

# Matroid Theory

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January 27, 2014 - February 24, 2014

# Contents

<b>1</b>	<b>Union Of Closed Sets That Is Not Closed</b>	<b>2</b>
<b>2</b>	<b>First Test</b>	<b>3</b>
2.1	Problem 1 (Oxley, Section 1.1, Problem 2) . . . . .	3
2.2	Problem 2 (Oxley, Section 1.1, Problem 7) . . . . .	7
2.3	Problem 3 (Oxley, Section 1.1, Problem 9) . . . . .	8
2.4	Problem 4 (Oxley, Section 1.2, Problem 1) . . . . .	9
2.5	Problem 5 (Oxley, Section 1.2, Problem 6) . . . . .	10
2.6	Problem 6 (Oxley, Section 1.3, Problem 4) . . . . .	11
2.7	Problem 7 (Oxley, Section 1.3, Problem 5) . . . . .	12
2.8	Problem 8 (Oxley, Section 1.4, Problem 2) . . . . .	13
2.9	Problem 9 (Oxley, Section 1.4, Problem 6) . . . . .	14
2.10	Problem 10 (Oxley, Section 1.6, Problem 1) . . . . .	15
2.11	Problem 11 (Oxley, Section 1.6, Problem 3) . . . . .	16
2.12	Problem 12 (Oxley, Section 1.6, Problem 4) . . . . .	17
2.13	Problem 13 (Oxley, Section 1.7, Problem 5) . . . . .	18
2.14	Problem 14 (Oxley, Section 1.8, Problem 1) . . . . .	19
2.15	Problem 15 (Oxley, Section 1.8, Problem 4) . . . . .	20

# Note for the readers:

The goal on writing this in english instead of writing it in my first language (spanish) is because this way I can start building a portfolio that could not be as important as a research project, but it is work after all. So it would be better to have something to show that nothing to show at all, and much better if the audience capable of read it is as wide as possible.

As my first language is not english, this text could have a lot of misspellings and bad use of english. I apologize in advance and I'll try to be as careful as possible.

Here is a link to this project version controller repository:

<https://github.com/tellezhector/matroids>

There you can see what are the changes that have occurred along all this project's lifetime.

If you want to leave comments you can do it there, where anyone else is able to notice it. Or you could write directly to my personal e-mail address, which is: `tellez.hector@gmail.com` where it will be read only by me.

# 1

## Union Of Closed Sets That Is Not Closed

**Definition 1** (Closure). *Given a matroid  $M = (E, \mathcal{I})$  with rank function  $f$ . The **closure** of a set  $S \subset E$  is*

$$Cl(S) = \{x \in E \mid r(S \cup \{x\}) = r(S)\}.$$

**Definition 2** (Closed set). *Given a matroid  $M = (E, \mathcal{I})$  with rank function  $f$ . We say that a set  $S \subset E$  is **closed** if*

$$Cl(S) = S.$$

**Problem 3.** *Give an example of two closed sets whose union is not closed.*

— . —

*Proof.* We are going to use the linear matroid of  $\mathbb{Z}^2$ , where the independent sets are

$$\emptyset, \{(1, 0)\}, \{(0, 1)\}, \{(1, 1)\}, \{(1, 0), (0, 1)\}$$

and the rank function is the size of the biggest independent set contained.

It is clear that  $A = \{(1, 0), (0, 0)\}$  and  $B = \{(0, 1), (0, 0)\}$  are both closed. But  $C = A \cup B = \{(1, 0), (0, 1), (0, 0)\}$  is not, because  $r(C) = 2 = r(C \cup \{(1, 1)\})$  and  $(1, 1) \notin C$ .  $\square$

# 2

## First Test

February 17, 2014

Test for Oxley's book first chapter (Basic definitions and examples).

