

## Paraboloid Volume

A paraboloid is a solid whose vertical cross-section is a parabola (often, it is oriented along the  $z$ -axis). It may open up, or down. The cross-sections parallel to the  $xy$ -plane are typically circles, though the shape factors for the parabolas in the  $xz$ - and  $yz$ -planes could be different, leading to an ellipse for the cross-sections.

Consider

$$z = 2 - x^2 - y^2$$

This is a paraboloid that opens down ( $z$  gets large and negative when either  $x$  or  $y$  get large). The vertex is at  $z = 2$ . When  $z = 0$ , the cross-section is a circle

$$x^2 + y^2 = 2$$

of radius  $r = \sqrt{2}$ .

Usually, cylindrical coordinates are good for dealing with this solid. For example, in those coordinates, the surface area element is  $dS = r \, dz \, d\theta$ .

But let's start with a method from 1-D calculus. Suppose we turn the paraboloid so that its symmetry axis is the  $x$ -axis, and its vertex is at the origin. We can model this as being generated by revolution of the graph of  $f(x) = \sqrt{x}$  around the  $x$ -axis.

The general formulation is that at each point  $x$ , we have a circle of radius  $f(x)$  and area  $\pi f(x)^2$ .

Here,  $f(x) = \sqrt{x}$ , and area  $A = \pi r^2 = \pi x$ . If we consider the width of each slice to be  $dx$ , then for the volume just add all these up

$$\begin{aligned} V(x) &= \int A(x) dx = \int \pi x dx \\ &= \frac{\pi}{2} x^2 \Big|_a^b \end{aligned}$$

For the unit paraboloid at  $b = 1$ , we get  $\pi/2$ . And our first paraboloid ( $b = 2$ ) has a volume of

$$V = 2\pi$$

Now, consider the shape factor for the parabola,  $a$ . In the standard equation  $y = ax^2$ , and the larger  $a$  is, the faster the parabola grows in the  $y$ -direction. But here, we have the parabola "opening" in the  $+x$ -direction. That is, we have  $x = ay^2$  and so  $y = \sqrt{x/a}$ . Thus

$$V(x) = \int A(x) dx = \pi \int \frac{x}{a} dx = \frac{\pi}{a} \int x dx$$

and we have a factor of  $1/a$  for the final volume.

We can ask in another way if these formulas make sense. Consider the parabola  $y = x^2$  in the unit square. The area "under" the curve is  $1/3$ , which is another way of saying that the area "over" the curve and inside the parabola is  $2/3$ . Compare with the circle, whose area over the unit square is  $\pi/4 \approx 3/4$ . The circle is a bit "fatter" than the parabola and we expect its volume, when we do the rotation, to be larger in proportion. So this looks reasonable.

### another method

I want to find the volume using cylindrical coordinates. I'd also like to generalize the problem. In 1D we orient the vertex at the origin and integrate (usually) from  $0 \rightarrow b$ . When we turn the volume so that it aligns with the  $z$ -axis, we usually place it with the bottom of the desired region in the  $xy$ -plane. So I'm going to re-write the equation as

$$z = f(x, y) = c - x^2 - y^2$$

where  $c$  is the height of the parabola we are measuring.

We are going to use  $r, \theta, z$ . So we need to find the limits on  $r$ . The "shadow" of the paraboloid is a circle in the  $x, y$ -plane

$$z = 0 = c - x^2 - y^2$$

$$x^2 + y^2 = r^2 = c$$

$$r = \sqrt{c}$$

The volume in cylindrical coordinates is

$$V = \iiint dV = \iiint r \, dz \, dr \, d\theta$$

What are the bounds on  $z$ ? Remember, if we integrate first with respect to  $z$  then  $r$  is *fixed*. For a given  $r$

$$z = c - r^2$$

So, the bounds on  $z$  are  $z = 0 \rightarrow c - r^2$ , and the inner integral is just

$$\int_0^{c-r^2} r \, dz = r(c - r^2)$$

This gives us what we would have if we just started by thinking about the double integral of  $f(x, y)$  or  $f(r, \theta)$  over the region  $R$  in the plane.

$$V = \iint f(x, y) \, dx \, dy = \iint f(r, \theta) \, r \, dr \, d\theta$$

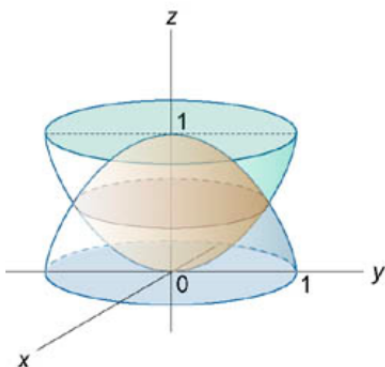
The middle integral is

$$\begin{aligned} & \int_0^{\sqrt{c}} cr - r^3 \, dr \\ &= \left. \frac{1}{2}cr^2 - \frac{1}{4}r^4 \right|_0^{\sqrt{c}} \\ &= \frac{1}{2}c^2 - \frac{1}{4}c^2 = \frac{1}{4}c^2 \end{aligned}$$

times  $2\pi$  from the outer integral. Hence  $V = \pi/2 \cdot c^2$ .

The way we've set up this problem, the region that we want starts at the  $xy$ -plane. So there's not much point in preserving the option of starting evaluation of the middle integral at  $a \neq 0$ . But if you did decide to do this you could. Just remember to go back and fix the lower bound on  $z$  in the inner integral. That would also have to change.

## double paraboloid



Just for fun, let's try to do a double paraboloid. In the figure, the paraboloid that opens up is  $z = x^2 + y^2$  while the one that opens down is  $z = 1 - x^2 - y^2$ . To match what we had in the earlier section, I'm going to change the upper one to be  $z = 2 - x^2 - y^2$ .

We will use cylindrical coordinates to integrate. The key to the problem, as usual, is to find the limits for  $r$  and  $z$ . First, solve for the intersection of the two surfaces:

$$\begin{aligned} z &= 2 - x^2 - y^2 = x^2 + y^2 \\ x^2 + y^2 &= 1 = r^2 \end{aligned}$$

So  $r = 0 \rightarrow 1$ . Easy enough. And  $z$  ranges from the lower surface to the upper one. Our integral is

$$V = \int_0^{2\pi} \int_0^1 \int_{r^2}^{2-r^2} dz \, r \, dr \, d\theta$$

The middle integral is

$$\begin{aligned}
& \int_0^1 2 - 2r^2 \, r \, dr \\
&= 2 \left( \frac{r^2}{2} - \frac{r^4}{4} \right) \Big|_0^1 \\
&= 2 \cdot \frac{1}{4} = \frac{1}{2}
\end{aligned}$$

Multiply by  $2\pi$  from the outer integral and that gives simply,  $\pi$ . Notice that we have a duplicated version of the first volume, for which we found the answer  $\pi/2$ . It checks.