

Hennepin County General Accounting A-13 Government Center MC 131 300 South 6th Street Minneapolis, MN 55487-0131 -or- Email: OBF.Internet@hennepin.us	SUBSTITUTE FORM W-9 and Vendor Information Form
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IMPORTANT TAX INFORMATION - PLEASE READ THIS NOTICE CAREFULLY

This form was developed to comply with Internal Revenue Service (IRS) regulations and Minnesota statute and to assist Hennepin County in paying providers and vendors for their goods and services. You, as a payee, are required by law to provide us, as payer, your correct Taxpayer Identification Number (TIN). This information will only be shared with the IRS. The Minnesota Government Data Practices Act classifies the Social Security Number as private. Unless otherwise provided by law, the home address is also private. Direct questions to 612-348-2976.

Failure to respond to this request can result in IRS-mandated withholding on future payments as well as other penalties.

Name (Businesses must use the legal name as shown on your business income tax return. If you are an individual or sole proprietor, name must match your social security card.)	
Business name , if different from above.	
Federal Tax Classification (check one only): <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership): _____ <input type="checkbox"/> Trust/Estate <input type="checkbox"/> Other (specify): _____	
Remit Address: number, street, and apt. or suite no.	Order Address: if different from remit
City, State and ZIP code	City, State and ZIP code
Remit Phone #:	Order Phone #:
Email address for purchase orders (required): _____	
Taxpayer Identification Number (TIN) Enter your social security number (SSN) if an individual or federal employer identification number (EIN) if a business. SSN: - - OR EIN: -	
Number of employees: _____	NAICS code(s) (see www.naics.com/search): _____

Certification: Under penalties of perjury, I certify that:

- 1) The number shown on this form is my correct taxpayer identification number, and the name shown is the correct corresponding name.
- 2) I am a U.S. person (including a U.S. resident alien).
- 3) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

Certification Instructions: You must cross out item 3 above if you have been notified by the IRS that you are currently subject to backup withholding because of underreporting of interest or dividends on your tax return.

Print and Sign Here:	Name Printed:	Title Printed:	
	Signature:	Phone:	Date:

HENNEPIN COUNTY CONTRACTOR

AFFIRMATIVE ACTION (AA) CLASSIFICATION

The information requested below is used internally by Hennepin County to monitor and report on participation in county contracting. Your cooperation in completing this form is greatly appreciated. Vendors who do not complete this information may be contacted by Hennepin County to provide the information requested. If you have questions completing this side of the form, please call: (612) 348-3181.

1) Company type - check one:

- ☒ Publicly held company¹ } If you chose one of these, **STOP HERE.**
- ☐ Non-profit entity }
- ☐ Government entity }
- ☐ Other } If you chose "Other" please answer all remaining questions.

2) Are you a small business certified by the CERT Program²?

- ☐ Yes
- ☒ No

3) Check one:

- ☐ Veteran-Owned Business certified by the MnDOA³
- ☐ Service Disabled Veteran-Owned Business certified by the MnDOA³
- ☒ NOT a MnDOA³ certified Veteran-Owned Business

4) Check one gender of majority owner:

- ☒ Male
- ☐ Female
- ☐ Nonconforming

5) Check one ethnicity/race of majority owner⁴:

- ☒ Black/African American
- ☐ Hispanic
- ☐ Asian or Pacific Islander
- ☐ American Indian or Alaskan Native
- ☐ White/Caucasian

¹ **A Publicly Held Company** is a company whose stock is traded on the open market.

² **Small Business Enterprise Certification:**

While certification is not necessary to do business with Hennepin County, only CERT certified businesses can be counted toward the goal for Small Business Enterprise (SBE) participation in county contracting. Certification also boosts market exposure and qualifies businesses to access SBE program services. For further information about certification, please call 651-266-8900 or visit the Central Certification (CERT) Program's website at: <https://cert.smwbe.com/>

³ **Veteran-Owned Business Certification:**

While certification is not necessary to do business with Hennepin County, only the participation of businesses recognized as certified by the State of Minnesota Department of Administration can be counted as a Veteran-Owned Business (VOB) or Service Disabled Veteran-Owned Business (SDVOB) for county purposes. For further information about certification and advantages thereof, please contact the Office of Equity and Procurement (OEP) at: [\(651\) 296-2600](tel:6512962600) or visit: <https://mn.gov/admin/business/vendor-info/oep/sbcp/>

⁴ **Ethnicity and Racial Group Definitions:**

Black/African American: All persons having origins in any of the Black racial groups of Africa.

Hispanic: All persons of Mexican, Puerto Rican, Cuban, Central or South American descent or other Spanish culture of origin, regardless of race.

Asian or Pacific Islander: All persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Sub-continent or the Pacific Islands, including, for example, China, Japan, Korea, Hawaii, Guam, the Philippine Islands and Samoa.

American Indian or Alaskan Native: All persons having origins in any of the original peoples of North America, and who maintain tribal affiliation or community attachment.

White/Caucasian: All persons with origins in any of the original peoples of Europe, North Africa or the Middle East.