### 2.1 Problem 1 (Oxley, Section 1.1, Problem 2)

**Problem 4.** Let  $A$  be the matrix

$$\begin{matrix} & 1 & 2 & 3 & 4 & 5 & 6 \\ \begin{pmatrix} 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \end{pmatrix} \end{matrix}$$

For  $q$  in  $\{2, 3\}$ , let  $M_q[A]$  be the vector matroid of  $A$  when  $A$  is viewed over  $GF(q)$ , the field of  $q$  elements. Show that:

- (i) The sets of circuits of  $M_2[A]$  and  $M_3[A]$  are different.
- (ii)  $M_2[A]$  is graphic but  $M_3[A]$  is not.
- (iii)  $M_2[A]$  is representable over  $GF(3)$ , but  $M_3[A]$  is not representable over  $GF(2)$ .

— . —

*Proof.* (i) Lets look for the circuits over  $GF(2)$ . As 0 and 1 are the only scalars over  $GF(2)$ , we can only pay attention to sums of the vector columns and forget about full linear combinations.

There are no loops, the only way to get a loop is having a zero column and it is not the case.

There are no circuits of length two (or parallel columns), the only way to get them is having two exactly equal columns and it is not the case.

Lets call the columns 1, 2, and 3 the “simple columns”, and the columns 4, 5 and 6 the “double columns”.

For any pair of the columns from the simple columns there is a column from the double columns such that the sum is zero. Such column is the one you can get from adding the chosen pair. That is,  $\{1, 2, 4\}$ ,  $\{1, 3, 5\}$ ,  $\{2, 3, 6\}$  are three dependent sets.

If you try to add all the simple columns, you can't add up to zero.

If you add two of the the double columns, you get the third one, so  $\{4, 5, 6\}$  is dependent.

These four sets are circuits given that there are no smaller dependent sets.

These four are all the circuits of size three. Lets think about the circuits of size four.

Again, if you add two of the double columns, you get the third one, and there are two simple columns that can add up this third one. So,  $\{1, 2, 5, 6\}$ ,  $\{1, 3, 4, 6\}$ ,  $\{2, 3, 4, 5\}$  are dependent sets. And no one of the four already given circuits are contained in them, so these are circuits themselves.

At any time you choose the three simple columns, you will get no way to add up to zero just adding double columns. So, any set containing all of the simple columns will not be a circuit.

If you choose all the double columns, you have already chosen a circuit, so if you add any other vector, you will get a dependent set that is not a circuit.

So these seven are all the circuits of  $M_2[A]$ .

Now lets look for the circuits over  $GF(3)$ . Again and for the same reason, there are not loops or parallel columns.

If you choose two simple colums and you add them, you get one of the double columns, so if you add to them the negative of this double column, you get the zero vector. So  $\{1, 2, 4\}$ ,  $\{1, 3, 5\}$ ,  $\{2, 3, 6\}$  are circuits.

$\{4, 5, 6\}$  is not dependent, you can check that the determinant of their submatrix is 2 (or -2 if you switched something).

If you choose two doble columns and add to them a simple one, again the determinant is not zero (it is always 1 or -1). So  $\{1, 2, 4\}$ ,  $\{1, 3, 5\}$ ,  $\{2, 3, 6\}$  are all the circuits of size three.

Now lets look for circuits of size four.

If you choose two double columns and add one to the negative of the second one, you can find two simple columns that can make them add up the zero vector (using the correct signs), these two vectors are exactly the ones that add up to the double column that you didn't choose. So none of these selections contains the circuits of size three and therefore they are circuits. So this give us the circuits  $\{1, 2, 5, 6\}$ ,  $\{1, 3, 4, 6\}$ ,  $\{2, 3, 4, 5\}$ .

If choose all of the double columns, you can choose any of the simple one so the four of them add up the zero vector using an appropriate selection for the signs. So this give us the dependent sets  $\{1, 4, 5, 6\}$ ,  $\{2, 4, 5, 6\}$ ,  $\{3, 4, 5, 6\}$  and they are circuits because any of the smaller circuits contain at least two simple columns.

The only other chance is choosing all of the simple columns, but wathever you add, you will get any of the three sized circuits as a subset. So these six are all of the circuits of size four.

