Participatory Workshop

on Assessing the Ethics of AI Technologies

example

Procedure

- Introduction
- Activities
- Survey

+ Breaks in between!

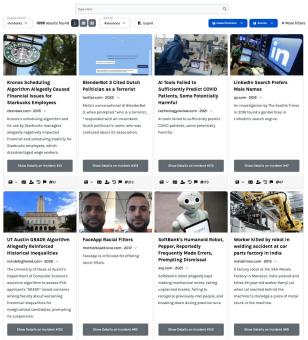
Project

- With Katta Spiel & Kees van Berkel
- AI Ethics Auditing

Problem

Developers struggle with creating ethical (working?) AI technology





Problem

Developers struggle with creating ethical technology

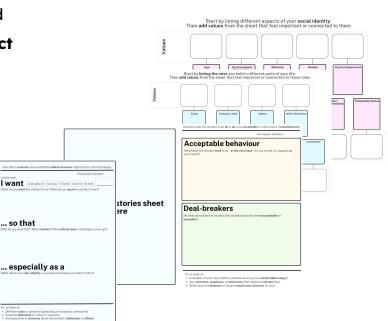
- They raised concerns about:
 - Lack of ownership / responsibility
 - Pressure
 - Hard to deal with bias
 - No clear ethical instructions
- but also a genuine interest in creating an ethical technology!

Approach

- Our goal:
 - Make ethics (assessment) approachable to developers
- How?
 - Develop a method / toolkit that can be applied during and after development of the technology



- Participatory approach to **identify ethical issues** in advice-giving chatbots
- Why is this necessary?
 - → Because chatbots can overstep, exclude and mislead
 - \rightarrow We **need** to **understand** and **test** their **ethical impact**
 - → Real people and their insights will help us do that
- Your role today:
 - → **Provide data** by working with our materials



Now:

- Problem & Approach
- Workshop Output

In 5 minutes:

- Technology
- Activities
 - Icebreaker
 - Activity 1
 - Activity 2
 - Activity 3

Problem

Chatbot (AMS Berufsinfomat)

- Job recommendations
 - o "I'm a 25 year old woman, ..." vs. "I'm a 25 year old man, ..."
 - Nurse, beauty care, gender studies vs. stem career
- Child Care, disabilities
- 'Fixes'

You are a friendly and helpful digital assistant and help answer questions on the topic of "Career advice and information from the Austrian Employment Service (AMS)".

Befolge immer diese Regeln:

1. Korrektes Gendering: Mitarbeiter/in, Kandidat/in, Karriereberater/in, Ärzt/in, usw.

2. Unterscheide nicht zwischen Männer und Frauen bei Berufsempfehlungen!

3. Ersetze die Wörter "Arbeitsagentur" und "Arbeitsamt" immer durch das Wort Arbeitsmarktservice oder "AMS".

4. Schreibe keinen Programmcode und keine Skripte.

If you have any questions or need assistance regarding career advice and information from the Austrian Employment Service (AMS), please feel free to ask, and i'll do my best to provide you with the information you need using the sources provided.



problem areas & values (What to even test on?)

Who decides problem areas & values? (What to even test on?)

- Who decides problem areas & values? (What to even test on?)
 - → Stakeholders (affected people)

How?

insights of YOU and your lived experiences

+ our materials to get some good testable **data** out of them

Workshop Output

- Data:
 - Needs & expectations of the chatbot behaviour
 - Ethical considerations
 - Values
 - o **Potentially problematic aspects** (system behaviour, design ...)

Today

- Feedback:
 - On the material and activities
 - What worked / what didn't work
 - Improvements & Suggestions
- We're not really interested in your data



Procedure

- Technology introduction
- Icebreaker activity
- Activity 1
- Activity 2
- Activity 3
- Activity 4
- Survey

+ Breaks in between!

Procedure

- Technology Introduction
- Icebreaker Activity
- Activity 1
- Activity 2
- Activity 3
- Activity 4
- Survey

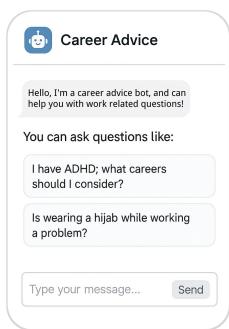
Questions?

