### Finite fields

This chapter is the first of a series documenting my fun with cryptography. We're talking about finite fields and it will sound a bit math-worky at times but most everything will be obvious once we've worked through it. Two of my sources are

https://engineering.purdue.edu/kak/compsec/Lectures.html

http://www.cs.utsa.edu/~wagner/laws/FFM.html

Start with some notes from the first one, early chapters from Prof. Kak's course on cryptography.

#### **Definitions**

A **group** is a set of objects plus a binary operation (operator o), with the following properties. If  $a,b \in G$ , then the operations exhibit:

- Closure:  $a \circ b = c \Rightarrow c \in G$
- Associativity:  $(a \ o \ b) \ o \ c = a \ o \ (b \ o \ c)$
- Identity element:  $a \circ i = a$
- Inverse element:  $a \circ b = i$

A common notation is to use  $\{G,+\}$ , (even if the operation is not really like addition). If a + i = a, call i the identity element and typically use 0 for it.

# An **Abelian group** is:

• Commutative:  $a \circ b = b \circ a$ 

A **Ring** is a group with the multiplication operator  $\times$  (even if the operation is not really like multiplication). It may be designated as  $\{R,+,\times\}$  and exhbits:

• Closure:  $a \times b \in R$ 

• Associativity:  $(a \times b) \times c = a \times (b \times c)$ 

• Distributivity:  $a \times (b+c) = (a \times c) + (a \times b)$ 

Often the  $\times$  is dropped: a(b+c) = ac + ab.

A ring may be

• Commutative: ab = ba

An **integral domain**  $\{R,+,\times\}$  is a commutative ring that also has

• Multiplicative identity element:  $a \times 1 = a$ 

If ab = 0, then either a = 0 or b = 0.

A **Field**  $\{F,+,\times\}$  is an integral domain that has, for every a a multiplicative inverse b

• Multiplicative inverse: ab = 1

1 is its own multiplicative inverse.

According to wikipedia

https://en.wikipedia.org/wiki/Finite\_field

In mathematics, a finite field or Galois field ... is a field that contains a finite number of elements. As with any field, a finite field is a set on which the operations of multiplication,

addition, subtraction and division are defined and satisfy certain basic rules.

You can read all about it there. I think this conveys the general idea.

### Polynomial arithmetic

We switch to a new topic. The connection with fields will be apparent shortly.

A polynomial is an expression of the form:

$$\sum_{n=0}^{n} a_n x^n$$

where the coefficients come from some set S, for example, the integers:

$$x^5 + 9x^3 + 2x^2 + 1$$

This is a polynomial of degree 5.

Polynomial arithmetic deals with addition, multiplication, etc. of polynomials. Consider this example of division for polynomials with cofactors from the real numbers:

$$\frac{8x^2 + 3x + 2}{2x + 1}$$

The first term of the quotient is 4x (because  $4x \times 2x = 8x^2$ ) and

$$4x \times (2x+1) = 8x^2 + 4x$$

so we subtract that from the numerator and the remainder is -x + 2 and dividing again

$$\frac{-x+2}{2x+1}$$

The second term of the quotient is -0.5 (because  $-0.5 \times 2 = -1$  and

$$-0.5 \times (2x+1) = -x - 0.5$$

Subtracting -0.5 from 2 leaves a remainder of 2.5.

### Additive and multiplicative inverses

Now, suppose we start doing arithmetic with polynomials whose coefficients belong to a finite field. Example:  $Z_7$  which can also be called GF(7).

We construct such a field simply by doing all our arithmetic modulo 7. If a value is greater than or equal to 7, we divide by 7 and set the value equal to the remainder.

We will be doing division and subtraction mod 7. For division that means finding a multiplicative inverse for the denominator and *multiplying* the numerator by that. Similarly, for subtraction we find the additive inverse of the second term and *add* that to the first term.