There are no circuits of size five, because there are only two possibilities, having three simple columns and two double columns, or having three double columns and two simple columns. The first one, again contains as a subset a circuit of size three. The second one always contains as a subset a circuit of size four.

So in total, there are nine circuits for  $M_3[A]$ .

Only by their cardinality, you can tell that the set of circuits of  $M_2[A]$  and  $M_3[A]$  are different.

- (ii) We are going to give a graphic representation of a graph that has  $M_2[A]$  as an isomorphic matroid. You can verify that it has all the seven circuits that we gave previously and only those.

Figure 2.1:



Now let's think what could happen if  $M_3[A]$ . For  $M_3[A]$ , we have the circuits  $\{1, 4, 5, 6\}$ ,  $\{2, 4, 5, 6\}$ ,  $\{3, 4, 5, 6\}$ . Take any two of them, let's say  $\{1, 4, 5, 6\}$ ,  $\{2, 4, 5, 6\}$ , they have three edges in common  $\{4, 5, 6\}$ .

If there is a graphic matroid isomorphic to  $M_3[A]$ ,  $\{4, 5, 6\}$  defines three edges of a 4-cycle, that is, a 3-trajectory. In any 3-trajectory we will find 4 vertices, so the fourth edge is already determined by two of those vertices (the start vertex and the last vertex), but in this case we have that  $\{1, 4, 5, 6\}$ ,  $\{2, 4, 5, 6\}$  are both 4-cycles over the same set of vertices, and so 1 and 2 have exactly the same two vertices, that is, they are parallel. But in [4.(i)] we saw that  $M_3[A]$  has no parallel columns. So this is a contradiction.

- (iii) We are going to give a matrix  $B$  over  $GF(3)$  such that  $M_3[B]$  isomorphic to  $M_2[A]$ .

We are going to take the incidence matrix for the graphic representation [2.1], and choose one "1" from each column and change it by "-1". What we are doing is giving an orientation to each of the edges. So, if a cycle is such that each edge has exactly outdegree 1 and indegree 1, it is easy to see that the sum of the respective columns will be the zero vector (each 1 will have exactly one -1 in its same row).

But let's remember that we are taking linear combination over  $GF(3)$ , that is, we can take a column, its negative, or take the zero vector instead. So, if we have a cycle, not necessarily "well-oriented", we can give it a "good-orientation" by multiplying its edges by -1 or -2 such way that each vertex has outdegree 1 and indegree 1. If we sum them, we will get the zero vector, and giving a "good-orientation" is no other thing than finding a linear combination that sums up 0.

So, let  $B$  be the matrix

$$\begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 \end{matrix} \\ \begin{matrix} a \\ b \\ c \\ d \end{matrix} & \begin{pmatrix} -1 & 0 & 0 & 1 & -1 & 0 \\ 0 & -1 & 0 & -1 & 0 & 1 \\ 0 & 0 & -1 & 0 & 1 & -1 \\ 1 & 1 & 1 & 0 & 0 & 0 \end{pmatrix} \end{matrix}$$

By the explanation just given, each circuit in  $M_2[A]$  is a circuit in  $M_3[B]$  (note that we used the same labels in both matrices so the subsets of labels can have the same representation).

Also, note that if you choose a subset of edges such that you have leaves, the row for any leaf cannot be zero under any linear combination unless you multiply it by 0. Having said these, it is easy to see that the subsets of columns representing forests are independent.

Now, let's suppose there is a matrix  $C$  such that  $M_2[C]$  is isomorphic to  $M_3[A]$ . Again, let's suppose that the labels are the same in both matrices. Then, you must have that the subsets of columns  $\{1, 4, 5, 6\}$  and

$\{2, 4, 5, 6\}$  are circuits. So, the sum of the columns 4, 5, 6 and 1 must be the zero vector, that is the column 1 is equal to the sum of the columns 4, 5 and 6. But the same occurs with the other circuit, the sum of columns 4, 5, 6 and 2 must be zero, so the column 2 is equal to the sum of the columns 4, 5 and 6. Then we have that the columns 1 and 2 must be the equal. That is, the columns 1 and 2 are parallel. Which is a contradiction to what we proved in [4.(i)].