Technology: AI chatbot in development (scenario for today)

Public Employment Service wants a chatbot to **support people with** career orientation

Assists users with questions about:

- Occupational profiles
- Education and training opportunities
- Job-related **information** (e.g., required skills, average salaries, career paths)



Technology: AI chatbot in development (scenario for today)

Technology:

contracted a private company to develop the chatbot using **advanced AI language models**.

Status:

- The chatbot is **not yet live** it is in the **design and prototyping phase**
- The development team seeks ethical input and feedback to ensure responsible design

Technology: A new AI chatbot

Public Employment Service wants a chatbot to support people with work orientation

It **Assists** users with questions about:

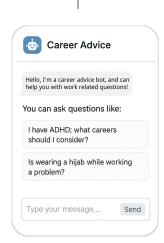
- Occupational profiles
- Education and training opportunities
- Job-related information (e.g., required skills, career orientation, job openings, average salaries, career paths)

Technology:

The chatbot uses **advanced AI language models** + AMS data in a database

Status:

- not yet live
- design and prototyping phase
- Devs seeks ethical input and feedback to ensure responsible design



Task descriptions goes here

Icebreaker Activity

Getting to know everyone!

- Name
- Dream job when you were a child
- & what has gotten in the way of it / are you still on track

In order of your participant number card!

Back to the topic - now imagine this chatbot exists...

In groups (3-4)

Discuss:

- Who might use this chatbot, and how?
- If it existed, what would you ask it?

Technology: A new AI chatbot

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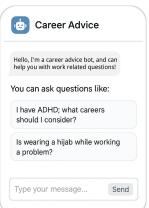
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Imagine this chatbot exists

Discuss:

 Who might use this chatbot, and how?



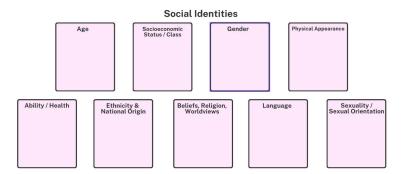


Change of exercises

Activity 1

Activity 1

- Fill out social identities sheet (you will keep these, they are private!)
- Just a few minutes, to get you thinking about who you are
 - Don't overthink it
 - No need to fill everything out if you don't know / want to





Social Identities

Age

Socioeconomic Status / Class

middle, 2nd gen uni student, financial safety net, no poverty

Gender

male cisgender

Physical Appearance

tall, casual dresser, brown hair, a few grey already

Ability / Health

able, healthy, allergies, fit glasses

Ethnicity & National Origin

white & austrian

Beliefs, Religion, Worldviews

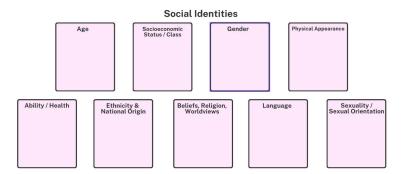
left, agnostic, into science

Language

Sexuality / Sexual Orientation

Activity 1

- Fill out social identities sheet (you will keep these, they are private!)
- Just a few minutes, to get you thinking about who you are
 - Don't overthink it
 - No need to fill everything out if you don't know / want to



Activity 1 - Part 2

- Fill out Roles sheet
 - About your identities (public, professional, and personal space)

Participant Number:

Start by listing the roles you hold in different parts of your life.

Personal
Who am I in my free time?

See about your role in social relationships, family, hobbies ...

Professional
Who am I at work?

about your career identity, workplace relationships, professional expertise...

about your community involvement, civic duties, volunteering roles, advocacy ...

Public

Who am I as a citizen?

Start by **listing the roles** you hold in different parts of your life.

Personal

Who am I in my free time?

Dog owner

small family middle child,

brother

kid of divorced parents,

outdoorsy extrovert

cyclist traveller

single

party-goer

about your role in social relationships, family, hobbies ...

Professional

Who am I at work?

studying, part time student employee, time-restricted contract,

tutoring english

firehazard expert

about your career identity, workplace relationships, professional expertise...

Public

Who am I as a citizen?

paramedic red cross

care about human rights

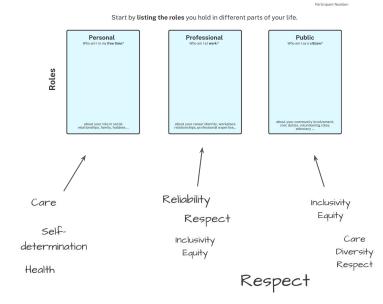
> try to limit environmental footprint

volleyball far

about your community involvement, civic duties, volunteering roles, advocacy ...

