

LIT

## Lithium &amp; Battery Tech ETF

## KEY FEATURES

**Efficient Access**

LIT offers efficient access to a broad basket of companies involved in lithium mining, lithium refining, and battery production.

**Thematic Exposure**

The fund is a thematic play on lithium and battery technology.

ETF Category: Thematic Growth -  
Disruptive Technology  
As of 06/30/2020



## FUND DETAILS

Inception Date	07/22/2010
Underlying Index	Solactive Global Lithium Index
Number of Holdings	47
Assets Under Management	\$570.13 mil
Total Expense Ratio	0.75%
Distribution Frequency	Semi-Annually

## TRADING DETAILS

Ticker	LIT
CUSIP	37954Y855
Exchange	NYSE Arca
Bloomberg IOPV Ticker	LITIV
Index Ticker	SOLLIT

## PERFORMANCE (%)

	Current Quarter	Year to Date	One Year	Five Year	Since Inception
LIT at NAV	45.57%	15.99%	23.40%	8.77%	1.78%
LIT at Market Price	46.44%	16.20%	23.21%	8.76%	1.78%
Solactive Global Lithium Index	45.47%	15.86%	23.27%	8.91%	2.28%

The performance data quoted represents past performance and does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than their original cost. Current performance may be higher or lower than the performance quoted. High short term performance of the fund is unusual and investors should not expect such performance to be repeated. Returns for periods greater than one year are annualized. Market price returns are based upon the midpoint of the bid/ask spread at the close of the exchange and do not represent the returns you would receive if you traded shares at other times. For performance data current to the most recent month end, please call 1-888-493-8631, or visit [www.globalxetfs.com](http://www.globalxetfs.com).

## TOP 10 HOLDINGS (%)

Holdings Subject to Change

Albemarle Corp	10.60%	Contemporary Amperex Tech Co Ltd	5.13%
Ganfeng Lithium Co Ltd	6.51%	NAURA Technology Group Co Ltd	4.82%
BYD Co Ltd	5.66%	Samsung SDI Co Ltd	4.45%
LG Chem Ltd	5.56%	Panasonic Corp	4.31%
Tesla Inc	5.30%	Wuxi Lead Intelligent Equip Co Ltd	3.72%

## INDUSTRY BREAKDOWN (%)



Materials	44.44%
Industrials	21.78%
Information Technology	17.07%
Consumer Discretionary	16.71%

## COUNTRY BREAKDOWN (%)



China	47.41%
United States	20.52%
South Korea	11.82%
Japan	6.31%
Australia	3.85%
Chile	3.39%
Taiwan	2.65%
Germany	2.59%
Netherlands	0.62%
Others*	0.83%

\* Canada 0.39%, Hong Kong 0.38%, Britain 0.04%, Switzerland 0.02%

## RISK CHARACTERISTICS SINCE ETF INCEPTION

	Solactive Global Lithium Index	MSCI ACWI Index
Annualized Volatility	21.92%	14.53%
Beta	1.20	1.00
Sharpe Ratio	0.10	0.43

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## DEFINITIONS

Solactive Global Lithium Index	The Solactive Global Lithium Index tracks the performance of the largest and most liquid listed companies active in exploration and/or mining of Lithium or the production of Lithium batteries.
MSCI ACWI Index	MSCI ACWI Index captures large and mid cap representation across 23 Developed Markets (DM) and 24 Emerging Markets (EM) countries. The index covers approximately 85% of the global investable equity opportunity set. DM countries include: Australia, Austria, Belgium, Canada, Denmark, Finland, France, Germany, Hong Kong, Ireland, Israel, Italy, Japan, Netherlands, New Zealand, Norway, Portugal, Singapore, Spain, Sweden, Switzerland, the UK and the US. EM countries include: Brazil, Chile, China, Colombia, Czech Republic, Egypt, Greece, Hungary, India, Indonesia, Korea, Malaysia, Mexico, Pakistan, Peru, Philippines, Poland, Russia, Qatar, South Africa, Taiwan, Thailand, Turkey and United Arab Emirates.
Annualized Volatility	The annualized standard deviation of the daily returns of the security using the closing levels of the index during the 22 index-day period preceding that day.
Beta	Measures the volatility of the Fund price relative to the volatility in the market index and can also be defined as the percent change in the price of the Fund given a 1% change in the market index. A beta below one suggests that the fund was less volatile than the market benchmark.
Sharpe Ratio	Measures the return for each unit of risk. The risk free rate is subtracted from the mean return and is divided by the standard deviation of returns.
Lithium	Lithium is a chemical element which has several industrial applications including serving as the primary component to manufacture batteries. While there are no financial instruments tracking the direct price of lithium, the fund gains exposure to the asset class through companies active in the exploration, mining and refinement of lithium.

Global X Management Company, LLC serves as an advisor to the Global X Funds. The Funds are distributed by SEI Investments Distribution Co. (SIDCO, 1 Freedom Valley Drive, Oaks, PA, 19456), which is not affiliated with Global X Management Company, LLC or Mirae Asset Global Investments.

Investing involves risk, including the possible loss of principal. International investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles, or from economic or political instability in other nations. Emerging markets involve heightened risks related to the same factors as well as increased volatility and lower trading volume. Narrowly focused investments may be subject to higher volatility. There are additional risks associated with investing in lithium and the lithium mining industry. LIT is non-diversified. The information provided is not intended for trading purposes, and should not be considered investment advice.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Global X NAVs are calculated using prices as of 4:00 PM Eastern Time. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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**Carefully consider the fund's investment objectives, risk factors, charges, and expenses before investing. This and additional information can be found in the fund's full or summary prospectus, which may be obtained by calling 1.888.493.8631, or by visiting [globalxetfs.com](http://globalxetfs.com). Please read the prospectus carefully before investing.**