□



## 2.2 Problem 2 (Oxley, Section 1.1, Problem 7)

**Problem 5.** Let  $M_1$  and  $M_2$  be matroids on disjoint sets  $E_1$  and  $E_2$  and with independent sets  $\mathcal{I}_1$  and  $\mathcal{I}_2$  respectively. Let  $E = E_1 \cup E_2$  and  $\mathcal{I} = \{I_1 \cup I_2 : I_1 \in \mathcal{I}_1, I_2 \in \mathcal{I}_2\}$ . Prove that  $(E, \mathcal{I})$  is a matroid.

— . —

*Proof.* Lets see that  $(E, \mathcal{I})$  satisfies I1, I2 and I3.

(I1)  $\emptyset \in \mathcal{I}$ . This is clear,  $\emptyset \in \mathcal{I}(M_1)$  and  $\emptyset \in \mathcal{I}(M_2)$ , so  $\emptyset = \emptyset \cup \emptyset \in \mathcal{I}$ .

(I2) If  $I \in \mathcal{I}$ ,  $J \subset I$  then  $J \in \mathcal{I}$ .  $I \in \mathcal{I}$  means that there are  $I_1 \in \mathcal{I}_1$  and  $I_2 \in \mathcal{I}_2$  such that  $I = I_1 \cup I_2$ . Given that  $J \subset I$  it is clear that  $J = J \cap I = J \cap (I_1 \cup I_2) = (J \cap I_1) \cup (J \cap I_2)$ . We have that  $(J \cap I_1) \subset I_1$  and  $(J \cap I_2) \subset I_2$ , given that  $M_1$  and  $M_2$  are matroids, then  $(J \cap I_1) \in \mathcal{I}_1$  and  $(J \cap I_2) \in \mathcal{I}_2$ . Then  $J = (J \cap I_1) \cup (J \cap I_2) \in \mathcal{I}$ .

(I3) If  $I, J \in \mathcal{I}$ ,  $|J| < |I|$  then there exists  $x \in I \setminus J$  such that  $J \cup \{x\} \in \mathcal{I}$ . By hypotesis, there are  $I_1, J_1 \in \mathcal{I}_1$  and  $I_2, J_2 \in \mathcal{I}_2$  such that  $I = I_1 \cup I_2$  and  $J = J_1 \cup J_2$ . Then  $|J| = |J_1| + |J_2| < |I_1| + |I_2| = |I|$ . Then there must be that  $|J_1| < |I_1|$  or that  $|J_2| < |I_2|$ . Withtout loss of generality, lets say that  $|J_1| < |I_1|$ , as  $M_1$  is a matroid, then, there exists  $x \in I_1 \setminus J_1$  such that  $J_1 \cup \{x\} \in \mathcal{I}_1$ . Then,  $x \in I$  and  $J \cup \{x\} = (J_1 \cup \{x\}) \cup J_2 \in \mathcal{I}$ . And we are done.

□

## 2.3 Problem 3 (Oxley, Section 1.1, Problem 9)

**Problem 6.** Let  $M_1$  and  $M_2$  be matroids on a set  $E$ . Give an example to show that  $(E, \mathcal{I}(M_1) \cap \mathcal{I}(M_2))$  need not be a matroid.

— . —

*Proof.* Let  $E = \{a, b, c\}$ .

Let  $\mathcal{I}(M_1) = \{\{a, b\}, \{a, c\}, \{a\}, \{b\}, \{c\}, \emptyset\}$ . Let's check that this is a matroid. It has the empty set, given any set, any of its subsets is independent. To see that the independence augmentation axiom (if  $I, J \in \mathcal{I}$  and  $|J| < |I|$  then there exists  $x \in I \setminus J$  such that  $J \cup \{x\} \in \mathcal{I}$ ), I will give you a table, where the first row is for the set  $I$ , the second for  $J$  and the third one for  $x$ .

