain mortgage-backed securities.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Global X NAVs are calculated using prices as of 4:00 PM Eastern Time. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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***This material must be preceded or accompanied by the current fund prospectus. Read it carefully before investing.***