#### Additive inverses

$$1+6=0 \bmod 7$$

$$2 + 5 = 0 \mod 7$$

$$3+4=0 \bmod 7$$

So, for example, subtracting 3 is the same as adding 4

## Multiplicative inverses.

1 is its own inverse

$$2 \times 4 = 8 = 1 \mod 7$$

$$3 \times 5 = 15 = 1 \mod 7$$

$$6 \times 6 = 35 = 1 \mod 7$$

so 6 is also its own multiplicative inverse.

Example

$$\frac{5x^2 + 4x + 6}{2x + 1}$$

We first divide 5 by 2. Since 4 is the multiplicative inverse of 2 we multiply  $5 \times 4 = 20 = 6 \mod 7$ . So the first term of the quotient is 6x and

$$6x \times (2x+1) = 5x^2 + 6x$$

We need to subtract 4x-6x which we do by adding  $4x+1x = 5x \mod 7$ . Hence we now have the remainder

$$\frac{5x+6}{2x+1}$$

We did this division 5/2 before: we got 6.

$$6 \times (2x+1) = 5x + 6$$

which leaves no remainder. The answer is 6x + 6.

Hence we can write:

$$(6x+6)(2x+1) = 5x^2 + 4x + 6$$

That can be done pretty easily without paper. Multiplication is definitely easier than division.

We call (6x+6) and (2x+1) the factors of  $(5x^2+4x+6)$ .

### **GF(2)**

Now we're getting closer to the main point. We will be doing binary arithmetic and the coefficients of the polynomials come only from 0

and 1. Therefore, the polynomials are of the form

$$\sum_{0}^{n} x^{n}$$

There are no coefficients now. Either a term is zero or it is x to some power like  $x^n$ .

GF(2) consists of the set  $\{0,1\}$ .

We define addition

$$0 + 0 = 0$$

$$0 + 1 = 1$$

$$1 + 0 = 1$$

$$1 + 1 = 0$$

Addition is the same as logical XOR.

### subtraction

$$0 - 0 = 0$$

$$1 - 0 = 1$$

$$0 - 1 = 1$$

$$1 - 1 = 0$$

Notice here that (i) 1-1=0 because (from the first table) the arithmetic inverse of 1 is just 1 since  $1 \oplus 1 = 0$ , so 1-1=1+1=0. For a similar reason 0-1=1.

Another reason is that in moving 0 to -1 we move by one unit.

# multiplication

$$0 \times 0 = 0$$

$$0 \times 1 = 0$$

$$1 \times 0 = 0$$

$$1 \times 1 = 1$$

Multiplication is the same as logical AND.

Let us work with two such polynomials:

$$(x^4 + x^3 + x + 1)$$
, and  $(x^3 + x^2)$ 

Addition::

$$(x^4 + x^3 + x + 1) + (x^3 + x^2)$$

What to do with  $2x^3$ ? We do the addition mod 2, and so obtain zero for the coefficient of  $x^3$ . And the important rule is: we do not "carry the one."

$$= x^4 + x^2 + x + 1$$

Multiplication:

$$(x^2 + x + 1)(x + 1) = x^3 + x^2 + x + x^2 + x + 1$$

Here, there are two of  $x^2$  and two of x which all cancel.

$$=x^3+1$$

I have a question at this point, why don't we treat this as

$$(0x^3 + 1x^2 + 1x + 1)(0x^3 + 0x^2 + 1x + 1)$$

and use the rules for multiplying 0 and 1 above? In any event, we don't.

division

$$\frac{x^2 + x + 1}{x + 1}$$

We can do this formally, or we can guess. The formal method is to divide  $x^2/x$  which is equal to x so then

$$x \times (x+1) = x^2 + x$$

Subtraction gives 1, and the answer is x with a remainder of 1/x + 1.

When

$$\frac{f(x)}{g(x)}$$

leaves no remainder, we say that g(x) is a factor of f(x) (and the quotient is another factor).