$I$	$J$	$x$
$\{a, b\}$	$\{a\}$	b
$\{a, b\}$	$\{b\}$	a
$\{a, b\}$	$\{c\}$	a
$\{a, b\}$	$\emptyset$	a
$\{a, c\}$	$\{a\}$	c
$\{a, c\}$	$\{b\}$	a
$\{a, c\}$	$\{c\}$	a
$\{a, c\}$	$\emptyset$	a
$\{a\}$	$\emptyset$	a
$\{b\}$	$\emptyset$	b
$\{c\}$	$\emptyset$	c

So  $(E, \mathcal{I}(M_1))$  is a matroid.

Now, let  $\mathcal{I}(M_2) = \{\{a, b\}, \{b, c\}, \{a\}, \{b\}, \{c\}, \emptyset\}$ , to see that  $(E, \mathcal{I}(M_2))$  the first two axioms can be justified just as above, and just below is the table to check the augmentation axiom.

$I$	$J$	$x$
$\{a, b\}$	$\{a\}$	b
$\{a, b\}$	$\{b\}$	a
$\{a, b\}$	$\{c\}$	b
$\{a, b\}$	$\emptyset$	a
$\{b, c\}$	$\{a\}$	b
$\{b, c\}$	$\{b\}$	c
$\{b, c\}$	$\{c\}$	b
$\{b, c\}$	$\emptyset$	b
$\{a\}$	$\emptyset$	a
$\{b\}$	$\emptyset$	b
$\{c\}$	$\emptyset$	c

So  $(E, \mathcal{I}(M_2))$  is also a matroid.

Then  $\mathcal{I}' = \mathcal{I}(M_1) \cap \mathcal{I}(M_2) = \{\{a, b\}, \{a\}, \{b\}, \{c\}, \emptyset\}$  cannot be an independent set for  $E$ . It satisfies (I1) and (I2), but not I3 since  $\{a, b\}, \{c\} \in \mathcal{I}'$ , and  $|\{c\}| < |\{a, b\}|$  but  $\{c\} \cup \{a\} \notin \mathcal{I}'$  and  $\{c\} \cup \{b\} \notin \mathcal{I}'$ .  $\square$

## 2.4 Problem 4 (Oxley, Section 1.2, Problem 1)

**Problem 7.** *Prove that  $\mathfrak{B}$  is the collection of bases of a matroid on  $E$  if and only if  $\mathfrak{B}$  satisfies (B1) and the following two conditions:*

(B2)' *If  $B_1, B_2 \in \mathfrak{B}$  and  $e \in B_1$ , then there is an element  $f$  of  $B_2$  such that  $(B_1 \setminus \{e\}) \cup \{f\} \in \mathfrak{B}$ .*

(B3) *If  $B_1, B_2 \in \mathfrak{B}$  and  $B_1 \subset B_2$ , then  $B_1 = B_2$ .*

— . —

*Proof.*

### Sufficiency

Suppose that  $\mathfrak{B}$  is the collection of bases of a matroid.

Then, it satisfies (B1) and (B2). As  $\mathfrak{B}$  is a clutter, if  $B_1, B_2 \in \mathfrak{B}$ , then  $B_1 = B_2$ . Proving that it satisfies (B3).

Now, let's suppose that  $B_1, B_2 \in \mathfrak{B}$  and  $e \in B_1$ .

If  $e \in B_1 \cap B_2$  then we could choose  $f = e \in B_2$  and then  $(B_1 \setminus \{e\}) \cup \{f\} = B_1 \in \mathfrak{B}$ .

If  $e \in B_1 \setminus B_2$  then there exists an element  $f \in B_2 \setminus B_1$  such that  $(B_1 \setminus \{e\}) \cup \{f\} \in \mathfrak{B}$ .