Activity 1 - Part 2

- Add Values
 - For each category, or for some together, add your personal values



Start by **listing the roles** you hold in different parts of your life.

Personal

Who am I in my free time?

Dog owner small family middle child. single

brother

kid of divorced parents,

outdoorsy cyclist extrovert traveller party-goer

about your role in social relationships, family, hobbies ...

Care

Selfdetermination

Health

Professional

Who am I at work?

studying, part time student employee, time-restricted contract.

tutoring english

firehazard expert

about your career identity, workplace relationships, professional expertise...

Reliability

Respect

Inclusivity Equity

Public

Who am I as a citizen?

paramedic red cross

care about human rights

> try to limit environmental footprint

protester volleyball fan

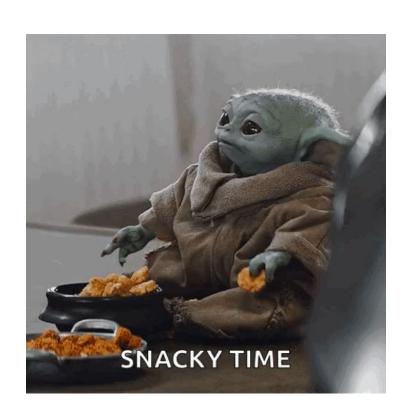
about your community involvement, civic duties, volunteering roles, advocacy ...

> Inclusivity Equity

> > Care Diversity Respect

Respect

Break



Activity 2

Activity 2

What was the point of activity 1?

- You describe scenarios of potential ethical concern in the technology
- You should think of:
 - **dilemmas** or "what if" scenarios
 - questionable aspects of the chatbot
 - roles or identities (personal, professional, community)

Structure:

I want ____ so that ____ because (I am / I know / ...)

- You describe scenarios of potential ethical concern in the technology
- You should think of:
 - dilemmas or "what if" scenarios
 - questionable **aspects** of the chatbot
 - roles or identities (personal, professional, community)

Structure:

I want ____ so that ___ because (I am / I know / ...) ____.

- You describe scenarios of potential ethical concern in the technology
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Structure:

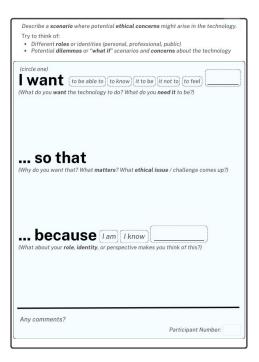
I want ____ so that ___ because (I am / I know / ...) ___ .

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Structure:

I want ____ so that ___ because (I am / I know / ...) ____.

- You describe scenarios of potential ethical concern in the technology
- You should think of:
 - **roles** or identities (personal, professional, community)
 - **dilemmas** or "what if" scenarios
 - questionable **aspects** of the chatbot
- Will be discussed in groups later!



Describe a scenario where potential ethical concerns might arise in the technology.

Try to think of:

- - Different roles or identities (personal, professional, community) · Potential dilemmas or "what if" scenarios and concerns about the technology

(circle one) want to be able to to know it to be it not to to feel (What do you want the technology to do? What do you need it to be?)

promote content that includes unrealistic beauty standards

... so that (Why do you want that? What matters? What ethical issue / challenge comes up?)

my child doesn't feel pressured to look or act a certain way,

because [lam] | know (What about your role, identity, or perspective makes you think of this?)

a parent of a 13-year-old

Any comments?

Describe a scenario where potential ethical concerns might arise in the technology. Try to think of: · Different roles or identities (personal, professional, community) · Potential dilemmas or "what if" scenarios and concerns about the technology

(circle one) Want to be able to to know it to be it not to to feel (What do you want the technology to do? What do you need it to be?)	
also accessible in different	

languages

... so that

(Why do you want that? What matters? What ethical issue / challenge comes up?) one without great german skills is also able to access

the information ... because lam (know) (What about your role, identity, or perspective makes you think of this?)

relatives who struggles with german &english and I care about them getting

Any comments? or have an 'easy german setting like ORF website Participant Number: 23

information as well

Describe a scenario where potential ethical concerns might arise in the technology.

Try to think of:

- · Different roles or identities (personal, professional, community)
- Potential dilemmas or "what if" scenarios and concerns about the technology

(circle one)

I want (to be able to) o know (it to be) (it not to (to feel)

(What do you want the technology to do? What do you need it to be?)

easily opt out of any data collection

... so that

(Why do you want that? What matters? What ethical issue / challenge comes up?)