### Irreducible polynomial

An irreducible or prime polynomial is one without factors. To restate this, to say that a given polynomial p(x) is irreducible means that there do not exist:

$$f(x) \times g(x) = p(x)$$

The set of polynomials over GF(2) forms a ring, called the polynomial ring.

There are only two irreducible polynomials of degree 3 in GF(2) and they are:

$$x^3 + x + 1$$
$$x^3 + x^2 + 1$$

It is claimed that you cannot find f(x) and g(x) such that  $f(x) \times g(x)$  is equal to either of these, and these are the only polynomials in GF(2) with that property.

Now that's a challenge. Suppose we build up possible factors of these expressions, starting with

and continuing with polynomials with greatest term  $x^1$ . There are two:

x

$$x+1$$

Now consider polynomials with greatest term  $x^2$  formed by multiplying these last:

$$x(x) = x^{2}$$

$$x(x+1) = x^{2} + x$$

$$(x+1)(x+1) = x^{2} + 1$$

Now consider all products with greatest term  $x^3$  by multiplying factors that we have generated so far:

$$x(x^{2}) = x^{3}$$

$$x(x^{2} + x) = x^{3} + x^{2}$$

$$x(x^{2} + 1) = x^{3} + x$$

$$(x + 1)(x^{2}) = x^{3} + x^{2}$$

$$(x + 1)(x^{2} + x) = x^{3} + x$$

$$(x + 1)(x^{2} + 1) = x^{3} + x^{2} + x + 1$$

And that's it. There is no way to generate any other polynomial with greatest term  $x^3$ , and since these two do not appear in our results, there is no way to factor either  $x^3 + x + 1$  or  $x^3 + x^2 + 1$ .

Proving something similar for higher degrees might be a challenge, but see Kak's proof, below.

### Modulo an irreducible polynomial

We will now consider all polynomials defined over GF(2) modulo the irreducible polynomial  $x^3 + x + 1$ .

When multiplication results in a polynomial whose degree equals or exceeds that of the irreducible polynomial, we will take for our result the remainder modulo that polynomial.

Example:

$$(x^{2} + x + 1) \times (x^{2} + 1) \mod x^{3} + x + 1$$

$$= x^{4} + x^{2} + x^{3} + x + x^{2} + 1 \mod x^{3} + x + 1$$

$$= x^{4} + x^{3} + x + 1 \mod x^{3} + x + 1$$

What is

$$\frac{x^4 + x^3 + x + 1}{x^3 + x + 1}$$

well

$$x(x^3 + x + 1) = x^4 + x^2 + x$$

which when subtracted from the numerator leaves  $x^3 - x^2 + 1$  so we have

$$\frac{x^3 - x^2 + 1}{x^3 + x + 1}$$

Now the quotient is 1 with a remainder of  $-x^2 - x$ .

Recall that -1 = 1, because 1 is its own additive inverse: 1 + 1 = 0 so 1 = 0 - 1. We have then  $x^2 + x$ .

Restate the result:

$$\frac{x^4 + x^3 + x + 1}{x^3 + x + 1} = x + 1 + \frac{x^2 + x}{x^3 + x + 1}$$

Let's check:

$$(x^{3} + x + 1) \times (x + 1)$$

$$= x^{4} + x^{3} + x^{2} + x + x + 1$$

$$= x^{4} + x^{3} + x^{2} + 1$$

which falls short of the original numerator  $x^4 + x^3 + x + 1$  by exactly  $x^2 + x$ .

There is a less error-prone way to do this kind of modulo operation and we will see it in the next chapter.

Polynomials defined over GF(2) modulo the irreducible polynomial  $x^3 + x + 1$  consist of the finite set:

0 1 x x + 1  $x^{2}$   $x^{2} + 1$   $x^{2} + x$   $x^{2} + x + 1$ 

It's starting to look familiar.

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There are only eight of them. We refer to this set as  $GF(2^3)$ . 3 is the degree of the modulus polynomial.