### Necessity

Now let's suppose that  $\mathfrak{B}$  satisfies (B1), (B2)' and (B3).

Let be  $B_1, B_2$  in  $\mathfrak{B}$  and  $x \in B_1 \setminus B_2$ . By (B2)' we have that there is an element  $y$  in  $B_2$  such that  $(B_1 \setminus \{x\}) \cup \{y\} \in \mathfrak{B}$ . If  $y \in B_1$ , then  $(B_1 \setminus \{x\}) \cup \{y\} = B_1 \setminus \{x\} \in \mathfrak{B}$ , but as  $B_1 \setminus \{x\} \subset B_1$ , (B3) implies that  $B_1 \setminus \{x\} = B_1$ , which is a contradiction. So  $y \in B_2 \setminus B_1$ , proving that  $\mathfrak{B}$  satisfies (B2) and therefore, is the collection of bases of a matroid.  $\square$

## 2.5 Problem 5 (Oxley, Section 1.2, Problem 6)

**Problem 8.** Suppose  $B$  is a basis of a matroid  $M$ ,  $f \in E(M)$  and  $e \in E(M) \setminus B$ . Prove that  $(B \cup \{e\}) \setminus \{f\}$  is a basis of  $M$  if and only if  $f \in C(e, B)$ .

— . —

*Proof.*

### Sufficiency

Suppose that  $f \in C(e, B)$ .  $C(e, B)$  is the only circuit contained in  $B \cup \{e\}$  by definition. Then  $(B \cup \{e\}) \setminus \{f\}$  does not contain any circuit, that means, it is independent. On the other hand,  $e \notin B$  and  $f \in B$ , then  $|(B \cup \{e\}) \setminus \{f\}| = (|B| + 1) - 1 = |B|$ , therefore,  $(B \cup \{e\}) \setminus \{f\}$  is a basis, as it is an independent set with the size of a basis.

### Necessity

We know that  $(B \cup \{e\})$  contains only one circuit (and it is  $C(e, B)$ ). If  $(B \cup \{e\}) \setminus \{f\}$  is a basis of  $M$ , then it can not contain  $C(e, B)$ . That is,  $C(e, B) \subseteq (B \cup \{e\})$ , but  $C(e, B) \not\subseteq (B \cup \{e\}) \setminus \{f\}$ , then  $f$  must be in  $C(e, B)$ .

□

## 2.6 Problem 6 (Oxley, Section 1.3, Problem 4)

**Problem 9.** *Prove that a matroid  $M$  is uniform if and only if it has no circuits of size less than  $r(M) + 1$ .*

— . —

*Proof.*

### Sufficiency

Suppose that  $M$  is a uniform matroid  $U_{m,n}$ , then  $r(M) = m$  by definition. Moreover, any  $A \subset E(M)$  such that  $|A| < m$  will be subset of some basis and therefore independent. Then if there is any circuit  $C$ , it must have size greater than  $m$ , that is  $r(C) \geq m + 1 = r(M) + 1$ .

### Necessity

Suppose now that  $M$  is such that it has no circuits of size less than  $r(M) + 1$ . Then, for any  $A \subset E(M)$  with  $|A| \leq r(M)$  it must be independent, if not, then  $A$  should contain a circuit, but any circuit has size at least  $r(M) + 1$ .

Then  $M$  must be isomorphic to an uniform matroid  $U_{r(M), |E(M)|}$ . □

## 2.7 Problem 7 (Oxley, Section 1.3, Problem 5)

### Problem 10.

- (i) Characterize paving matroids in terms of their collection of independent sets and in terms of their collections of bases.
- (ii) Characterize uniform matroids in terms of their collections of circuits.