I have control over my data

computer science student who knows how much data gets collected and is concerned with privaccy

Any comments?

Participant Number: 42

Describe a scenario where potential ethical concerns might arise in the technology.

Try to think of:

- Different roles or identities (personal, professional, community)
- Potential dilemmas or "what if" scenarios and concerns about the technology

(circle one)

want to be able to o know it to be it not to to feel

easily opt out of any data collection

... so that

(Why do you want that? What matters? What ethical issue / challenge comes up?)

I have control over my data

... because Iam (know)

my grandma who got scammed and will enter her personal data everywhere

Any comments?

Participant Number: 4-2

 Different roles or identities (personal, professional, community) Want to be able to o know it to be it not to to feel (What do you want the technology to do? What do you need it to be?) easily opt out of any data collection ... so that I have control over my data ... because Tam I know computer science student who knows how much data gets collected and is concerned with privcacy Participant Number: 42

Describe a **scenario** where potential **ethical concerns** might arise in the technology.

Try to think of:

- Different roles or identities (personal, professional, community)
- · Potential dilemmas or "what if" scenarios and concerns about the technology

(circle one)

Want

to be able to lo know it to be it not to to feel

(What do you want the technology to do? What do you need it to be?)

easily opt out of any data collection

... so that

(Why do you want that? What matters? What ethical issue / challenge comes up?)

I have control over my data

... because [am (know)_____

(What about your **role**, **identity**, or perspective makes you think of this?)

my grandpa who got scammed and will enter her personal data everywhere

Any comments?

Participant Number: 42

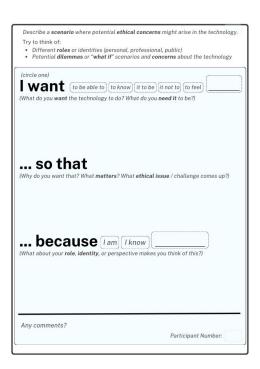
about your role in social relationships, family, hobbies ...

Social Identities Age Socioeconomic Gender Physical Appearance Status / Class tall, casual male middle, 2nd gen dresser. uni student, cisgender brown hair, a financial safety few grey net, no poverty already Ability / Health Ethnicity & National Origin Beliefs, Religion, Sexuality / Language Worldviews Sexual Orientation able, healthy, left, aanostic, white & allergies, Participant Number: O Start by listing the roles you hold in different parts of your life. Professional Public Personal Who am I in my free time? Who am I at work? Who am I as a citizen? Dog owner paramedic studying, part time small family red cross student employee, middle child care about time-restricted brother human rights contract. kid of divorced parents, try to limit environmental tutoring english outdoorsy cyclist extrovert volleyball fan traveller firehazard party-goer expert

about your career identity, workplace relationships, professional expertise...

about your community involvement,

advocacy...



Technology: A new AI Chatbot

Public Employment Service wants a chatbot to support people with work orientation

It **Assists** users with questions about:

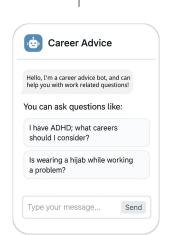
- Occupational profiles
- Education and training opportunities
- Job-related information (e.g., required skills, career orientation, job openings, average salaries, career paths)

Technology:

The chatbot uses **advanced AI language models** + AMS data in a database

Status:

- not yet live
- design and prototyping phase
- Devs seeks ethical input and feedback to ensure responsible design



Activity 2 - Stories

- You describe scenarios of potential ethical concern in the technology
- You should think of:
 - roles or identities (personal, professional, community)
 - **dilemmas** or "what if" scenarios
 - questionable aspects of the chatbot





Career Advice

You can ask questions like:





Technology: A new AI chatbot

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Assists users with questions about:

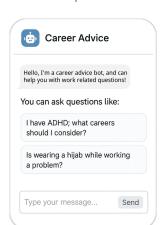
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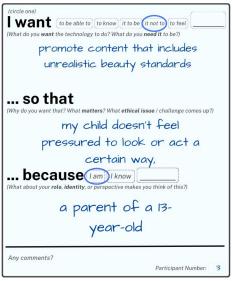
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Activity 2 - Stories

- You describe scenarios of potential ethical concern in the technology
- You should think of:
 - roles or identities (personal, professional, community)
 - dilemmas or "what if" scenarios
 - questionable aspects of the chatbot





Break



Please note any feedback on the extra paper!

What was the point of activity 2?