— . —

*Proof.*

□

## 2.8 Problem 8 (Oxley, Section 1.4, Problem 2)

**Problem 11.** *Show that a subset  $X$  of a matroid is a basis if and only if  $X$  is both independent and spanning.*

— . —

*Proof.*

□

## 2.9 Problem 9 (Oxley, Section 1.4, Problem 6)

**Problem 12.** *Prove that statements (a)-(g) below are equivalent for an element  $e$  of a matroid  $M$ :*

- (a)  $e$  is in every basis.
- (b)  $e$  is in no circuits.
- (c) If  $X \subseteq E(M)$  and  $e \in cl(X)$ , then  $e \in X$ .
- (d)  $r(E(M) \setminus \{e\}) = r(E(M)) - 1$ .
- (e)  $E(M) \setminus \{e\}$  is a flat.
- (f)  $E(M) \setminus \{e\}$  is a hyperplane.
- (g) If  $I$  is an independent set, then so is  $I \cup e$ .

— . —

*Proof.*

□



## 2.10 Problem 10 (Oxley, Section 1.6, Problem 1)

**Problem 13.** *Show the following:*

- (i) *All uniform matroids are transversal.*
- (ii) *A transversal matroid need not be graphic.*
- (iii) *A paving matroid need not be transversal.*

— . —

*Proof.*

□

## 2.11 Problem 11 (Oxley, Section 1.6, Problem 3)

**Problem 14.** Let  $S = \{1, 2, \dots, 6\}$  and  $\mathcal{A} = \{A_1, A_2, A_3\}$  where  $A_1 = \{1, 2, 3\}$   $A_2 = \{2, 3, 4\}$ , and  $A_3 = \{4, 5, 6\}$ .

(i) Find  $\Delta[\mathcal{A}]$ .

(ii) give a geometric representation for  $M[\mathcal{A}]$ .

— . —

*Proof.*

□

## 2.12 Problem 12 (Oxley, Section 1.6, Problem 4)

**Problem 15.** *Characterize the circuits of  $M[\mathcal{A}]$  in terms of the bipartite graph  $\Delta[\mathcal{A}]$ .*

— . —

*Proof.*

□

## 2.13 Problem 13 (Oxley, Section 1.7, Problem 5)

**Problem 16.** *Prove that a finite lattice  $\mathcal{L}$  is semimodular if, for all  $x, y$  in  $\mathcal{L}$ , the following condition holds:*

IF BOTH  $x$  AND  $y$  COVER  $x \wedge y$ , THEN  $x \vee y$  COVERS BOTH  $x$  AND  $y$ .

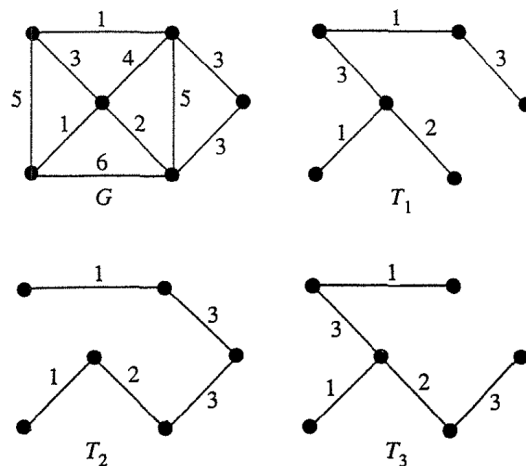
— . —

*Proof.*

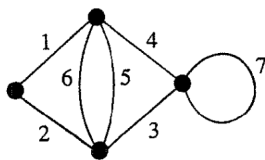
□

## 2.14 Problem 14 (Oxley, Section 1.8, Problem 1)

**Problem 17.** (i) Find a maximum-weight spanning tree of the graph in the next figure. Is this the unique such tree?



(ii) Find all maximum-weight spanning trees and all minimum-weight spanning trees of the graph in the next figure, where the edge labels are interpreted as weights.



— . —

*Proof.*

□

## 2.15 Problem 15 (Oxley, Section 1.8, Problem 4)

**Problem 18.** Let  $M$  be a matroid and  $\omega : E(M) \rightarrow \mathbb{R}$  be a one-to-one function. Prove that  $M$  has a unique basis of maximum weight.

— . —

*Proof.*

□