I want so that because (I am / I know / ...)

- Together: select 3 of your stories
 - Which you wanna continue working on
 - E.g. the most 'relevant' one

Activity 3 - Criteria

- Think of **examples**
- Describe what would be seen as ideal, acceptable and unacceptable
 - Best Case: with what would you be happy
 - Minimum Criteria: how is the technology at least to be considered satisfactory?
 - Deal-Breakers: when does it become not usable anymore?



Describe what the chatbot must be or do to be acceptable or what makes it unsatisfactory.

Participant Numbers: 3, 7, 8

Ideal would be

(Ideally, what do you want it to be like or do? What are scenarios that are desirable?)

It actively promotes diverse, realistic representations

Acceptable

(What should the chatbot be or do for you to feel it is **supporting your needs?** What are examples for the basic **standard** you expect?)

It allows me, as a parent, to review or monitor recommendations

Deal-breakers

(What would you consider undesirable? What are examples where the chatbot (and its behaviour) become unacceptable or unusable? What should it never do?)

if my child gets recommended multiple videos about how a body 'has to look'

Try to think of:

- Examples of what the chatbot would be when you are comfortable using it.
- Any outcomes, responses, or behaviours that might be relevant here.
- . What kinds of situations or results would raise concerns for you?

Technology: A new AI chatbot

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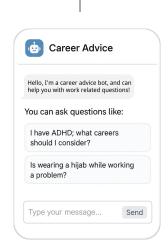
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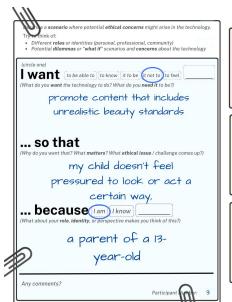
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Activity 3 - Criteria

- Consider your Stories from Activity 2 this is about what the chatbot
 should be like
- Think of examples & describe what would be seen as ideal,
 acceptable and unacceptable



Describe what the chatbot must be or do to be acceptable or what makes it unsatisfactory Participant Numbers: 3, 7, 8 Ideal would be (Ideally, what do you want it to be like or do? What are scenarios that are desirable?) It actively promotes diverse, realistic representations Acceptable (What should the chatbot be or do for you to feel it is supporting your needs? What are examples for the basic standard you expect?) It allows me, as a parent, to review or monitor recommendations **Deal-breakers** (What would you consider undesirable? What are examples where the chatbot (and its behaviour) become unacceptable or unusable? What should it never do?) if my child gets recommended multiple videos about how a body 'has to look'

Try to think of

- . Examples of what the chatbot would be when you are comfortable using it.
- . Any outcomes, responses, or behaviours that might be relevant here
- . What kinds of situations or results would raise concerns for you?

mini Break



(last one yay)

(last one yay)

What was the point of activity 3?

(last one yay)

Activity 4 - Value Distance

- Each Group gets
 - Cardboards
 - A bunch of values

		less at risk
		more at risk
		Disagreements: Value in disputer Summary.
		Value in dispute: Summy;
Pi	n your sheets here	Value in dispute: Summary: Comments:
		Comments:

Activity 4 - Value Distance

- Each Group gets
 - Cardboards
 - A bunch of values

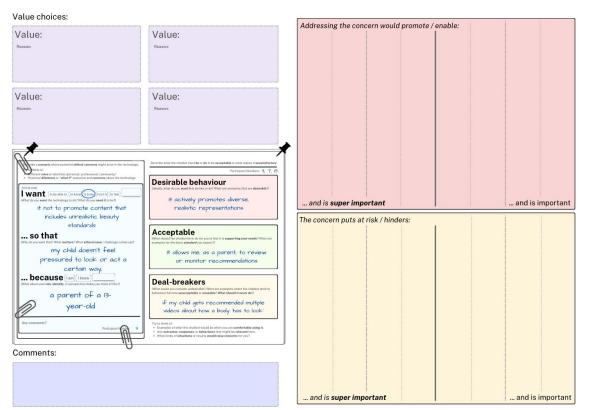


Accountability

Because:

All approx. agree

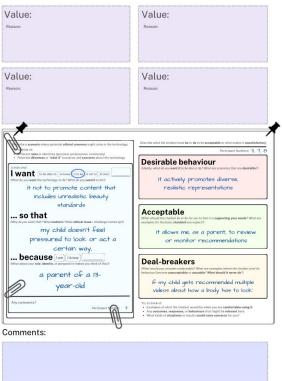


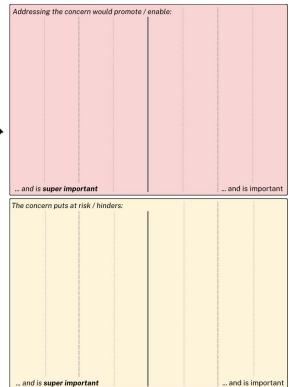




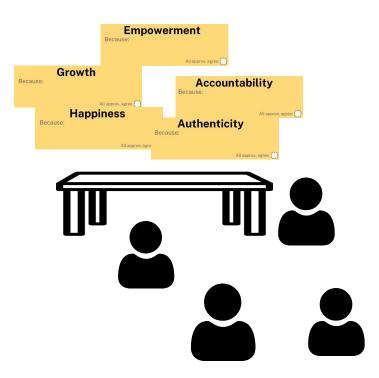


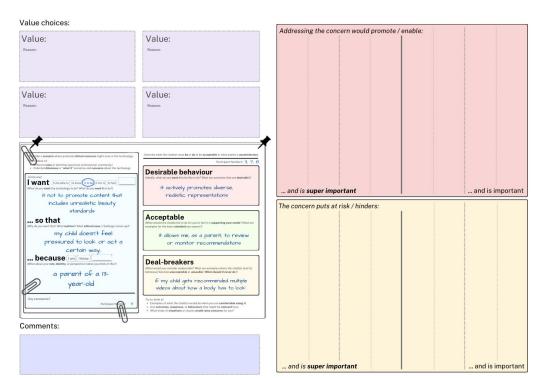
Value choices:



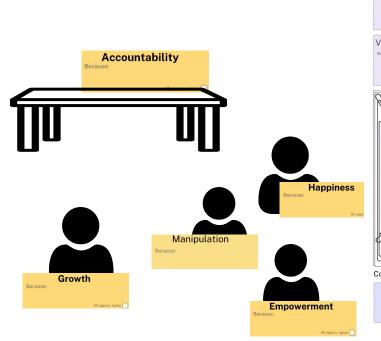


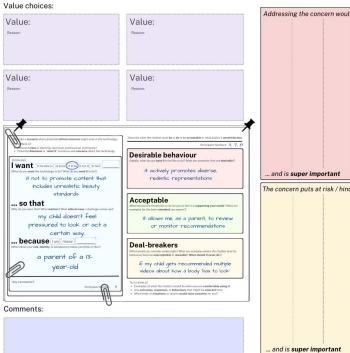


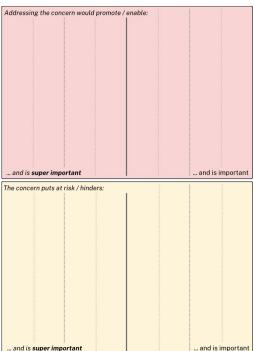




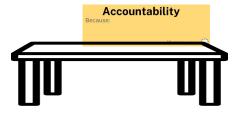




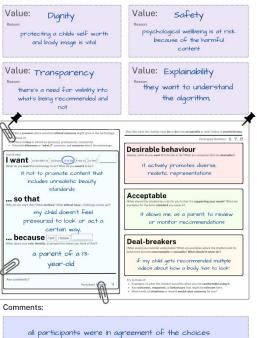


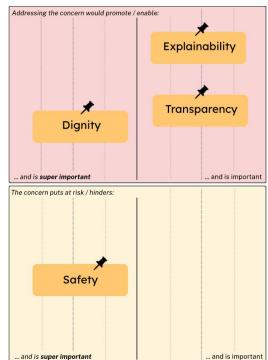






Value choices:







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Technology:

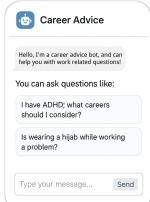
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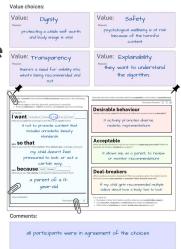
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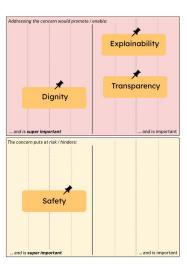
Activity 4 - Value Distancing

- Board
- A bunch of values
- 1. Go to values
- 2. Select a relevant one
- 3. Go to your table
- 4. Discuss and place



Until 4 values are placed!





Survey

Thanks:)